24w_assignment_01_report

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Course	Combinatorial Algorithms
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Assignment	01
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0.1 Question 1. Permutations: Lexicographic Order

To implement in Python the functions ranking, unranking and successor for permutations in lexicographic, it is necessary to implement the functions dec2fac and fac2dec at the first hand.

For an n-digit number in base factorial, say $f_{n-1} \dots f_2 f_1 f_{0(!)}$, it is represented in the following code as a list-type vairable fac_num in python, such that for all $\mathbf{i} \in \{0, 1, 2, \dots, n-1\}$, fac_num[n-1-i] = f_i .

```
[1]: def factorial(n: int) -> int:
         if n == 0:
             return 1
         else:
             prod = 1
             i = 1
             while i <= n:
                 prod = prod * i
                 i = i + 1
             return prod
     def dec2fac(dec_num: int, n: int) -> list:
         Transform a decimal number into an n-digit factorial base number.
         111
         fac_num = [0] * n
         q, r, i = dec_num, 0, 1
         while q > 0:
             q, r = q // i, q \% i
             fac_num[i-1] = r
```

```
i = i + 1
    fac_num.reverse() # adapt to the way base-factorial number is represented
    return fac_num
def fac2dec(fac_num_: list, n: int) -> list:
    Transform an n-digit number in factorial base into a decimal number.
    fac_num = fac_num_[:] # we do not want to change arg. fac_num_
                        # adapt to the way base-factorial number is_
    fac num.reverse()
 \rightarrowrepresented
    dec_num = 0
    prod = 1
    i = 1 # The first element in fac num is always 0, so we begin with i = 1.
        dec_num = dec_num + fac_num[i] * prod
        prod = (prod + 1) * prod
        i = i + 1
    return dec num
```

```
[2]: n = 4
    n_fac = factorial(n)
    for i in range(n_fac):
        fac_num = dec2fac(i, n)
        dec_num = fac2dec(fac_num, n)
        print(f'(i = {i:2}) In factorial: {fac_num} , In decimal: {dec_num:2}')
    (i = 0) In factorial: [0, 0, 0, 0],
                                          In decimal:
    (i = 1) In factorial: [0, 0, 1, 0], In decimal:
                                                      1
    (i = 2) In factorial: [0, 1, 0, 0],
                                          In decimal:
    (i = 3) In factorial: [0, 1, 1, 0],
                                          In decimal:
    (i = 4) In factorial: [0, 2, 0, 0],
                                          In decimal:
    (i = 5) In factorial: [0, 2, 1, 0],
                                         In decimal:
    (i = 6) In factorial: [1, 0, 0, 0],
                                          In decimal:
    (i = 7) In factorial: [1, 0, 1, 0],
                                          In decimal:
    (i = 8) In factorial: [1, 1, 0, 0],
                                          In decimal:
    (i = 9) In factorial: [1, 1, 1, 0],
                                          In decimal:
    (i = 10) In factorial: [1, 2, 0, 0],
                                          In decimal: 10
    (i = 11) In factorial: [1, 2, 1, 0],
                                          In decimal: 11
    (i = 12) In factorial: [2, 0, 0, 0], In decimal: 12
    (i = 13) In factorial: [2, 0, 1, 0], In decimal: 13
    (i = 14) In factorial: [2, 1, 0, 0] , In decimal: 14
    (i = 15) In factorial: [2, 1, 1, 0], In decimal: 15
    (i = 16) In factorial: [2, 2, 0, 0], In decimal: 16
    (i = 17) In factorial: [2, 2, 1, 0], In decimal: 17
```

```
(i = 18) In factorial: [3, 0, 0, 0], In decimal: 18
(i = 19) In factorial: [3, 0, 1, 0], In decimal: 19
(i = 20) In factorial: [3, 1, 0, 0], In decimal: 20
(i = 21) In factorial: [3, 1, 1, 0], In decimal: 21
(i = 22) In factorial: [3, 2, 0, 0], In decimal: 22
(i = 23) In factorial: [3, 2, 1, 0], In decimal: 23
```

