

## **Engineering Databases**

Lecture 10 – Summary

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matricule number

### Contents of lecture 1

- A Database is where all the data is stored
- A Database Management System is the administrative software of the DB
- A schema defines the structure of the data
- An instance is one set of data that complies to the schema
- A data model describes how we can model the schema in the database
- Our data model of choice is the relational model
- We use the Entity Relationship Model to design a schema
- Entities are well-defined physical objects or mental concepts Student
- Relationship are given between entity types
- Attributes characterize entities and relationships name
- The primary key is a attribute (set) that identifies one entity
- Cardinalities represent consistency rules (Chen Notation, 1:1, 1:N, M:N)



### Contents of lecture 1

Abstraction layers/levels

### Views

- Partial sets of entire data set
- Tailored to the needs of specific users

## Logical Layer

how data is logically organized → schema

## Physical Layer

how data is stored on disk

### Contents of lecture 1

ER-Diagrams comprise a well defined set of symbols

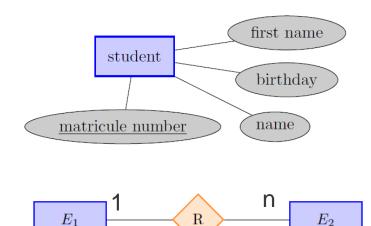
■ Entity Student

Relationships teaches 1:1, n:1, n:n

Attributes name

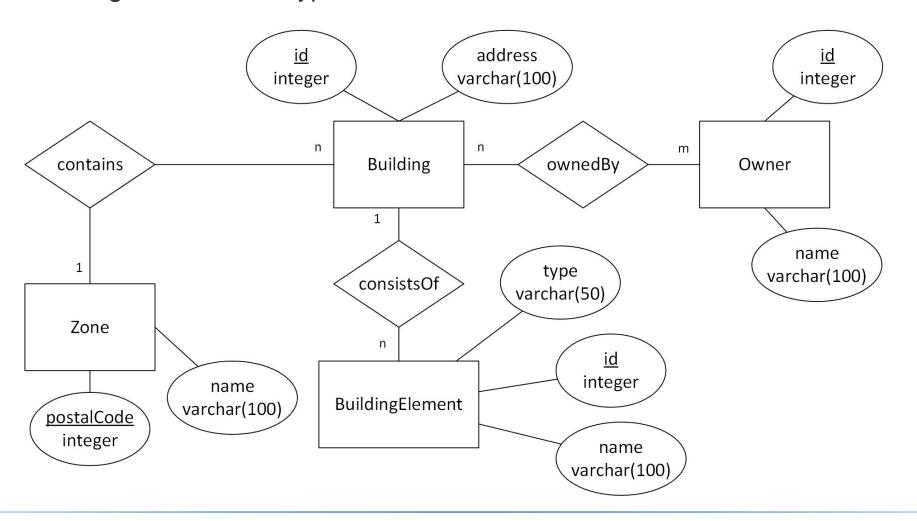
Primary key

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### ER Diagram with data types





### Contents of lecture 2

- The Data Definition Language (DDL) is used to define and modify schema
- Data Manipulation Language (DML) is used to add, remove, and query data
- SQL a declarative language, you define what you want and not how to compute it
- DDL:
  - create table <tableName> (<c1Name> <c1Type> <c1constrains> [, ...]);
  - drop <tablerName1> [, ...];
  - rename <tableName> to <newName>
  - alter table <tableName> <specifications: add, modify, change and drop>;
- DML (partial):
  - insert into <tableName> (c1Name [, ...]) values (c1Value [, ...])
  - select <columns or \*> from <data source, e.g. tableName> where <Conditions>

### SQL basic data types

- Each attribute (column) has a data type
- Numbers:
  - numeric/number: generic type
  - integer
  - float
  - date/time, datetime, e.g. date: 0001-01-01... 9999-12-31
  - time: 00:00:00.0000-23:59.59.9999
- Strings:
  - char(n)
  - varchar(n)
- Binary Data

### **Integrity Constraints**

- NOT NULL (data cannot be empty)
- UNIQUE (cannot be null, unique value in the table)
- PRIMARY KEY (identifier of the entity and unique)
- FOREIGN KEY (identifier of an entity of another table)
- DEFAULT (default value of a column)
- AUTO\_INCREMENT (automatically set to 'last value + 1')
- Combinations possible

