

# Given a prompt, write an essay

**Question:** What fundamental economic and political change, if any, is needed for an effective response to climate change?

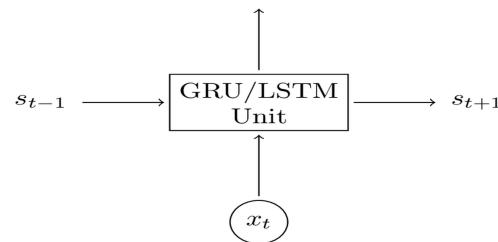
**Response:** Do we want to go through the same process we have been through for decades with no changes?

Is there a way to build a sustainable energy system that is both affordable and environmentally responsible? The basic premise behind this article is that we need to transform the economics of our energy system by investing in the necessary infrastructure so that it will be affordable for everyone.

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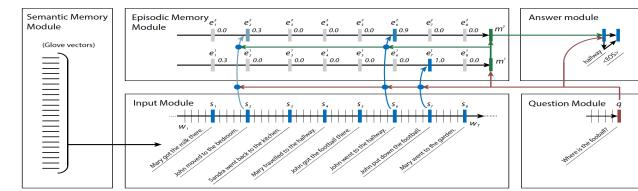
<https://www.economist.com/open-future/2019/10/01/how-to-respond-to-climate-change-if-you-are-an-algorithm>

# Recurrent Neural Networks (RNNs)



RNN model  
Seq2seq  
Encoder/decoder  
Attention  
Masking

Lyle Ungar

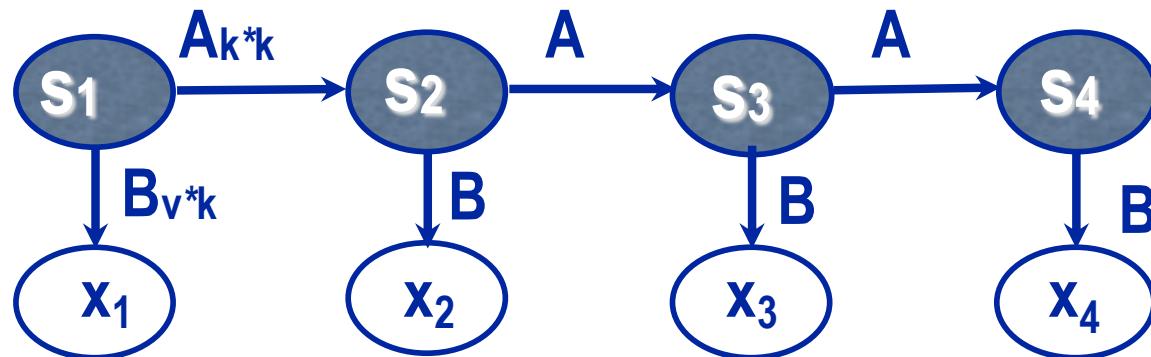


# Recurrent Neural Nets

- ◆ Needed if you have inputs of varying length
  - E.g. sequence of observations
    - speech
    - text
    - robots
    - power plants, chemical plants, data centers
- ◆ *RNNs are Nonlinear Hidden state dynamical models*
- ◆ The generalize HMMs or Linear Dynamical Systems

# Standard HMM

- ◆ HMM learning problem: Estimate A and B



**A** = Markov  
transition matrix  
**B** = emission  
matrix

- ◆ Estimation done via EM
  - Or spectral methods
- ◆ History is forgotten with an exponential decay

# Why use hidden states?

- ◆ **Markov model on emissions**

- $p(w_j|w_i)$  is *million x million*

- ◆ **Hidden Markov Model**

- $p(s_j|s_i)$  is  $300 \times 300$  transition matrix
  - $p(w_j|s_i)$  is  $\text{million} * 300$  matrix of emeddings

# Simple Recurrent Neural Net

$$s_t = \tanh(Ux_t + Ws_{t-1})$$

$$o_t = \text{softmax}(Vs_t)$$

$x_t$  = input (e.g. a word)

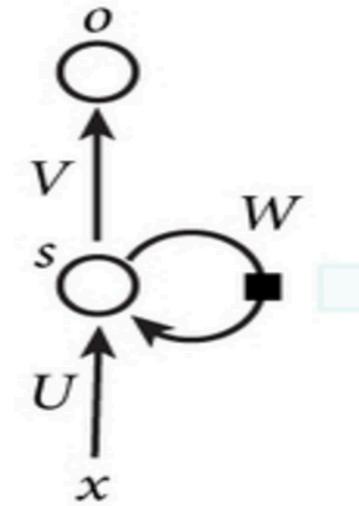
$s_t$  = hidden state

$o_t$  = output (e.g. probability of the next word)

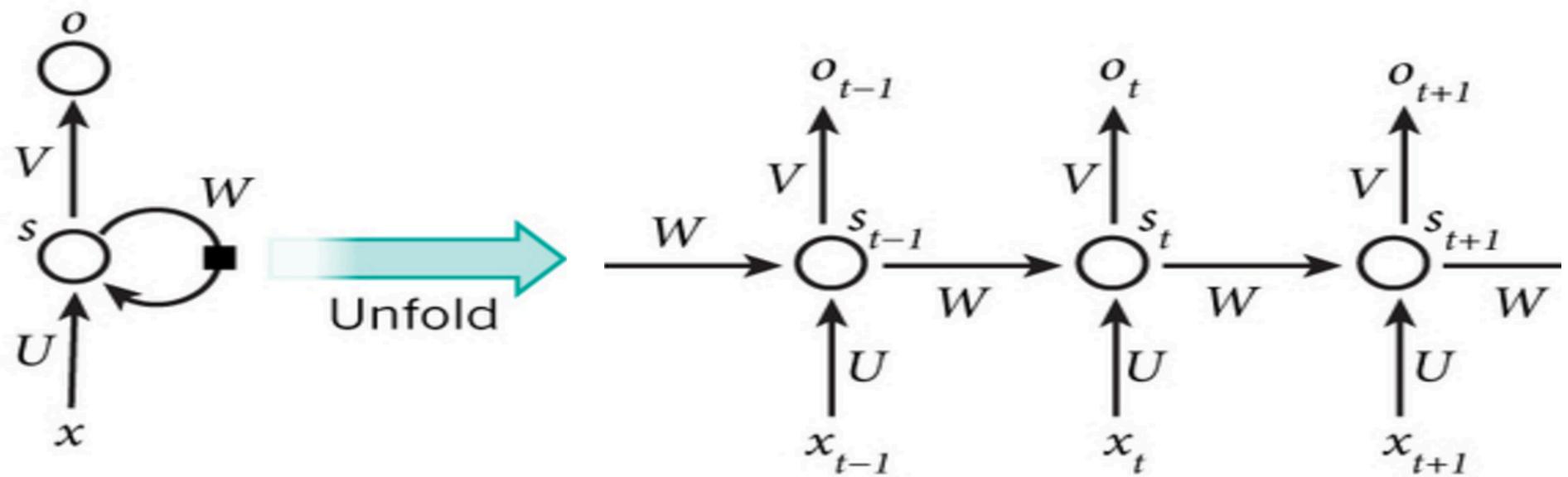
$y_t$  = true value (e.g.  $x_{t+1}$ )