To implement the ranking and unranking algorithm, it is necessary to implement algorithms to transform from Lehmer codes to permutations and vice versa, respectively.

```
[3]: def permu2lehmer(permu: list) -> list:
         111
         Transform a permutaiton into its lehmer code.
         n = len(permu)
         lehmer = [0] * n
         for i in range(n):
             curr = 0
             for j in range(i, n):
                 if permu[j] < permu[i]:</pre>
                     curr += 1
             lehmer[i] = curr
         return lehmer
     def lehmer2permu(lehmer: list) -> list:
         111
         Transform a lehmer code into the corresponding permtation.
         n = len(lehmer)
         num_list = [i for i in range(n)] # [0, 1, 2, ..., n-2, n-1]
         permu = [0] * n
         for i in range(n):
             curr = lehmer[i]
             permu[i] = num_list[curr]
             # eliminate the curr-th element from the num_list.
             if curr == 0:
                 num_list = num_list[curr+1:]
             elif curr == n-1:
                 num_list = num_list[:curr]
             else:
                 num_list = num_list[:curr] + num_list[curr+1:]
         return permu
```

```
[4]: # Case 01
permu_01 = [2, 0, 3, 1]
lehmer_01 = permu2lehmer(permu_01) # it should be [2, 0, 1, 0]
```

```
permu_01_ = lehmer2permu(lehmer_01)
print(f'permu: {permu_01} -> lehmer code: {lehmer_01} -> permu: {permu_01_}}')

# Case 02
permu_02 = [3, 0, 1, 2]
lehmer_02 = permu2lehmer(permu_02) # it should be [3, 0, 0, 0]
permu_02_ = lehmer2permu(lehmer_02)
print(f'permu: {permu_02} -> lehmer code: {lehmer_02} -> permu: {permu_02_}}')

permu: [2, 0, 3, 1] -> lehmer code: [2, 0, 1, 0] -> permu: [2, 0, 3, 1]
permu: [3, 0, 1, 2] -> lehmer code: [3, 0, 0, 0] -> permu: [3, 0, 1, 2]
```

Now, the ranking and unranking algorithms can be implemented as followings:

```
\lceil 6 \rceil : \mid \mathbf{n} = 4
     n_fac = factorial(n)
     for i in range(n_fac):
         curr_permu = permu_lex_unrank(i, n)
         curr_rank = permu_lex_rank(curr_permu, n)
         print(f'rank( {curr_permu} ) = {curr_rank:2}')
    rank([0, 1, 2, 3]) = 0
    rank([0, 1, 3, 2]) =
    rank([0, 2, 1, 3]) = 2
    rank([0, 2, 3, 1]) = 3
    rank([0, 3, 1, 2]) = 4
    rank([0, 3, 2, 1]) = 5
    rank([1, 0, 2, 3]) = 6
    rank([1, 0, 3, 2]) = 7
    rank([1, 2, 0, 3]) = 8
    rank([1, 2, 3, 0]) = 9
```

```
rank( [1, 3, 0, 2] ) = 10
rank( [1, 3, 2, 0] ) = 11
rank( [2, 0, 1, 3] ) = 12
rank( [2, 0, 3, 1] ) = 13
rank( [2, 1, 0, 3] ) = 14
rank( [2, 1, 3, 0] ) = 15
rank( [2, 3, 0, 1] ) = 16
rank( [2, 3, 1, 0] ) = 17
rank( [3, 0, 1, 2] ) = 18
rank( [3, 0, 2, 1] ) = 19
rank( [3, 1, 0, 2] ) = 20
rank( [3, 1, 2, 0] ) = 21
rank( [3, 2, 0, 1] ) = 22
rank( [3, 2, 1, 0] ) = 23
```

For the successor algorithm for permutation, we follow the following steps to implement the successor algorithm:

Given that $\pi: \{0, 1, \dots, n-1\} \to \{0, 1, \dots, n-1\}$ a permutation over $\{0, 1, \dots, n-2, n-1\}$,

