

# A Dive into Computer Systems Research

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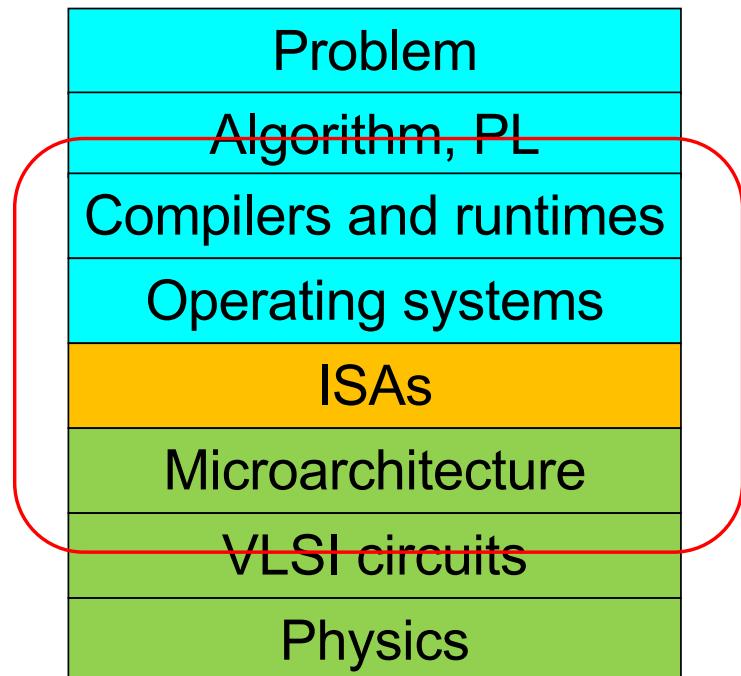
Australian  
National  
University

# What is considered systems research?

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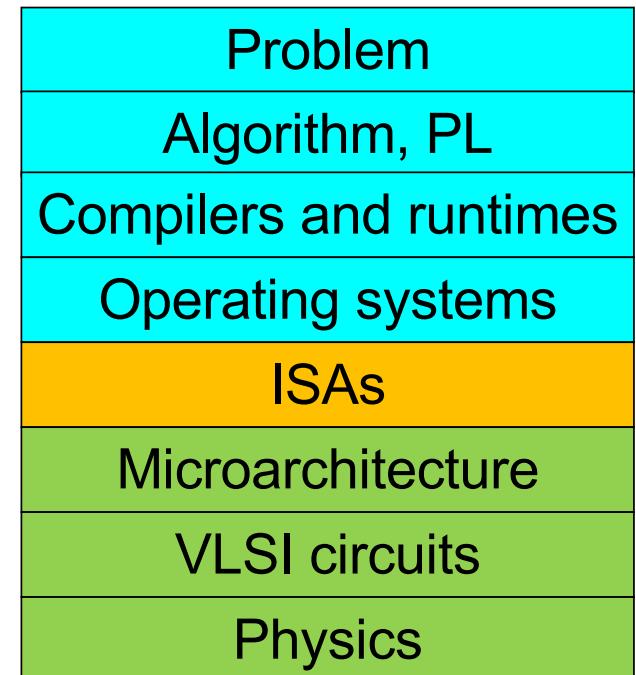
# The Transformation Hierarchy

- We use a **systematic transformation hierarchy** to solve complex problems
  - From English to movement of electrons
- The “**system of transformations**” is built to satisfy “**user constraints**”
  - Device size, cost, energy, reliability
- What is systems research?
  - How to enable the transformation?
  - **Qualified answer:** How best to enable an optimal design point in a complex space
  - Show by building a real system



# What is a computer system?

- Sequence of transformations
- Hardware + software
- Compute + storage
- CPU, memory, and disk → computer
- Network of computers → Datacenter
- Network of datacenters → Cloud
- Network of CPU and accelerators → system on a chip



# Two Historical Examples

- Two examples
  - Storage and file systems
  - Processor microarchitecture

# Fast File System (FFS)

- Unix OS is introduced. Ken Thompson wrote the first filesystem
- Simple and elegant (?) 

Superblock	Inodes	Data
------------	--------	------
- Unfortunately, performance was terrible
- Kirk McKusick measured it could utilize only 2% of disk bandwidth
- **Problem:** Filesystem was written as if the underlying device was a random access memory (like physical memory)
- **But, disk is not a random access device**
- It has mechanical components. Arm movement. Rotational disk
- Sequential accesses are faster than random access
- A group at Berkeley wrote the fast “disk-aware” filesystem
- **Key constraint:** Not enough details of the device are exposed to the system
- **Key realization:** Exploit device organization/physics whatever is known about it.  
“Keep related stuff together”



# Out of Order Execution

- **1960s and 70s:** It is established that the programming model of a Von Neuman machine is intuitive for the programmers
- And that such machines are practical to build on large scale
- **Problem:** One operation per clock cycle in program order (as specified by the Von Neuman model) is very restrictive
  - Need to concurrently execute many instructions in one clock cycle to gain higher performance
- **Solution:** (a marvel of human ingenuity)
  - **Key constraint:** Instructions have dependences, so how can one find conc.
  - **Key realization:** With some effort one can find independent insts. in programs
  - **Dynamic scheduling:** Fetch instruction in order, but execute instructions whenever their operands are ready ([dataflow machine with seq. model](#))
  - [Control Data](#) and [IBM](#) the early innovators
  - Improved over many decades (branch prediction, precise interrupts)

# Lessons

- **FFS was possible** because the team that built it realized that it is critical to look one layer below the OS abstraction layer
  - They realized early on that **device physics shapes the system**
  - They also realized the need for **good abstraction**, so they did not change **what was exposed** to the users of FFS
  - Modern file systems **still use the same file system API**
- **OOO was possible** because early systems researchers at CDC and IBM studied program behavior and program interaction with machines
  - **They were innovating at many layers:** **ISA, OS, microarchitecture, compilers, design, PL, algorithms, management**
  - In this specific instance, a different debate emerged. OOO in hardware is too complex. Why can't compiler do it? Compiler/uARCH both innovated.

# Computer Architecture Ideas

- Venues
  - ISCA, MICRO, ASPLOS, HPCA
- Not much in traditional OOO processor microarchitecture
- Memory systems: caching, coherence, consistency, multicore
- DRAM reliability
- Mitigating security vulnerabilities
- Processing in memory
- New storage technologies
- ML accelerators
- ML for systems

# Operating Systems Ideas

- Venues
  - ASPLOS, OSDI, SOSP
- True OS papers: Very hard to find
- Garbage collection
- Data-intensive systems
- NoSQL stores
- Persistent memory programming models
- Compute in NICs
- Computational storage

# Why should you consider it?

- Key enabler of new and “emerging” applications
  - Millisecond-scale real-time analytics over social media
- Broad applicability
  - 1% improvement in GPU throughput for ML
- Building systems is fun although “challenging”
- Lots of room to work at different abstraction layers
  - Same problem can have a variety of solutions: Compiler vs. managed runtime vs. OS vs. hardware
- Can help produce better algorithms, think new problems, move technology

# Ongoing Research

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# Motivation

- Lot of **pressure** on physical memory (DRAM)
- Technology is **not scaling** as fast as it used to
- But applications **demand** more memory
- **Key realization**
  - Data is expensive to cache and store for fast delivery
  - **Meta-data is more expensive**
  - Counter-intuitive. Why?



# Example 1: Search Engines

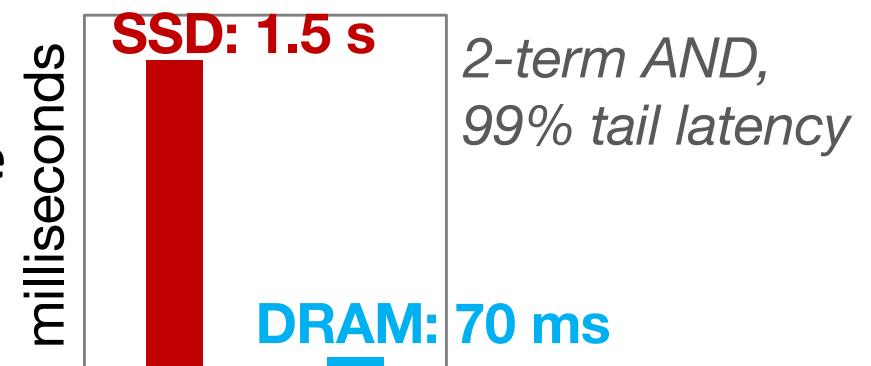
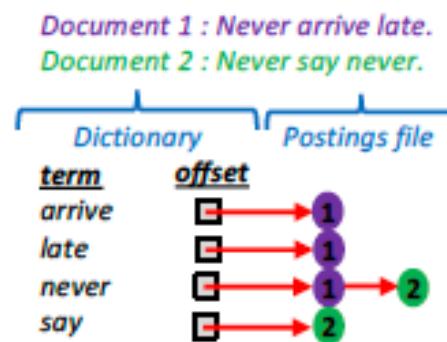
- Key data structure that enable fast search

- Inverted index

- Think of a massive hash table

- Every time we create a new website or tweet, something gets added to the hash table

- Hash table placement and query response time



# Example 2: ML Analytics

- Iterative computation until a condition is met
- Each iteration produces a transformation of a massive dataset
- **Two options**
  - Recompute the transformation whenever needed (possibly in every transformation)
  - Cache it in memory or disk
- Cache capacity to avoid recomputation **10X** of actual dataset!

# Some Ongoing Projects

- Huge heaps without increasing GC overhead
- Rethinking software stacks for emerging memories
  - **Search engines, databases, caches**
- Accelerators for proteomics discovery
- Secure and practical memory systems
- Storing and querying very large indices in memory

# Aim of a research project

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# What is the aim of a research project?

- I will give you five keywords to post in your workspace
- Remember them like the stages of instruction processing in a basic CPU pipeline



- Aim of a research project



- Ask a **question worth answering**
-  Find an **answer that you can support with good reasons**
- Find **good data** that you can use as **reliable evidence** to support your reasons
- Draft an **argument** that makes a **good case** for your answers
- Revise the draft until reader would think you **meet the first four goals**
- It is important to realize how **best to utilize** your mentor for each step
  - **Hindsight:** Wished had engaged mentor more for Question, Argument, Revise

# Formula for Questions

- Three step process

- 1) Topic: I am working on X (history of ANU school of computing)
  - 2) Question: because I want to find out Y (why students love it so much)
  - 3) Significance: so I can help others understand Z (how can ANU SOCO practices help other schools in the region attract more students)  
Why is the question worth asking?
- 
- 1) Topic: I am working on machine learning analytics
  - 2) Question: because I want to find out how it performs on modern GPUs
  - 3) Significance: so I can help others understand how to architect GPUs to accelerate ML analytics
- In systems research, we build artifacts, so typically, we use the understanding to build stuff (**there is an additional step**)
    - We cannot build stuff without “**understanding.**” That is ANTI-RESEARCH
  - So, if you sit in a talk where someone begins with, “I built X.” **Ask:** “What informs the design and architecture of X?” Do we understand the behavior of existing systems that do X? Why did you built X? Who benefits? **Why** does X work?

# More Example Questions

- Three step process

1) Topic: I am working on memory management

2) Question: because I want to find out the overhead of malloc() on Linux

3) Significance: so I can help others understand how to build high-performance memory allocators

4) Finally, I use the understanding to build **kangaroomalloc()**

1) Topic: I am working on branch prediction

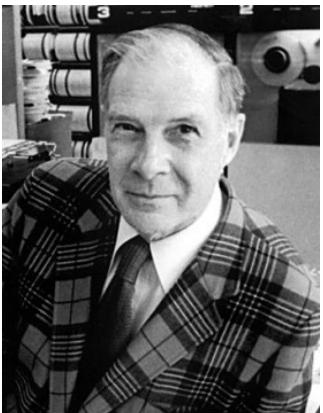
2) Question: because I want to find out how it behaves for Java workloads

3) Significance: so I can help others understand how to build new branch predictors for object-oriented languages like Java

4) Finally, I use the understanding to build **kangaroopredictor()**

# Wrong

- I propose kangaroopredictor
- It exhibits 2% more accuracy for Java workloads
- It uses state of the art machine learning
- **Trust me:** It beats everything else!



“The purpose of computing is insight, not numbers.”  
- Richard Hamming (Turing Award)

Hamming, “You and Your Research”



[https://www.youtube.com/watch?v=a1zDuOPkMSw&t=1086s&ab\\_channel=securitylectures](https://www.youtube.com/watch?v=a1zDuOPkMSw&t=1086s&ab_channel=securitylectures)

# Wrong

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- **Trust me:** It beats everything else



“What transfers is insight, not academic design, not performance numbers.”

- Bill Dally

- In January 2009 he was appointed chief scientist of [Nvidia](#). He worked full-time at Nvidia, while supervising about 12 of his graduate students at Stanford.
- In 2009, he was elected to the [National Academy of Engineering](#) for contributions to the design of high-performance interconnect networks and parallel computer architectures.
- He received the 2010 ACM/IEEE [Eckert–Mauchly Award](#) for "outstanding contributions to the architecture of interconnection networks and parallel computers."

# Right!

- We find that frequent jumps in object-oriented code due to
  - X, Y, Z, ...
    - result in high misprediction rates
    - 20% of all mispredictions are due to X
    - 30% due to Y
    - 10% due to Z
- One could rewrite code to eliminate X, Y, Z, but that requires extra programming effort. One could add a compiler optimization pass
- We propose **kangaroopredictor** that tackles X, Y, and Z to do better prediction in hardware
- **Note:** The **excitement** is **NO LONGER** in **kangaroopredictor** (**it's now the last bullet**) but in “**understanding**” the behavior of existing predictors and more importantly, interaction b/w OO programs and hardware

Good systems problems can be solved in different ways. At different layers. Ok to do it based on your philosophy. But don't dismiss other approaches. Sometimes there is no precedent to solve problem at a specific layer. Good research community enables a variety of solutions.

# Good ideas cannot be dismissed

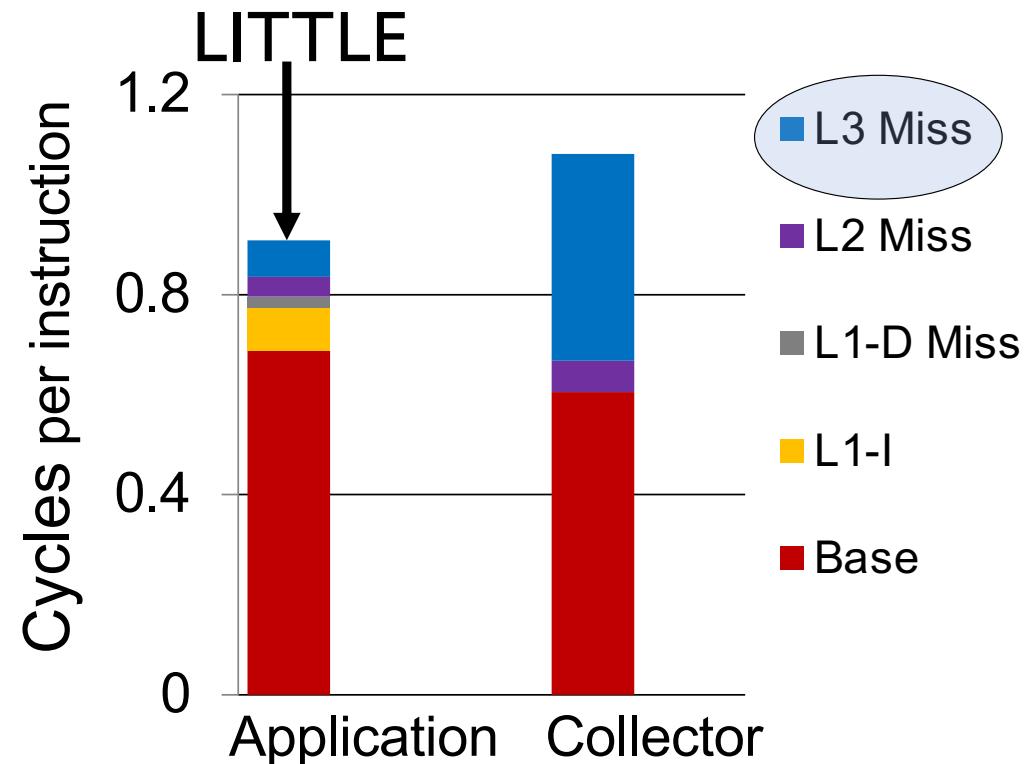
- **Instruction set architectures**
  - RISC had clear advantages. MIPS a **great** ISA. **MIPS R10K a great microarchitecture**
  - CISC (Intel x86) became the de facto in high performance computing (some history)
  - Technology (physics) trends **eventually betrayed**. **CISC decoding consumes too much power.** (**Even hardware speculation is being questioned (Meltdown).** VLIW return?)
  - **Today:** RISC-V emerged as a popular open-source alternative
- **Memory management**
  - **Predominant opinion as late as early 2000s:** Programmers should manually manage memory for high-performance and memory-efficient code
  - C vs. languages with automatic memory managers (aka **garbage collection**)
  - **Memory became cheaper.** Technology scaling lead to high density
  - Programs became too complex (**programming burden**)
  - **Java became the standard for developing major data processing applications**
    - Search engines, analytics, graph processing, 90% of Apache software

# Importance of Hypothesis

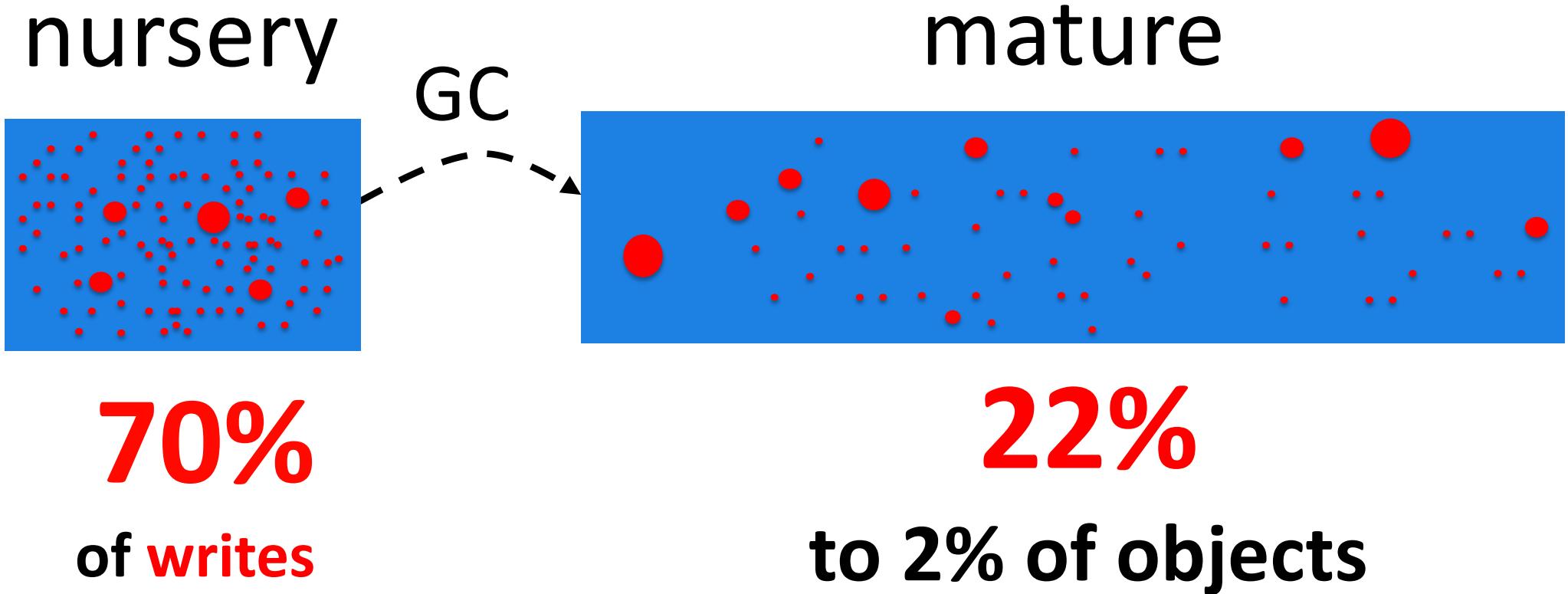
- You should have a theory to answer the question
  - Current predictors are inaccurate because of large # methods
  - Current allocators incur high latency because applications allocate objects with variable sizes leading to fragmentation
  - Current CPUs are memory-bound for ML workloads
- Testing the hypothesis
  - Representative applications (benchmarks)
  - Real machine or simulator
  - Gain insight into program-machine interaction

# Testing Hypothesis

- **CPI stack:** Breaks down execution time into different components at the microarchitectural level



# Another Example



# Picking Problems

- Must pick important problems. Ask Why frequently
- Questions that someone cares about
  - Hopefully, an entire community
- Enable new applications
- Keep an eye on where technology will go
- Aim high!

# Aim High

- Try to contribute something novel as an undergrad
- You learn a lot. Research could lead to impact.

Best paper candidate, honors project

## Analyzing and Improving the Scalability of In-Memory Indices for Managed Search Engines

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**Abstract**  
Managed search engines, such as Apache Solr and Elasticsearch, host huge inverted indices in main memory to offer fast response times. This practice faces two challenges. First, limited DRAM capacity necessitates search engines aggressively compress indices to reduce their storage footprint. Unfortunately, our analysis with a popular search library shows that compression slows down queries (on average) by up to 1.7x due to high decompression latency. Despite their performance advantage, uncompressed indices require 10x more memory capacity, making them impractical. Second, indices today reside off-heap, encouraging unsafe memory accesses and risking eviction from the page cache.

Emerging byte-addressable and scalable non-volatile memory (NVM) offers a good fit for storing uncompressed indices. Unfortunately, NVM exhibits high latency. We rigorously evaluate the performance of DRAM and NVM-backed compressed inverted indices and find that a DRAM-backed compressed index in a high-capacity managed heap memory-snapped over NVM provides a 36% reduction in query response times compared to a DRAM-backed compressed index in off-heap memory. Also, it is only 11% slower than the uncompressed index in a DRAM heap (fastest approach). DRAM and NVM-backed compressed (off-heap) indices behave similarly.

We analyze the narrow response time gap between DRAM and NVM-backed indices. We conclude that inverted indices demand massive memory capacity, but search algorithms exploit a high spatial locality that modern cache hierarchies exploit to hide NVM latency. We show the scalability of uncompressed indices on the NVM-backed heap with large core counts and index sizes. This work uncovers new space-time tradeoffs in storing in-memory inverted indices.

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**CCS Concepts:** Information systems → Search index compression; Search engine indexing; Hardware → Memory and dense storage; Software → Software and its engineering → Garbage collection

**Keywords:** Text search, inverted index, persistent memory, compression, managed heap, garbage collection

**ACM Reference Format:**  
Aditya Chikururi and Shoaib Akram. 2023. Analyzing and Improving the Scalability of In-Memory Indices for Managed Search Engines. In *Proceedings of the 2023 ACM SIGPLAN International Symposium on Memory Management (ISMAM '23), June 18, 2023, Orlando, FL, USA*. ACM, New York, NY, USA, 15 pages. <https://doi.org/10.1145/3591195.3595272>

### 1 Introduction

Search engines enable locating web pages on the internet and are a critical component of social media, professional networking, and e-commerce platforms. The key to retaining satisfied users is to offer low query response times. Amazon reports that even a 100 ms delay results in revenue drops [36]. Similar observations guide Google's search infrastructure.

The critical data structure search engines use for locating documents (web pages or social media posts) is an inverted index. An inverted index maps unique terms to posting lists, where each posting stores an integer document identifier (ID) and meta-data (term frequency and position). Associating terms to posting lists using an inverted index speeds up query evaluation dramatically.

Today's standard practice is to host the inverted index in off-heap main memory. Recent work shows that even PCIe NVMe SSDs with byte-addressable 3D XPoint memory cannot deliver real-time response times [2]. Therefore, service providers keep indices in memory [60]. Unfortunately, direct access to the index in memory induces significant overheads, and large indices put increased pressure on DRAM. On the other hand, DRAM scaling cannot cope with the growth in datasets [29, 42]. Specifically, as data volume doubles yearly, the DRAM capacity only scales by 10% [24, 28]. The result is either the poor quality of service due to index lookups from storage or exorbitant memory-related expenditures.

**Problem # 1 (High Decompression Latency):** Compression is a crucial technique search engines use to store large indices in limited DRAM. For example, Apache Lucene uses a compression scheme that reduces index size by 85–90%,

## SPIRIT: Scalable and Persistent On-Heap Indices in Hybrid Memory for Real-Time Search

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### ABSTRACT

Today, real-time search over big microblogging data requires low indexing and query latency. Online services, therefore, prefer to host inverted indices in memory. Unfortunately, as datasets grow, indices grow proportionally, and with limited DRAM scaling, the main memory faces high pressure. Also, indices must be persisted on disk as building them is computationally intensive. Consequently, it becomes necessary to frequently move on-heap index segments to block storage, slowing down indexing. Reading storage-resident index segments necessitates filesystem calls and disk accesses during query evaluation, leading to high and unpredictable tail latency.

This work exploits (hybrid) DRAM and scalable non-volatile memory (NVM) to offer dynamically growing, and instantly searchable, large persistent indices in on-heap memory. We implement our proposal in SPIRIT, a real-time text inversion engine over hybrid memory. SPIRIT exploits the byte-addressability of hybrid memory to enable direct access to the index on a pre-allocated heap, eliminating expensive block storage accesses and filesystem calls during live operation.

It uses fast persistent pointers in a global descriptor table to offer: (1) instant segment availability to query evaluators upon fresh ingestion, (2) long-lived segment movement to support tiered transition to query evaluators, and

(3) decoupled segmentation into NVM for their visibility to query evaluators, enabling intelligent policies for mitigating high NVM latency. SPIRIT accelerates compaction with zero-copy merging and supports fast, graceful shutdown and instant recovery. With 15% and 50% of the index in DRAM, it concurrently resolves queries only 13% and 6.44% slower, respectively, compared to DRAM alone. Our work generalizes to other data-intensive services that will benefit from direct on-heap access to large persistent indices.

**PVLDB Reference Format:**  
Adrian Hasanat and Shoaib Akram. SPIRIT: Scalable and Persistent On-Heap Indices in Hybrid Memory for Real-Time Search. *PVLDB*, 14(1):XXX-XXX, 2020.  
doi:XXX:XX/XXXX:XX

**PVLDB Artifact Availability:**  
The source code, data, and/or other artifacts have been made available at <https://github.com/vics1/SPIRIT>.

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doi:XXX:XX/XXXX:XX

under review at VLDB, research project, top conference

### 1 INTRODUCTION

Today, enabling fast real-time search over social media content is critical to the success of many enterprises, including LinkedIn, Meta, and Twitter [8, 52]. Social media content is either queried explicitly for *relevance search*, similar to static web content, or implicitly by the service for *timeline retrieval* to populate a user's home feed. The latter generates queries based on the user's preferred topics or followers and is more frequent on social media platforms – the source of 50% of tweets recommended on Twitter's For You and Following tabs [69]. The queries run concurrently with an indexing engine that builds indices in real-time, coping with a massive volume of data, e.g., 500 million Tweets per day for Twitter [69]. Consequently, real-time indexing puts high pressure on the aggregate CPU and memory of the real-time cluster, and concurrent queries exacerbate the pressure further [3, 52].

The critical data structure search engines use for locating documents (web pages, social media posts, or tweets) matching a word (term) is an inverted index [84]. Offering real-time response times requires hosting the index in memory [28, 75]. Unfortunately, indices are proportional to datasets, and large indices put pressure on DRAM. Hence, DRAM scaling cannot cope with the growth in datasets [1, 11, 14, 28, 49, 33, 57, 71, 72], impacting infrastructure costs [15, 72]. Scaling search engines to large datasets demands dense memory technologies, complementing DRAM.

Hosting indices in memory is also at odds with persisting them in today's storage stack. Popular search engines, e.g., Apache Solr [23] and Elasticsearch [20] use a segmented index, and each fixed-size segment resides on the heap before being moved to the page cache. Segments are buffered in the cache (no synchronous I/O per segment) to amortize I/O overheads. Ultimately, calling `fSync` to persist segments on storage, an operation called `commit` in Elasticsearch, becomes necessary to avoid losing significant index updates on a crash [5, 20]. Unfortunately, if the index outgrows available DRAM, later reads of the persistent segment generate I/O transfers. Recent work shows that even the fastest PCIe NVMe SSDs cannot deliver the response times required by real-time search [3, 52, 69]. Furthermore, an `fSync` is costly and cannot be performed without a significant performance hit [20]. Existing systems either risk losing a significant state or paying a performance penalty.

Due to limited DRAM and the need for persistence, block storage is deeply integrated into real-time search clusters. Therefore, popular real-time search engines do not make on-heap segments visible to query evaluators [20]. Instead, query evaluators use multiple filesystem calls to access new segments from the OS cache or storage (in Elasticsearch, one set of calls is to read the commit point and another to read the index segment [20]). These calls incur high overhead [9, 38], prohibiting real-time operation [20]. Furthermore,

# Some Tenets of Systems Research

- Good abstractions are powerful. In fact, this is why computer systems work. (And why I start every semester with: Alice has an idea to save the world. How can she orchestrate the movement of electrons with English. She cannot. She uses?)
- Yet, many great ideas come from understanding the interaction between abstraction layers
- Insight is key. Go to class for insight. Read (critically) for insight. Do research for insight. Communicate to gain and give insight. If something “just works,” and you do not understand WHY, it’s useless. (When it breaks, you can’t fix it.)
- Good engineering in systems research is necessary, but the goal of research is to communicate new insights. No one is interested in how you fixed bugs in your code. (Analogy: Fertilizer is critical for growing pretty roses, but we don’t decorate our house with fertilizer. Try it and no guests will come again. Same with research, tell people about “boring” engineering details, and they won’t listen to you again. YET must decide how much they need to know.)
- Designing new systems is somewhat of an art. (Technology “pull,” and application “push.”) Must learn from prior art/design, i.e., precedents (COMP2300/COMP2310/Microarch.). Must use creativity to adapt to new changing technology trends and new workloads. Two things systems researcher must live with: physics (speed of light, how small a transistor can me made, and still be used reliably, yield of an X mm<sup>2</sup> chip) and society (big data due to microblogging, social media, and online payments; use of AI/ML; purchasing power; Netflix vs. renting video; cloud vs. in-house)
- Device physics shapes the system
  - Early filesystem research. Moore’s law and its impact on systems. Persistent memory. Distributed systems. What enabled multi-layer software stacks (think Scala)? What threatens them now? Shift to multicore. Disk vs. Flash

# Systems Architect's Toolbox: Design Side

- Know the precedents (what techniques worked in prior systems)?
  - Caching, prediction, ISA additions, speculation, write batching, sequential log, tracing collector, write barrier, spin lock, interrupt, MMU
- Know the “key” tradeoffs
  - Compression saves storage capacity but decompression incurs high latency
  - RISC ISA simplifies circuit complexity, but results in more instructions per C/C++ statement (pressure on instruction memory)
  - Disk is cheap but its latency is high
  - SRAM is fast but consumes more power
- Know the “critical” metrics
  - Performance, power, energy, reliability, security, extendibility, observability, manageability, cost, scalability, throughput, tail latency

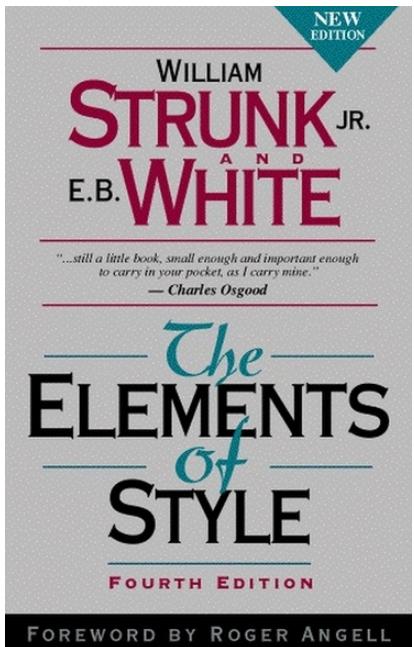
# Engineering Side

- Holistic view of system
  - Good comprehension of CPU, memory, and disk datapath. Byte-addressable vs. block addressable. Virtual memory. Virtualizing CPU.
- Good programming skills in one or more languages and ability to pick a new language quickly
- Good systems building skills (compiling the Linux kernel, using GCC/GDB, writing Makefile, hacking OpenJDK)
- Data structures and algorithms
- Performance debugging
  - Monitoring low-level processor performance
  - I/O traffic monitoring tools

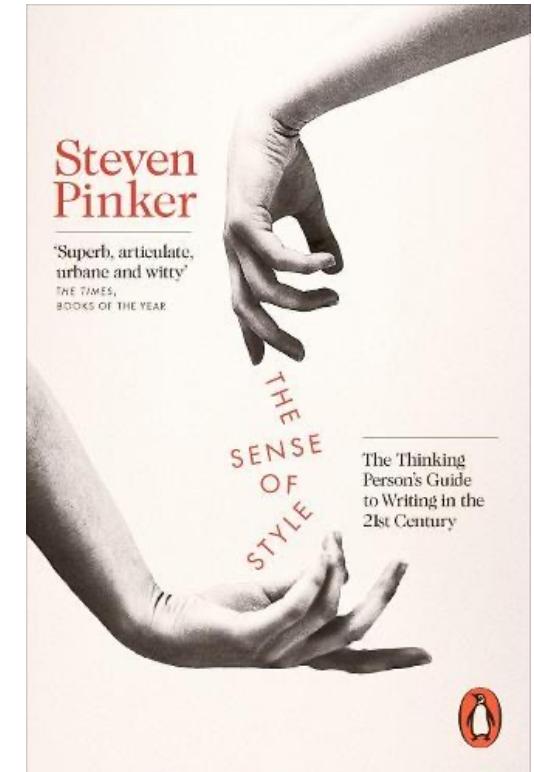
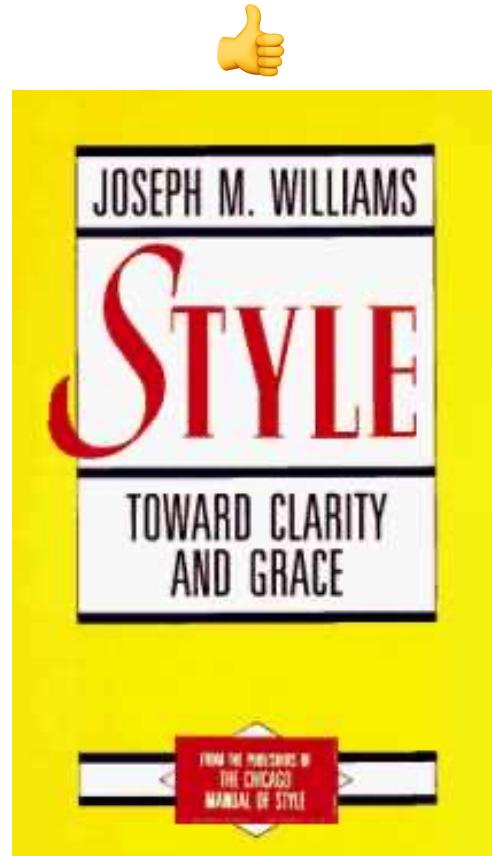
# Writing and Presentation

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# Advice on Writing

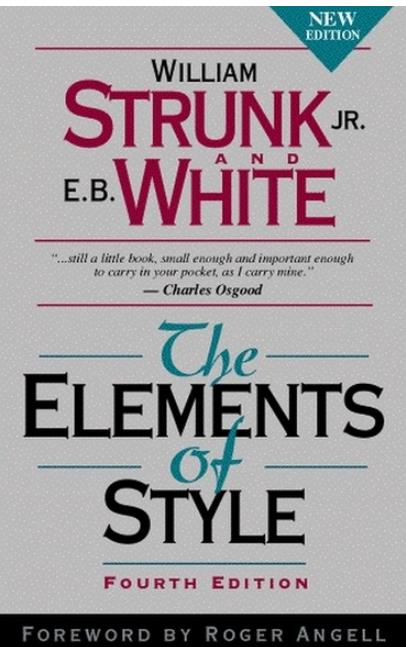


Advice by “prescription”  
“Trust me.” Do X. Do Y



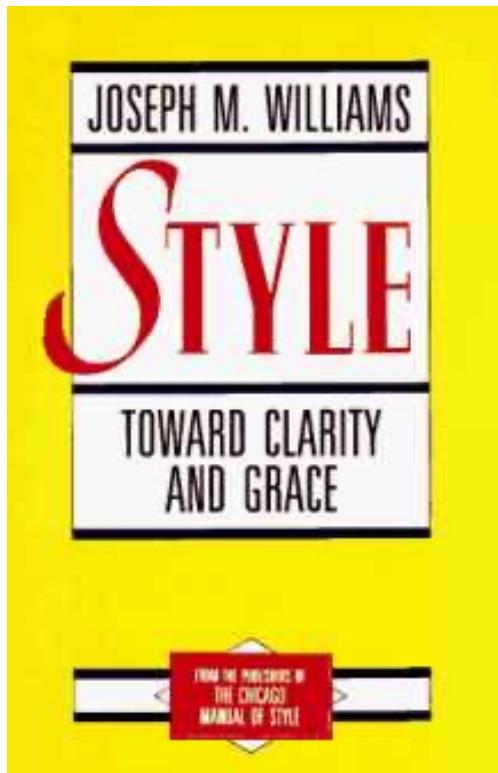
- Advice based on “insight”
- What is the purpose of writing?
- What do humans consider good writing?
- Why one writing style is more powerful than other?
- How “attention mechanics” work? Invoking stress

# Advice on Writing



- Passive voice is best avoided
- Don't end a sentence with preposition
- And many more prescriptions
  - **Analogy:** temporary relief, no pinpointing the real source of pain, no diagnosis
- Reality
  - Passive serves an important role
  - OK to end with prep.
- Key realization in style community: Passive and preps. alone don't put people off. There are more fundamental issues to be dealt with. And they relate to a system of style that must be understood

# Advice on Writing



Advice based on “insight”

78 *Chapter Four*

## The System of Clarity

By now, we begin to appreciate the extraordinary complexity of an ordinary English sentence. A sentence is more than its subject, verb, and object. It is more than the sum of its words and parts. It is a system of systems whose parts we can fit together in very delicate ways to achieve very delicate ends—if we know how. We can match, mismatch, or metaphorically manipulate the grammatical units and their meanings:

SUBJECT	VERB	COMPLEMENT
CHARACTERS	ACTION	—

We can match or mismatch rhetorical units to create more or less important meanings:

TOPIC	STRESS
OLD/LESS IMPORTANT	NEW/MORE IMPORTANT

And we can fit these two systems into a larger system:

TOPIC	STRESS	
OLD/LESS IMPORTANT	NEW/MORE IMPORTANT	
SUBJECT	VERB	COMPLEMENT
CHARACTERS	ACTION	—

Of course, we don’t want every one of our sentences to march lockstep across the page in a rigid character-action order. When a writer exercises his stylistic imagination in the way Jefferson did with the Declaration of Independence, he can create and control fine shades of agency, action, emphasis, and point of view. But if for no good reason he writes sentences that consistently depart from any coherent pattern, if he consistently hides agency, nominalizes active verbs into passive nominalizations, and if he

*Coherence II* 109

ISSUE	DISCUSSION	
POINT	(POINT)	
TOPIC	STRESS	
OLD/FAMILIAR	NEW/UNFAMILIAR	
SUBJECT	VERB	COMPLEMENT
CHARACTERS	ACTION	—

To this figure we add three principles:

1. In the issue, introduce key thematic and topical words in its stress.
2. In the discussion, keep strings of topics consistent.
3. In the discussion, repeat those thematic words or words related to them.

We can use these principles both to predict when our readers might judge our writing to be cloudy and to achieve what we might call generic clarity. We achieve an individual style when we learn how to meet the expectations of our readers, and at the same time surprise them.

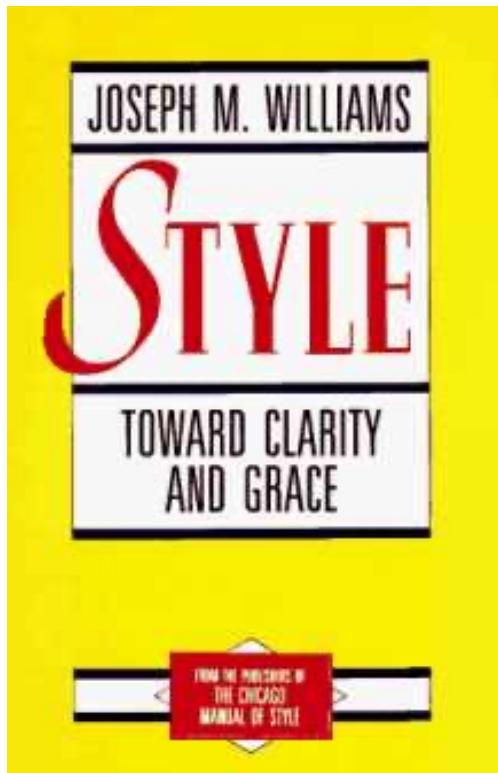
The final point is not to make every paragraph a work of art. Art may be long, but life is too short. The point is to make these principles work together *well enough* so that you do not confuse your readers. Readers call writing clear not when it *is* clear, but when they have no reason to call it unclear. Which is to say, writing usually seems clearest when readers are least conscious of it.

## Headings as Test for Coherence

Headings are a familiar feature in professional writing. We usually think of them as most helpful to readers, because they give readers a general idea about the content of the section they head. They also show readers where one section stops and another starts and indicate levels of subordination.

But if headings are useful to readers, they are more useful to

# Advice on Writing



## Contents

Preface	ix
1 Causes	1
2 Clarity	17
3 Cohesion	45
4 Emphasis	67
5 Coherence I	81
	<i>With Gregory G. Colomb</i>
6 Coherence II	97
	<i>With Gregory G. Colomb</i>
7 Concision	115
8 Length	135
9 Elegance	153
10 Usage	169
Notes	199
Acknowledgments	201
Index	203

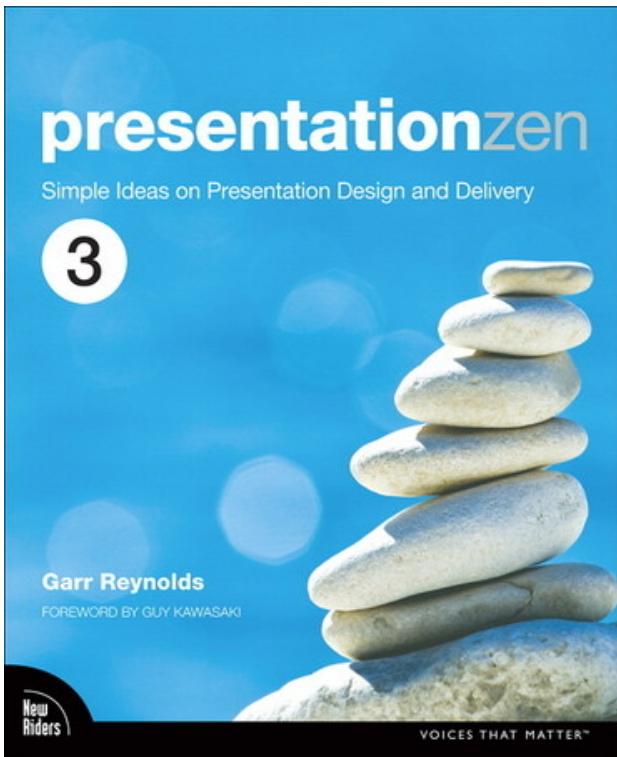
## The First Two Principles of Clear Writing

Readers are likely to feel that they are reading prose that is dear and direct when

- (1) the **subjects** of the sentences name the cast of **characters**, and
- (2) the **verbs** that go with those subjects name the **crucial actions** those characters are part of.

Advice based on “insight”

# Presenting Research Outcomes



One main idea per slide

Few bullets

Good titles (some examples later)

Figures clearly annotated

One slide to the next (story telling)

My students create a slide deck. I can write an entire paper without bugging them too much by just following the slide deck

Advice based on “insight”

# Latex and Overleaf

Learn Latex

Start collaborating with your advisor on Overleaf

He will help you stay focused



All Projects		
	Owner	Last Modified
<input type="checkbox"/> Title	peter.oslington	8 hours ago by peter.oslington
<input type="checkbox"/> 2023s1 Hash Table Final Report - Peter	peter.oslington	3 days ago by Junming Zhao
<input type="checkbox"/> junming_thesis	Junming Zhao	4 days ago by Anson Thai
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<input type="checkbox"/> ispass-paper	Junming Zhao	3 months ago by You
<input type="checkbox"/> TeraHeap: Reducing Memory Pressure in Managed Big Data Frameworks	Junming Zhao	4 months ago by You

# Systems Papers

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# Systems Papers: One Classification

- Architecture
- Runtimes for PL
- Memory management
- Distributed databases
- Graph analytics
- Compilers
- Many more areas ...

# Systems Papers: Another Classification

- New “systems idea”
  - New mechanism
  - New policy
- Performance analysis and evaluation
  - Evaluate existing/emerging hardware
    - Specific features
    - Full system (holistic)
  - Evaluate existing/emerging workloads
    - Specific phases
    - Full workload
- Analytical and mechanistic modeling
  - Enable new insights (by fast exploration)
  - Enable new policies that are rigorously understood (contrast with “ML magic”)

# Mechanism vs. Policy

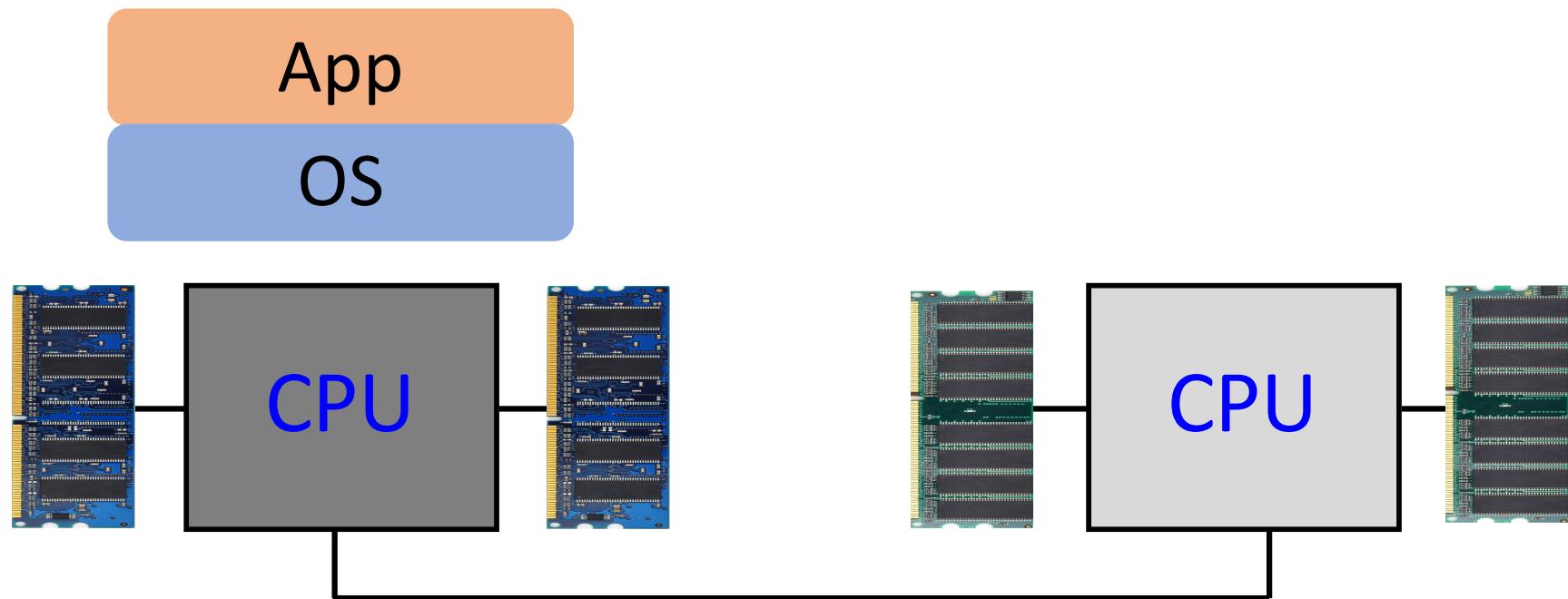
- **Sharing a CPU among many users**
  - **Mechanism:** Changing  $PC_{user1}$  to  $PC_{user2}$  and other actions to switch to executing process from user 2
  - **Policy:** When to **switch** from one user to the next, which user to give priority, cloud vs. desktop
- **Using disk as an extension of main memory (swapping)**
  - **Mechanism:** Copying data from memory to disk, physical hardware changes, pins, wires, interrupts, system calls, all that jazz
  - **Policy:** When to **initiate a transfer** from memory to disk (when memory is **critically low**, when memory is 80% of capacity, ...)
- **Offloading computation to a GPU**
  - **Mechanism:** Introducing GPU in the system, setting up CPU-GPU communication, etc
  - **Policy:** What to offload? When to offload? If the GPU is busy, **what is the policy to offload another waiting task?**

# Importance of Performance Evaluation

- Why do we evaluate performance?
  - To understand if we can build better systems for a specific workload
  - To understand if we are enabling needless features
  - To understand how can we improve the system
- Hardware is available
  - Do a real system study
- Hardware is not available
  - Use simulation (e.g., model the behavior of the system in C++)
    - Cycle accurate (very time consuming) vs. mechanistic model (fast but not very accurate)
  - Use emulation
    - Emulate the “unavailable system” using an existing system

# Example of Emulation

- NUMA to model a hybrid DRAM-PCM system
- Frequency scaling to model a big.LITTLE system



# Example of Simulation

- Sniper multicore simulator we use in Microarchitecture Course

# Importance of Modeling

- Gain insight
  - How does a system work?
  - A high-level model of an out-of-order processor

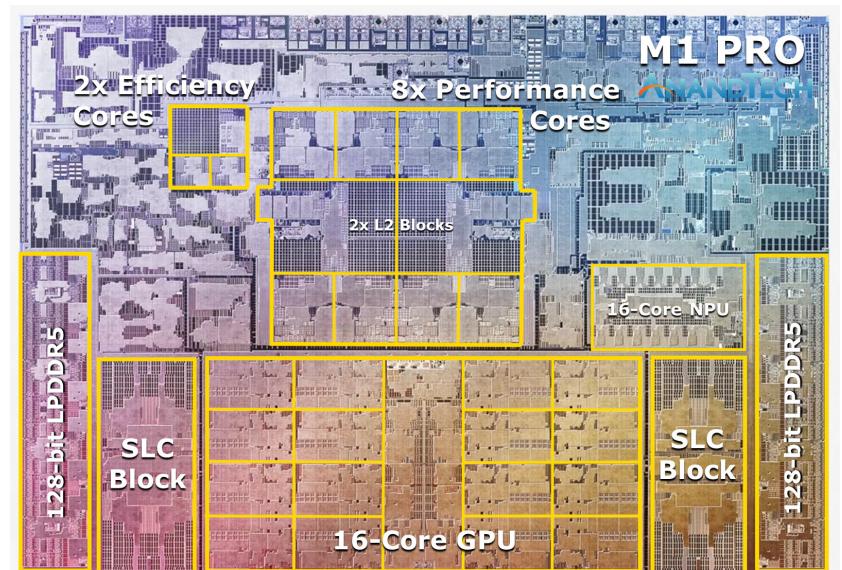
uarch-indep branch predictor model [De Pestel, ISPASS'15]

$$C = \underbrace{\frac{N}{D_{\text{eff}}}}_{\text{Base}} + \underbrace{m_{\text{bpred}} \times (c_{\text{res}} + c_{\text{fr}})}_{\text{Branch}} + \sum_{\text{level}=i} m_{\text{ILi}} \times c_{\text{Li+1}} + \underbrace{\frac{m_{\text{LLC}} \times c_{\text{mem}}}{\text{MLP}}}_{\text{D-cache}}$$

miss rates predicted using StatStack [Eklov and Hagersten, ISPASS'10]

uarch-indep MLP model [Van den Steen, CAL'18]

$N$  = dynamic instruction count  
 $D_{\text{eff}}$  = effective dispatch rate; is function of ILP, I-mix, ALU contention



# Importance of Modeling

- Quickly explore large design space in early stage of design
  - Simulators are extremely slow
  - In early stages, only need to know relative performance
  - To filter out **parameter settings** (for example, cache size) that do not show good trends

# New Idea Papers

- Let's look at some top-tier idea papers from my recent work
- TeraHeap: Reducing Memory Pressure in Managed Big Data Frameworks
  - ASPLOS 2023
- Write-Rationing Garbage Collection for Hybrid Memories
  - PLDI 2019
- SPIRIT: Scalable and Persistent On-Heap Indices in Hybrid Memory for Real-Time Search
  - Under Review

# What's in a title?

- Succinct. To the point. Stress the key contribution. Good **verbs**. Good **adjectives**.
- Typically include **software** aspect and a **hardware** aspect
- Find a “decent” & memorable name. But if you can’t, don’t force a name, or have one that is pointless
- **TeraHeap: Reducing Memory Pressure in Managed Big Data Frameworks**
  - ASPLOS 2023
- **Write-Rationing Garbage Collection for Hybrid Memories**
  - PLDI 2019
- **SPIRIT: Scalable and Persistent On-Heap Indices in Hybrid Memory for Real-Time Search**
  - Under Review

# **Other Papers & Presentations**

- Let's see some other papers
- Let's see some presentations



# Write-Rationing Garbage Collection for Hybrid Memories

**Shoaib Akram (Ghent), Jennifer B. Sartor (Ghent and VUB),  
Kathryn S. McKinley (Google), and Lieven Eeckhout (Ghent)**

**[Shoaib.Akram@UGent.be](mailto:Shoaib.Akram@UGent.be)**



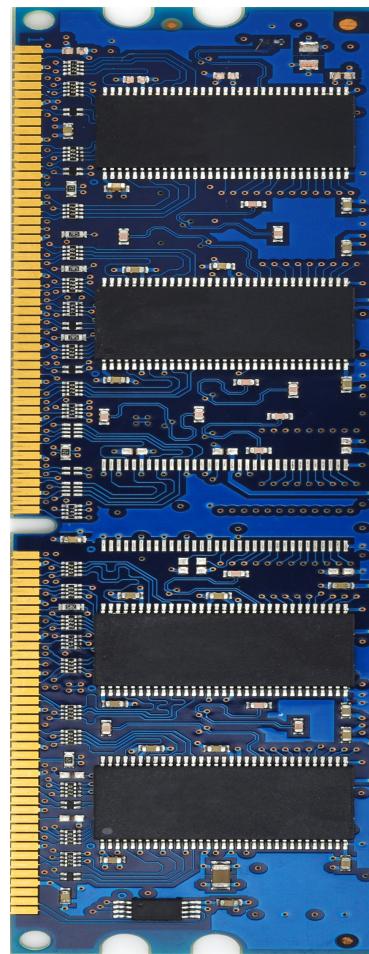
# DRAM is facing challenges

Scalability

Cost

Energy

Reliability



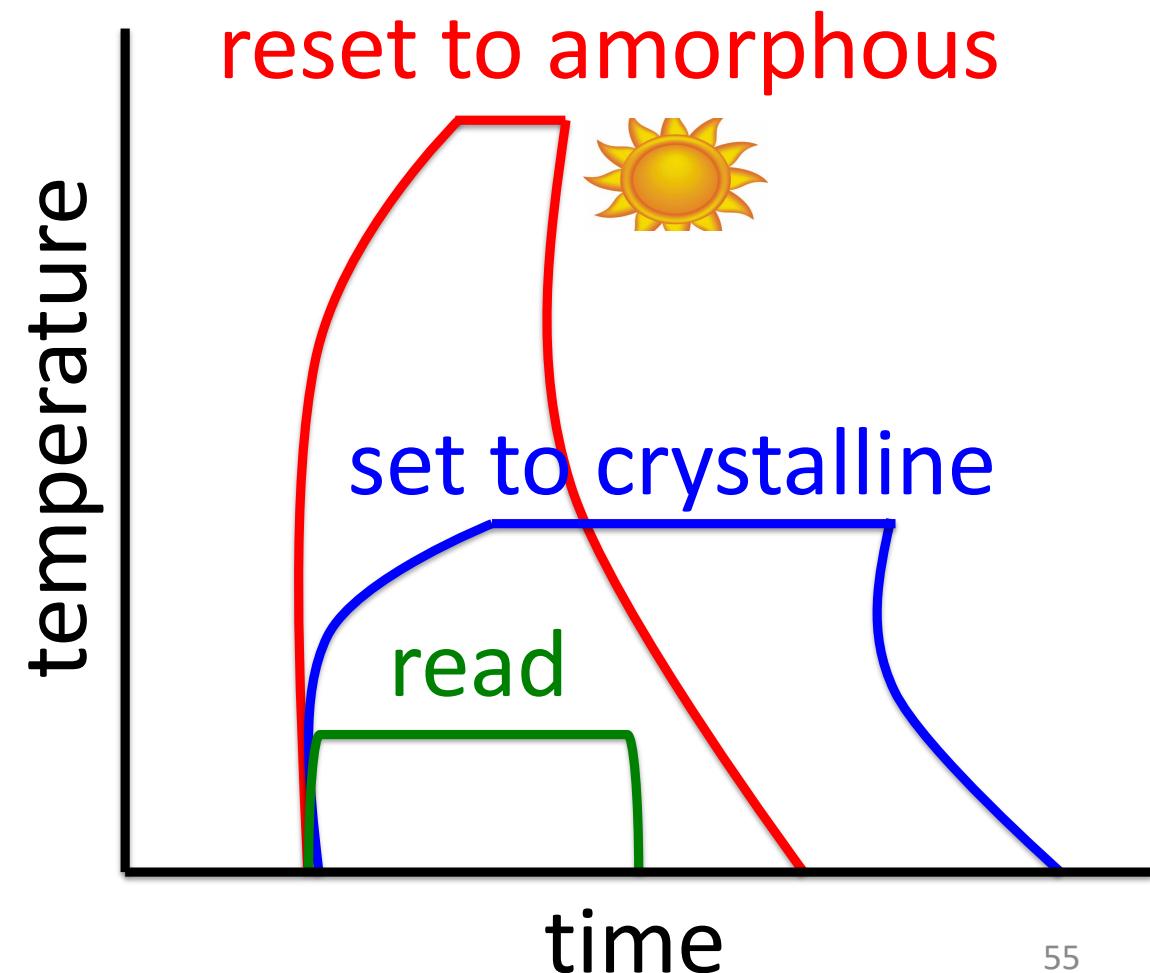
# Phase change memory

Persistent

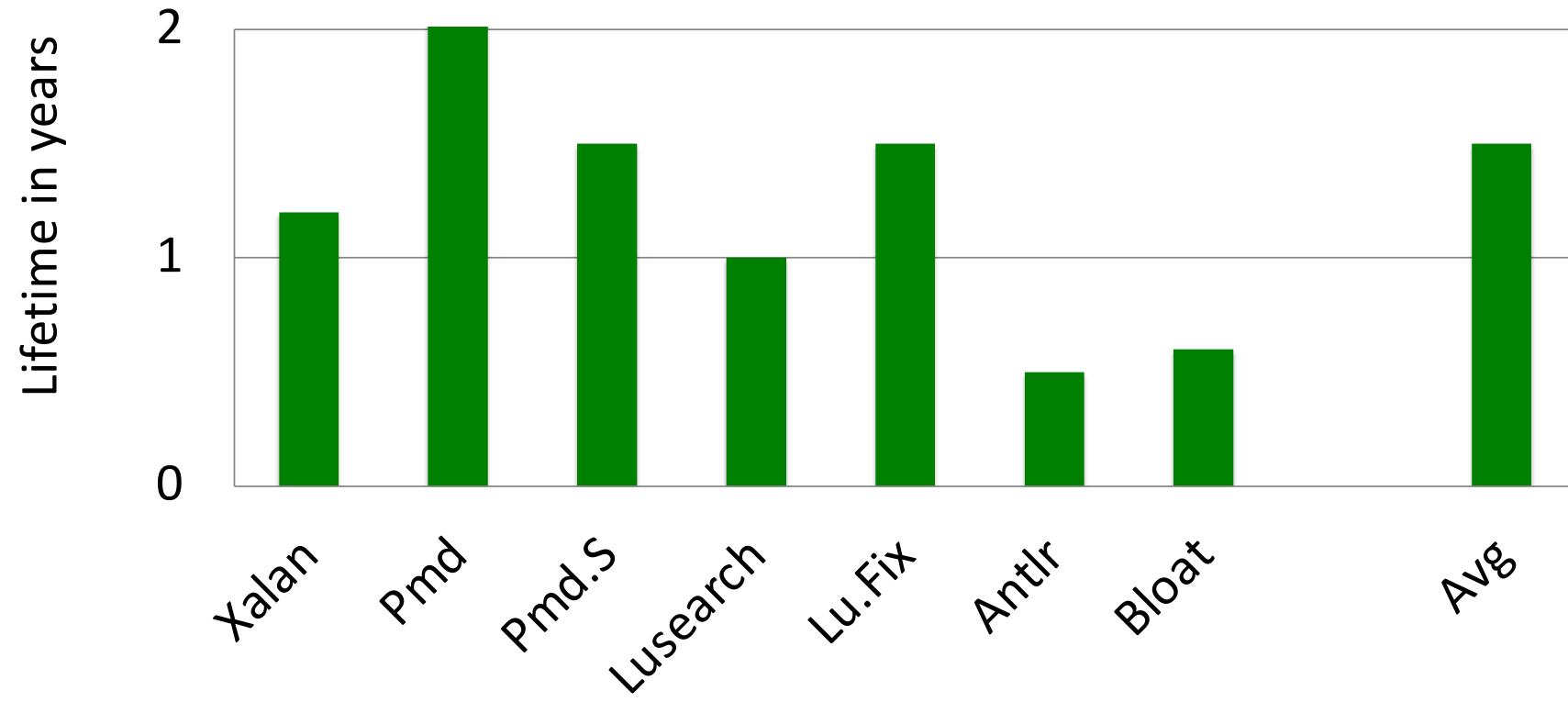
Byte addressable

High latency

Low endurance



# PCM only is not practical



32 GB PCM with hardware wear-levelling

# Hybrid DRAM-PCM memory

Speed  
Endurance

Energy  
Capacity

---

DRAM  
Challenges

---

PCM

Bridging the DRAM-PCM latency gap

Mitigating PCM wear-out

# Prior art in mitigating PCM wear-out

Hardware wear-leveling

Spread writes out across PCM

32 GB PCM lasts only two years!

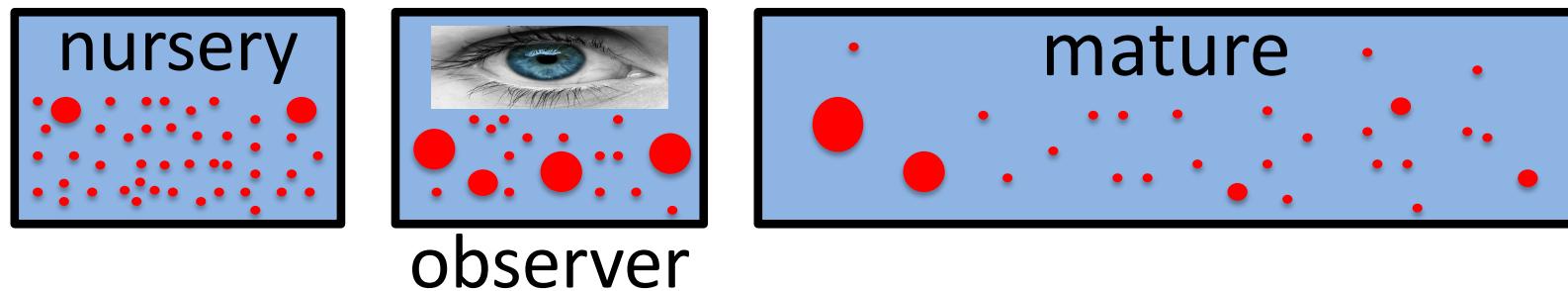
OS write partitioning

Keep highly written pages in DRAM

Coarse granularity

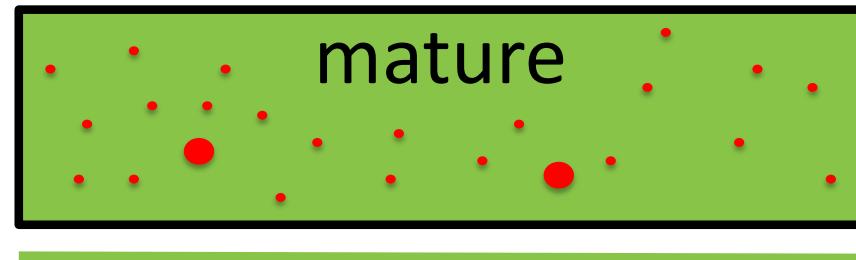
Costly page migrations

# Garbage collection for hybrid memory



---

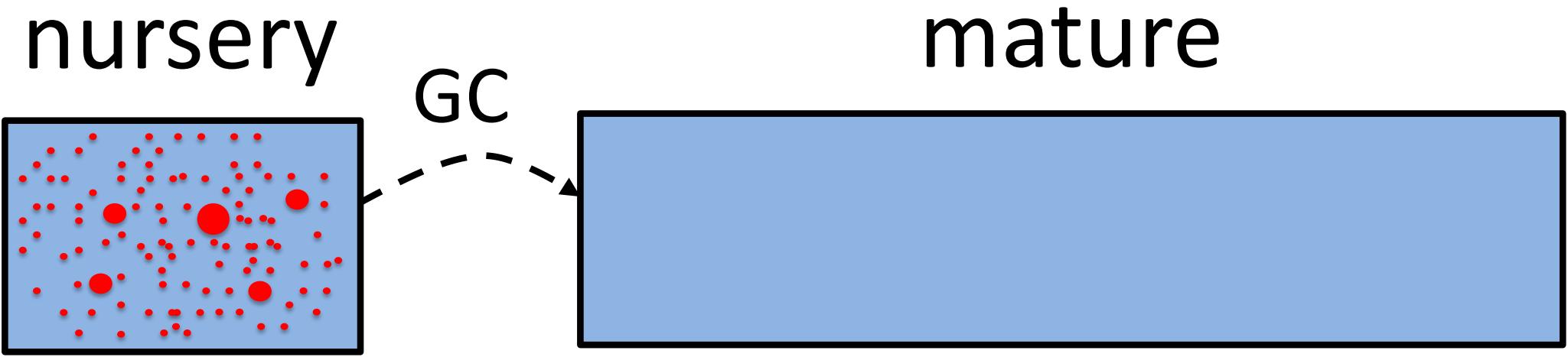
DRAM



PCM

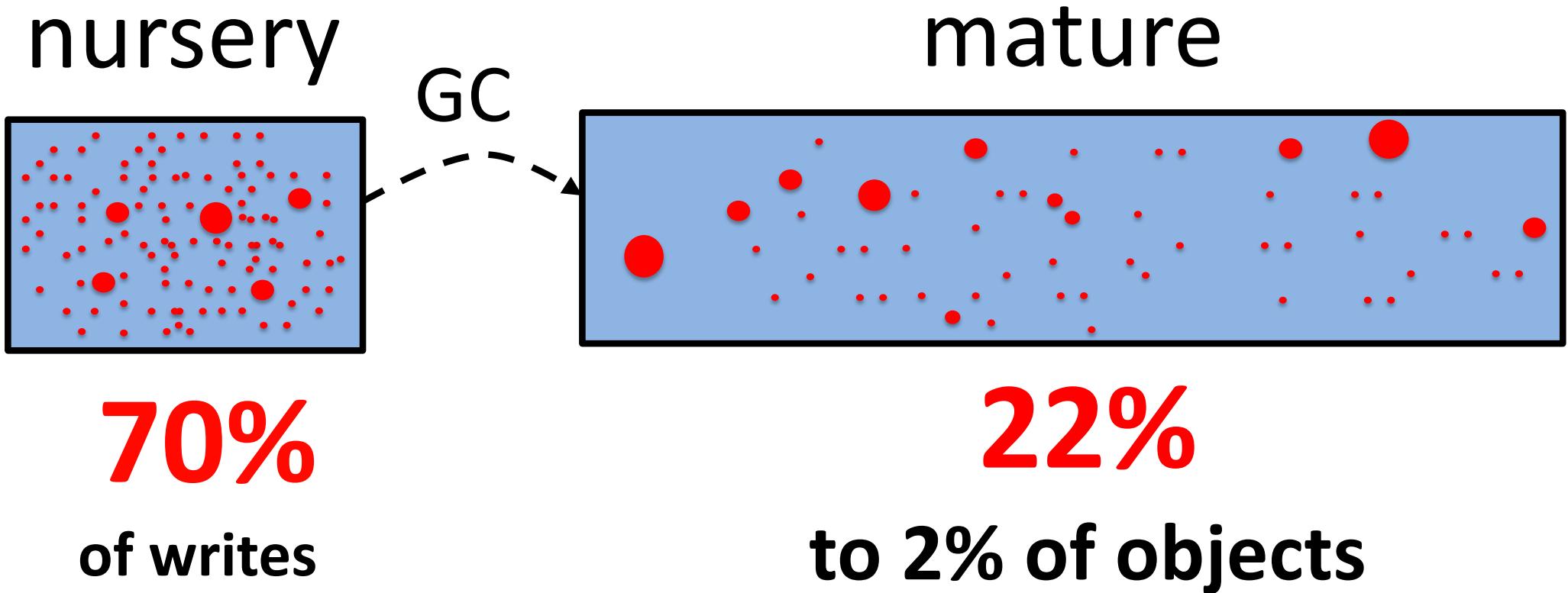
This work uses GC to keep highly written objects in DRAM