Softmax  $\sigma(\mathbf{z})$  transforms the K-dimensional real valued output  $\mathbf{z}$  to a distribution  $\mathbf{o}$

$$\sigma(\mathbf{z})_j = \frac{e^{z_j}}{\sum_{k=1}^K e^{z_k}} \quad \text{for } j = 1, \dots, K.$$



# Like HMMs, unroll RNNs in time



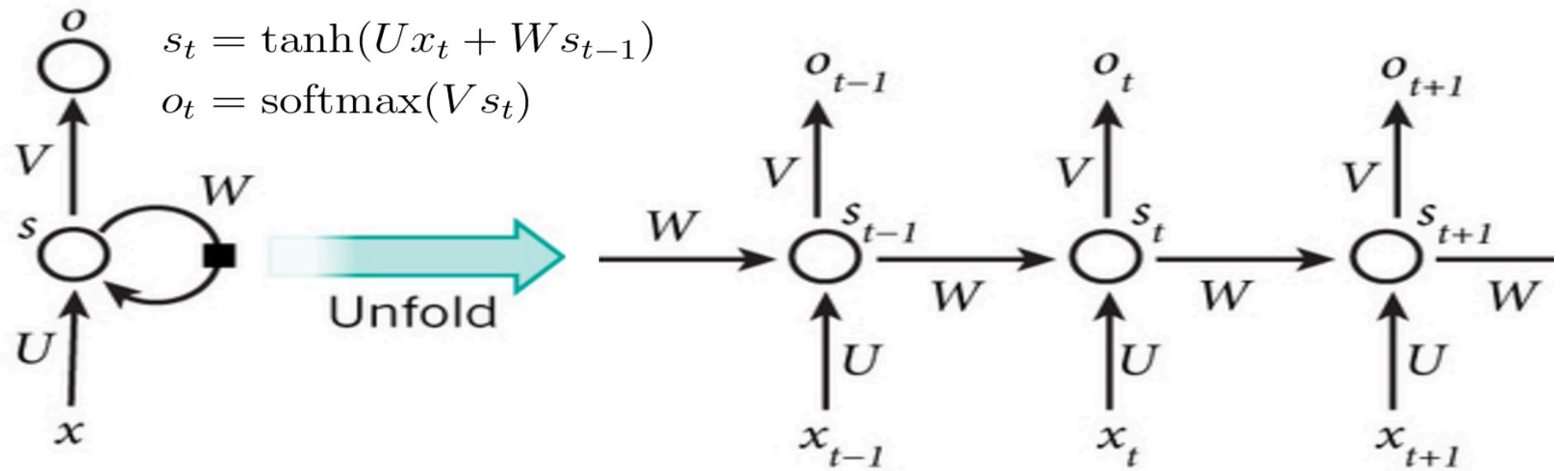
$x_t$  = input (e.g. a word)

$s_t$  = hidden state

$o_t$  = output (e.g. probability of the next word)

<http://www.nature.com/nature/journal/v521/n7553/full/nature14539.html>

# Like HMMs, unroll RNNs in time

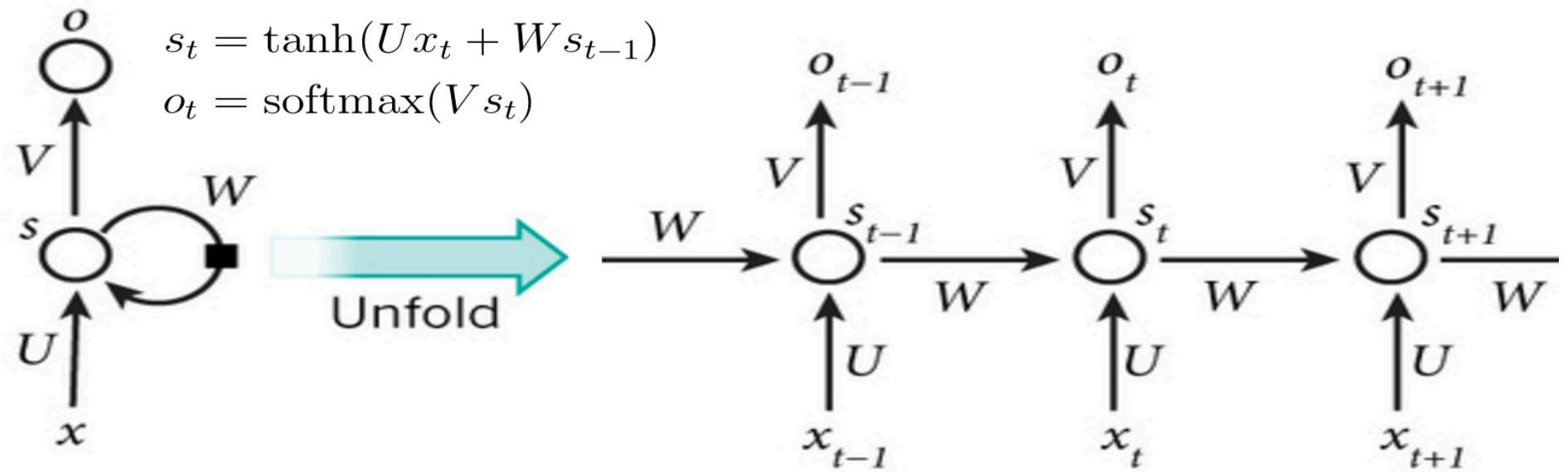


$x_t$  = input (e.g. a word) - v  
 $s_t$  = hidden state - k  
 $o_t$  = output - v

What are the dimensions of  $U$ ,  $W$ ,  $V$ ?

$U: k^*v$      $W: k^*k$      $V: v^*k$

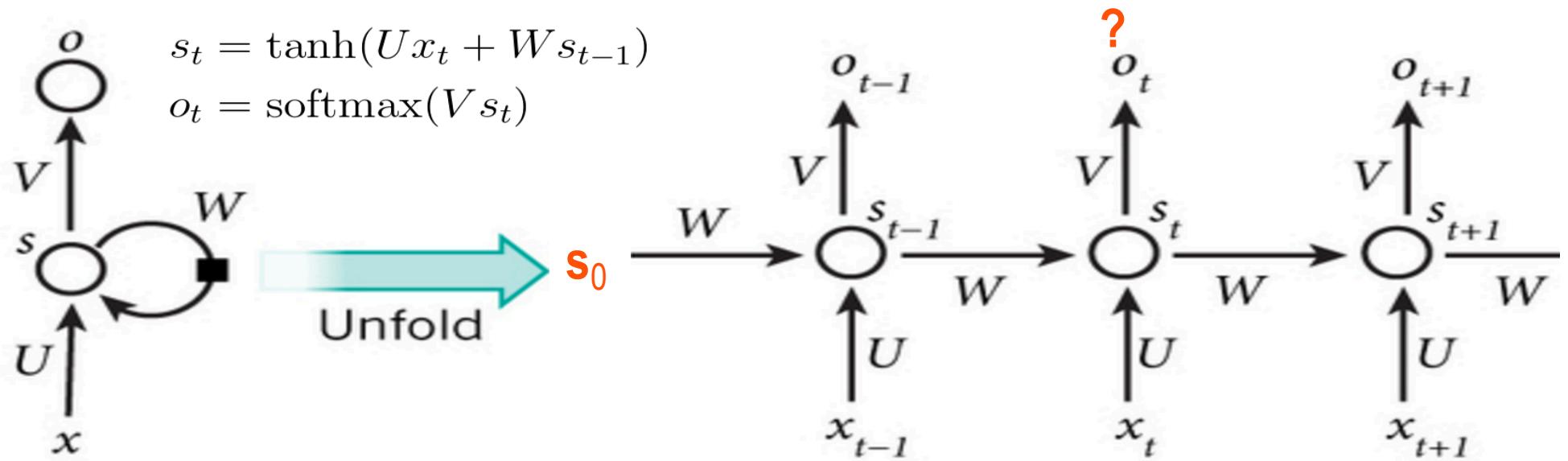
# Like HMMs, unroll RNNs in time



$x_t$  = input (e.g. a word) - v  
 $s_t$  = hidden state - k  
 $o_t$  = output - v