- 1. Find the largest $i \in \{0, 1, ..., n-1\}$ such that $\pi[i] < \pi[i+1]$. i D.N.E. $\iff \pi$ is the last permutation.
- 2. Find the largest $j \in \{0, 1, ..., n-1\}$ such that $\pi[k] < \pi[i] < \pi[j], \forall k \in \{j+1, ..., n-1\}$ (i.e. j is the position of the last element among $\pi[i+1], ..., \pi[n-1]$ that is greater than $\pi[i]$).
- 3. Interchange $\pi[i]$ and $\pi[j]$.
- 4. Reverse the sublist $[\pi[i+1], \pi[i+2], \dots, \pi[n]]$.

```
[7]: def permu_lex_successor(permu_: list):
         permu = permu_[:] # we do not want to change arg. permu_
         n = len(permu)
         Step 1: Find the largest i such that [i] < [i+1].
         i = -1
         k = 0
         while k < n - 1:
             if permu[k] < permu[k+1]:</pre>
                 i = k
             k = k + 1
         if i == -1: # such i D.N.E., that is, permu is the last permutation
             return [x for x in range(n)]
         Step 2: Find the largest j such that [j] > [i].
         111
         j = i + 1
         1 = i + 1
         while 1 < n:
```

Now, we check the correctness of ranking, unranking and successor algorithms.

```
[8]: N = 4
N_fac = factorial(N)
for r in range(N_fac):
    permu = permu_lex_unrank(r, N)
    rank = permu_lex_rank(permu, N)
    succp = permu_lex_successor(permu)
    if succp == permu_lex_unrank( (permu_lex_rank(permu, N) + 1) % N_fac, N ):
        flag = True
    else:
        flag = False
        print(f'rank( {permu} ) = {rank:3}, successor( {permu} ) = {succp}, \( \subseteq \)
    \( \subseteq \{ flag}' \)

\( \subseteq \{ flag}' \)
```

```
rank([0, 1, 2, 3]) =
                       0, successor([0, 1, 2, 3]) = [0, 1, 3, 2], True
rank([0, 1, 3, 2]) =
                       1, successor([0, 1, 3, 2]) = [0, 2, 1, 3], True
rank([0, 2, 1, 3]) =
                       2, successor([0, 2, 1, 3]) = [0, 2, 3, 1], True
rank([0, 2, 3, 1]) =
                       3, successor([0, 2, 3, 1]) = [0, 3, 1, 2], True
rank([0, 3, 1, 2]) =
                       4, successor([0, 3, 1, 2]) = [0, 3, 2, 1], True
rank([0, 3, 2, 1]) =
                       5, successor([0, 3, 2, 1]) = [1, 0, 2, 3], True
rank([1, 0, 2, 3]) =
                       6, successor([1, 0, 2, 3]) = [1, 0, 3, 2], True
rank([1, 0, 3, 2]) =
                       7, successor([1, 0, 3, 2]) = [1, 2, 0, 3], True
rank([1, 2, 0, 3]) =
                       8,
                           successor([1, 2, 0, 3]) = [1, 2, 3, 0], True
rank([1, 2, 3, 0]) =
                       9,
                           successor([1, 2, 3, 0]) = [1, 3, 0, 2], True
rank([1, 3, 0, 2]) =
                      10, successor([1, 3, 0, 2]) = [1, 3, 2, 0], True
rank([1, 3, 2, 0]) =
                      11, successor([1, 3, 2, 0]) = [2, 0, 1, 3], True
rank([2, 0, 1, 3]) = 12, successor([2, 0, 1, 3]) = [2, 0, 3, 1], True
rank([2, 0, 3, 1]) = 13, successor([2, 0, 3, 1]) = [2, 1, 0, 3], True
rank([2, 1, 0, 3]) = 14, successor([2, 1, 0, 3]) = [2, 1, 3, 0], True
rank([2, 1, 3, 0]) = 15, successor([2, 1, 3, 0]) = [2, 3, 0, 1], True
```

```
rank( [2, 3, 0, 1] ) = 16, successor( [2, 3, 0, 1] ) = [2, 3, 1, 0], True rank( [2, 3, 1, 0] ) = 17, successor( [2, 3, 1, 0] ) = [3, 0, 1, 2], True rank( [3, 0, 1, 2] ) = 18, successor( [3, 0, 1, 2] ) = [3, 0, 2, 1], True rank( [3, 0, 2, 1] ) = 19, successor( [3, 0, 2, 1] ) = [3, 1, 0, 2], True rank( [3, 1, 0, 2] ) = 20, successor( [3, 1, 0, 2] ) = [3, 1, 2, 0], True rank( [3, 1, 2, 0] ) = 21, successor( [3, 1, 2, 0] ) = [3, 2, 0, 1], True rank( [3, 2, 0, 1] ) = 22, successor( [3, 2, 0, 1] ) = [3, 2, 1, 0], True rank( [3, 2, 1, 0] ) = 23, successor( [3, 2, 1, 0] ) = [0, 1, 2, 3], True
```

