



Multi-Process Programming in C

Advanced Operating Systems (2016/2017)

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Outline 2/50

Multi-process programming

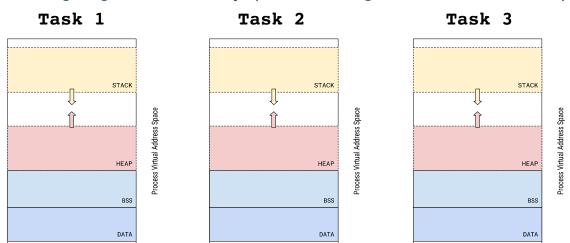
- Fork processes
- Inter-process synchronization
- Executing other programs

Inter-Process Communication

- Signals
- Pipes
- Shared memory
- Synchronization

Why multi-process programming?

- Multi-process means that each task has its own address space
 - More task isolation and independence compared to multi-threading
- Useful choice for multi-tasking application where tasks have significant requirements in terms of resources
 - Tasks requiring "long" processing times
 - Tasks processing big data structures
 - Tasks featuring high I/O activity (networking, disk accesses, ...)



Example 1: Forking a process

```
#include <stdio.h>
#include <sys/types.h>
#include <unistd.h>
int main () {
 pid t child pid;
 printf("Main process id = %d (parent PID = %d)\n",
   (int) getpid(), (int) getppid());
 child pid = fork();
 if (child pid != 0)
       printf("Parent: child's process id = %d\n", child pid);
 else
       printf("Child: my process id = %d\n", (int) getpid());
 return 0;
```

• fork() creates a new process duplicating the calling process

Example 1: Forking a process

Child: my process id = 9076

```
$ gcc example1.c -o fork_ex1
$ ./fork_ex1

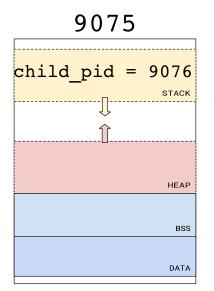
Main process id = 9075 (parent PID = 32146)
Parent: child's process id = 9076
```

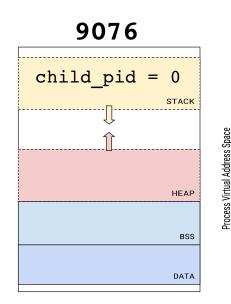
- The main process has PID = 9075. It's parent (PID=32146) is the shell (echo \$\$) from which the executable has been started
- After the fork() the program concurrently executes two processes
- The child_pid variable, in the address space of the parent process, is set to the return value of the fork (the child process ID)
- The child_pid variable, in the address space of the child process, is not set
- The getpid() returns the current process identifying number

Example 1: Forking a process

```
int main ()
{
    pid_t child_pid;
    ...

child_pid = fork();
    ...
}
```





- Parent process virtual address space is replicated in the child
 - Including the states of variables, mutexes, condition variables, POSIX objects
- The child inherits copies of the parent's set of open file descriptors
 - As well as status flags and current file offset

Example 2a

Two processes writing something to the standard output

```
#include <sys/wait.h>
#include <unistd.h>
#include <stdio.h>
void char at a time( const char * str ) {
 while( *str!= '\0' ) {
   putchar( *str++ );  // Write a char and increment the pointer
    fflush( stdout ); // Print out immediately (no buffering)
   usleep(50);
int main() {
  if( fork() == 0 )
   char at a time( "....." );
  else
   char at a time( "|||||||||" );
}
```

Example 2a

```
$ gcc forkme_sync1.cpp -o forkme
$ ./forkme
|.|.|.|.|.|.|.|.|
```

- Concurrency leads to unpredictable processes execution order
- The application might need to synchronize the execution of two or more processes
- The parent process might need to wait for a child process to finish
 - The parent process forks a child process to perform a computation, goes on in parallel, and then it reaches an execution point where it needs to use the output data of the child process
- Considering our example, assume this is the output we want:

```
.....
```

Example 2b: Forking a process with synchronization

• The results can be obtained by exploiting wait(...) functions

```
#include <sys/wait.h>
#include <unistd.h>
#include <stdio.h>
void char at a time( const char * str ) {
 while( *str!= '\0' ) {
   putchar( *str++ );  // Write a char and increment the pointer
   fflush( stdout ); // Print out immediately (no buffering)
   usleep(50);
int main() {
 if( fork() == 0 )
   char at a time( "....." );
 else {
   wait( NULL );
   char at a time( "||||||||| );
}
```

Synchronization using wait()

- The parent process block itself until a status change has occurred in one of the child processes
 - Child process terminated or stopped
 - Child process resumed by a signal (see later)
- The status is retrieved from an integer argument passed by pointer

```
•pid_t wait(int * status)
```

- The waitpid(pid_t,...) call allows the caller process to wait for a specific child process
- The wait/waitpid calls allow the system to release the resources associated with the child process
 - (e.g., opened files, allocated memory, etc...)