# Distribution of **writes** in GC heaps

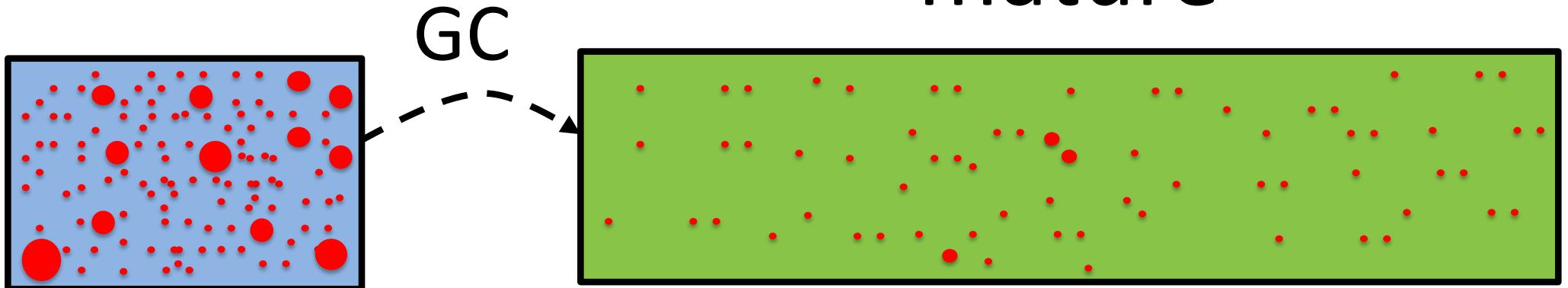


**70%**  
of writes

# Distribution of **writes** in GC heaps



# Contribution Write-Rationing Garbage Collectors mature



---

DRAM



---

PCM



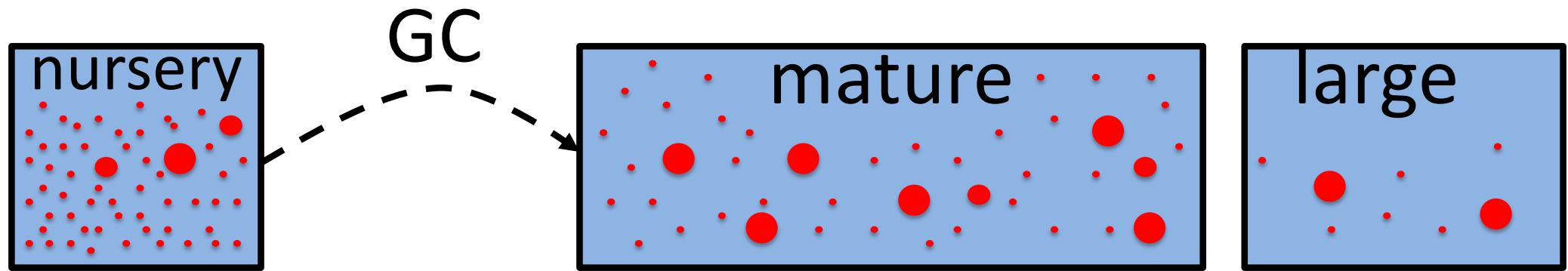
# Two write-rationing garbage collectors

## Kingsguard- Nursery

## Kingsguard- Writers

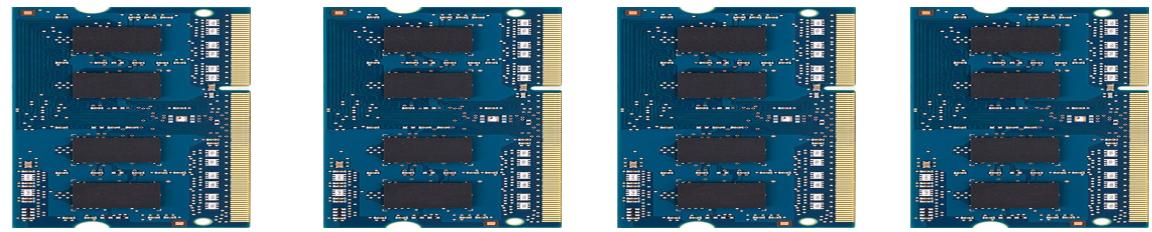
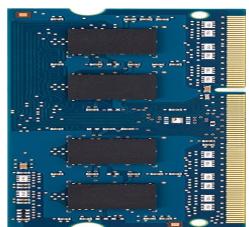


# Heap organization in DRAM

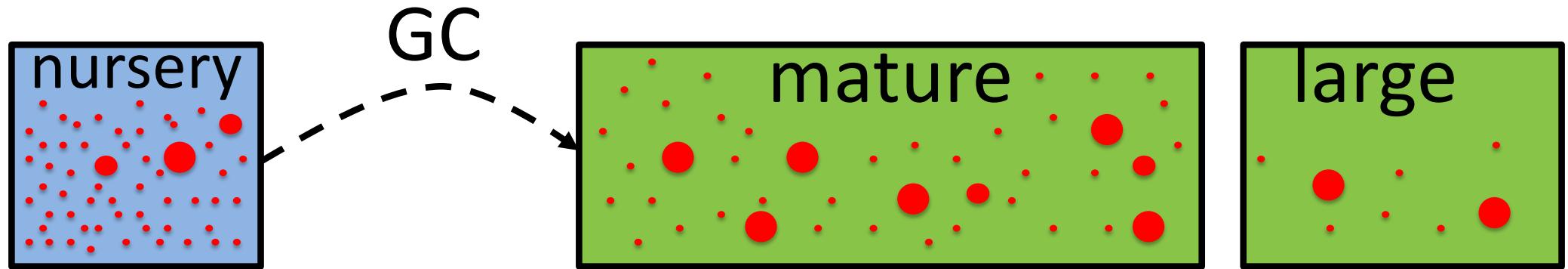


---

DRAM

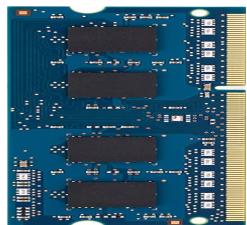


# KG-N Kingsguard-Nursery



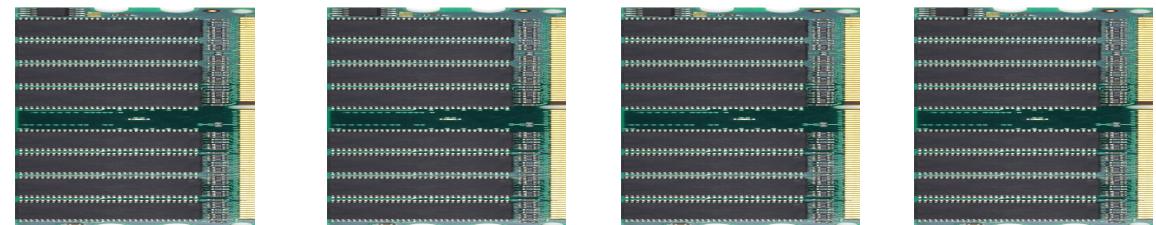
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DRAM

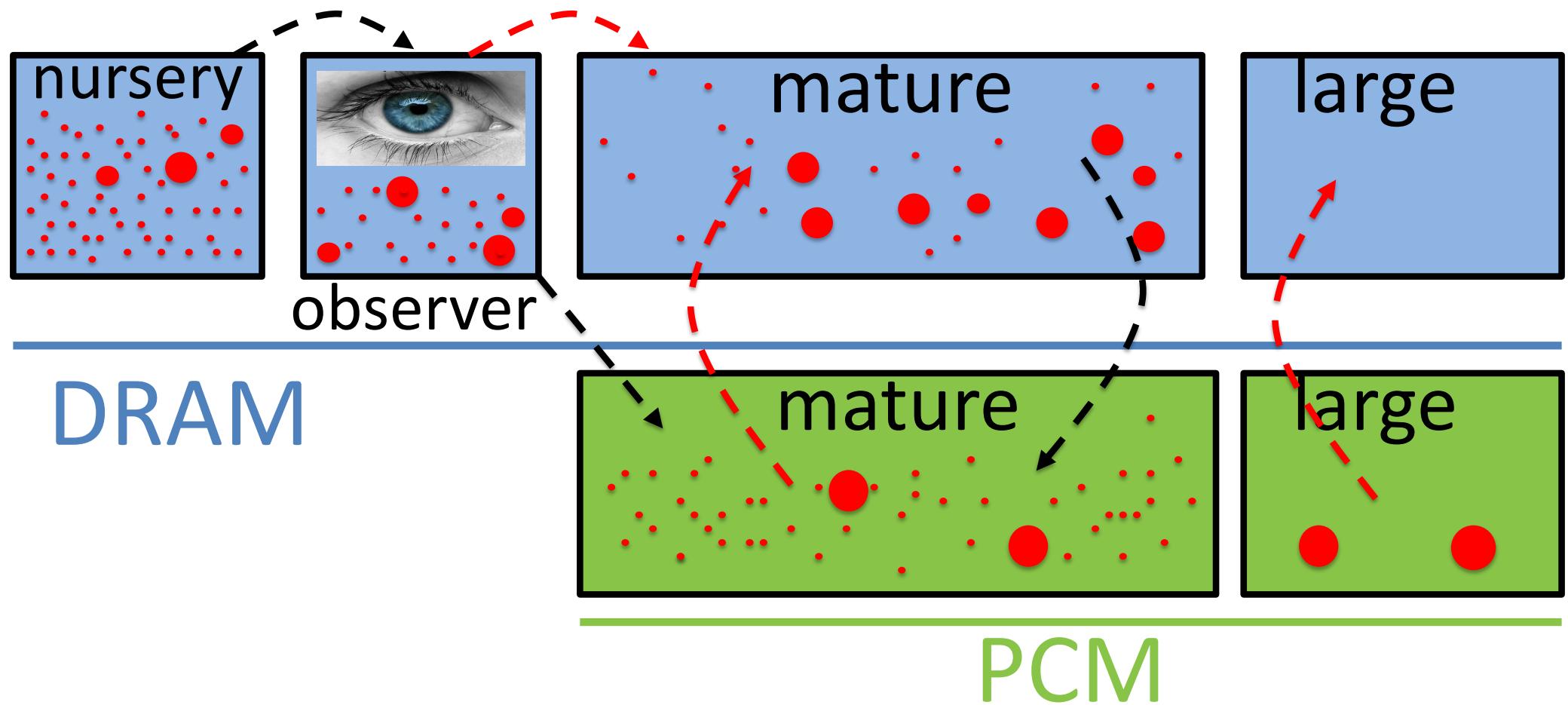


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PCM



# KG-W Kingsguard-Writers



# Monitoring writes



Header

References

Primitives

On a write to an object

    Write barrier sets a bit in header

Write barrier configurations

    Monitor references

    Monitor references and primitives

# Two additional optimizations

Large object optimization

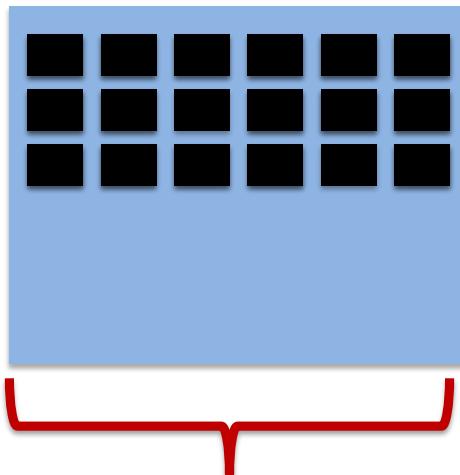
Selectively allocate large objects in DRAM

Metadata optimization

Place mark bits of PCM objects in DRAM

# Large object optimization

nursery



$\frac{1}{2}$  of remaining  
nursery

large



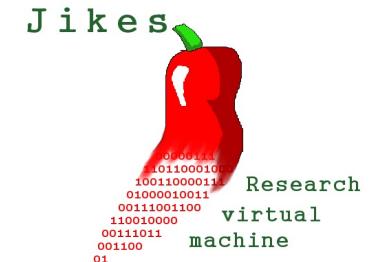
Monitor PCM write rate  
to tun

# Results

- (1) Measurements on real hardware
- (2) Simulation

Jikes research virtual machine

Java applications



# Real hardware methodology

Use write barriers to count object writes

Applications: 12 DaCapo, 3 **GraphChi**, and Pjbb

Configurations

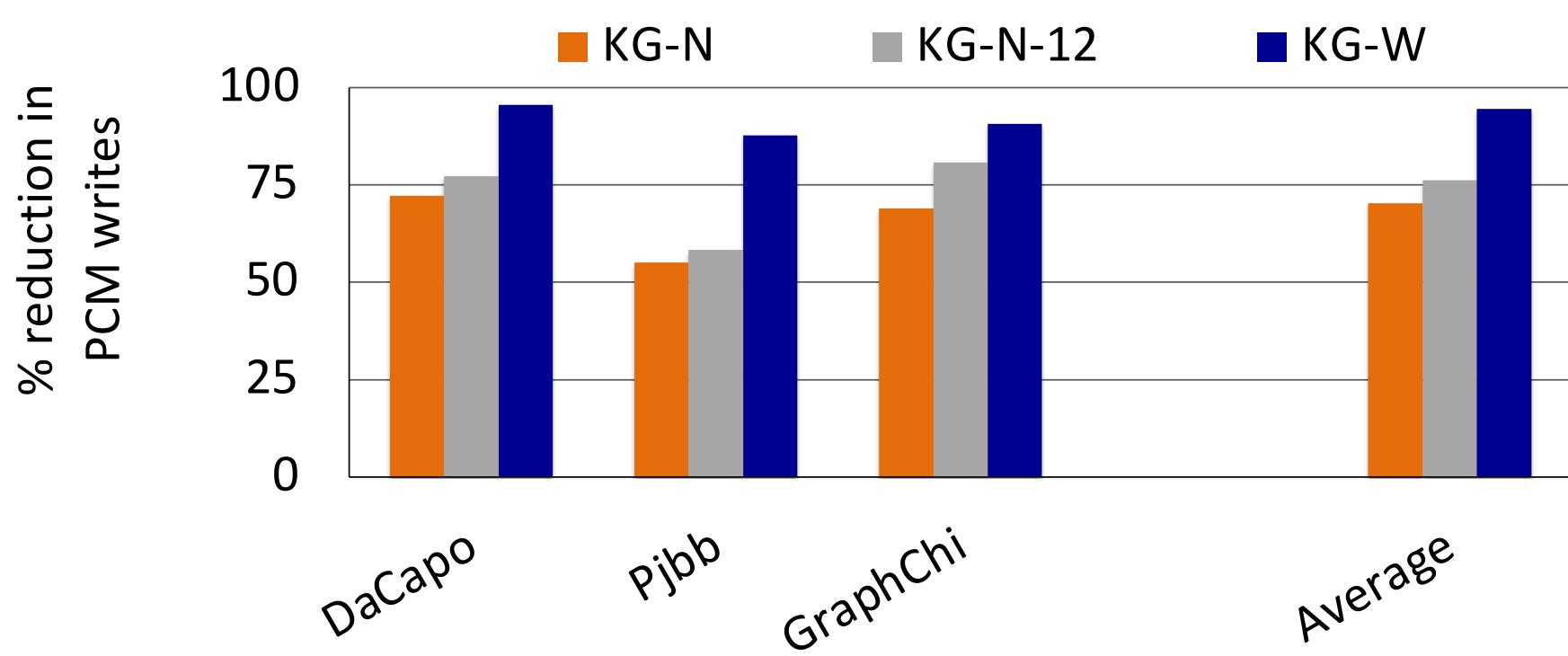
**KG-N** : 4 MB nursery

**KG-W**: 4 MB nursery, 8 MB observer

**KG-N** : 12 MB nursery

# Reduction in PCM writes

Baseline: **PCM-Only**



KG-W reduces 95% of writes to PCM

# Simulation methodology

## 7 DaCapo applications

Measure lifetime, energy, and execution time in simulator



# Memory systems

Homogeneous

32 GB DRAM

32 GB PCM

Hybrid

1 GB DRAM

32 GB PCM

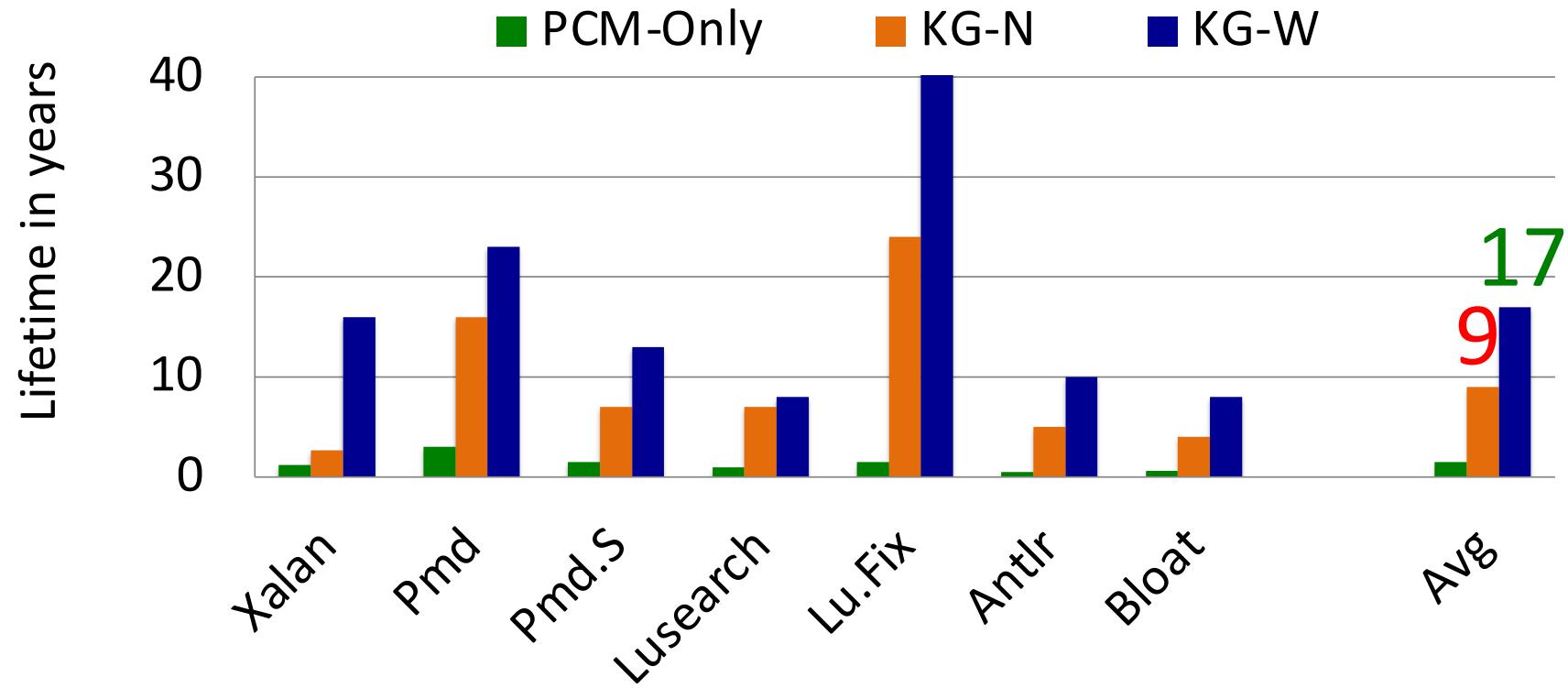
PCM parameters

4X read latency

4X write energy

10 M writes/cell

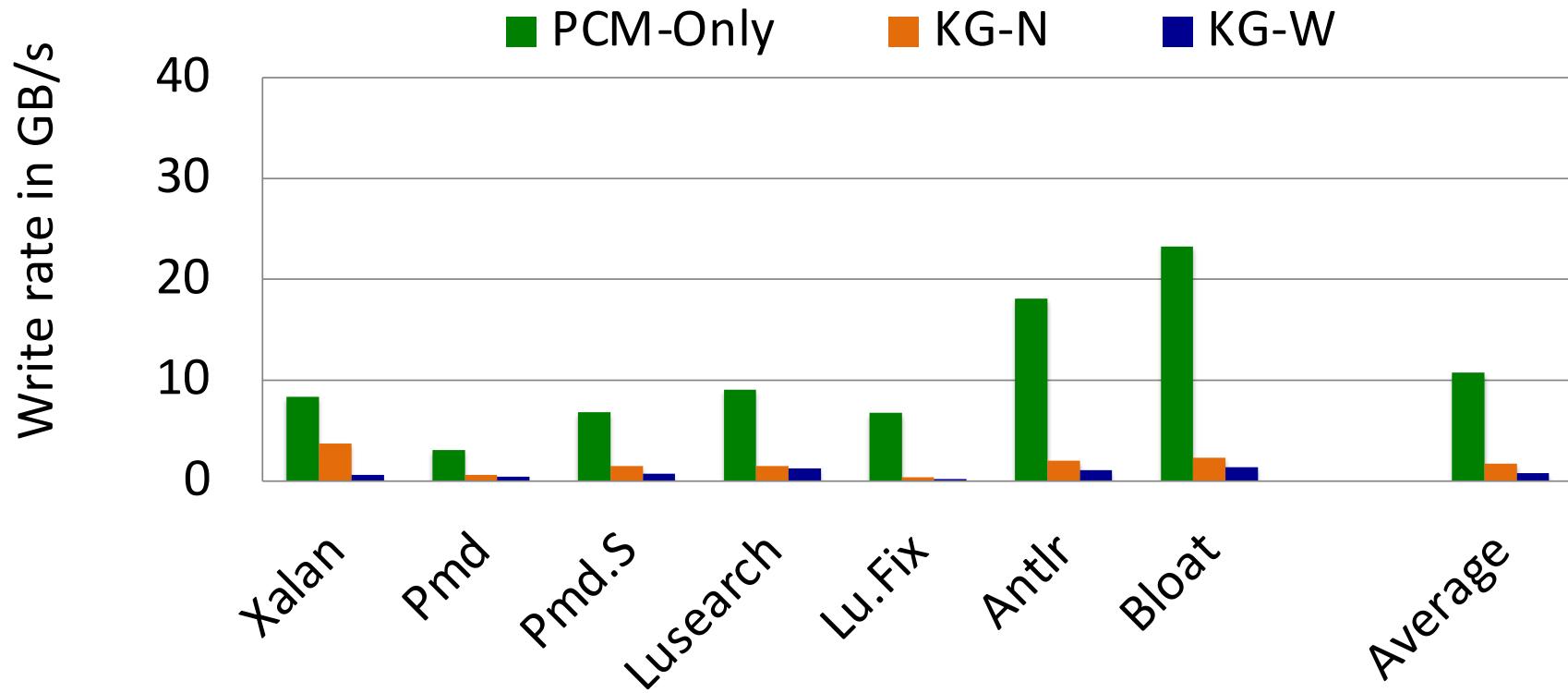
# PCM lifetimes



PCM alone is not practical

PCM lasts more than 10 years with KG-W

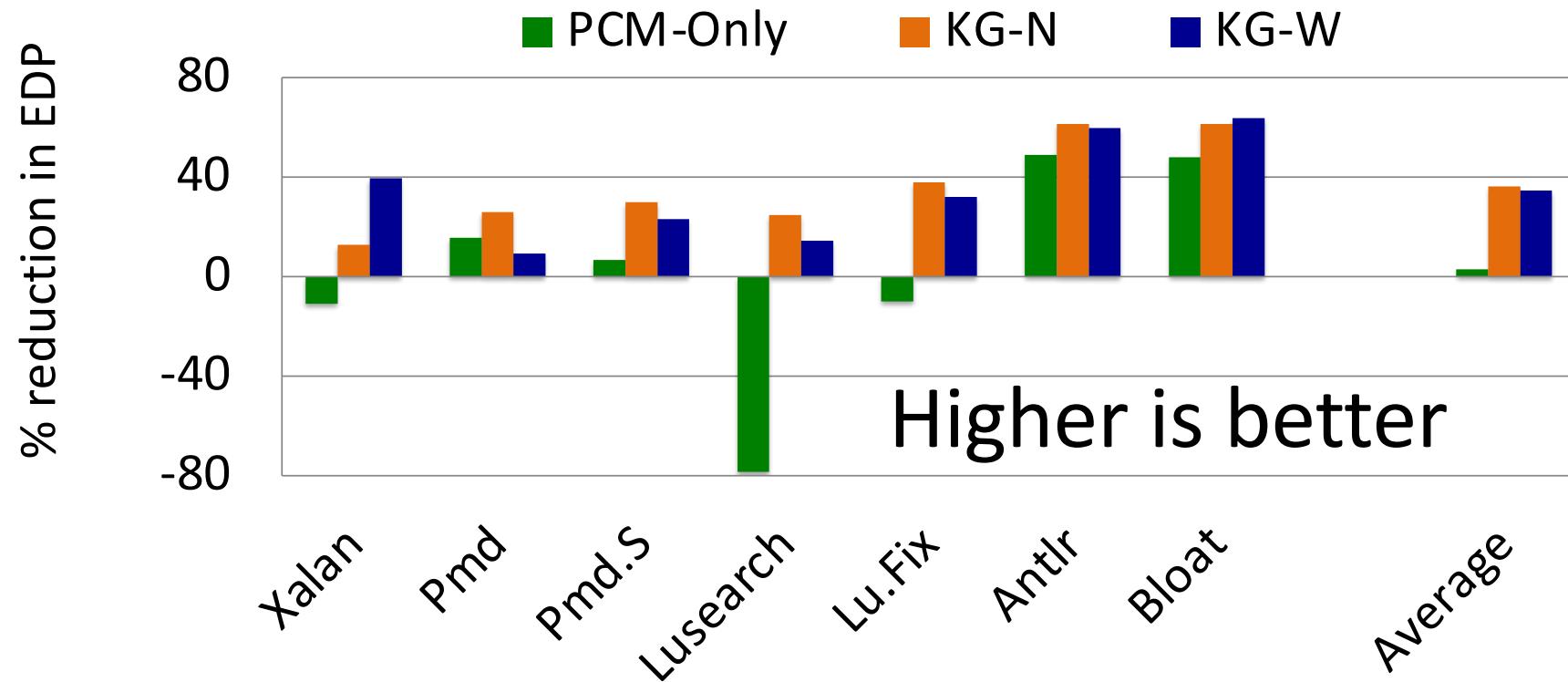
# PCM write rates



KG-N reduces write rate by 6X over PCM-Only

KG-W reduces write rate by 2X over KG-N

# EDP reduction compared to DRAM



EDP : Energy Delay Product

KG-W has 35% better EDP than DRAM-Only

In the paper

Execution time results

Breakdown of KG-W overheads

Object demographics

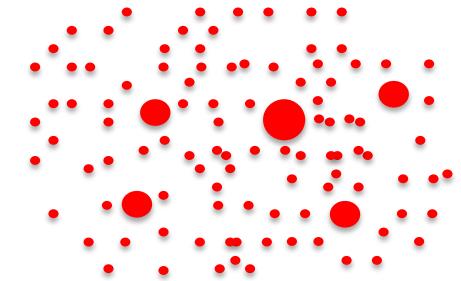
Comparison with OS approach

# Write rationing garbage collection

Monitor fine grained write behavior  
of objects

Exploit managed runtimes to organize  
objects in hybrid memory

Kingsguard collectors improve  
PCM lifetime





# Crystal Gazer: Profile-Driven Write-Rationing Garbage Collection for Hybrid Memories

Shoaib Akram (Ghent), Jennifer B. Sartor (Ghent and VUB),  
Kathryn S. McKinley (Google), and Lieven Eeckhout (Ghent)

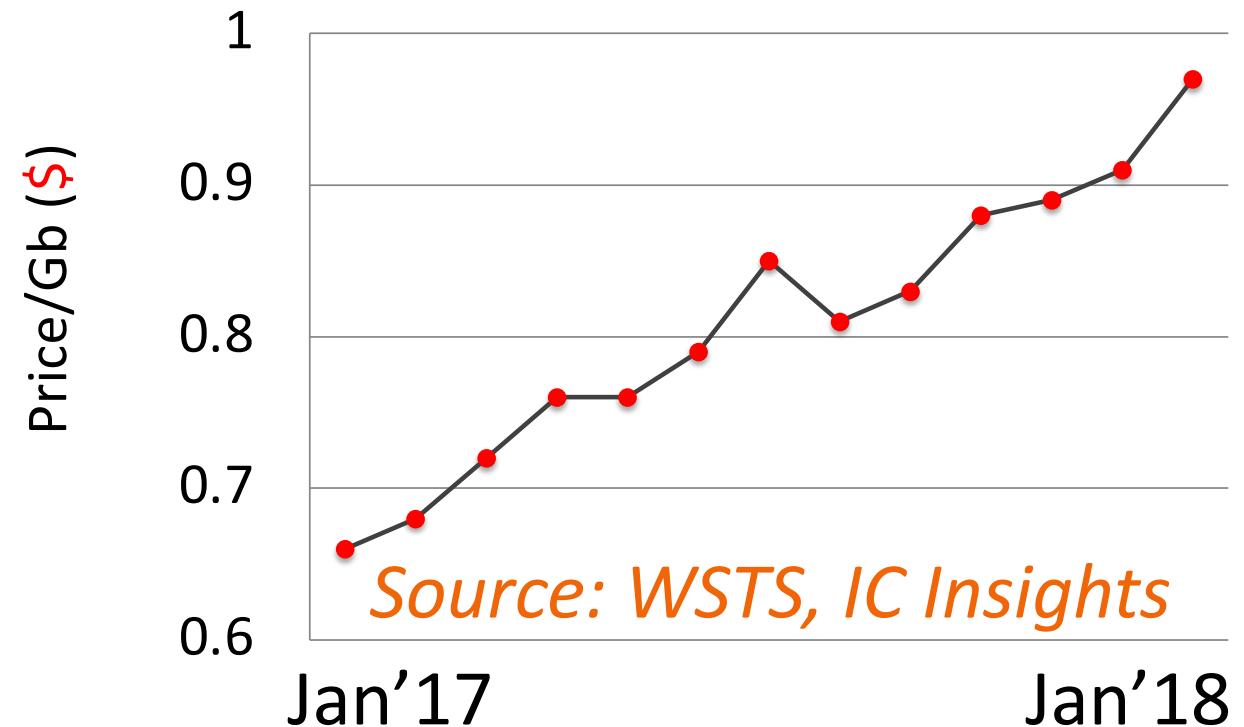
[Shoaib.Akram@UGent.be](mailto:Shoaib.Akram@UGent.be)



# Main memory capacity expansion

DRAM → Charge storage a scaling limitation

*Manufacturing complexity makes DRAM pricing volatile*



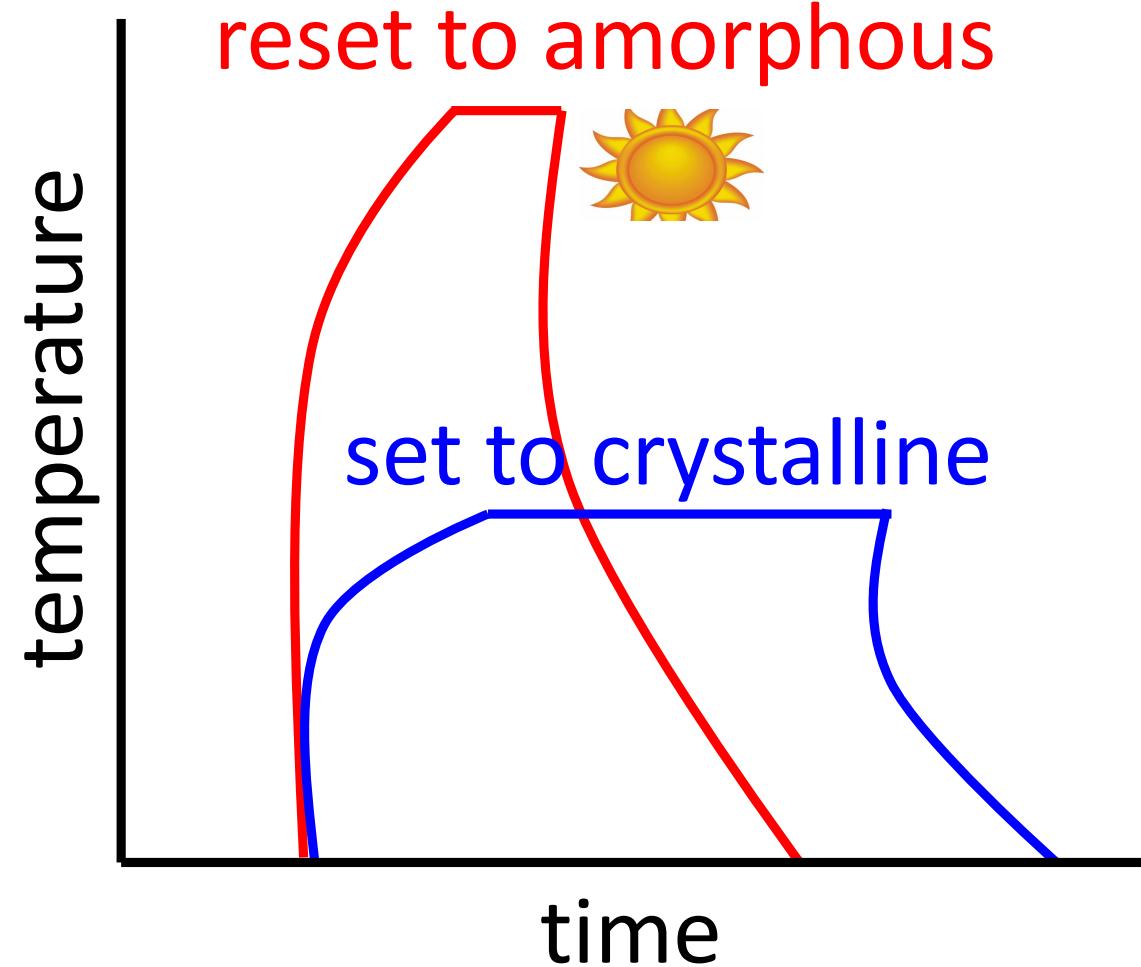
# Phase change memory (PCM)

More Gb/\$

Byte addressable

Latency → DRAM

 Write endurance



# Hybrid DRAM-PCM memory

Speed  
Endurance

Capacity

---

DRAM

---

PCM

PCM alone can wear out in a few months time

*This work → Use DRAM to limit PCM writes*

# Garbage Collection to limit PCM writes

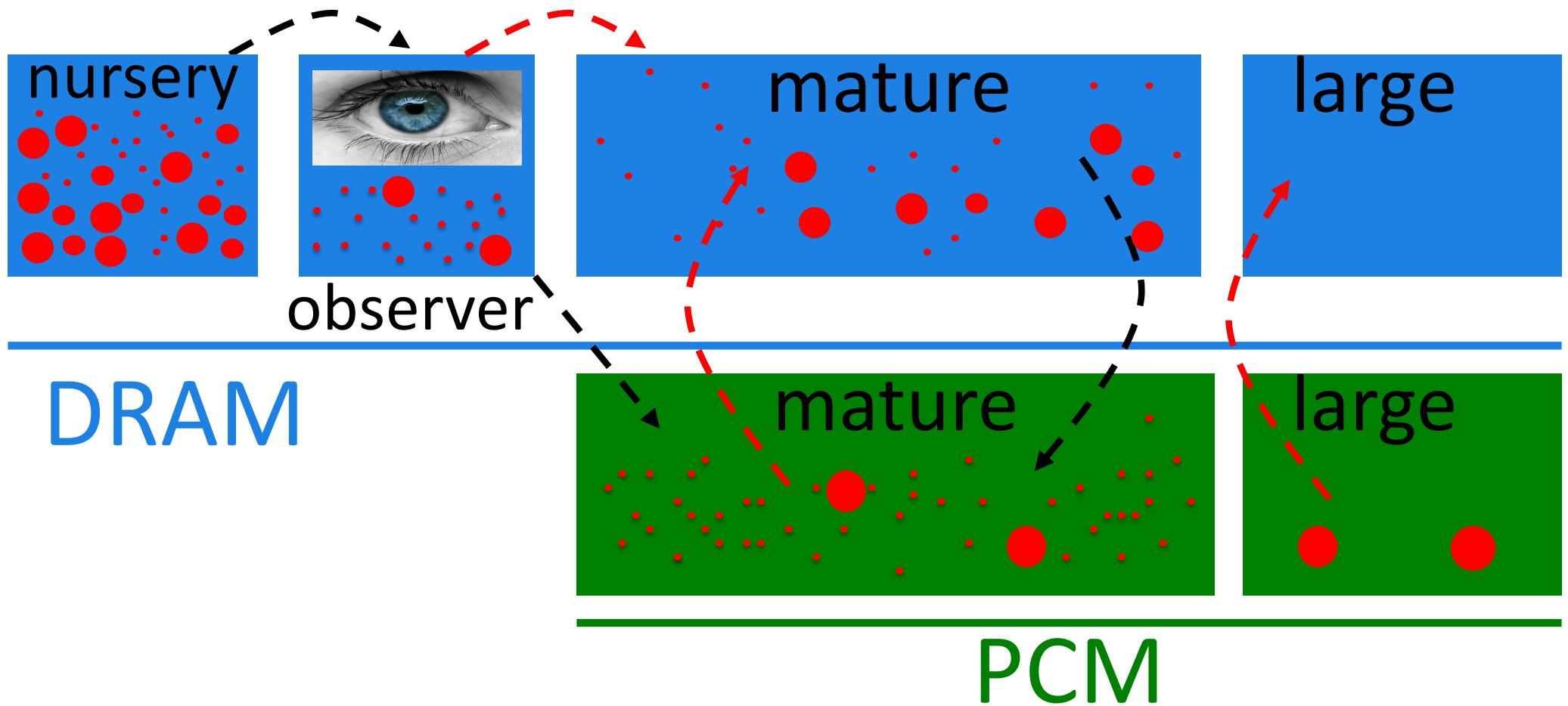
GC understands memory semantics

A GC approach is *finer grained* than OS approaches



*Write-Rationing Garbage Collection for Hybrid Memories, PLDI, 2018*

# KG-W Kingsguard-Writers



# KG-W drawbacks

Overhead of dynamic monitoring

Limited time window to predict write intensity  
→ mispredictions

Excessive & fixed DRAM consumption

# Predicting highly written objects without a **DRAM** observer

## Crystal Gazer



# Allocation site as a write predictor

```
a = new Object()
b = new Object()
c = new Object()
d = new Object()
```

# Allocation site as a write predictor

```
a = new Object()
b = new Object()
c = new Object()
d = new Object()
```

Uniform distribution 😕

# Allocation site as a write predictor

```
a = new Object()  
b = new Object()  
c = new Object()  
d = new Object()
```

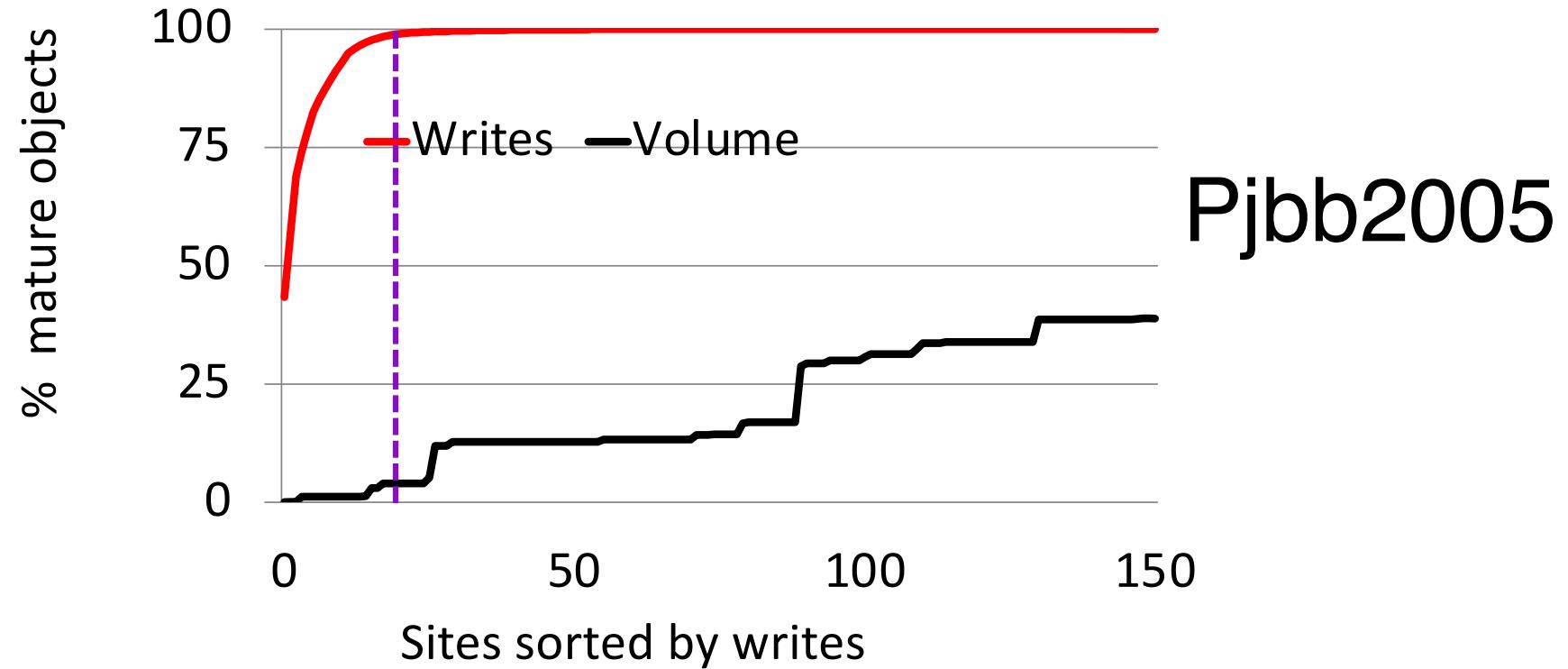


```
a = new Object()  
b = new Object()  
c = new Object()  
d = new_dram Object()
```

Uniform distribution 😕

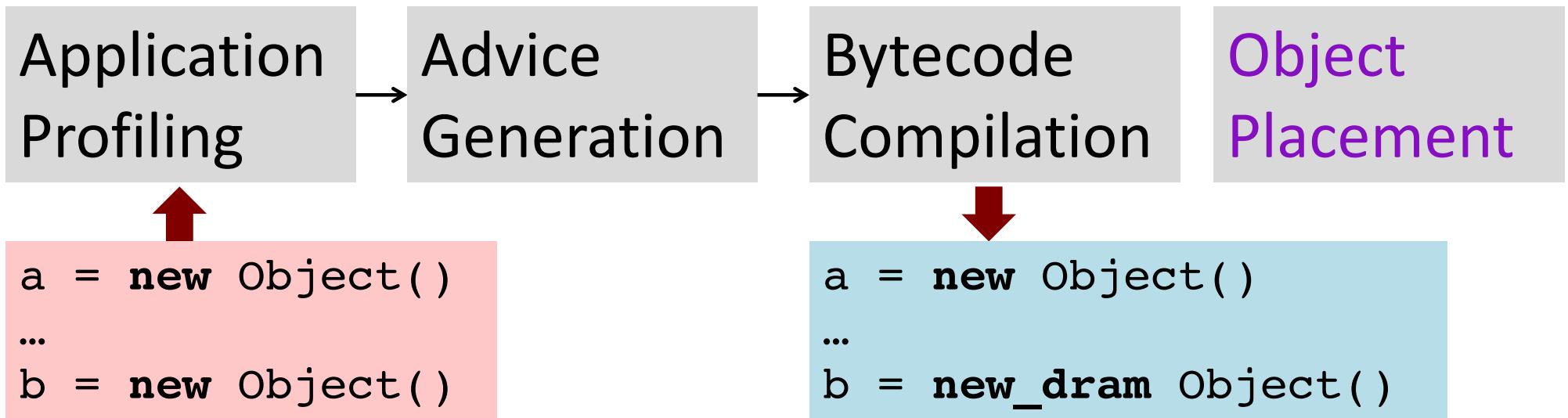
Skewed distribution 😊

# Write distribution by allocation site



A few sites capture majority of the **writes**

# Crystal Gazer overview



# Application profiling (offline)

Goal: Generate a write intensity trace

Object Identifier	# Writes	# Bytes	Allocation site
O1	0	4	A() + 10
O2	0	4	A() + 10
O3	2048	4	A() + 10
O4	2048	4096	B() + 4

# Tracking alloc sites and # writes

Object layout



# writes

alloc site

Compiler inserts code to compute alloc sites

Write barrier tracks # writes to each object

# Application Profiling

Minimize full-heap collections → 3 GB heap

Nursery size a balance b/w size of trace  
and mature object coverage

2.4X slowdown across 15+ applications

# Advice generation

Goal: Generate <alloc-site, advice> pairs

advice → DRAM or PCM

input is a write-intensity trace

Two heuristics to classify allocation sites as  
DRAM or PCM

# Alloc site classification heuristics

Freq: A *threshold* % of objects from a site get more than a *threshold* # writes → DRAM



Aggressively limits PCM writes



No distinction based on object size

# Alloc site classification heuristics

Write density → Ratio of **# writes** to object size

**Dens:** A *threshold* % of objects from a site have more than a *threshold* **write density** → **DRAM**

# Classification thresholds

Homogeneity threshold → 1%

Frequency threshold → 1

Density threshold → 1

# Classification examples

Frequency threshold = 1

PCM writes = ?, DRAM bytes = ?

Object Identifier	# Writes	# Bytes	Allocation site
O1	0	4	A() + 10
O2	0	4	A() + 10
O3	128	4	A() + 10
O4	128	4096	B() + 4

# Classification examples

Frequency threshold = 1

PCM writes = ?, DRAM bytes = ?

Object Identifier	# Writes	# Bytes	Allocation site
O1	0	4	A() + 10
O2	0	4	A() + 10
→ O3	128	4	A() + 10
→ O4	128	4096	B() + 4

# Classification examples

Frequency threshold = 1

PCM writes = 0/256, DRAM bytes = 5008



Object Identifier	# Writes	# Bytes	Allocation site
O1	0	4	A() + 10
O2	0	4	A() + 10
O3	128	4	A() + 10
O4	128	4096	B() + 4

# Classification examples

Density threshold = 1

PCM writes = ?, DRAM bytes = ?

Object Identifier	# Writes	# Bytes	Allocation site
O1	0	4	A() + 10
O2	0	4	A() + 10
O3	128	4	A() + 10
O4	128	4096	B() + 4

# Classification examples

Density threshold = 1

PCM writes = ?, DRAM bytes = ?

Object Identifier	# Writes	# Bytes	Allocation site
O1	0	4	A() + 10
O2	0	4	A() + 10
O3	128	4	A() + 10
O4	128	4096	B() + 4

→ 32

# Classification examples

Density threshold = 1

PCM writes = ?, DRAM bytes = ?

Object Identifier	# Writes	# Bytes	Allocation site
O1	0	4	A() + 10
O2	0	4	A() + 10
O3	128	4	A() + 10
O4	128	4096	B() + 4

→ 32  
→ < 1

# Classification examples

Density threshold = 1

PCM writes = 128/256, DRAM bytes = 12

Object Identifier	# Writes	# Bytes	Allocation site
O1	0	4	A() + 10
O2	0	4	A() + 10
O3	128	4	A() + 10
O4	128	4096	B() + 4

→ 32  
→ < 1

# Bytecode compilation

Introduce a new bytecode → *new\_dram()*

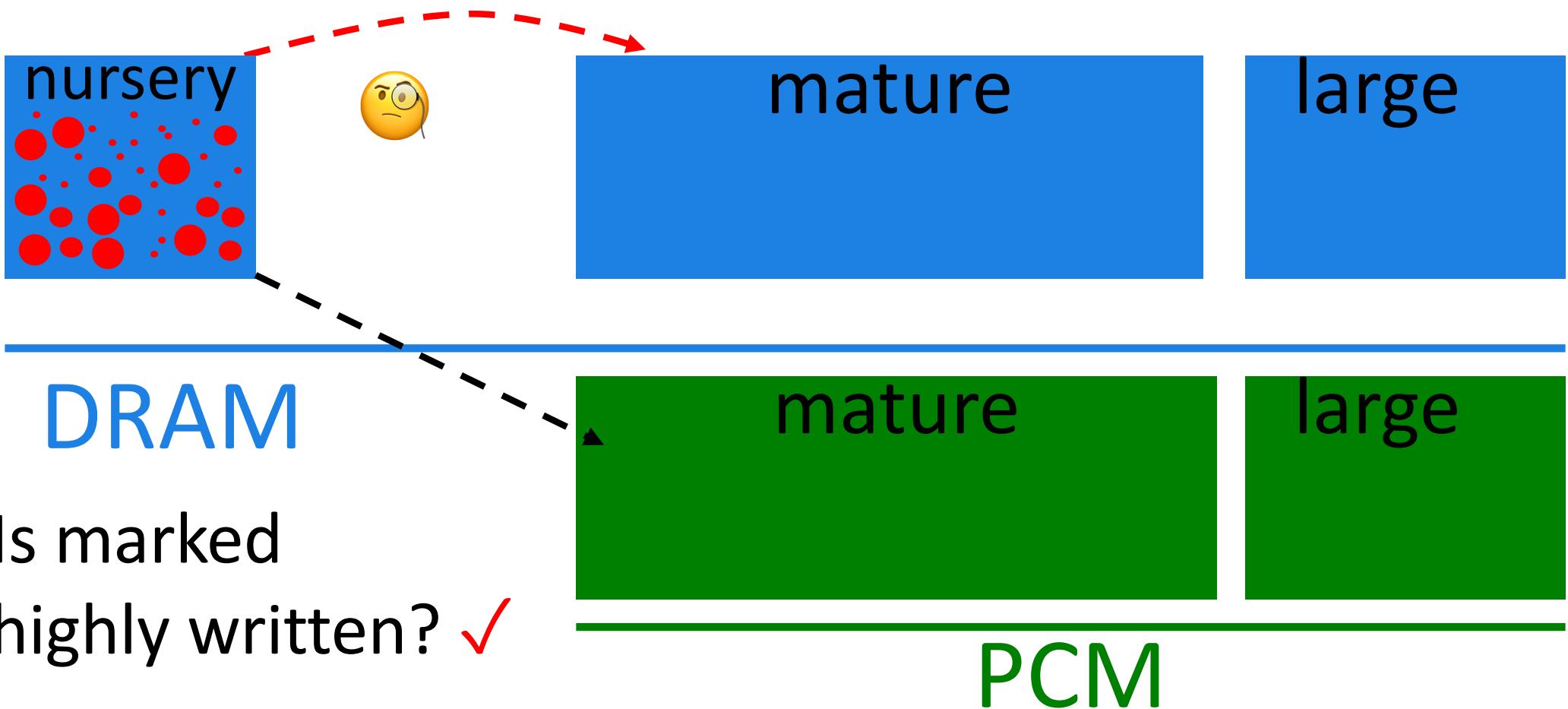
Bytecode rewriter modifies DRAM sites to use  
*new\_dram()*

# Object placement

*new\_dram()* → Set a bit in the object header

GC → Inspect the bit on nursery collection to copy object in **DRAM** or **PCM**

# Object placement



# Key features of Crystal Gazer

Eliminate overheads of dynamic monitoring

Proactive → less mispredictions

Reduces DRAM usage & opens up pareto-optimal tradeoffs b/w capacity and lifetime

# Evaluation methodology

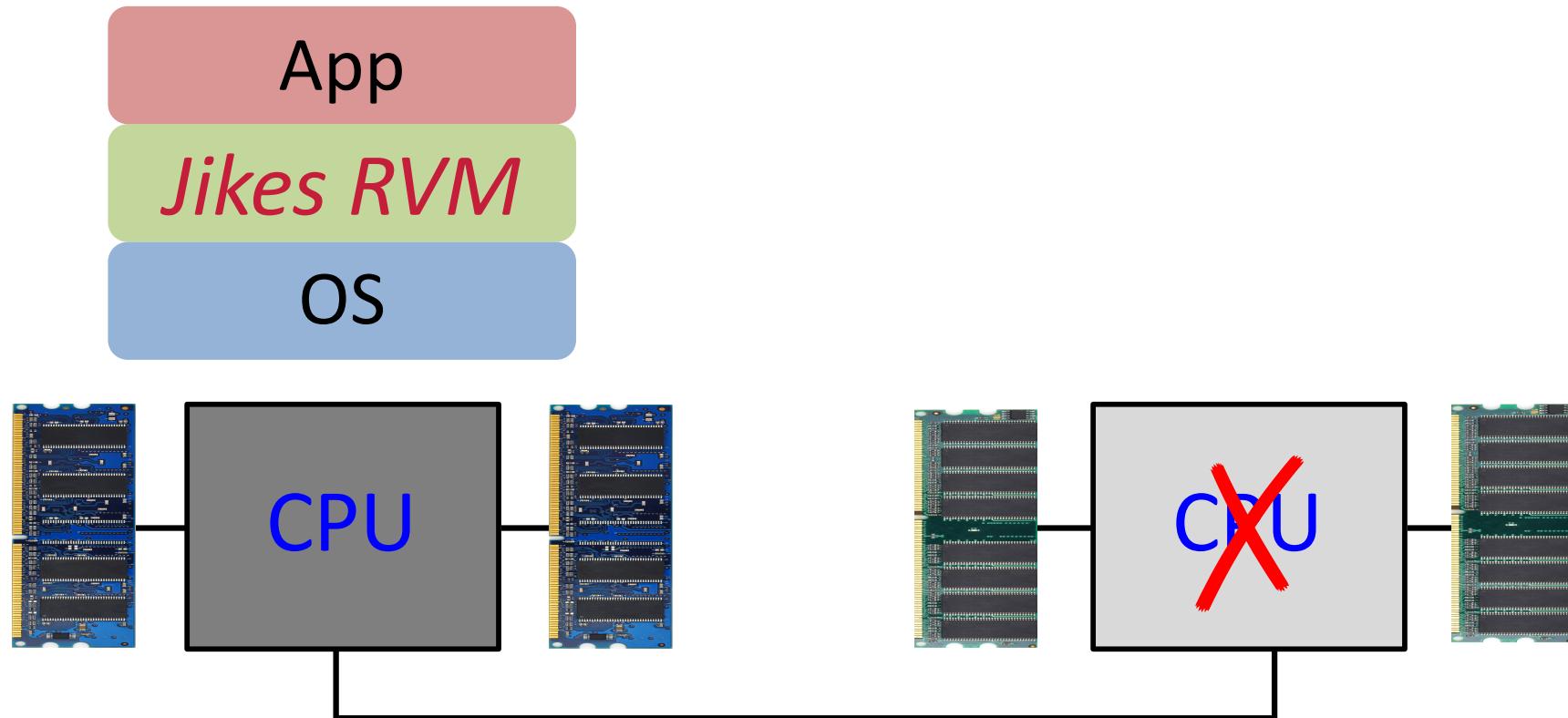
15 Applications → DaCapo, GraphChi, SpecJBB

Medium-end server platform

Different inputs for production and advice

Jikes RVM

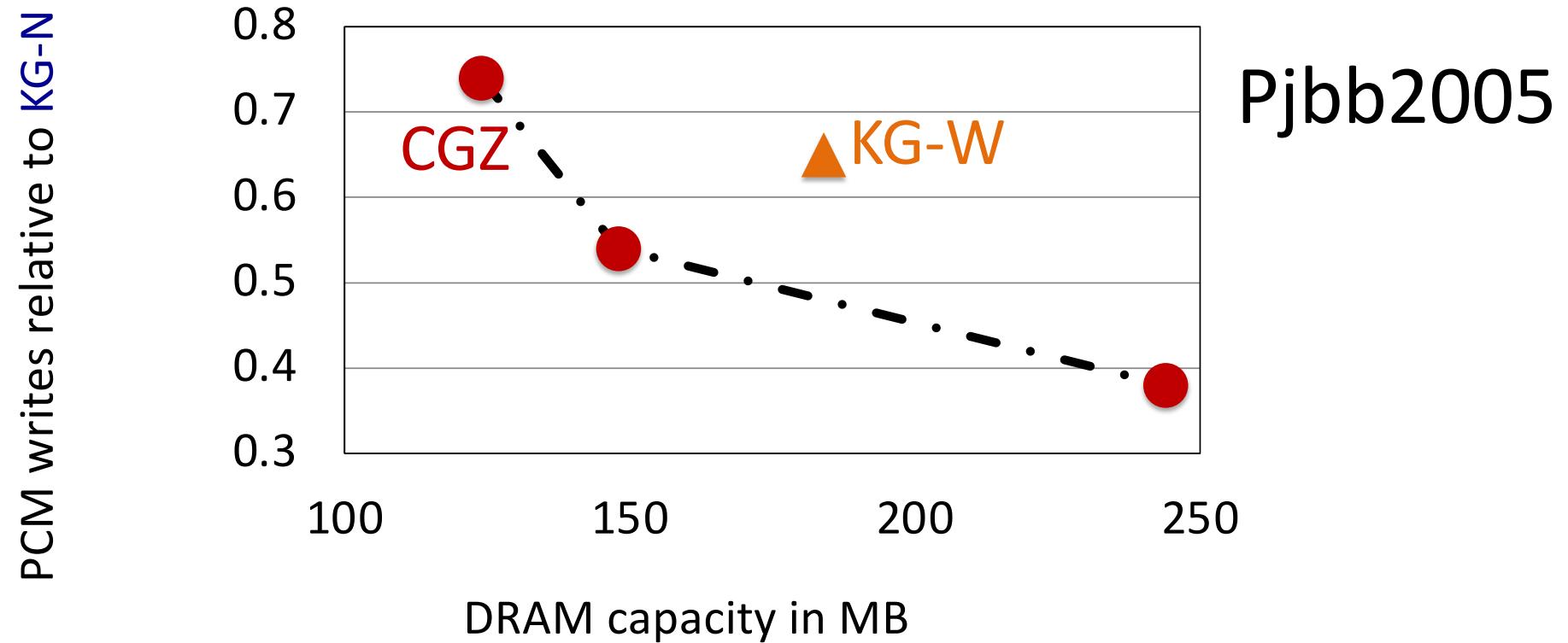
# Emulation on NUMA hardware



16 hardware threads and 20 MB L3

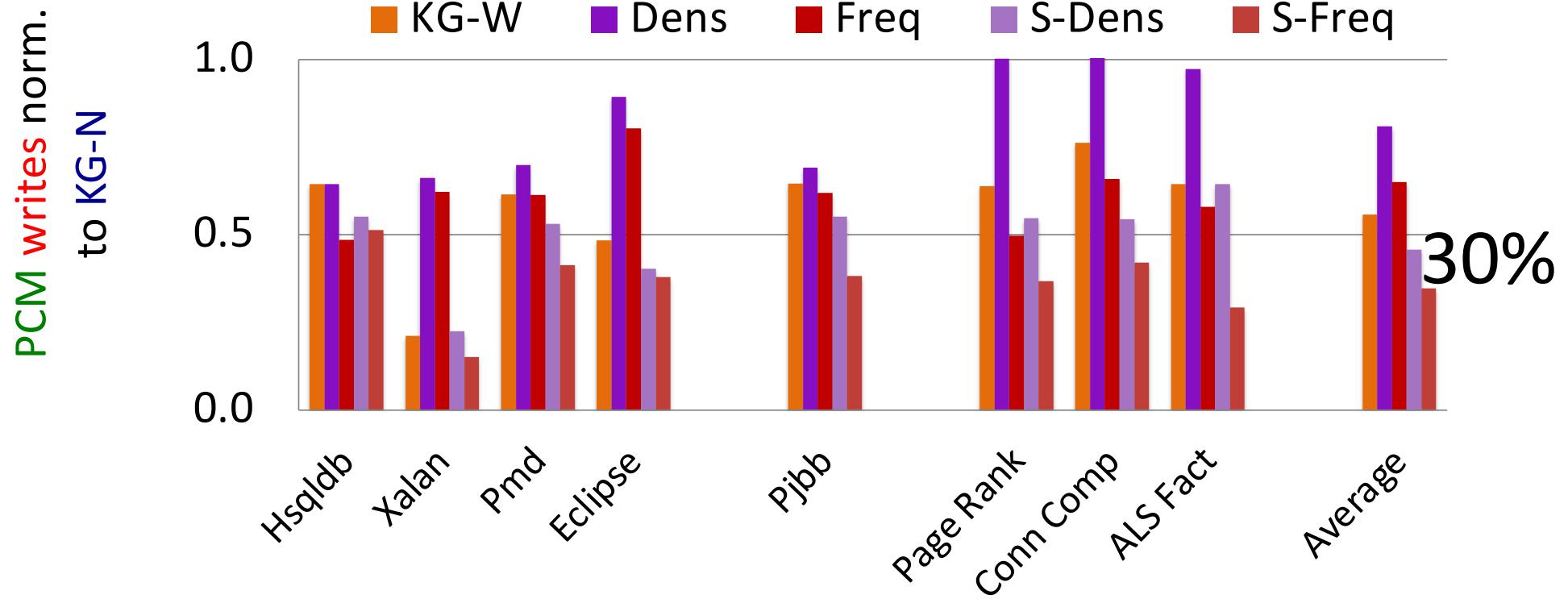
Use [Intel pcm-memory.x](#) to get per-socket write rate

# Lifetime versus DRAM capacity



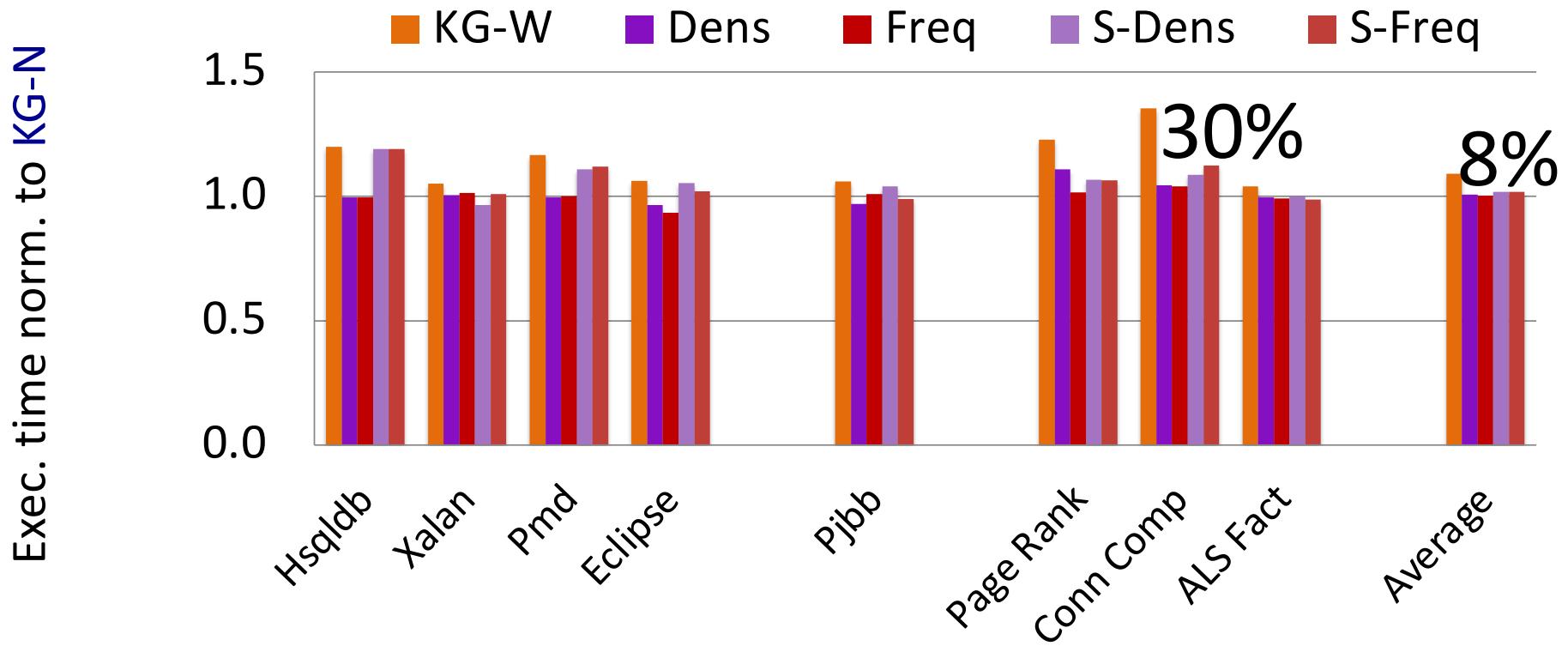
Crystal Gazer provides Pareto-optimal choices