What is the usual loss function?  
 $-\sum_t \log(o_t[y_t])$  - est. prob.of truth  
where  $y_t=i$  gives the true label

# Like HMMs, unroll RNNs in time



$x_t$  = input

$s_t$  = hidden state

$o_t$  = output

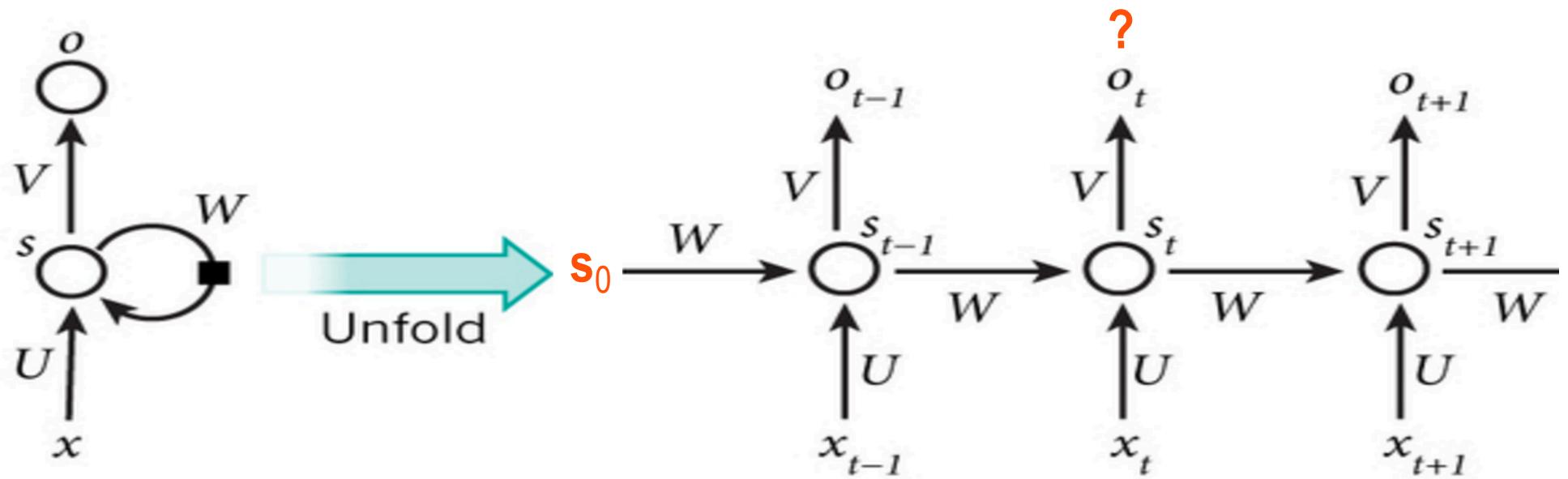
- v  
- k  
- v

If  $s_{t-2} = s_0$ , what is  $o_t$  in terms of  $s_0$  and  $x$ ?  

$$o_t = \text{softmax}(Vs_t) = \text{softmax}(V \tanh(Ux_t + Ws_{t-1}))$$
  

$$= \text{softmax}(V \tanh(Ux_t + W \tanh(Ux_{t-1} + Vs_{t-2})))$$

# RNN gradients



$$o_t = \text{softmax}(V \tanh(Ux_t + W \tanh(Ux_{t-1} + Ws_{t-2}))$$

**Observe**  $y_t = i$  What is the stochastic gradient step?

$$\text{Err} = -\log(o_t[i])$$

Find  $d \text{ Err}/dV$ ,  $d \text{ Err}/dU$ ,  $d \text{ Err}/dW$

# RNN Gradients

- ◆  $\mathbf{o}_t = \text{softmax}(\mathbf{V} \tanh(\mathbf{Ux}_t + \mathbf{W} \tanh(\mathbf{Ux}_{t-1} + \mathbf{Ws}_{t-2}))$
- ◆ **Observe**  $y_t = i$  What is the stochastic gradient step?

- ◆  $Err = -\log(\mathbf{o}_t[i])$

$$\begin{aligned} d Err/d\mathbf{V} &= -(d \log(\mathbf{o}_t[i])/d\mathbf{o}_t[i]) \quad d\mathbf{o}_t[i]/d\mathbf{V} \\ &= -(1/\mathbf{o}_t[i]) \quad d \text{softmax}(\mathbf{z})/d\mathbf{z} \quad dz/d\mathbf{V} \\ \mathbf{z} &= \mathbf{V} \tanh(\mathbf{Ux}_t + \mathbf{W} \tanh(\mathbf{Ux}_{t-1} + \mathbf{Ws}_{t-2})) \\ d \text{softmax}(\mathbf{z})/dz_j &= -1/(\sum_k e^{z_k})^2 \quad e^{z_j} \quad \text{for } k \text{ not equal to } j \\ &= -1/(\sum_k e^{z_k})^2 \quad e^{2z_j} + e^{z_j}/(\sum_k e^{z_k}) \quad \text{for } k=j \end{aligned}$$

