

HW 12

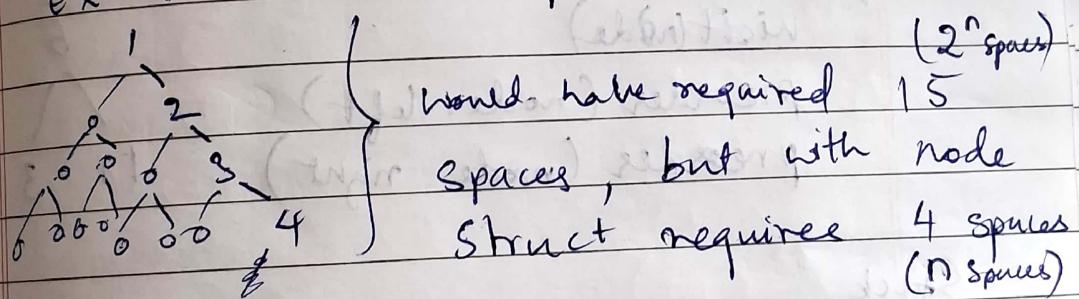
Ruchiha Shashidhara

NU 002245068.

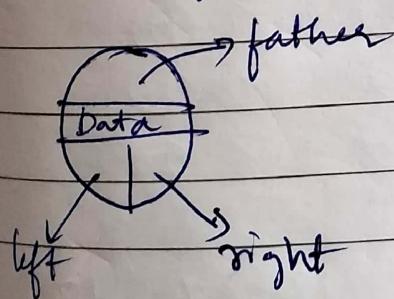
Why binary tree cannot be represented as an array?

All trees are not complete trees.  
if we store it as a python list  
or array - there will be empty spaces  
in the array - empty space.

Ex: worst case deep tree



2) Need for left & right pointers?



To efficiently store

the tree we can use

left ptr for left children  
& right ptr for right children

we can also have father ptr (root has  
no father)

here 3n spaces is required

can store non-complete binary trees  
efficiently.

3) Why parent pointer is not required?  
• We can back track to find the father node & we can store only 1 additional pointer to the root node of the tree. ( $2n+1$  spaces are required)

4) Tree traversal

5) Pre Order = VLR

order(node):

if (node):

visit(node)

preorder (node.left)

preorder (node.right)

10

11  
5 20

11  
17 3 4

~~preorder(5)~~

~~preorder(17)~~

~~visit(17)~~

Stack trace:

preorder(10) ① X ② ④

Current O/P

visit(10) ② X ③

10

preorder(5) ④ X ⑤ ⑥

10, 5

visit(5) ⑤ X ⑥

10, 5

preorder(17) ⑦ X ⑧ ⑩

10, 5, 17

visit(17) ⑧ X ⑨

preorder(3) ⑪ X ⑫ ⑬

10, 5, 17, 3

visit(3) ⑫ X ⑬

preorder(20) ⑯ X ⑰

10, 5, 17, 3, 20

visit(20) ⑰ X ⑱

preorder(4) ⑯ X ⑲

10, 5, 17, 3, 20, 4

visit(4) ⑲ X ⑳

∴ preorder: 10, 5, 17, 3, 20, 4

Q) Inorder : L VR

Inorder (node) :

if (node) :

    inorder (node.left)

    visit (node)

    inorder (node.right)

Stack Trace :

inorder (20)

① X 23

inorder (10)

② X 8

visit (10)

③ X 4

inorder (4)

⑤ X 8

visit (4)

⑥ X 7

visit (20)

⑨ X 10

10, 4, 20

inorder (7)

⑪ X 22

inorder (18)

⑫ X 15

visit (18)

⑬ X 14

10, 4, 20, 18

visit (7)

⑯ X 17

10, 4, 20, 18, 7

inorder (13)

⑮ X 21

visit (13)

⑯ X 20

10, 4, 20, 18, 7, 13

∴ Eorder = 10, 4, 20, 18, 7, 13

Post Order : L R V

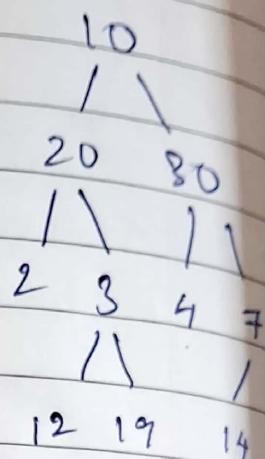
postorder (node):

if (node):

postorder (node.left)

postorder (node.right)

visit (node)



Stack Trace:

postorder(10)      ① X ③ 6

postorder(20)      ② X ⑨

postorder(2)      ③ X ⑥

visit(2)      ④ X ⑤

postorder(3)      ⑦ X ⑮

postorder(12)      ⑧ X ⑪

postorder( )

visit(12)      ⑨ X ⑩ 2, 12

postorder(19)      ⑫ X ⑯

visit(19)      ⑬ X ⑭ 2, 12, 19

visit(3)      ⑮ X ⑯ 2, 12, 19, 3

visit(20)      ⑰ X ⑯ 2, 12, 19, 3, 20

postorder(30)      ⑲ X ⑳

postorder(4)      ㉑ X ㉒

visit(4)      ㉓ X ㉔ 2, 12, 19, 3, 20, 4

postorder(7)      ㉕ X ㉖

postorder(14)      ㉗ X ㉘

visit(14)      ㉙ X ㉚ 2, 12, 19, 3, 20, 4, 14

visit(7)

(30)

X

(31)

2, 12, 19, 3, 20,  
4, 14, 7,

visit(30)

(32)

X

(33)

2, 12, 19, 3, 20,  
4, 14, 7, 30,

visit(10)

(34)

X

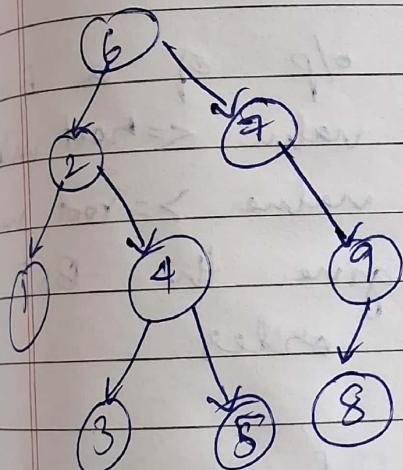
(35)

2, 12, 19, 3, 20,  
4, 14, 7, 30,  
4, 14, 7, 30, 10

postorder: 2, 12, 19, 3, 20,  
4, 14, 7, 30, 10

### Level-Order Traversal

Printing in the same line:



Time Complexity:  $\Theta(n)$

Space Complexity =  $\Theta(n)$

Output:

- q → \*
- q → \*
- q → \*, 7
- q → \*, 1, 4
- q → \*, 4, 9
- q → \*, 9
- q → \*, 3, 5
- q → \*, 5, 8
- q → \*, 8
- q → \*

6, 2, 7, 1, 4

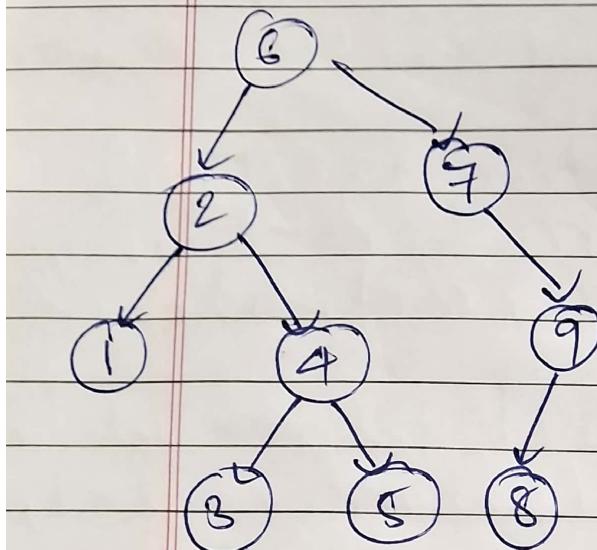
6, 2, 7, 1, 4, 9

6, 2, 7, 1, 4, 9, 3

6, 2, 7, 1, 4, 9, 3, 5

6, 2, 7, 1, 4, 9, 3, 5, 8

## Level Order Traversal - BFS



$q \rightarrow$  in a single line  
 point: level order  
 $\begin{matrix} 6 & 2 & 7 & 1 & 4 & 9 \\ 3 & 5 & 8 \end{matrix}$

$q \rightarrow \cancel{*} \cancel{*} \cancel{*} \cancel{*} \cancel{*} \cancel{*} \cancel{*} \cancel{*} \cancel{*}$

Time Complexity =  $\Theta(N)$        $N =$   
 No. of Nodes  
 Space Complexity =  $\Theta(N)$

$q \rightarrow 6 N$       it is a differentiator  
 bfs → node or can be None  
 Null in Python

$q \rightarrow N 2 7 \cancel{N}$       Time complexity  
 $bfs \rightarrow 6$        $\propto \Theta(N)$

$q \rightarrow 2 7 N$       Space complexity  
 $bfs \rightarrow 6 \backslash n$        $\approx \Theta(N)$

$q \rightarrow 7 N 1 4$   
 $bfs \rightarrow 6 \backslash n 2$

$q \rightarrow N 1 4 9$   
 $bfs \rightarrow 6 \backslash n 2 7$

$q \rightarrow 1 4 9 N$

bfs  $\rightarrow 6 \backslash n 2 7 \backslash n$

$q \rightarrow 4 9 N$

bfs  $\rightarrow 6 \backslash n 2 7 \backslash n 1$

$q \rightarrow 9 N 3 5$

bfs  $\rightarrow 6 \backslash n 2 7 \backslash n 1 4$

$q \rightarrow N 3 5 8$

bfs  $\rightarrow 6 \backslash n 2 7 \backslash n 1 4 9$

$q \rightarrow 3 5 8 N$

bfs  $\rightarrow 6 \backslash n 2 7 \backslash n 1 4 9 \backslash n$

$q \rightarrow 5 8 N$

bfs  $\rightarrow 6 \backslash n 2 7 \backslash n 1 4 9 \backslash n 3$

$q \rightarrow 8 N$

bfs  $\rightarrow 6 \backslash n 2 7 \backslash n 1 4 9 \backslash n 3 5$

$q \rightarrow N$

bfs  $\rightarrow 6 \backslash n 2 7 \backslash n 1 4 9 \backslash n 3 5 8$

$q \rightarrow$

bfs  $\rightarrow 6 \backslash n 2 7 \backslash n 1 4 9 \backslash n 3 5 8 \backslash n$

$\therefore$  Print: {  
 $6$   
 $2 7$   
 $1 4 9$   
 $3 5 8$ } In multiple lines  
 acc. to level order

- 6) Give an example where postorder is required

Use case: Deleting all nodes of a tree

- i) First child nodes need to be deleted before deleting the parent node.

- 7) Give an example where inorder traversal is required

Use case: Sorted op of a BST

In a BST, left.value  $\leq$  root.value & right.value  $\geq$  root.value

so inorder will give the BST in sorted ASC order.

- 8) Give an example where preorder traversal is required.

→ Expression Eval

Use case: In AST - Abstract Syntax Tree when we want to evaluate an expression & leaves are numbers or operands & internal nodes are operators.

## Huffman Encoding

a = 0000

b = 0101

c = 0100

d = 1110

e = 1101

f = 1100

ASCII takes 8  
chars

Given a string

Ex: Northeastern

How many times does  
each char occur?