Zombie processes

- If a child terminates, without wait() performed, it remains in a "zombie" state
- The Linux kernel maintains a minimal set of information about the zombie process
 - (PID, termination status, resource usage information, ...)
 - Parent can later perform a wait to obtain information about children
- A zombie process consumes a slot in the kernel process table
 - If the table fills, it will not be possible to create further processes
- If a parent process terminates, then its "zombie" children (if any) are adopted by the *init* process
 - *init* automatically performs a wait to remove the zombies

Spawning executor processes

- Process forking basically "clones" the parent process image
 - Same code and same variables
- In a multi-process application we may need to spawn a process to execute a completely different task (program)
 - Load and run another executable
- The exec() family of functions allows us to start a program within another program
- The exec() family of functions replace the current process image with a new one coming from loading a new program

Spawning executor processes

Function signatures

```
int execl(const char *path, const char *arg, ...);
int execlp(const char *file, const char *arg, ...);
int execle(const char *path, const char *arg, ..., char * const envp[]);
int execv(const char *path, char *const argv[]);
int execvp(const char *file, char *const argv[]);
```

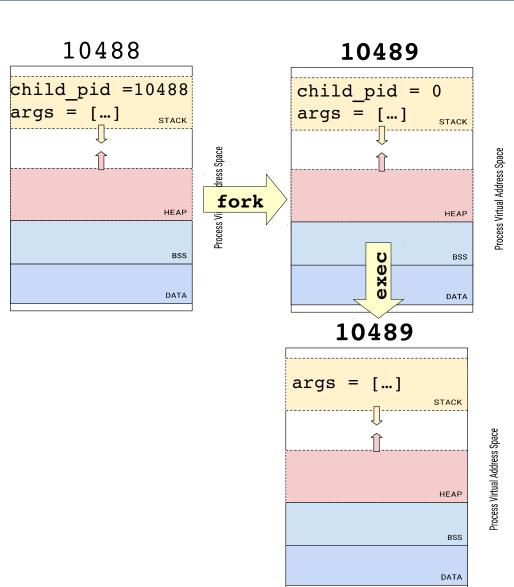
- All the functions take the executable path as first argument
- "1" functions accept variable amount of null-terminated char *
- "v" functions accept the executable path and an array of null-terminated char *
 - Both forward arguments to the executable (arg0 must be set to executable name)
- "p" functions access PATH environment variable to find the executable
- "e" functions accept also an array of null-terminated char * storing environment variables

Example 3

```
#include <stdio.h>
#include <stdlib.h>
#include <sys/types.h>
#include <unistd.h>
int spawn(const char * program, char ** arg list) {
 pid t child pid = fork();
 if (child pid != 0)
   return child pid; /* This is the parent process. */
  else {
   execvp (program, arg list);  /* Now execute PROGRAM */
   fprintf (stderr, "An error occurred in execvp\n");
   abort ();
}
int main() {
  char * arg list[] = { "ls", "-l", "/", NULL };
 spawn("ls", arg list);
 printf ("Main program exiting...\n");
 return 0;
}
```

Spawning executor process

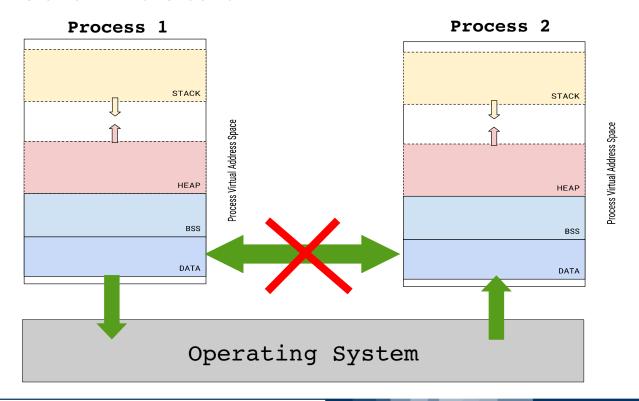
```
int main ()
   char * args[] = {
      "ls", "-l", NULL
   };
   pid t child pid;
   child_pid = fork();
   execvp("ls", arg);
```