0.2 Question 2. Permutations: Minimal Change Order

It can be easily notices that, for any two different permutations π, π' over $[n] := \{0, 1, 2, \dots, n-1\}$, there are at least two positions $i_1, i_2 \in [n]$ such that $\pi[i_1] \neq \pi'[i_1]$ and $\pi[i_2] \neq \pi'[i_2]$.

The difference is minimal if the transposition is between two adjacent positions, i.e. $i_1 = i_2 + 1$ or vice versa.

Equivalently, we can say that, there exist an $i_0 \in \{1, 2, \dots, n-2, n-1\}$ such that $\forall j \in [n]$,

$$\pi'[j] = \left\{ \begin{array}{ll} \pi[i_0+1] \ , & j=i_0 \\ \pi[i_0] \ , & j=i_0+1 \\ \pi[j] \ , & j \neq i_0, i_0+1 \end{array} \right.$$

Next, we follow the steps below to implement the **Trotter-Johnson algorithm** for generating the list T^n of the permutations in \prod^n in the minimal change order.

The **Trotter-Johnson algorithm** is implemented recursively:

- 1. Base Case : $T^1 = [[1]]$
- 2. **Inductive Step**: Suppose given T^{n-1} the list of the permutations in \prod^{n-1} in the minimal order.
 - Create a new list T with the i-th n elements are n copies of T_i^{n-1} .
 - Every $t \in T$ is a k-th copy of some T_j^{n-1} , $j \in [n-1]$, $k \in [n]$. Let $b = j \mod 2$. Then, we replace $t \in T$ by the list obtained from inserting n in the |(1-b)*(n+1)-(k-b)|-th position of t.

```
i = 0
    while i < n:
        curr_T.append(1)
        i = i + 1

n_fac = factorial(n)
for i in range(n_fac):
    k = i % n + 1
    b = ( i // n ) % 2
    t = abs( (1-b) * n - (k-b) )
    curr_T[i] = curr_T[i][:t] + [n] + curr_T[i][t:]
return curr_T</pre>
```

Checking correctness:

```
[10]: N = 3
N_fac = factorial(N)
T_n = permu_trotterJohnson(N)
for i in range(N_fac):
    print(T_n[i])
```

[1, 2, 3]

[1, 3, 2]

[3, 1, 2]

[3, 2, 1]

[2, 3, 1]

[2, 1, 3]

Now, we turn our attention to the implementation of ranking algorithm for T^n .

Notice that, suppose given $\pi \in T^n$ such that $\pi[k] = n$, then it must be the case that

$$\pi[:(k-1)] + \pi[(k+1):] := \pi' \in T^{n-1}$$

That is to say, $\pi \in T^n$ can be obtained from inserting n into the k-th position of some $\pi' \in T^{n-1}$. This means we can obtain $\operatorname{rank}(\pi)$ recursively from the value $\operatorname{rank}(\pi')$ and the specific $k \in [n]$, i.e. the position in π such that $\pi[k] = n$.

Moreover, we have the following recursive formula: $\forall n \in \mathbb{N}$,

$$\operatorname{rank}(\pi,n) = \left\{ \begin{array}{ll} 0 & , \ n=1 \ (\ i.e. \ \pi = [1] \) \\ n \cdot \operatorname{rank}(\pi',n-1) + \epsilon & , \ n \geqslant 2 \end{array} \right.$$

