Finsler notes

Yue Shen

1 Basic Background

1.1 Definition of Finsler Manifolds

Let V be a real finite dimensional vector space, and let $\{e_i\}$ be a basis for V. Furthermore, let $\frac{\partial}{\partial y^i}$ be partial differentiation in the e_i direction. Here we use *Einstein summing convention* throughout. For example, for $v \in V$, $v = v^i e_i$ [3].

Definition 1 ([3]) *A function $f : V \to \mathbb{R}$ is (positively)* ***Homogeneous of degree*** *$s \in \mathbb{R}$ (or* ***s-homogeneous****) if $f(\lambda v) = \lambda^s f(v)$ for all $v \in V$, $\lambda > 0$.*

Proposition 1 ([3]) *Suppose f is smooth and s-homogeneous. Then ∂f is $(s-1)$-homogeneous, and*

$$\frac{\partial f}{\partial y^i}(v)v^i = sf(v), \;\; v \in V \quad \textit{(Euler's theorem).}$$

Definition 2 ([1]) *A (global defined)* ***Finsler structure*** *of M is a function $F : TM \to [0, \infty)$ with the following properties:*

(i) ***Regularity:*** *F is C^∞ on $TM \setminus \{0\}$,*

(ii) ***Positive homogeneity:*** *$F(x, \lambda y) = \lambda F(x, y)$ for all $\lambda > 0$, i.e. F is 1-homogeneous of y.*

(iii) ***strong convexity:*** *The $n \times n$ Hessian matrix*

$$(g_{ij}) := ([\frac{1}{2}F^2]_{y^i y^j})$$

is positive-definite at every point of $TM \setminus \{0\}$.

The pair (M, F) is a **Finsler manifold**.

Example 1 ([3]) *Let (M, g) be a Riemannian manifold. Set $F|_{T_xM}(y) = \sqrt{g_x(y, y)}$. Then F is a Finsler norm on M.*

Theorem 1 ([1]) *Let F be a non-negative real-valued function on $\mathbb{R}^n$ with the properties:*

- *F is C^∞ on the punctured space $\mathbb{R}^n \setminus 0$.*

- $F(\lambda y) = \lambda F(y)$ *for all* $\lambda > 0$
- *The* $n \times n$ *matrix* (g_{ij}), *where* $g_{ij}(y) := [\frac{1}{2}F^2]_{y^i y^j}(y)$, *is positive definite at all* $y \neq 0$.

Then we have the following conclusions:

- *(Positivity)* $F(y) > 0$ *where* $y \neq 0$.
- *(Triangle inequality)* $F(y_1 + y_2) \leq F(y_1) + F(y_2)$, *where equality holds if and only if* $y_2 = \alpha y_1$ *or* $y_1 = \alpha y_2$ *for some* $\alpha \geq 0$.
- *(Fundamental inequality)* $w^i F_{y^i}(y) \leq F(w)$ *at all* $y \neq 0$, *and equality holds if and only if* $w = \alpha y$ *for some* $\alpha \geq 0$.

Remark 1

(i) [1] The hypotheses of the above theorem define a ***Minkowski norm*** *on* $\mathbf{R}^n$. *According to this theorem, there is no need to hypothesize that* F *be positive at* $y \neq 0$; *it is necessary so.*

(ii) [1] If the Minskowski norm satisfies $F(-y) = F(y)$, *then one has the absolute homogeneity* $F(\lambda y) = |\lambda| F(y)$.

(iii) [1] In view of the first two conclusions of the above theorem, every absolutely homogeneous Minkowski norm is a norm in the sense of functional analysis.

(iv) [3] For $u, v \in T_xM$ *and* $y \in T_xM \setminus \{0\}$, *we have* $g_y(u,v) = g_{ij}(y)u^i v^j$ *where*

$$g_{ij}(y) = \frac{1}{2}\frac{\partial^2 F^2}{\partial y^i \partial y^j}(y).$$

Hence g_y *is bilinear.*

(v) [6] for all $u, v \in T_xM$ *and* $y \in T_xM \setminus \{0\}$, *we have* $g_y(u,v) = \frac{1}{2}\frac{\partial^2 F^2(y+su+tv)}{\partial s \partial t}\Big|_{t=s=0}$

(vi) [3] Suppose $u, v \in T_xM$, $y \in T_xM \setminus \{0\}$. *Then*

$$\begin{aligned} g_{\lambda y}(u,v) &= g_y(u,v), \ \lambda > 0 \\ g_y(y,u) &= \frac{1}{2}\frac{\partial F^2}{\partial y^i}(y)u^i = \frac{1}{2}\frac{\partial F^2(y+tu)}{\partial t}\Big|_{t=0}, \\ g_y(y,y) &= F^2(y). \end{aligned}$$

(vii) [3] $F(x,y) = 0$ *if and only if* $y = 0$.