Inter-Process Communication

Overview

- Each process has its own address space → How can we exchange information between different processes?
- Operating systems provide system calls on top of which communication mechanisms and API are built



Characteristics

- A single bit length "message"
- No data exchange
- Information content implicitly provided by the signal type
- Mechanism for asynchronous event notification

Examples

- Elapsed timer
- I/O operation completion
- Program exceptions
- User-defined events

Synchronization

Asynchronous interaction between a sender and a receiver

Signals

Signal handling

- Signals may be thought as software equivalent of hardware interrupts
- Operating Systems manage a signal vector table for each process
 - Conversely, for hardware interrupts there is a single system-wide table
- OS typically defines several signals (name defined as integer macro)
- In Linux, the default action performed to handle a signal is to terminate the process
- It is possible to register a custom signal handler for each signal
 - Each entry of the signal vector table are
- Signals can be ignored at process-level (completely discarded)
- Signals can be blocked at process or thread-level
 - Enqueued and managed later, when the process/thread "unmask" the signal

Signals

A subset of common POSIX signals

POSIX signals	Portable number	Default action	Description
SIGABRT	6	Terminate	Process abort signal
SIGALRM	14		Alarm clock
SIGCHLD	N/A	Ignore	Child process terminated, stopped or continued
SIGINT	2	Terminate	Terminal interrupt
SIGKILL	9	Terminate	Kill the process
SIGPIPE	N/A	Terminate	Write on a pipe with no one to read it
SIGSEV	N/A	Terminate	Invalid memory reference
SIGUSR1	N/A	Terminate	User-defined signal 1
SIGUSR2	N/A	Terminate	User-defined signal 2
•••			

Example 4: User-defined signal handling

```
#include <signal.h>
#include <stdio.h>
#include <string.h>
#include <sys/types.h>
#include <unistd.h>
sig_atomic_t sigusr1 count = 0;
void handler (int signal number) {
  ++sigusr1 count;
}
int main() {
  struct sigaction sa;
 memset(&sa, 0, sizeof(sa));
  sa.sa handler = &handler;
  sigaction (SIGUSR1, &sa, NULL);
  fprintf(stderr, "Running process... (PID=%d)\n", (int) getpid());
  /* Do some lengthy stuff here. */
 printf ("SIGUSR1 was raised %d times\n", sigusr1 count);
  return 0;
}
```

Signals

Example 4: User-defined signal handling

- Include <signal.h> header file
- Declare a data structure of type sigaction
- Clear the sigaction data structure and then set sa_handler field to point to the handler() function
- Register the signal handler for signal SIGUSR1 by calling the sigaction() function

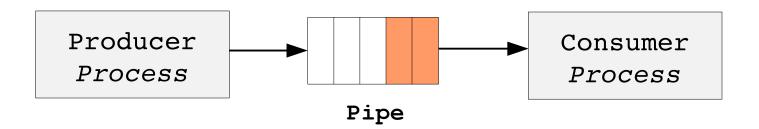
```
$ gcc example4.cpp -o sig_example
$ ./sig_example
Running process... (PID=16151)
```

```
$ kill -SIGUSR1 16151
```

```
SIGUSR1 was raised 1 times
```

Unnamed pipes

- Based on the producer/consumer pattern
 - A producer write, a consumer read
- Data are written/read in a First-In First-Out (FIFO) fashion



- In Linux, the operating system guarantees that only one process per time can access the pipe
- Data written by the producer (sender) are stored into a buffer by the operating system until a consumer (receiver) read it

Example 5: Simple unnamed pipe based messaging (1/2)

```
#include <stdlib.h>
#include <stdio.h>
#include <unistd.h>
/* Write COUNT copies of MESSAGE to STREAM, pausing for a second
   between each. */
void writer(const char * message, int count, FILE * stream) {
  for(; count > 0; --count) {
    fprintf(stream, "%s\n", message);
    fflush(stream);
    sleep(1);
  }
void reader(FILE * stream) {
  char buffer[1024];
  /* Read until we hit the end of the stream. fgets reads until
     either a newline or the end-of-file. */
 while(!feof(stream) && !ferror(stream)
        && fgets(buffer, sizeof(buffer), stream) != NULL)
    fputs(buffer, stdout);
```