# PCM Writes



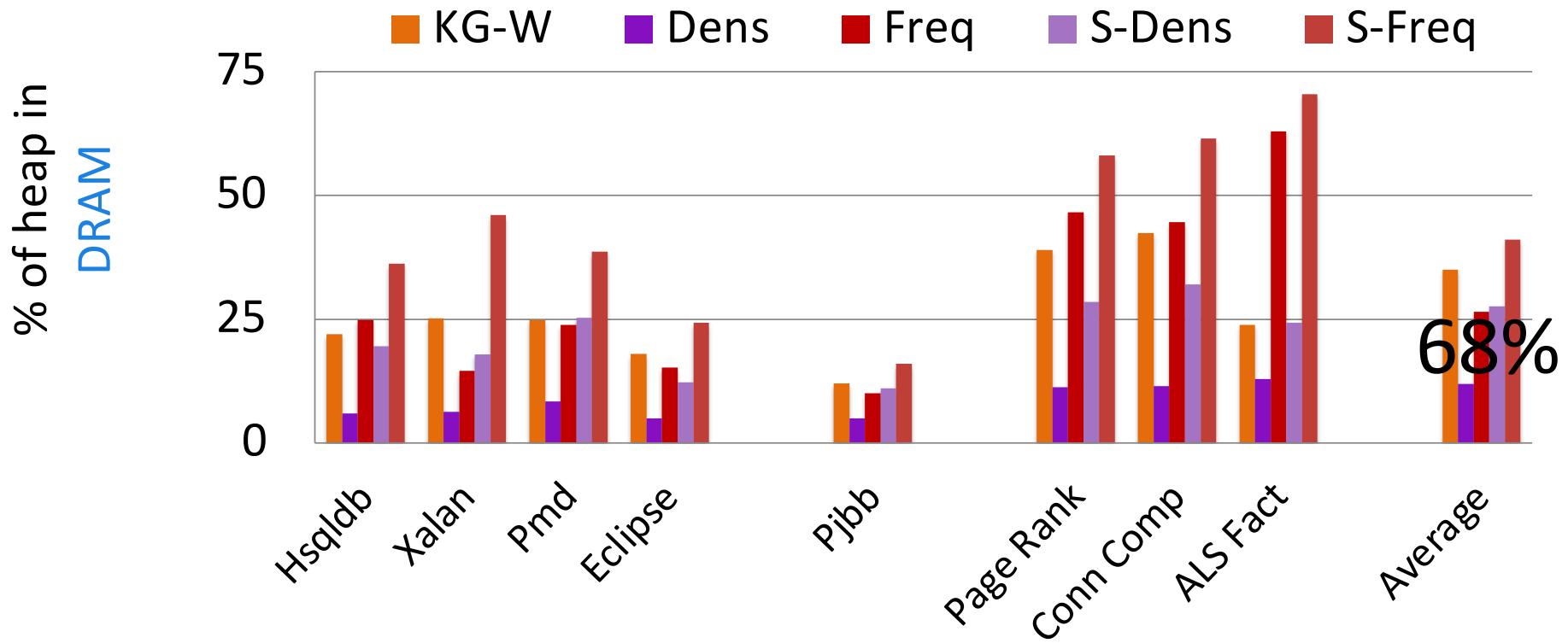
To optimize for lifetime, use **Freq** & survivors

# Execution time



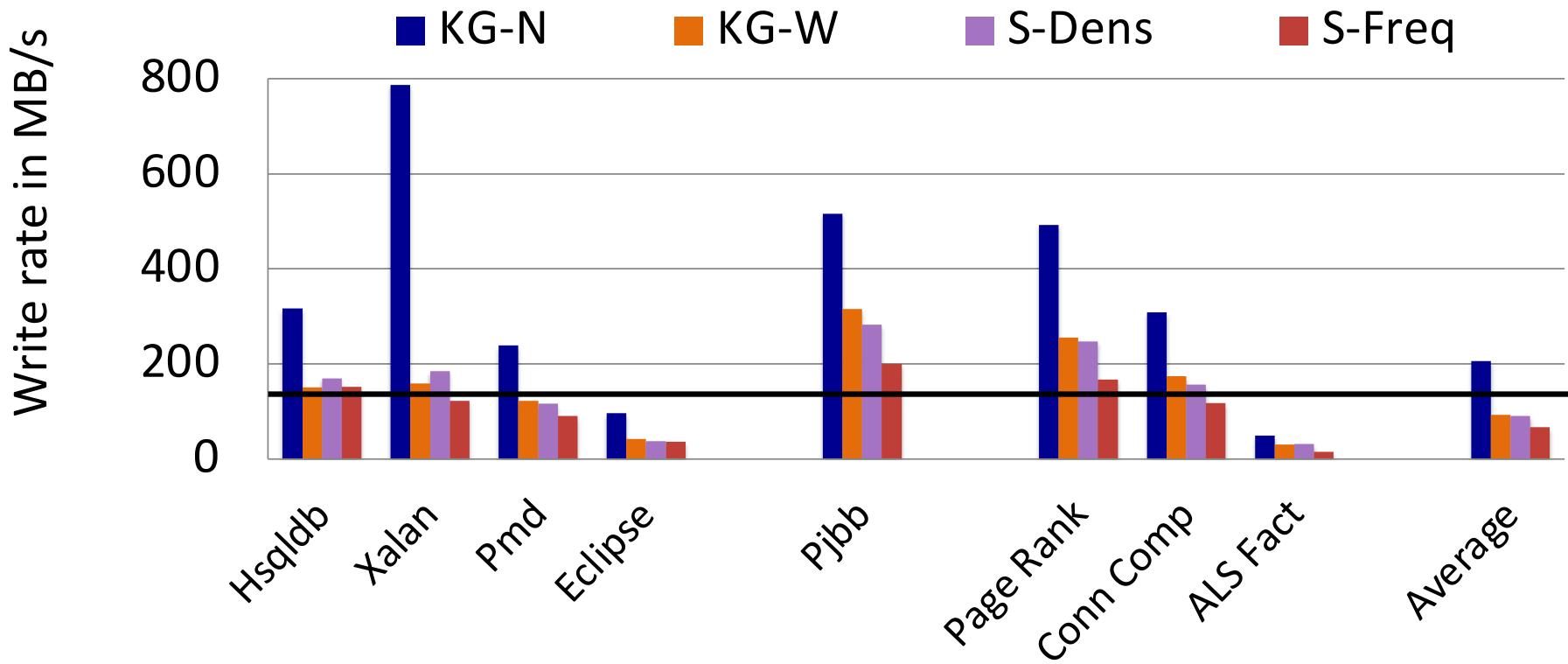
To optimize for performance, use **Freq** or **Dens**

# DRAM capacity



To optimize for **DRAM** usage, use **Dens**

# Write rates



Write-rationing GC makes PCM practical

# Profile-driven write-rationing GC

Hybrid memory is inevitable

DRAM

PCM

Allocation site a good predictor of writes

Static approach beats dynamic

- Better performance
- Reduced **DRAM** capacity
- Better **PCM** lifetime

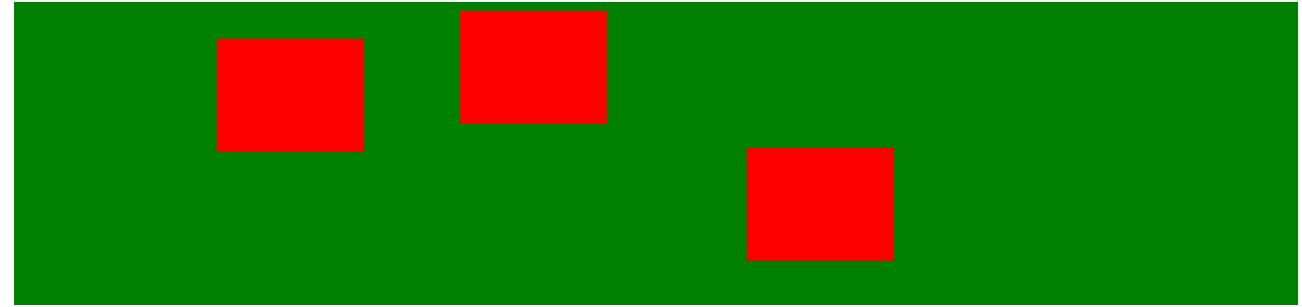


# OS to limit PCM writes



---

DRAM



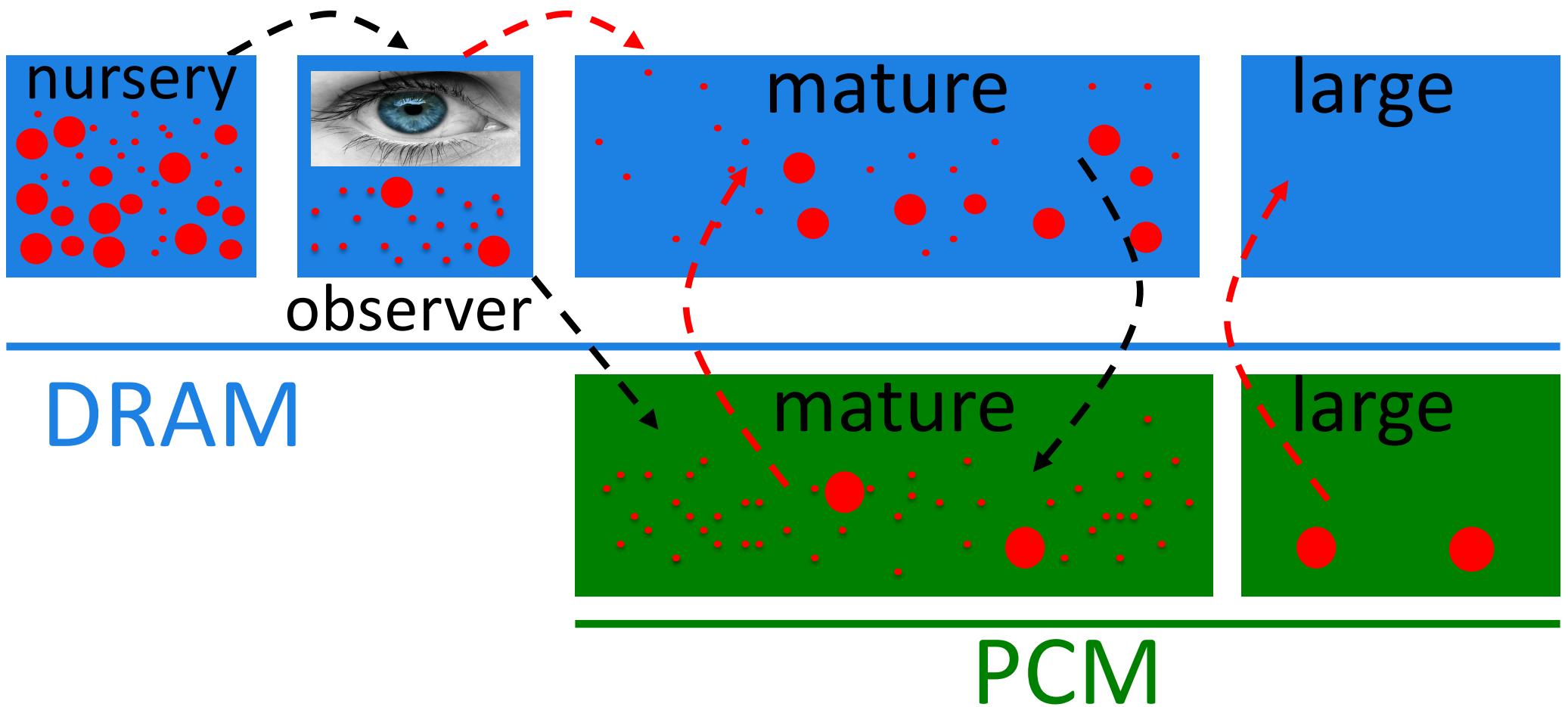
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PCM

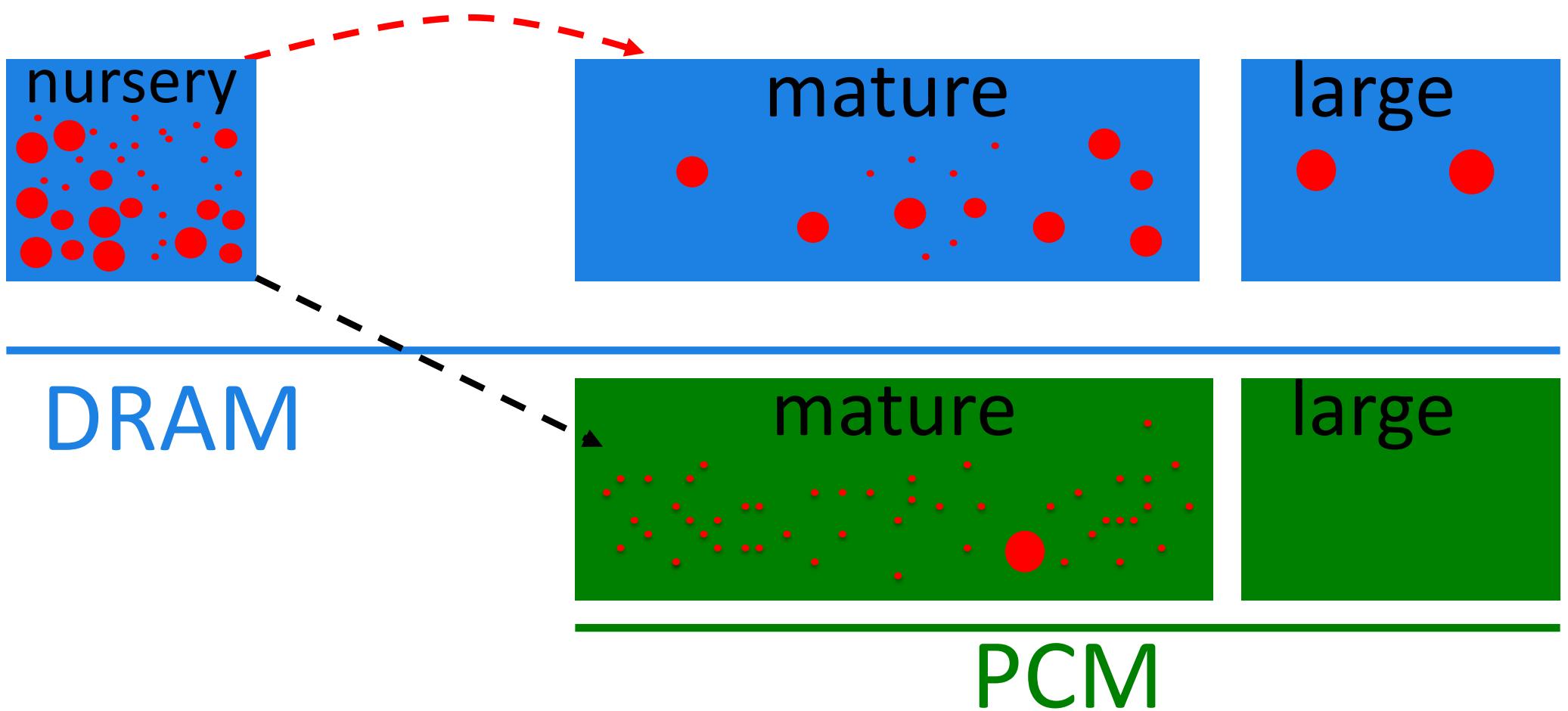
Coarse-grained data movement is inefficient

Page migrations hurt performance and lifetime

# Object placement



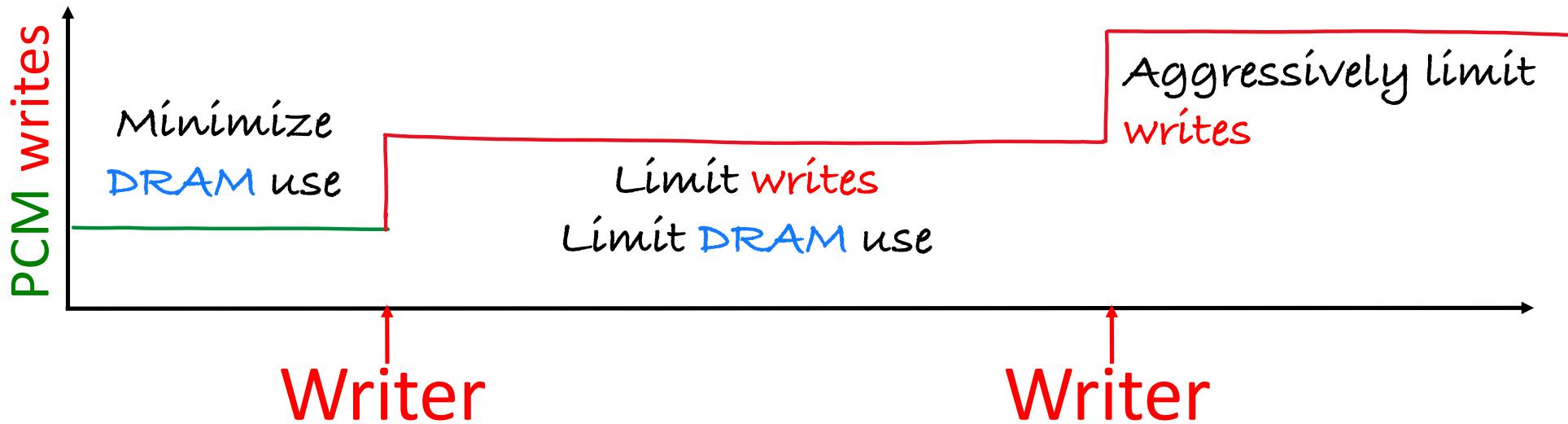
# Object placement



# Proteus: Workload-adaptive write-rationing GC



*Problem: continuous workload*



Proteus: encode advice for different scenarios in object headers



# Hybrid DRAM-PCM memory

- 😊 More GB/\$ with Phase Change Memory
- 😔 Higher latency and low endurance

Speed  
Endurance

---

DRAM

Capacity

---

PCM

# Managing DRAM-PCM memory

Mitigate PCM wear-out

Bridge the DRAM-PCM latency gap

Speed  
Endurance

---

DRAM

Capacity

---

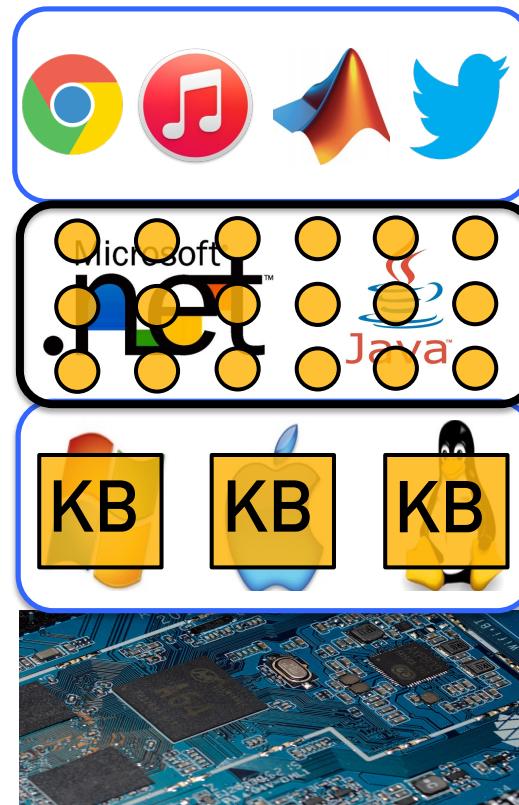
PCM

# Managing DRAM-PCM memory



*Write-Rationing  
Garbage Collection  
for Hybrid Memory*

Operating System  
**Coarse-grained**  
pages  KB



Garbage collection  
Proactive 😊  
Fine-grained  
objects ••••

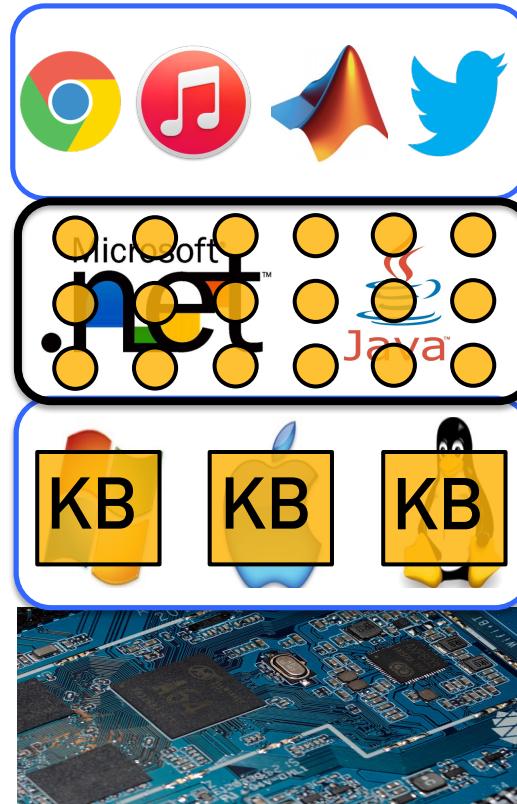
GC manages DRAM-PCM hybrid better than OS

# Managing DRAM-PCM memory



*Write-Rationing  
Garbage Collection  
for Hybrid Memory*

Operating System  
**Coarse-grained**  
pages  KB



Garbage collection  
Proactive ☺  
Fine-grained  
objects ••••

GC manages DRAM-PCM hybrid better than OS

# Pros/cons of simulating DRAM-PCM

Gain insight

- What triggered the writeback to memory?

Study parameter sensitivity

Slow process

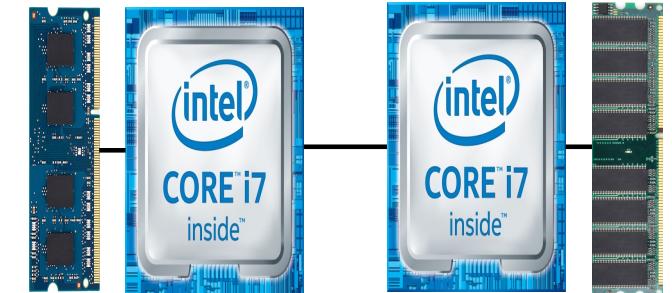
- Page Rank over twitter → hours versus months!

Incomplete model

- Missing OS or proprietary hardware features

# Emulation for hybrid memory

Multi-socket NUMA for emulating  
**DRAM-PCM** hybrid memory



Fast evaluation of emerging workloads  
Several co-running BIG graph analytic  
applications written in Java

# Existing emulation platforms

Focus is to evaluate explicit memory management in C/C++

Focus is to model the latency of PCM

# Contribution: Emulation platform

DRAM-PCM emulation for managed applications



Comparison with Sniper using write-rationing garbage collectors



# Contribution: Analysis of PCM writes

PCM writes and write rates

C++ versus Java

Impact of multiprogramming

Classic versus emerging applications

Is PCM practical as main memory?

# Outline

Heap management

Kingsguard collectors

Comparison with simulation

Write analysis

# Outline

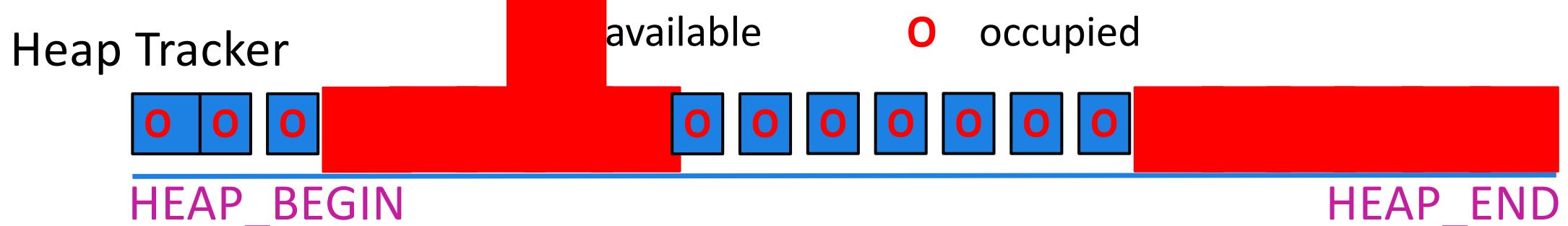
Heap management

Kingsguard collectors

Comparison with simulation

Write analysis

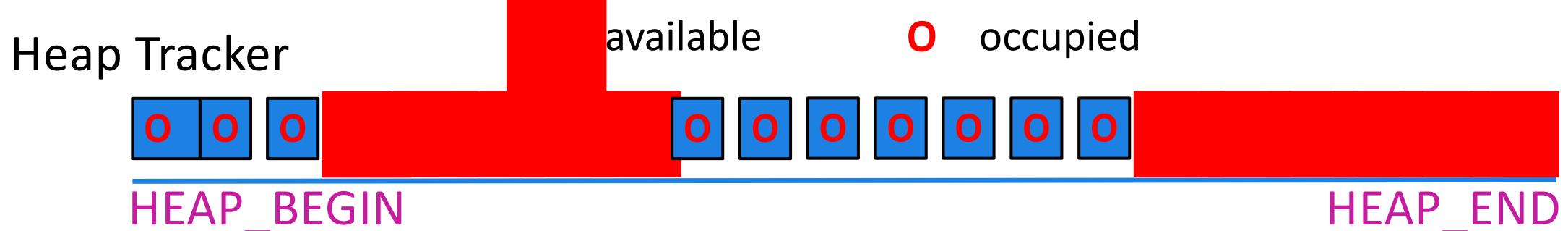
# DRAM heap management



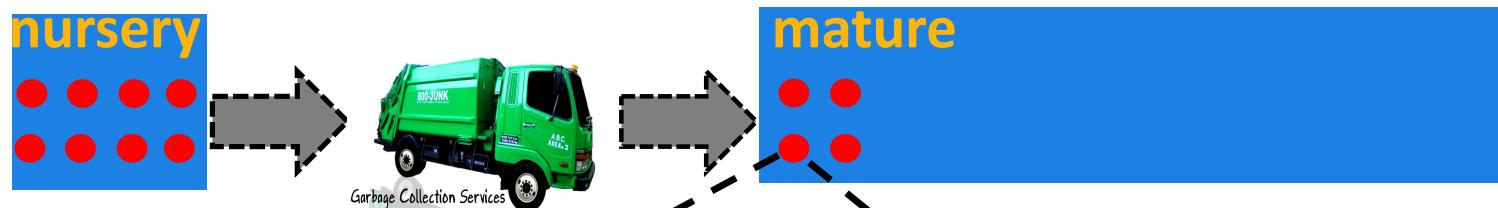
Heap Organization



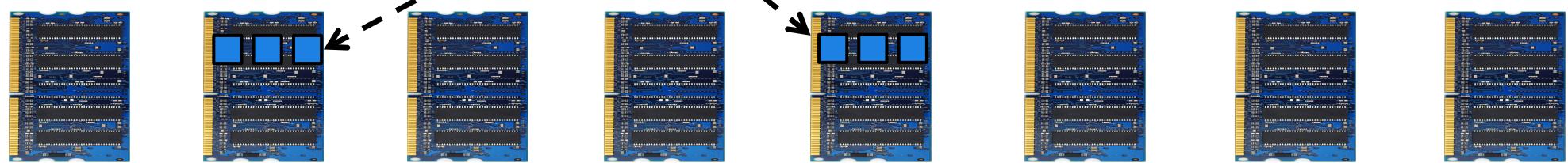
# DRAM heap management



Heap Organization

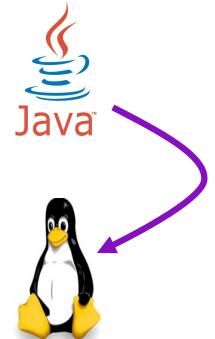


Physical Memory



# DRAM-PCM heap management

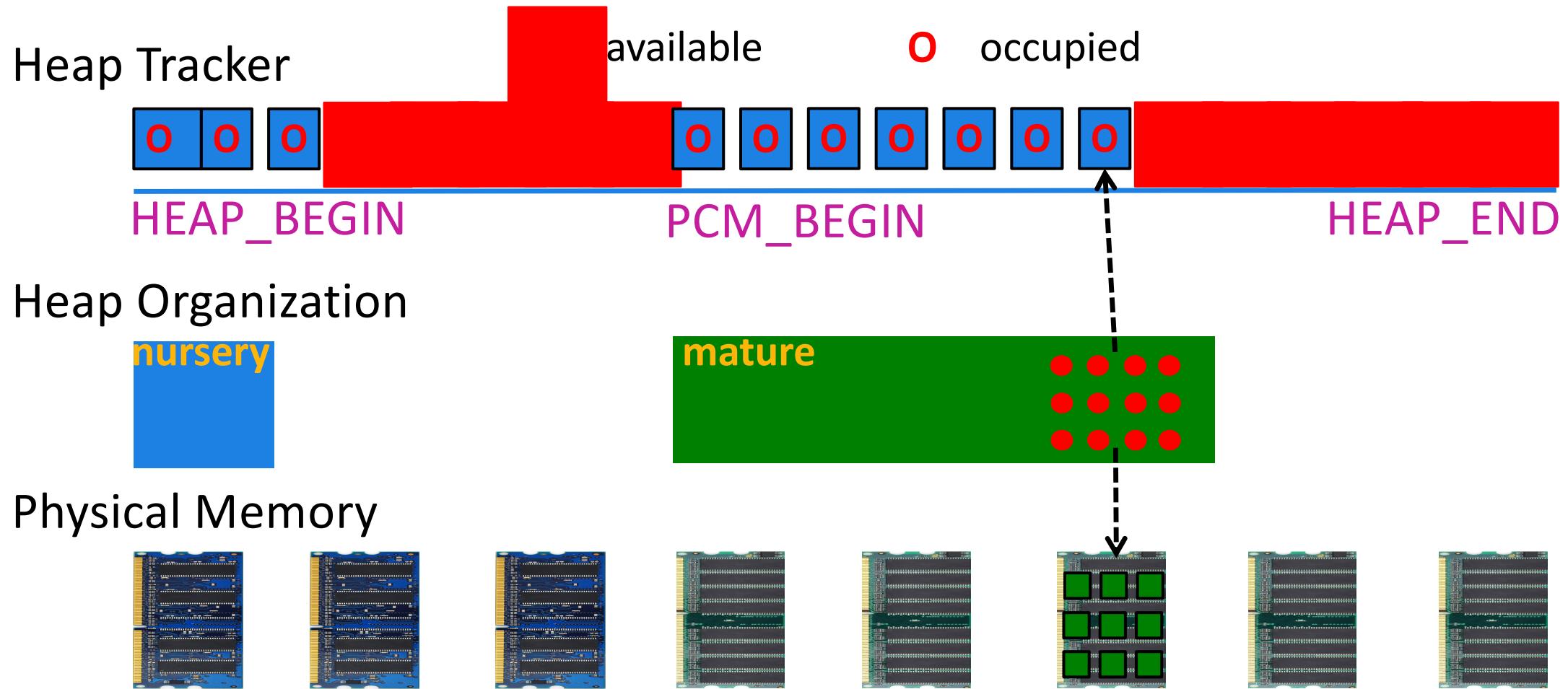
JVM uses `mbind()` to inform the OS to map  
a space in **DRAM** or **PCM**



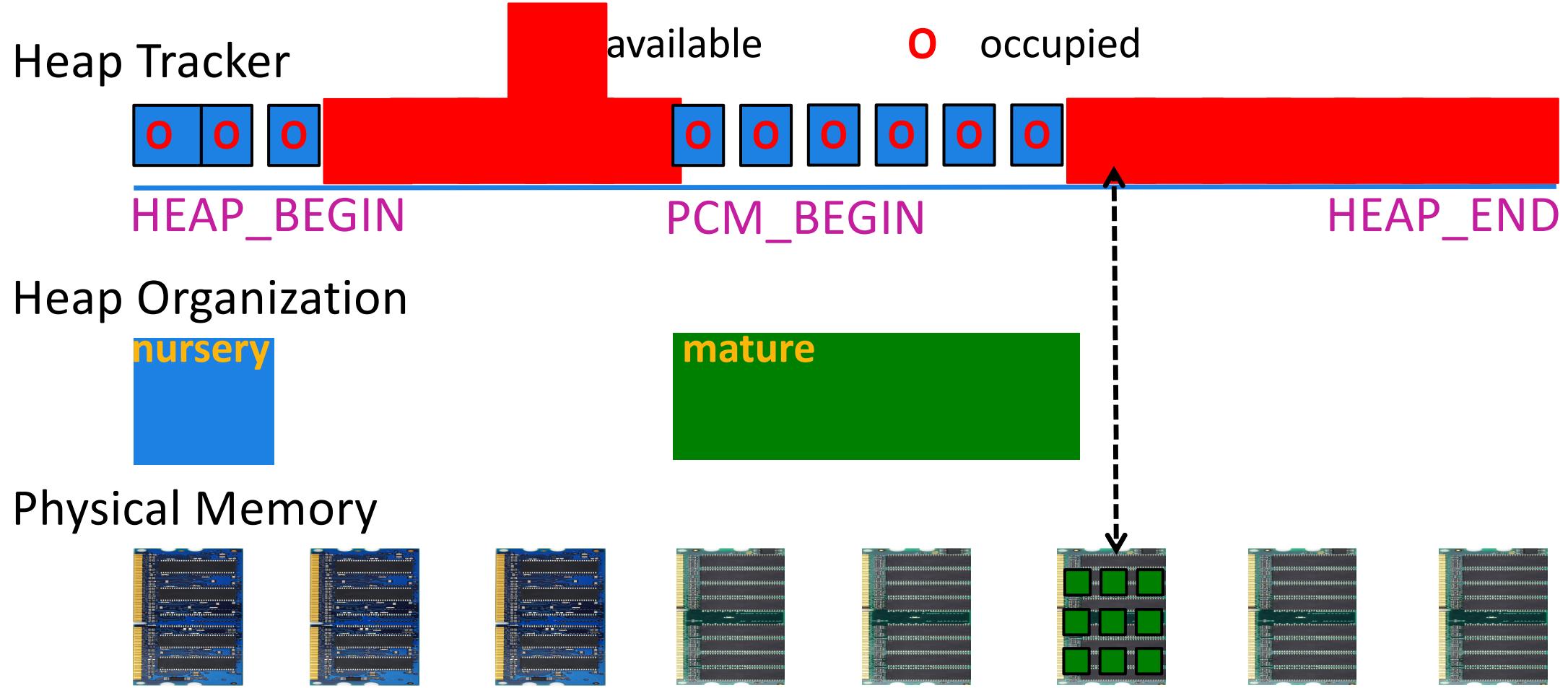
Anything else the JVM should do?

Next: Sanity check with a **DRAM nursery**  
and **PCM mature**

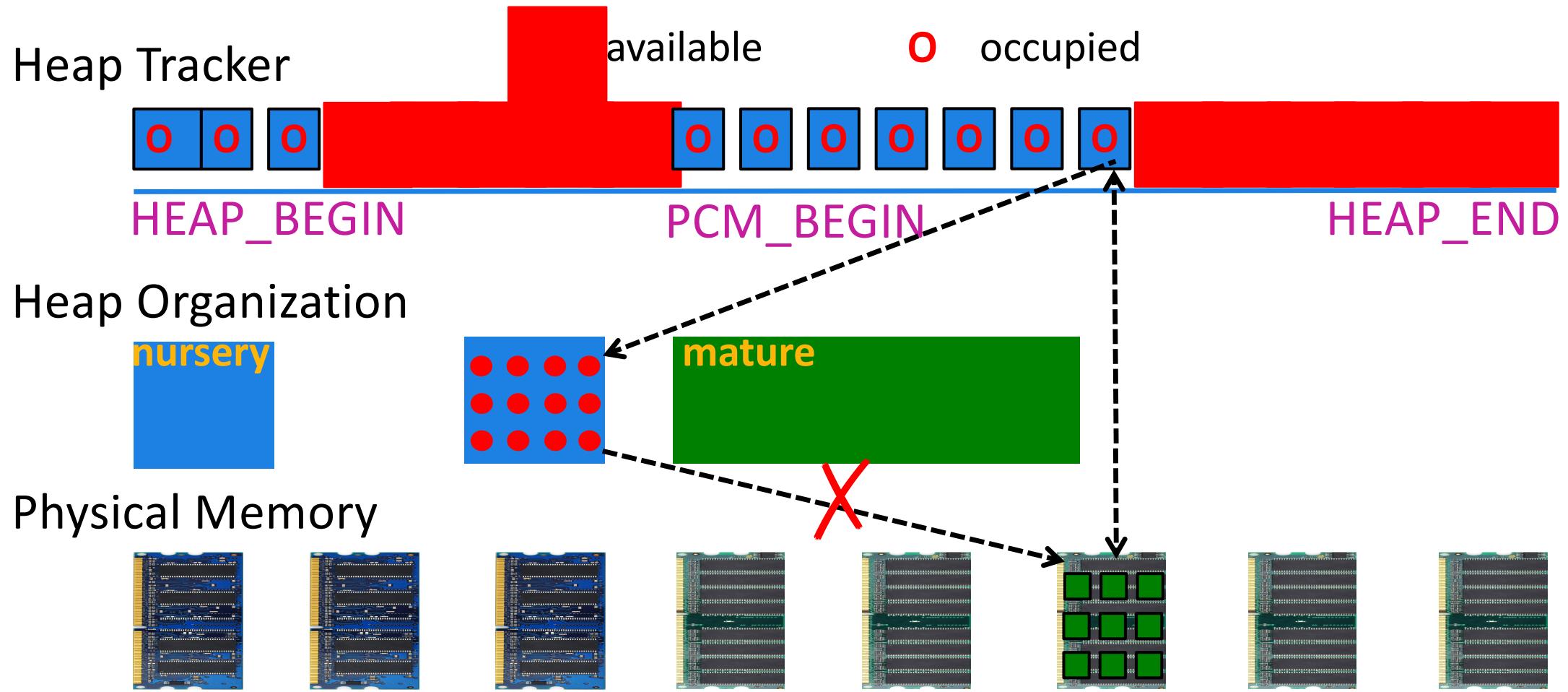
# DRAM-PCM heap management



# DRAM-PCM heap management



# DRAM-PCM heap management



# DRAM-PCM heap management

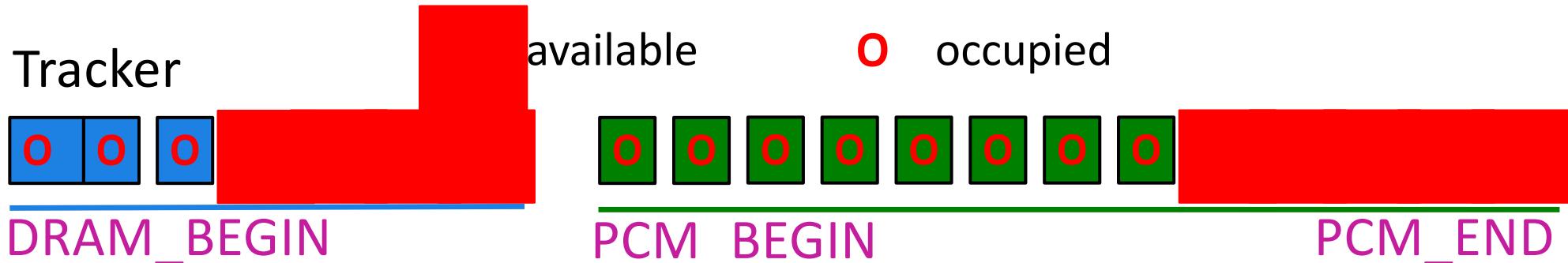
## Options

Map/unmap pages in physical memory whenever space grows/shrinks

Two free lists ✓

# DRAM-PCM heap management

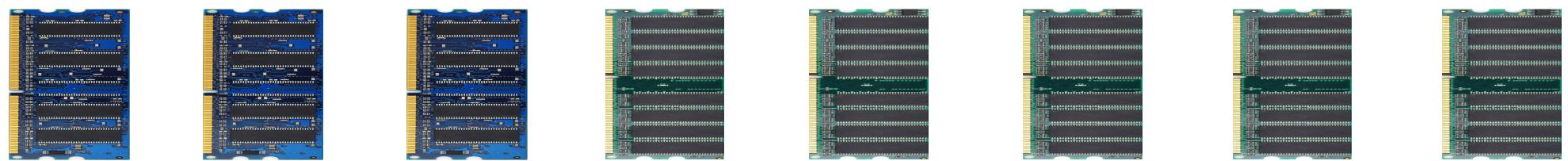
Heap Tracker



Heap Organization



Physical Memory



# Outline

Heap management

Kingsguard collectors

Comparison with simulation

Write analysis

# Kingsguard-Nursery (KG-N)



**Write-rationing GC:** concentrate **writes** in **DRAM**

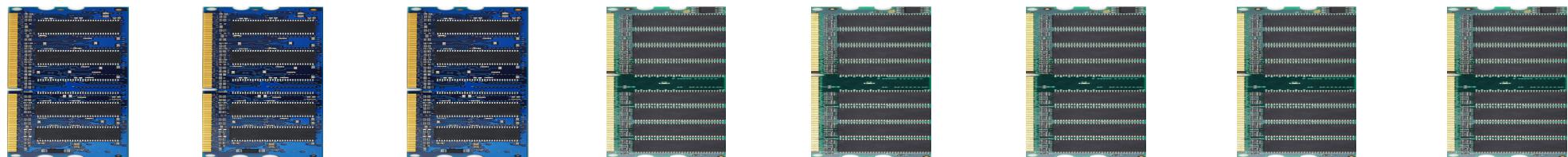
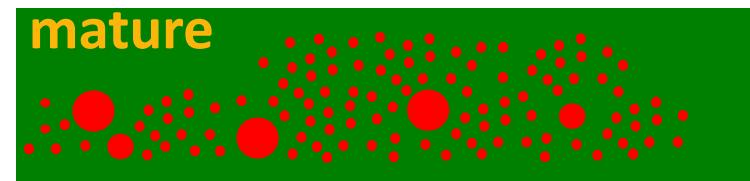
**70%**

of **writes**



**22%**

to **2% of objects**

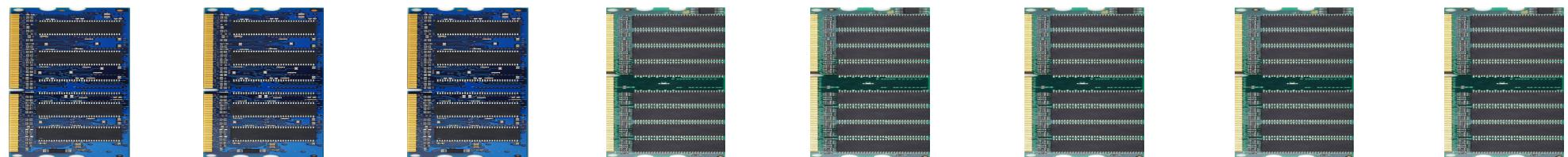
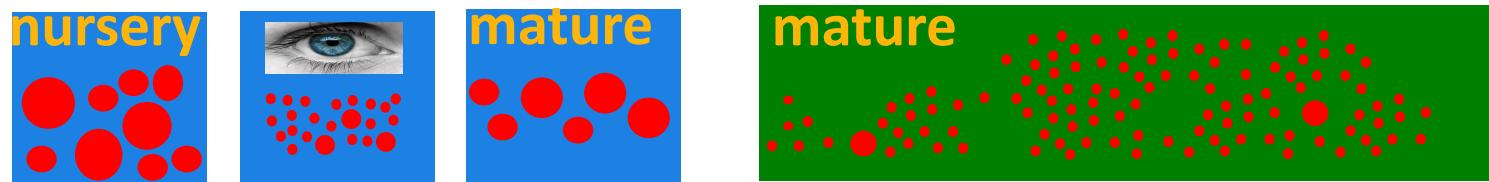


# Kingsguard-Writers (KG-W)

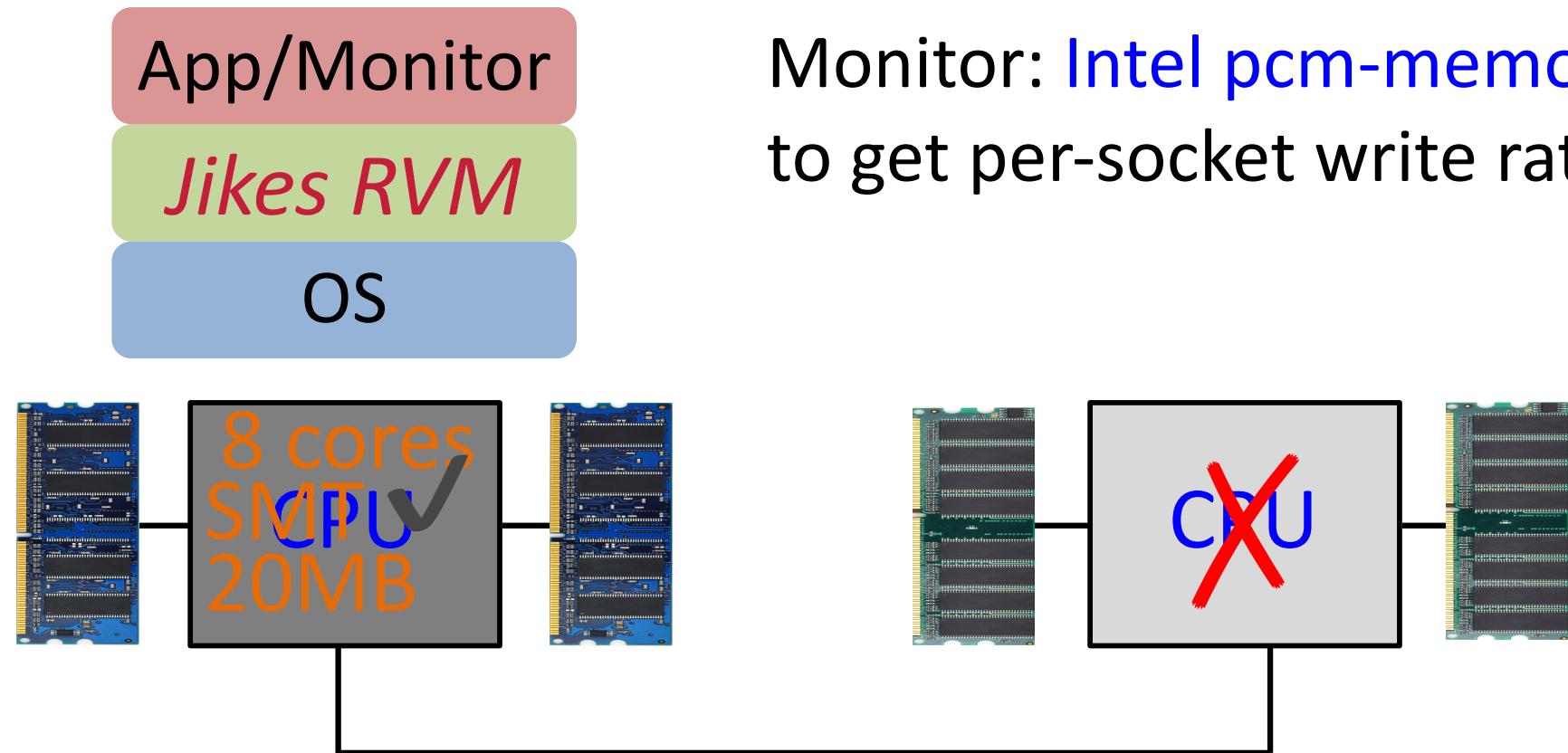


KG-W monitors writes in a DRAM observer space

Trades off performance for better endurance



# Emulation setup



# Emulation versus simulation

PCM write reduction with **KG-N** and **KG-W**  
versus **PCM-Only**

Execution time increase with **KG-W** versus **KG-N**

No OS in simulation  
Faithfully model emulator



# Reduction in PCM writes with KG-N and KG-W versus PCM-Only

Kingsguard collectors limit PCM writes

KG-W much better than KG-N

	Simulation	Emulation
KG-N	4%	8%
KG-W	62%	64%

# Increase in execution time with **KG-W** versus **KG-N**

**KG-W** is slower than **KG-N** because it monitors writes to objects

	Simulation	Emulation
<b>KG-W</b>	+7%	+10%

# Graph workload evaluation

GraphChi: Analyze BIG graphs on a single machine  
Both Java and C++ implementations

Page Rank *and* Connected Components

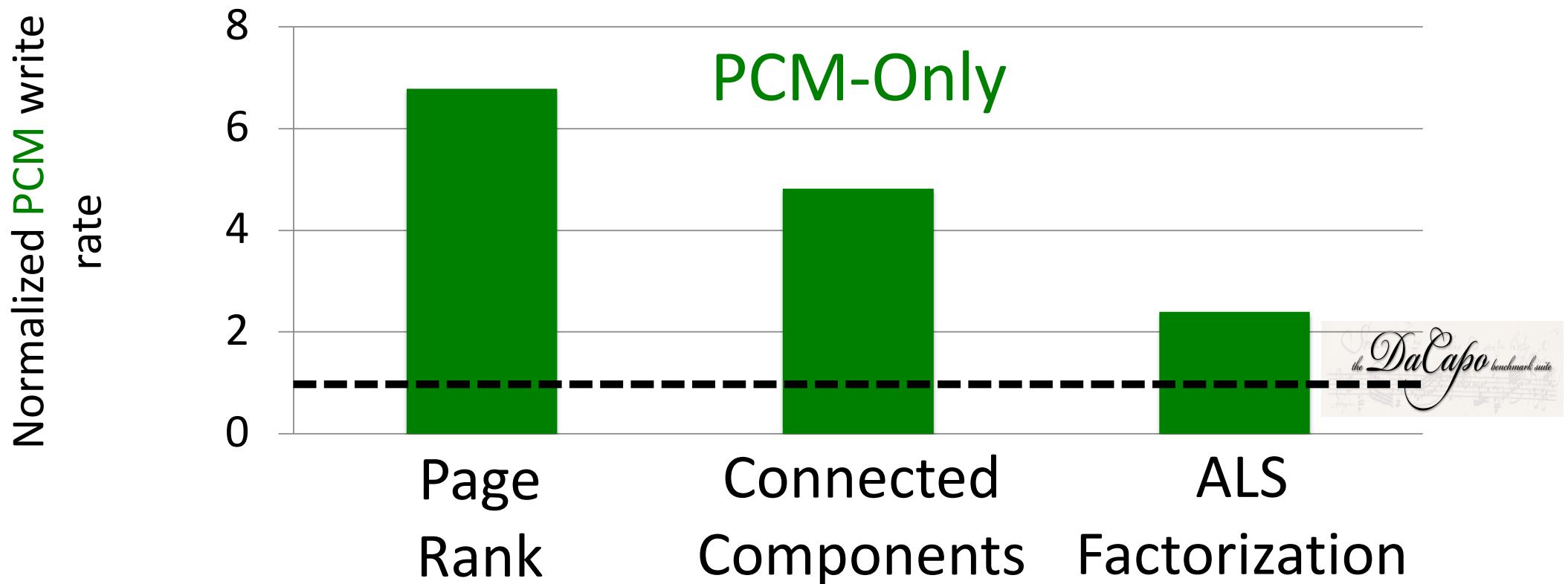
LiveJournal social network

ALS Factorization

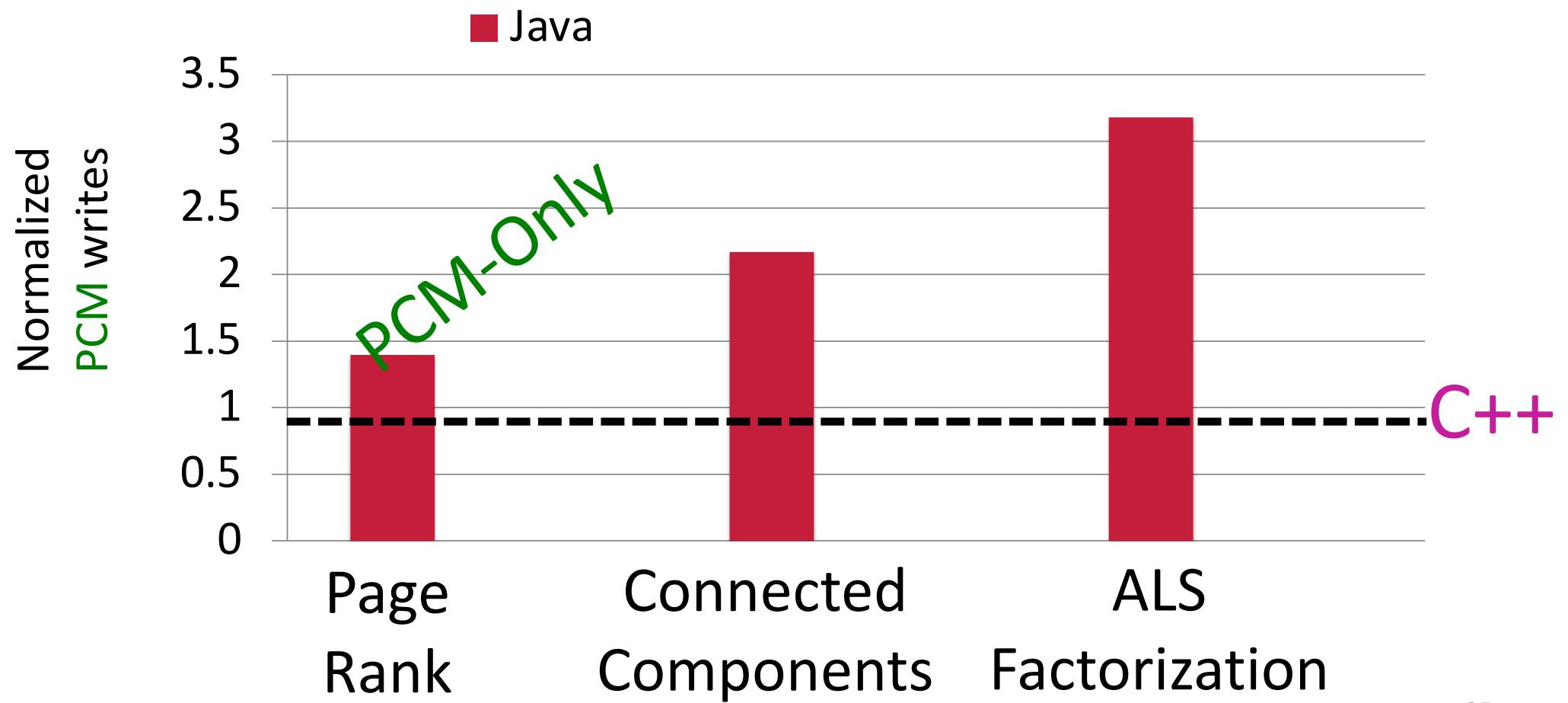
Netflix challenge

# Graph apps write more than DaCapo

Billions of vertices → Billions of objects



# Java writes more to PCM than C++



# Java writes more to PCM than C++

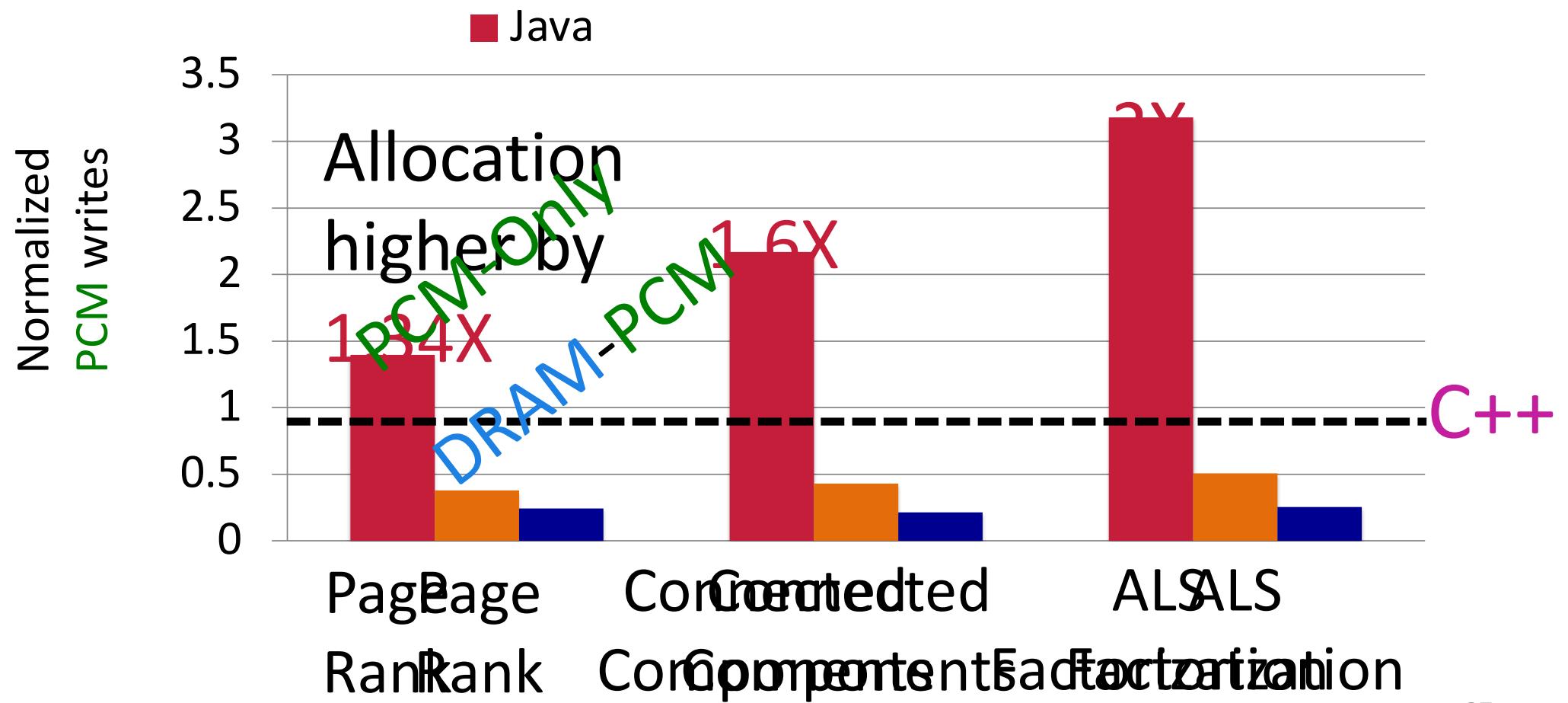
## Reasons

Higher allocation volume →

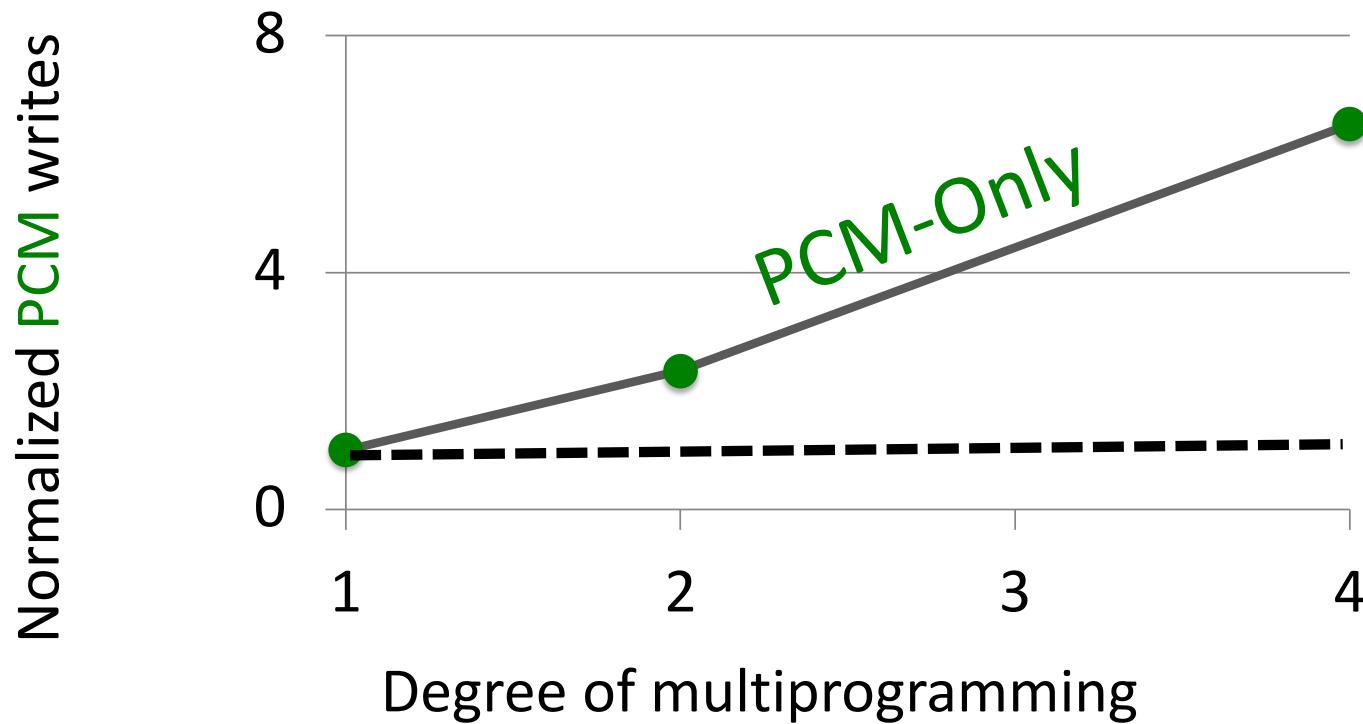
Copying between heap spaces

Zeroing to provide memory safety

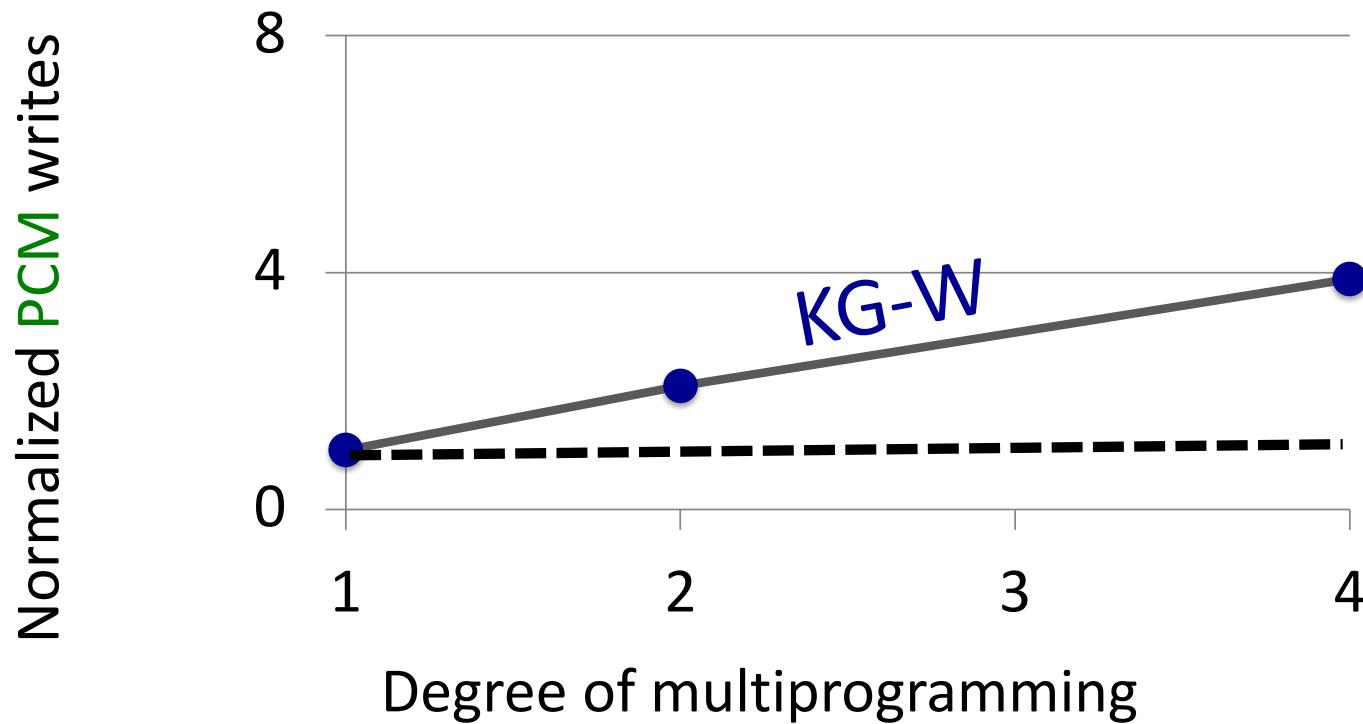
# Java writes more to PCM than C++



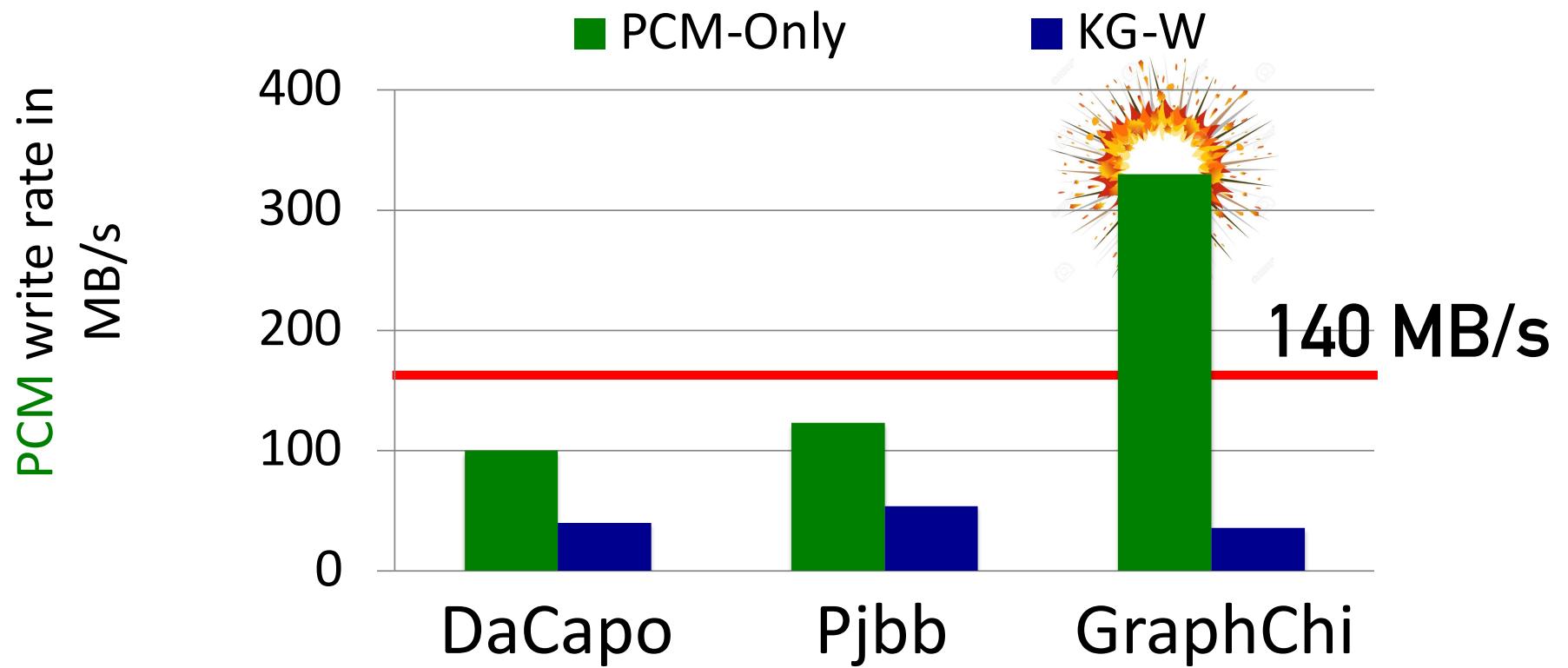
Writes increase **super-linearly** due to multiprogramming with PCM-Only