1.2 Another definition of Finsler Manifolds

Definition 3 ([5]) *A* C_p ***Banach Manifold*** $\mathcal{M}$ *for* $p \in \mathbb{N} \cup \{\infty\}$ *is an Hausdorff topological space together with a covering by open sets* $(U_i)_{i \in I}$, *a family of Banach vector spaces* $(E_i)_{i \in I}$ *and a family of continuous mappings* $(\varphi_i)_{i \in I}$ *from* U_i *inton* E_i *such that*
(i) for every $i \in I$,

$$\varphi_i : \ U_i \longrightarrow \varphi_i(U_i) \ \text{is an homeomorphism.}$$

(ii) for every pair of indices $i \neq j$ in I,

$$\varphi_j \circ \varphi_i^{-1} : \varphi_i(U_i \cap U_j) \subset E_i \longrightarrow \varphi_j(U_i \cap U_j) \subset E_j$$

is a C^p diffeomorphism.

Definition 4 ([5]) *A Banach manifold $\mathcal{V}$ is called C^p-**Banach Space Bundle** over another Banach manifold $\mathcal{M}$ if there exists a Banach space E, a submersion π from $\mathcal{V}$ to $\mathcal{M}$, a covering $(U_i)_{i\in I}$ of $\mathcal{M}$ and a family of homeomorphism from $\pi^{-1}U_i$ into $U_i \times E$ such that the following diagram commutes*

$$\begin{array}{ccc} \pi^{-1}U_i & \xrightarrow{\tau_i} & U_i \times E \\ & \pi\searrow & \downarrow \rho \\ & & U_i \end{array}$$

where ρ is the canonical projections from $U_i \times E$ onto U_i.
The restriction of τ on each fiber $\mathcal{V}_x := \pi^{-1}(\{x\})$ for $x \in U_i$ realizes a continuous isomorphism onto E_i. Moreover, the map $x \in U_i \cup U_j \to \tau_i \circ \tau_j^{-1}|_{\pi^{-1}(x)} \in \mathcal{L}(E, E)$ is C^p.

A submersion is a differentiable map between differentiable manifolds whose differential is everywhere surjective.

$\mathcal{L}(X, Y)$ is the space of all continuous linear maps from a topological vector X to another topological space Y.

Definition 5 ([5]) *Let $\mathcal{M}$ be a normal Banach manifold and let $\mathcal{V}$ be a Banach Space Bundle over M. A **Finsler structure** on $\mathcal{V}$ is a continuous function $\|\cdot\|_x := \|\cdot\||_{\pi^{-1}(x)}$ is a norm on $\mathcal{V}_x$.*

Definition 6 ([5]) *Let $\mathcal{M}$ be a normal C^p Banach manifold. $T\mathcal{M}$ equipped with a Finsler structure is called a **Finsler Manifold**.*

Remark: A Finsler structure on $T\mathcal{M}$ defines in a canonical way a dual Finsler structure on $T^*\mathcal{M}$.

1.3 Relative definitions

Suppose M is a manifold. Then a **curve** is a smooth mapping $c : (a, b) \to M$ such that $(Dc)_t \neq 0$ for all t [3]. Such a curve has a **canonical lift** $\hat{c} : (a, b) \to TM \setminus \{0\}$ defined as $\hat{c}(t) = (Dc)(t)$, where Dc is the tangent of c. If (M, F) is a Finsler manifold, we define the **length** of c as

$$L(c) = \int_a^b F(\hat{c}(t))dt.$$

The **intrinsic distance** $d(x, y)$ from a point $x \in M$ to a point $y \in M$ is defined by $d(x, y) := \inf\{L(c)|\text{c is a smooth curve from x to y}\}$ [6].