Pipes

Example 5: Simple unnamed pipe based messaging (2/2)

```
int main () {
 FILE * stream;
 /* Create pipe place the two ends pipe file descriptors in fds */
 int fds[2];
 pipe(fds);
 pid t pid = fork();
 if(pid == (pid t) 0) { /* Child process (consumer) */
   close(fds[1]); /* Close the copy of the fds write end */
   stream = fdopen(fds[0], "r");
   reader(stream);
   close(fds[0]);
 else {
                  /* Parent process (producer) */
   close(fds[0]); /* Close the copy of the fds read end */
   stream = fdopen(fds[1], "w");
   writer("Hello, world.", 3, stream);
   close(fds[1]);
 return 0;
```

Example 5: Simple unnamed pipe based messaging

- Create a pipe with pipe() call and initialize the array of file descriptors "fds"
- Fork a child process that will behave as consumer
 - Close the write end of the pipe file descriptors array
 - Open the read end of the pipe file descriptors array
 - Call the reader() function to read data from the pipe
- Parent process acts as producer
 - Close the read end of the pipe file descriptors array
 - Open the write end of the pipe file descriptors array
 - Call the writer() function to write 3 times "Hello, world."

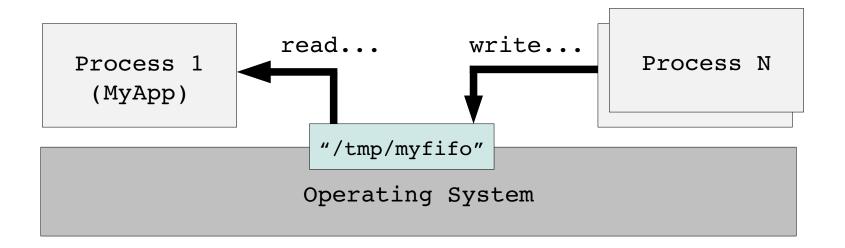
```
Hello, world.
Hello, world.
Hello, world.
```

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Named pipes (FIFO)

- Pipe-based mechanism accessible through file-system
- The pipe appears as a special FIFO file
- The pipe must be opened on both ends (reading and writing)
- OS passes data between processes without performing real I/O
- Suitable for unrelated processes communication



Example 6a: External interfacing through named pipe

• fifo_writer.c

```
int main () {
  struct datatype data;
  char * myfifo = "/tmp/myfifo";
  if (mkfifo(myfifo, S IRUSR | S IWUSR) != 0)
      perror("Cannot create fifo. Already existing?");
  int fd = open(myfifo, O RDWR);
  if (fd == 0) {
     perror("Cannot open fifo");
      unlink(myfifo);
      exit(1);
  int nb = write(fd, &data, sizeof(struct datatype));
  if (nb == 0)
      fprintf(stderr, "Write error\n");
 close(fd);
 unlink(myfifo);
  return 0;
```

Example 6a: External interfacing through named pipe

• fifo_reader.c

```
int main () {
  struct datatype data;
  char * myfifo = "/tmp/myfifo";
  int fd = open(myfifo, O RDONLY);
  if (fd == 0) {
      perror("Cannot open fifo");
      unlink(myfifo);
      exit(1);
  }
  read(fd, &data, sizeof(struct datatype));
 close(fd);
 unlink(myfifo);
  return 0;
}
```

Example 6a: External interfacing through named pipe

- The writer
 - Creates the named pipe (mkfifo)
 - Open the named pipe as a normal file in read/write mode (open)
 - Write as many bytes as the size of the data structure
 - → The reader must be in execution (otherwise data are sent to no nobody)
 - Close the file (close) and then release the named pipe (unlink)
- The reader
 - Open the named pipe as a normal file in read only mode (open)
 - The read() function blocks waiting for bytes coming from the writer process
 - Close the file (close) and then release the named pipe (unlink)