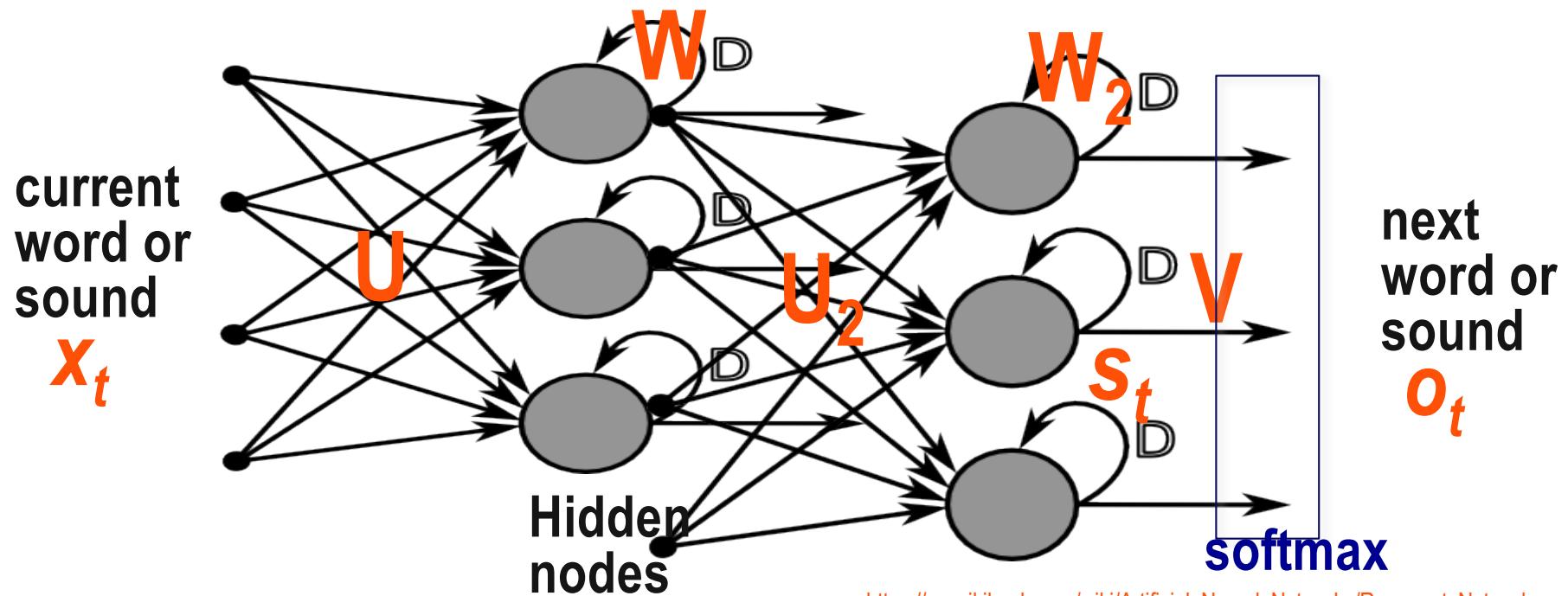
$$\sigma(\mathbf{z})_j = \frac{e^{z_j}}{\sum_{k=1}^K e^{z_k}} \quad \text{for } j = 1, \dots, K.$$

# Recurrent Neural Nets (RNNs)

$$s_t = \tanh(Ux_t + Ws_{t-1})$$

Can use multiple layers

$$o_t = \text{softmax}(Vs_t)$$



[https://en.wikibooks.org/wiki/Artificial\\_Neural\\_Networks/Recurrent\\_Networks](https://en.wikibooks.org/wiki/Artificial_Neural_Networks/Recurrent_Networks)

# Gated RNNs

- ◆ Standard RNNs, like HMMs, tend to forget things exponentially quickly
- ◆ Solution: Gated RNN

You don't need to know this; it's just a bunch of weights and transformations.

$$s_t = (1-z) \circ h + z \circ s_{t-1} \quad s_t : \text{hidden state}$$

$z=1$  keeps state

$z=0$  updates it to  $h$

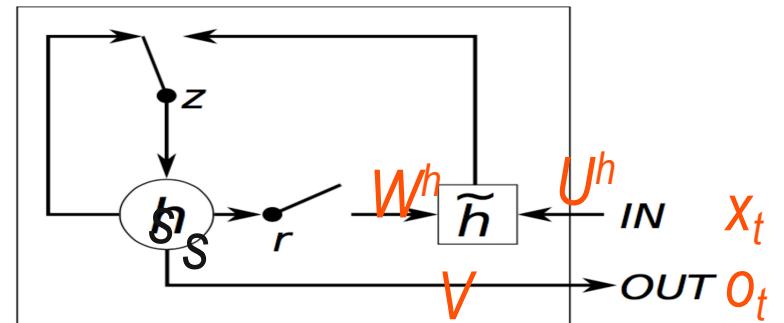
$r=1$ 's,  $z=0$ 's gives simple RNN

$\circ$  is pointwise multiplication

$o_t - \text{prediction}$

$s_{t-1} \rightarrow \text{GRU/LSTM Unit} \rightarrow s_{t+1}$

$x_t - \text{input}$



<http://deeplearning.net/tutorial/lstm.html>

# Long Short Term Memory (LSTM)

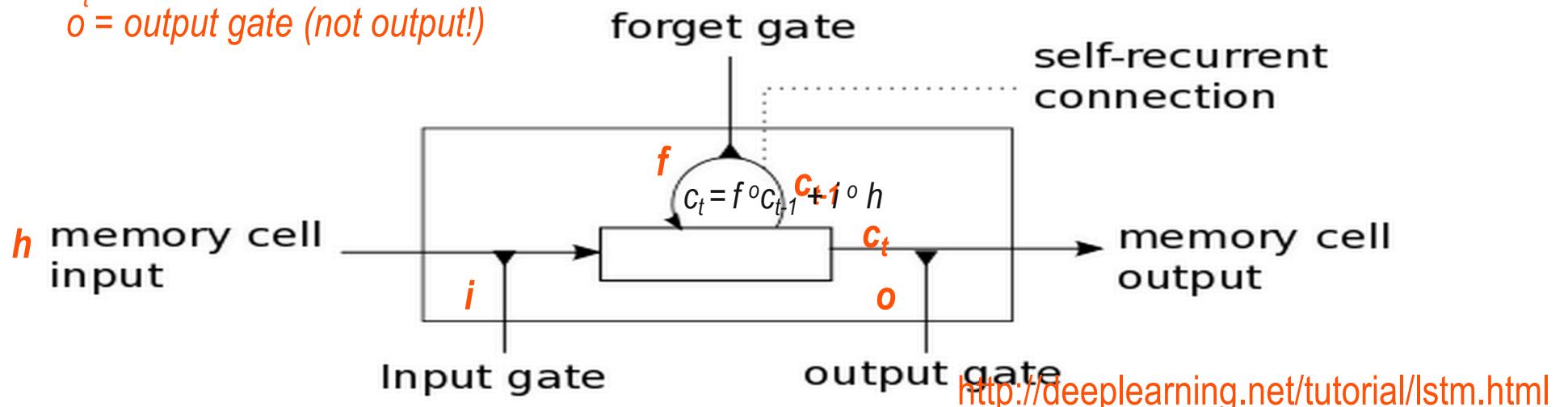
## ◆ LSTM is a kind of gated RNN

- Just with more, different gates
- **Don't worry about what they are!!!**

$x_t$  – observation

$s_t$  – hidden state

$o$  = output gate (not output!)