**CREATE TABLE Lectures (** 

CourseNo INTEGER PRIMARY KEY AUTO\_INCREMENT);



### Contents of Lecture 3

- DML (rest):
  - update <t1> set <c1>=<v1> [,<c2>=<c2>,...] [where <condition>]
  - delete from <t1> [where <condition>]
  - insert into for multiple data sets
- Advanced ER-Mapping Schema
  - 1:N, N:1, and 1:1 relation can be eliminated in the table design
- The Relational Model by Codd
  - The formal groundings of SQL based on set theory and first-order predicate logic
  - Domains, attributes, relations and relational schemas
  - Projections: Π<sub>columns</sub> (Relation), extract columns from a relation
  - Union: R<sub>i</sub> ∪ R<sub>i</sub>, merge identical schemas
  - Selection:  $\sigma_{\text{statement}}$  (Relation), select sub-sets of a relation based on formulas

### Contents of Lecture 4

Foreign key integrity constraint 

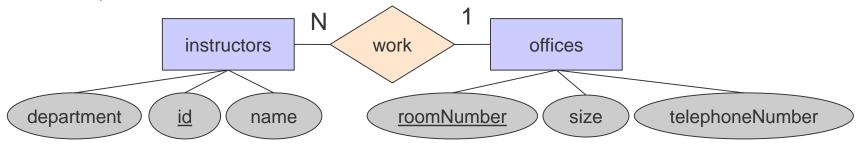
Chair of Computational Modeling and Simulation

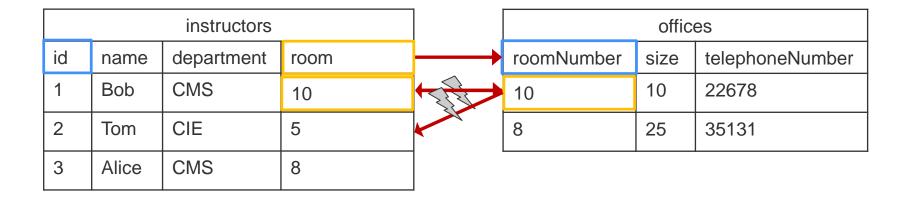
- A essential principle in relational design
- Ensures that a value in a table is contained in some other table
- Control updates and changes of other tables
- Relational algebra and joins
  - Cartesian product ×
  - Renaming  $\rho$
  - $\theta$ -Join  $\bowtie_{\theta}$  and equi-Join  $\bowtie_{\theta is} =$
  - Natural Join ⋈
  - Right ⋉ and left semi join ⋊
  - Left ⋈, right ⋈, and full outer join ⋈



### Foreign key integrity constraint

Example:





StudentTest lec4f instructors

### Foreign key integrity constraint

Example:

CREATE TABLE offices (

StudentTest lec4f\_\_offices

roomNumber : int(11)

size : int(11)

telephonNumber : varchar(40)

id : int(11)

aname : varchar(50)

department : varchar(20)

#room : int(11)

roomNumber INTEGER PRIMARY KEY, size INTEGER NOT NULL, telephonNumber VARCHAR(40) NOT NULL);

CREATE TABLE instructors (
id INTEGER PRIMARY KEY,
name VARCHAR(50) NOT NULL,
department VARCHAR(20),
room INTEGER,
FOREIGN KEY (room) REFERENCES offices (roomNumber));

### Content of Lecture 5

- Explicit renaming of output columns  $\rho_{new \ name/old \ name}(relation)$
- Aggregate by a column GROUP BY
- Aggregate group columns by functions e.g. COUNT(column)
- Use nested queries in WHERE and SELECT clause
- Use quantor [NOT] EXISTS or IN to check if a SELECT has content
- Special language elements are helpful e.g. BETWEEN



### Aggregate Functions and Grouping

- Aggregated group entries
- The SQL syntax for the renaming an attribute/column: SELECT <attributes> FROM <Relation> GROUP BY <attribute>
- Example: SELECT firstName FROM persons GROUP BY firstName

firstName	lastName	age	male
Max	Bügler	33	1
Max	Mustermann	20	1
Max	Müller	25	1
Parker	James	28	0
Parker	Miller	24	1
Jennifer	Milan	22	0
Jennifer	Turner	28	0
John	Turner	25	1
Jennifer	Turner	21	0





