# GRCResponder

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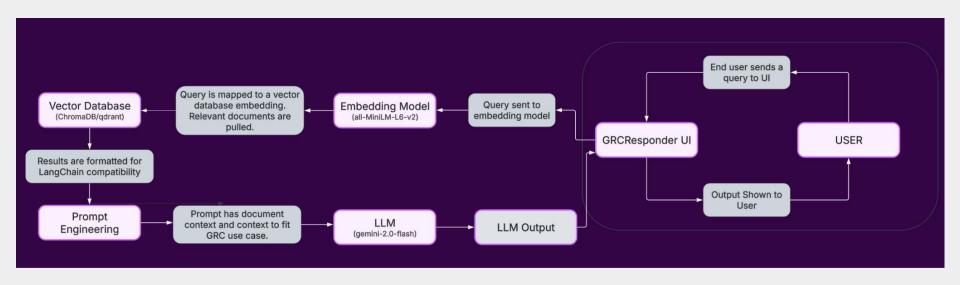
### accenture

### Current Timeline...

- Week 6 7: Testing & Refine performance
  - Polish web app based on user testing
  - Begin documentation/report
  - Host on UCI Server (Pending TA + Professor Guidance)
  - o Deliverables: Web app link, report
- Week 8 9: Wrap up any last-minute changes or performance updates



# From Query to Response...







## Backend Development

#### **Threading**

- First large insertion test
  - ~100 proceedings added
  - ~500 associated documents

#### **Next Steps**

- Before scaling up documents need to fine tune:
  - Batch size
  - Thread count
  - Resource allocation
  - Metadata parameters





### Retrieval

#### **Advanced Retrieval Methods**

- Hyde retrieval + Cross Encoder reranking
  - Overall improvement in a relevance score and usage score using Cross Encoder retrieval
- Modifying LLM instructions to accept a wider range of queries
  - Currently encountering inconsistent behavior in the LLM pipeline where certain queries are prematurely rejected without attempting retrieval.



# **LLM Updates**

#### **Current Status:**

- Implemented chat history
- Separated metric results by difficulty

#### **Ongoing Work:**

Improve retrieval and context usage

#### Preliminary Metrics w/ K=8:

Metrics by difficulty: Easy (30 questions):

Relevance: 2.13/5
Usage: 2.00/5
Accuracy: 0.90/5
Medium (18 questions):
Relevance: 1.67/5

Usage: 1.67/5 Accuracy: 1.94/5

Hard (12 questions):

Relevance: 2.33/5 Usage: 2.08/5 Accuracy: 2.50/5



## LLM Updates

#### Sample Responses

Ouerv: "What information do vou have on PG&E from vour retrieval"

GRC Assistant: I have information regarding PG&E from the data I retrieved, specifically from the 2023 General Rate Case (GRC) proceeding (A.21-06-021). This includes details about PG&E's:

- \* \*\*Short-Term Incentive Plan (STIP):\*\* ... (PG&E GRC A.21-06-021).
- \* \*\*Employee Recognition Program:\*\* ... (PG&E GRC A.21-06-021).
- \* \*\*Undergrounding of Distribution Assets:\*\* ... (PG&E GRC A.21-06-021).
- \* \*\*General Rate Case (GRC) Application:\*\* ... (PG&E GRC A.21-06-021).
- \* \*\*Non-Tariffed Products and Services:\*\* ... (PG&E GRC A.21-06-021).
  \* \*\*Ratepayer Benefits from Transactions:\*\* ... (PG&E GRC A.21-06-021).

\* \*\*Electric Vehicle (EV) Purchases:\*\* PG&E plans to electrify vehicles at the end of their useful life, leveraging existing planned funding to lower the overall costs of replacing gas-powered vehicles with electric vehicles (PG&E GRC A.21-06-021, Reply Brief at 553-554). PG&E plans to purchase sport utility vehicles and halfton pickup trucks in 2023 and equip certain aerial bucket trucks with plug-in Jobsite Energy Management Systems to

Would you like me to explore any of these aspects in greater depth or provide information on other topics related to PG&E's GRC?

Processing time: 7.84 seconds

eliminate engine idling (PG&E GRC A.21-06-021).

QUERY: "I am interested learning more about the Non-Tariffed Products and Services"

GRC Assistant: I can certainly provide more details regarding PG&E's Non-Tariffed Products and Services (NTP&S) as discussed in the 2023 General Rate Case (GRC) proceeding (A.21-06-021).

\*\*Overview of PG&E's Non-Tariffed Products and Services\*\*

PG&E's Non-Tariffed Products and Services (NTP&S) program is designed to generate incremental revenues by marketing products and services to third parties, primarily using underutilized PG&E assets or capacity (PG&E GRC A.21-06-021, Ex-06 at 2-17). This initiative aligns with the Affiliate Transaction Rules, specifically Rule VII, established by the Commission in D.06-12-029 (PG&E GRC A.21-06-021).

\*\*Key Aspects of the NTP&S Program:\*\*

- \* \*\*Types of Transactions:\*\* ...
- \* \*\*Revenue and Expense Forecasts:\*\* ...
- \* \*\*Ratepayer Benefits:\*\* ...
  \* \*\*Shareholder Involvement:\*\* ...

\*\*Concerns and Lack of Transparency:\*\*

- \* \*\*Limited Information:\*\* ...
- \* \*\*Unclear Cost of Service Reduction:\*\* ...
- \* \*\*Difficulty Discerning Financial Support:\*\* ...
  \* \*\*Lack of Evidence:\*\* ...
- \*\*Regulatory Compliance:\*\*

PG&E states that it offers its Non-Tariffed Products and Services consistent with the Affiliate Transaction Rules, Rule VII, adopted by the Commission in D.06-12-029 (PG&E GRC A.21-06-021).

Would you like me to explore any specific aspect of PG&E's Non-Tariffed Products and Services in more detail, such as the Affiliate Transaction Rules, the financial aspects, or the concerns raised during the GRC proceeding?

Processing time: 5.36 seconds

QUERY: "What was my first question?"

GRC Assistant: Your first question was: "What information do you have on PG&E from your retrieval"

Processing time: 0.52 seconds





# Research Updates

#### Journal: Expert Systems with Applications

- Align with the scope of dealing with design, development, testing, and implementation of expert and intelligent systems
- Subscription route -> no fee

#### Current Status

- Have paper outline with section headings drafted and a skeleton
- Incorporate class process report to paper
- Research related papers and studies for literature review
- Research more into common experimental evaluation methods
  - Precision@k, latency measurements, SUS scoring



# Questions?