Example 6b: External interfacing through named pipe

- message-reader.c
 - message-writer: the user sends char strings from the shell

```
int main () {
 char data = ' ':
 char * myfifo = "/tmp/myfifo";
  int fd = open(myfifo, O RDWR);
  if (fd == 0) {
     perror("Cannot open fifo");
     unlink(myfifo);
     exit(1);
 while (data != '#') {
   while (read(fd, &data, 1) && (data != '#'))
        fprintf(stderr, "%c", data);
 close(fd);
 unlink(myfifo);
 return 0;
```

Example 6: External interfacing through named pipe

```
$ gcc example7.cpp -o ex_npipe
$ ./ex_npipe
Hello!
My name is
Joe
Communication closed
```

```
$ echo "Hello!" > /tmp/myfifo
$ echo "My name is" > /tmp/myfifo
$ echo "Joe" > /tmp/myfifo
$ echo "#" > /tmp/myfifo
```

- The (a priori known) named pipe location is opened as a regular file (open) to read and write
 - Write permission is required to flush data from pipe as they are read
- Blocking read() calls are performed to fetching data from the pipe
- The length of the text string not known a priori
 - ' # ' is used as special END character
- Close (close) and release the pipe (unlink) when terminate

Pipes and FIFO

Pros

- Low overhead
- Simplicity
- Mutual access solved in kernel-space

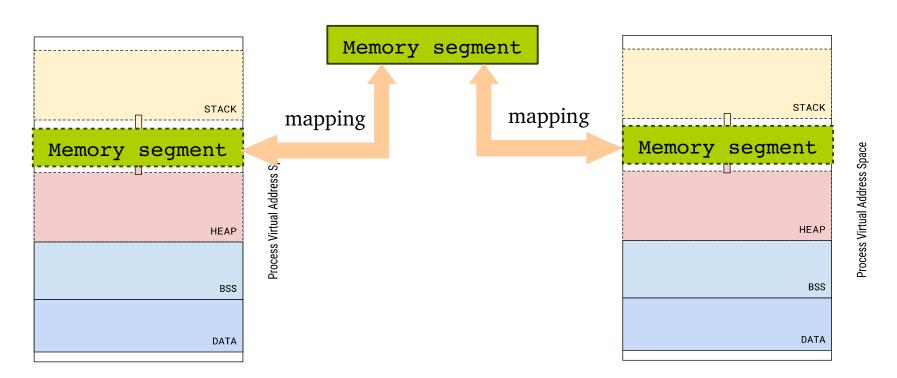
Cons

- No broadcast
- Unidirectional
- No message boundaries, data are managed as a stream
- Poor scalability

Shared memory

Memory mapping

- Shared memory in Linux/UNIX operating systems is based on the concept of memory mapping
- A memory segment can be memory mapped in the address space of multiple processes



Shared memory

Memory mapping

- A POSIX standard has been defined to implement memory mapping application program interfaces
- shm_open() opening/creation of a shared memory segment referenced by a name
 - A special file will appear in the file-system under "/dev/shm/" with the provided name
 - The special file represents a POSIX object and it is created for persistence
 - ftruncate(...) function resize to memory region to the correct size
- mmap() mapping of the memory segment referenced by the file descriptor returned by shm_open()
- munmap() unmapping of the memory segment
- shm_unlink() removal of shared memory segment object if nobody is referencing it
- Link to POSIX real-time extension library to build (gcc ... Irt)

Shared memory

Example 7: Simple shared memory mapping

posix-shm-server.c (1/2)

```
#include <stdio.h>
#include <stdlib.h>
#include <string.h>
#include <fcntl.h>
#include <sys/shm.h>
#include <sys/stat.h>
#include <sys/mman.h>
int main (int argc, char *argv[]) {
    const char * shm name = "/AOS";
    const int SIZE = 4096;
    const char * message[] = {"This ","is ","about ","shared ","memory"};
    int i, shm fd;
    void * ptr;
    shm fd = shm open(shm name, O CREAT | O RDWR, 0666);
    if (shm fd == -1) {
        printf("Shared memory segment failed\n");
        exit(-1);
```

Example 7: Simple shared memory mapping

posix-shm-server.c (2/2)

```
ftruncate(shm_fd, sizeof(message));
ptr = mmap(0, SIZE, PROT_READ | PROT_WRITE, MAP_SHARED, shm_fd, 0);
if (ptr == MAP_FAILED) {
    printf("Map failed\n");
    return -1;
}
/* Write into the memory segment */
for (i = 0; i < strlen(*message); ++i) {
    sprintf(ptr, "%s", message[i]);
    ptr += strlen(message[i]);
}
mummap(ptr, SIZE);
return 0;
}</pre>
```