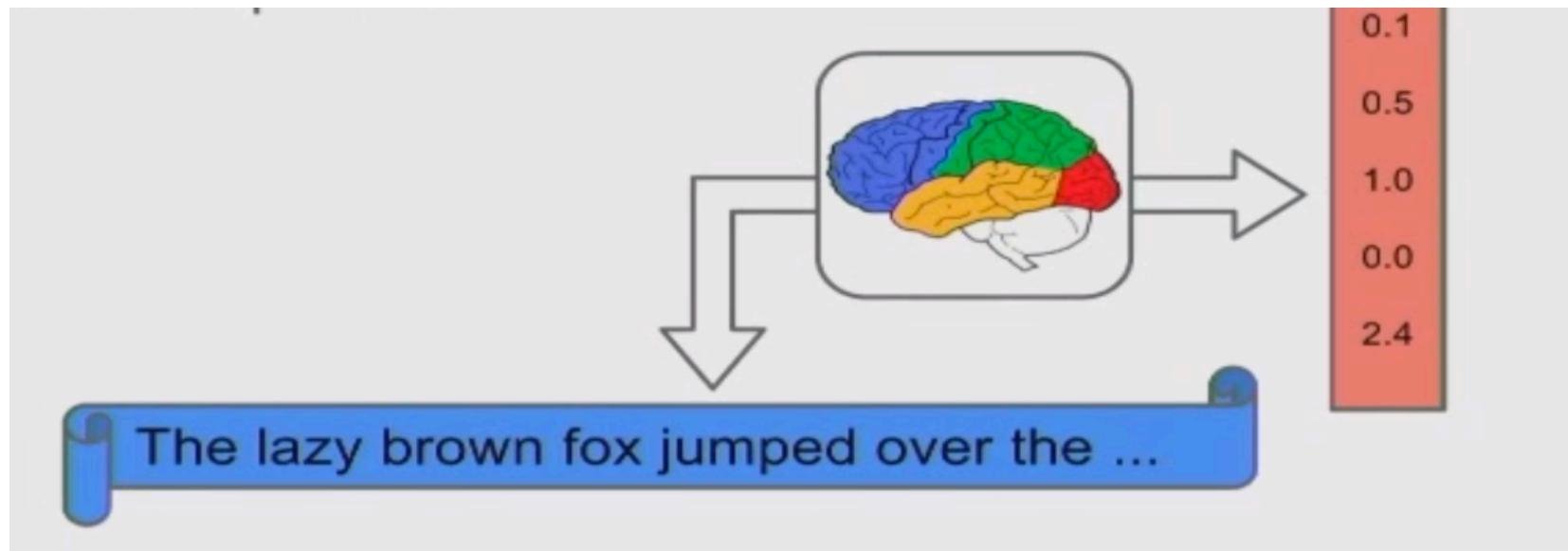


<http://deeplearning.net/tutorial/lstm.html>

# Recurrent Neural Nets

- ◆ Predict a label for each observation
  - $y_t = f(x_t, s_t)$
- ◆ Predict the next observation given past observations
  - $y_t = x_{t+1} = f(x_t, s_t)$
- ◆ Or map one sequence to another sequence
  - An encoder
    - sentence (sequence of words) to vector
  - A decoder
    - vector to sentence (sequence of words)

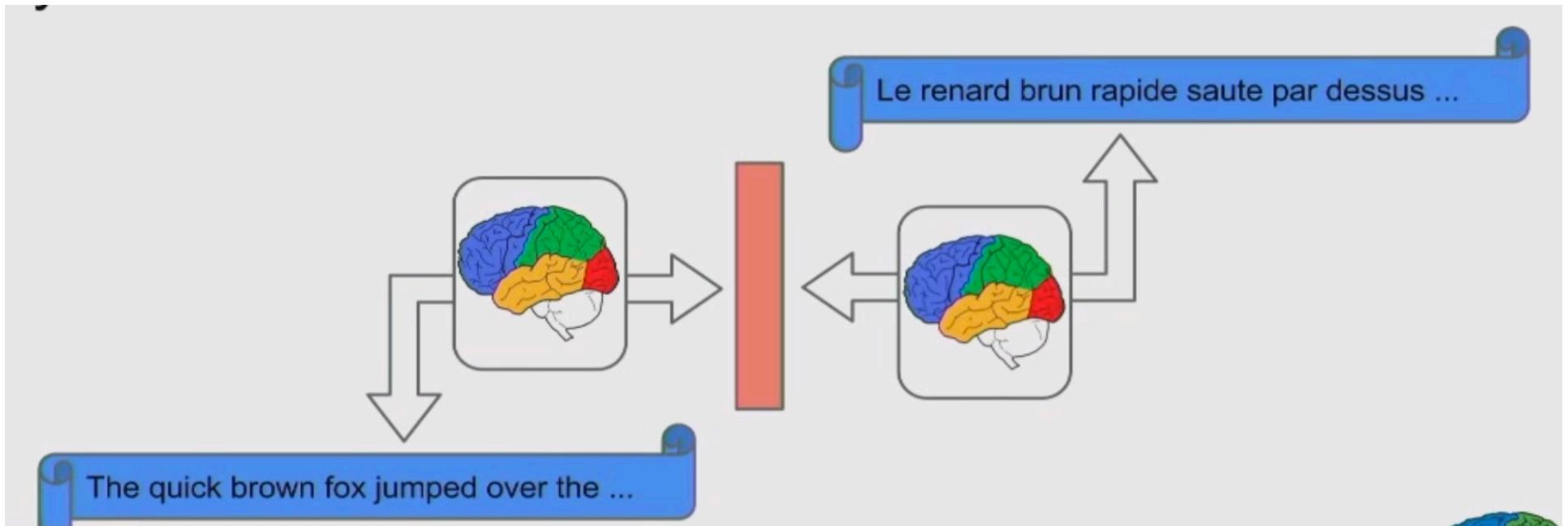
# LSTM encodes a sentence



Jeff Dean, google

[https://www.youtube.com/watch?v=90-S1M7Ny\\_o&spfreload=1](https://www.youtube.com/watch?v=90-S1M7Ny_o&spfreload=1)

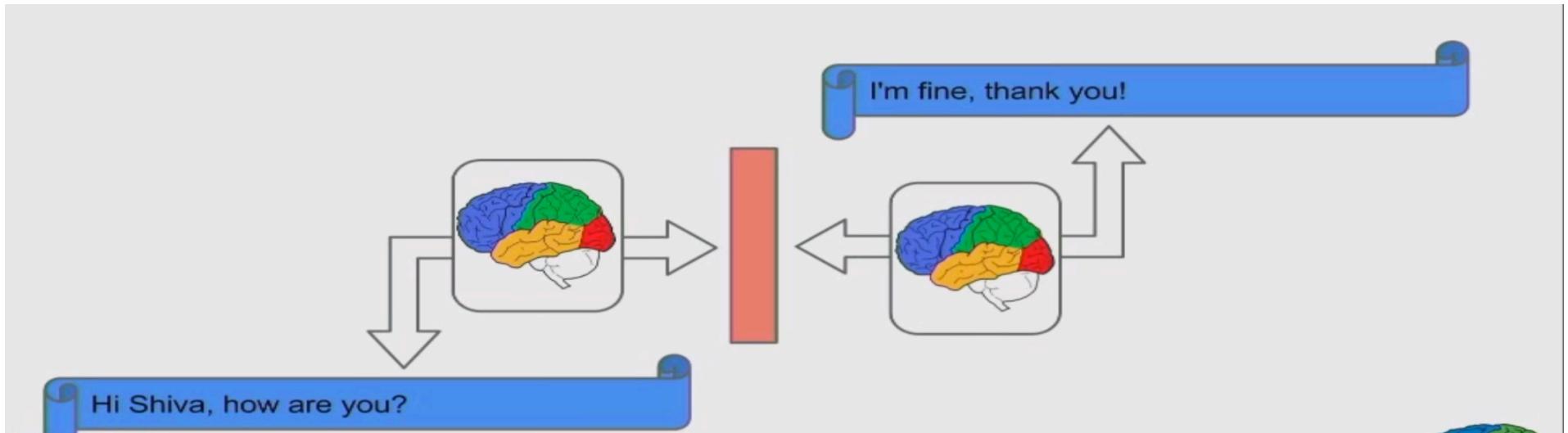
# Sequence to sequence (Seq2seq)