Max

Max

Max

Parker

firstName lastName

Bügler

Müller

lames

Miller

Milan

Turner

Turner

Turner

Mustermann

age

33

20

25

28

24

22

28

25

21

male

### Aggregate Functions and Grouping

# SELECT firstName, GROUP\_CONCAT(lastName) AS lastNames, GROUP\_CONCAT(DISTINCT lastName) AS distinctLastNames, COUNT(lastName) AS count, COUNT(DISTINCT lastname) AS distinctCount, AVG(age) AS averageAge, STDDEV(age) AS ageStDev FROM Persons GROUP BY firstName;

firstName Jennifer John Max Parker



### Content of lecture 6

- Pitfalls in SQL
  - Use ` and ' and " and \
  - Be aware of attacks on Databases, e.g. SQL Injections
- Sort result of a query using ORDER BY
- Limit the number of rows of sorted query by LIMIT
- Triggers
  - Mechanism to react on updates, insert, and delete statements
  - Is connected to changes of the content of a table
  - Will run before or after these events
  - Runs a single or multiple statements
  - Will run for each row. This means for all rows that activate the trigger

### Content of Lecture 7

- Views are virtual tables
- Views ,save' SQL select statements
- Transaction ensure database consistency
- Transaction bundle multiple operations in a single unit
- ACID = Atomicity, Consistency, Isolation, and Durability
- Normalization improves relation database design in a formal way
- Normalizations avoid update, insert and delete anomalies
- Using the ER-Diagram mostly generates normalized tables

### **Transactions**

- Properties of Transaction
- ACID = Atomicity, Consistency, Isolation, and Durability
- Atomicity: A transaction runs either entirely or not at all
- Consistency: A transaction takes the database from one consistent state to another
- Isolation: A transaction runs in isolation from other transactions.
- Durability: Changes by a committed transaction must persist in the database

### Normalization

Change the track title 'Run' to 'Runner' for CD#1

CD#	Album Title	Musician	Date	Tracks
1	Magic	А	1999	Trick, Unity, Run, Runner
2	Dragon	В	1999	Fire, Smoke, Gold
3	Dance	А	1999	Neon, Light, Floor

- The system finds the text: Trick, Unity, Run, Runner
- It has to scan for Run and exchanges Run with Runner
- The result is: Trick, Unity, Runner, Runnerner
- Normal Form 1: All attributes must be atomic
- Solutions: Never put distinct data items in an single attribute



### Normalization

Change the artist 'A' hometown to 'Washington'

Genre	Artist	Hometown
Dance	А	New York
Rock	В	Berlin
Pop	А	New York



Artist	Hometown
А	New York
В	Berlin

Genre	Artist
Dance	А
Rock	В
Pop	А

- The system have to find all rows that correspond to artist A
- It has to scan the whole table and rename the Hometown multiple times for A.
- Normal Form 2: All non-key attributes are dependent on the complete primary key
- Here: Hometown (non-key) is dependent on Artist (key) but not on Genre (key)
- Solution: Split into separate tables in which this is true



### Normalization

 The 3 normal form provides optimal balance between performance, redundancy and flexibility

Artist	Birth	Zipcode	Hometown
А	1975	503	New York
В	2003	313	Berlin
С	1993	313	Berlin



Artist	Birth	Zipcode
А	1975	503
В	2003	313
С	1993	313

Zipcode	Hometown
503	New York
313	Berlin

- Normal Form 3: No non-key attribute is transitively dependent on a primary key
- Here:

Zipcode (non-key) is dependent on Artist (key). (Z depends on A)
Birth (non-key) is dependent on Artist (key). (B depends on A)
Hometown (non-key) is dependent on Artist (key). (H depends on A)
However, Hometown is actually dependent on Zipcode (H depends on Z)