n = 2 e = 2

o = 1 a = 1

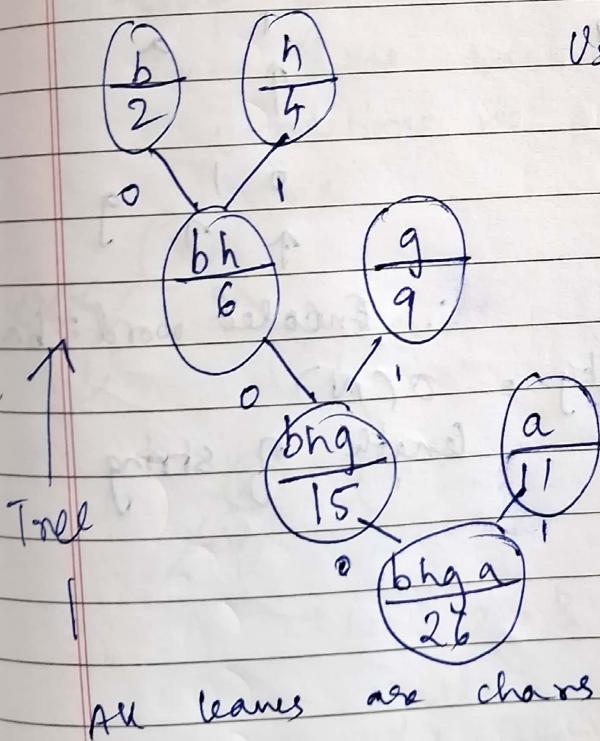
s = 2 s = 1

t = 2

h = 1

char	freq
a	11
b	2
g	9
h	4

Use Cases zip Encryption



→ this can be  
implemented  
using heap

a = 1

b = 000

h = 001

g = 01

a g h  
101001

aa a

1 1 1

g g h a a g  
01010011101

000101

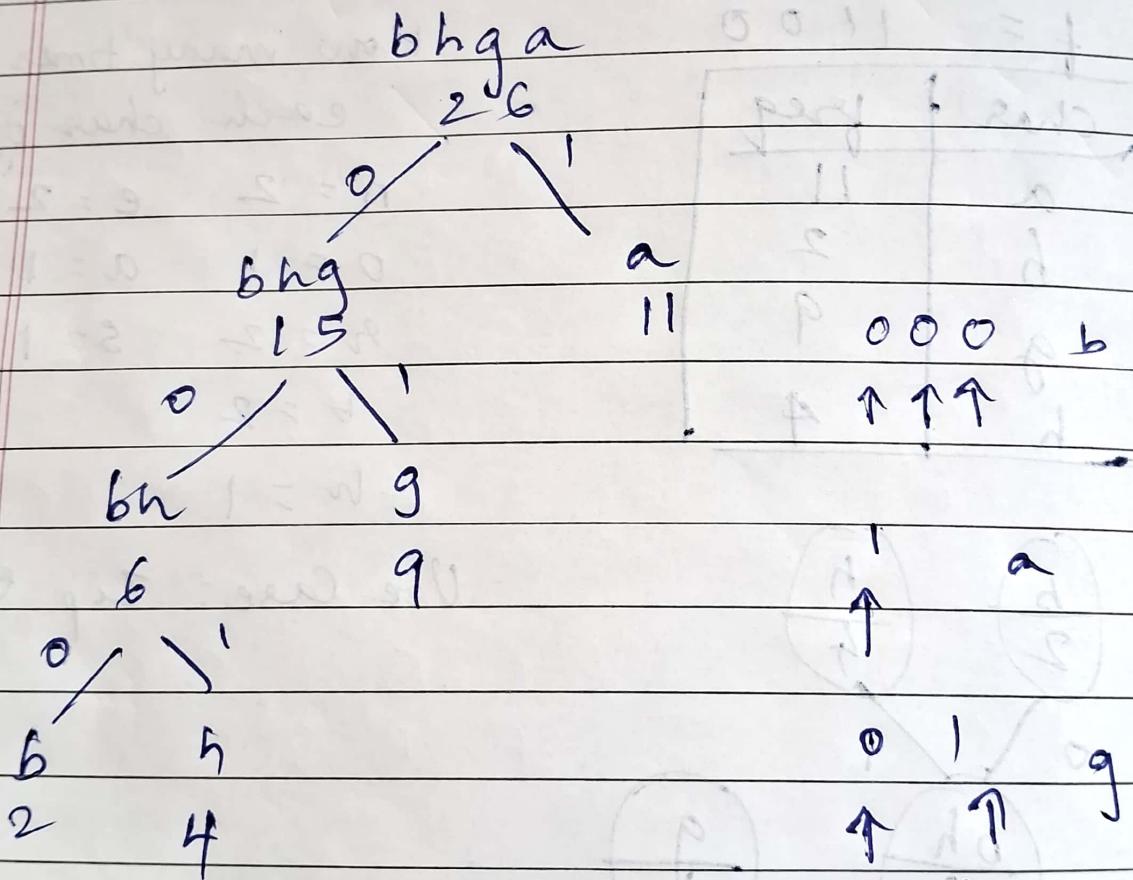
b = 000

h = 001

g = 01

a = 1

- Start from the root & keep traversing until string matches path



∴ Encoded word = bag

Time complexity =  $O(N)$

length of string

char	freq			
a	1	000	00000	or
e	2	000,		
n	2	001		
o	1	000000	1	
r	2	01		
t	2	0		
h	1	00000	1	
s	1	0000	1	

count char freq (s) :

f = dict()

for c in s:

if c in f:

f[c] = f[c] + 1

else:

f[c] = 0

return f

Time:  $\Theta(N)$

Space:  $\Theta(N)$

$a_1 \quad \theta_1 \quad h_1 \quad s_1 \quad e_2 \quad n_2 \quad r_2 \quad t_2$

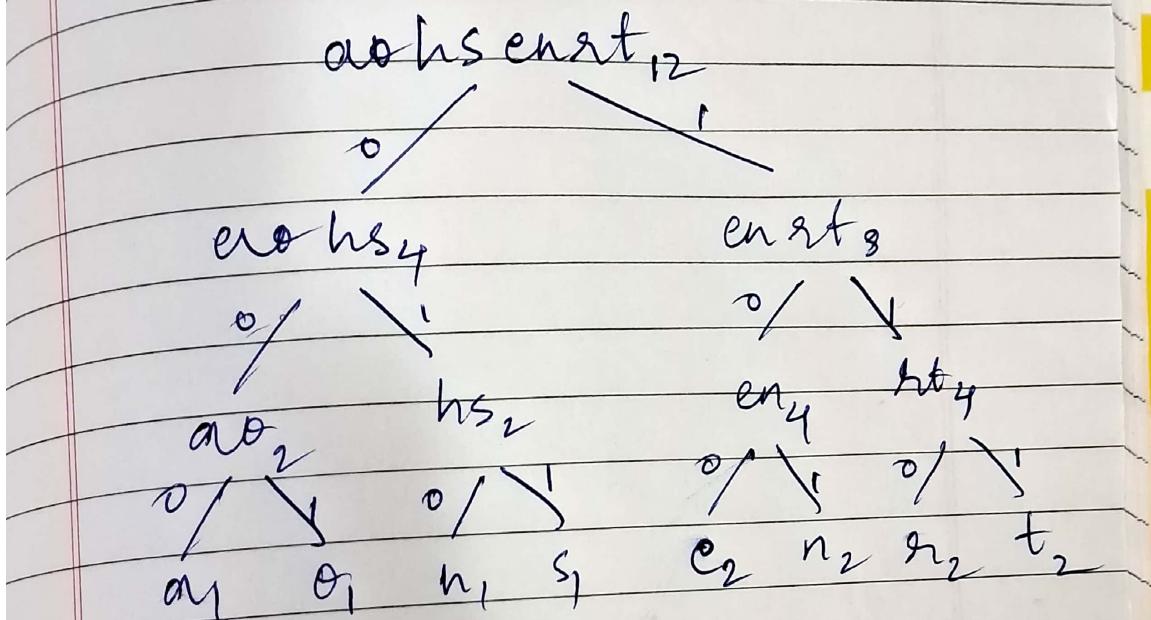
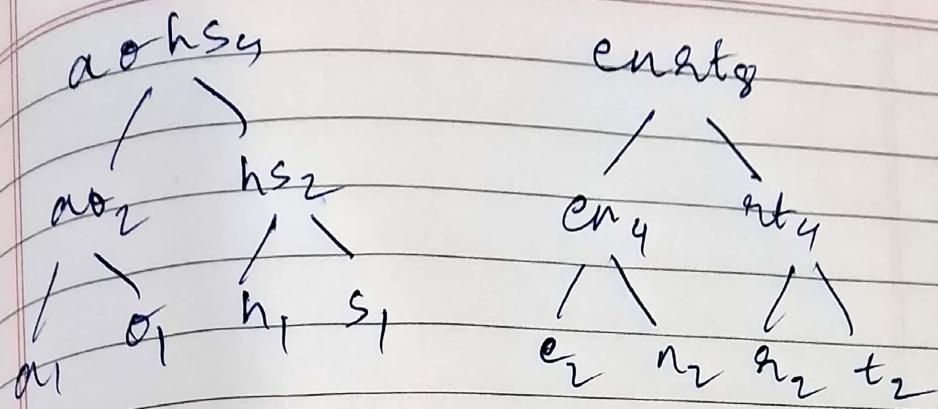
$h_1 \quad s_1 \quad a\theta_2 \quad e_2 \quad n_2 \quad r_2 \quad t_2$   
           /    \  
 $a_1 \quad \theta_1$