Lemma 1 ([4]) *Let (M,F) be a Finslerian manifold. At every given point $x \in M$, there exists a coordinate neighborhood U containing x and a constant $c_0 > 1$ such that* *(i) for all $y \in T_x M$ and $x \in \bar{U}, F_x(-y) \leq c_0^2 F_x(y)$; and*

(ii) for all $x_1, x_2 \in U, c_0^{-2} d(x_1, x_2) \leq d(x_2, x_1) \leq c_0^2 d(x_1, x_2)$.

A curve c that satisfies $F(\hat{c}(t))$ is called **path-length parameterized**. The next proposition shows that every curve can be path-length parametrized, and the length of an oriented curve does not depend on its parametrization [3].

Proposition 2 ([3]) *Suppose c is a curve on a Finsler manifold (M, F).*

(i) If $\alpha : (a', b') \to (a, b)$ is a diffeomorphism with $\alpha' > 0$, then $\widehat{c(\alpha)} = \alpha' \hat{c}(\alpha)$ and $L(c(\alpha)) = L(c)$.

(ii) There is a diffeomorphism $\alpha : (0, L(c)) \to (a, b)$ such that

$$F(\widehat{c(\alpha)}) = 1.$$

Definition 7 ([3]) *suppose $c : (a, b) \to M$ is a curve. Then a **variation** of c is a continuous mapping $H : [a, b] \times (-\epsilon, \epsilon) \to M$ for some ϵ such that*

(i) H is smooth on $(-\epsilon, \epsilon) \times (a, b)$ and with notation $c_s(\cdot) = H(\cdot, s)$

(ii) $c_0(t) = c(t)$, for all $t \in [a, b]$

(iii) $c_s(a), c_s(b) \in M$ are constants not depending on $s \in (-\epsilon, \epsilon)$.

Definition 8 ([3]) *A curve c in a Finsler manifold is a **geodesic** if L is stationary at x, that is, for any variation (c_s) of c,*

$$\frac{d}{ds} L(c_s)\bigg|_{s=0} = 0.$$

A Finsler manifold (M, F) is said to be **forward geodesically complete** if and only if every geodesic $\sigma : [0, 1] \to M$ parameterized to have constant Finslerian speed can be extended to a geodesic defined on $[0, \infty]$ [4].

Definition 9 ([6]) *Let U be an open subset of a Finsler manifold (M, F). Let νU be the space of smooth vector fields on U and $\nu U^+ \subset \nu U$ be the subset of nowhere vanishing vector fields. For $V \in \nu U^+$ and for all $X, Y, Z \in \nu U$ define a trilinear form $< \cdot, \cdot, \cdot >_V$ by*

$$< X, Y, Z >_V = \frac{1}{4} \frac{\partial^3}{\partial r \partial s \partial t} F^2(V + rX + sY + tZ)|_{r=s=t=0},$$

*called the **Cartan tensor**.*

The Cartan tensor is a non-Riemannian quantity. A Finsler metric reduces to a Riemannian metric if and only if its Cartan tensor vanishes [6].

Definition 10 ([6]) *An **affine connection** ∇^V is a map*

$$\begin{aligned} \nabla^V : \nu U \times \nu U &\to \nu U \\ (X, Y) &\to \nabla^V_X Y \end{aligned}$$

(i) linear in Y (not necessary linear in X)

(ii) $\nabla^V_X (fY) = f \nabla^V_X Y + X(f) U$

(iii) $\nabla^V_{fX} Y = f \nabla^V_X Y$

for all $f \in C^\infty(U)$ and $X, Y \in \nu U$.

1.4 Gradient on a Finslerian Manifold [4]

Let V be a finite-dimensional vector space and V^* the dual space. Given a Minkowski norm F on V, F is a norm in the sense that for any $y, v \in V$ and $\lambda > 0$,

$$F(\lambda y) = \lambda F(y)$$

and

$$F(y+v) \le F(y) + F(v).$$

Define $F^* := \sup_{F(y)=1} \xi(y)$, and F^* is a Minkowski norm on V^*.