Writes increase **linearly** due to multiprogramming with **KG-W**



# PCM-Only is not practical as main memory



# Conclusions

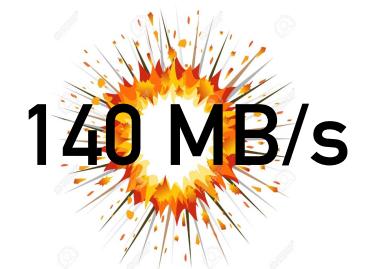
Across the stack emulation of hybrid memory



Similar outcomes with different evaluation methods



More research to make PCM practical as main memory





# Emulating and Evaluating Hybrid Memory for Managed Languages on NUMA Hardware

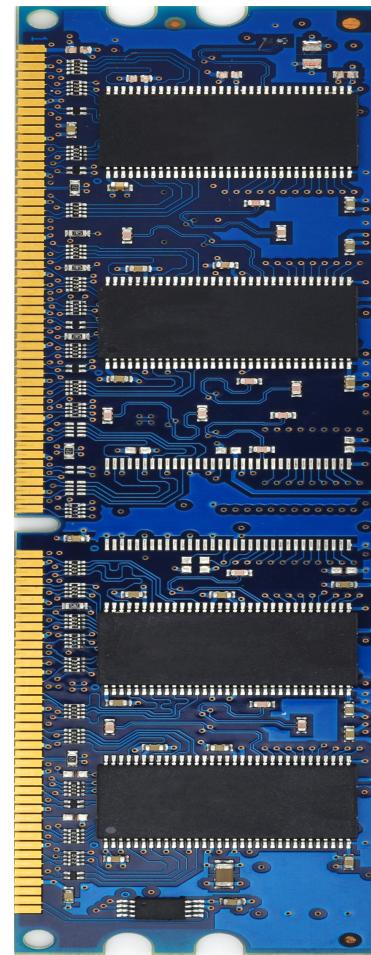
**Shoaib Akram (Ghent), Jennifer B. Sartor (Ghent and VUB),  
Kathryn S. McKinley (Google), and Lieven Eeckhout (Ghent)**

**[Shoaib.Akram@UGent.be](mailto:Shoaib.Akram@UGent.be)**



# DRAM is facing challenges

Scalability  
Reliability

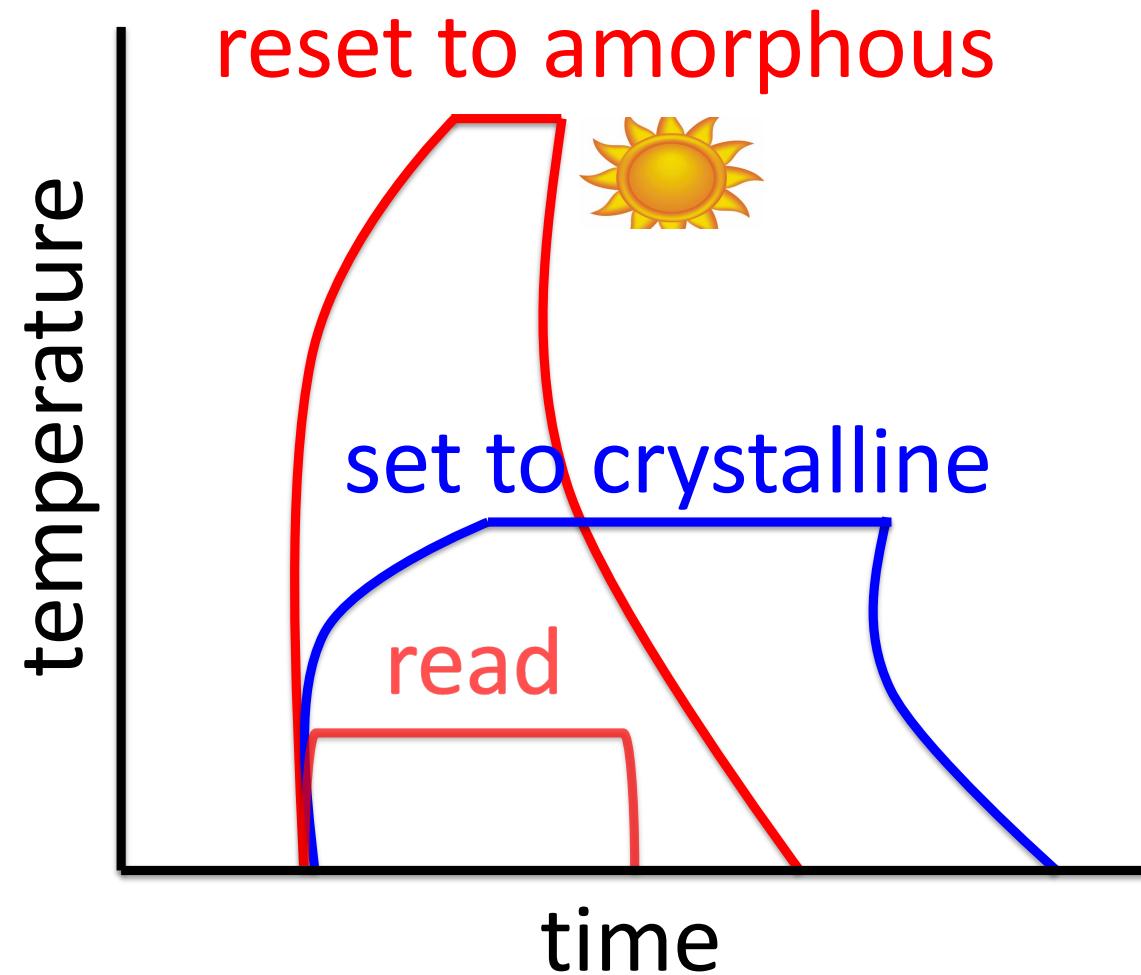


# Phase change memory

GB/\$ ☺

Latency ☹

Endurance ☹



# Hybrid DRAM-PCM memory

Speed  
Endurance

Energy  
Capacity

---

DRAM

---

PCM

Mitigate PCM wear-out

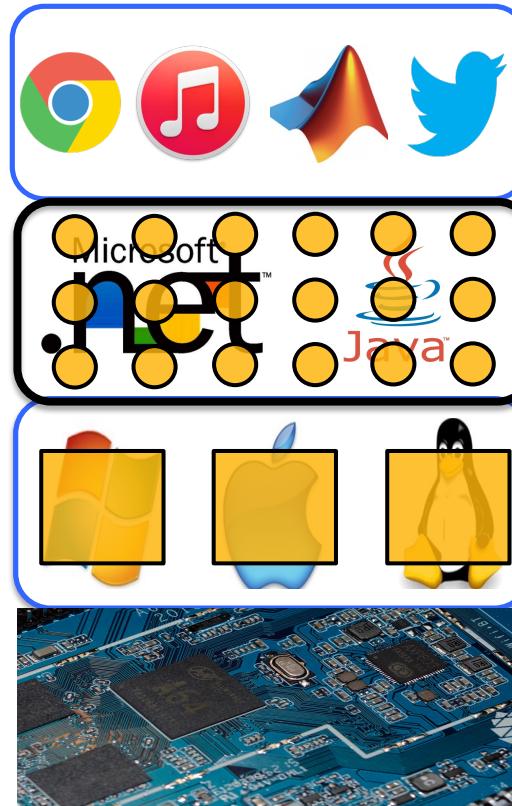
Bridge the DRAM-PCM latency gap

# Abstractions for hybrid memory



# Abstractions for hybrid memory

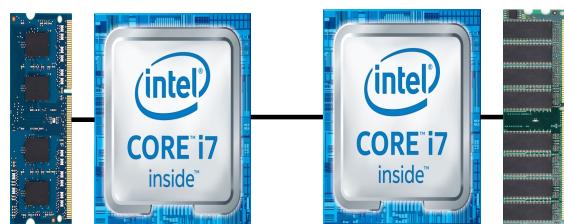
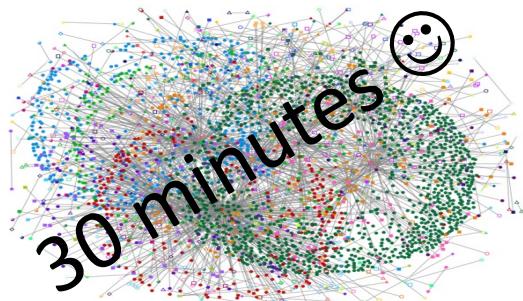
Virtual Memory



Garbage Collection

DRAM Cache  
Wear Level

# Hybrid memory evaluation



PageRank over Twitter network graph

GraphChi on commodity hardware

User-level simulation with mechanistic models

Emulation on NUMA machine

Prior art: latency ✓ C/C++ ✓

# DRAM heap management

Heap Tracking (Chunks)



MegaCity m = new MegaCity("Madison")

# DRAM heap management

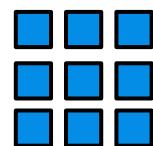
Heap Tracking (Chunks)



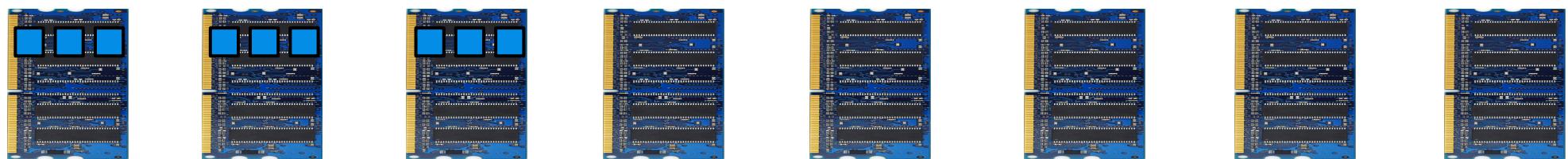
Heap Organization (Spaces)



OS Memory (pages)



Physical Memory (frames)



# DRAM heap management

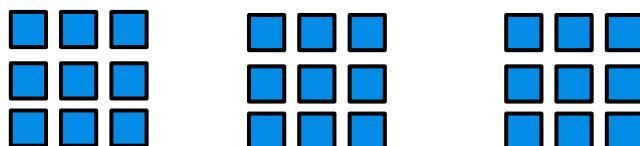
Heap Tracking (Chunks)



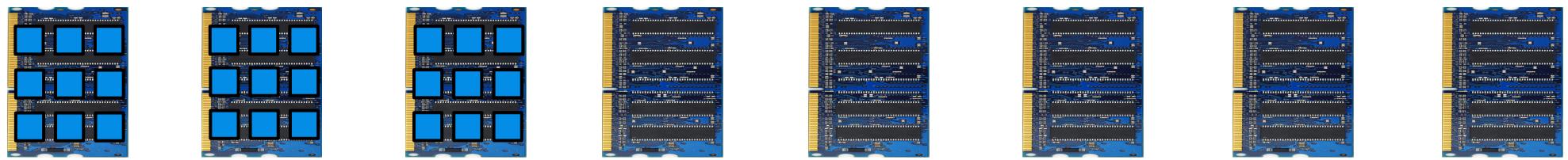
Heap Organization (Spaces)



OS Memory (pages)

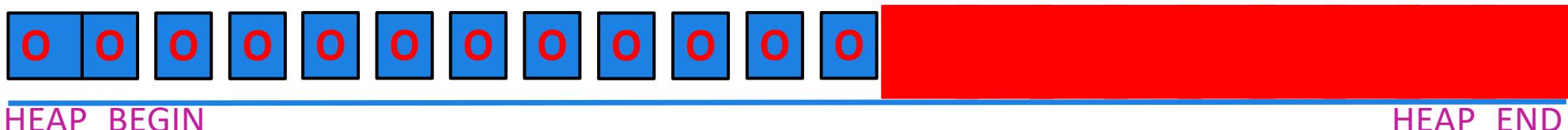


Physical Memory (frames)

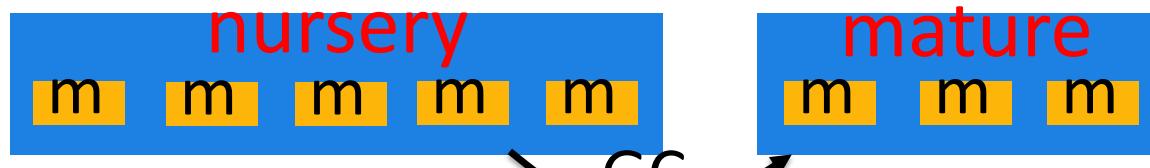


# DRAM heap management

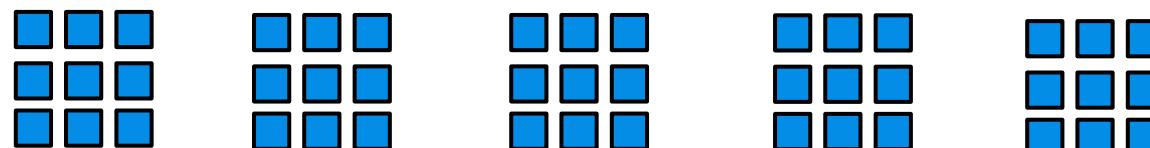
Heap Tracking (Chunks)



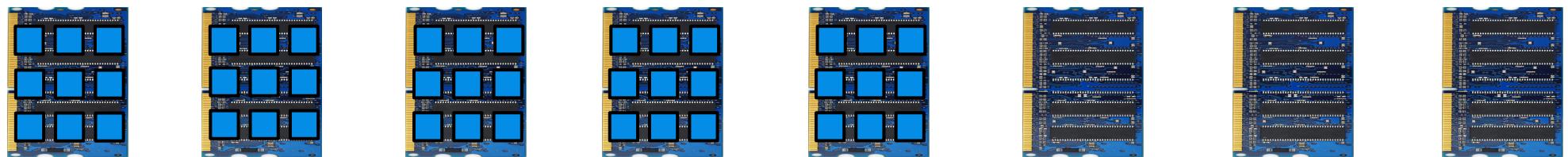
Heap Organization (Spaces)



OS Memory (pages)

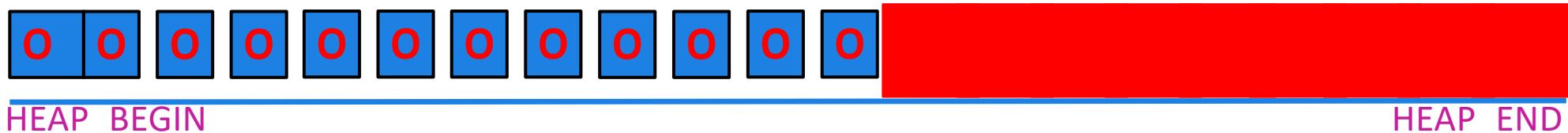


Physical Memory (frames)



# DRAM heap management

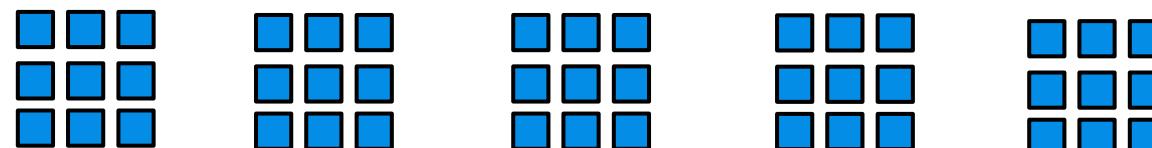
Heap Tracking (Chunks)



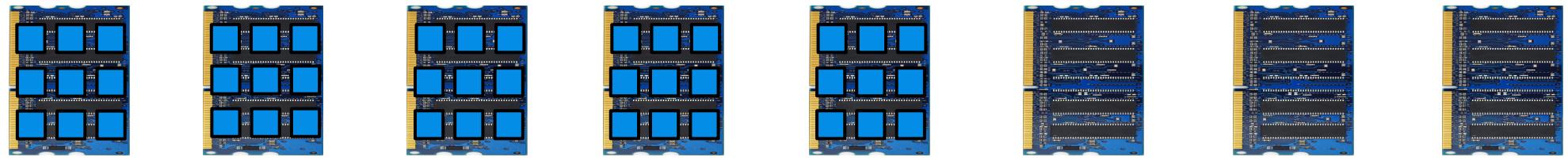
Heap Organization (Spaces)



OS Memory (pages)

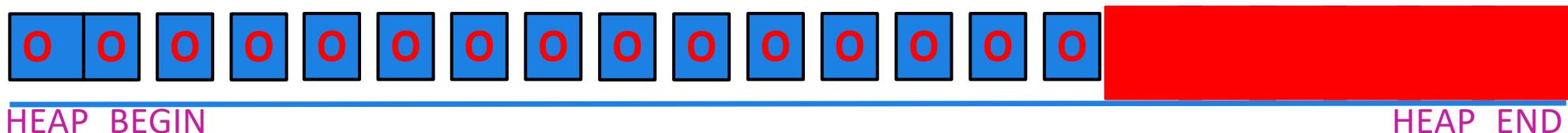


Physical Memory (frames)

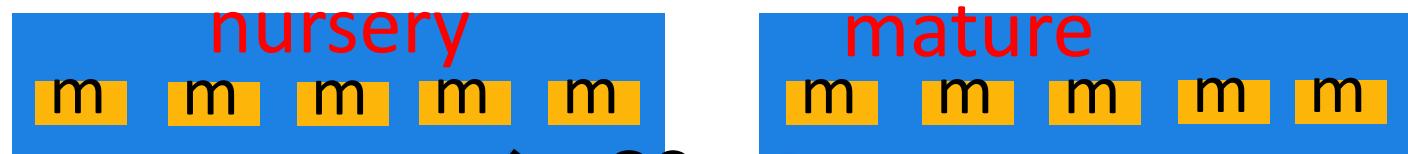


# DRAM heap management

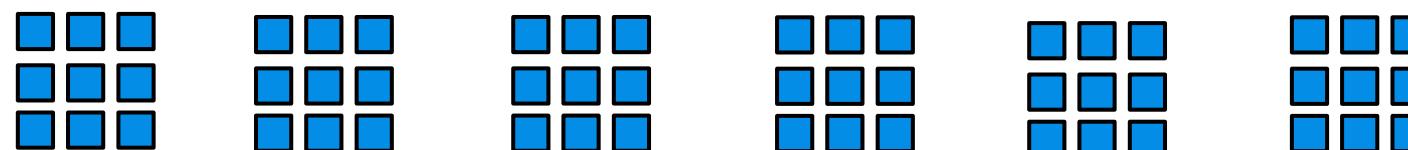
Heap Tracking (Chunks)



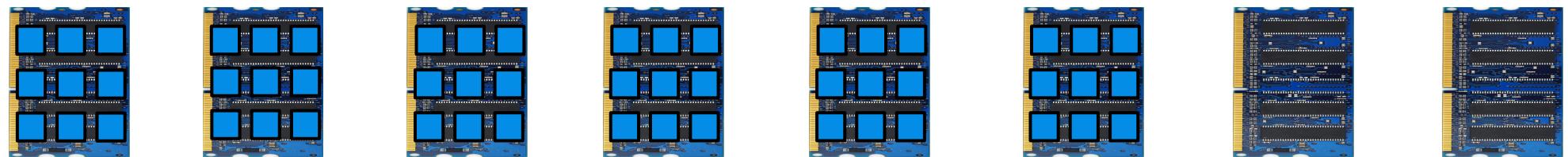
Heap Organization (Spaces)



OS Memory (pages)

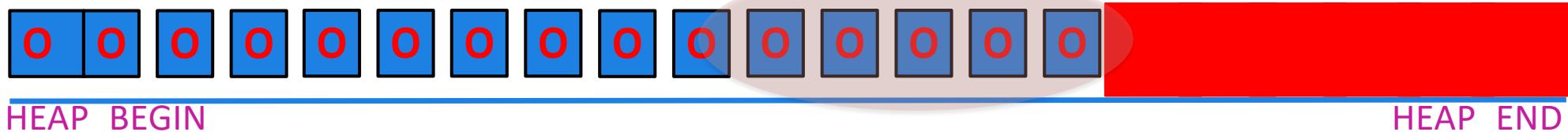


Physical Memory (frames)



# DRAM heap management

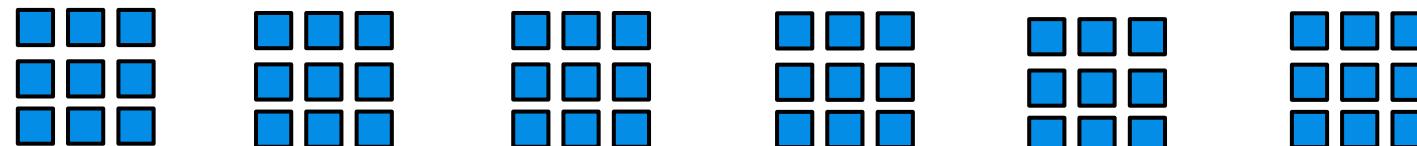
Heap Tracking (Chunks)



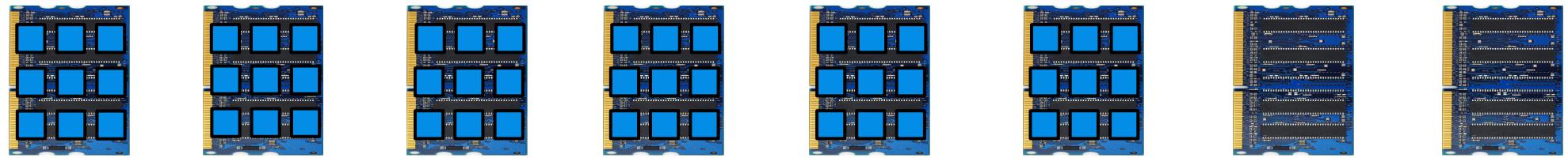
Heap Organization (Spaces)



OS Memory (pages)

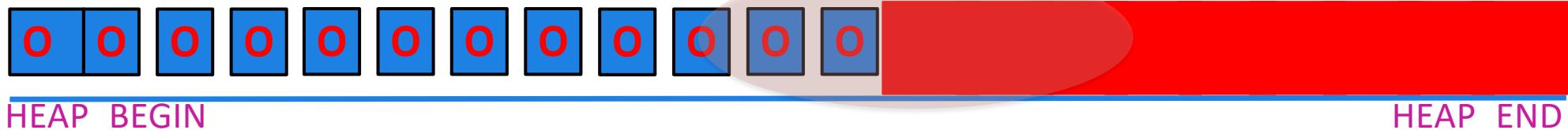


Physical Memory (frames)



# DRAM heap management

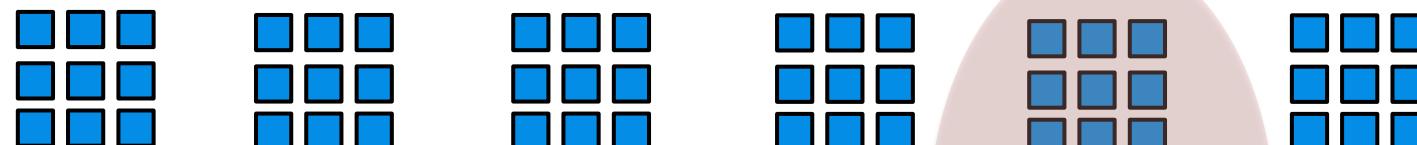
Heap Tracking (Chunks)



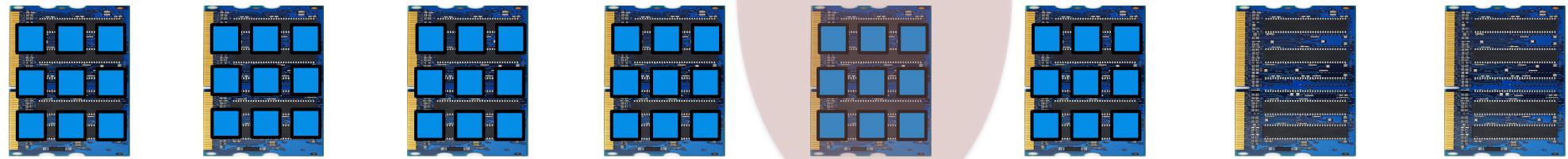
Heap Organization (Spaces)



OS Memory (pages)

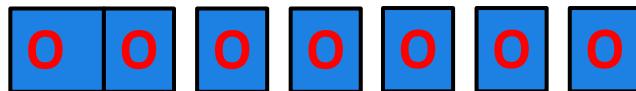


Physical Memory (frames)



# DRAM heap management

Heap Tracking (Chunks)



HEAP\_BEGIN

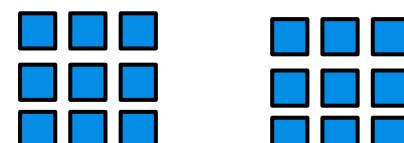
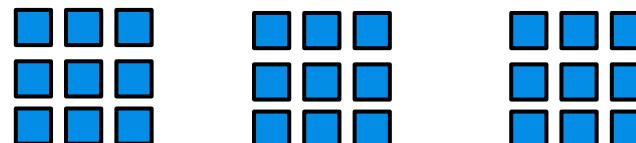
Heap Organization (Spaces)



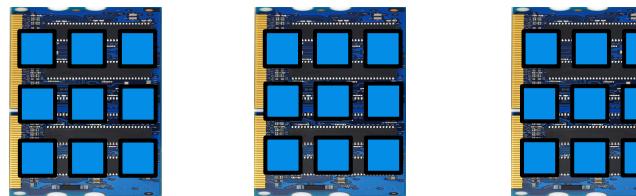
HEAP\_END



OS Memory (pages)



Physical Memory (frames)

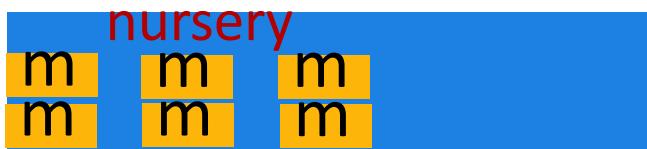


# DRAM heap management

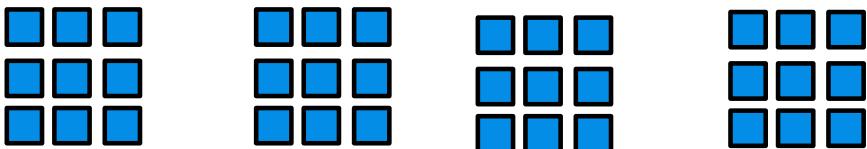
Free List (Chunks)



Heap Spaces



Virtual Memory (pages)



Physical Memory (frames)



# NUMA platform for emulation

# Validation against Sniper

More PCM writes with Java than C++

Co-running apps increase PCM writes

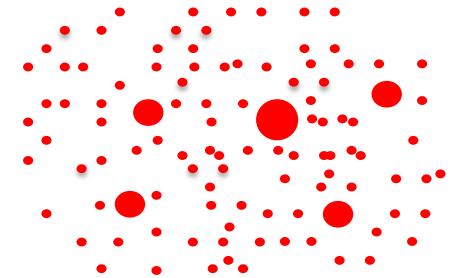
# Graph apps write more than DaCapo

**PCM-Only is impractical**

**KG-N** and **KG-W** limit **PCM** writes

# Takeaways

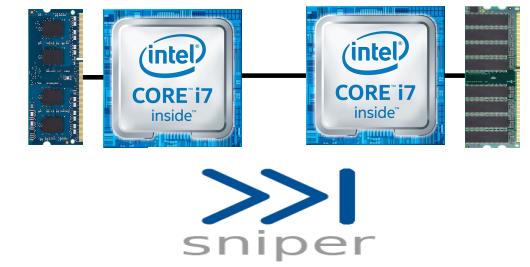
Monitoring heaps at a **fine** granularity  
is promising



Write-rationing garbage collection makes  
**PCM** practical as main memory



Similar conclusion with 3 distinct  
methods



# Heap management in DRAM-Only

Free List (Chunks)



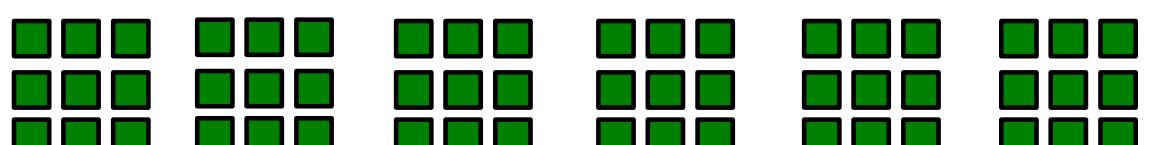
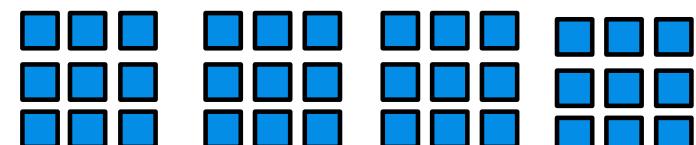
DRAM



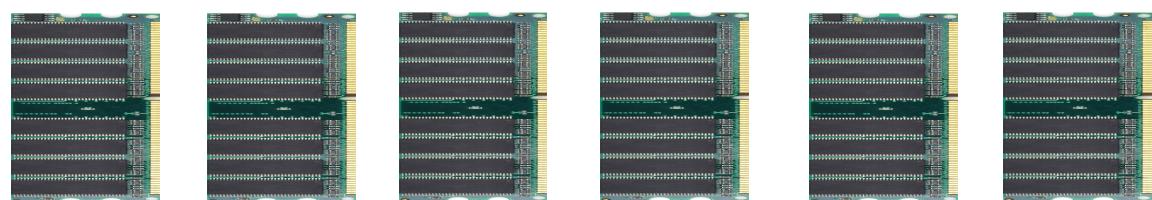
PCM



Virtual Memory (pages)



Physical Memory (frames)



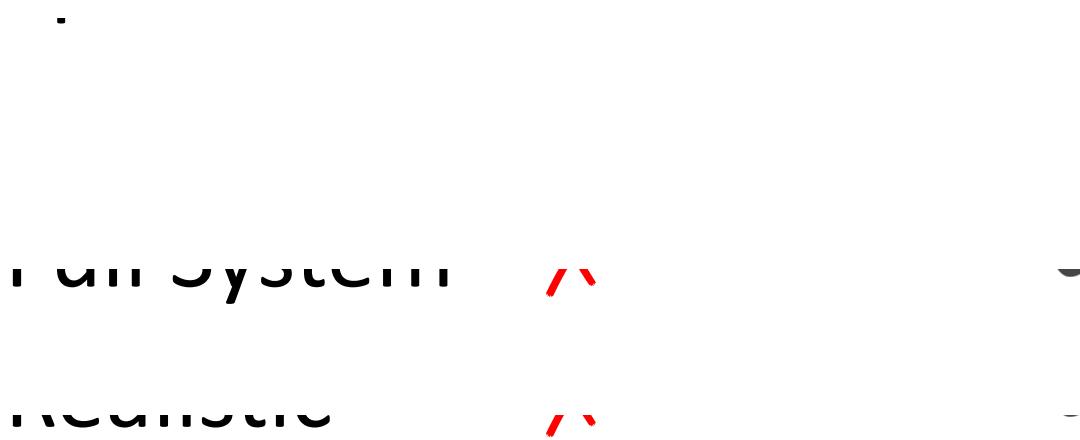
# Hardware platform

# How to evaluate hybrid memory?

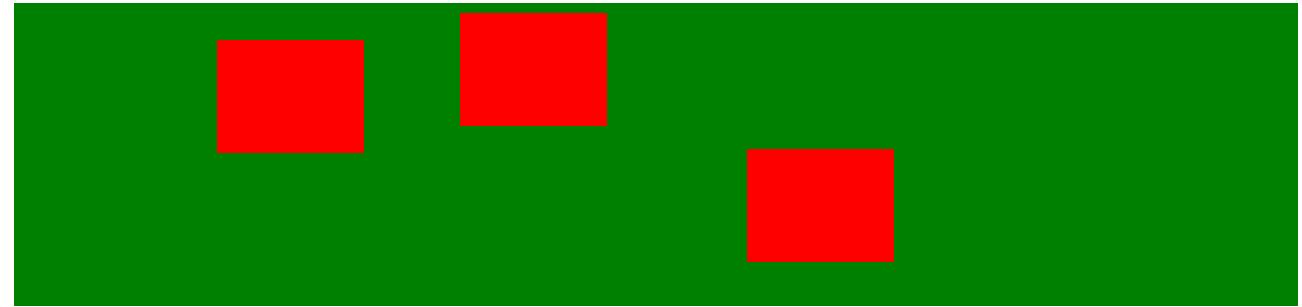
	Simulation	Emulation
Speed	Slow	Native
Diversity	Low	High
Full System	X	✓
Realistic	X	✓

# How to evaluate hybrid memory?

Simulation Emulation



# OS to limit PCM writes



---

DRAM

Drawbacks

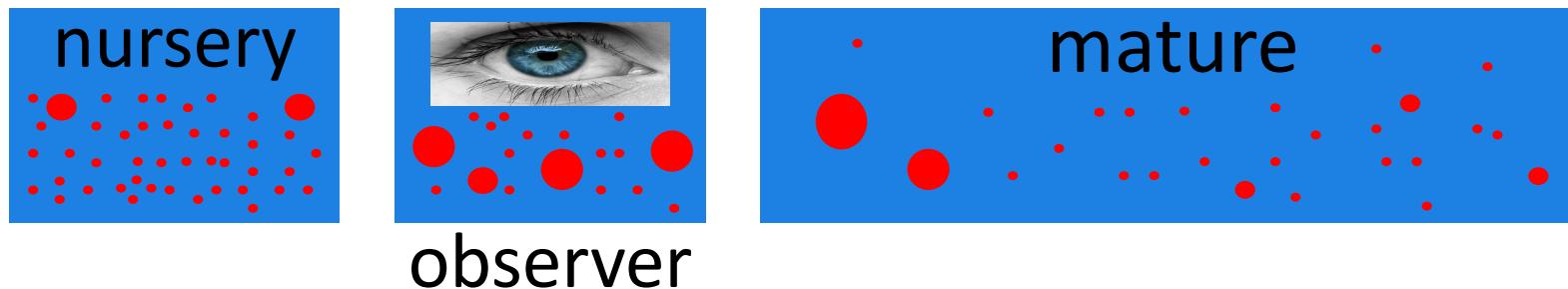
Coarse granularity

Costly page migrations

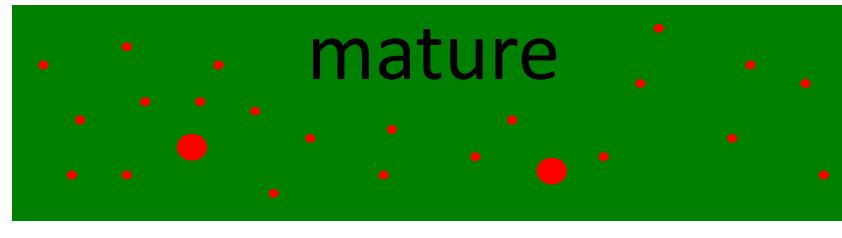
---

PCM

# Managed runtime to limit PCM writes



DRAM



PCM

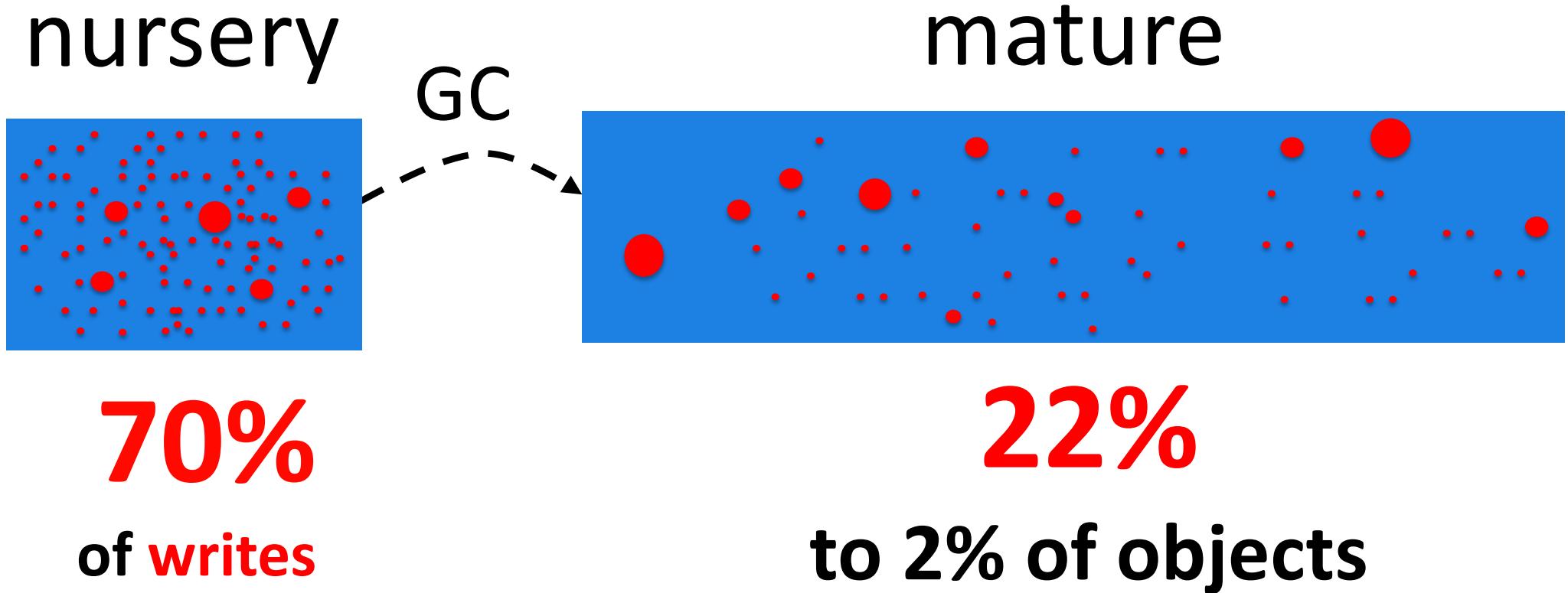
Our work uses garbage collection to keep highly  
**written** objects in **DRAM**

# Distribution of **writes** in GC runtime

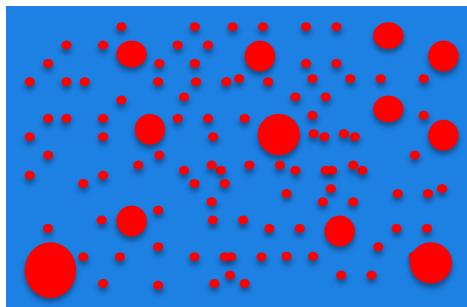


**70%**  
of **writes**

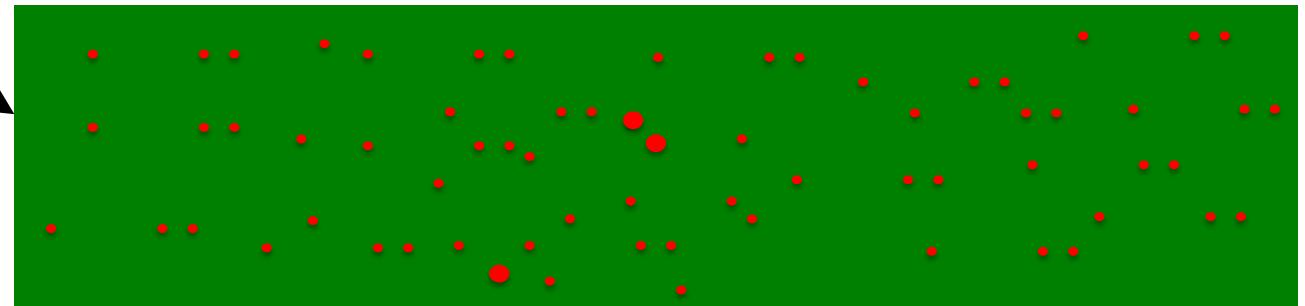
# Distribution of **writes** in GC runtime



# Contribution Write-Rationing Garbage Collectors mature



GC



---

DRAM



---

PCM



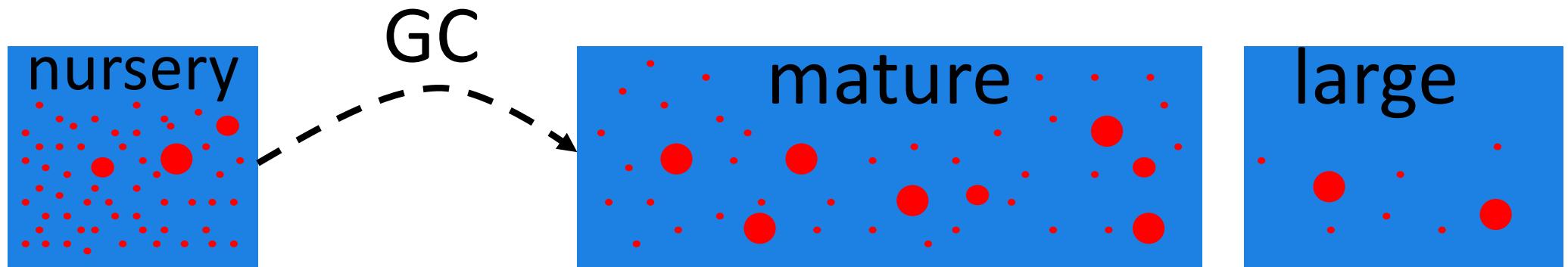
# Two write-rationing garbage collectors

## Kingsguard- Nursery

## Kingsguard- Writers

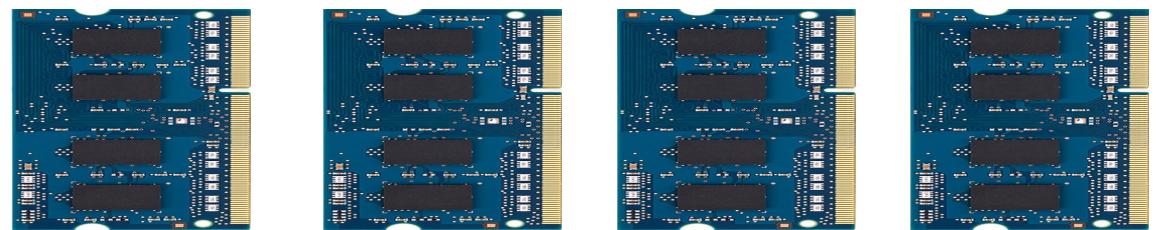
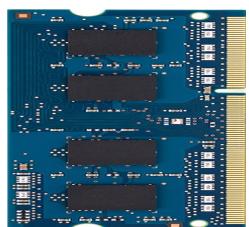


# Heap organization in DRAM

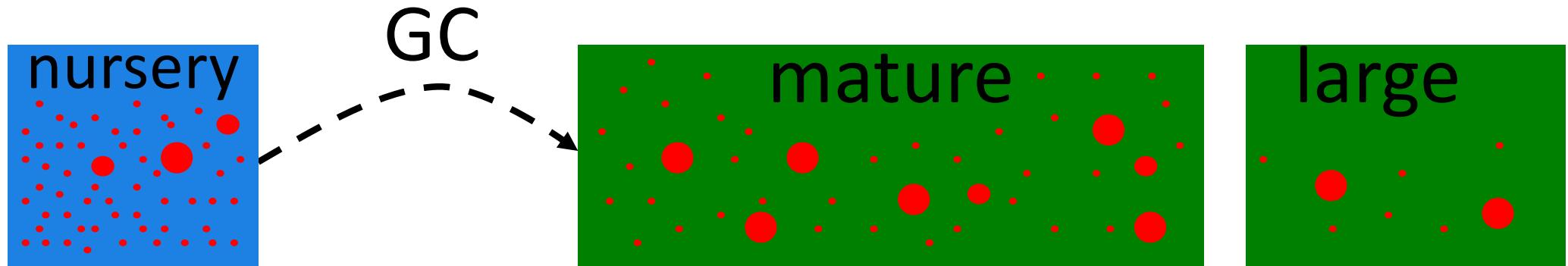


---

DRAM



# KG-N Kingsguard-Nursery



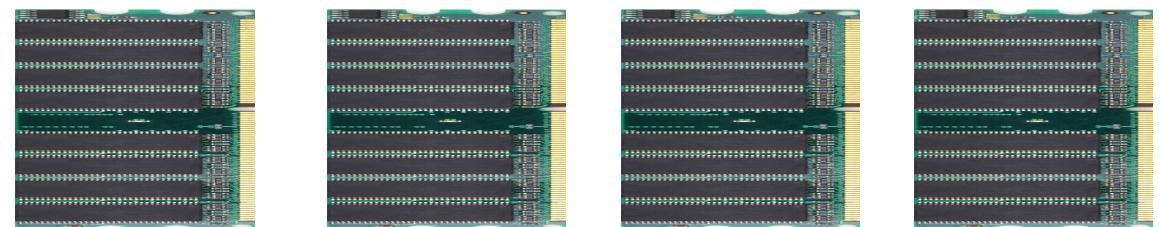
---

DRAM

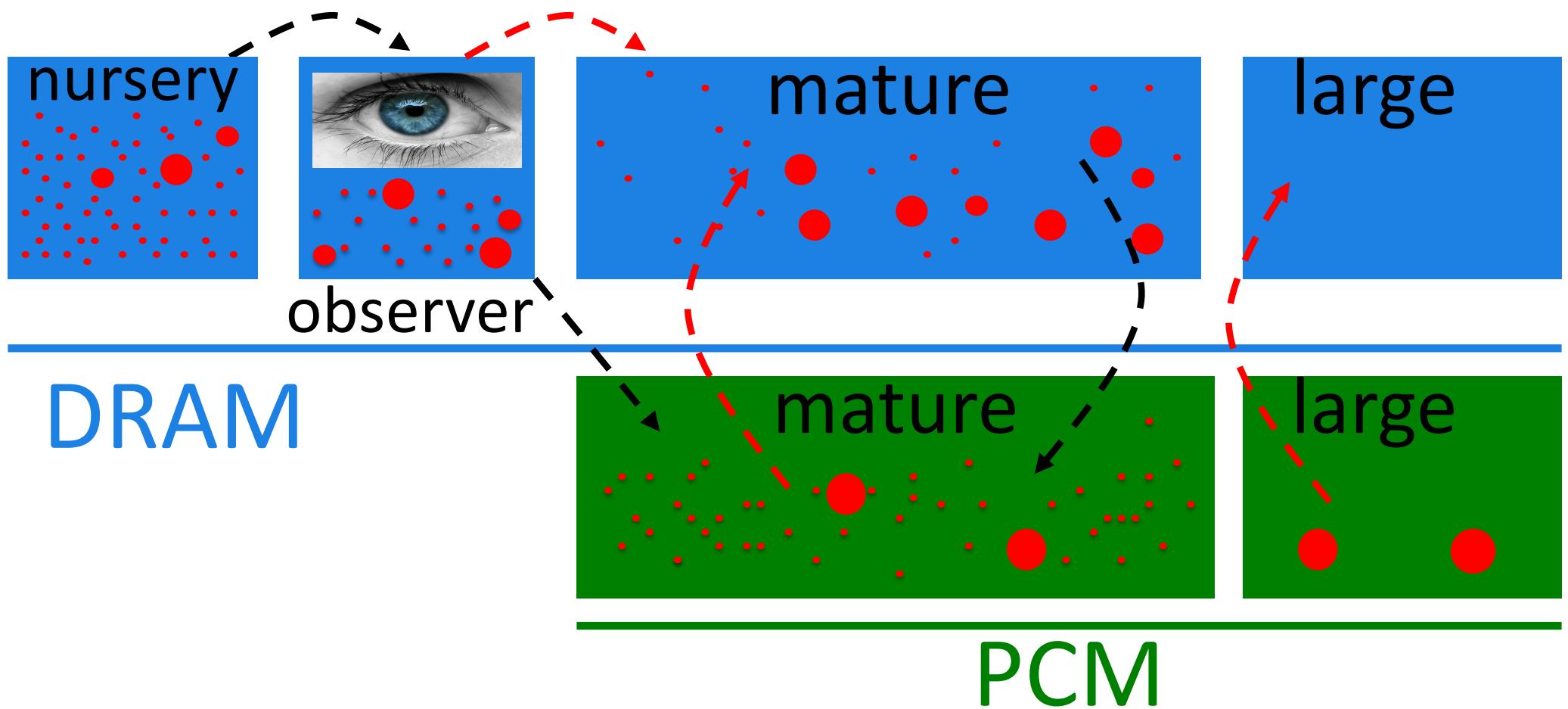


---

PCM



# KG-W Kingsguard-Writers



# Observing writes



Object  
format



Write barrier sets a **header** bit on object **writes**

Write barrier configurations

Observe **references**

Observe **references** and **primitives**

# Two extra optimizations in KG-W

Large object optimization

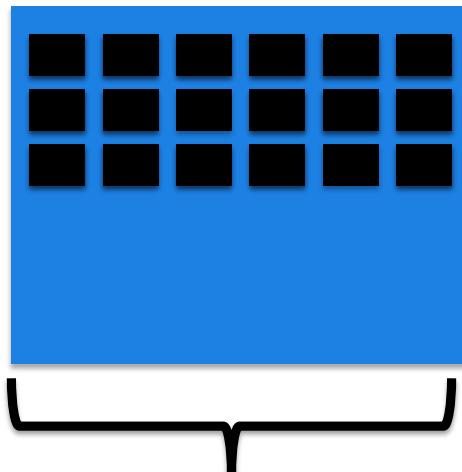
Allocate selected large objects in **DRAM**

Metadata optimization

Allocate **PCM** metadata in **DRAM**

# Large object optimization

nursery



$\frac{1}{2}$  of remaining  
nursery

large

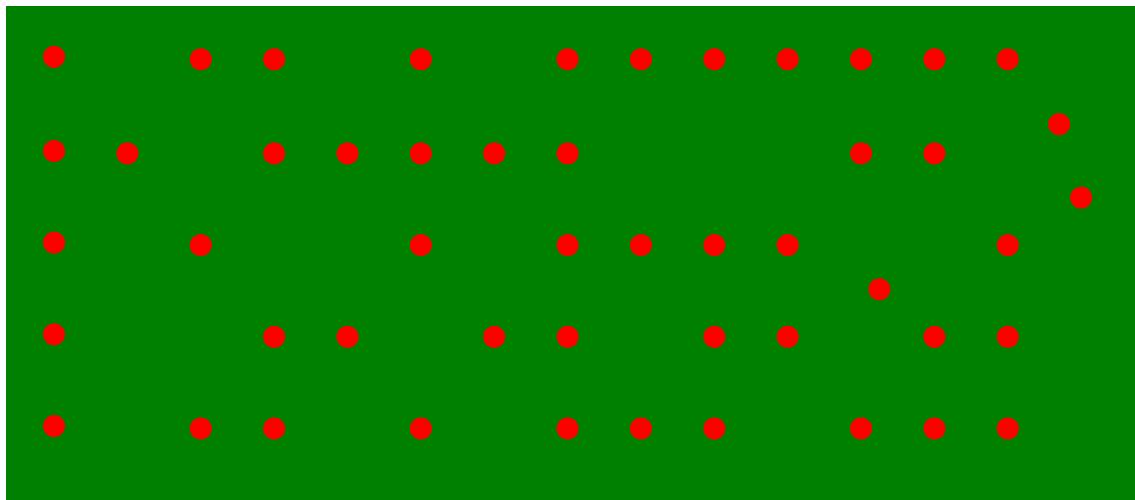


Monitor PCM write rate  
to tun

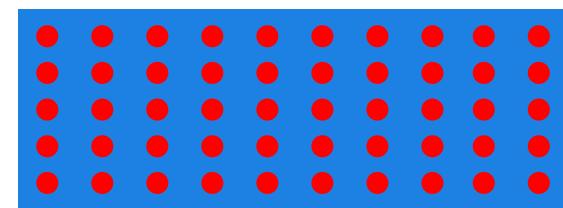


# Metadata optimization

Mature



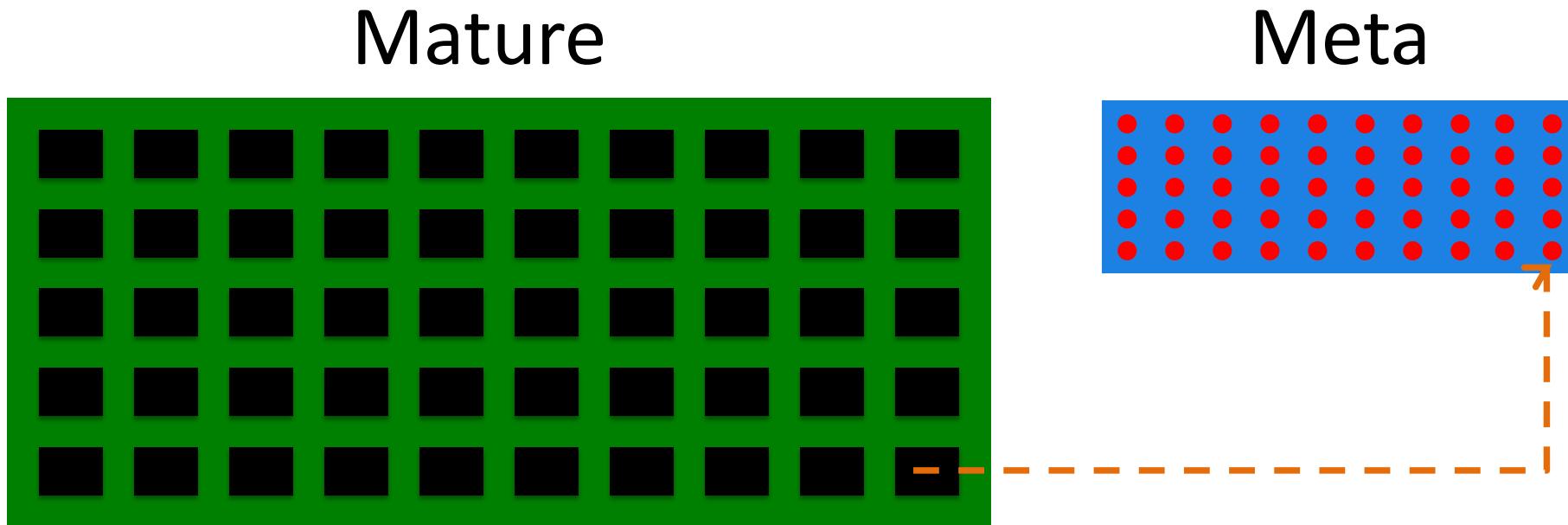
Meta



Full-heap GC: **Mark** live **PCM** objects

KG-W: Keep mark bits of **PCM** objects in **DRAM**

# Metadata optimization

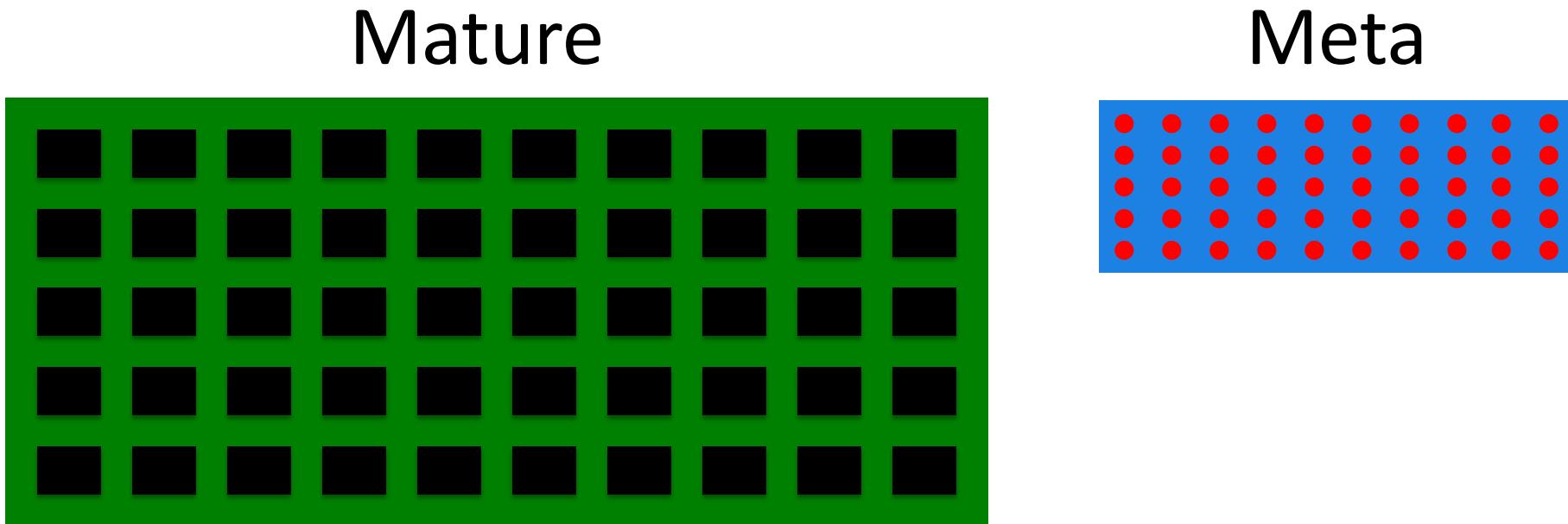


Full-heap GC: **Mark** live **PCM** objects

KG-W: Keep mark bits of **PCM** objects in **DRAM**

`address_mark_bit = start_meta + idx_pcm_obj`

# DRAM metadata overhead



Smallest object size is 4 B: **25%** overhead

Common case size is > 16 B: **6.25%** overhead

**KG-W:** Only use side meta for objects > 16 B

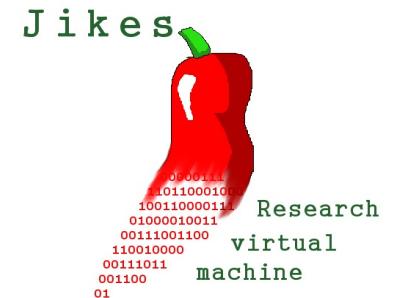
# Evaluation Methodology

## Hardware

- (1) Simulator
- (2) Real hardware

## Software

Jikes research  
virtual machine



Java applications



# Real hardware measurements

Use write barriers to count object writes

Applications: 12 DaCapo, 3 [GraphChi](#), and Pjbb

Configurations

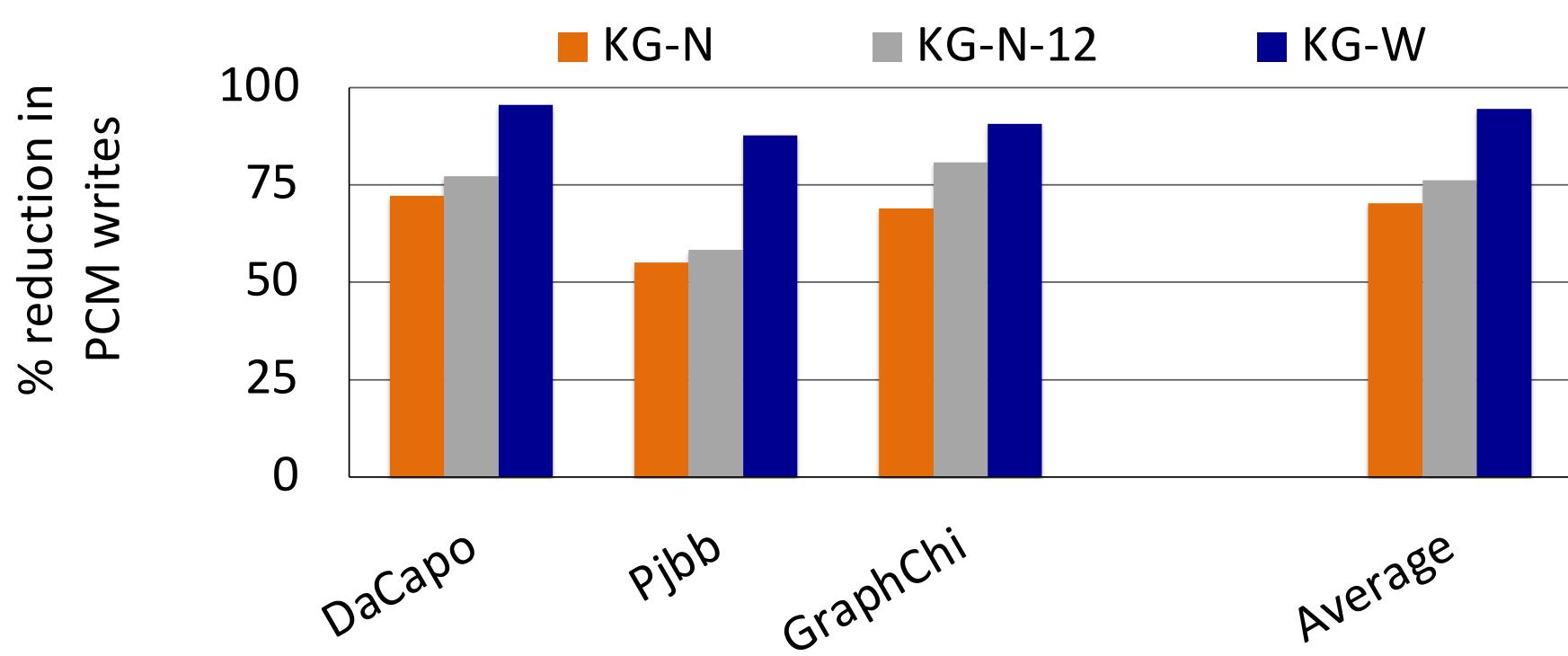
**KG-N** : 4 MB nursery

**KG-W**: 4 MB nursery, 8 MB observer

**KG-N** : 12 MB nursery

# Reduction in PCM writes

Baseline: **PCM-Only**



**KG-W** reduces 95% of writes to **PCM**

# Simulation with Sniper

7 DaCapo applications



4 cores, 1 MB per core LLC



Scale simulated rates to a 32 core machine  
using trends from real hw

# Memory systems

Homogeneous

32 GB **DRAM**

32 GB **PCM**

Hybrid

1 GB **DRAM**

32 GB **PCM**

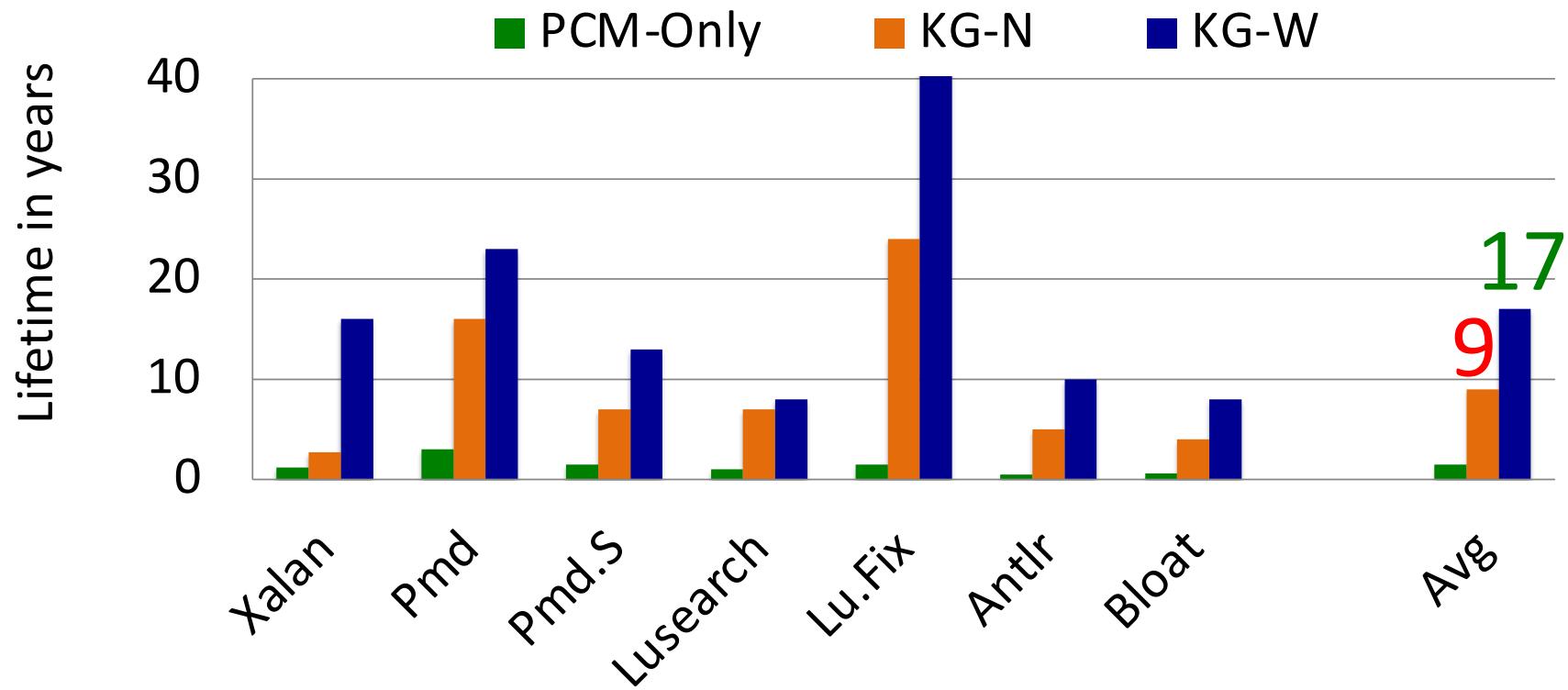
**PCM** parameters

4X read latency

4X write energy

10 M writes/cell

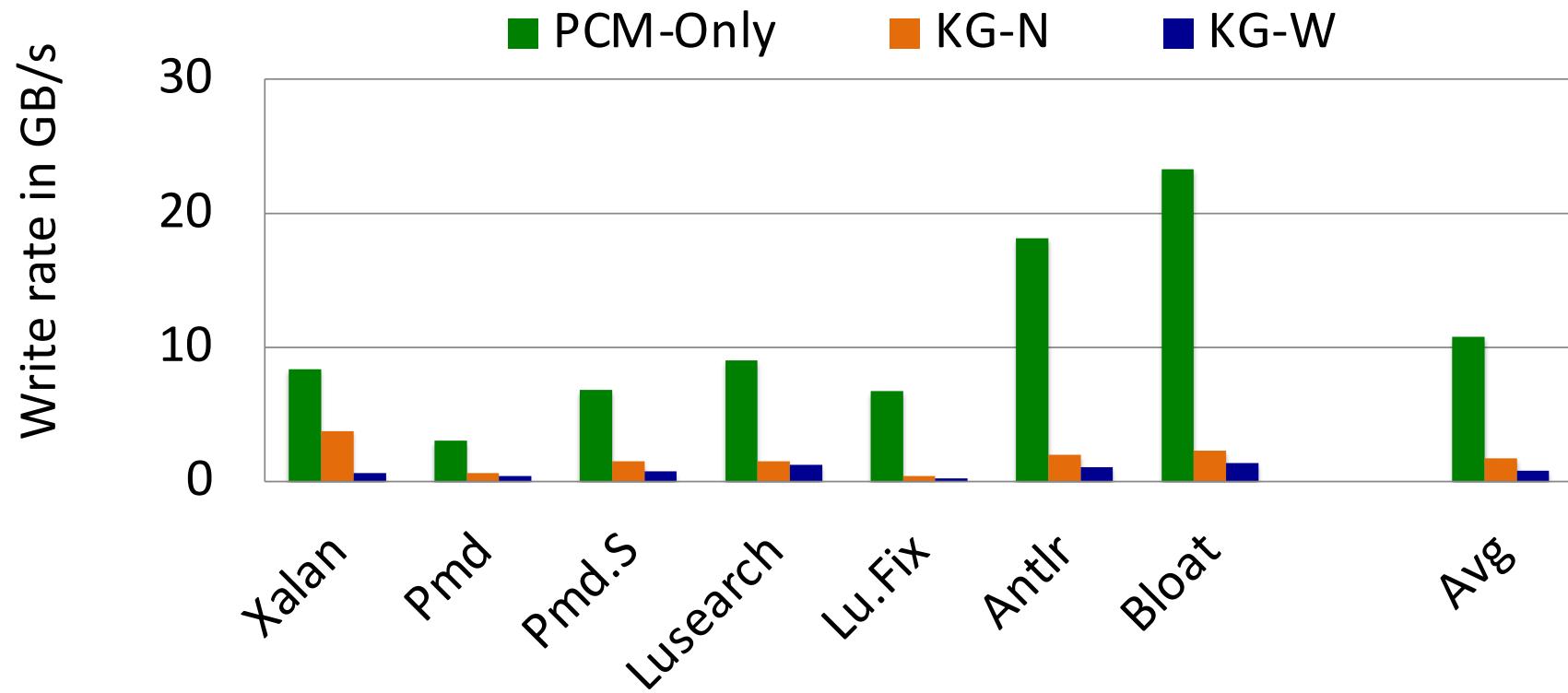
# PCM lifetimes



PCM alone is not practical

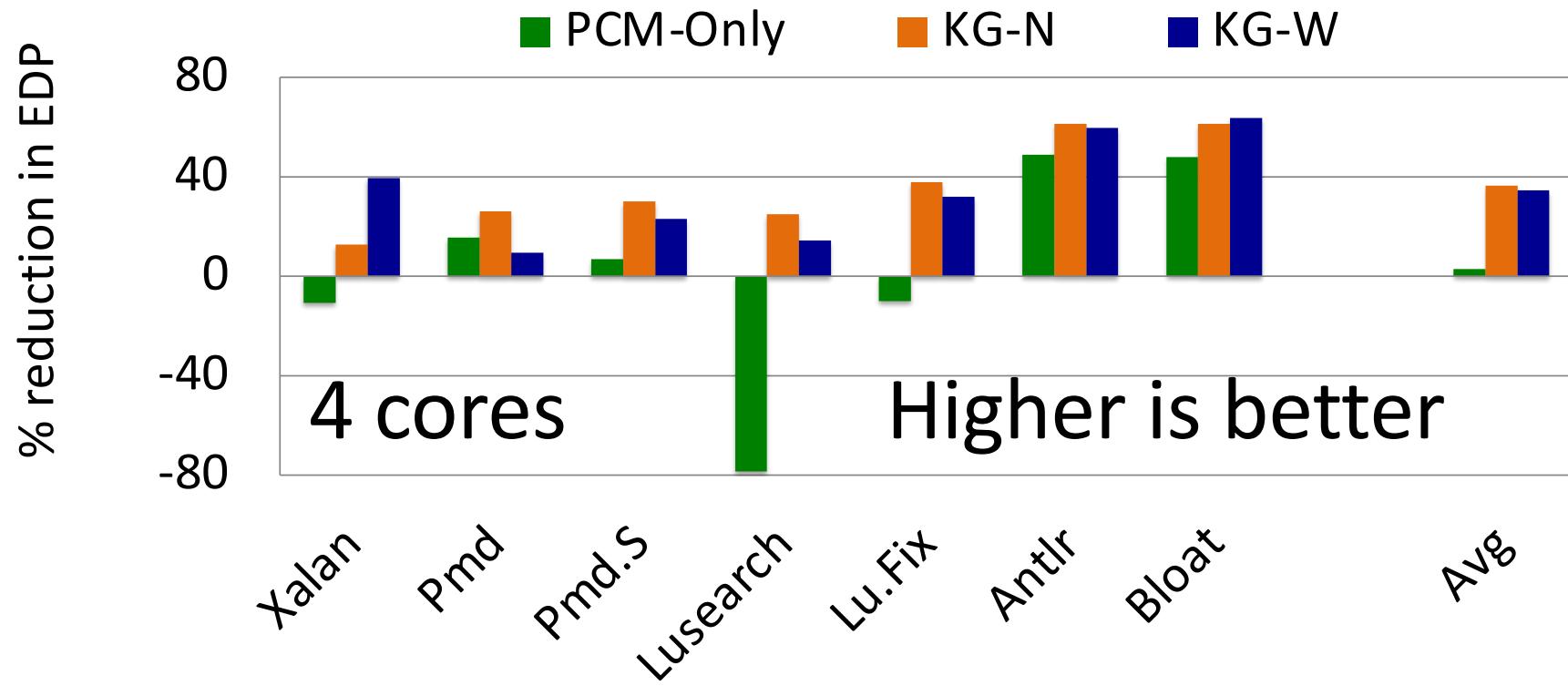
PCM lasts more than 10 years with KG-W

# PCM write rates



KG-N reduces write rate by 6X over PCM-Only  
KG-W reduces write rate by 2X over KG-N