$a\theta_2 \quad hs_2 \quad e_2 \quad n_2 \quad r_2 \quad t_2$   
   /    \ /    \  
 $a_1 \quad \theta_1 \quad h_1 \quad s_1$

$e_2 \quad n_2 \quad r_2 \quad t_2 \quad a\theta hs_4$   
           /    \  
 $a\theta_2 \quad hs_2$   
           /    \  
 $a_1 \quad \theta_1 \quad h_1 \quad s_1$

$\theta_2 t_2 \quad cn_2 \quad a\theta hs_4$   
           /    \  
 $e_2 \quad n_2 \quad a\theta_2 \quad hs_2$   
           /    \ /    \  
 $a_1 \quad \theta_1 \quad h_1 \quad s_1$

$en_4 \quad r_2 t_4 \quad a\theta hs_4$   
   /    \ /    \  
 $e_2 \quad n_2 \quad r_2 \quad t_2 \quad a\theta_2 \quad hs_2$   
           /    \ /    \  
 $a_1 \quad \theta_1 \quad m_3$



a	1	000
e	2	100
n	2	101
o	1	001
r	2	110
t	2	111
h	1	010
s	1	011

build tree:

while len(heap) > 1:

f1, left = heapq.pop(heap)

f2, right = heapq.pop(heap)

merged = [f1 + f2, [left, right]]

heapq.heappush(heap, merged)

Generate codes:

def gen(node, prefix, codes):

if isinstance(node, str):

codes[node] = prefix

else:

gen(node[0], prefix + '0', codes)

gen(node[1], prefix + '1', codes)

return codes

Decode str:

def decode(s, codes):

rev\_codes = {code: c for c, code in  
codes.items()}

decoded = ""

curr = "

for bit in s:

curr += bit

if curr in rev\_codes:

decoded += rev\_codes[curr]

curr = "

return decoded

100

Decoding, transcode

11 111 1 101 100

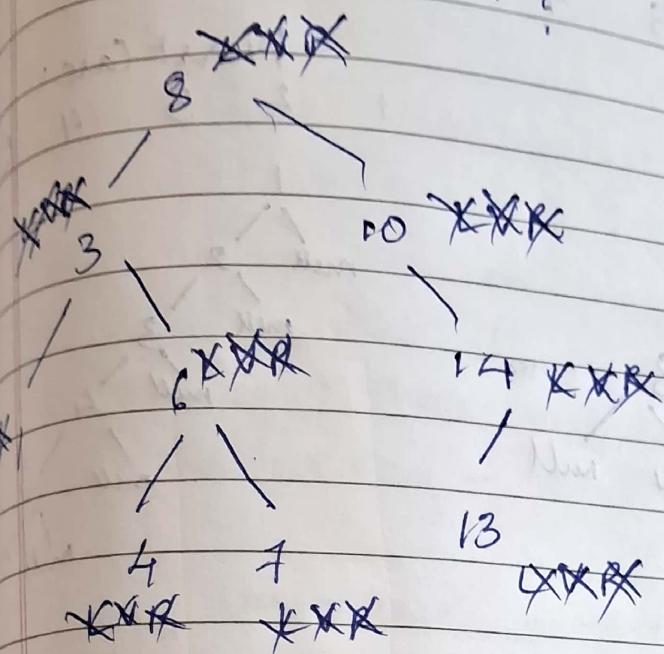
s t o n e

# BST - Binary search tree

classmate  
Date \_\_\_\_\_  
Page \_\_\_\_\_

19

Is tree a BST?



$N \rightarrow$  no. of nodes

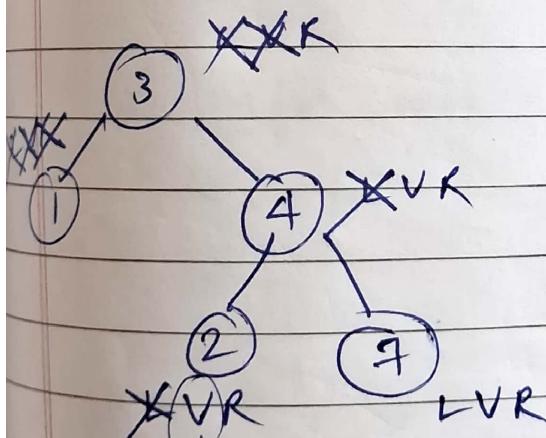
isBst(node, temp)  
if node:

    isBst(node)  
    if left:  
        isValid(node)  
    if right:  
        isValid(node)  
    return (isValid & temp)

continue if:  
✓ node.val > temp

temp ~~0~~ 1 3 4 6 7 8 10 13 14

we came back to root, all  
conditions we passed  $\therefore$  it is a BST  
takes  $= N$  steps