Lemma 2 ([4]) *Let F be a Minkowski norm on V and F^* be the dual norm on V^*. For any vector $y \in V \setminus \{0\}$, the covector $\xi = g_y(y, \cdot) \in V^*$ satisfies*

$$F(y) = F^*(\xi) = \frac{\xi(y)}{F(y)}.$$

For any covector $\xi \in V^* \setminus \{0\}$, there exists a unique vector $y \in V \setminus \{0\}$ such that $\xi = g_y(y, \cdot)$.

Given a function $f : M \to \mathbb{R}$ on a manifold M, the differential $df_x \in V^*$ at a point $x \in M$ is defined

$$df_x = \frac{\partial f}{\partial x^i}(x) dx^i,$$

and is a linear functional on T_xM. To connect df_x to a vector $gradf_x \in T_xM$, we need a Minkowski norm on T_xM. Let F be a Finsler metric on M. By definition, F_x is a Minkowski norm on T_xM. Assume that $df_x \neq 0$. Since the indicatrix $S := F^{-1}(1)$ is strongly convex, there is a unique unit vector $s_x \in S_xM := F_x^{-1}(1)$ and a positive number $\lambda_x > 0$ such that

$$W^{\lambda_x} = \{v : df_x(v) = \lambda_x\}$$

is tangent to S_xM at s_x. By Lemma 2,

$$df_x(v) = \lambda_x g_{s_x}(s_x, v)$$

where

$$F_x^*(df_x) = df(s_x) = \lambda_x g_{s_x}(s_x, s_x) = \lambda_x.$$

Define

$$grad\ f_x := \lambda_x s_x = F^*(df_x) s_x.$$

Then we can write

$$df_x(v) = g_{grad\ f_x}(grad\ f_x, v),\ v \in T_xM.$$

Definition 11 ([4]) *Let $f : M \to \mathbb{R}$ be a C^1 function and consider the following set: $[\eta_1 < f < \eta_2] := \{x \in M : \eta_1 < f(x) < \eta_2\}, \infty < \eta_1 < \eta_2 < +\infty$. f is said to have the* ***Kurdyka-Lojasiewicz*** *property at $x \in domain(f)$ if there exist $\eta \in (0, \infty]$, a neighborhood U of $\bar{x}$ and a continuous concave function $\phi : [0, \eta) \to \mathbb{R}_+$ such that:*

(i) $\phi(0) = 0,\ \phi \in C^1(0, \eta)$ *and, for all* $s \in (0, \eta),\ \phi'(s) > 0$*;*

(ii) for all $x \in U \cap [f(\bar{x}) < f < f(\bar{x}) + \eta]$, the Kurdyka-Lojasiewicz inqueality holds

$$\phi'(f(x) - f(\bar{x}))F(grad\ f(x)) \geq 1.$$

We call f a KL function if it satisfies the Kurdyka-Lojasiewicz inequality at each point of domain(f).

Let (M, F) be a Finsler space and $P \subset T_xM$ be a tangent space. For a vector $y \in P \setminus \{0\}$, the number $K(P, y)$ is given by

$$K(P, y) = \frac{g_y(R_y(u), u)}{g_y(y, y)g_y(u, u) - g_y(y, u)} g_y(y, u),$$

where $u \in P$ is such that $P = span\{y, u\}$, is called the **flag curvature** of the flag $(P, y) \in T_xM$ [4].

2 Applications of Finsler Manifolds

2.1 proximal point method on Finslerian Manifolds and the "Effort-Accuracy" Trade off[4]

Let M be a complete Finslerian manifold and let $f : M \to \mathbb{R}$. We will consider the optimization problem

$$\min f(x),\ x \in M.$$

The proximal point algorithm in Finslerian manifold generates, for a starting point $x_0 \in M$, a sequence $\{x_k\} \subset M$ by the iteration

$$x_{k+1} = \arg\min_{z \in M}\{f(z) + \frac{1}{\lambda}C_{xk}(z)\} \tag{1}$$

with $C_{x,k} : M \to \mathbb{R}$ defined by

$$C_{xk}(z) = \frac{1}{2}d^2(x_k, z)$$

where d is the Finslerian distance and λ is a sequence of positive numbers [4].