where

$$\epsilon = \left\{ \begin{array}{l} n-k \quad , \ \mathrm{rank}(\pi',n-1) \ \mathrm{is \ even} \\ k-1 \quad , \ \mathrm{rank}(\pi',n-1) \ \mathrm{is \ odd} \end{array} \right.$$

```
[11]: def epsilon(r: int, n: int, k: int) -> int:
          Implementation of the calculation of epsilon.
          Arguments:
          r: rank(', n-1)
          n: the size of the permutation permu_
          k: the position in permu_ such that permu[k] = n
          111
          if r % 2 == 0:
              return n - k
          else:
              return k - 1
      def permu_colexi_rank(permu: list, n: int) -> int:
          111
          The implementation of ranking alogorithm of permutation over [n] = \{1, 2, ...
       \hookrightarrow ..., n}
          if n == 0:
              return 0
          else :
              k = permu.index(n)
              prev_r = permu_colexi_rank( permu[:k] + permu[k+1:], n - 1 )
              e = epsilon(prev_r, n, k+1) # k is obtained from python, which begins
       \hookrightarrow from 0.
              return n * prev_r + e
```

```
[12]: N = 3
    permutations_N = permu_trotterJohnson(N)
    for permu in permutations_N:
        r = permu_colexi_rank(permu, N)
        print(f'rank( {permu} ) = {r}')

rank( [1, 2, 3] ) = 0
    rank( [1, 3, 2] ) = 1
    rank( [3, 1, 2] ) = 2
```

```
rank( [3, 2, 1] ) = 3
rank( [2, 3, 1] ) = 4
rank( [2, 1, 3] ) = 5
```

Similarly, with the same idea, we implement unranking algorithm recursively.

It is an inverse procedure of ranking algorithm. So we formulate the following recursive formulas to generate the permutation of [n] with rank r.

$$\mathrm{unrank}(r,n) = \left\{ \begin{array}{ll} [1] & , \ n=1 \\ \mathrm{unrank}(r', \ n-1)[:k] + [n] + \ \mathrm{unrank}(r', \ n-1)[k:] & , \ n \geqslant 2 \end{array} \right.$$

where

 $r' = \lfloor \frac{r}{n} \rfloor$ is the rank of π' obtained from eliminateing n from π ,

$$\epsilon = r - n \cdot r'$$

and

$$k = \left\{ \begin{array}{ll} n - \epsilon \ , & r' \ \text{is even} \\ \epsilon + 1 \ , & r' \ \text{is odd} \end{array} \right.$$

```
[13]: def pos_k(r: int, e: int, n: int) -> int:
           Implementation of calculation of the position k, such that [k] = n
          Arguments:
           r: the rank of '
           111
           if r % 2 == 0:
               return n - e
           else:
               return e + 1
      def permu_colexi_unrank(r: int, n: int) -> int:
           111
           The implementation of unranking alogorithm of permutation over [n] = \{1, \dots, n\}
        \hookrightarrow 2, \ldots, n
           111
          if n == 1:
               return [1]
```

```
else:

prev_r = r // n
e = r - n * prev_r
k = pos_k(prev_r, e, n) - 1 # k is position in python list, which
begins from 0.
prev_permu = permu_colexi_unrank(prev_r, n-1)
return prev_permu[:k] + [n] + prev_permu[k:]
```

Checking correctness of ranking and unranking algorithm:

Finally, the implementation of successor algorithm:

```
[15]: def Parity(n, P):
          HHHH
          Calculate the parity of a permutation.
          a = [0] * n # Array to track visited elements.
          c = 0 # Counter for the number of cycles.
          # Iterate through each element in the permutation.
          for j in range(n):
              if a[j] == 0: # If this element is not visited yet.
                  c += 1 # Increment the cycle count.
                  a[j] = 1 # Mark it as visited.
                  i = i
                  # Traverse the cycle starting from this element.
                  while(P[i] != j + 1): # Continue until the cycle closes.
                      i = P[i] - 1 # Move to the next element in the cycle.
                      a[i] = 1 # Mark the element as visited.
          # Return the parity of the permutation.
```

```
return (n - c) % 2
def permu_colexi_successor(n, P):
    Compute the next permutation in using a modified version of the \sqcup
 \hookrightarrow Trotter-Johnson algorithm.
    st = 0 # Starting index for the permutation.
    Q = P[:] # Copy of the permutation to be used in calculations.
    done = False # Flaq to indicate if the next permutation is found.
    m = n # Length of the current sub-permutation being considered.
    while((m > 1) and (not done)):
        d = 0 # Find the position of the largest element in the current range.
        while (Q[d] != m): # Locate the position of 'm' in Q.
            d += 1
        # Temporarily remove m from Q by shifting elements to the left.
        for i in range(d, m - 1):
            Q[i] = Q[i + 1]
        # Check the parity of the resulting permutation.
        par = Parity(m - 1, Q)
        # Determine whether to swap or reduce m
        if(par == 1): # Odd parity case.
            if d == m - 1: # If 'm' is at the last position, reduce the size
 ⇔of the range.
            else: # Otherwise, swap 'm' with the next element to its right.
               temp = P[st + d]
                P[st + d] = P[st + d + 1]
                P[st + d + 1] = temp
                done = True # Mark the next permutation as found.
        else: # Even parity case.
            if (d == 0): # If 'm' is at the first position, reduce the size of
 ⇔the range.
                st += 1 # Update the starting index.
            else: # Otherwise, swap 'm' with the previous element.
                temp = P[st + d]
                P[st + d] = P[st + d - 1]
                P[st + d - 1] = temp
                done = True # Mark the next permutation as found.
        # Restore Q to its original state for the next iteration.
        Q = P[st:st + m]
```

```
if m == 1 and not done:
    # return 'undefined'
    return [x+1 for x in range(n)] # The input permutation is the last in
    →lexicographic order.
else:
    return P # Return the next permutation.
```