- The server creates a shared memory referenced by "/AOS"
- The server writes some data (a string) into the memory segment
- The pointer ptr is incremented after each char string writing

Example 7: Simple shared memory mapping

posix-shm-client.c (1/2)

```
#include <stdio.h>
#include <stdlib.h>
#include <string.h>
#include <fcntl.h>
#include <sys/shm.h>
#include <sys/stat.h>
#include <sys/mman.h>
int main (int argc, char *argv[]) {
    const char * shm name = "/AOS";
    const int SIZE = 4096;
    int i, shm fd;
   void * ptr;
    shm fd = shm open(shm name, O RDONLY, 0666);
    if (shm fd == -1) {
        printf("Shared memory segment failed\n");
        exit(-1);
    }
```

Example 7: Simple shared memory mapping

posix-shm-client.c (2/2)

```
multiple map(0, SIZE, PROT_READ, MAP_SHARED, shm_fd, 0);
if (ptr == MAP_FAILED) {
    printf("Map failed\n");
    return -1;
}
printf("%s", (char *) ptr);

if (shm_unlink(shm_name) == -1) {
    printf("Error removing %s\n", shm_name);
    exit(-1);
}
return 0;
}
```

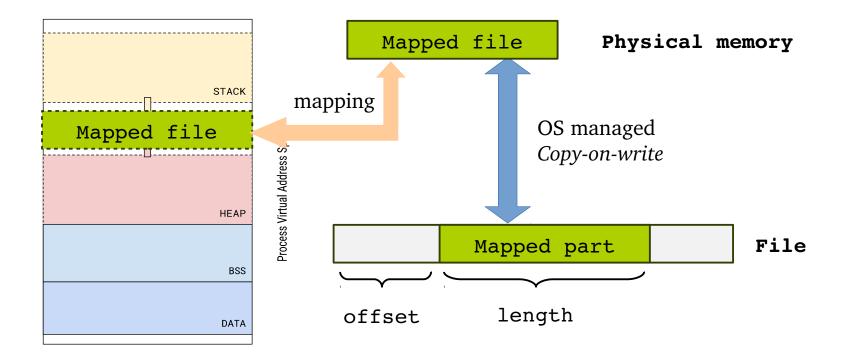
- The client opens the memory segment "AOS" in read-only mode
- The client maps the memory segment in read-only mode
- The client write the memory segment content to console

Example 7: Simple shared memory mapping

```
$ gcc posix-shm-server.c -o shm_server -lrt
$ gcc posix-shm-client.c -o shm_client -lrt
$ ./shm_server
$ ./shm_client
This is about shared memory
```

Memory mapping file

 Memory mapping allows us to logically insert part or all of a named binary file into a process address space



Example 8: Simple I/O mapping

- The file, passed at command-line (argv[1]), is opened and then memory mapped using the mmap() system call
- mmap() needs the address, the region size (file length), the permissions, the scope flags, file descriptor and offset

```
#include <fcntl.h>
#include <stdio.h>
#include <sys/types.h>
#include <sys/stat.h>
#include <unistd.h>
#include <sys/mman.h>
int main (int argc, char *argv[]) {
    int * p;
    int fd = open(argv[1], O RDWR);
                                        | PROT WRITE , MAP SHARED, fd, 0);
    p = mmap(0, sizeof(int), PROT READ
    (*p)++;
   munmap(p, sizeof(int));
    close (fd);
    return 0;
```

Semaphores

- Concurrency in multi-tasking applications may introduce race conditions → we need to protect shared resource
- Semaphores are examples of structure aiming at solving such a problem in multi-process applications
- Semaphores are usually system objects managed by the OS kernel
- Semaphores can be thought as counters that we can manipulate by performing two actions: wait and post
- If counter value > 0, wait decrements the counter and allows the task to enter the critical section
- If counter value = 0, wait blocks the tasks in a waiting list
- post increments the counter value
 - If the previous value was 0, a task is woken up from the waiting list

POSIX semaphores

- sem_open() opening/creation of a named semaphore
 - Useful for synchronization among unrelated processes
- sem_wait() Decrement the counter and lock if counter = 0
 - Initial counter value can be set to > 1
- sem_post() Increment the count and unlock the critical section
 if counter > 0
- sem_close() Close all the references to the named semaphore
- sem_unlink() Destroy semaphore object
 - if all the references have been closed
- Link to POSIX real-time and threads extension library to build (gcc ... -Irt -pthread)