# EDP reduction compared to DRAM



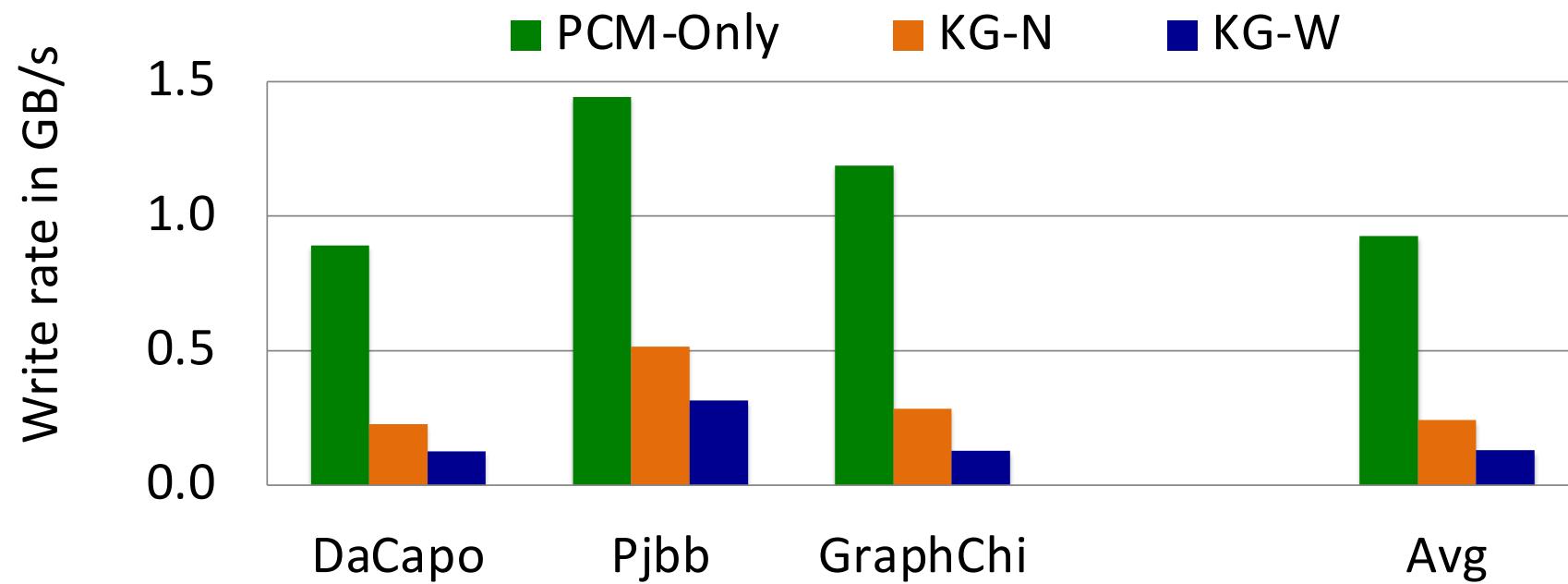
EDP : Energy Delay Product

KG-W has 35% better EDP than DRAM-Only

# Emulation on NUMA hardware



# PCM write rates on NUMA hardware



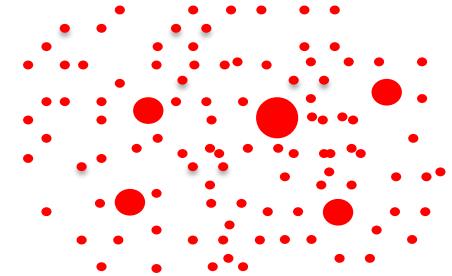
KG-N reduces write rate by 3.8X over PCM-Only  
KG-W reduces write rate by 1.9X over KG-N

# Crystal Gazer: Profile-Driven Write-Rationing Garbage Collection for Hybrid Memories



# Takeaways

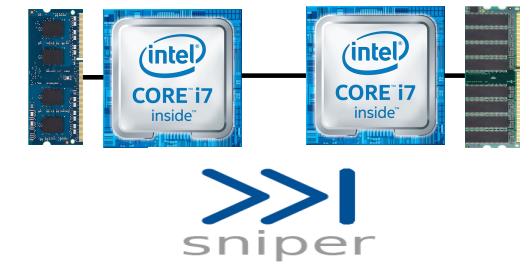
Monitoring heaps at a **fine** granularity  
is promising



Write-rationing garbage collection makes  
**PCM** practical as main memory



Similar conclusion with 3 distinct  
methods





# Exploiting Intel Optane Persistent Memory for Full Text Search

Shoaib Akram

ANU, Canberra

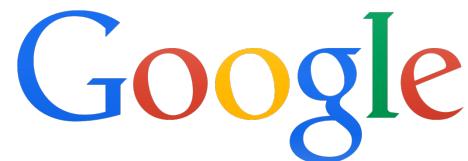
[shoaib.akram@anu.edu.au](mailto:shoaib.akram@anu.edu.au)



Australian  
National  
University

# Full text search is ubiquitous

Web search



Retail



Social media



# Search = Indexing + Query eval

Indexing builds an inverted index

word1 → document-list  
word2 → document-list

Query evaluation searches for words

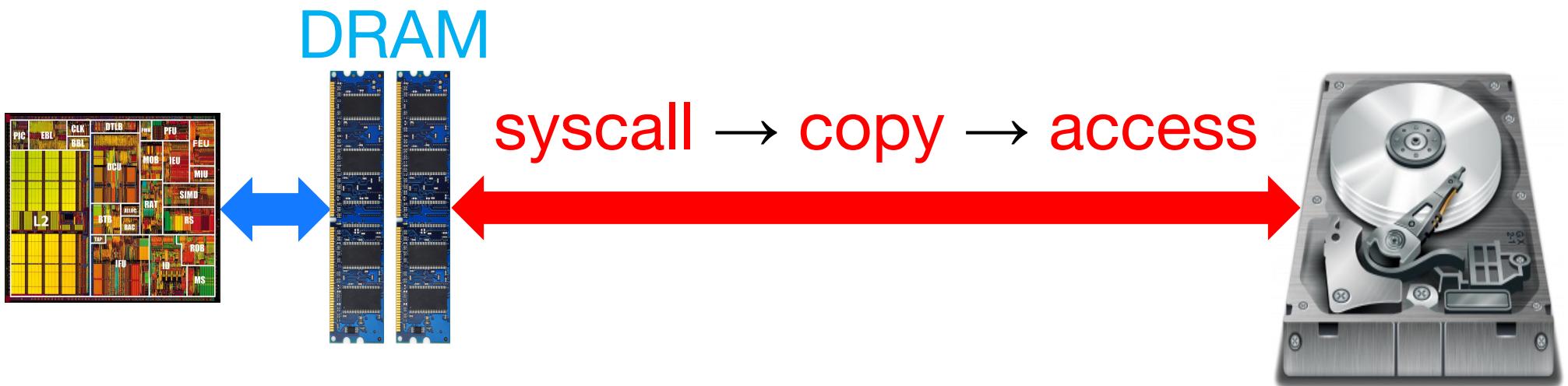


Indexing speed increasingly critical



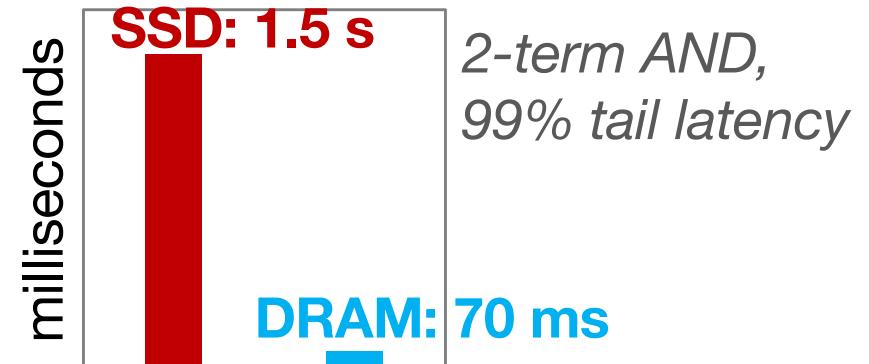
# Challenge: I/O intensity

Writing & merging partial **indices** on storage takes up **40%** of exec time



# Challenge: DRAM capacity

NVMe SSD violates **real time** response constraint



😢 Data growth outpaces DRAM scaling

Data volume → 2X

DRAM GB/\$ → 20%

# Today: Give up **real time**, or give up **cost efficiency**

Looking forward

Reduce I/O overhead

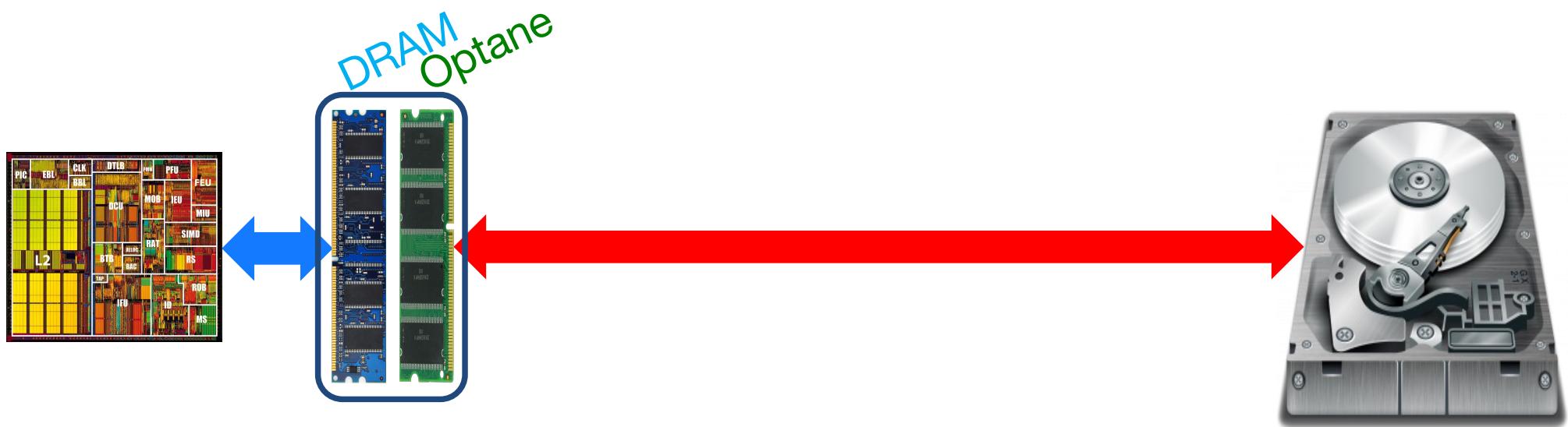
Find a **fresh memory scaling roadmap**

# Persistent memory (PM)

4X denser than DRAM

Load/store access

Non-volatile



# Contribution: PM Search Engine

Exploiting PM for building/storing indices

- Memory, storage, universal roles
- Fine-grained crash consistent recovery

Extensive PM evaluation vs DRAM/SSD

- Indexing perf, scalability, bottlenecks
- Tail latency of query workloads

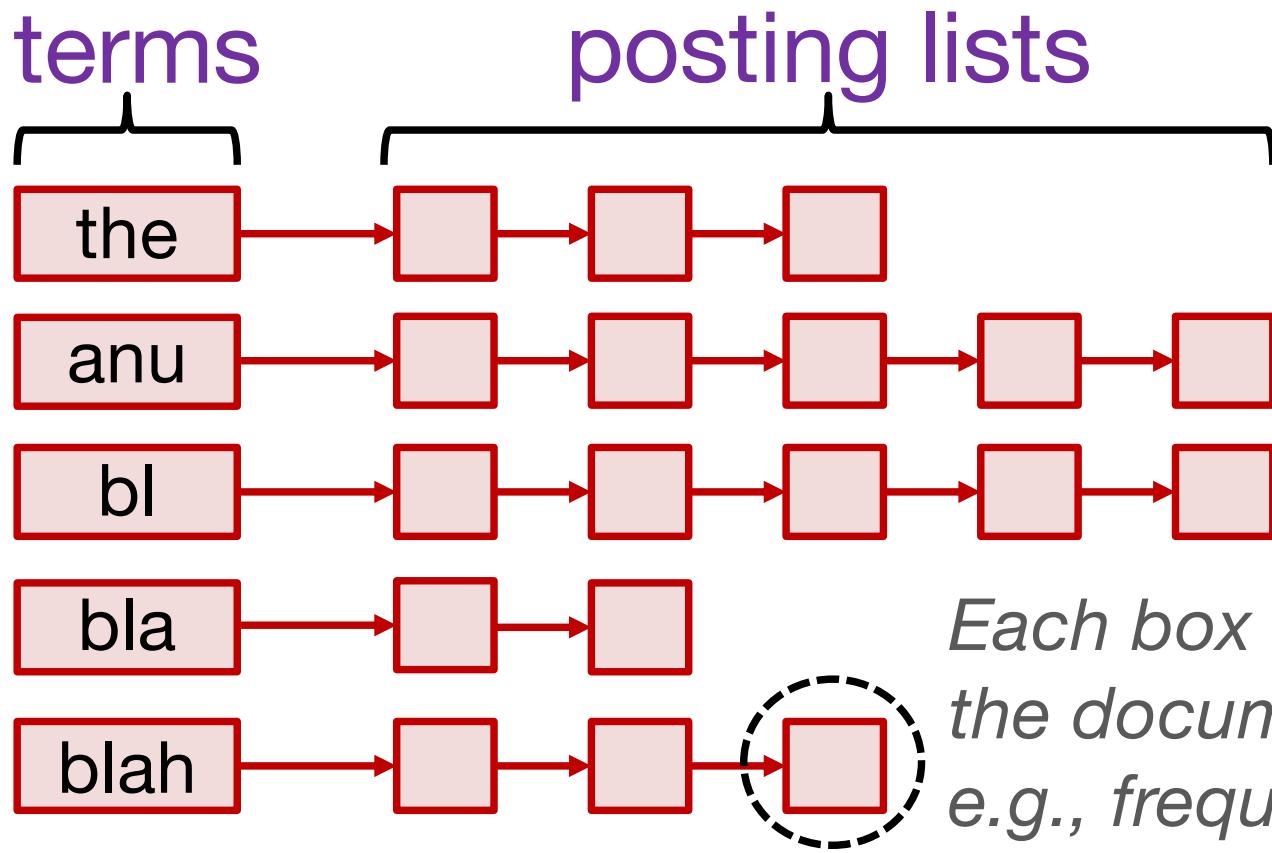
# Rest of the talk

Building an index

Exploiting PM

Evaluation

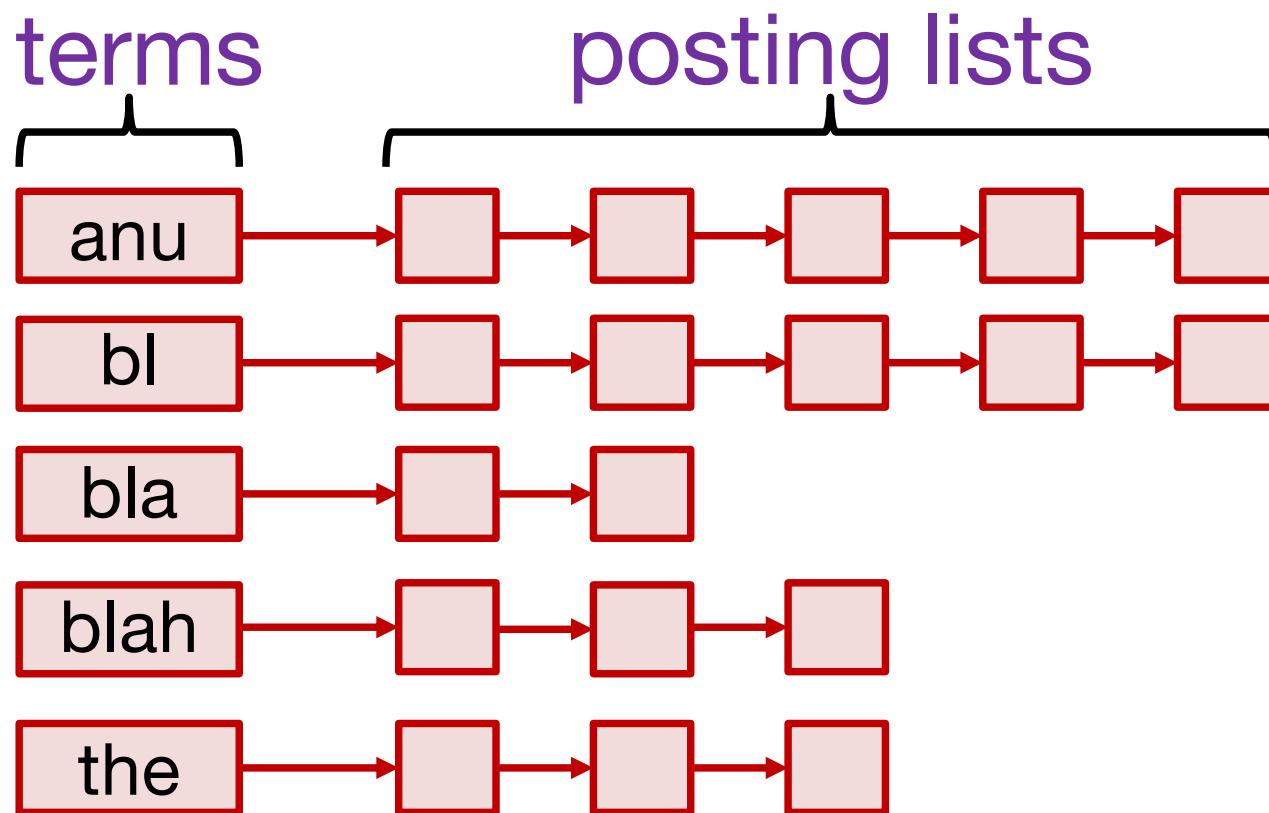
# Step 1: Building the hash table



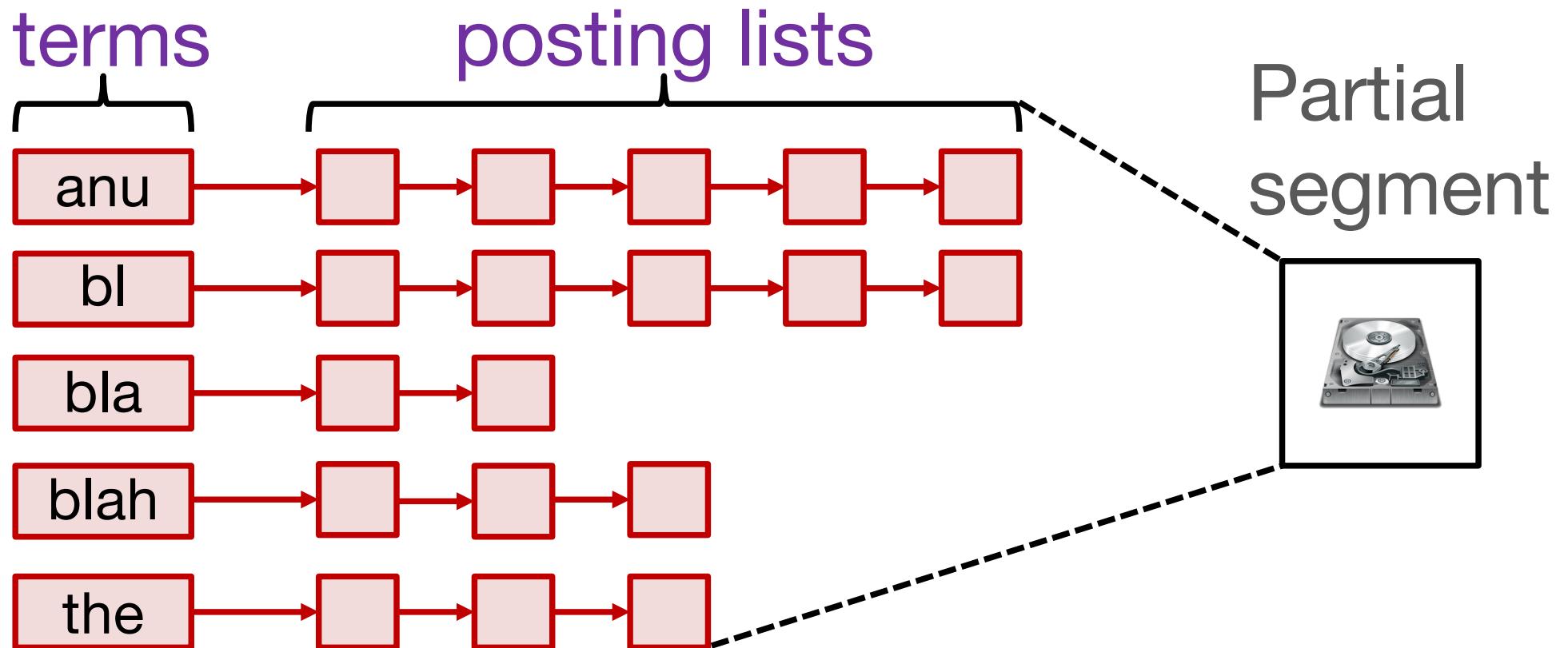
When the table  
is full → Step 2

*Each box is a posting. It contains the document id plus meta-data, e.g., frequency and position of terms*

# Step 2: Sorting the hash table



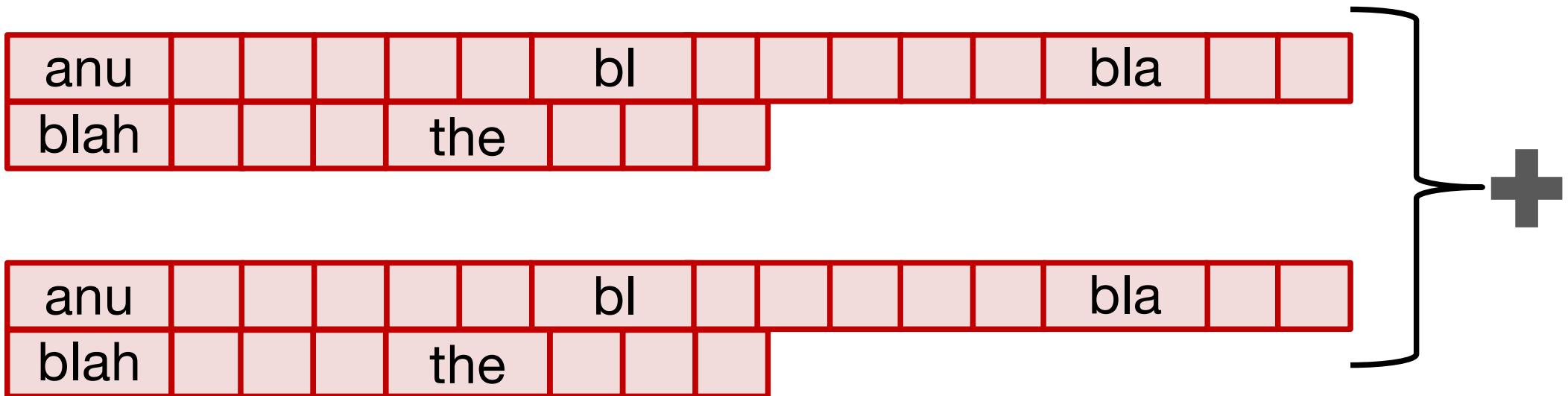
# Step 3: Flushing the hash table



Flushing results in large amounts of sequential I/O

# Step 4: Merging segments

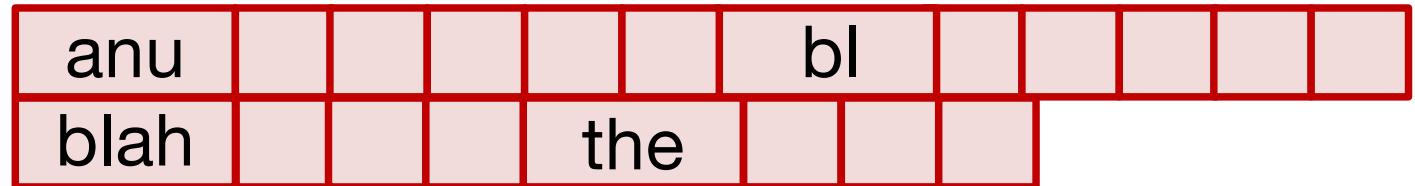
Merging segments is crucial for fast query evaluation



Merging results in **large amounts** of **read/write I/O**

# Index = Segment + Dictionary

term	offset
anu	0
bl	6



Segment: Sequentially sorted postings on storage

Dictionary: To find posting lists in segments, indexers use a key-value store, such as, Berkeley DB

# Different ways to exploit PM

Hash table, DRAM → PM

Partial segments, SSD → PM

Merged segments, SSD → PM

Dictionary, SSD → PM

# PM configurations for indexing

Name of Configuration	Placement of Table, Postings, and Dictionary				Role of Optane PM
	H Table	Partial St	Merged St	Dict	
stock	DRAM	SSD	SSD	SSD	none
table-pm	PM	SSD	SSD	SSD	main memory
pm-only	PM	PM	PM	PM	universal
hybrid	DRAM	PM	PM	PM	storage
hybrid+	DRAM	PM	PM	SSD	storage

# PM configurations for indexing

Name of Configuration	Placement of Table, Postings, and Dictionary				Role of Optane PM
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stock	DRAM	SSD	SSD	SSD	none
table-pm	PM	SSD	SSD	SSD	main memory
pm-only	PM	PM	PM	PM	universal
hybrid	DRAM	PM	PM	PM	storage
hybrid+	DRAM	PM	PM	SSD	storage

# PM configurations for indexing

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table-pm	PM	SSD	SSD	SSD	main memory
pm-only	PM	PM	PM	PM	universal
hybrid	DRAM	PM	PM	PM	storage
hybrid+	DRAM	PM	PM	SSD	storage

# Crash consistent indexing

Crash consistent segment flushing

- Use `pmem_persist(segment)`
- Track progress (doclds)

Crash consistent merging

- Tracking progress is tricky
- Details of “logging” in the paper

# Baseline Engine

Psearchy

MOSBENCH

---

Silas Boyd-Wickizer, Austin T. Clements, Yandong Mao, Aleksey Pesterev, M. Frans Kaashoek, Robert Morris, Nickolai Zeldovich

[mosbench@pdos.org](mailto:mosbench@pdos.org)

MOSBENCH is a set of application benchmarks designed to measure scalability of operating systems. It consists of applications that previous work has shown not to scale well on Linux and applications that are designed for parallel execution and are kernel intensive. The applications and workloads are chosen to stress important parts of many kernel components.

Native, fast, and flexible

Easily integrated with Intel PMDK

# Indexing Methodology

## Dataset and measurement

- Wikipedia English (**DRAM**)
- Execution time
- 1 GB HT per core, up to 32 cores

## PM setup

- Interleaved, local, EXT4+**DAX**
- pmemkv dictionary [github.com/pmem/pmemkv](https://github.com/pmem/pmemkv)

# Experimental Platform

Our in-house server with DRAM, PM, & SSD

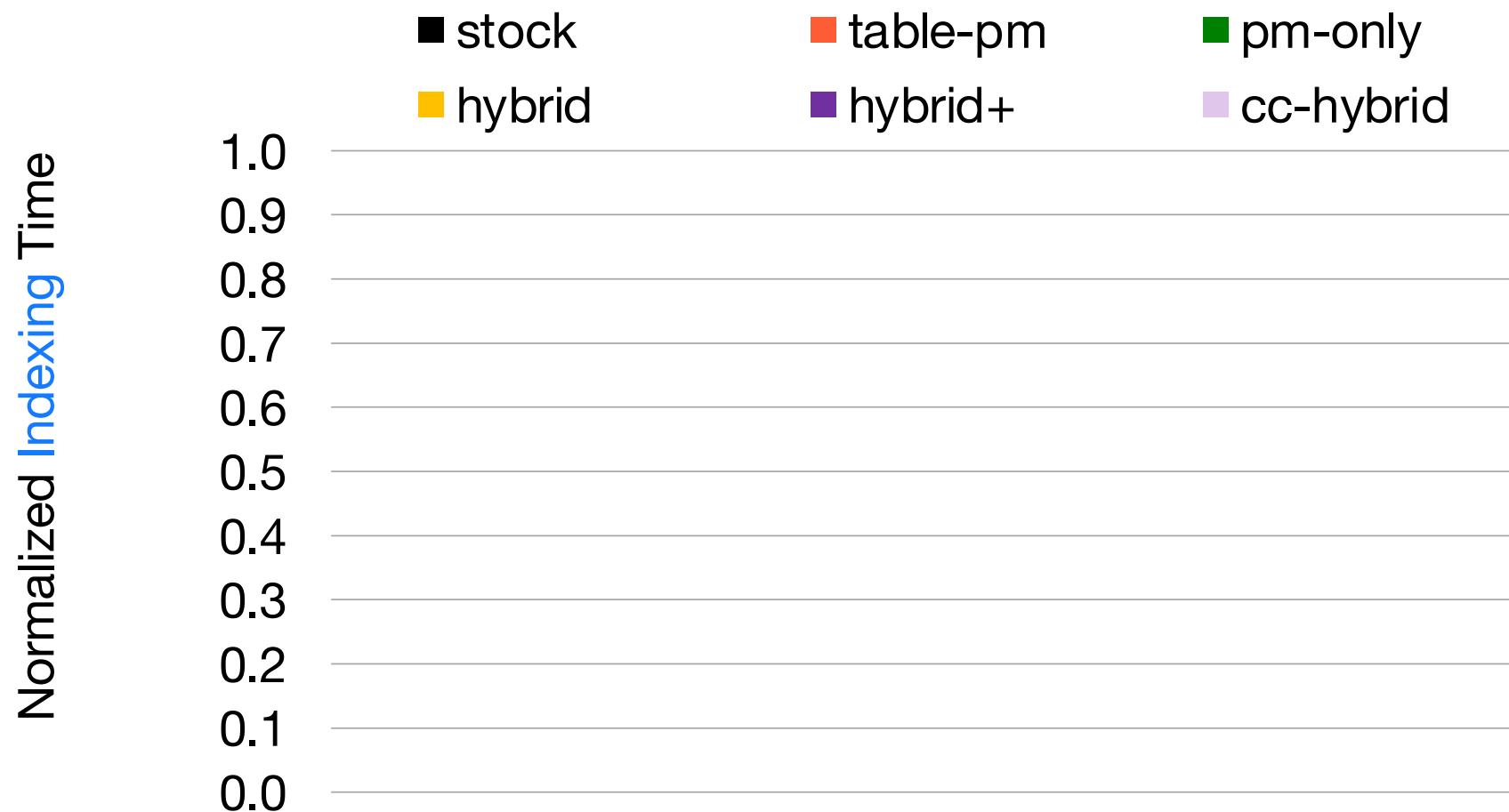
2 TB PM

0.5 TB DRAM

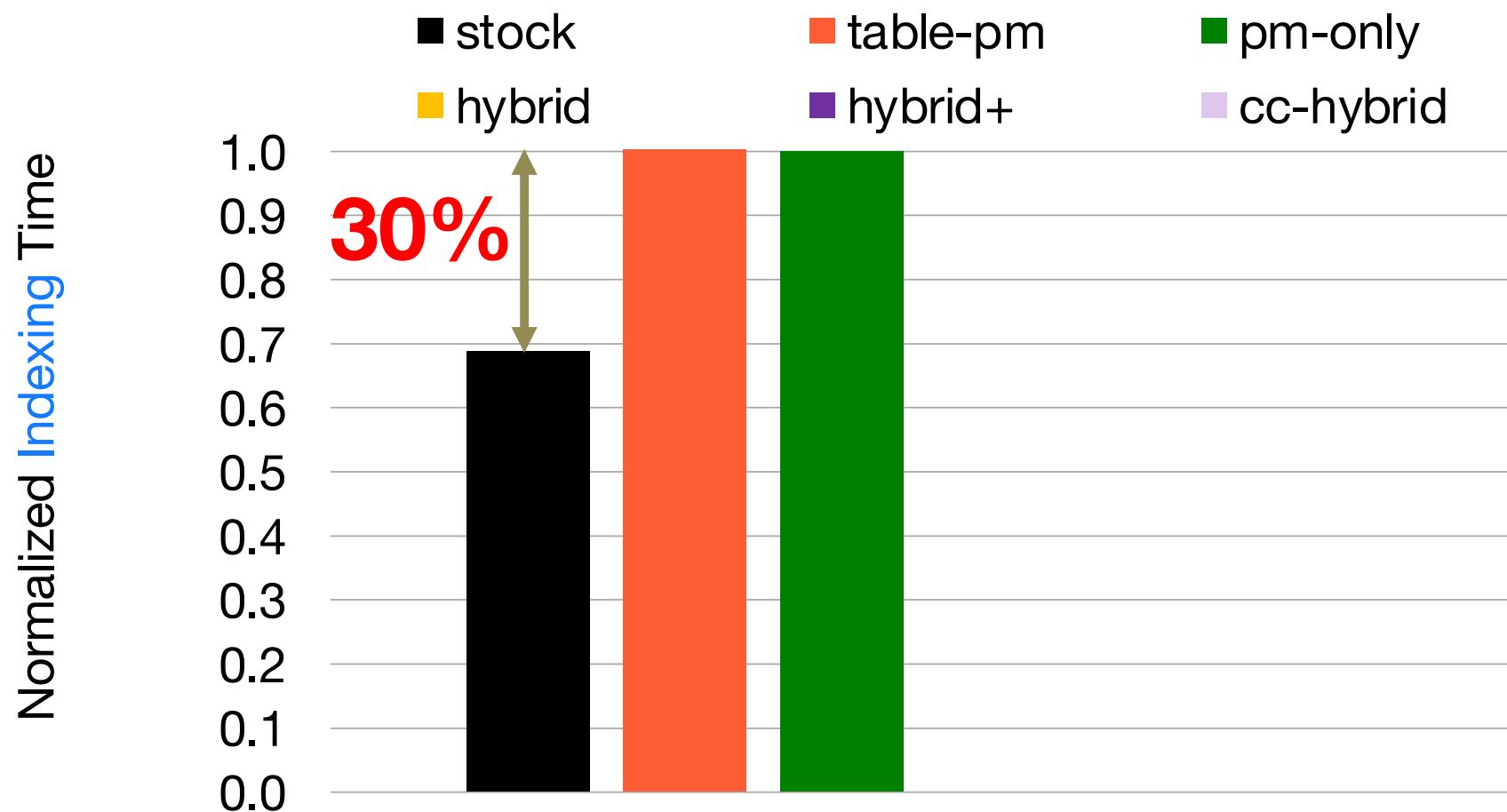
1.5 TB NVMe Optane SSD



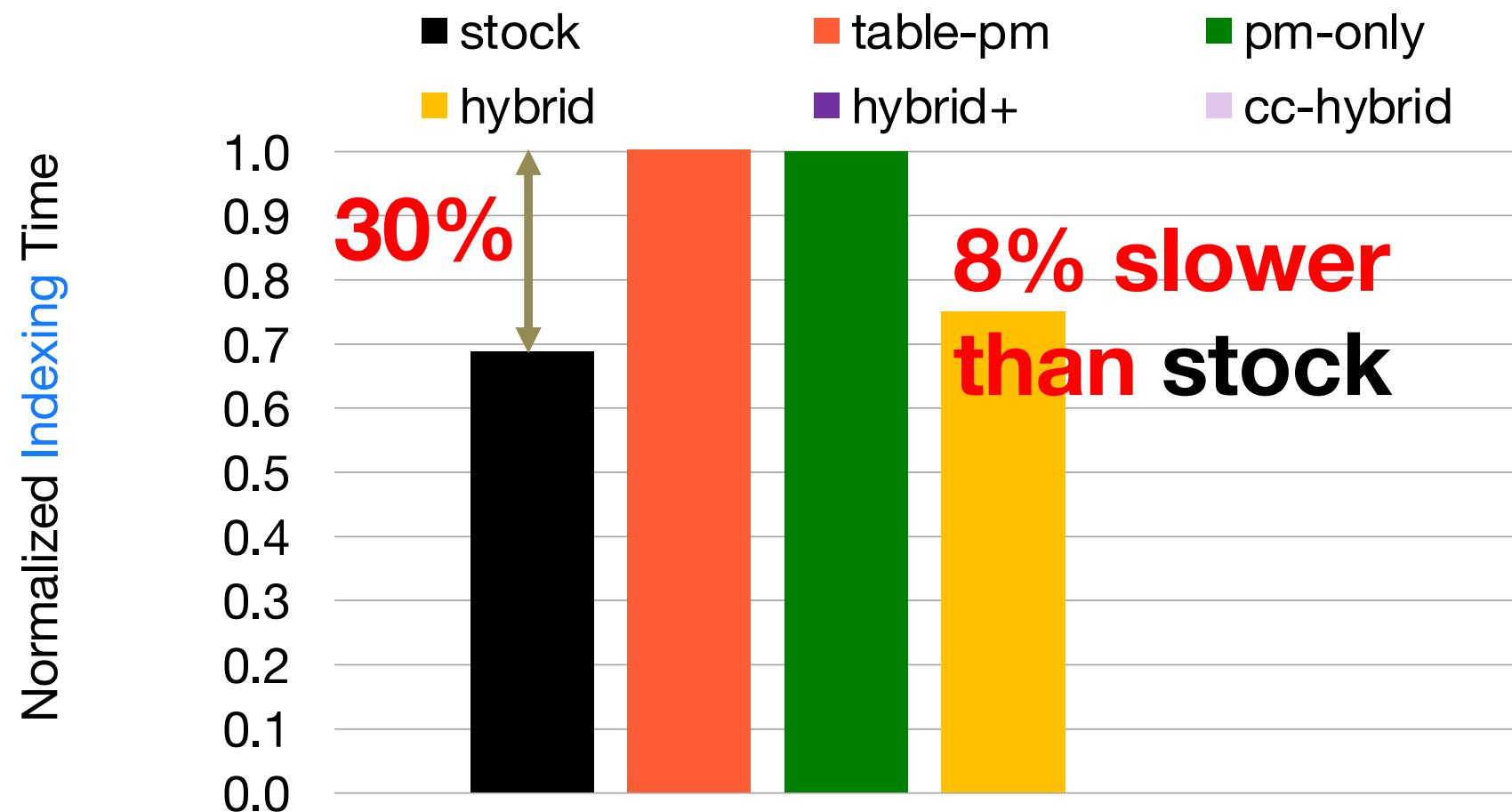
# Indexing perf with one core



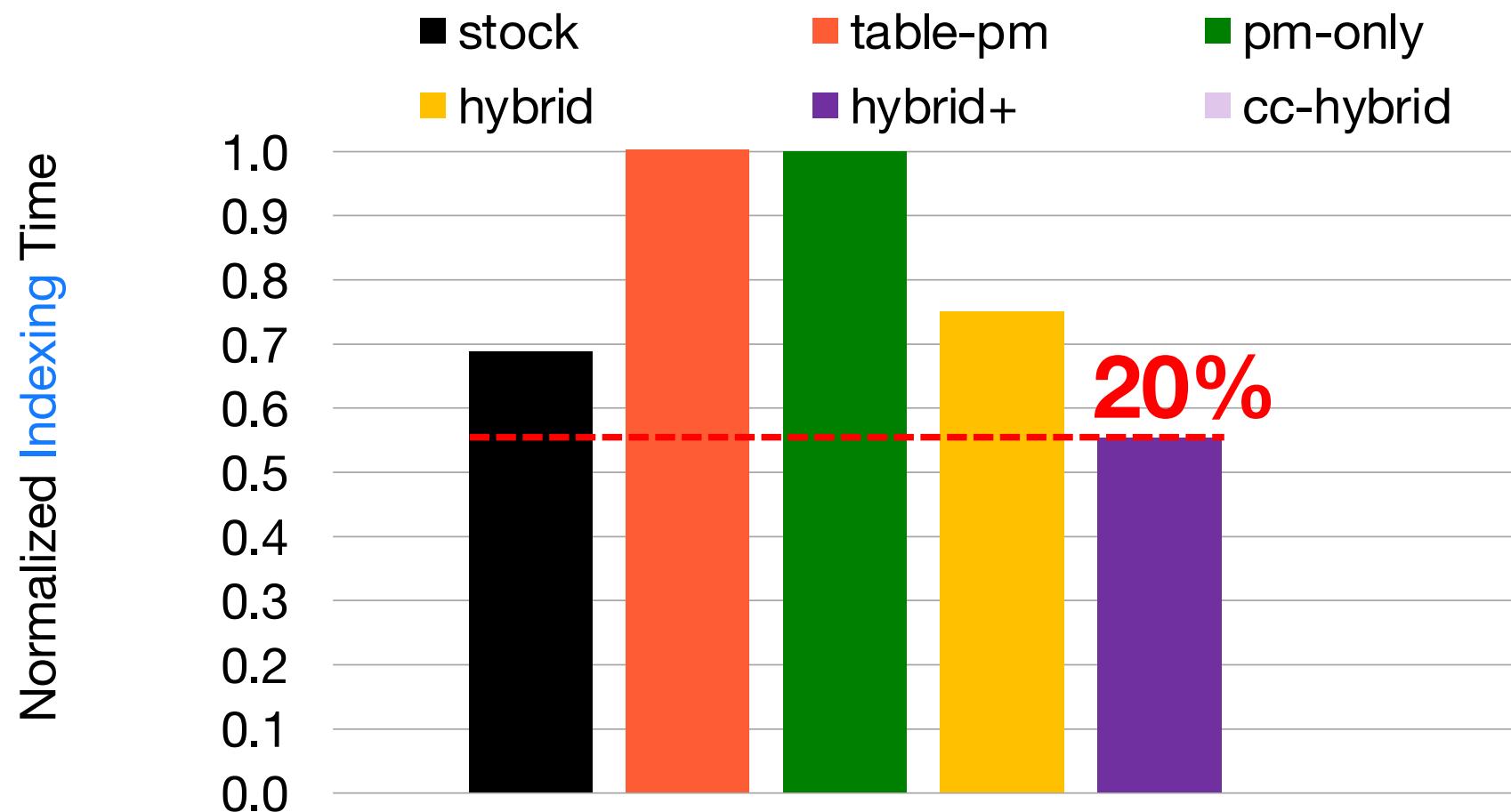
# PM as main/only is 30% slower



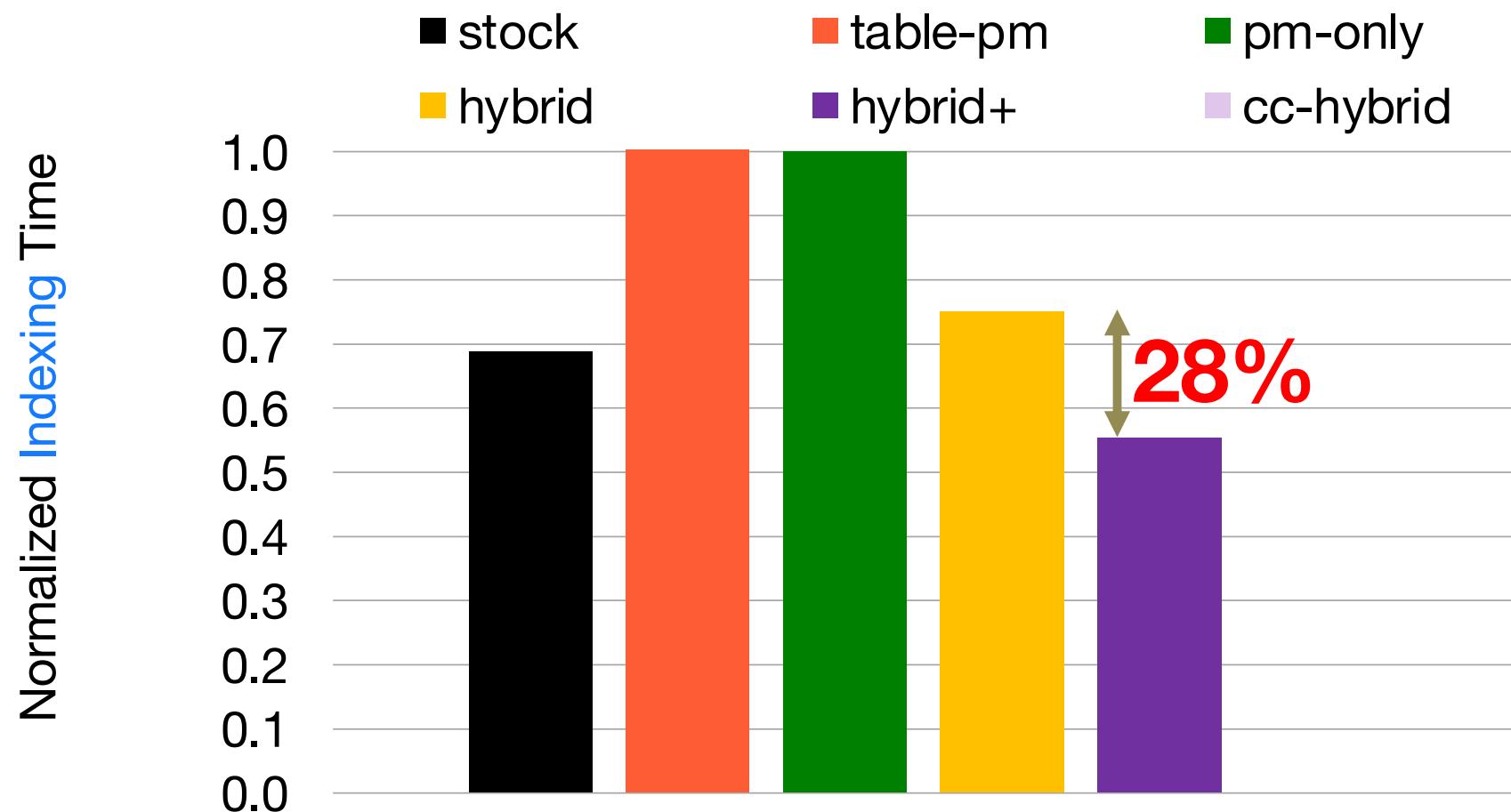
# Hybrid is 8% slower than stock



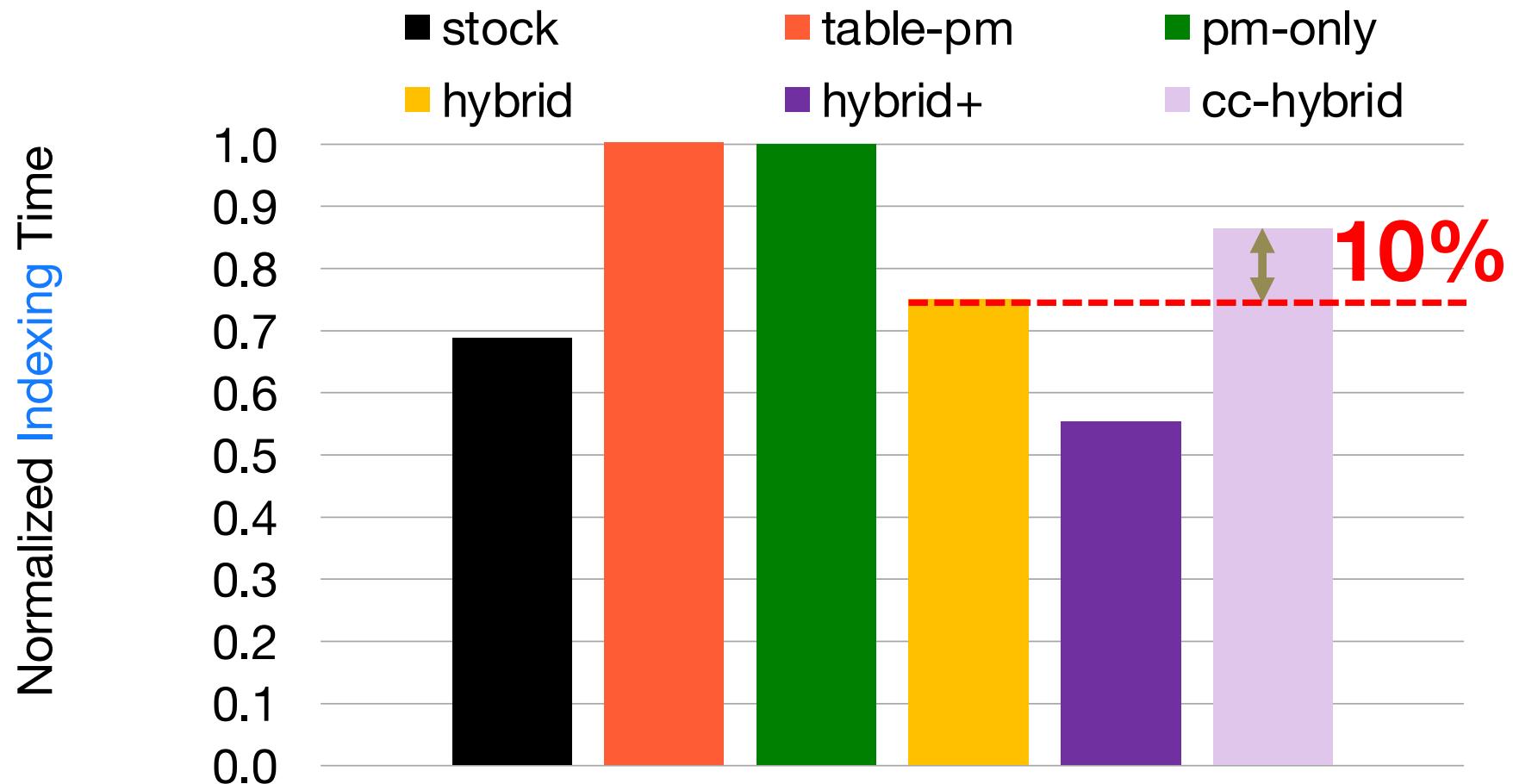
# Hybrid+ is best, 20% over stock



# Hybrid+ is best, pmkv costs 28%

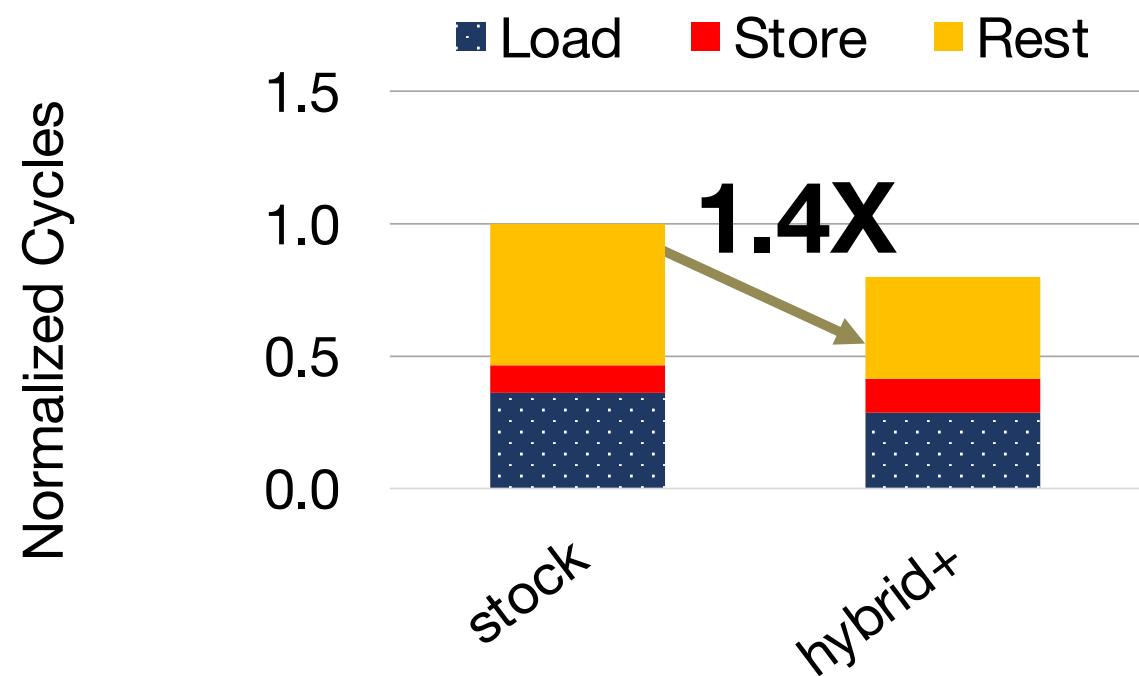


# Crash consistency costs 10%

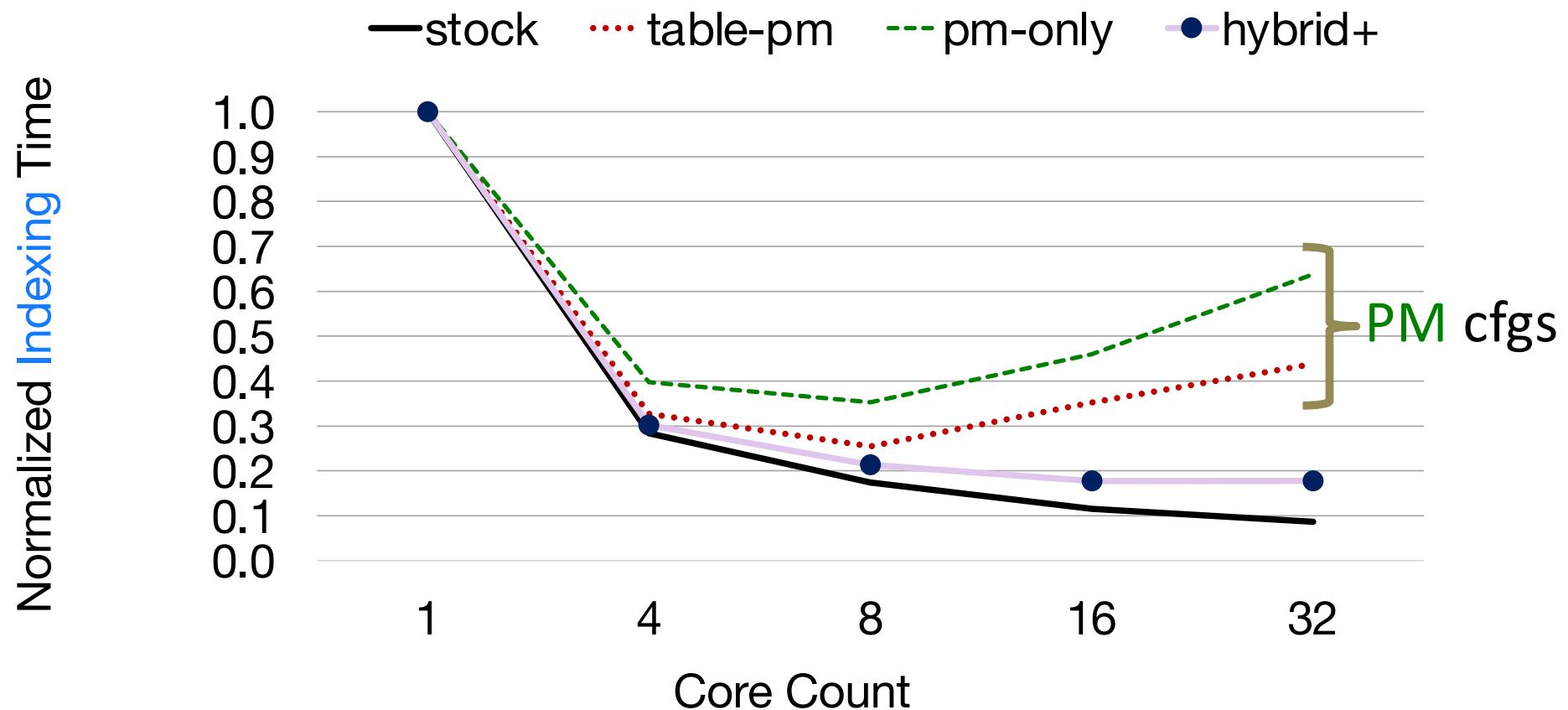


# syscall → mmap is mainly why hybrid+ beats stock

Use perf counters to observe Load/Store stalls the multicore incurs

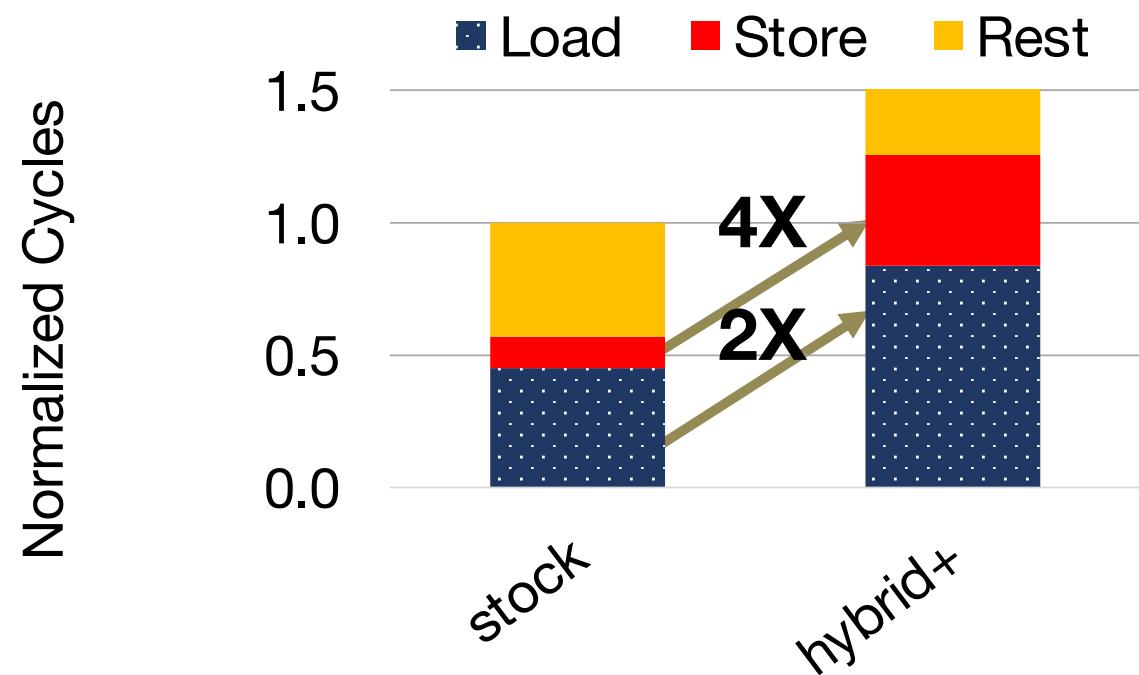


# Indexing scalability



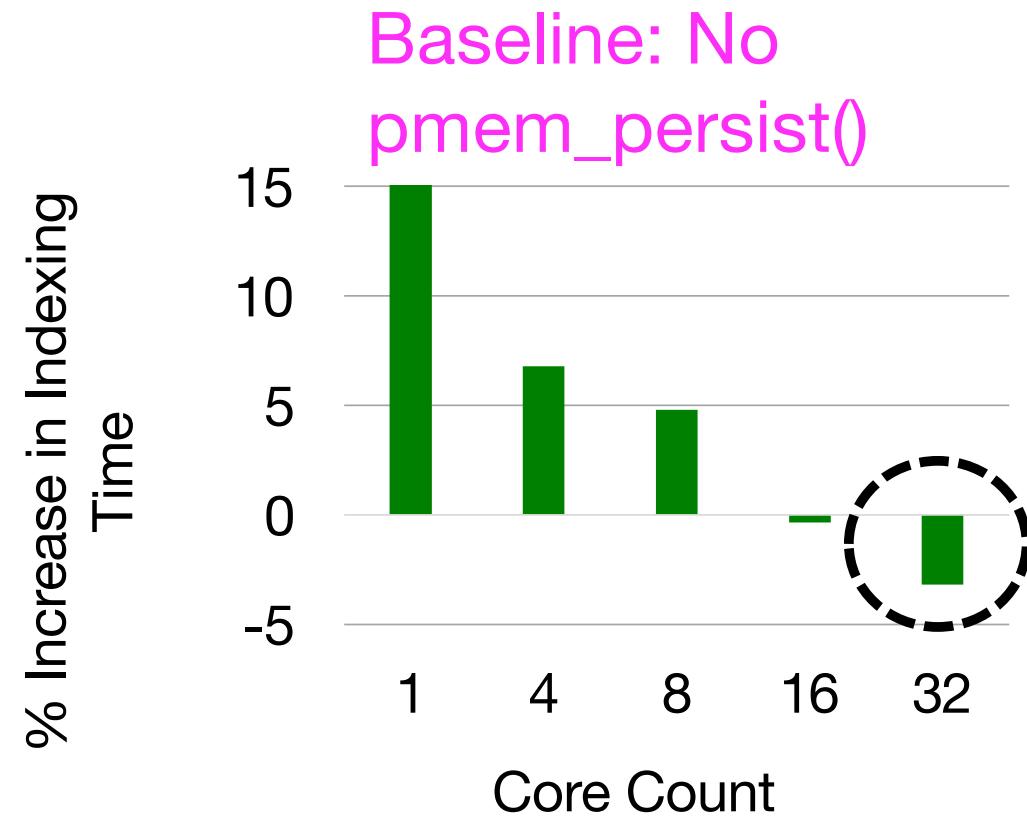
# Hybrid+ incurs an increase in memory stalls (32 cores)

Use perf counters to observe Load/Store stalls the multicore incurs



# Crash consistent indexing with 32 cores improves perf

32 cores: Invalidated cache lines become replacement candidates, improving LLC hit rate



# Query Evaluation Methodology

Tail latency of **100K** concurrent queries

- 1 term
- AND 2 terms

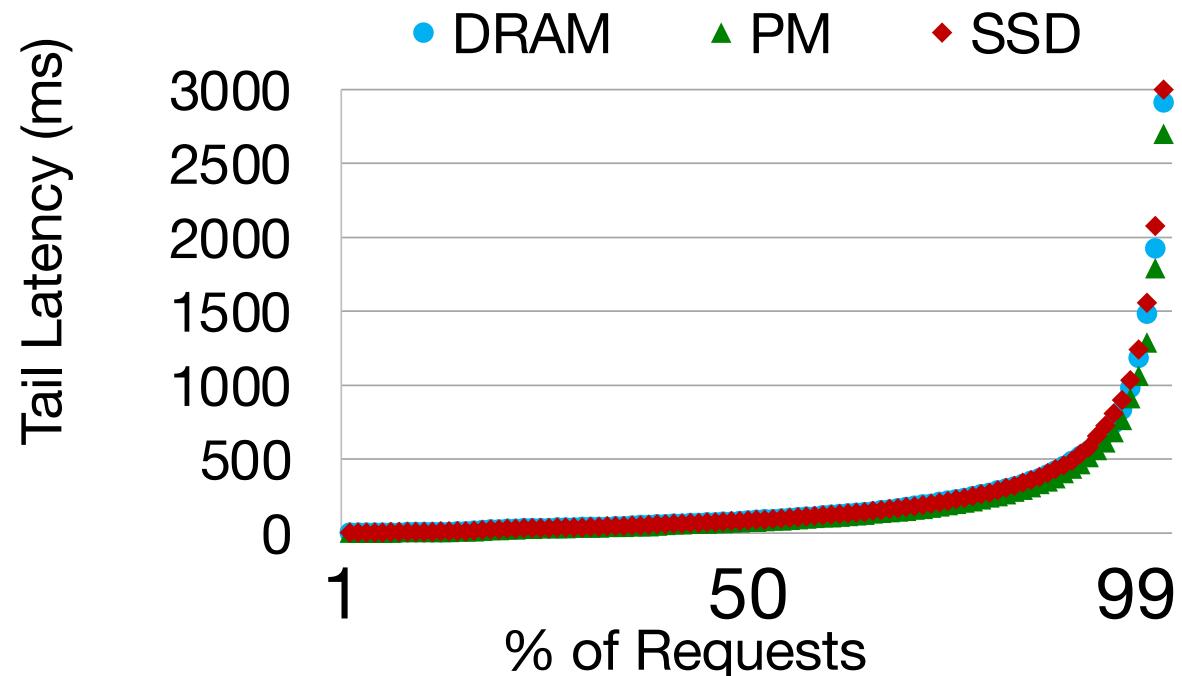
See **paper** for details

- Term selection, variation, ranking

# Tail latency of single-term queries

**DRAM = PM = SSD**

Accessing a single posting list results in a sequential access pattern

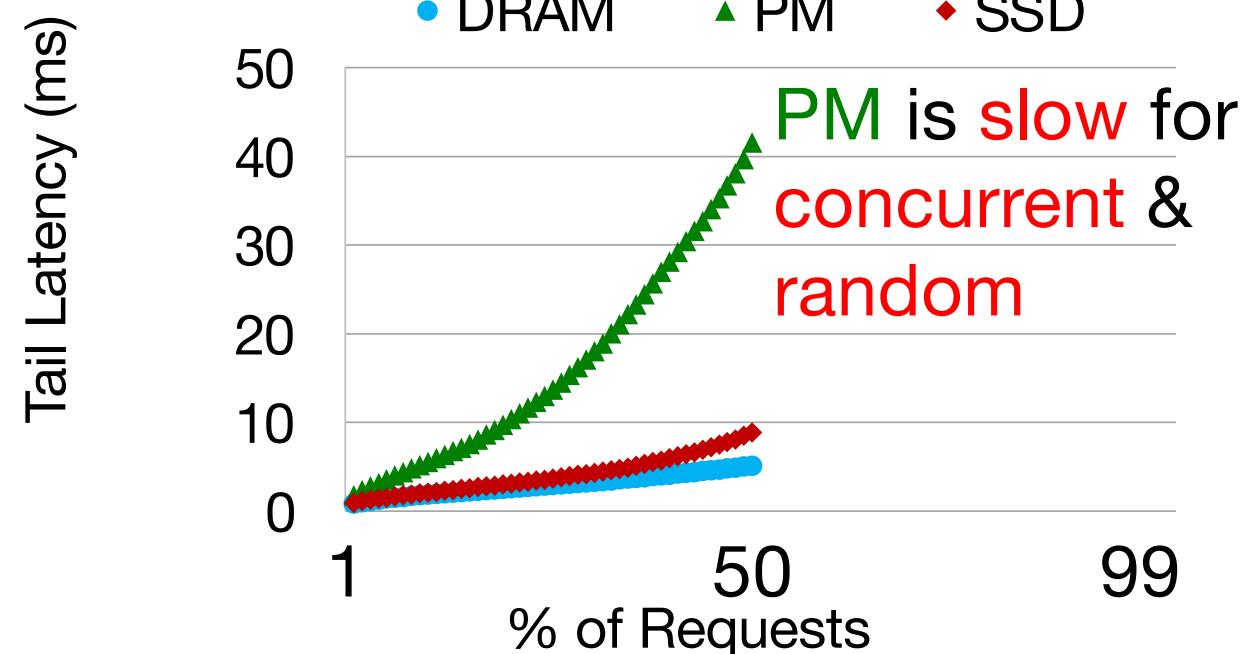


# Tail latency of 2-term AND

Region 1: **DRAM < SSD < PM**

50% Shortest queries

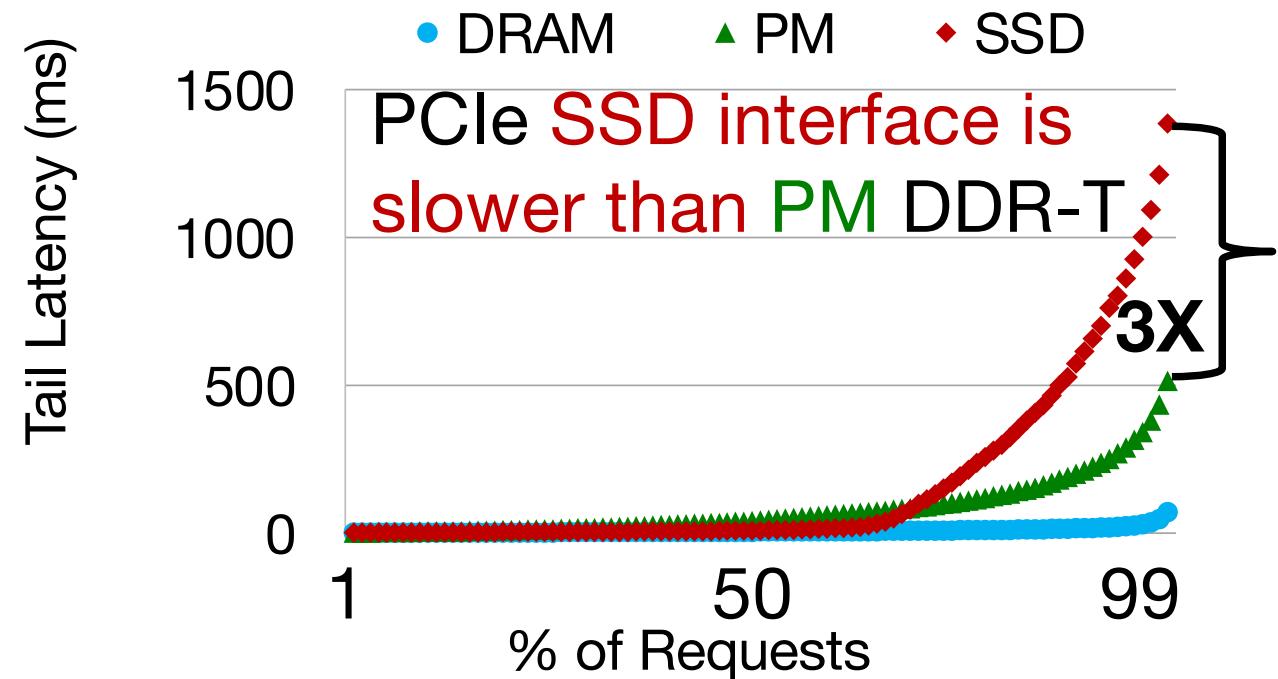
Advancing two lists  
leads to random  
accesses



# Tail latency of 2-term AND

## Region 2: DRAM < PM < SSD

50% Longest queries  
These queries access  
the SSD media



# More analysis in the paper

**Indexing:** updates

**Query eval:** access patterns

**Breakdowns:** sort vs merge, load vs store

**pmemkv:** volatile map, binding

**Other:** OS caching impacts

# Key Takeaways

PM does not scale well for write I/O bound indexing

PM shines for the latency-critical query evaluation

# Contribution: PM Search Engine

Exploiting PM for building/storing indices

- Memory, storage, universal roles
- Fine-grained crash consistent recovery

Extensive PM evaluation vs DRAM/SSD

- Indexing perf, scalability, bottlenecks
- Tail latency of query workloads





UNIVERSITY  
OF CRETE



Australian  
National  
University



Red Hat



FORTH  
INSTITUTE OF COMPUTER SCIENCE

# TeraHeap: Reducing Memory Pressure in Managed Big Data Frameworks

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Angelos Bilas  
[bilas@ics.forth.gr](mailto:bilas@ics.forth.gr)

r

# Analytics frameworks need large heaps

Analytics frameworks use managed runtimes

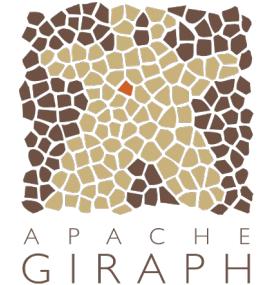
To process **large amounts of data** they need **large heaps**

Large heaps are **expensive (DRAM)** and **increase GC cost!**

DRAM is expensive in dollar cost, energy, and power

GC requires expensive scans over large heaps

For these reasons analytics frameworks avoid large heaps



# Common practice: Move objects off-heap

Off-heap storage in this context means

- Off DRAM → on fast storage

- Unmanaged → no GC scans

Off-heap demands serialization/deserialization (S/D)

- Transform object closure into byte streams

S/D is significant problem!

