SOC 2 Type II Evaluation Template & Checklist

1. General Report Overview

Audited Entity: _____

Audit Period: _____

Report Type: _____

Trust Service Criteria Covered: _____

Auditor Name & Reputation: _____

Systems/Services in Scope: _____

Locations Covered: _____

2. Management Assertion Review

Control Objectives Stated: _____

Limitations or Carve-Outs: _____

Responsibility Acknowledged: _____

3. Auditor's Opinion Analysis

Type of Opinion: _____

Summary of Exceptions: _____

Impact to Financial Data Security: _____

4. Detailed Control Testing Results

Control Area	Pass/Fail	Comments

5. Exceptions & Deviations

Exception Description	Risk Level (H/M/L)	Remediation Taken?	Remaining Exposure?

SOC 2 Type II Evaluation Template & Checklist

6. Impact Assessment on Organization

Risk Area	Impact Level	Notes

7. Recommendations for Executive Decision-Making

Action	Justification

8. Attachments / Supporting Material

- Copy of the SOC 2 Type II Report

- Risk mapping (optional visual)

- Risk register updates (if applicable)