temp 0  
|  
3

takes

here  $2 > 3$  is false, condition

fails  $\therefore$  It is not a BST

takes  $< N$  steps

$\therefore$  Time complexity =  $O(N)$

# Huffman Tree Construction

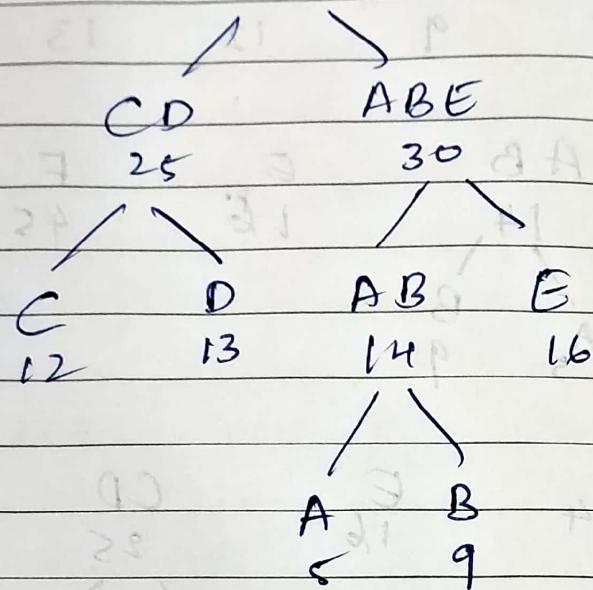
A	B	C	D	E	F
5	9	12	13	16	45

D	AB	E	F
13	14	16	45
A	B		
5	9		

AB	E	CD	F
14	16	25	45
A		C	D
5		12	13

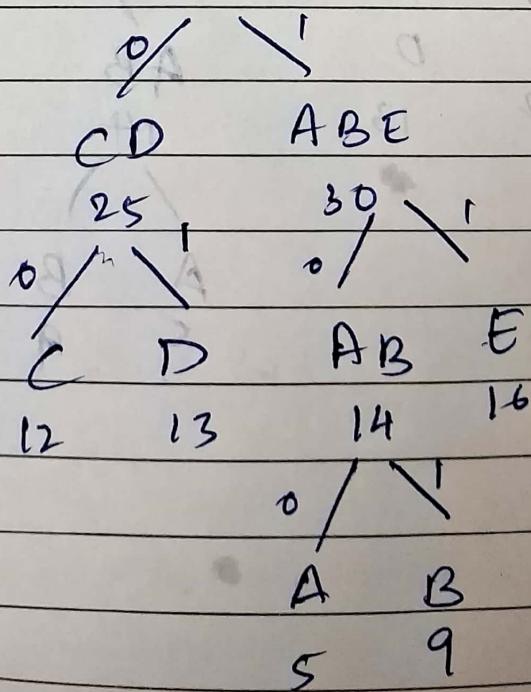
CD	ABE	F
25	30	45
C	A B E	
12	13	
A	B	
5	9	

F CDABE  
45 55



F CDABE

100  
0 / \ 1  
F CDABE  
45 55



CLASSMATE  
Date \_\_\_\_\_  
Page \_\_\_\_\_

Symbol	Freq	Code	len	Total bits
A	5	1100	4	
B	9	1101	4	20
C	12	100	4	36
D	13	101	3	36
E	16	111	3	39
F	45	0	1	48
$\Sigma$	100			45
			$\Sigma$	224

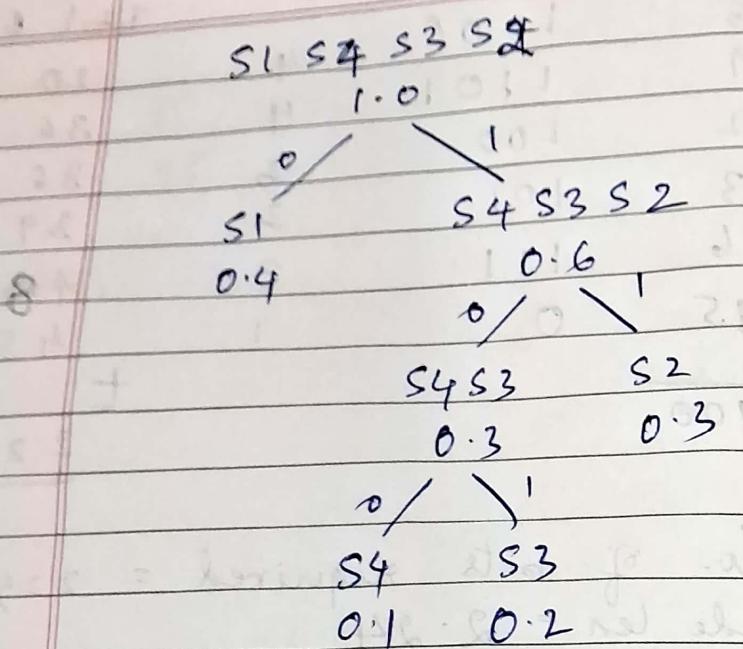
Total no. of bits required = 224  
 Avg. Code len =  $2 \cdot 24$

## 2) Huffman Encoding Efficiency

S4	S3	S2	S1
0.1	0.2	0.3	0.4

S4 S3	S2	S1
0.3	0.3	0.4
S4 S3	S2	S1
0.1	0.2	0.2

S1	S4 S3 S2	S1
0.4	0.6	0.4
S4 S3	S2	S1
0.3	0.3	0.3
S4 S3	S2	S1
0.1	0.2	0.2



Symbol	probability	encoding	len	total bits
S1	0.4	0	1	0.4
S2	0.3	11	2	0.6
S3	0.2	101	3	0.6
S4	0.1	100	3	0.3
			+ 1	1.9

$$\text{code length} = \sum \text{probability} \times \text{len.}$$

$$\begin{aligned} \text{avg} &= 0.4 \times 1 + 0.3 \times 2 + 0.2 \times 3 + 0.1 \times 3 \\ \text{code} &= 1.9 \\ \text{length} & \end{aligned}$$