- Takes up to 47% in Spark workloads

- Not everything is serializable!

- Off-heap can be unsafe



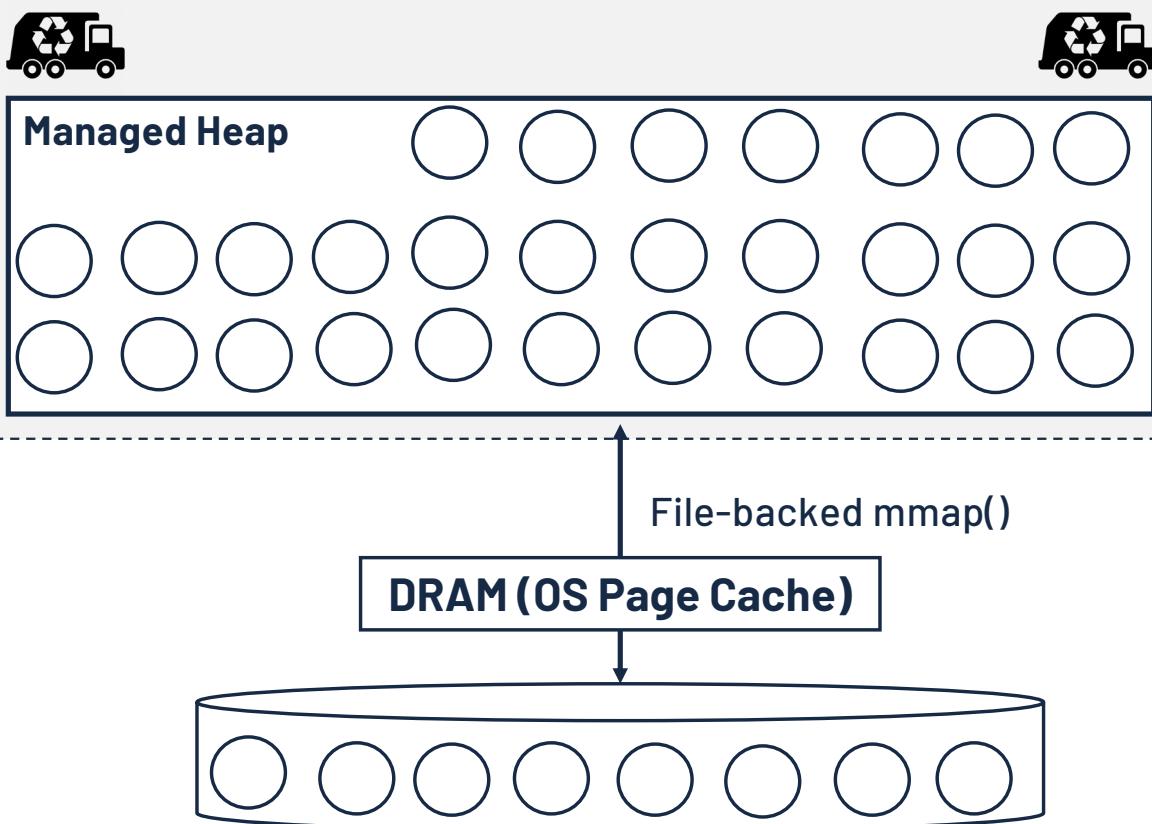
# Eliminate S/D: Extend the heap over storage

Framework

JVM



Managed Heap



Today OpenJDK naively uses mmap()

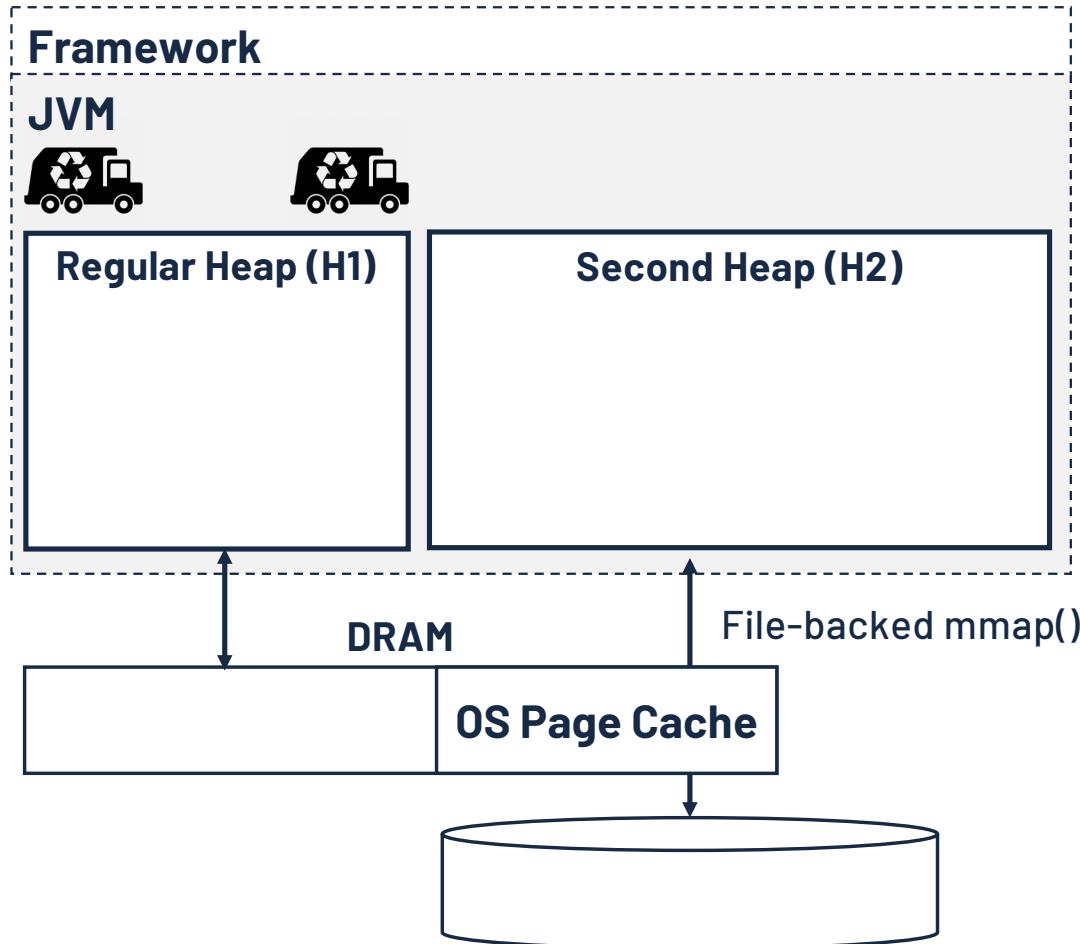
GC cost increases dramatically!

**Random accesses** over storage

**Object compaction** over storage

High I/O traffic

# TeraHeap: Eliminate S/D without increasing GC cost



Provides the illusion of a single heap

Avoid GC scans over the device heap

Custom management for the device heap

Lazy GC due to high storage capacity

Minimizing I/O traffic

# Outline

Motivation

Design

- Identify objects for moving to H2

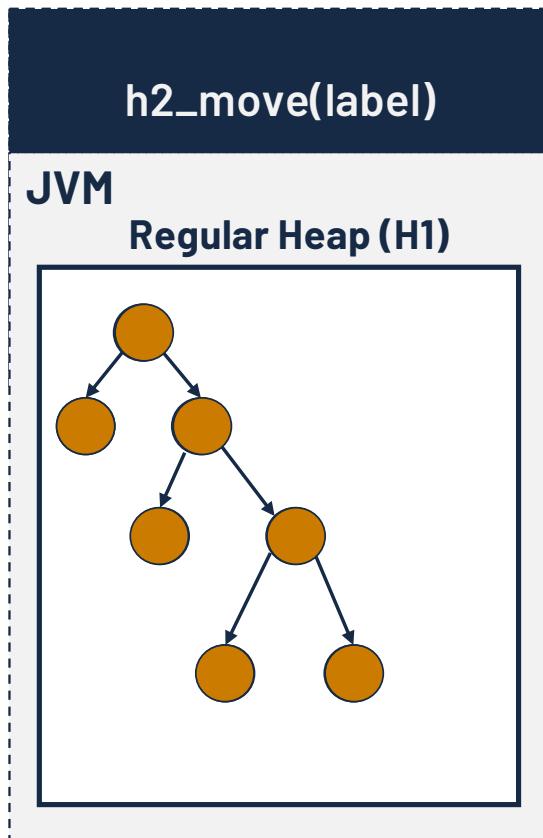
- Reclaim objects in H2 without GC scans

- Update cross-heap references with low I/O cost

Evaluation

Conclusions

# Move off-heap objects to H2



Goal: Find **large clusters** of objects with **similar lifetime**

Frameworks **move** partitions **off-heap**

Frameworks have **eventually immutable objects**

TeraHeap provides two hints

h2\_mark\_root(): Mark key object with a label

h2\_move(): Advice when to move objects to H2

Move objects to H2 during GC

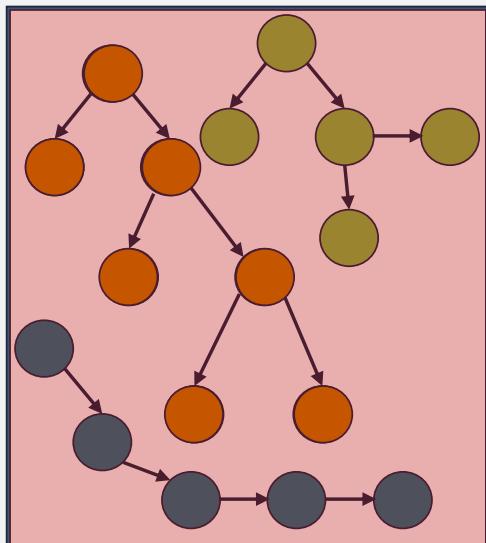
GC propagates the label from key object to all reachable

# Can move objects to H2 eagerly

Framework

JVM

Regular Heap (H1)



- ☑ Goal: Reduce **memory pressure in H1**

Increased memory pressure before transfer hint?

Eager transfers to H2 → decrease memory pressure in H1

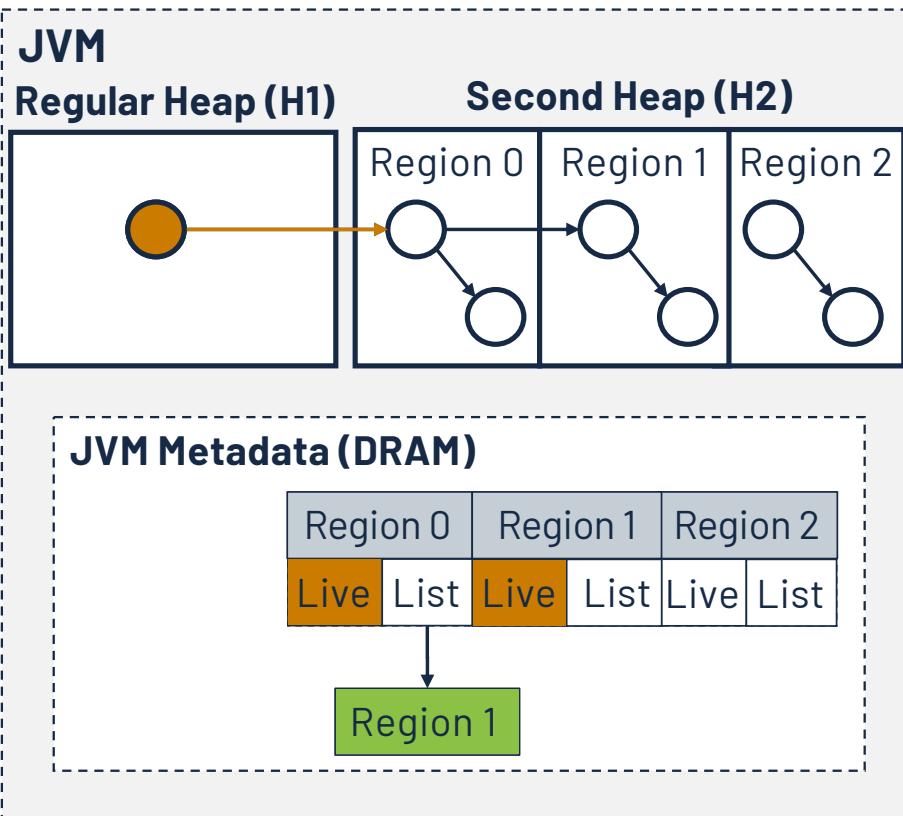
Use a high threshold to identify memory pressure

Bypass transfer hint

Move only a few marked objects to H2

Reduce **read-modify-write operations** in storage

# Leverage storage capacity to free objects lazily



Goal: **Reclaim** dead objects **without GC scans**

TeraHeap organizes H2 in fixed-sized regions

Objects with same label in the same region

Reclaim whole regions (**bulk free**)

Per region DRAM metadata (**avoid object access**)

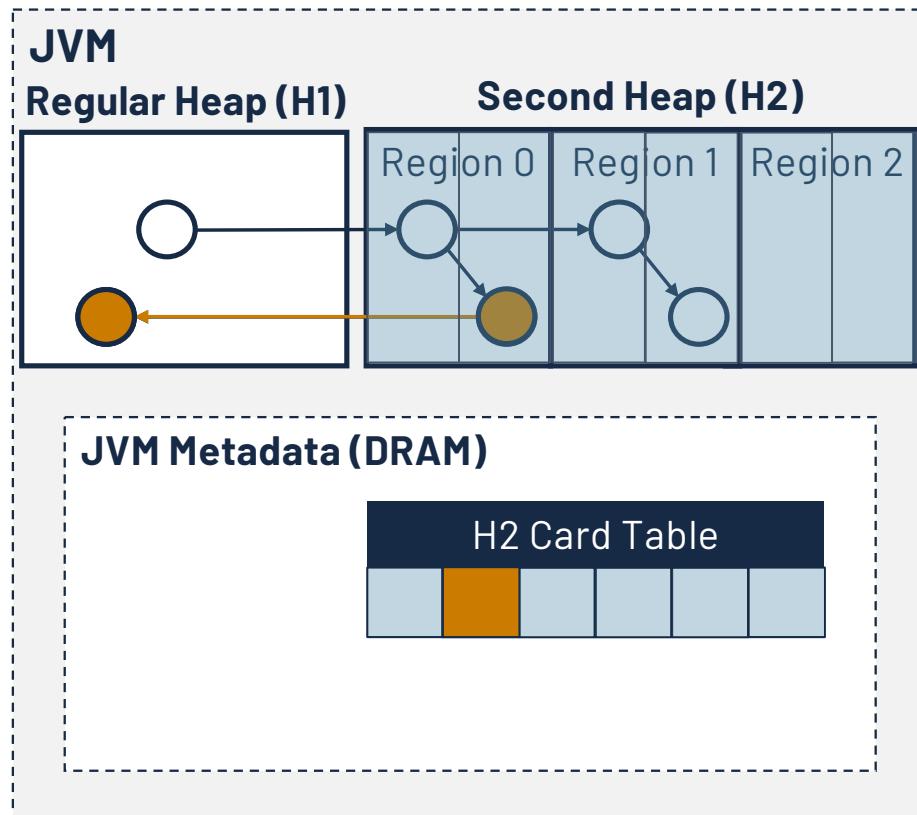
Live bit → region liveness

Dependency list → cross-region references

GC identifies H2 live regions

Free regions by zeroing regions metadata

# Preserve correctness of object liveness



✓ Goal: **Track** H2 to H1 references with **low I/O cost**

Card table (byte array in DRAM)

One byte per fixed-size H2 segments

Large segments to reduce card table size

Categorize cards to scan less segments

Based on GC type, we scan specific segments

# Testbed

We implement TeraHeap in OpenJDK 8 (we now support OpenJDK 17)

- Extend Parallel Scavenge garbage collector

- Extend interpreter, C1 and C2 (JIT) compilers to support updates in H2

We use one servers with 2 TB NVMe SSD and 256 GB DRAM

- Also, we evaluate TeraHeap with NVM

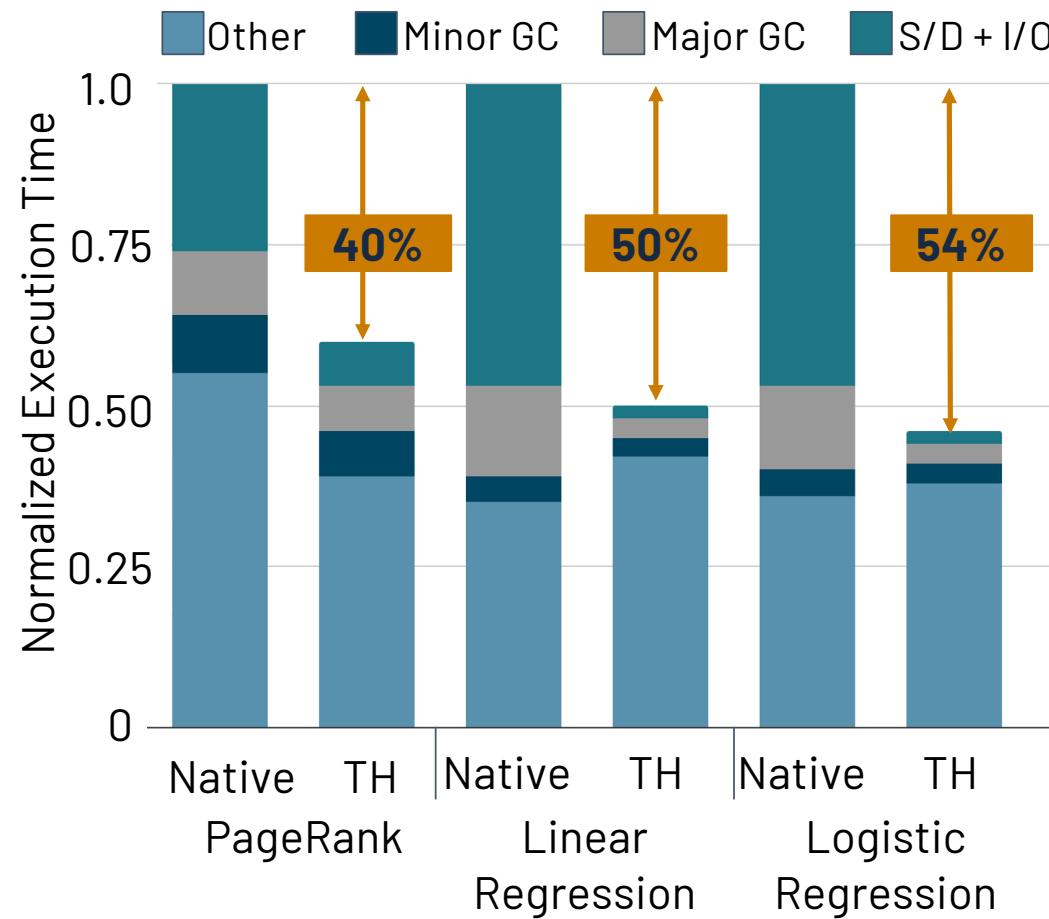
- Real world applications

- Spark with SparkBench suite

- Giraph with Graphalytics benchmark suite

Limit DRAM capacity using cgroups

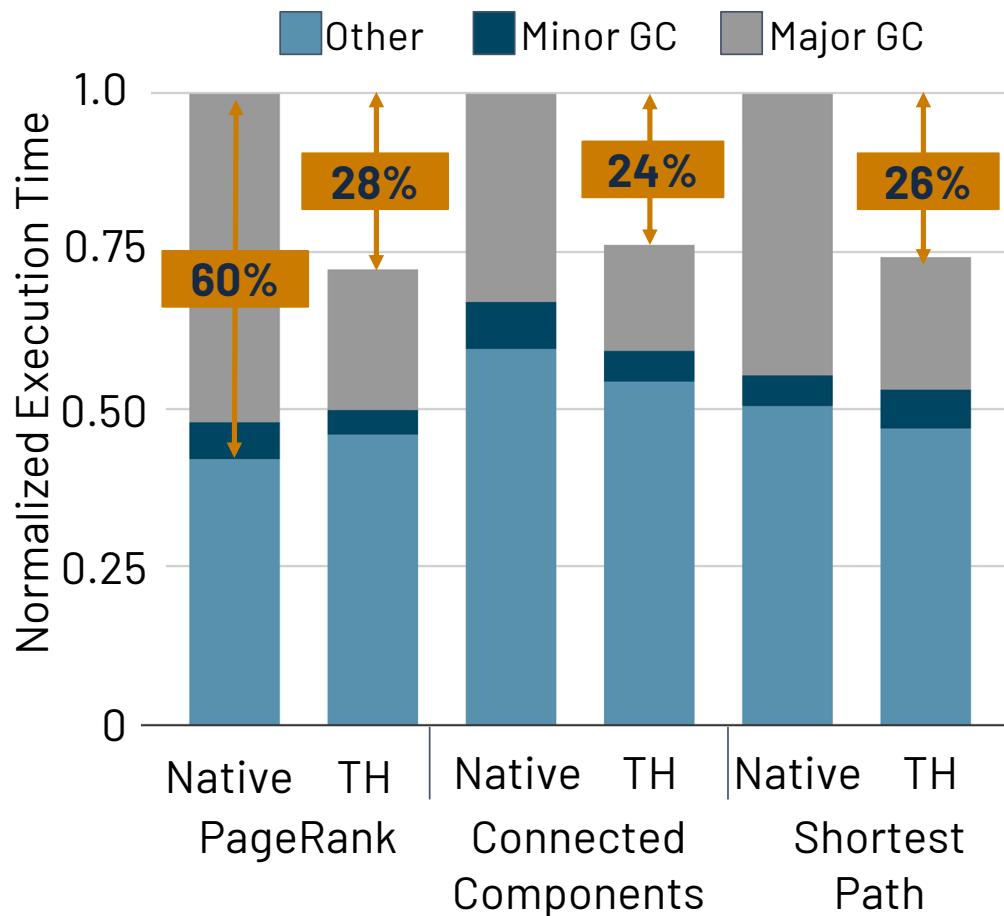
# TeraHeap outperforms native Spark by up to 54%



Teraheap reduces S/D overhead

S/D in TeraHeap is due to shuffling

# TeraHeap outperforms native Giraph by up to 28%



Main performance improvement

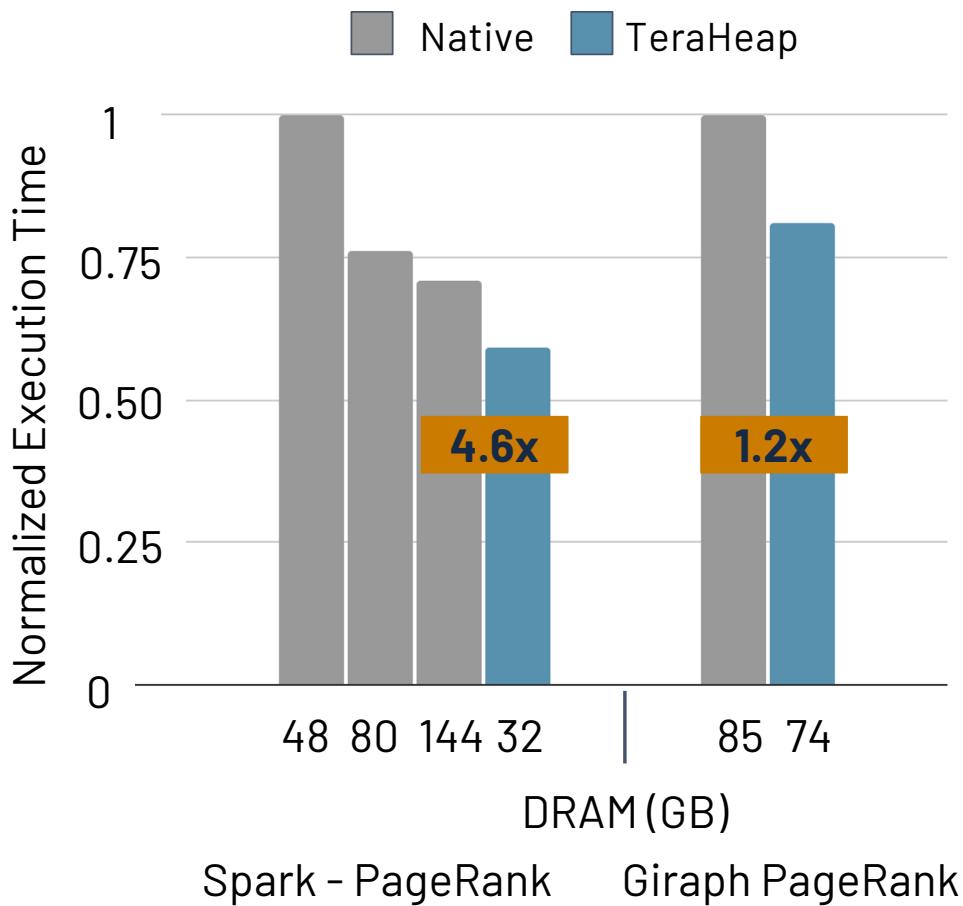
Reduction of major GC (up to 50%)

Off-heap **reduces** heap pressure **temporarily**

Giraph processes objects **only on-heap**

Increases heap pressure → Increased GC!

# TeraHeap reduces DRAM requirements



- Provide direct access to H2 objects
- Outperforms native Spark using 4.6x less DRAM
- Outperforms native Giraph using 1.2x less DRAM

# Key Takeaways

Analytics frameworks deal with large datasets using S/D

TeraHeap provides the illusion of single managed heap

No S/D and no GC scans in the device heap for freeing space

Improves native Spark and Giraph performance by up to 54 % and 28%

TeraHeap requires up to 4.6x less DRAM

## Future work

Eliminate hints by dynamically determining which objects to move to H2

# TeraHeap: Reducing Memory Pressure for Managed Big Data Frameworks



[github.com/CARV-ICS-FORTH/teraheap](https://github.com/CARV-ICS-FORTH/teraheap)

We thankfully acknowledge the support of the European Commision projects  
EVOLVE (GA No 825061) and Eupex (GA No 101033975)

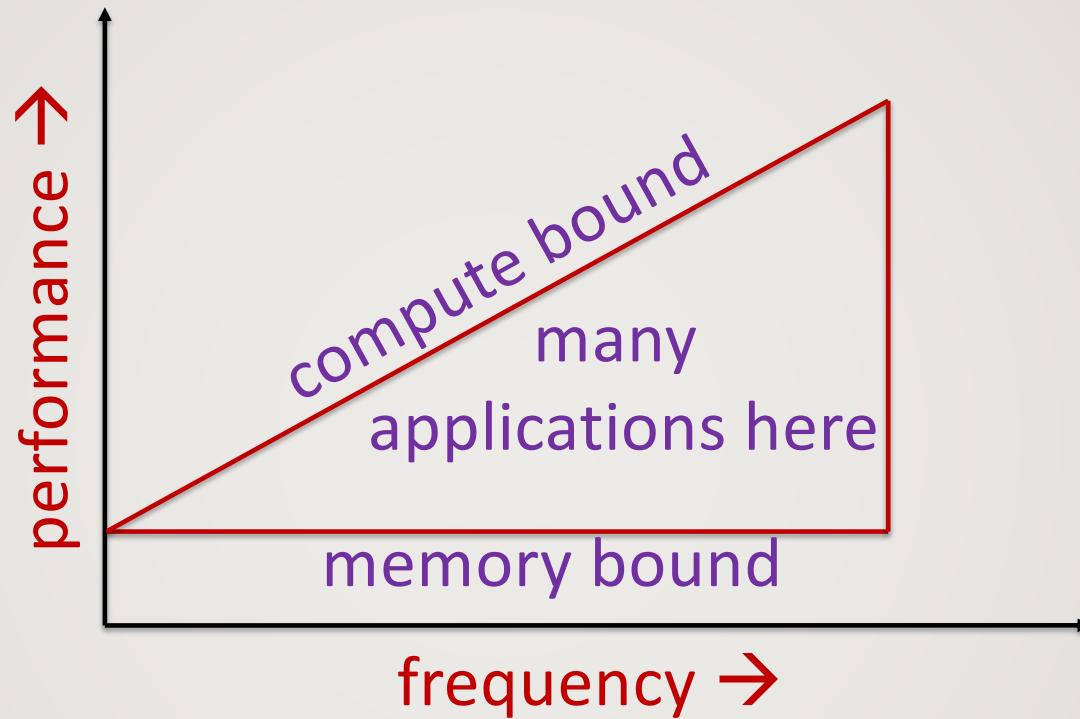
Iakovos G. Kolokasis is supported by the Meta Research PhD Fellowship (2022 – 2024)



# DVFS PERFORMANCE PREDICTION FOR MANAGED MULTITHREADED APPLICATIONS

**Shoaib Akram, Jennifer B. Sartor, Lieven Eeckhout**  
Ghent University, Belgium  
[Shoaib.Akram@elis.UGent.be](mailto:Shoaib.Akram@elis.UGent.be)

# DVFS Performance Prediction



Sample at all DVFS states ☹

**Estimate** performance ☺

# Managed Multithreaded Applications



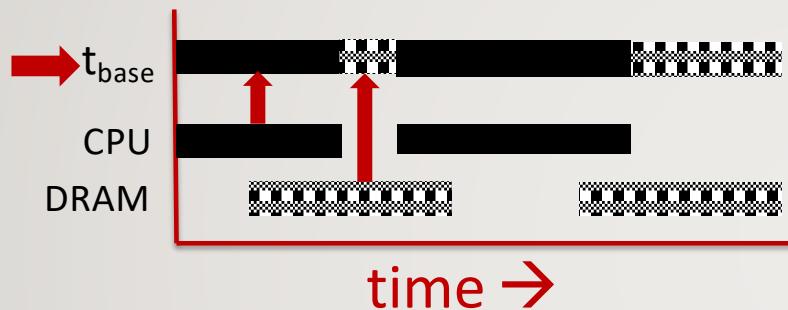
Heterogeneity

Synchronization

Store Bursts

# Background

## Base Frequency



- $t_{base}$  sum of
  - Scaling (S)
  - Non-Scaling (NS)

## Target Frequency

- $r = \text{Base}/\text{Target}$
- $S \rightarrow S * r$
- $NS \rightarrow \text{No change}$
- $t_{target} = (S * r) + NS$

- Not simple
- OOO+MLP

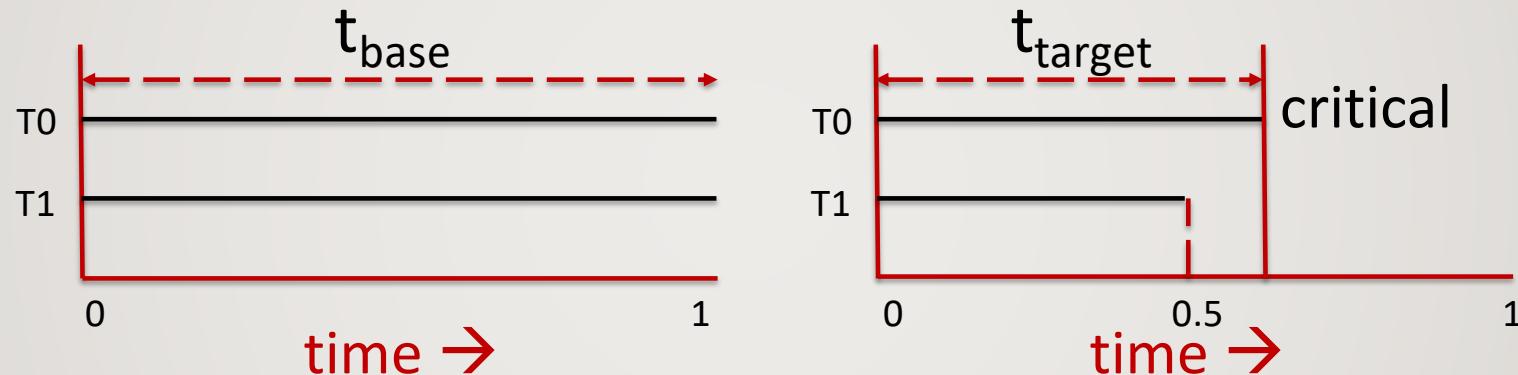
# State of the Art

- CRIT estimates non-scaling by
  - Measuring critical path through loads
  - Ignoring store operations

*R. Miftakhutdinov, E. Ebrahimi, and Y. N. Patt. Predicting performance impact of DVFS for realistic memory systems. MICRO, 2012.*

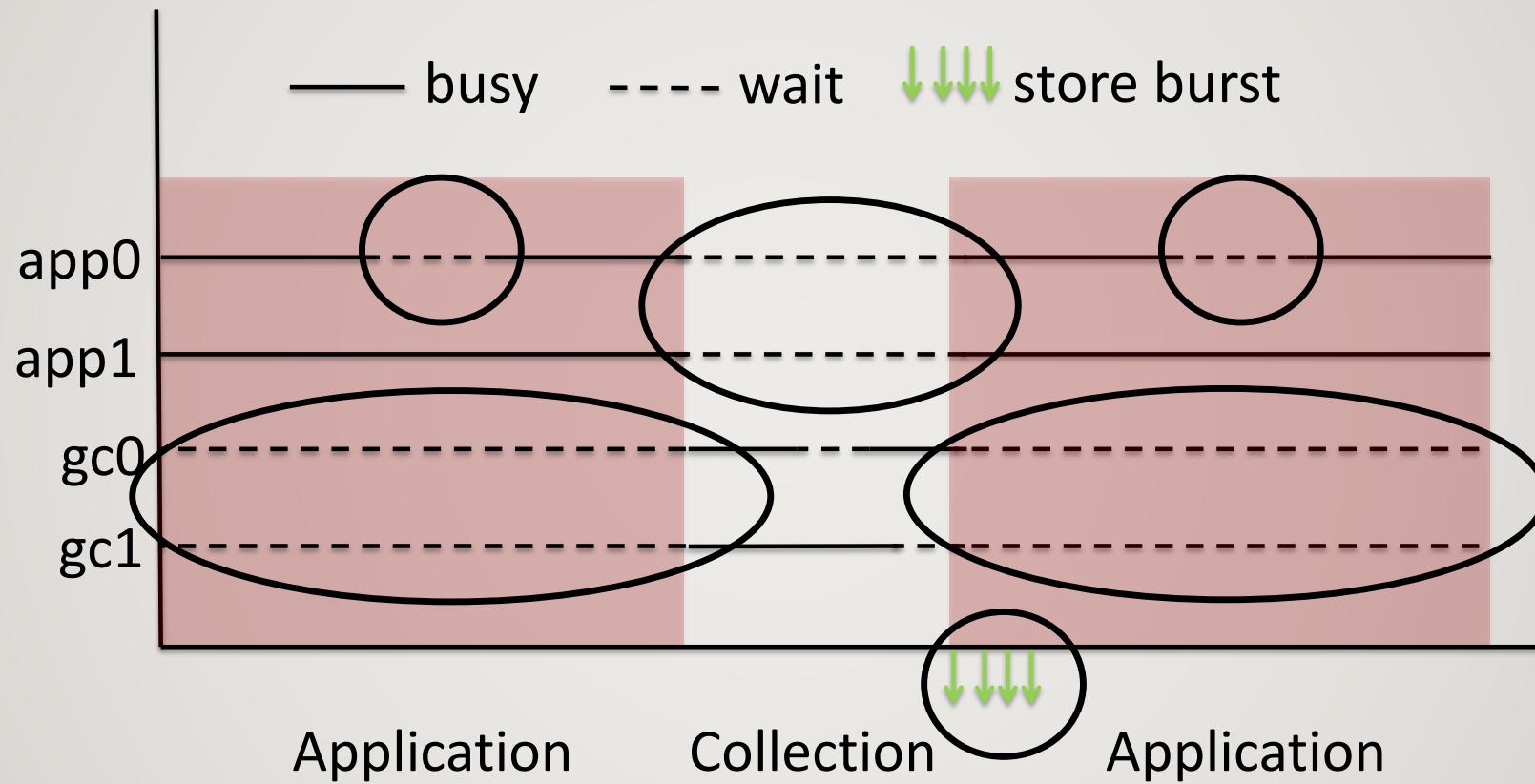
# Multithreaded CRIT (M+CRIT)

Base Frequency  $\xrightarrow{2X}$  Target Frequency



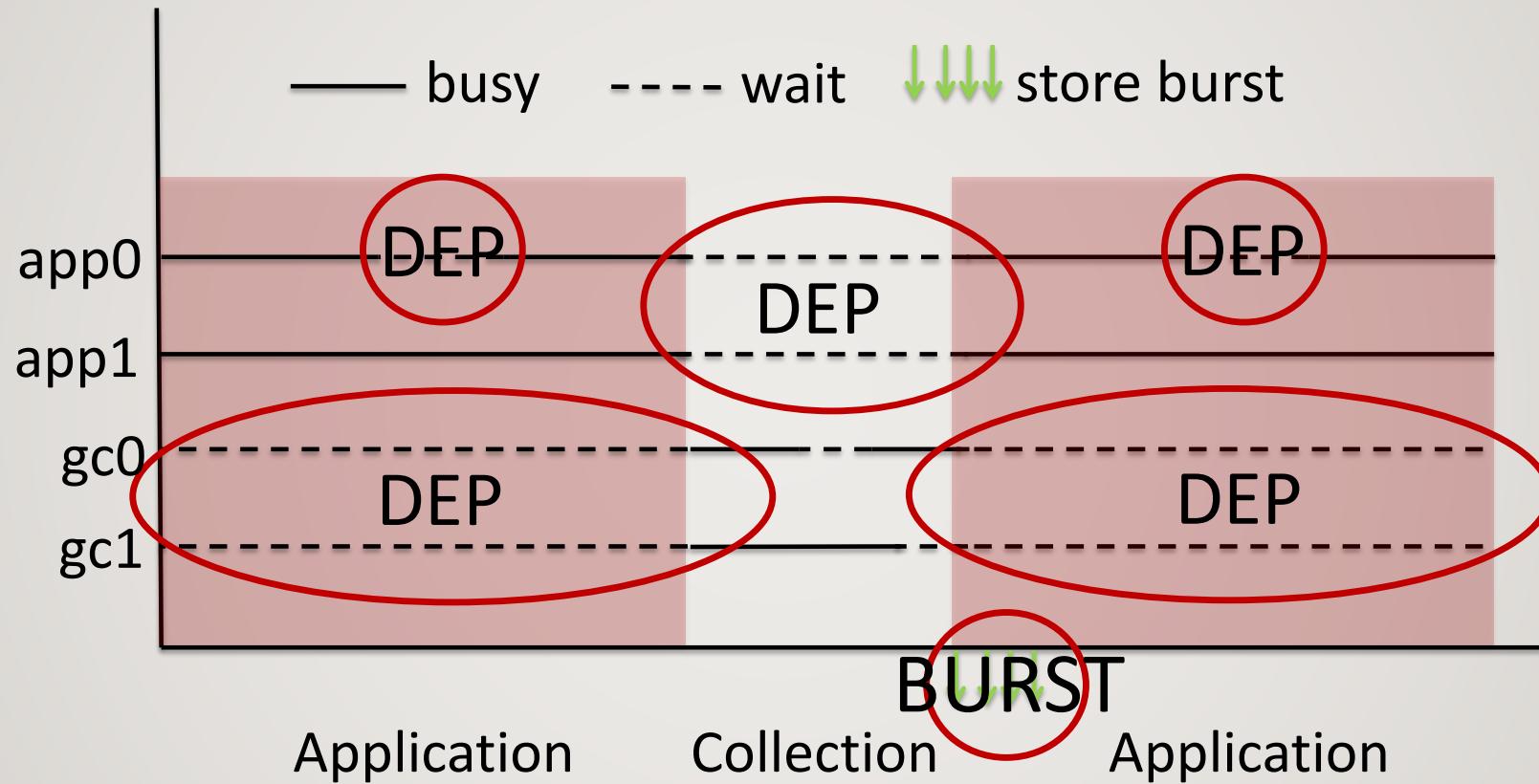
Use CRIT to identify each thread's non-scaling  
High error for multithreaded Java!

# Sources of Inaccuracy in M+CRIT



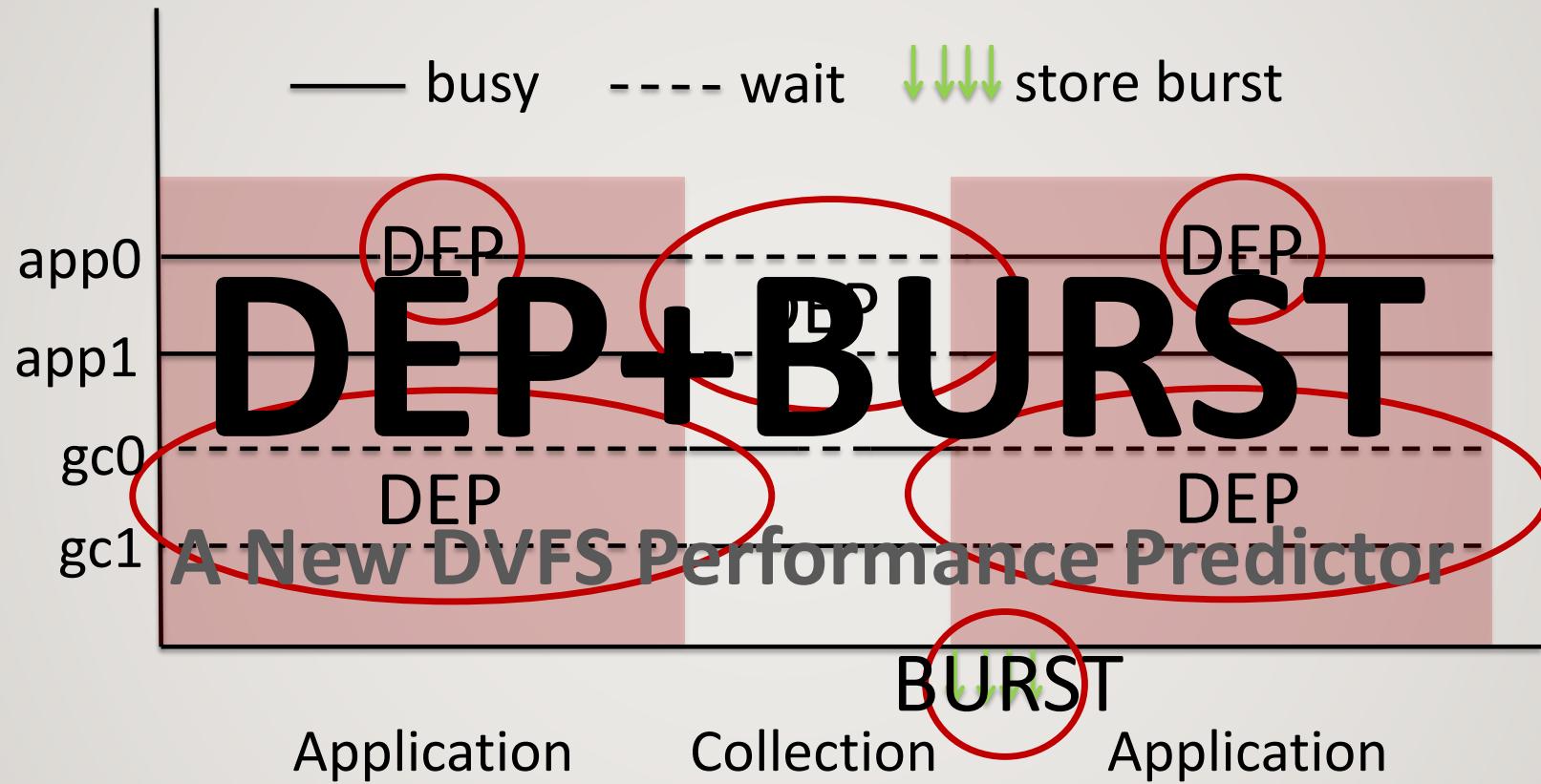
Scaling or non-scaling?

# Sources of Inaccuracy in M+CRIT



Scaling or non-scaling?

# Our Contribution



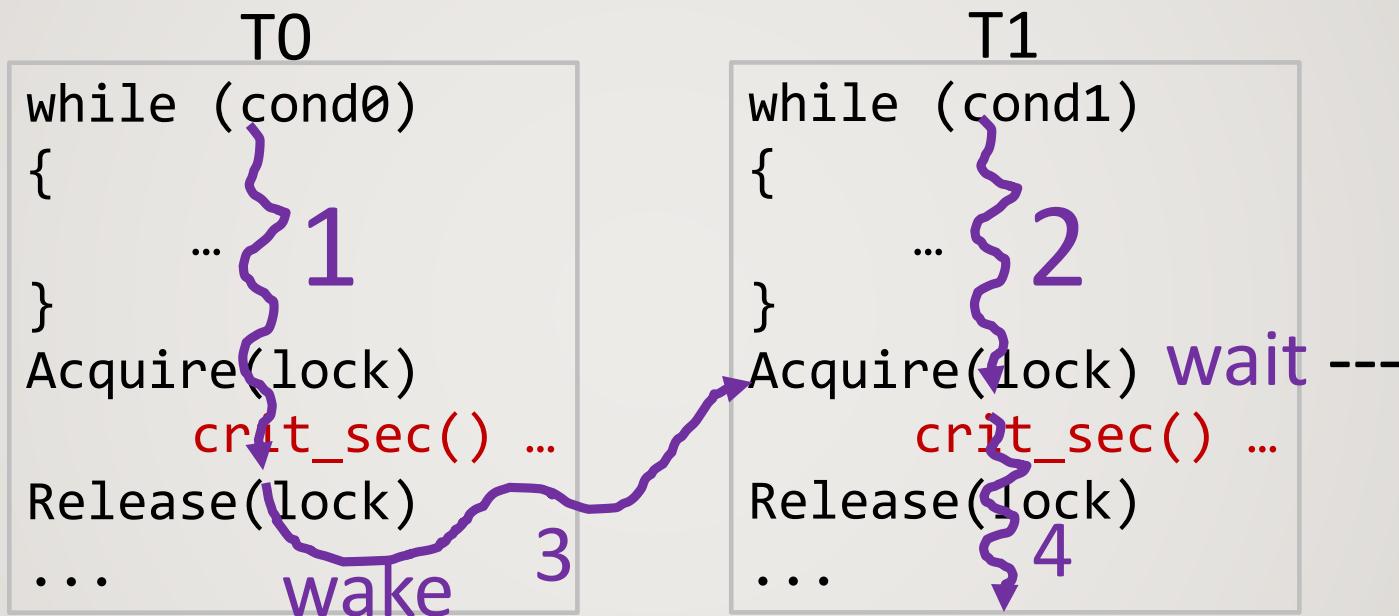
Scaling or non-scaling?