Solution: Break (H depends A, via Z depends A) by splitting the table



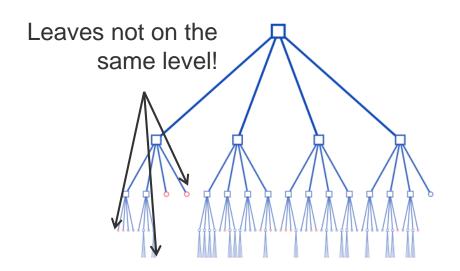
### Content of Lecture 8

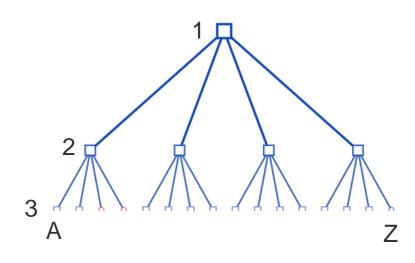
- Indexing improves the query performance of a database
- We measure performance based on the worst case complexity of an algorithm
- We describe the complexity via the Big-O Notation, e.g. O(1) = constant-time
- Indexing can be applied to attributes of a table
- Typically, the database creates an index for each primary key
- Index are not for free, e.g. higher effort for insert, delete, and update
- ISAM (Index Sequence Access Method) is an indexing method



# Content of Lecture 8 Indexing B-Tree

- B-Trees are balanced
   Leaves are on the same depth
   This makes the B-Tree efficient!
- Maximum number of disk accesses is limited by height of the tree
- Same number of operation for each search
   E.g. search for "Alfred" takes as
   long as for "Zeppelin"
- Search complexity is O(log n)



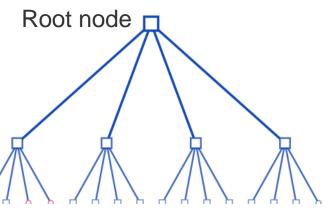




# Content of Lecture 9 Indexing B-Tree

Parameter:Order m ≥ 3

Non-leaf non-root nodes



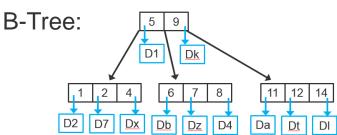
Leaves

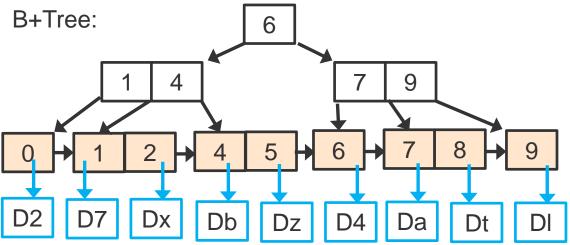
- All leaves are on the same depth (level).
- All keys in a node are in ascending order.
- A non-leaf node with n-1 keys must have n child nodes.
- If the root node is a non-leaf node, it must have at least 2 child nodes.
- A non-root non-leaf node must have at least m/2 child nodes.
- A nodes (except the root node) must have at least m/2-1 keys and maximal m-1 keys.
- Hint: if e.g. m = 3 and  $\frac{3}{2} 1 = 0.5$ , we use the ceiling method. Thus,  $\left[\frac{3}{2} 1\right] = 1$



# Content of Lecture 9 Indexing B+ Trees

Extension of the B-Tree



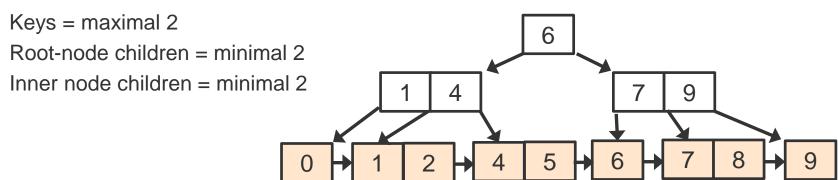


- Nodes only store keys
- The pointers in the leaves point to data
- Leaves are connected by additional pointers: fast sequential access
- B+ Trees "grow from the root"



# Content of Lecture 9 Indexing B+ Trees

- The rules for a B+Tree
  - Maximum number of keys per node: n
  - Root node: at least 2 children
  - Non-root nodes (inner nodes): at least (n+1)/2 children (rounding up, ceiling)
  - The values are sorted
  - All leaves are on the same depth
- Let n = 2







### Content of Lecture 9 NoSQL Databases

- Relational databases
  - are well standardized and established
  - successful but have problems regarding big data and distributed systems
- NoSQL technologies
  - address new requirements
  - are aggregate-oriented
  - give up the ACID principle
- NoSQL types
  - Map-Reduce model, Key-Value stores, Document databases, Column-family stores, Graph databases



### End of Lecture

## Thank you for your attention