$$\text{entropy} = - \sum \text{prob} \times \log_2(\text{prob})$$

$$= - (0.4 \times \log_2 0.4 + 0.3 \times \log_2 0.3)$$

$$+ 0.2 \times \log_2 0.2 + 0.1 \times \log_2 0.1$$

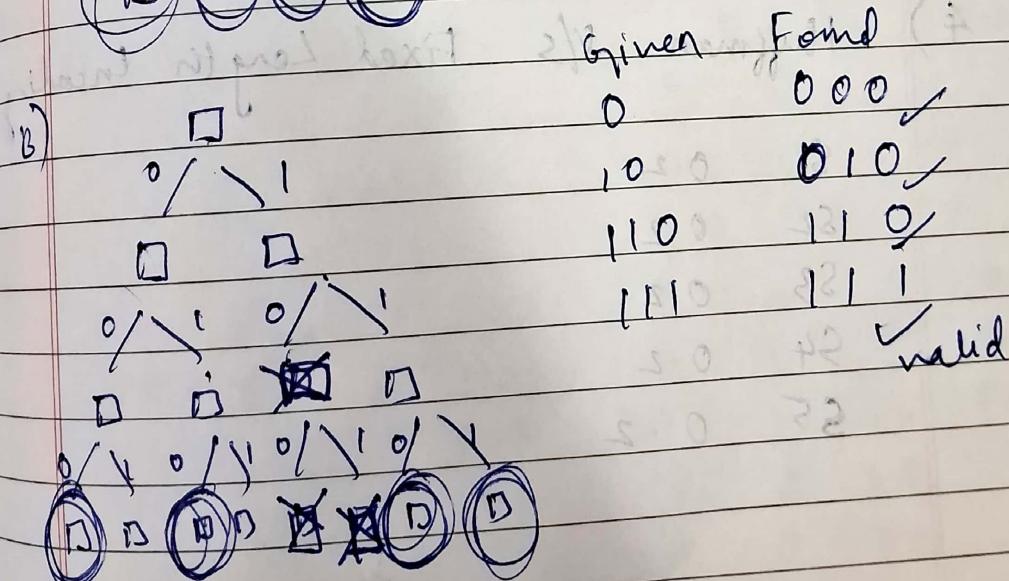
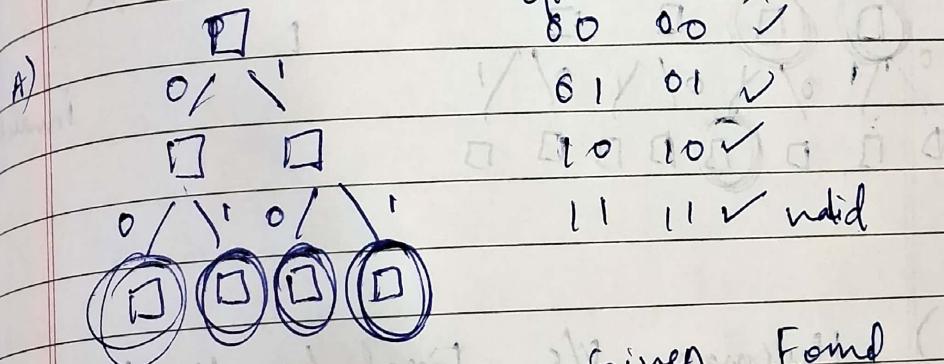
$$\text{entropy} = -1.8464 \text{ bits}$$

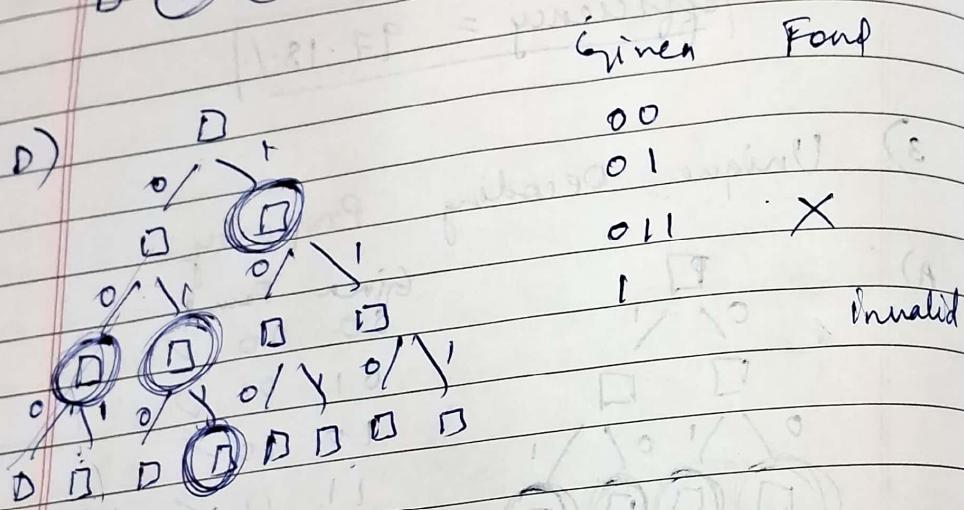
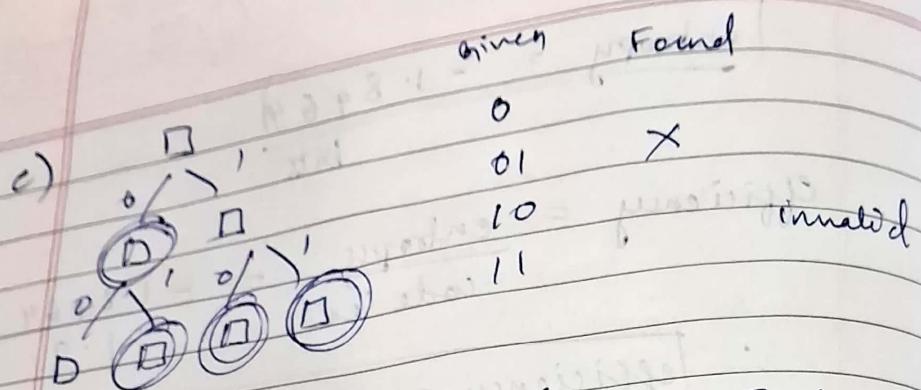
$$\text{efficiency} = \frac{\text{entropy}}{\text{code len}} = \frac{-1.8464}{1.9}$$

$$\therefore \text{efficiency} = 97.18\%$$

### 3) Unique Decoding Property

Given Found





4) Huffman v/s Fixed Length Encoding

S1 0.2

S2 0.21

S3 0.21

S4 0.2

S5 0.2

S1 S2 S3 S4 S5

0.2 0.2 0.2 0.2 0.2

$$\begin{array}{ccccc}
 & S_4 & S_5 & S_1 S_2 & \\
 S_3 & 0.2 & 0.2 & 0.4 & \\
 0.2 & & & / \nearrow & \\
 & & & S_1 & S_2 \\
 & & & 0.2 & 0.2
 \end{array}$$