Our Contribution

# **DEP+BURST**

A New DVFS Performance Predictor

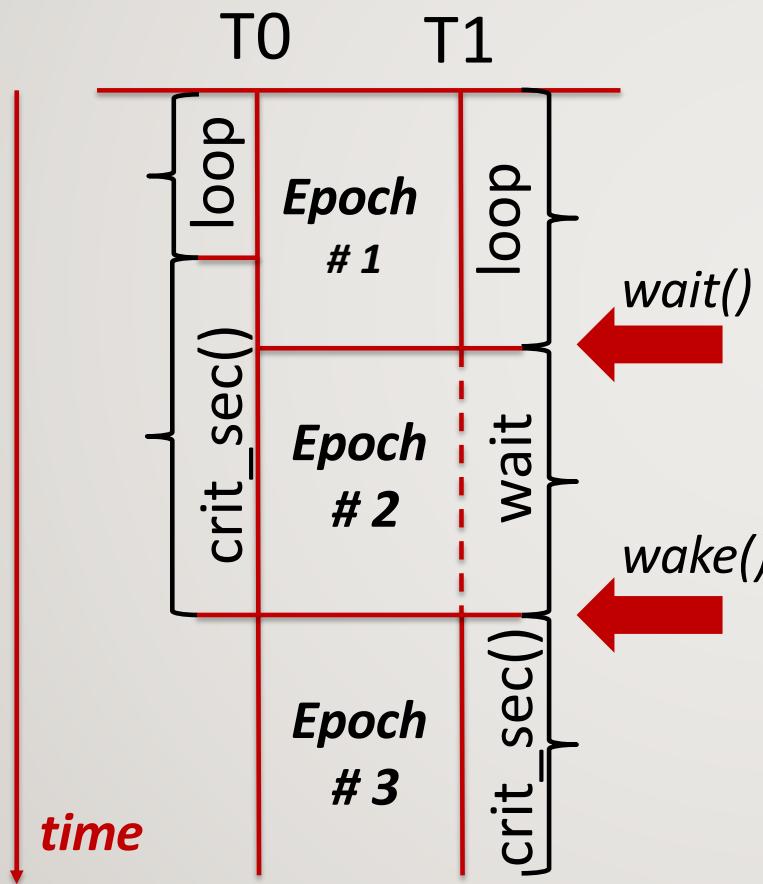
# Example: Inter-thread Dependencies



- Intercept synchronization activity
- Reconstruct execution at target frequency

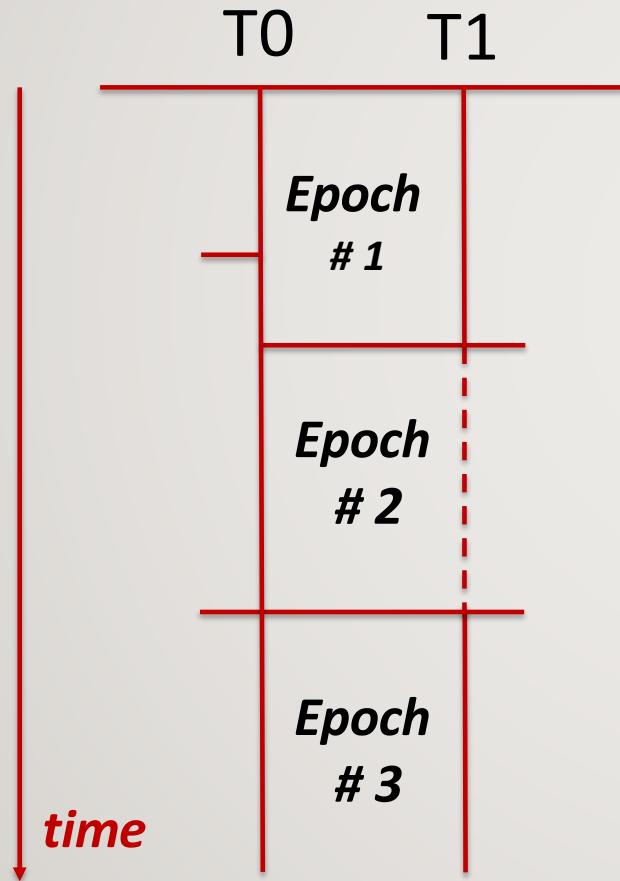
# Identifying Synchronization Epochs

Base Frequency → Target Frequency



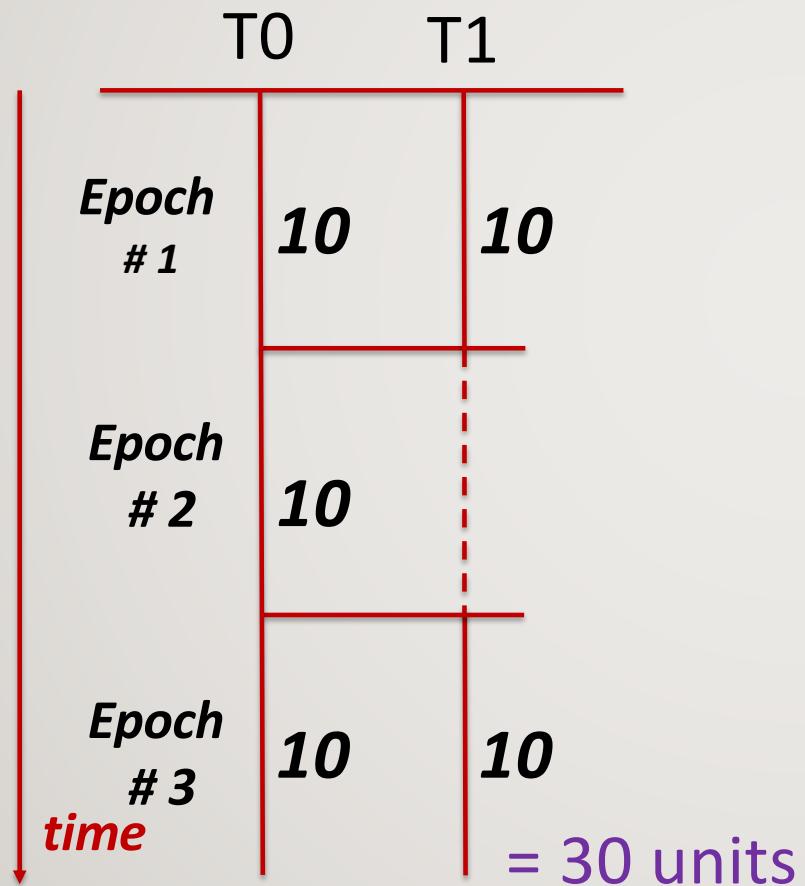
# Identifying Synchronization Epochs

Base Frequency → Target Frequency

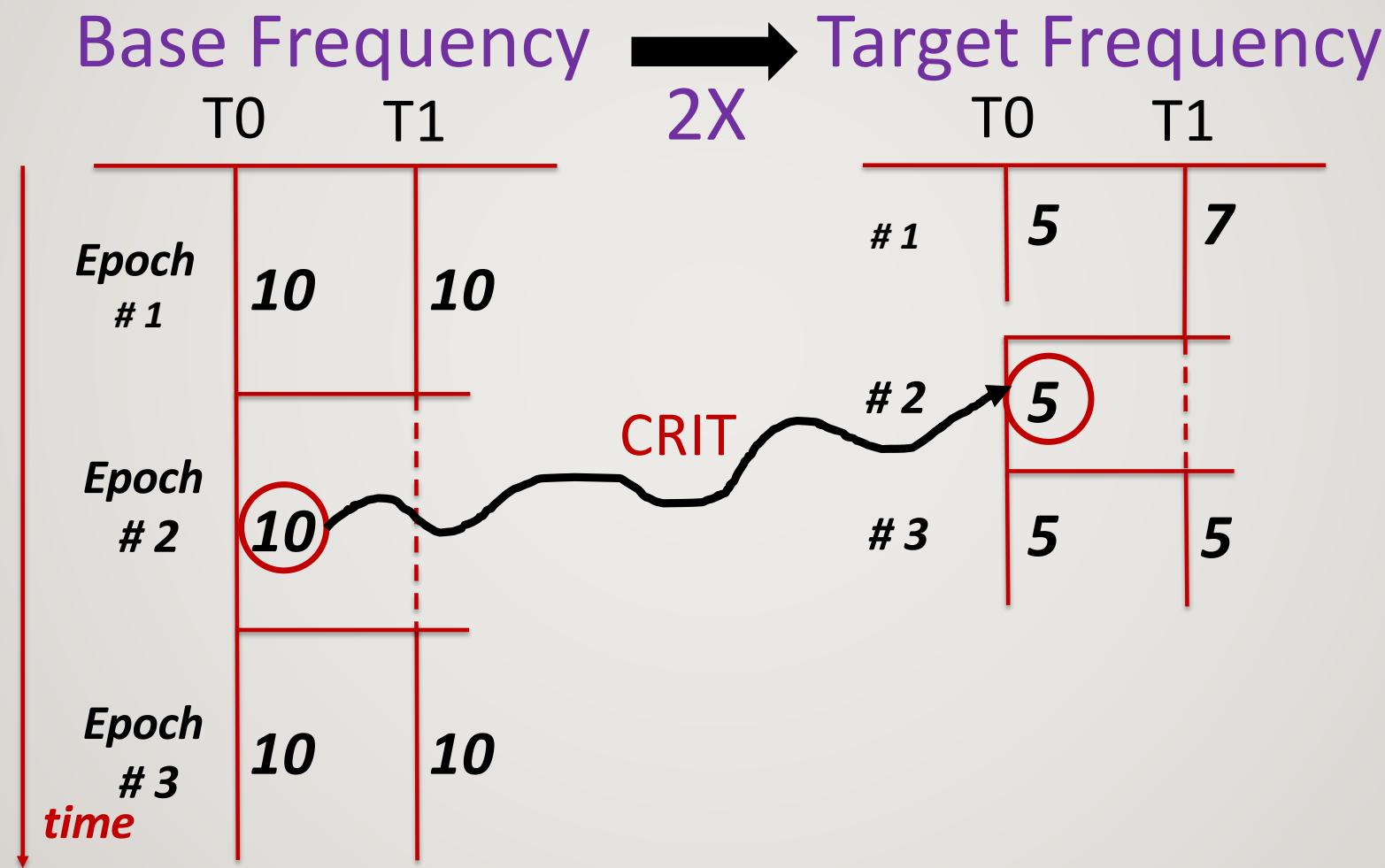


# Identifying Synchronization Epochs

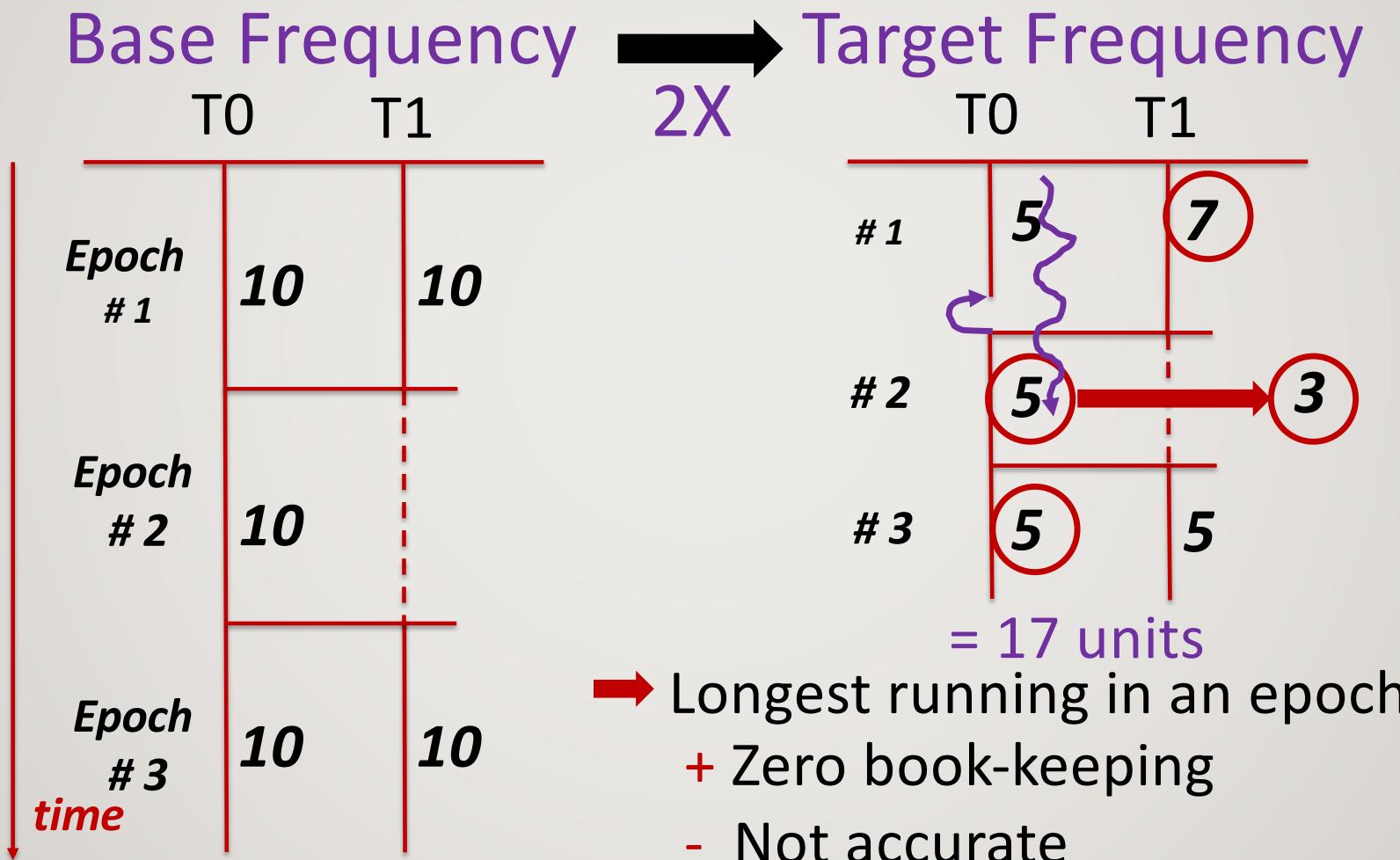
Base Frequency → Target Frequency



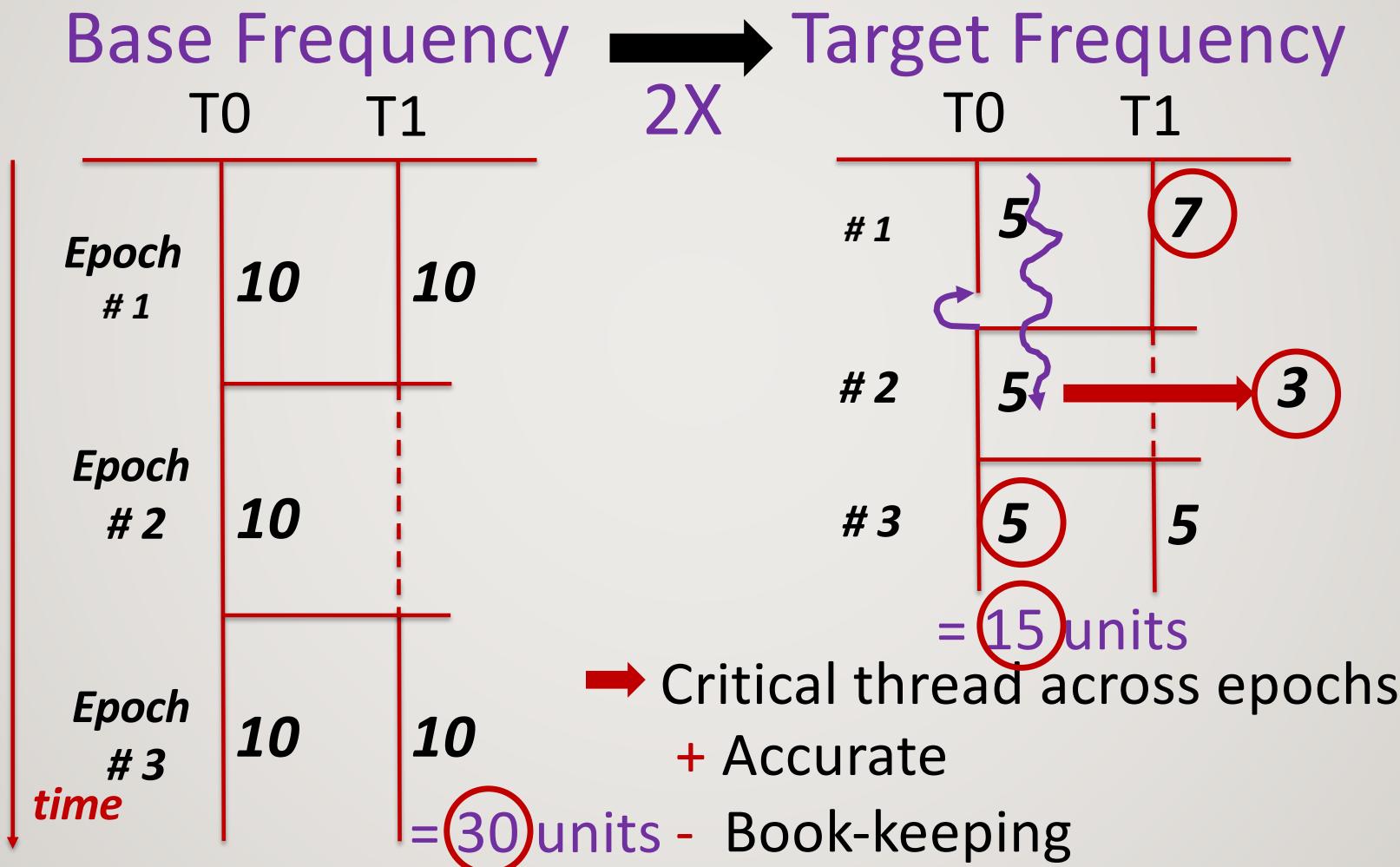
# Reconstruction at Target Frequency



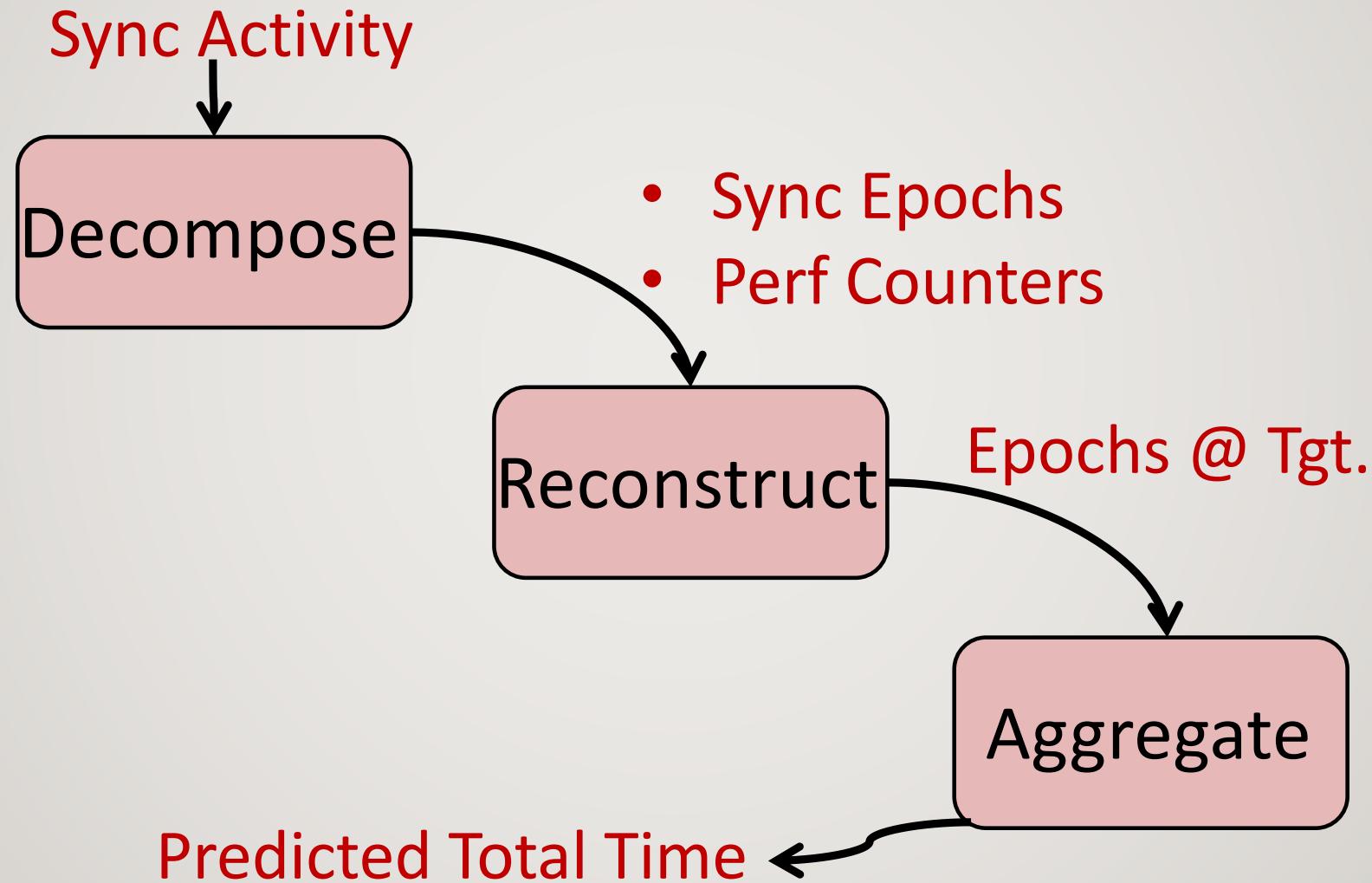
# Reconstruction at Target Frequency



# Reconstruction at Target Frequency



# DEP: Summary



Our Contribution

# **DEP+BURST**

A New DVFS Performance Predictor

Our Contribution

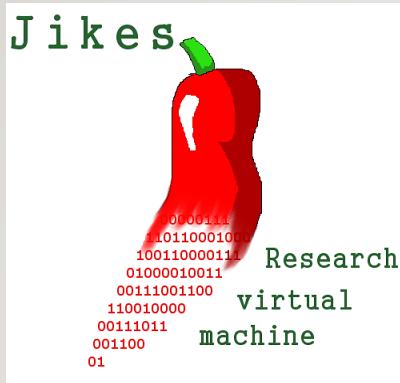
# DEP+BURST

A New DVFS Performance Predictor

# Store Bursts

- Reasons
  - Zero initialization
  - Copying collectors
- Modeling Steps
  - Track how long the store queue is full
  - Add to the non-scaling component

# Methodology



- Jikes RVM 3.1.2
  - Production collector (Immix)
  - # GC threads = 2
  - 2x min. heap

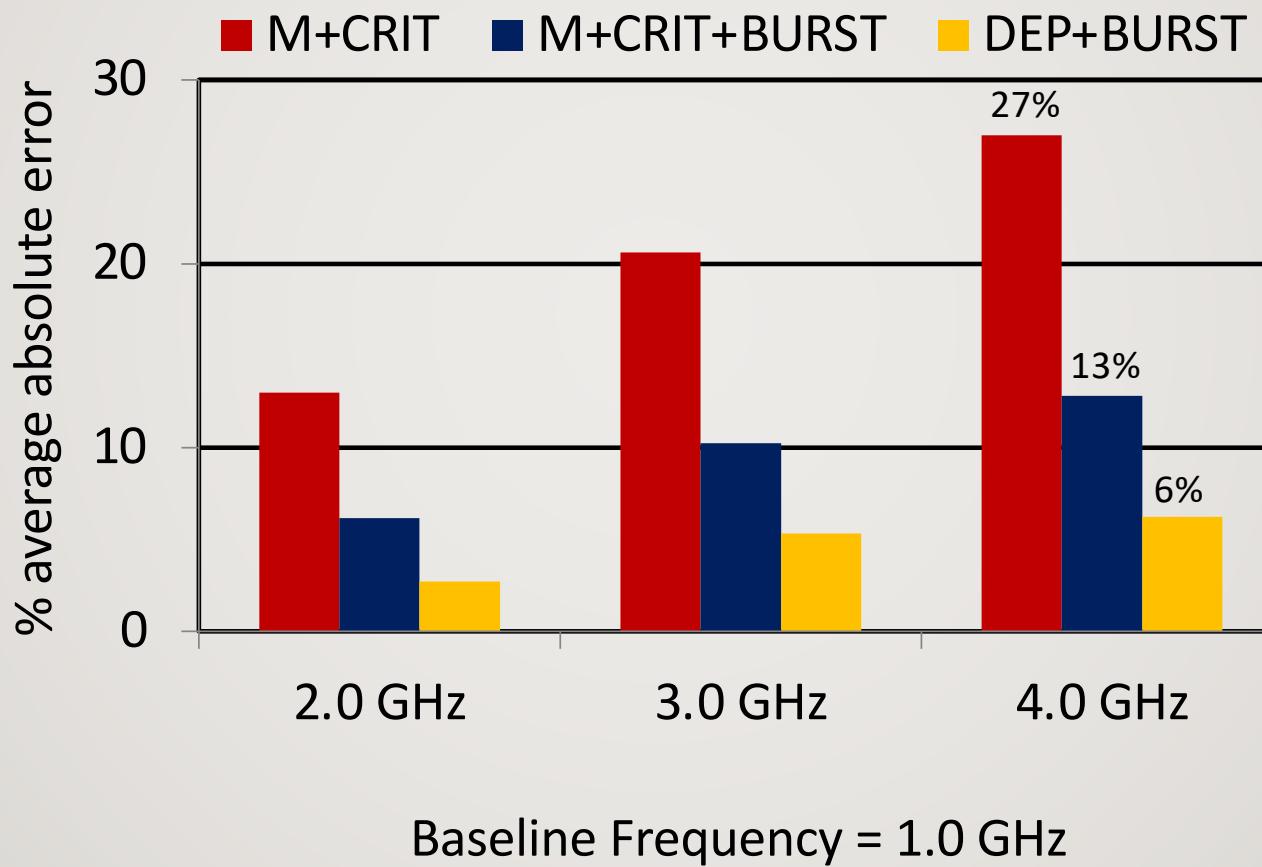


- 4 cores, 1.0 GHz → 4.0 GHz
  - 3-level cache hierarchy
  - LLC fixed to 1.5 GHz
  - DVFS settings for 22 nm Haswell



- Seven multithreaded benchmarks
  - Four application threads

# Accuracy



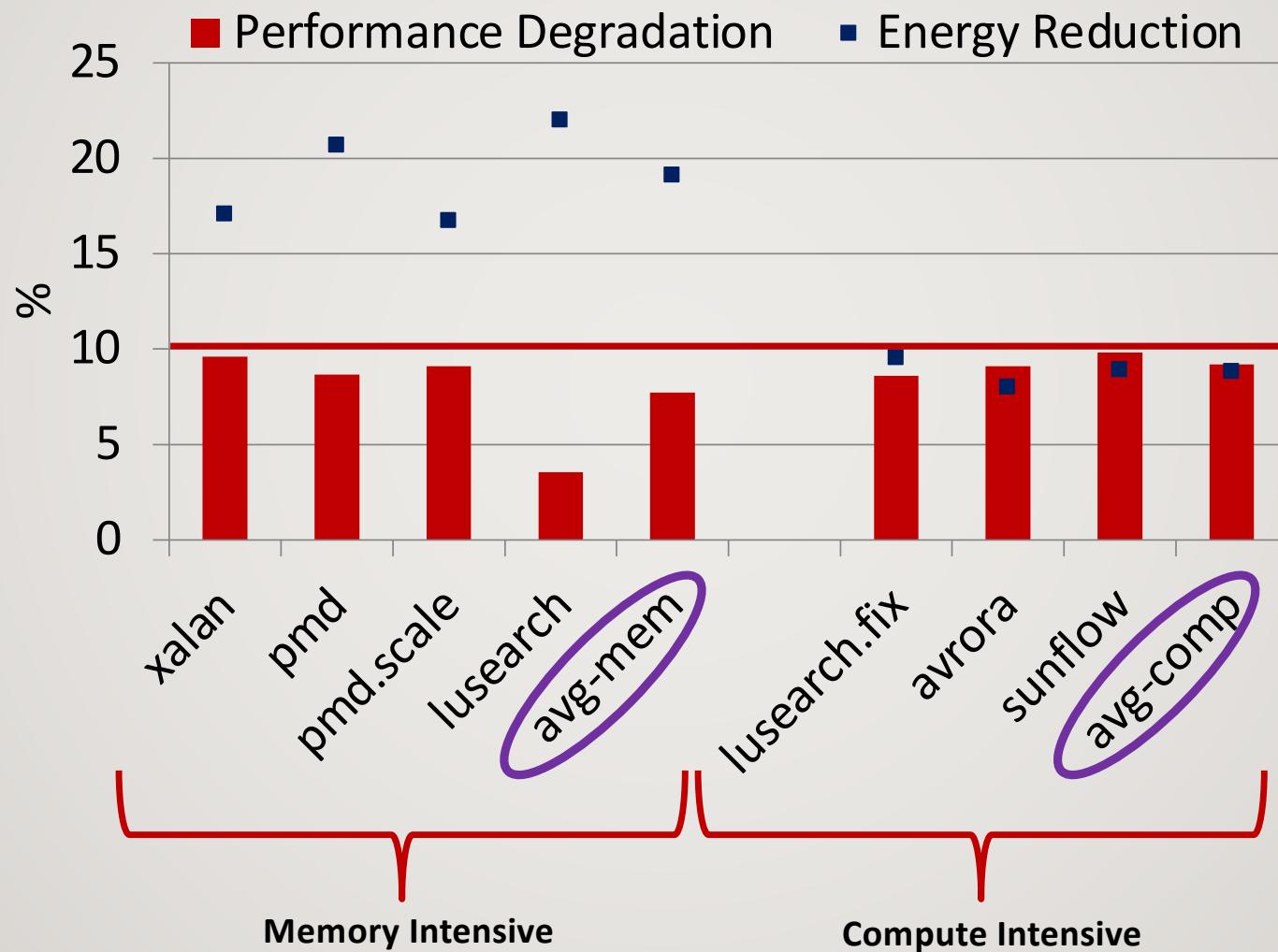
# Energy Manager

tolerable\_performance\_degradation



Quantum  
5 ms

# Energy Savings



# Conclusions

- **DEP+BURST:** First predictor that accounts for
  - Application and service threads
  - Synchronization → inter-thread dependencies
  - Store bursts
- High accuracy
  - Less than 10% estimation error for seven Java bmarks.
- Negligible hardware cost
  - One extra performance counter
  - Minor book-keeping across epochs
- Demonstrated energy savings
  - 20 % avg. for a 10% slowdown (mem-intensive Java apps.)

# DVFS PERFORMANCE PREDICTION FOR MANAGED MULTITHREADED APPLICATIONS

Thank You !

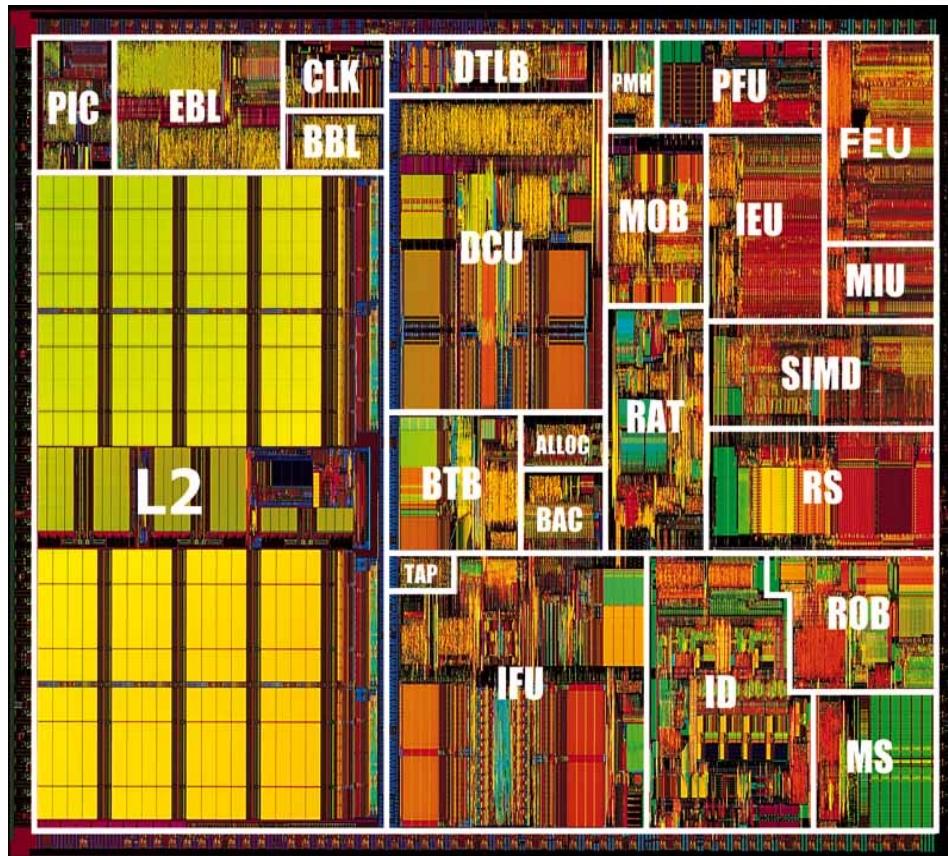
Shoaib.Akram@elis.UGent.be



# Managed Language Runtimes on Heterogeneous Hardware: Optimizations for Performance, Efficiency and Lifetime Improvement

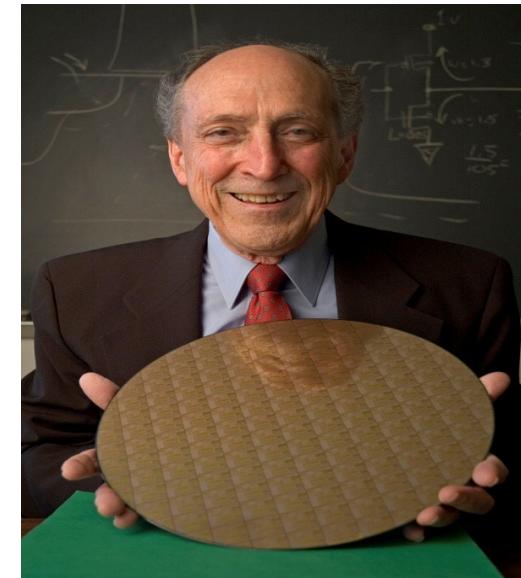
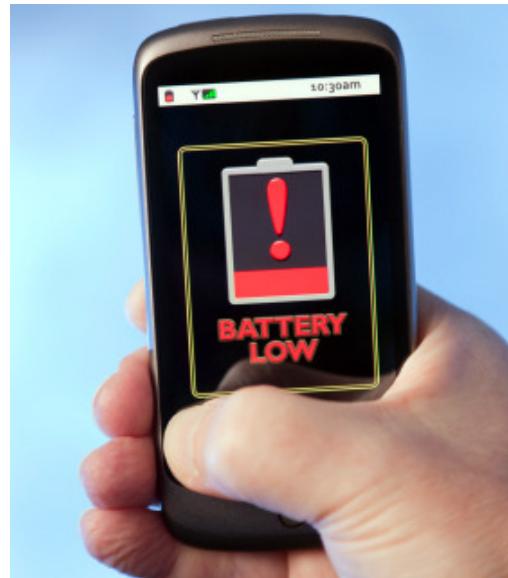
Programming Across the Stack Workshop  
Invited Talk by  
Shoaib Akram, Ghent University

# Circa 2000, hardware features were fixed at design time



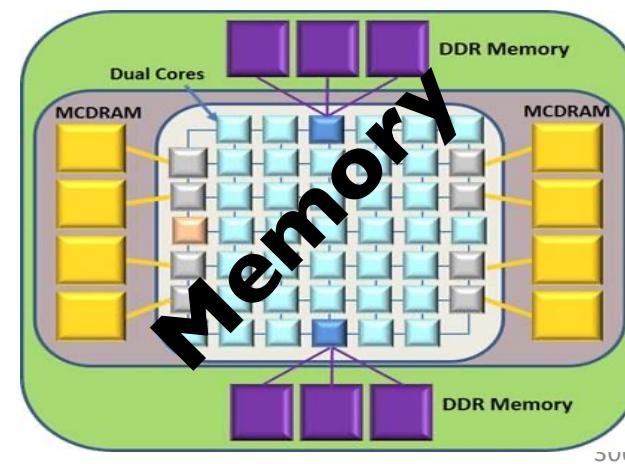
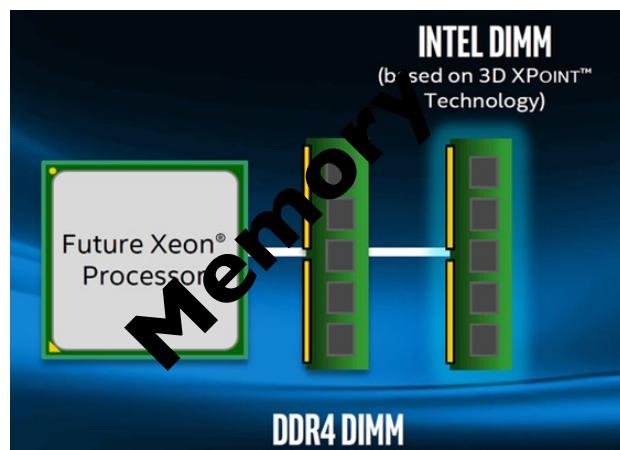
One GHz  
Big Core  
DRAM

# As time passed, efficiency became a first order concern

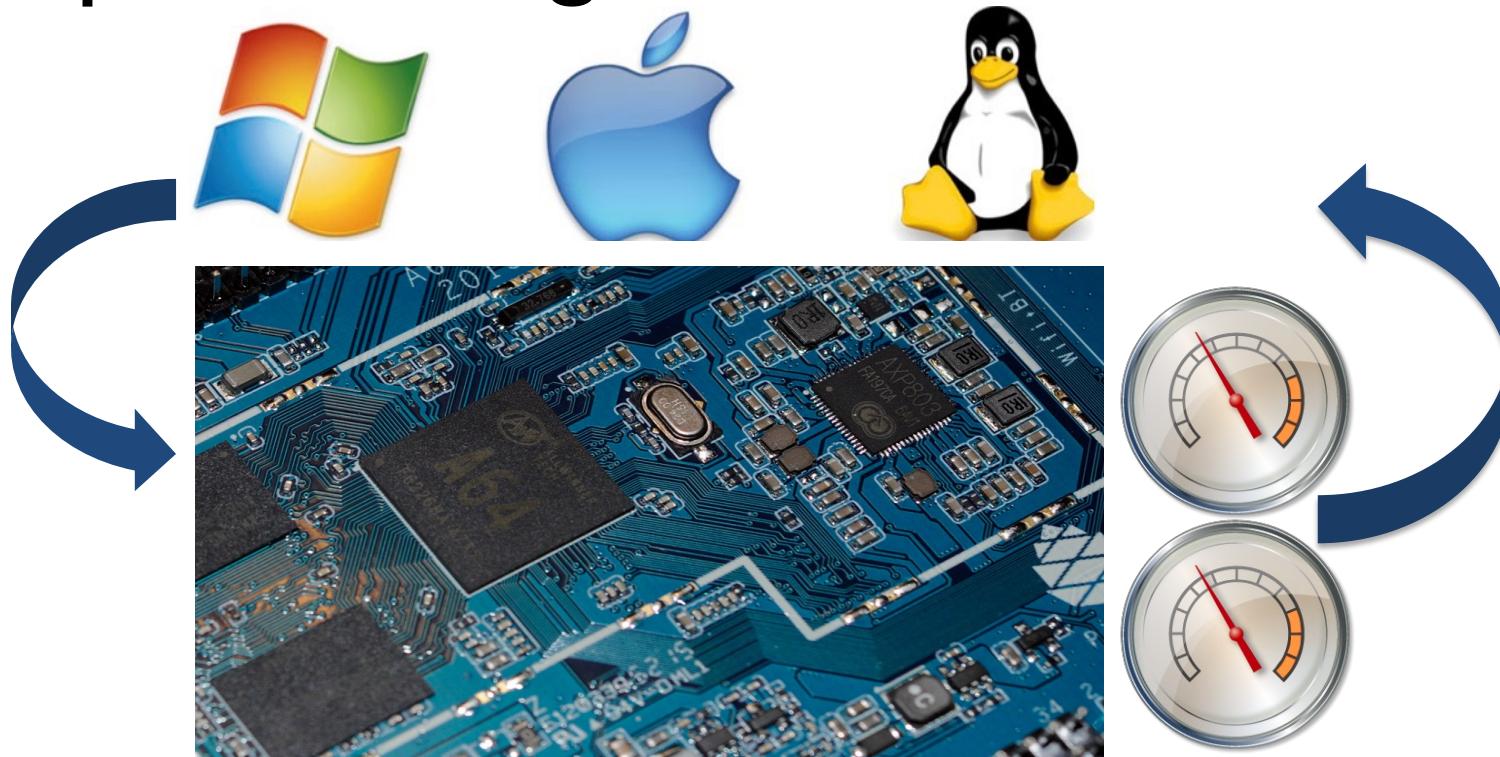


Billions of watts in data centre power  
More search queries on mobiles  
End of Dennard scaling

# Hardware designers turned to flexibility for improving efficiency



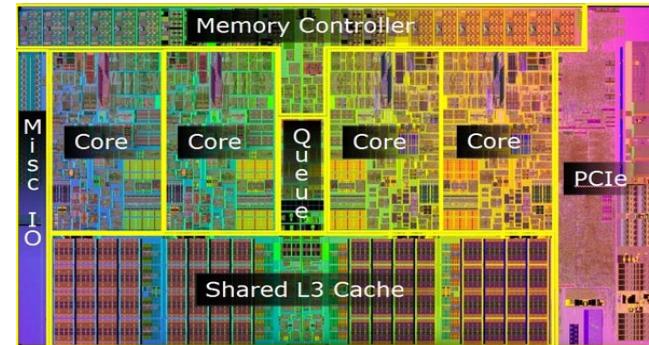
# Event counters became the key to help OS configure hardware



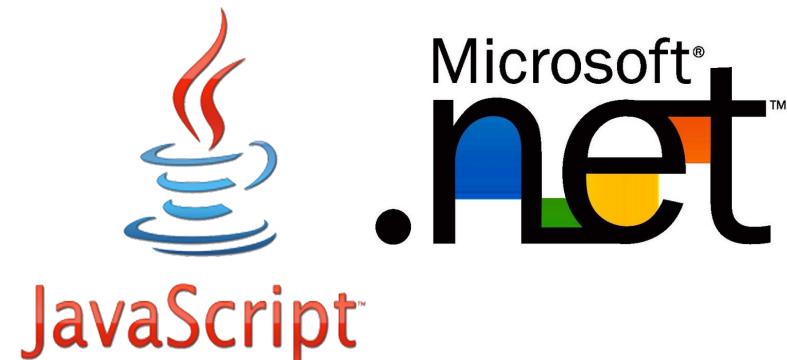
Understand the behaviour of individual threads and adapt

# What about software evolution?

Multithreading



Language runtimes



# Prior Work in making software aware of hardware heterogeneity

- ❖ Mostly for native applications
- ❖ No input from language runtime or user

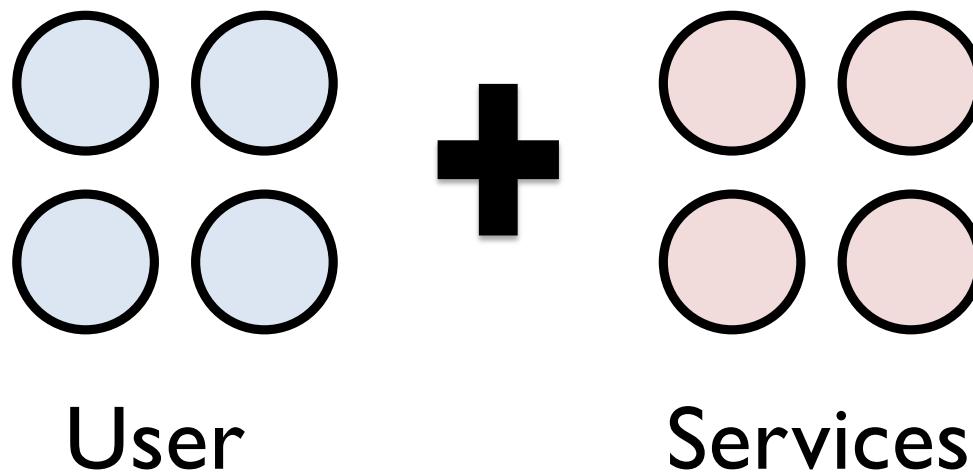
# Managed languages are popular due to their productivity advantage



The 2015 Top Ten Programming Languages,  
[spectrum.ieee.org](http://spectrum.ieee.org).

# Research activity # 1: Behavior of managed multithreaded environs

- ❖ Scheduling user vs. service threads
- ❖ Understanding the impact of synchronization



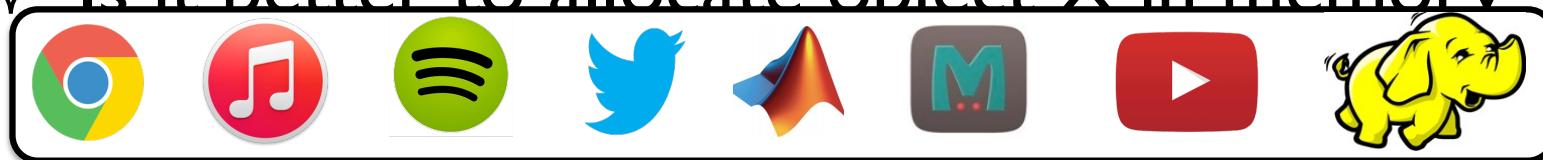
# Research activity #2: Include the runtime for better policy making

- ❖ Is any service thread critical to performance?
- ❖ Is it better to allocate object X in memory type T?



# Research activity #2: Include the runtime for better policy making

- ❖ Is any service thread critical to performance?
- ❖ Is it better to allocate object X in memory



Managed Language Runtime Layer



Bridge the application-OS gap

# Agenda

- I. Scheduling concurrent collection on heterogeneous multicores
2. Predicting the performance impact of DVFS for managed multithreaded applications
3. Using the garbage collector to guide object placement in hybrid memory

*ACM Transactions on Architecture and Code Optimization (TACO), 2016*

# Boosting the Priority of Garbage: Scheduling Collection on Heterogeneous Multicore Processors

**Shoaib Akram**, Jennifer B. Sartor, Kenzo Van Craeynest,  
Wim Heirman, Lieven Eeckhout

Ghent University, Belgium  
[Shoaib.Akram@UGent.be](mailto:Shoaib.Akram@UGent.be)

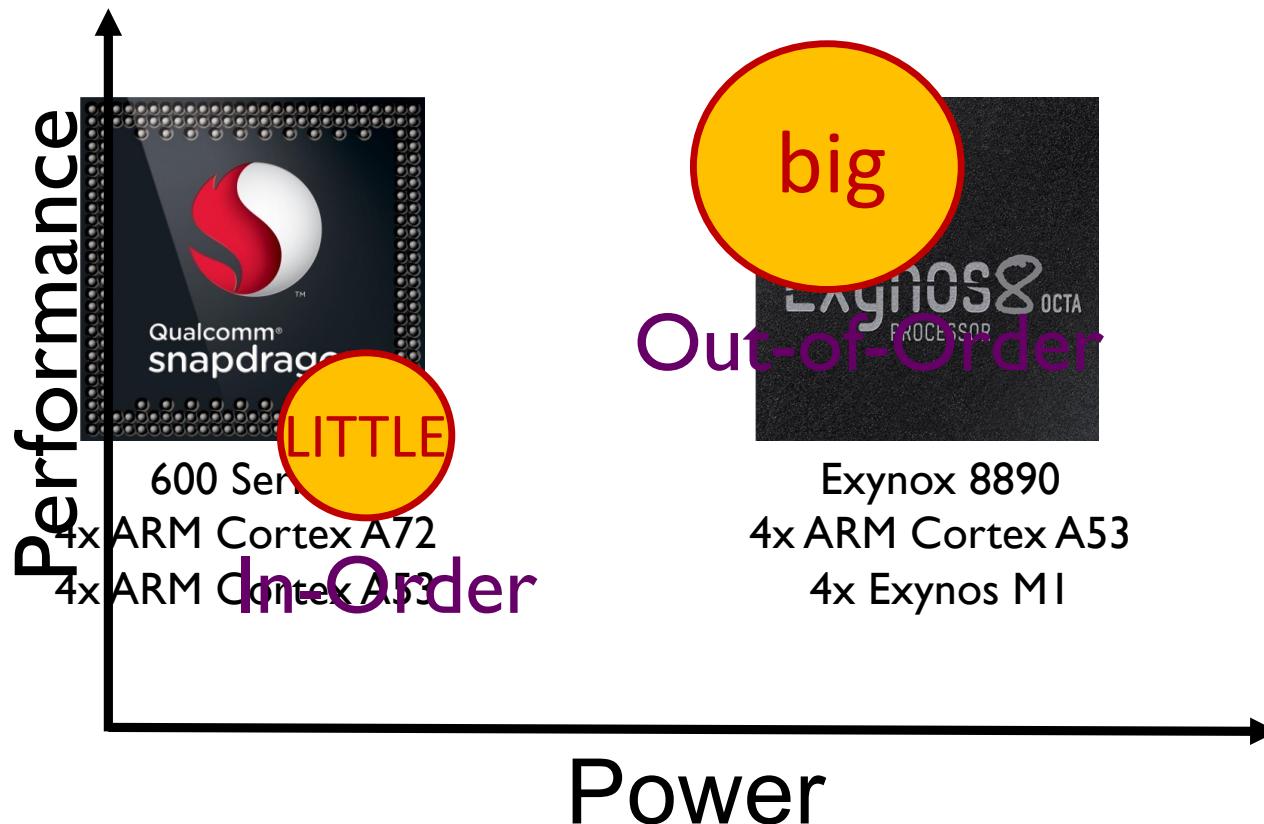


# Garbage collector automatically reclaims memory for reuse

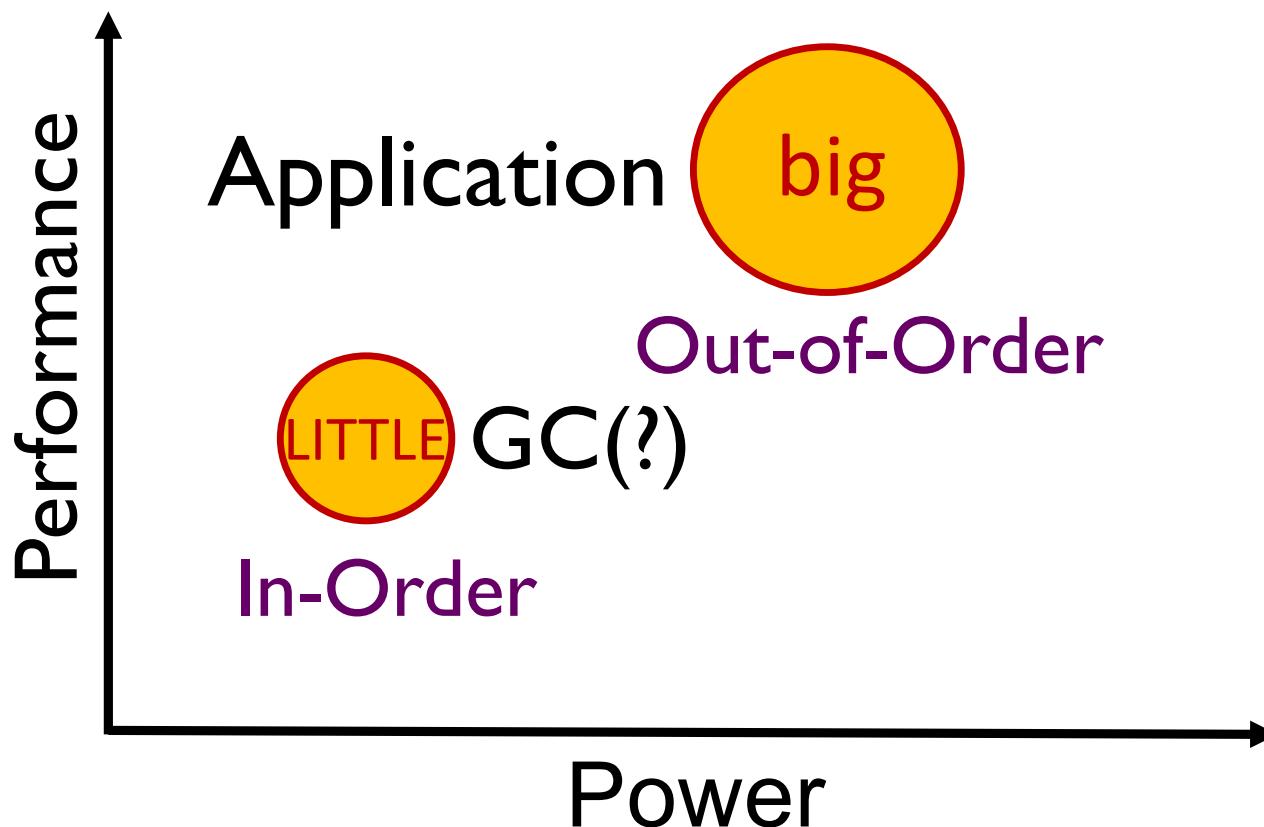


CPU usage is not negligible  
Concurrent collectors fit for multicores

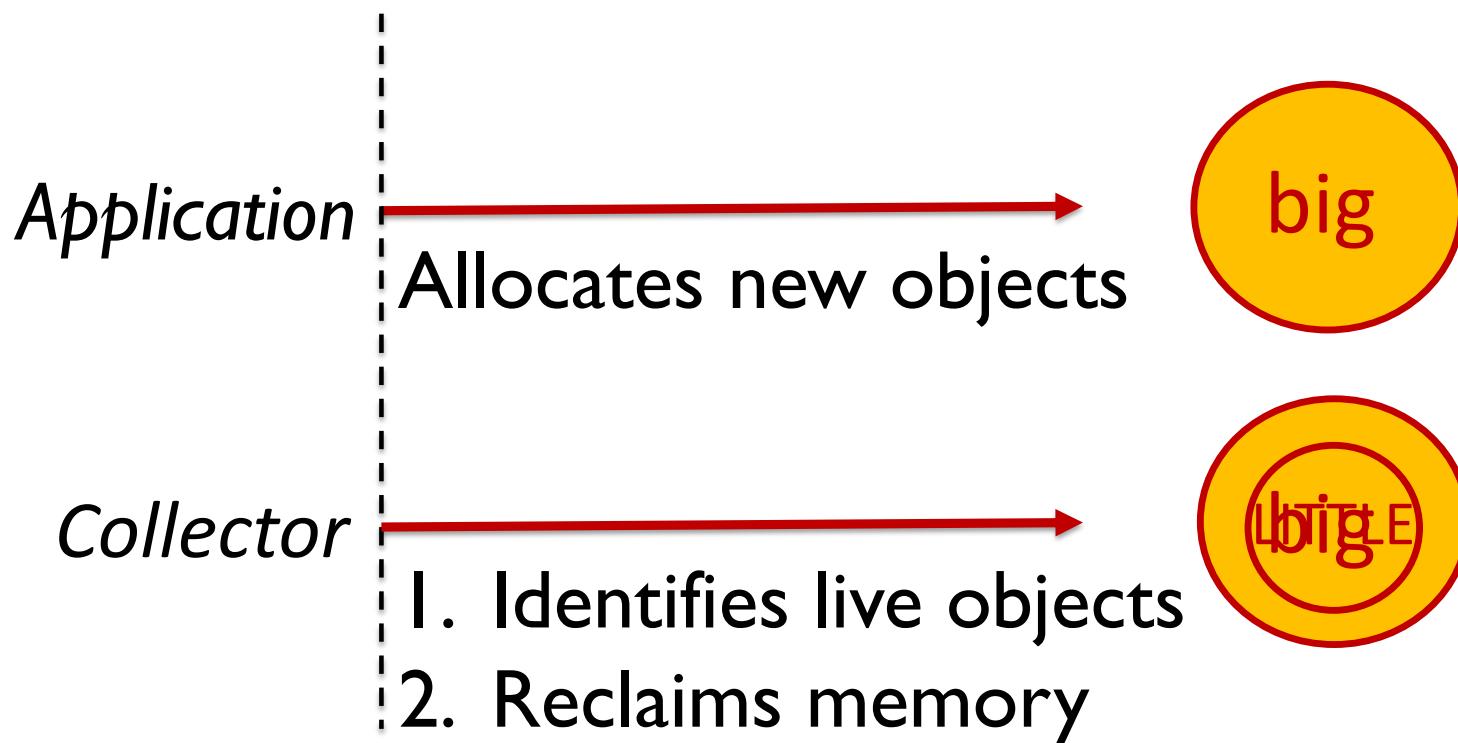
# Heterogeneous multicores consist of different core types



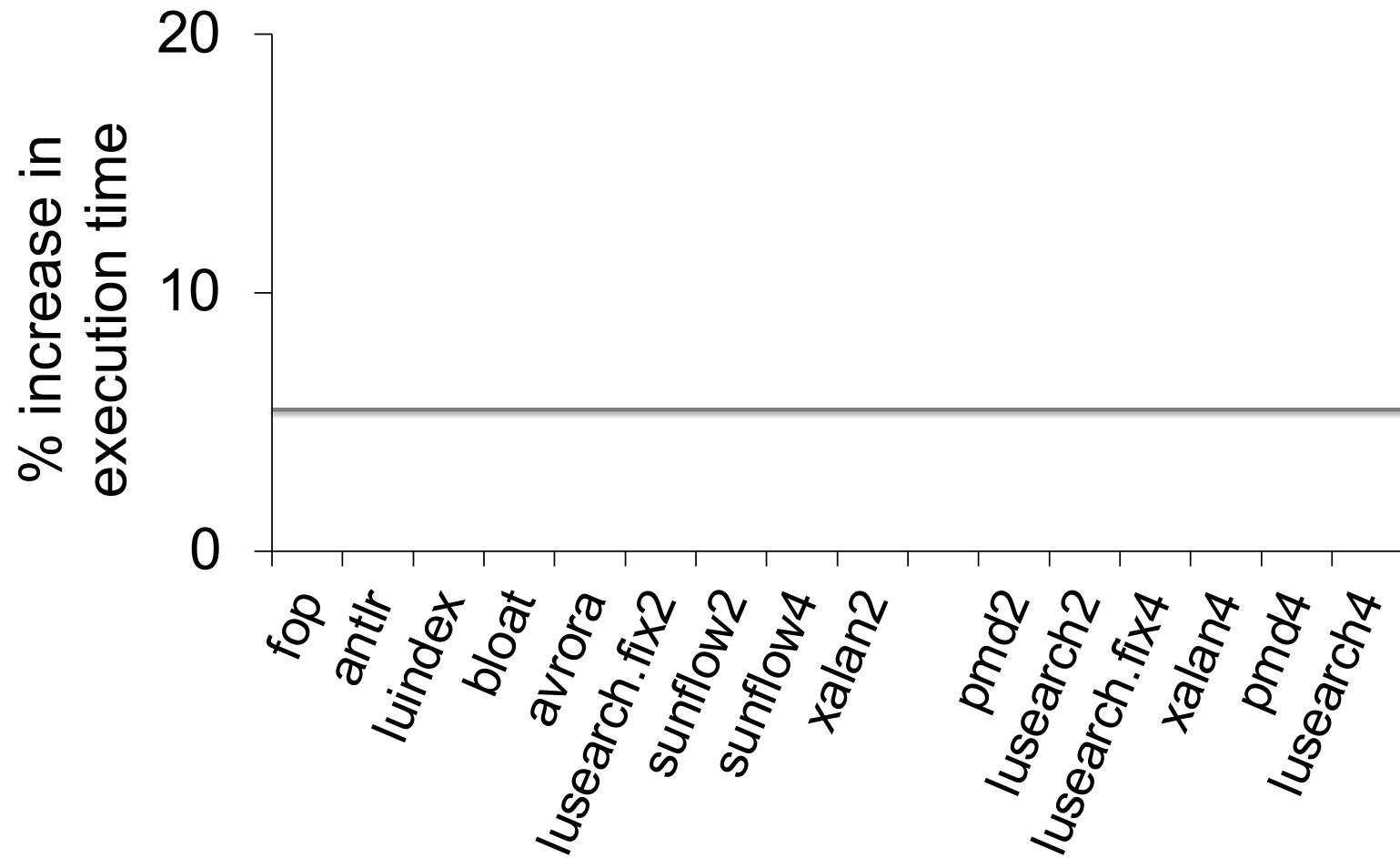
# Which core type to run application versus the collector threads?



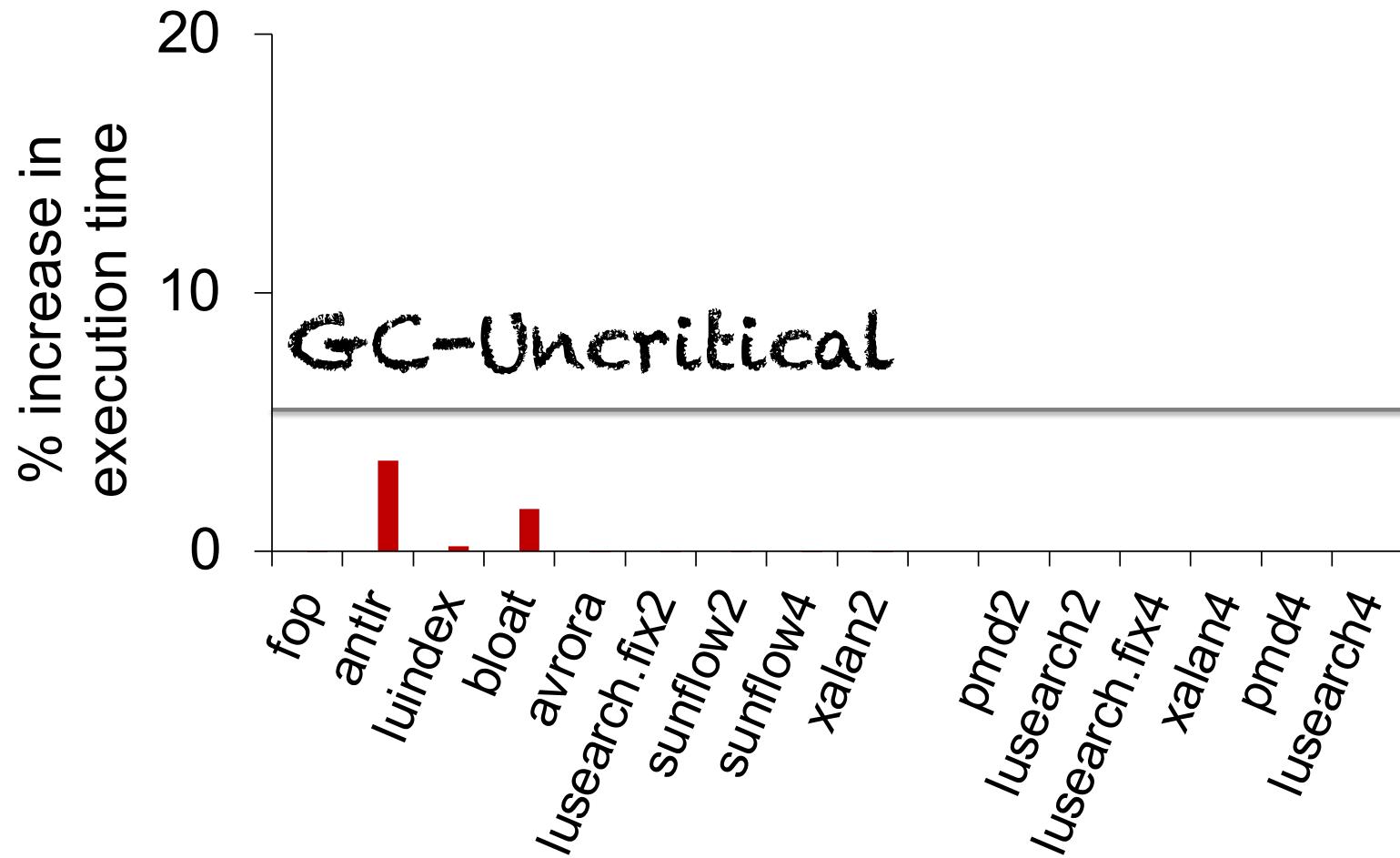
# What is the performance impact of running collector on LITTLE core?



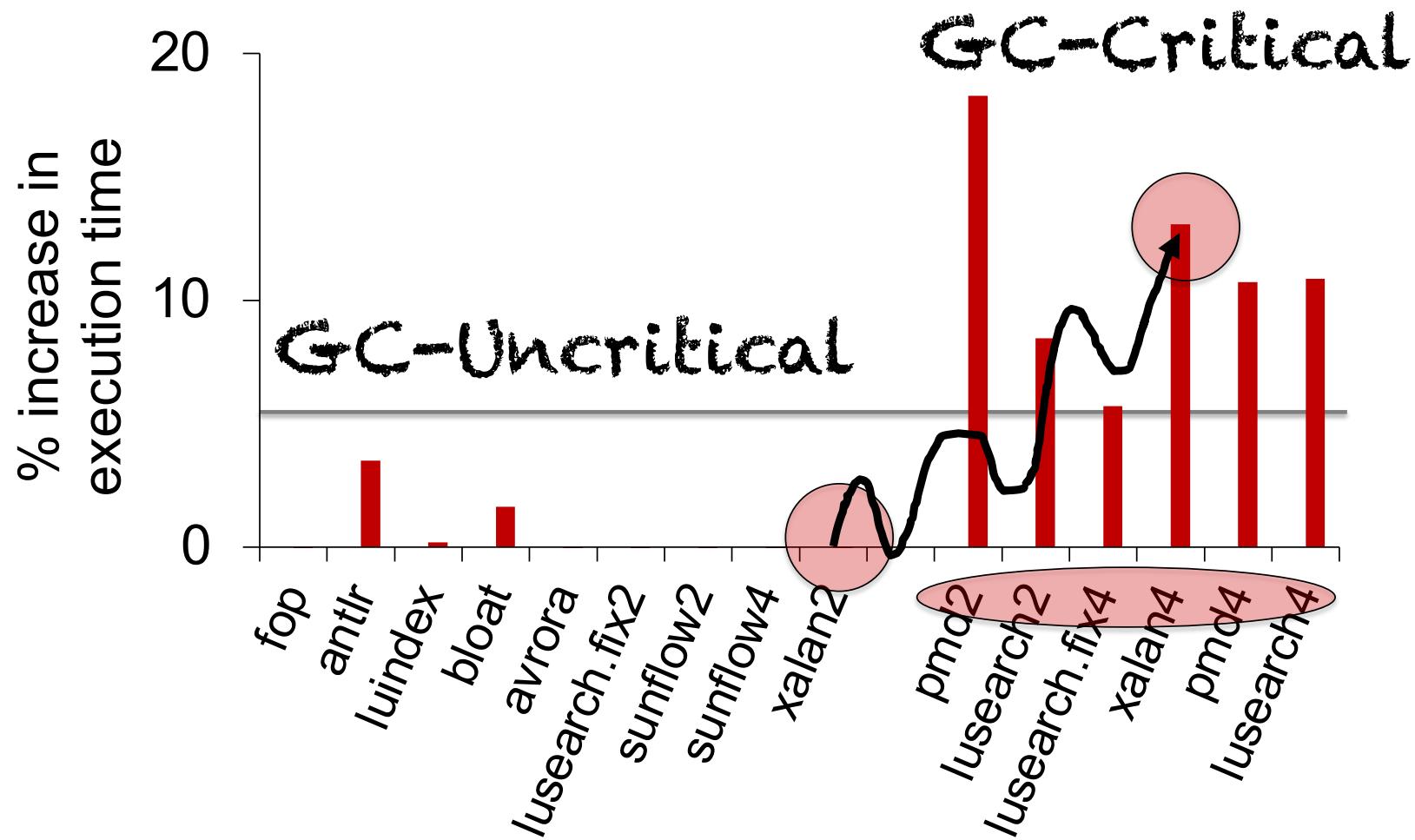
# Running GC on LITTLE degrades performance of some applications



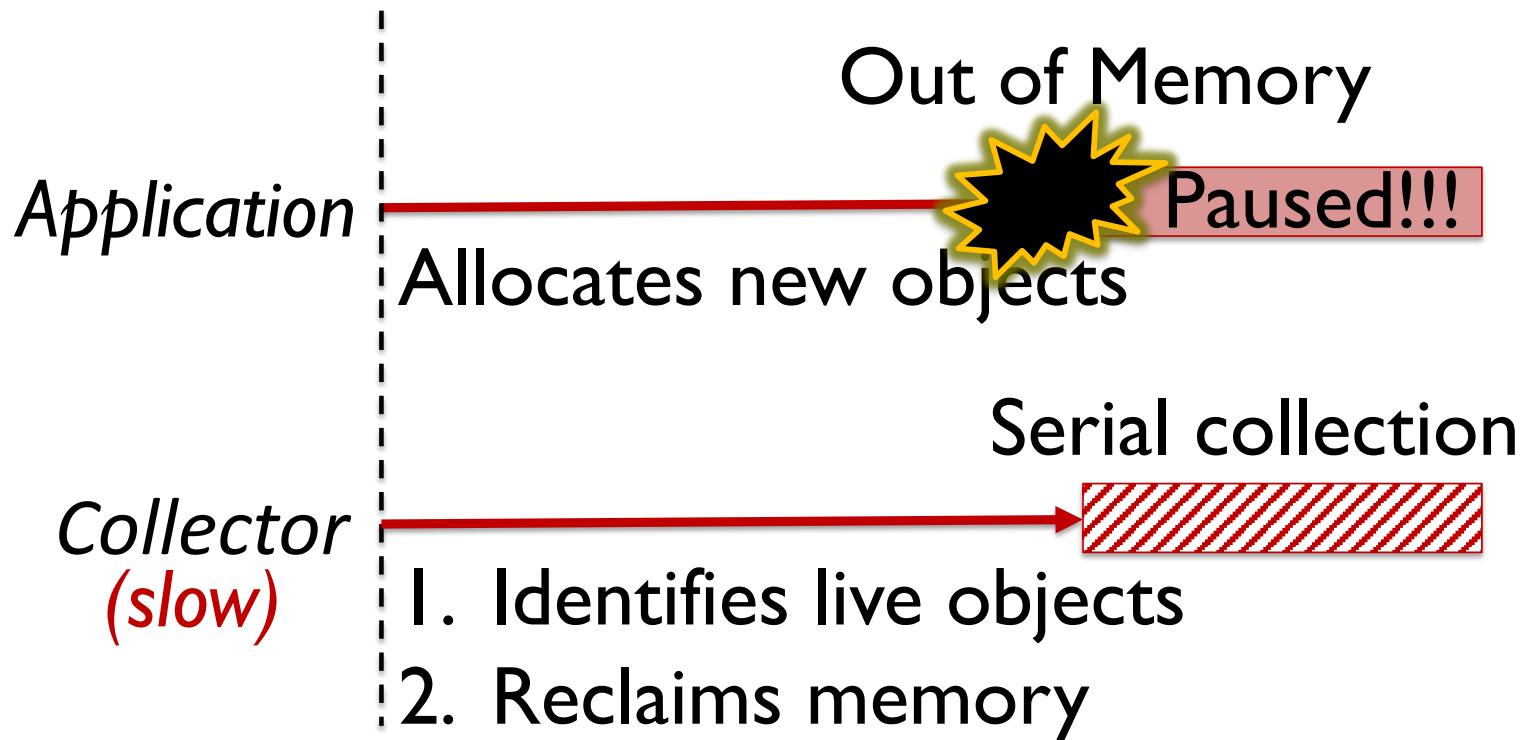
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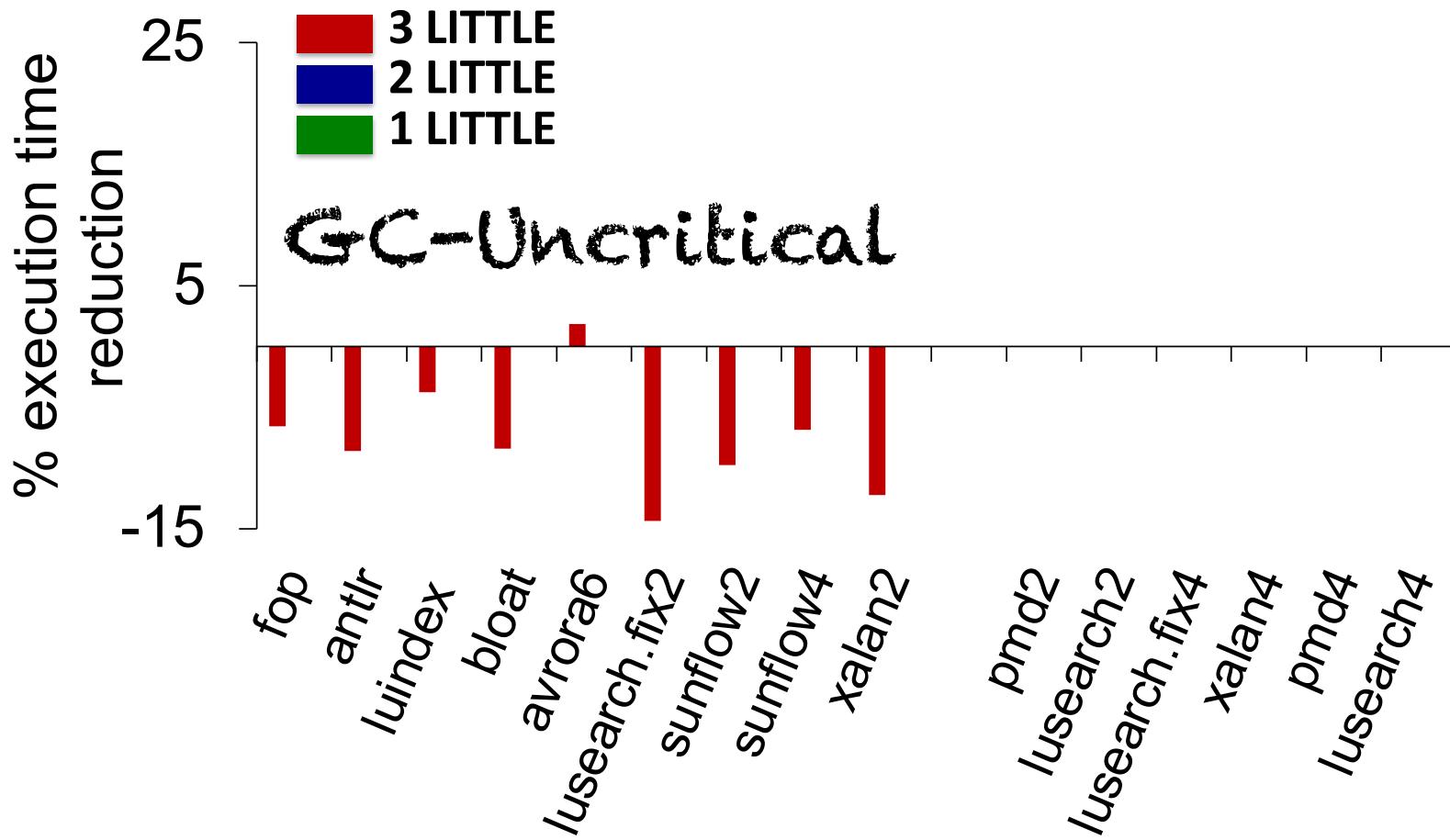
# Running GC on LITTLE degrades performance of some applications



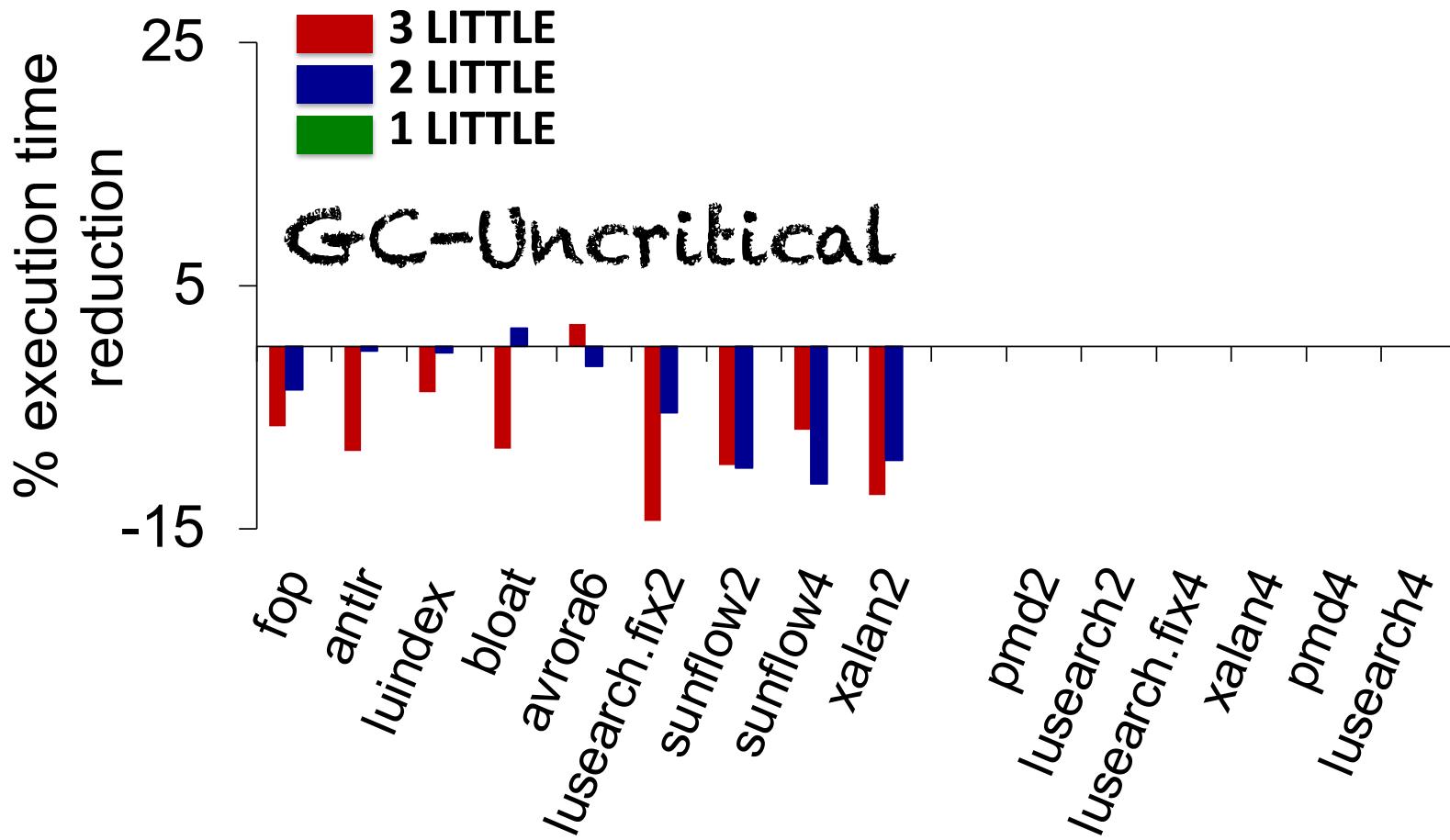
# Why does the execution time of GC critical applications increase?