Example 9: Using semaphores with shared memory

posix-shm-sem-writer.c (1/2)

```
#include <stdio.h>
#include <stdlib.h>
#include <sys/mman.h>
#include <sys/types.h>
#include <sys/stat.h>
#include <fcntl.h>
#include <unistd.h>
#include <semaphore.h>
#define SHMOBJ PATH "/shm AOS"
struct shared data {
   char var1[10];
   int var2;
};
int main(int argc, char *argv[]) {
   int shared seg size = (1 * sizeof(struct shared data));
```

Example 9: Using semaphores with shared memory

posix-shm-sem-writer.c (2/2)

```
int shmfd = shm open(SHMOBJ PATH, O CREAT | O RDWR, S IRWU | S IRWG);
ftruncate(shmfd, shared seg size);
struct shared data * shared msg = (struct shared data *)
    mmap(NULL, shared seg size, PROT READ | PROT WRITE, MAP SHARED,
    shmfd, 0);
sem t * sem id = sem open(SEM PATH, O CREAT, S IRUSR | S IWUSR, 1);
struct shared data out msg = { "John", 23 };
sem wait(sem id);
/* Update shared data */
memcpy(shared msg, &out msg, sizeof(struct shared data);
sem post(sem id);
shm unlink(SHMOBJ PATH);
sem close(sem id);
sem unlink(SEM PATH);
return 0;
```

Example 9: Using semaphores with shared memory

- The writer process
 - Maps a memory region
 - Creates a named semaphore and initialize it to 1 (sem_open)
 - Decrements the semaphore counter acquiring an exclusive access to the shared memory region (sem_wait)
 - Write into the memory region (memcpy)
 - Decrements the semaphore counter and releases the access to the memory region (sem_post)
 - Releases the shared memory region (shm_unlink)
 - Close and release the semaphore object (sem_unlink)

Example 9: Using semaphores with shared memory

posix-shm-sem-reader.c (1/2)

```
#include <stdio.h>
#include <stdlib.h>
#include <sys/mman.h>
#include <sys/types.h>
#include <sys/stat.h>
#include <fcntl.h>
#include <unistd.h>
#include <semaphore.h>
#define SHMOBJ PATH "/shm AOS"
struct shared data {
   char var1[10];
   int var2;
};
int main(int argc, char *argv[]) {
   int shared seg size = (1 * sizeof(struct shared data));
```

Example 9: Using semaphores with shared memory

posix-shm-sem-reader.c (2/2)

```
int shmfd = shm open(SHMOBJ PATH, O RDONLY, 0666);
struct shared data * shared msg = (struct shared data *)
    mmap(NULL, shared seg size, PROT READ, MAP SHARED, shmfd, 0);
sem t * sem id = sem open(SEM PATH, 0);
struct shared data in msq;
sem wait(sem id);
/* Update shared data */
memcpy(&in msg, shared msg, sizeof(struct shared data);
sem post(sem id);
/* Process data... */
shm unlink(SHMOBJ PATH);
sem close(sem id);
sem unlink(SEM PATH);
return 0;
```

Example 9: Using semaphores with shared memory

- The reader process
 - Maps a memory region (read-only access)
 - Open the semaphore object, already initialized (sem_open)
 - Decrements the semaphore counter acquiring an exclusive access to the shared memory region (sem_wait)
 - Copy the data fron the memory region to a local variable (memcpy)
 - Decrements the semaphore counter and releases the access to the memory region (sem_post)
 - Process the data
 - Releases the shared memory region (shm_unlink)
 - Close and release the semaphore object (sem_unlink)

Pros

- Can reduce memory usage
 - A big data structure can be mapped and shared to provide the same input set to multiple processes
- I/O mapping can be very efficient
 - Memory accesses instead of I/O read/write
 - Memory pages written back by OS only if the content has been modified
 - Seeking into the file performed with pointer arithmetic instead of "lseek"

Cons

- Linux map memory with a granularity of memory page size
 - Linux memory page size is typically 4 KB
 - Use memory mapping to map big files or share big data structures
- Can lead to memory fragmentation
 - Especially on 32-bits architectures
- Multiple small mappings can weight in terms of OS overhead