Encode and Decode = translate

Jeff Dean, google

# Seq2seq chatbot



Encode and Decode = chatbot

Jeff Dean, google

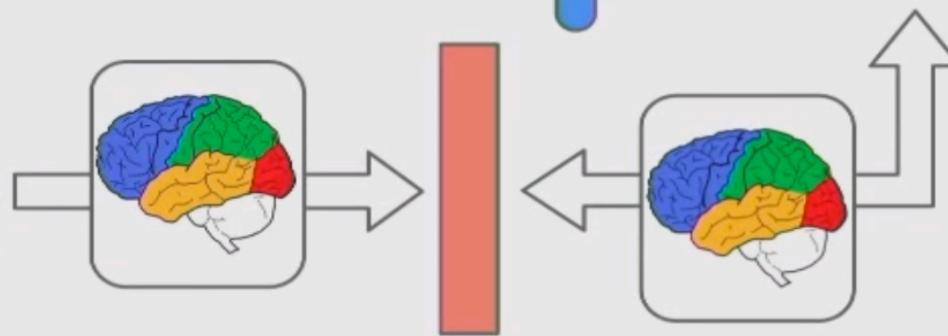
# It works (sort of)

```
User> hello , i have a problem with my machine
BrainStop> hi
BrainStop> this is shiva .
BrainStop> how are you doing today ?
User> hi shiva , how are you ?
BrainStop> i ' m fine , thank you !
BrainStop> how may i assist you today ?
User> i want to access using vpn
BrainStop> currently the computer is connected to corp network ?
User> no
BrainStop> check this solution :
BrainStop> https : / / INTERNAL_HOSTNAME_REDACTED / articles / connecting - to -
disconnecting - from - ssl - vpn # heading - 4
User> thanks , bye !
BrainStop> thank you .
```

Jeff Dean, google

# Can map images to words

Connect sequence and image models, you get a captioning system



# It works (sort of)



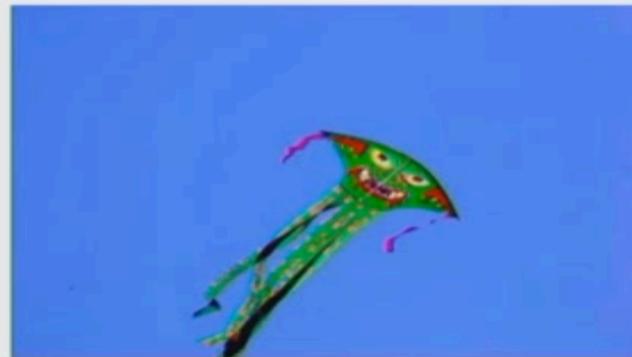
A man holding a tennis racquet  
on a tennis court.



Two pizzas sitting on top  
of a stove top oven



A group of young people  
playing a game of Frisbee



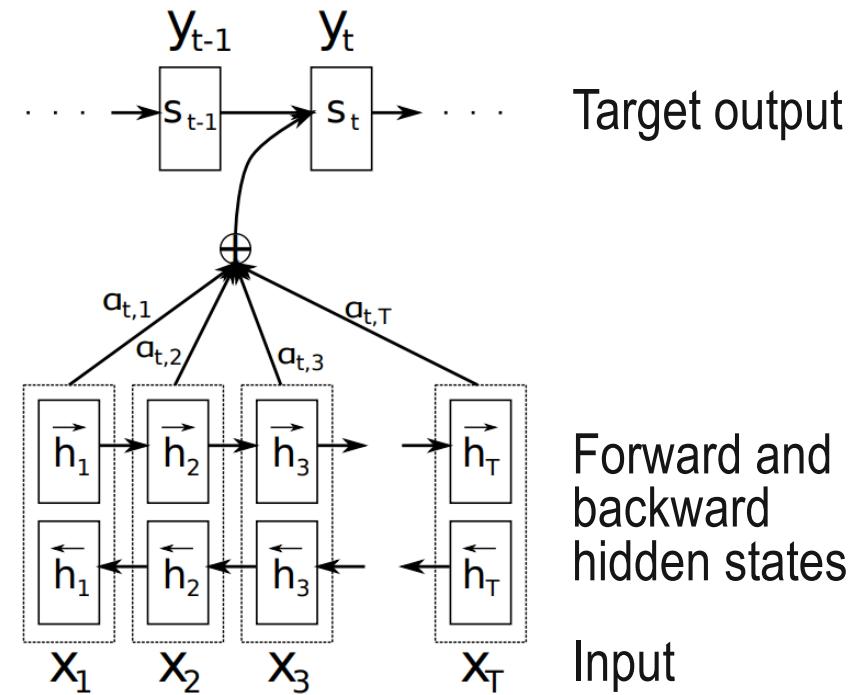
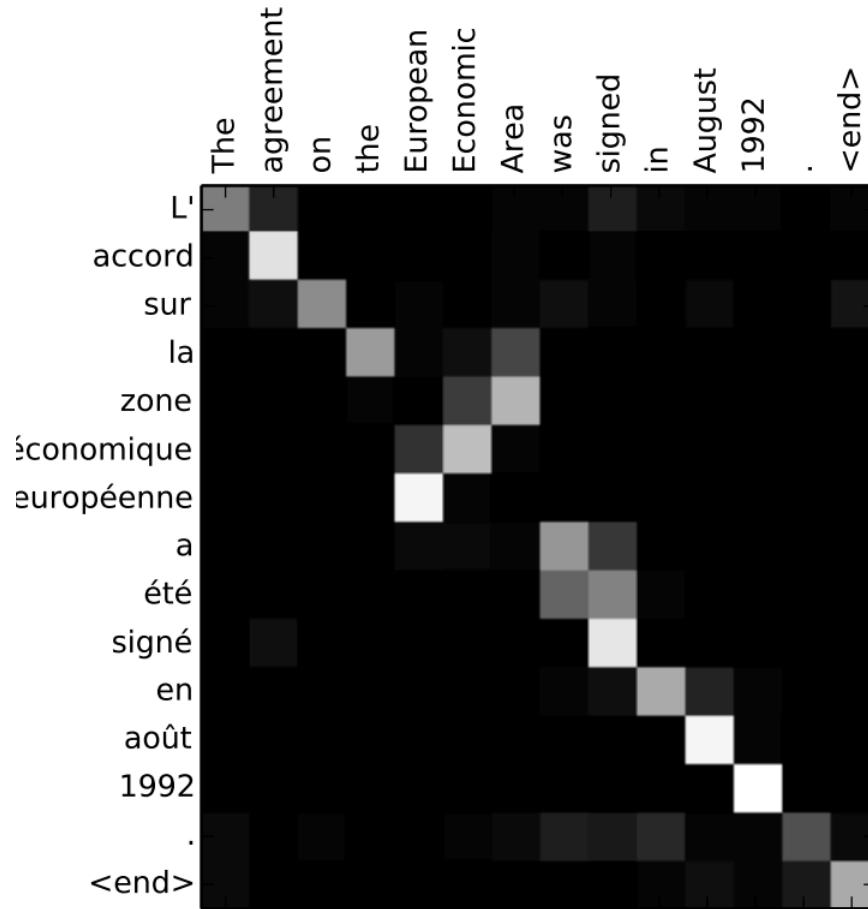
A man flying through the air  
while riding a snowboard