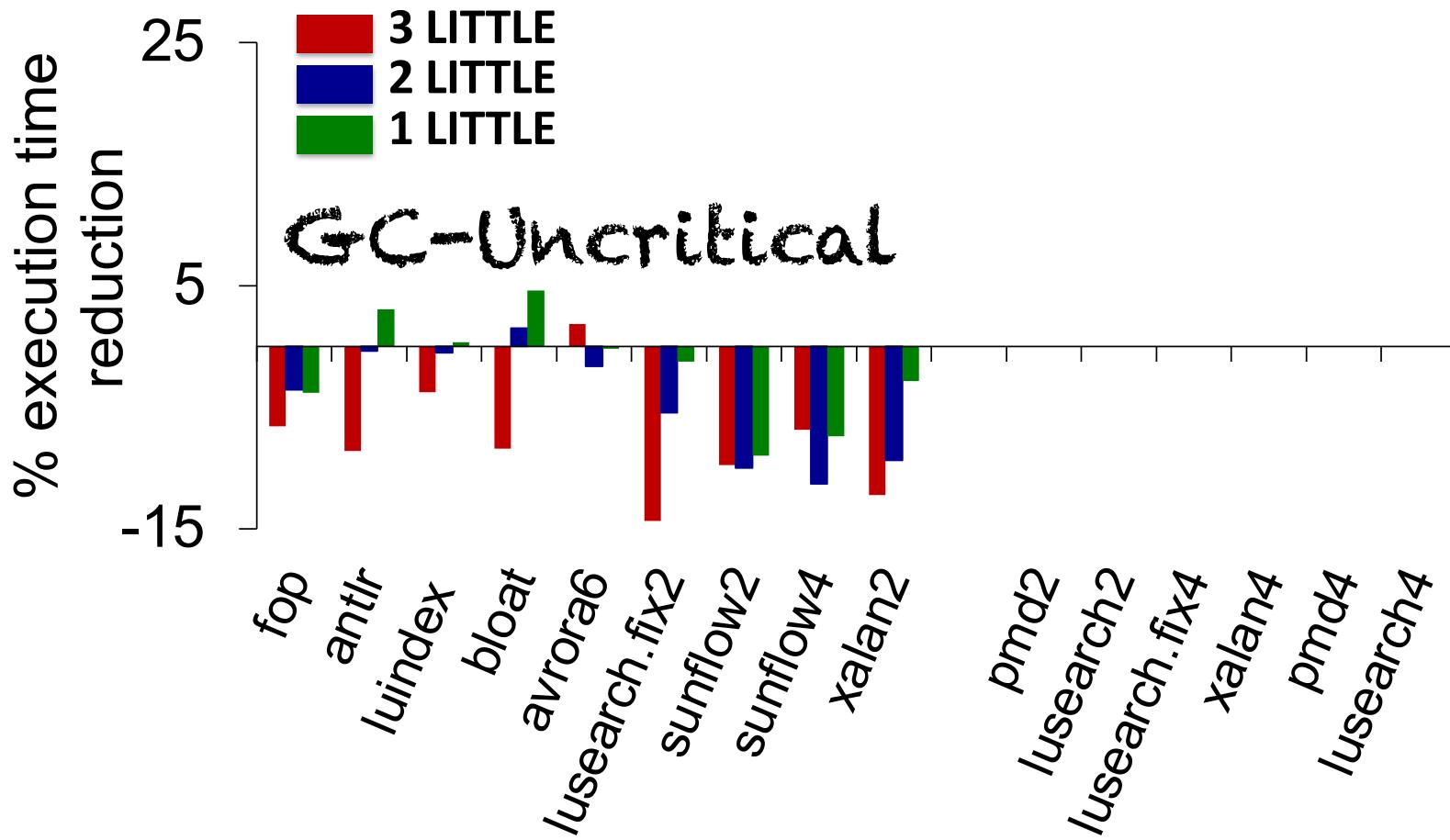
# What happens if we give GC a fair share of the big core?



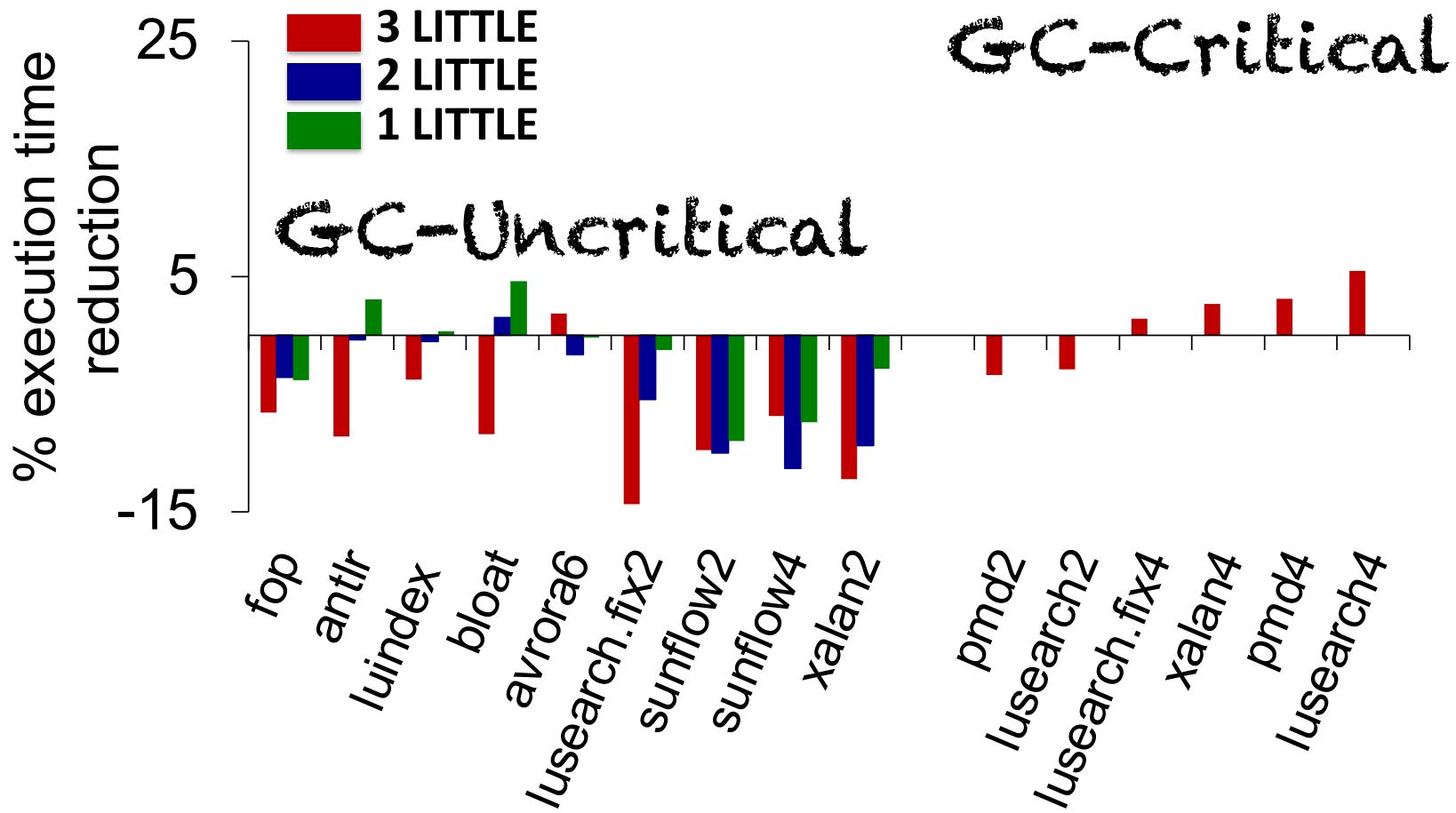
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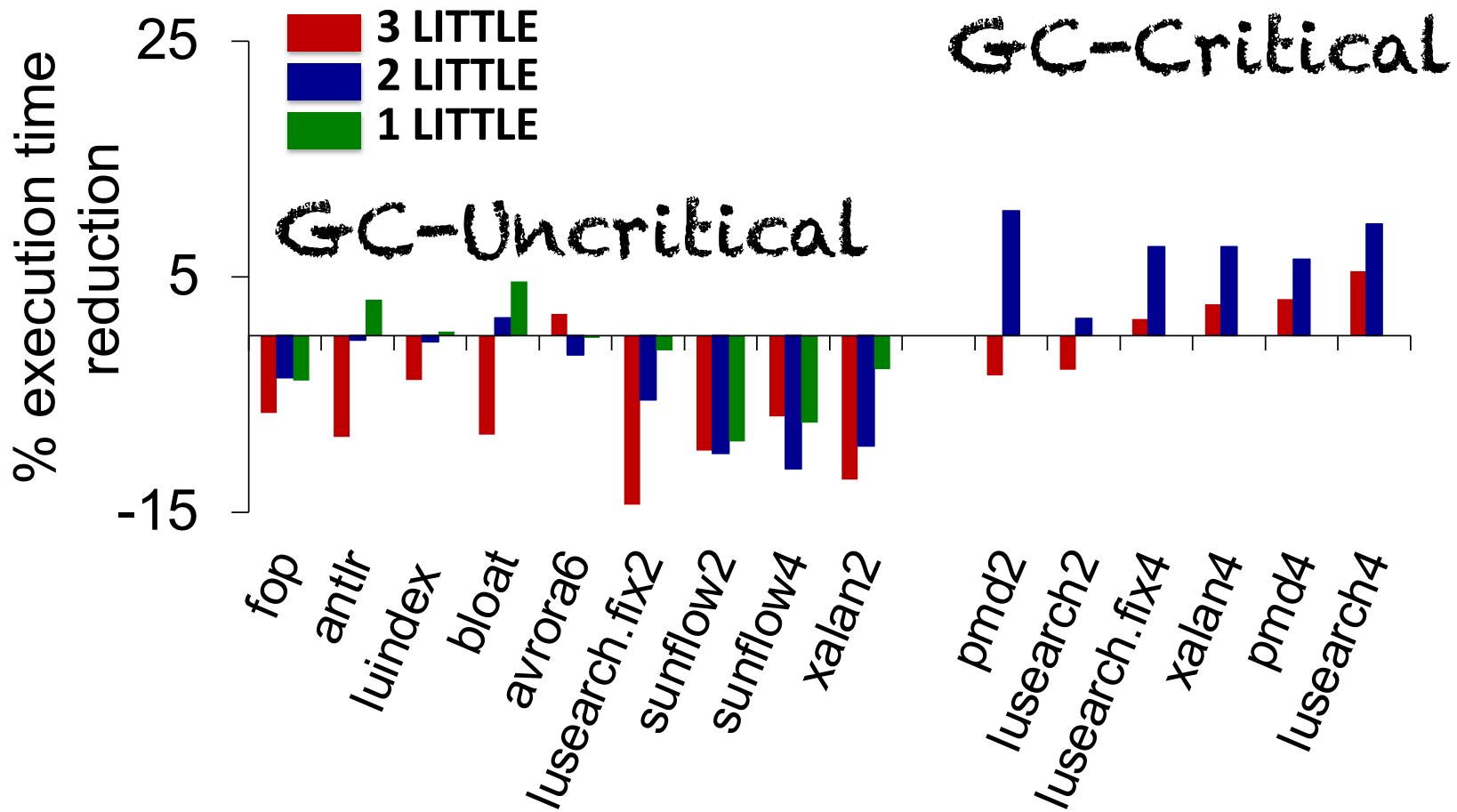
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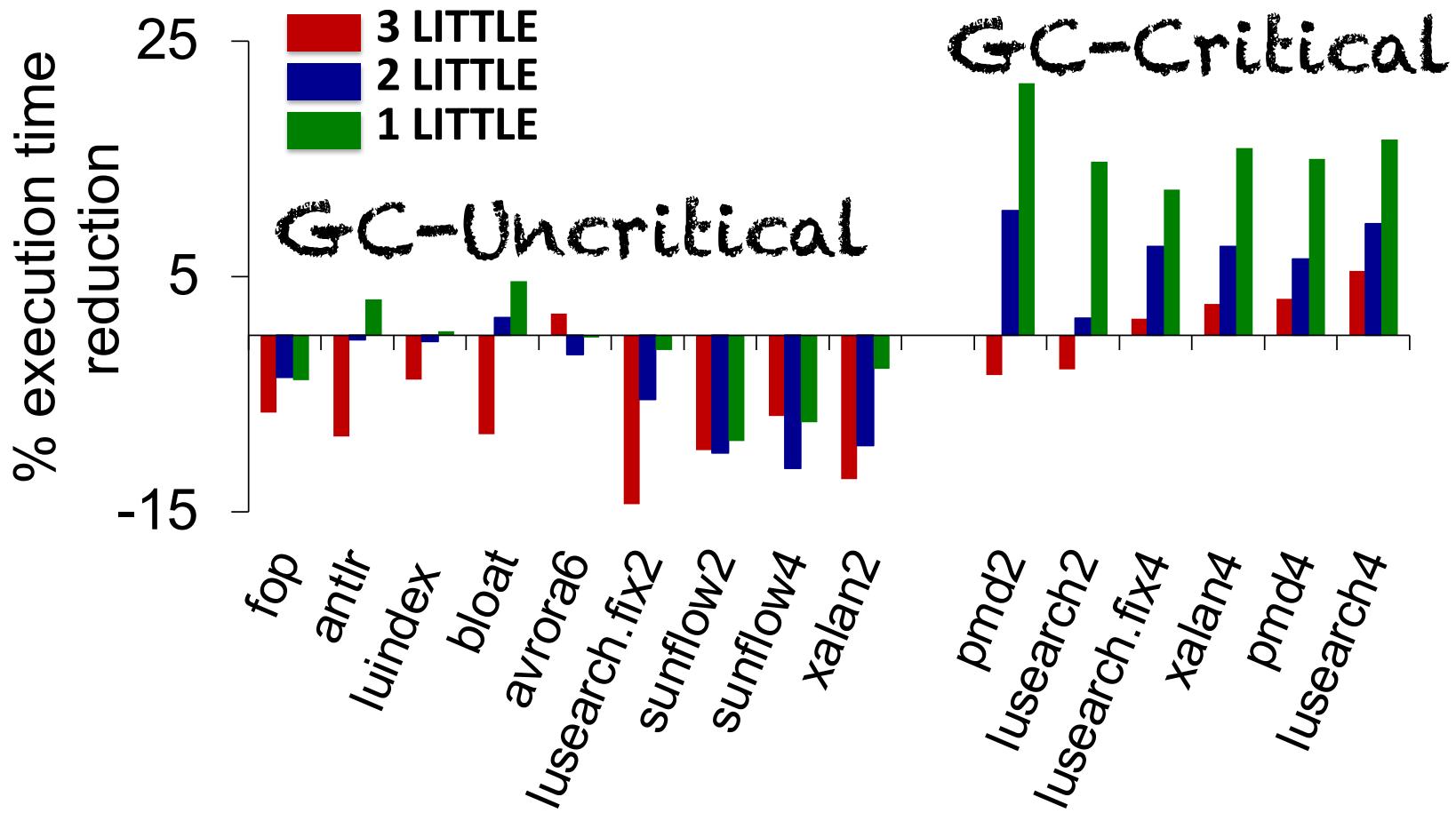
# What happens if we give GC a fair share of the big core?



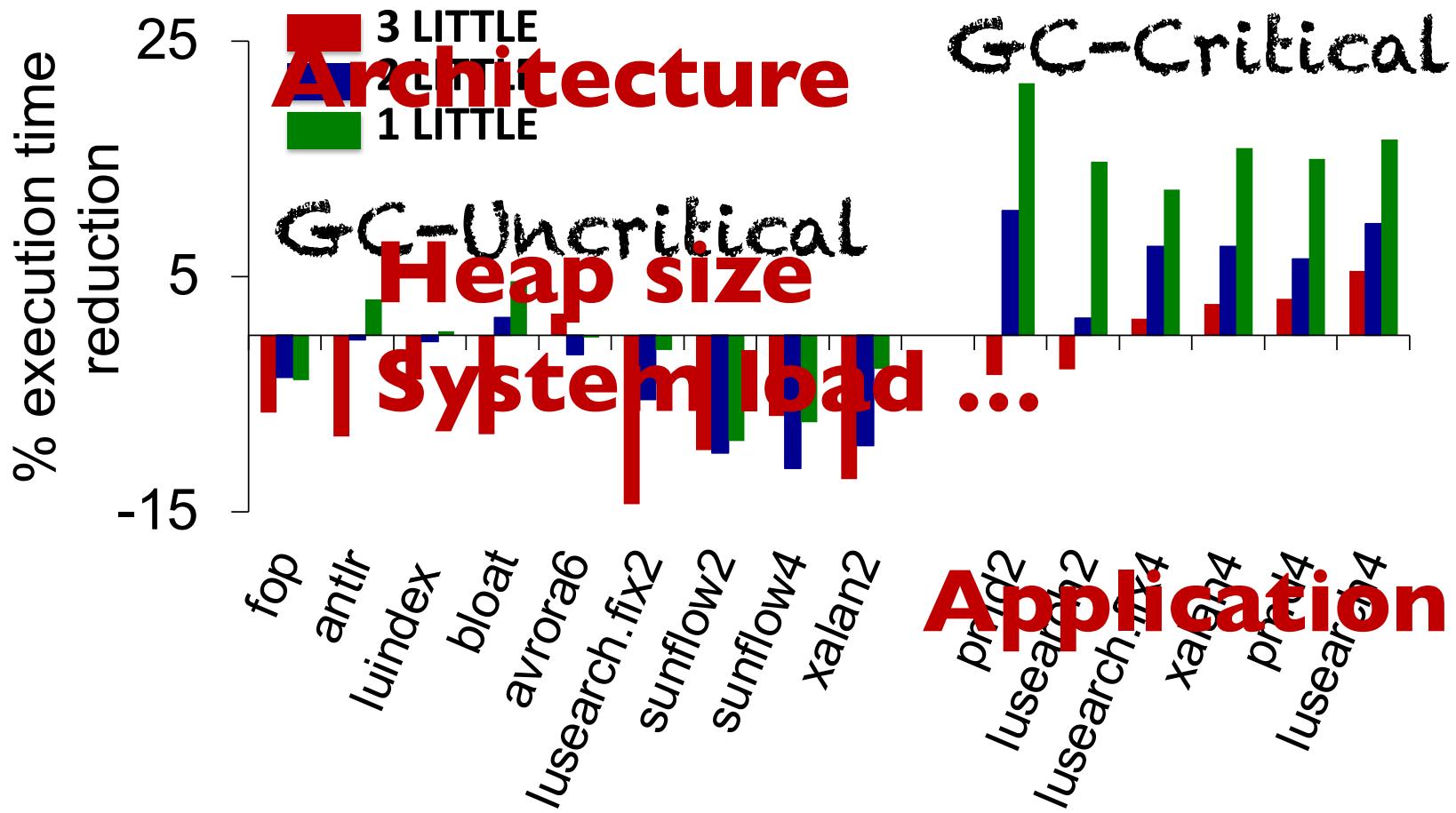
# What happens if we give GC a fair share of the big core?



# What happens if we give GC a fair share of the big core?



# What happens if we give GC a fair share of the big core?



# Our Contribution

## GC-Criticality-Aware Scheduler

Dynamically adjusts # big core cycles  
given to application versus GC

# GC-Criticality-Aware Scheduler

## Starting point is gc-on-LITTLE



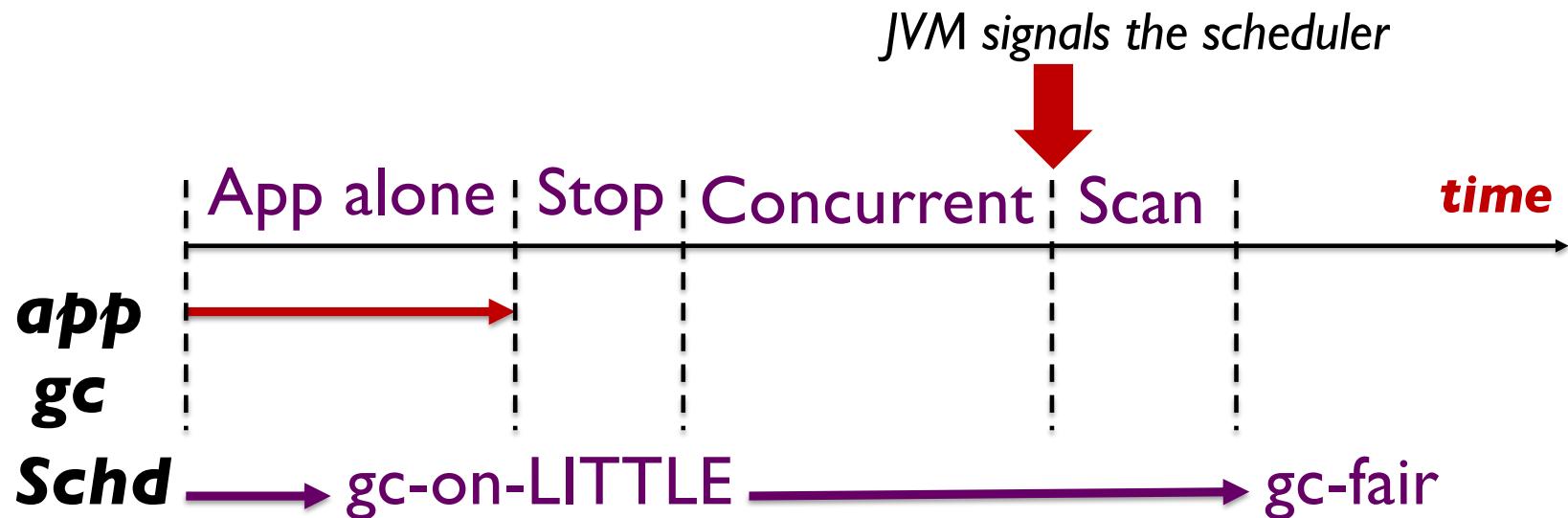
# GC-Criticality-Aware Scheduler

## gc-on-LITTLE to gc-fair



# GC-Criticality-Aware Scheduler

## gc-on-LITTLE to gc-fair



Stop pause to do book-keeping ignored

Scan stop pause: JVM signals scheduler

gc-fair gives equal priority to GC and app

# GC-Criticality-Aware Scheduler

## Boost States

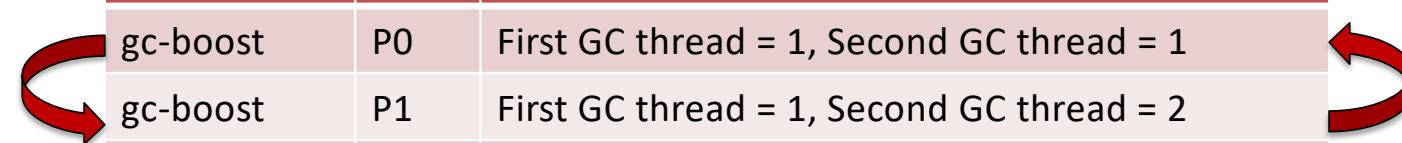
Stop scan pauses observed even with gc-fair

Scheduler	How many quanta scheduled on the BIG core?
gc-on-LITTLE	First GC thread = 0, Second GC thread = 0
gc-fair	First GC thread = 1, Second GC thread = 1

Boost the priority of garbage

Give GC more consecutive quanta on big

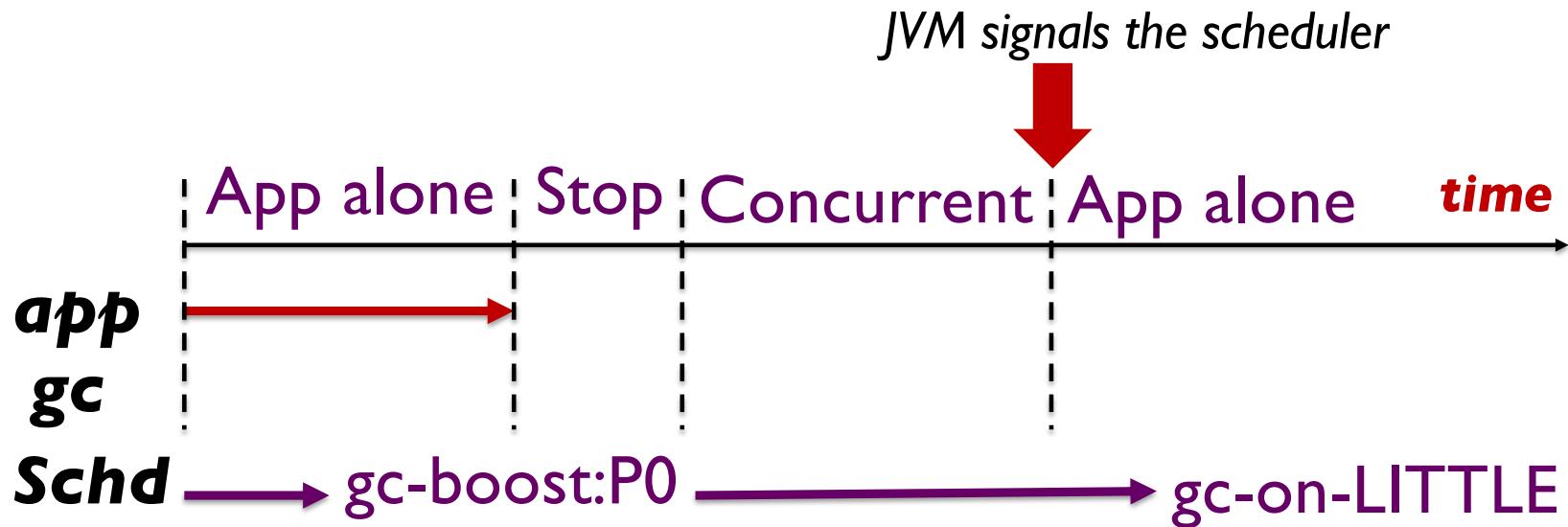
Scheduler	State	How many quanta scheduled on the BIG core?
gc-boost	P0	First GC thread = 1, Second GC thread = 1
gc-boost	P1	First GC thread = 1, Second GC thread = 2
	...	



Degrade boost state when no longer critical

# GC-Criticality-Aware Scheduler

## gc-boost:P0 to gc-on-LITTLE

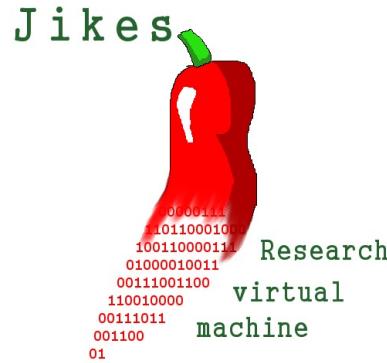


If no scan pause in state P0, go to gc-on-LITTLE  
Can configure # zero stop scan intervals before returning to gc-on-LITTLE

# Summary of gc-criticality-aware scheduling

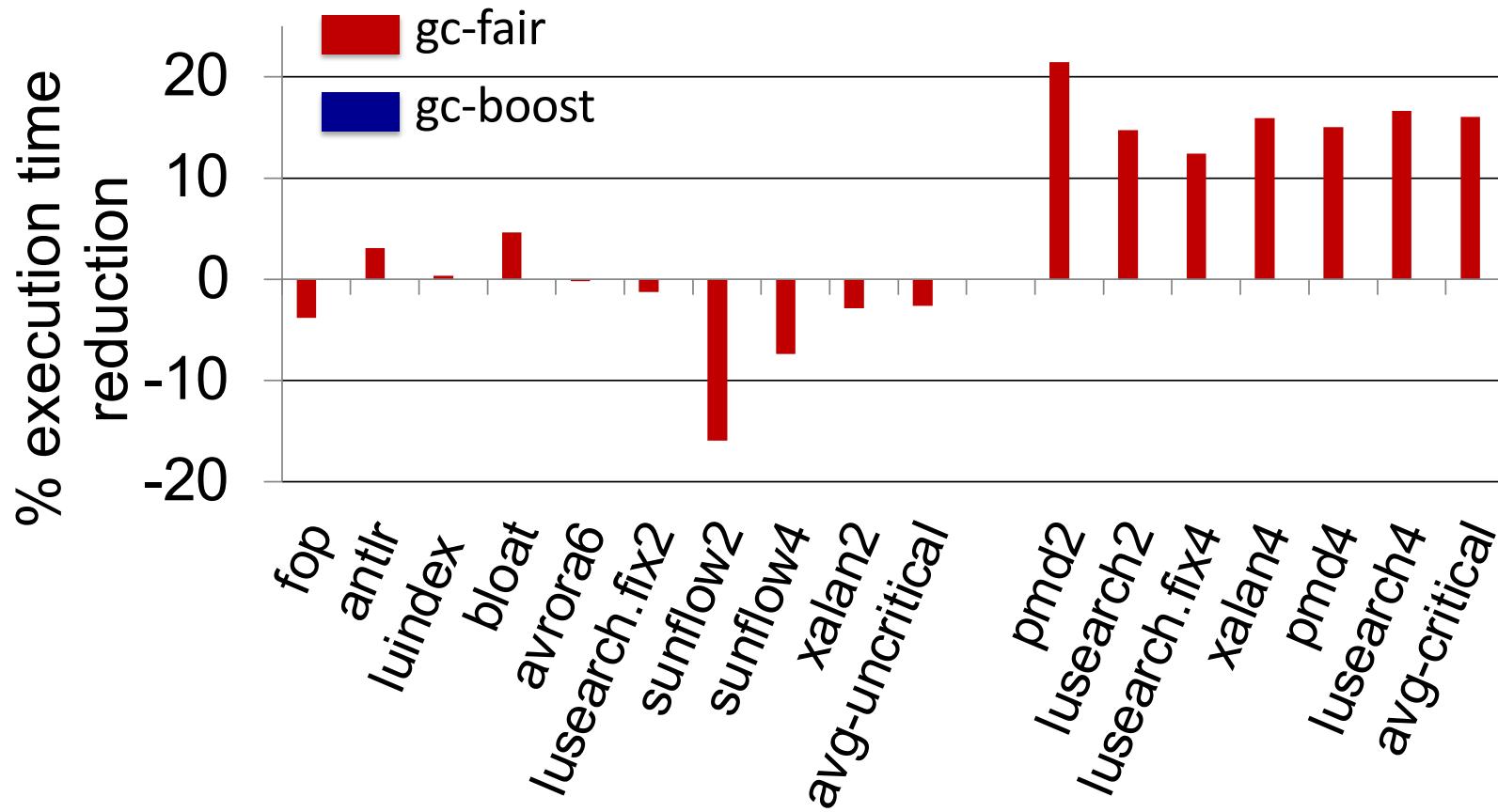
- I. JVM detects GC Criticality during execution
2. JVM communicates *gc criticality* to the scheduler
3. Scheduler adapts # big core cycles given to GC

# Experimental Setup

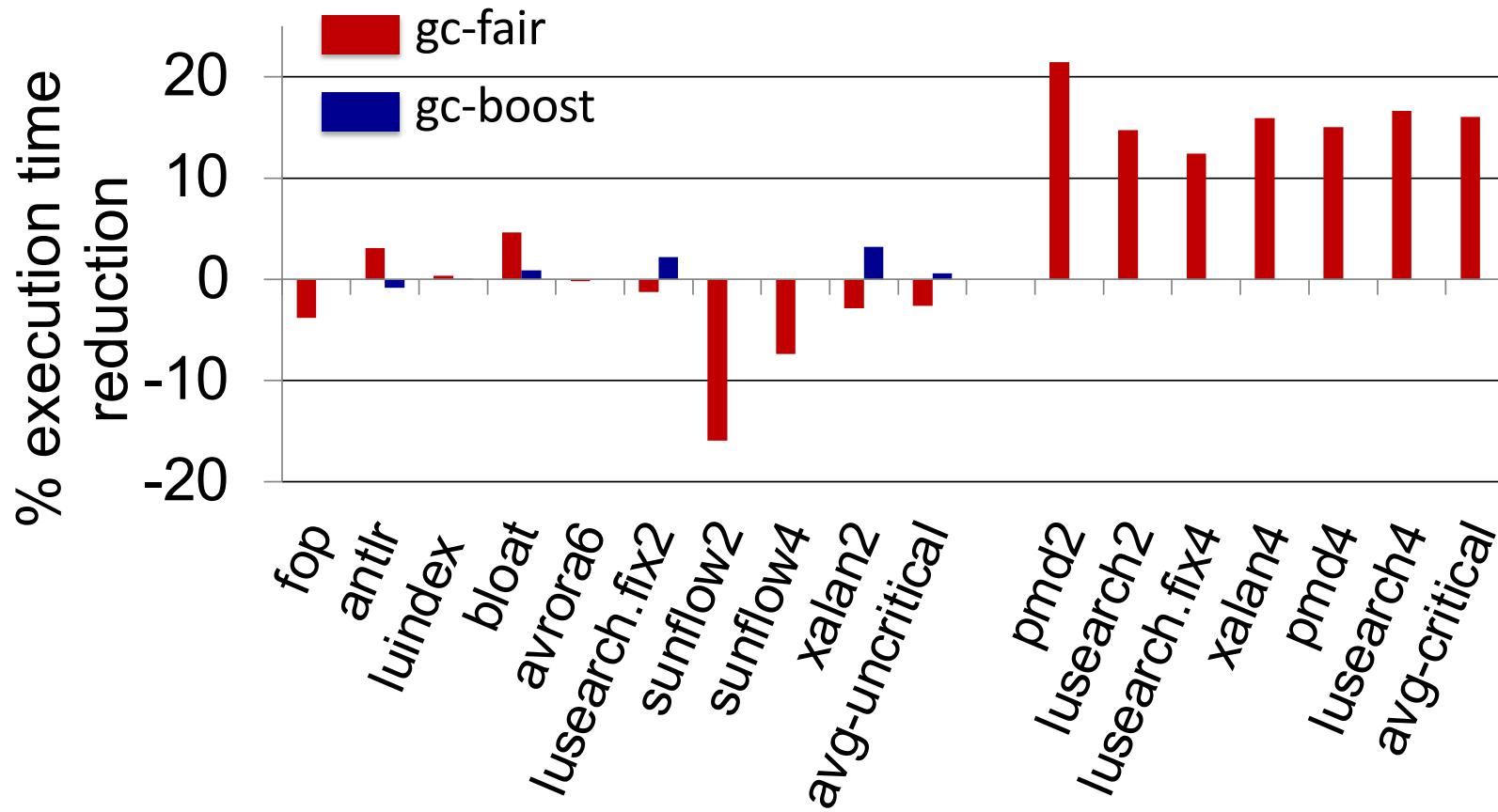


- ✧ How to tackle non-determinism?
- ✧ CMS with heap 2x of minimum
- ✧ Model different architectures

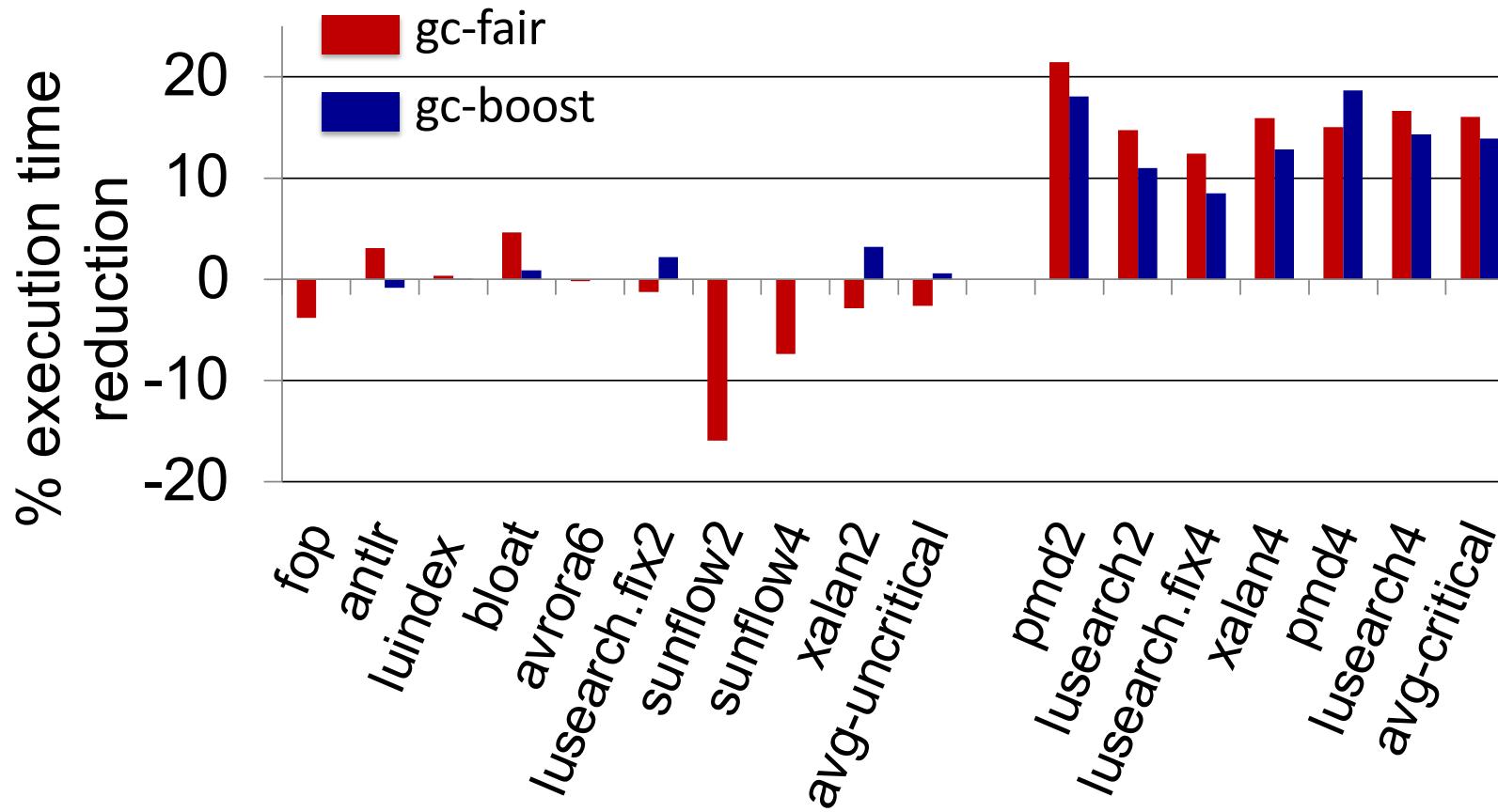
# GC-Criticality-Aware scheduler is better performing vis-à-vis gc-fair



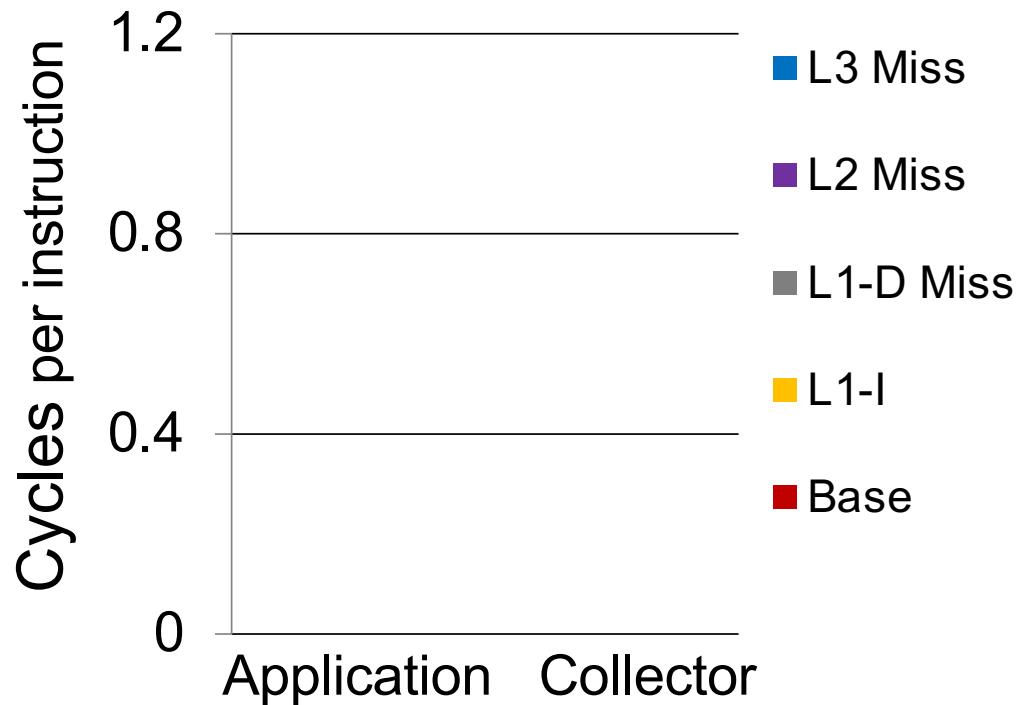
# GC-Criticality-Aware scheduler is better performing vis-à-vis gc-fair



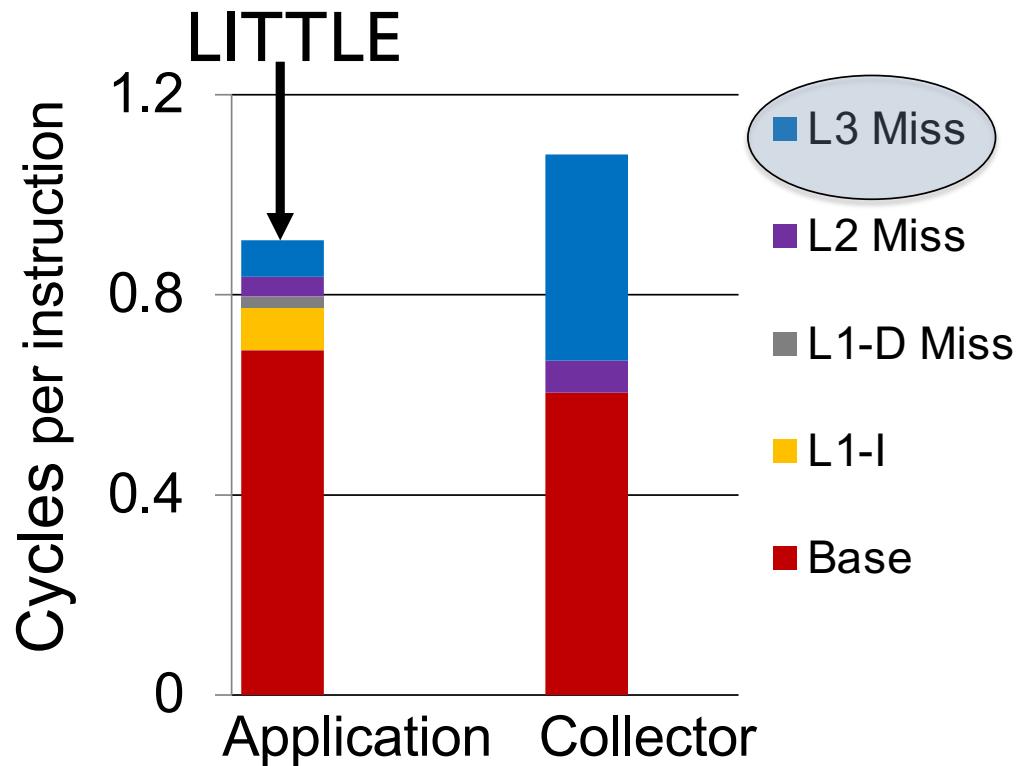
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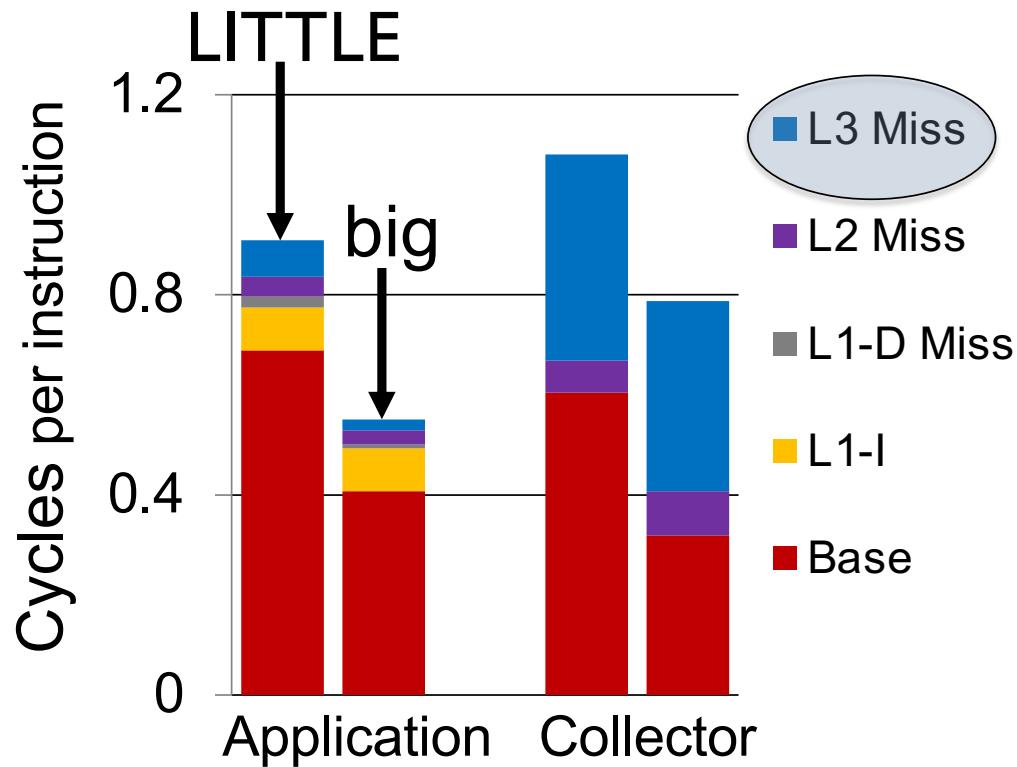
# Where does the performance advantage of big core comes from?



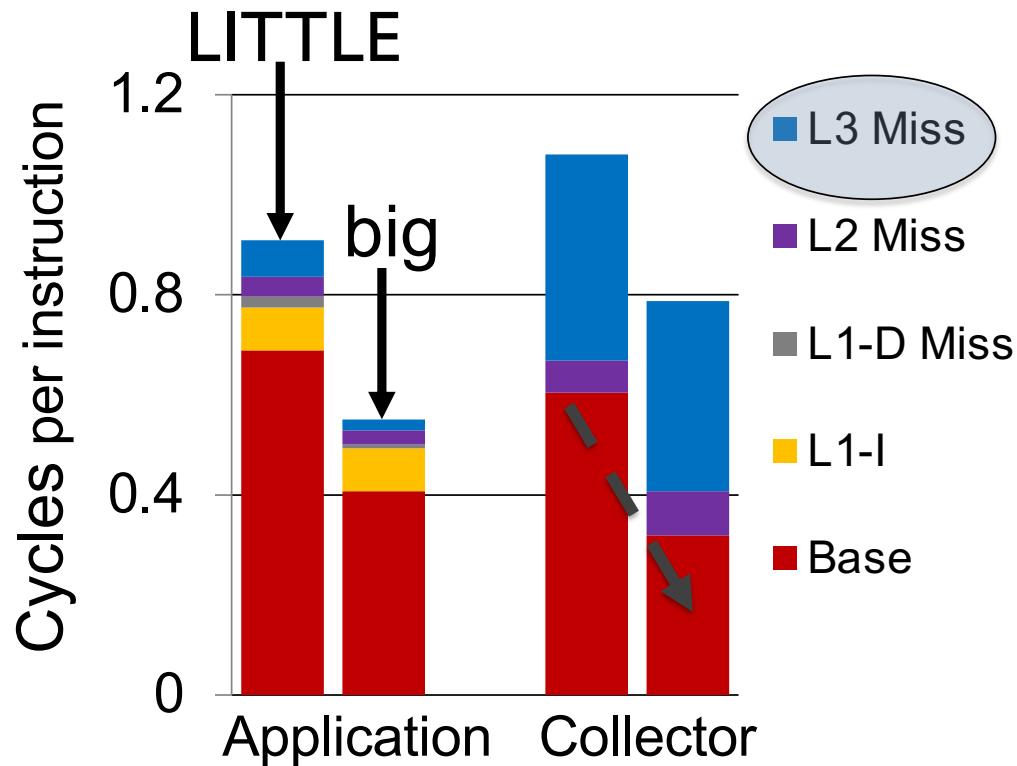
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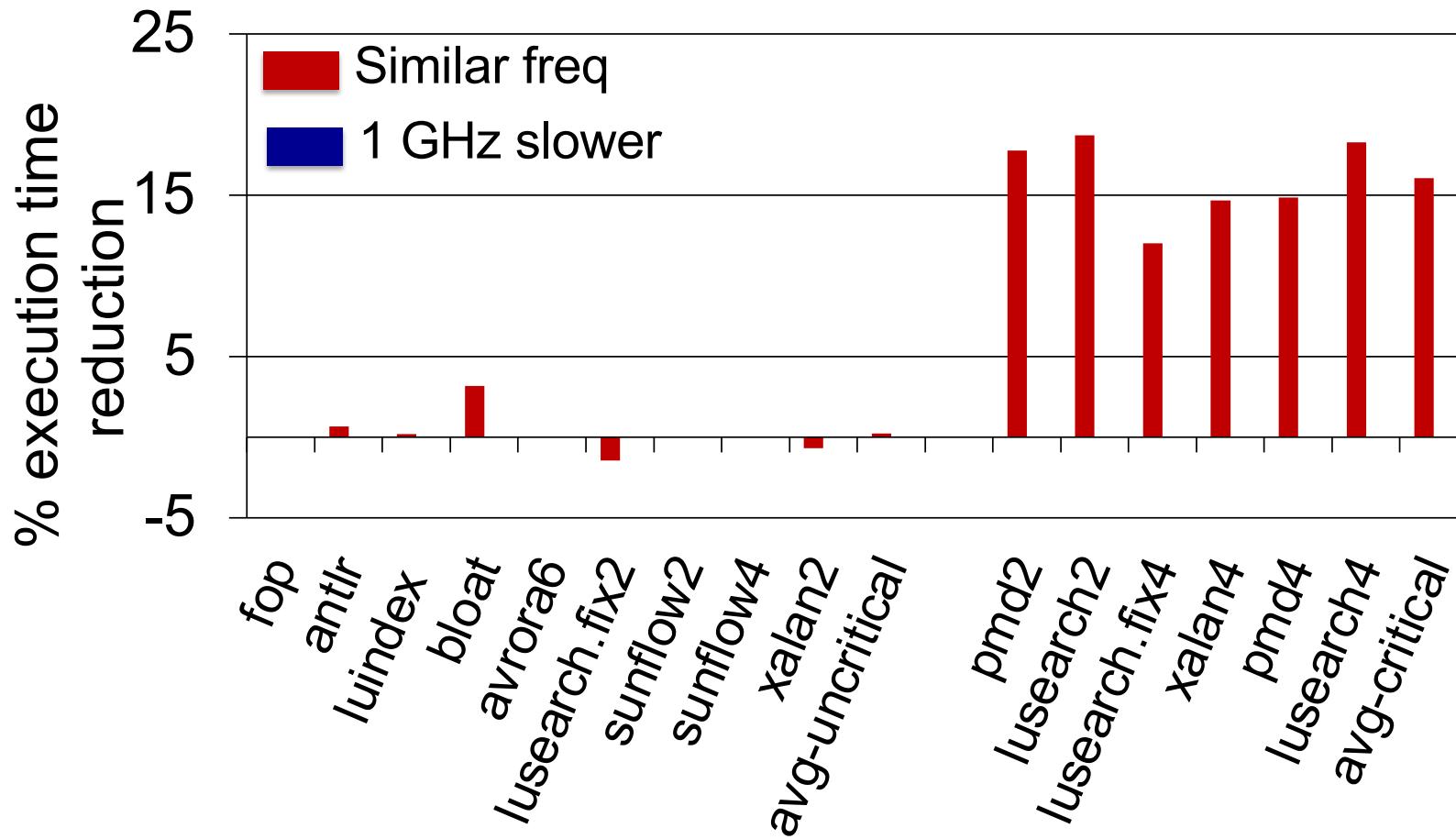


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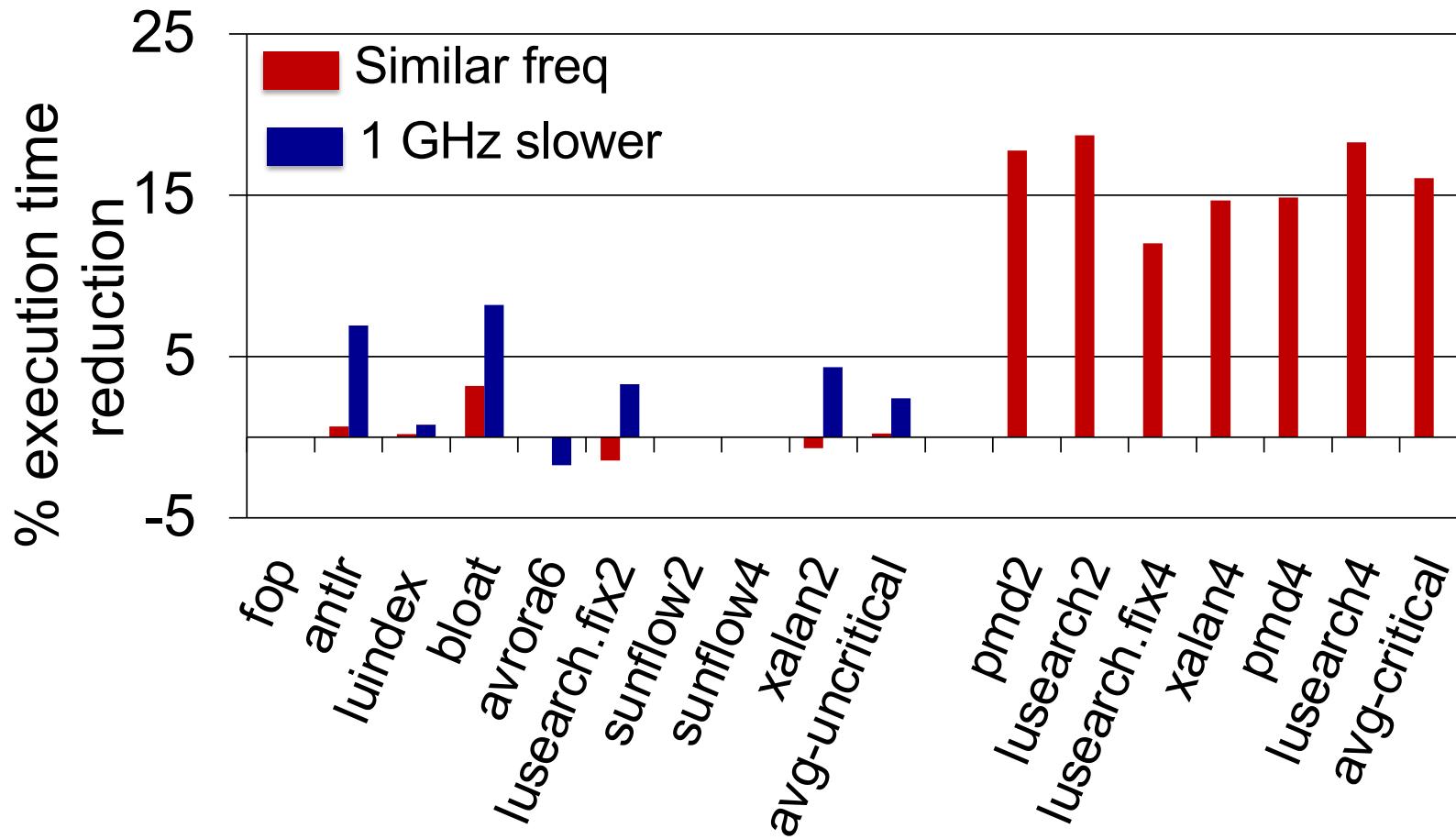


Instruction-level parallelism ☺  
Memory-level parallelism ☹

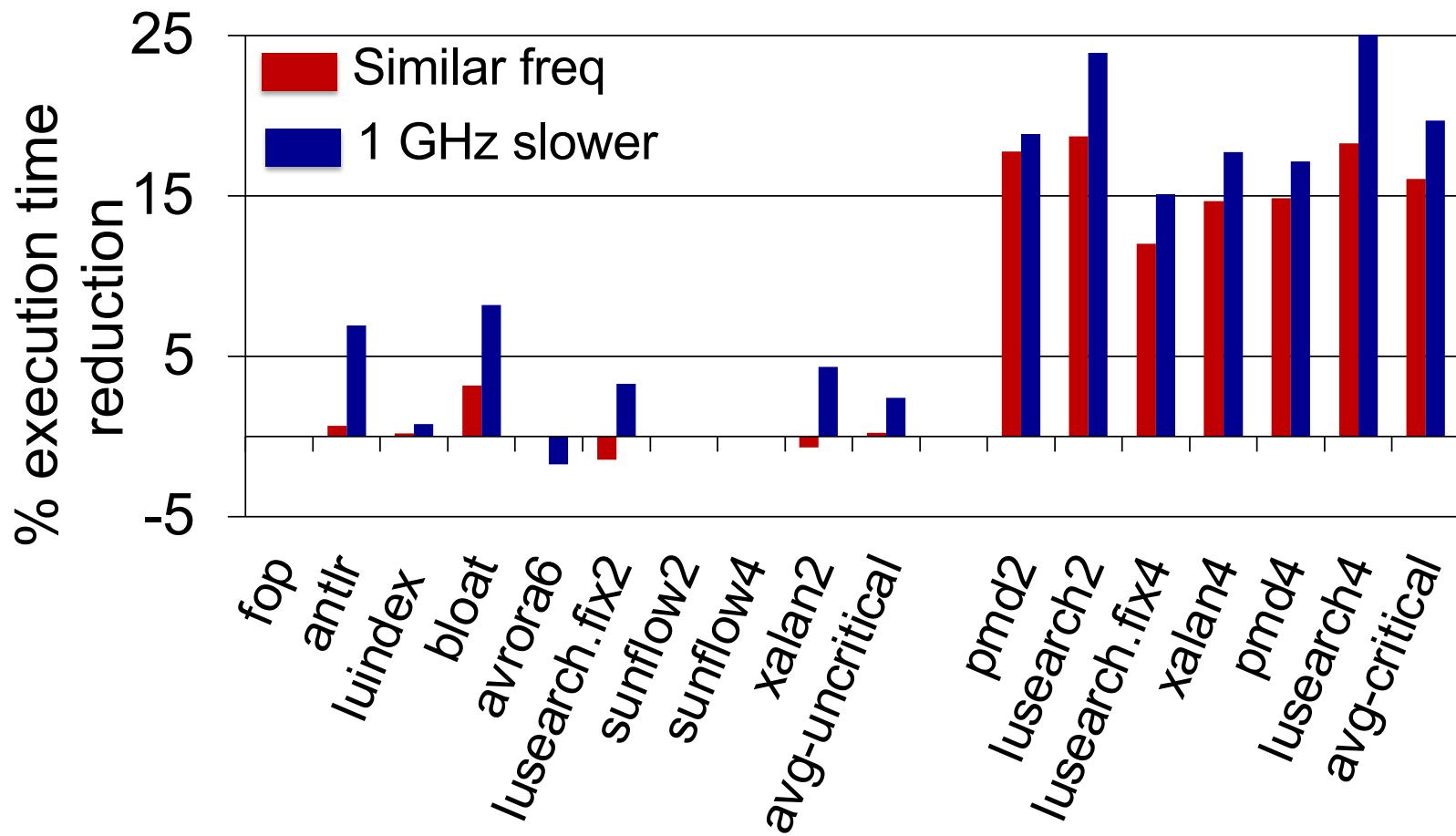
# Lowering the frequency of LITTLE core makes GC even more critical



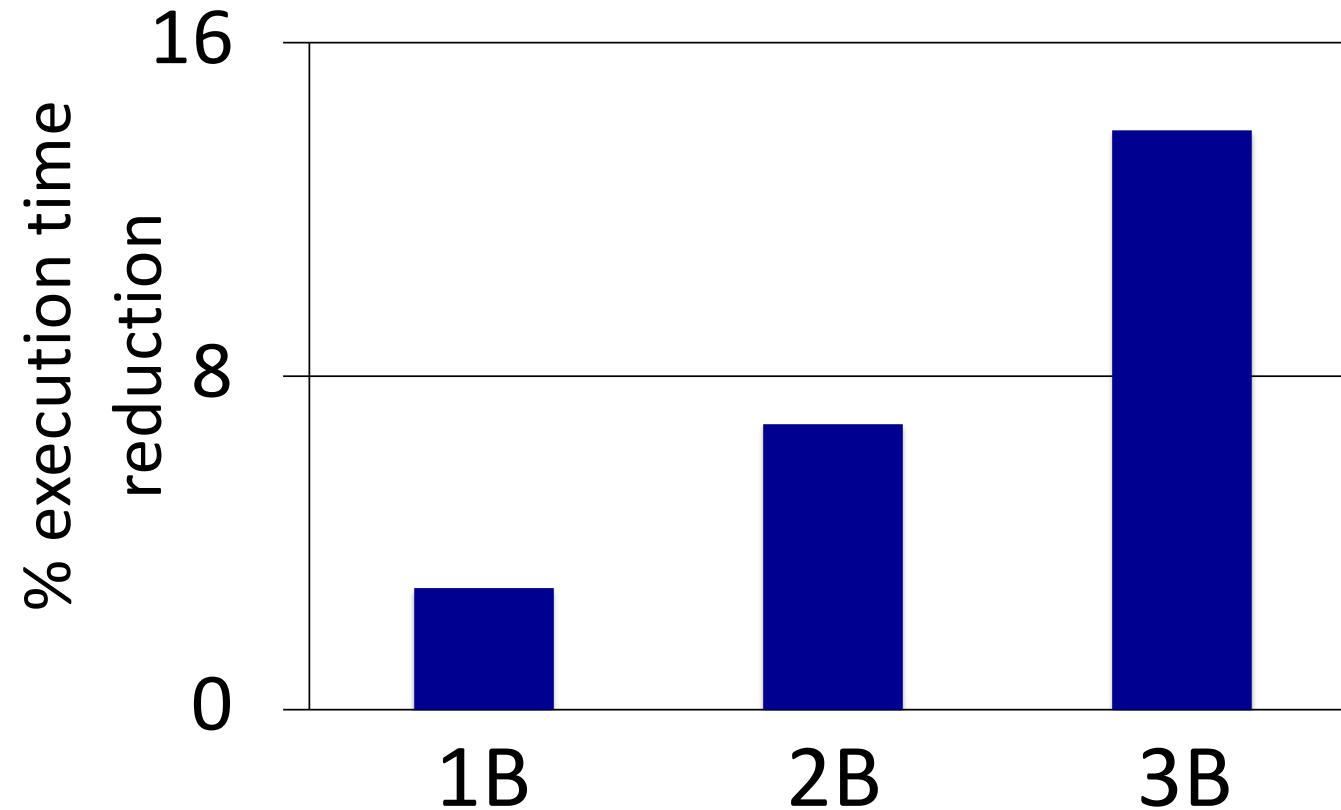
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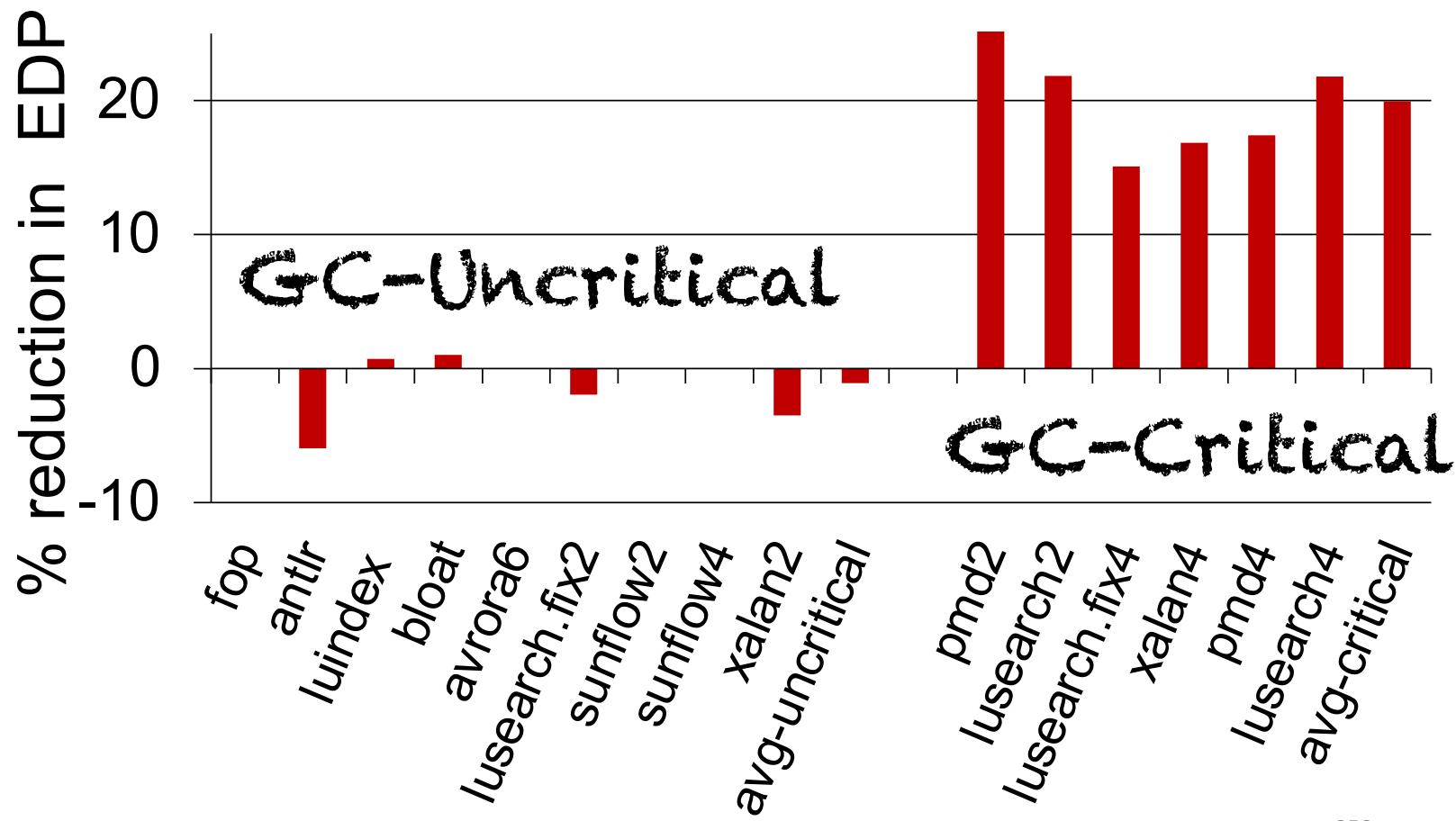
# Lowering the frequency of LITTLE core makes GC even more critical



**gc-boost provides greater gains for architectures with more big cores**



# Average EDP reduction of 20% for GC-Critical applications



# A few takeaway messages

- (1) Multithreaded applications could be GC critical
- (2) GC benefits from big core features
- (3) JVM support for scheduling GC improves efficiency