$$\begin{array}{ccccc}
 & S_5 & S_1 S_2 & S_3 S_4 & \\
 S_3 & 0.2 & 0.4 & 0.4 & \\
 0.2 & & & / \nearrow & \\
 & & & S_1 & S_2 \\
 & & & 0.2 & 0.2
 \end{array}$$

$$\begin{array}{ccccc}
 & S_5 S_1 S_2 & & S_3 S_4 S_5 S_1 S_2 & \\
 S_3 S_4 & 0.6 & & 1.0 & \\
 0.4 & & & & \\
 0.2 & 0.5 & S_1 S_2 & 0.1 & \\
 0.2 & 0.2 & 0.4 & & \\
 S_3 S_4 & 0.2 & & S_3 S_4 & S_5 S_1 S_2 \\
 0.2 & & & 0.4 & 0.6 \\
 & & & / \nearrow & / \nearrow \\
 & & & S_1 & S_2 \\
 & & & 0.2 & 0.2
 \end{array}$$

	prob	len	prob	len	prob	len
S1	0.2	100	100	3	0.6	S1 S2
S2	0.2	111	111	3	0.6	0.2 0.2
S3	0.2	000	000	2	0.6	0.2 0.2
S4	0.2	011	111	2	0.4	0.2 0.2
S5	0.2	10	10	2	0.4	

avg. code len =  $\sum \text{prob} \times \text{len}$  =  $0.6 + 0.6 + 0.2 + 0.4 + 0.4$   
 $= 2.4$

1) Huffman Encoding

$$\begin{aligned} &= 2 \cdot 4 \times 5 \\ &= 12 \text{ bits} \end{aligned}$$

Fixed len 5 chars  
 $\log_2 5 \approx 2.32$

∴ 3 bits are req to encode

S1 000

S2 001

S3 010

S4 011

S5 100

compression Ratio  
 $= \frac{15}{12} = 1.25$

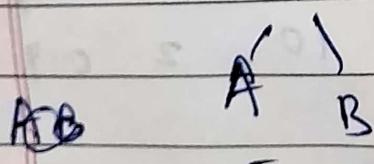
2) Fixed Len Encoding

$$\begin{aligned} &= 3 \times 5 \\ &= 15 \text{ bits} \end{aligned}$$

### 5) Huffman Tree Modification

A	B	C	D	E	G	F
5	9	12	13	16	20	45

C	D	AB	E	G	F
12	13	14	16	20	45



5 9

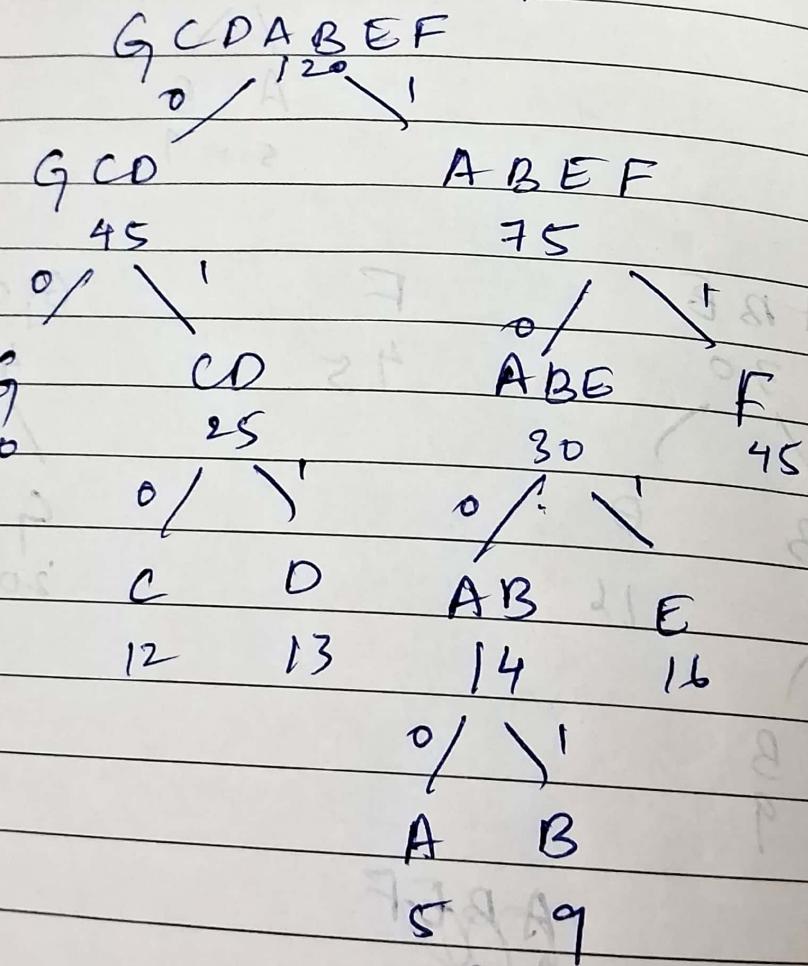
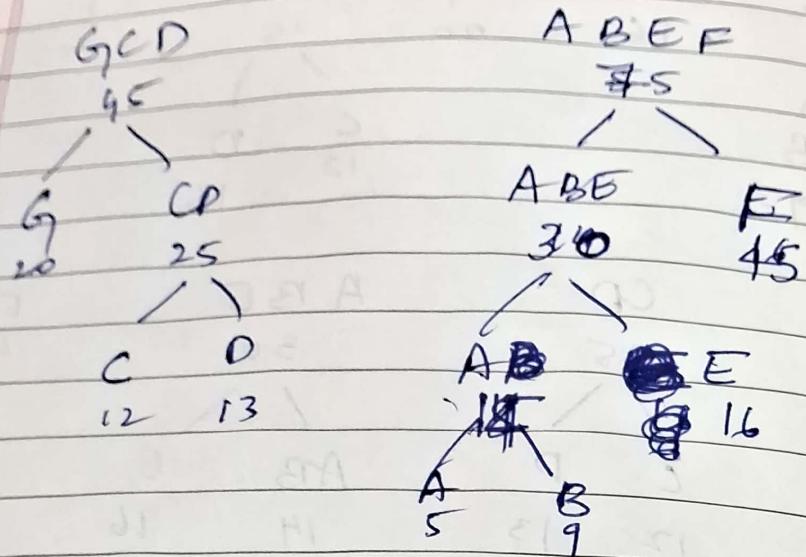
AB E G CD F  
14 16 20 25 45  
A B C D  
5 9 12 13