Jeff Dean, google

# Language inputs to RNNs

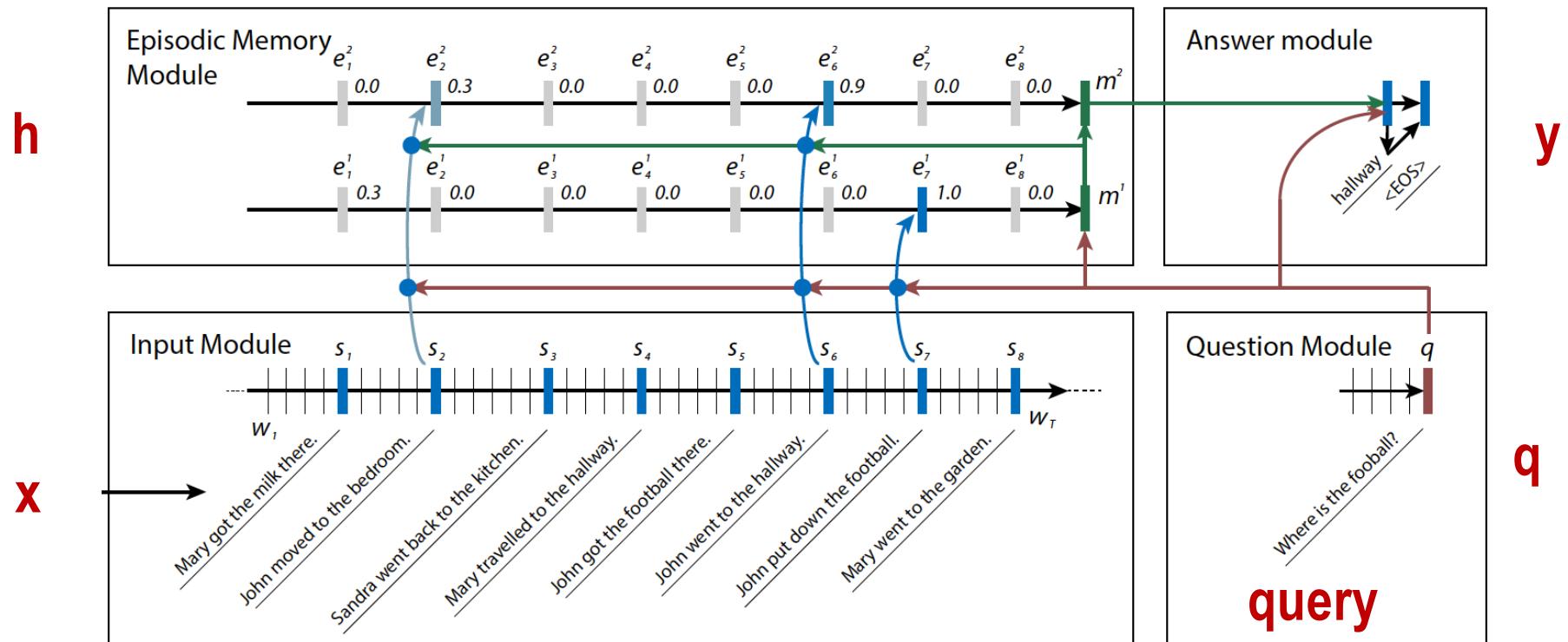
- ◆ Words (“one-hot”)
- ◆ Characters (“one-hot”)
- ◆ Bytecodes (“one-hot”)
- ◆ Word embeddings
  - Typically 300 dimensional for context-independent (Word2vec)
  - Much bigger for context-sensitive (BERT)

# Attention-based Machine Translation



Neural machine translation by jointly learning to align and translate 2015

# Attention-based Q&A



Find similarity between query and input,  $x$

Ask Me Anything: Dynamic Memory Networks for Natural Language Processing

# Attention-based Q&A

by *ent423* ,*ent261* correspondent updated 9:49 pm et , thu march 19 ,2015 (*ent261*) a *ent114* was killed in a parachute accident in *ent45* ,*ent85* ,near *ent312* ,a *ent119* official told *ent261* on wednesday .he was identified thursday as special warfare operator 3rd class *ent23* ,29 ,of *ent187* ,*ent265* .`` *ent23* distinguished himself consistently throughout his career .he was the epitome of the quiet professional in all facets of his life ,and he leaves an inspiring legacy of natural tenacity and focused

...

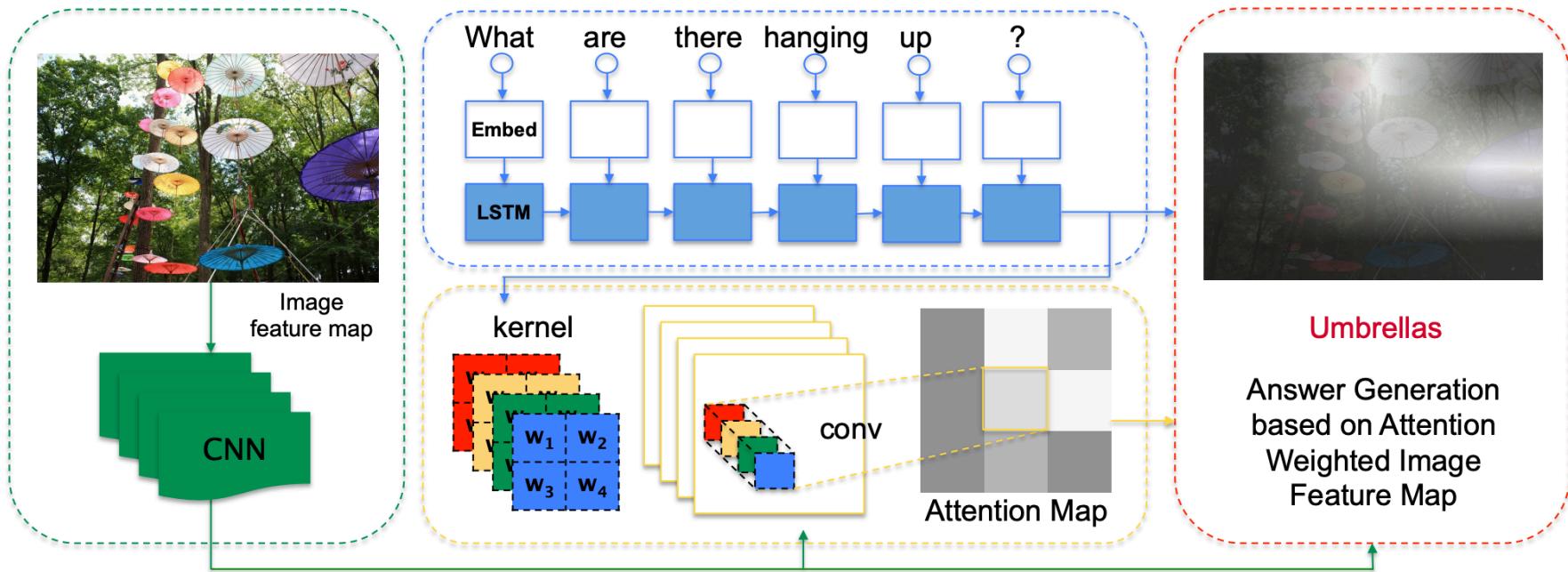
*ent119* identifies deceased sailor as **X** ,who leaves behind a wife

by *ent270* ,*ent223* updated 9:35 am et ,mon march 2 ,2015 (*ent223* ) *ent63* went familial for fall at its fashion show in *ent231* on sunday ,dedicating its collection to `` mamma '' with nary a pair of `` mom jeans "in sight .*ent164* and *ent21* , who are behind the *ent196* brand ,sent models down the runway in decidedly feminine dresses and skirts adorned with roses ,lace and even embroidered doodles by the designers 'own nieces and nephews .many of the looks featured saccharine needlework phrases like `` i love you ,

...