Checking the correctness of ranking, unranking and successor algorithms:

```
rank([1, 2, 3, 4]) =
                       0, successor([1, 2, 3, 4]) = [1, 2, 4, 3], True
rank([1, 2, 4, 3]) =
                       1,
                          successor([1, 2, 4, 3]) = [1, 4, 2, 3], True
rank([1, 4, 2, 3]) =
                       2, successor([1, 4, 2, 3]) = [4, 1, 2, 3], True
rank([4, 1, 2, 3]) =
                       3, successor([4, 1, 2, 3]) = [4, 1, 3, 2], True
rank([4, 1, 3, 2]) =
                       4, successor([4, 1, 3, 2]) = [1, 4, 3, 2], True
rank([1, 4, 3, 2]) =
                       5, successor([1, 4, 3, 2]) = [1, 3, 4, 2], True
rank([1, 3, 4, 2]) =
                       6, successor([1, 3, 4, 2]) = [1, 3, 2, 4], True
rank([1, 3, 2, 4]) =
                       7, successor([1, 3, 2, 4]) = [3, 1, 2, 4], True
rank([3, 1, 2, 4]) =
                       8,
                           successor([3, 1, 2, 4]) = [3, 1, 4, 2], True
rank([3, 1, 4, 2]) =
                       9, successor([3, 1, 4, 2]) = [3, 4, 1, 2], True
rank([3, 4, 1, 2]) =
                          successor([3, 4, 1, 2]) = [4, 3, 1, 2], True
                      10,
rank([4, 3, 1, 2]) =
                          successor([4, 3, 1, 2]) = [4, 3, 2, 1], True
                      11,
rank([4, 3, 2, 1]) =
                      12, successor([4, 3, 2, 1]) = [3, 4, 2, 1], True
                      13, successor([3, 4, 2, 1]) = [3, 2, 4, 1], True
rank([3, 4, 2, 1]) =
rank([3, 2, 4, 1]) = 14, successor([3, 2, 4, 1]) = [3, 2, 1, 4], True
rank([3, 2, 1, 4]) = 15, successor([3, 2, 1, 4]) = [2, 3, 1, 4], True
rank([2, 3, 1, 4]) = 16, successor([2, 3, 1, 4]) = [2, 3, 4, 1], True
rank([2, 3, 4, 1]) = 17, successor([2, 3, 4, 1]) = [2, 4, 3, 1], True
rank([2, 4, 3, 1]) = 18, successor([2, 4, 3, 1]) = [4, 2, 3, 1], True
rank([4, 2, 3, 1]) = 19, successor([4, 2, 3, 1]) = [4, 2, 1, 3], True
rank([4, 2, 1, 3]) = 20, successor([4, 2, 1, 3]) = [2, 4, 1, 3], True
rank([2, 4, 1, 3]) = 21, successor([2, 4, 1, 3]) = [2, 1, 4, 3], True
```

rank([2, 1, 4, 3]) = 22, successor([2, 1, 4, 3]) = [2, 1, 3, 4], True rank([2, 1, 3, 4]) = 23, successor([2, 1, 3, 4]) = [1, 2, 3, 4], True