G CP ABE F  
20 25 30 45  
C D AB E  
12 13 14 16

ABE F GCD  
30 45 45

AB E G CD  
14 16 20 25  
A B C D  
5 9 12 13

ABEF  
ABCF



	Symbol	Freq	Code	len	Total Bits
0416	A	5	1000	4	20
0750	B	9	1001	4	36
1000	C	12	010	3	36
1083	D	13	011	3	39
1333	E	16	101	3	48
1666	F	20	00	2	40
3750	F	45	11	2	90
		<u>120</u>			<u>+ 309</u>

$$\text{avg code len} = \sum \text{prob} \times \text{len} = \sum \frac{\text{freq}}{\text{freq}} \times \text{len}$$

$$= 0.0416 \times 4 = [2.575]$$

$$+ 0.0750 \times 4$$

$$+ 0.1000 \times 3$$

$$+ 0.1083 \times 3$$

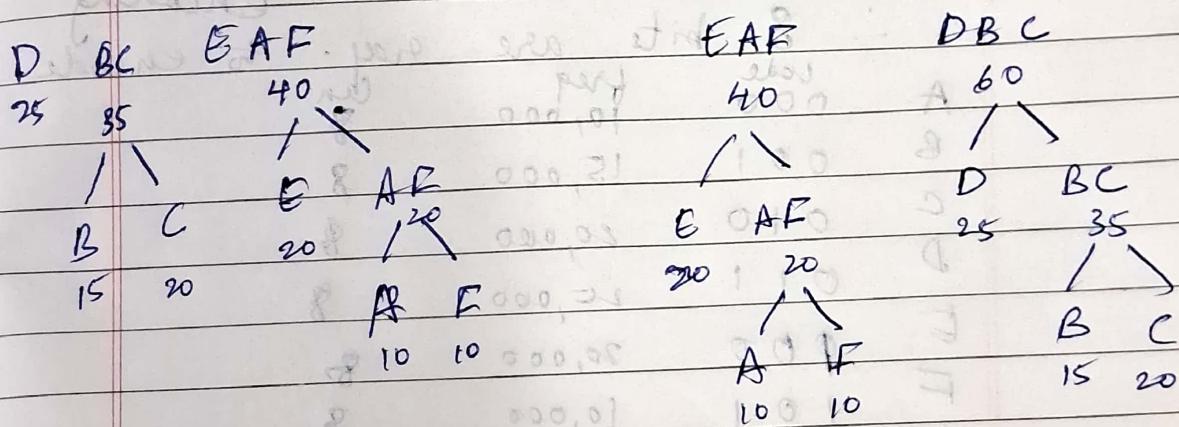
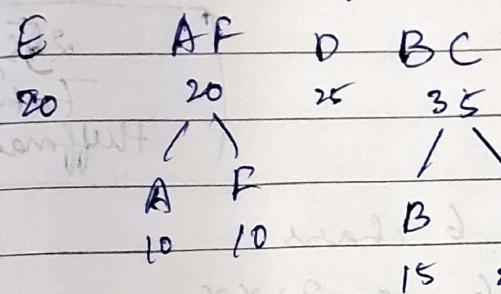
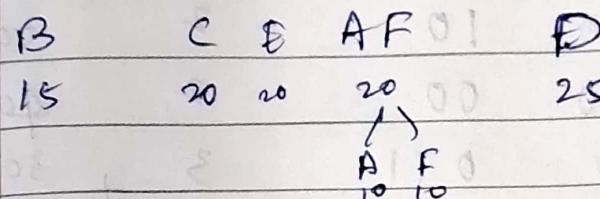
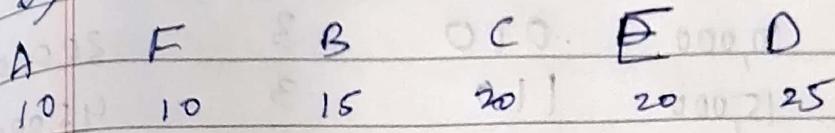
$$+ 0.1333 \times 3$$

$$+ 0.1666 \times 2$$

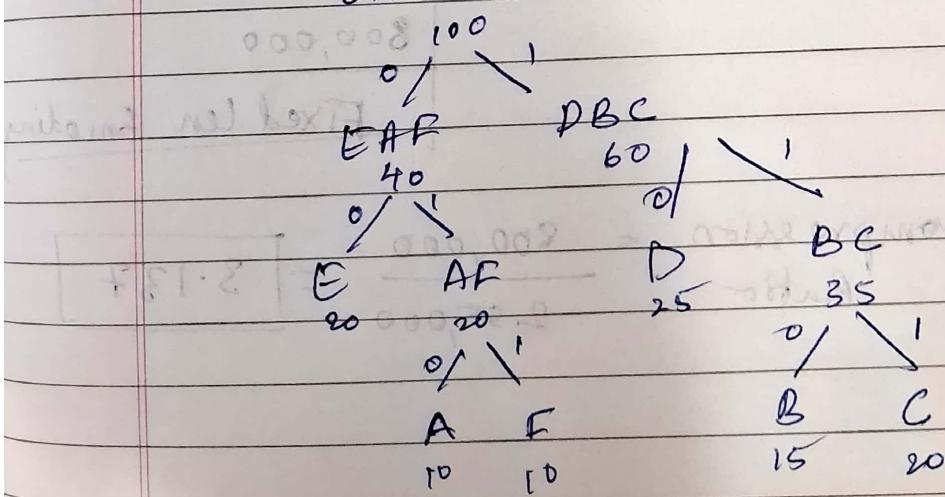
$$+ 0.3750 \times 2$$

avg code len

## 6) - compression Ratio Calculation



EAF DBC



symbol	freq	code	len	total bits
A	10,000	010	3	
B	15,000	110	3	30,000
C	20,000	111	3	45,000
D	25,000	10	2	60,000
E	20,000	00	2	50,000
F	10,000	011	3	40,000
	+ 100,000			30,000
				255,000

total bits  
Huffman Encoding

Fixed Len : 6 chars

$$\log_2 6 \approx 2.6$$

But we have 8 bit encoding  
∴ 8 bits are reqd. to encode

code	freq	len	total bits
000	10,000	8	
001	15,000	8	
010	20,000	8	
011	25,000	8	
000	20,000	8	
101	10,000	8	
	+ 100,000		800,000

Fixed Len Encoding

$$\text{Compression Ratio} = \frac{800,000}{255,000} = 3.137$$