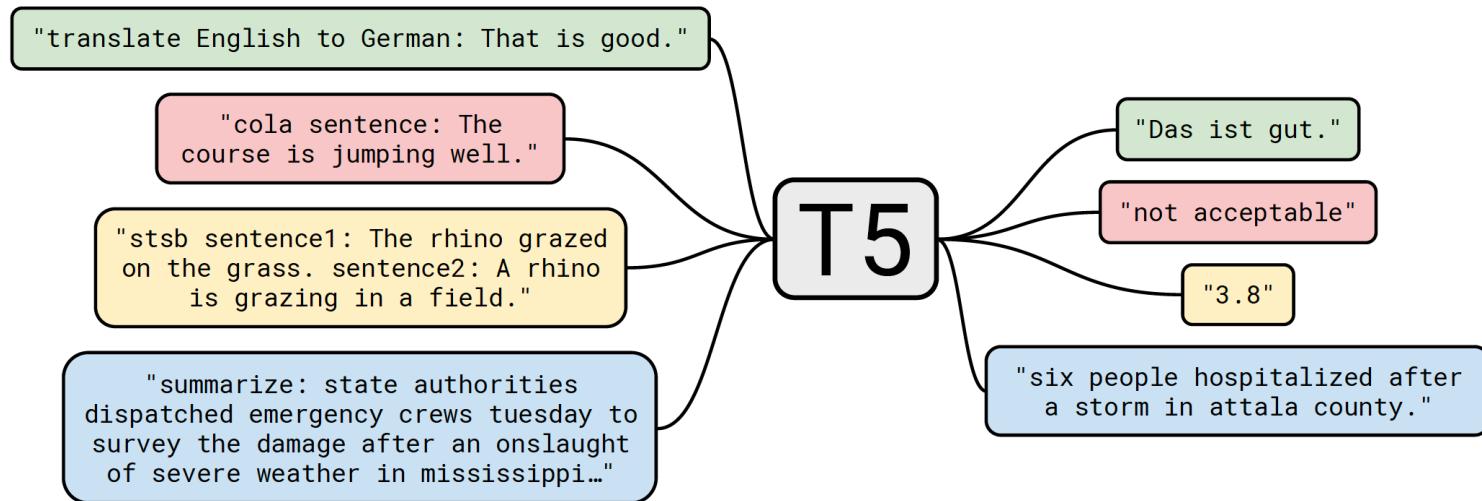
**X** dedicated their fall fashion show to moms

# Attention-based Q&A



**ABC-CNN: An Attention Based Convolutional Neural Network for Visual Question Answering 2016**

# Transformer – seq2seq extension



Uses “self attention”

Exploring the Limits of Transfer Learning with a  
Unified Text-to-Text Transformer 2019  
– building on Attention is All you Need

# Transformer trained using masking

Original text

Thank you ~~for inviting~~ me to your party ~~last~~ week.

Inputs

Thank you <X> me to your party <Y> week.

Targets

<X> for inviting <Y> last <Z>

Exploring the Limits of Transfer Learning with a  
Unified Text-to-Text Transformer, 2019

# GTP-3

## ◆ Autoregressive Transformer model

- Input: part of a sentence
- Input: ... Time flies like \_\_
- Input: ... flies like an \_\_

Output: the next word

Output: an

Output: arrow

## ◆ Use it

- Input: part of a story

Output: the rest of the story

# GPT-3 is big

- ◆ 175 billion adjustable parameters
- ◆ Trained on 500 billion words of text
  - 410 billion tokens from Common Crawl
  - 67 billion tokens from Books
  - 19 billion tokens from WebText2
  - 3 billion tokens from Wikipedia
- ◆ Training cost \$4.6M

<http://jalammar.github.io/how-gpt3-works-visualizations-animations/>

# GPT-3 can finish a story

*Human: I am feeling bored today.  
Grandma, tell me a story about the  
time the Cat stole the sun.*

*AI: Okay, Let's begin. First, you must  
know that the sun is actually a cat.  
Also, you must know that the sun is  
actually not a cat. But it's also not a  
piece of cheese, or an orange, or even  
a gold ring. ...*

# GPT-3 can finish a poem

Q: Shall I compare thee to a summer's day?  
Thou art more lovely and more temperate:  
Rough winds do shake the darling buds of May,  
And summer's lease hath all too short a date;  
A: winter's day, when beams of sun are few,  
And skies are grey with clouds intemperate,  
Is to thy beauty somehow sordid grown.  
Her lovely-lovely eye is quite shut up,

# GPT-3 can translate legalese

**Legal Clause:** Sale of all or substantially all of the assets of the Company or a sale of shares involving a change in control (each, a "Corporate Transaction") will be treated in the same way as a liquidation and the proceeds of sale will be distributed as set out in paragraph 3. If the holders of Series A Shares have received any Special Dividend it shall be set off against their Liquidation Preference.

**Plain English:** If the company is sold, or a new owner takes control, the proceeds of the sale will be distributed as in the liquidation clause above. Any special dividend paid will be treated as an initial payment towards the Series A investors.

@michaeltefula

# GPT-3 makes really dumb mistakes

**Q:** Who was president of the United States in 1600?

**A:** Queen Elizabeth I was president of the United States in 1600.

It is great at completing patterns based on the 500 billion words it has read, but it isn't able to do reasoning, or to answer questions about things it hasn't seen.  
It writes great prose, and makes dumb mistakes

<https://mindmatters.ai/2020/07/gpt-3-is-mindblowing-if-you-dont-question-it-too-closely/>

# GPT memorizes a lot

- ◆ Q. What do you call a droid that takes the long way around?
  - A. R2 detour.
- ◆ Q. Why was 6 afraid of 7?
  - A. Because 7 8 9!
- ◆ Q. What did the Dalmatian say after lunch?
  - A. That hit the spot!

<https://www.gwern.net/GPT-3#dad-jokes>

# GPT is less impressive otherwise

◆ Q. When does a joke become a “dad” joke?

- A. When it’s not funny.

◆ Q. How do you stop an astronaut’s baby from crying?

- A. You go into space!

◆ Q. How does a vampire start a letter?

- A. Dear blood.

# What you should know

- ◆ Recurrent Neural Nets (LSTMs) generalize HMMs, Kalman filters
- ◆ They have replaced HMMs for speech-to-text and machine translation
  - Now used (as “Transformers”) for natural language generation
- ◆ Lots of black magic “engineering”
  - Number and size of layers, regularization
  - Forms of gating (LSTM ...), attention, masking
  - Gradient descent can be tricky
  - “Fine tune” a model that was trained on an enormous data set