Definition 12 ([4]) *A Finsler space (M, F) is called a **Hadamard manifold** if it is forward geodesically complete, simply connected with non-positive flag curvature.*

Theorem 2 ([4]) *Let (M, F) be a forward geodesically complete, simply connected FInsler manifold of non-positive flag curvature. Then the exponential map exp_x is a C^1 diffeomorphism from the tangent space T_xM onto the manifold M.*

In the rest of section 2.1, we consider that M is on FInsler Hadamard manifold, $\inf_M f > -\infty$ and for some positive $t_1 < t_2$, $t_1 < \lambda_k < t_2$, for all $k \geq 0$ [4].

Proposition 3 ([4]) *Let $\{x_k\}$ be the sequence concerning (1).Then (x_k) is well defined. Moreover:*

$$f(x_{k+1}) + \frac{1}{2\lambda}d^2(x_k, x_{k+1} \leq f(x_k))$$

and consequently $\sum_{k=0}^{\infty} d^2(x_k, x_{k+1}) < \infty$.

Lemma 3 ([4]) *Let (x_k) be the sequence generated by (1) and $x_{k_0} \in B(\tilde{x}, \rho) \subset U$, where the neighborhood U is given by* ***Lemma*** *1. Then*

$$F(grad\ f(x_{k_0})) \leq c_0^2 t_1^{-1} d(x_{k_0-1, x_{k_0}}),$$

where $c_0 > 1$ and $t_1 < \lambda_{k_0}$.

Lemma 4 ([4]) *Let $\{a_k\}$ be a sequence of positive numbers such that*

$$\sum_{k=1}^{+\infty} \frac{a_k^2}{a_{k-1}} < +\infty.$$

Then $\sum_{k=1}^{+\infty} a_k < +\infty$.

Lemma 5 ([4]) *Let (x_k) be the sequence generated by (1), $f : M \to \mathbb{R}$ a C^1 function, $\tilde{x}$ a accumulation point of (x_k) and f satisfies the Kurdyka-Lojasiewica inequality at $\tilde{x}$. Let $a = \frac{1}{2}t_2$, $b = \frac{c_0^2}{t_1}$ constants and $\rho > 0$ such that $B(\tilde{x}, \rho) \subset U$, where U is given by* ***Lemma*** *1. Then there exists $k_0 \in \mathbb{N}$ such that*

$$f(\tilde{x}) < f(x_k) < f(\tilde{x}) + \eta, \quad k \geq k_0,$$

$$d(\tilde{x}, x_{k_0}) + 2\sqrt{\frac{f(x_{k_0}) - f(\tilde{x})}{a}} + \frac{b}{a}\phi(f(x_{k_0}) - f(\tilde{x})) < \rho.$$

Moreover,

$$\frac{b}{a}[\phi(f(x_{k_0}) - f(\tilde{x})) - \phi(f(x_{k_0+1}) - f(\tilde{x}))] \geq \frac{d^2(x_{k_0}, x_{k_0+1})}{d(x_{k_0-1}, x_{k_0})}.$$

In particular, if $x_k \in B(\tilde{x}, \rho)$ for all $k \geq k_0$, then $\sum_{k=k_0}^{+\infty} d(x_k, x_{k+1}) < \infty$ and thus the sequence (x_k) converges to $\tilde{x}$.

Lemma 6 ([4]) *Let (x_k) be the sequence concerning to (1) and assume that assumptions of* ***Lemma*** *5 hold. Then, there exists a $k_0 \in \mathbb{N}$ such that*

$$x_k \in B(\tilde{x}, \rho), \ \ k > k_0.$$

Theorem 3 ([4]) *Let U, η and $\phi : [0, \eta) \to \mathbb{R}_+$ be the objections appearing in the* ***Definition*** *11. Assume that $x_0 \in M$, $\tilde{x} \in M$ is an accumulation point of the sequence (x^k), $\rho > 0$ is such that $B(\tilde{x}, \rho) \subset U$ and f satisfies the Kurdyka-Lojasiewicz inequality at $\tilde{x}$. Then there exists $k_0 \in \mathbb{N}$ such that*

$$\sum_{k=k_0}^{\infty} d(x_k, x_{k+1}) < +\infty.$$

Moreover, $f(x_k) \to f(\tilde{x})$, as $k \to +\infty$, and the sequence (x_k) converges to $\tilde{x}$ and $\tilde{x}$ is a critical point of f.

Theorem 4 ([4]) *Assume hypotheses of **Lemma** 6. Assume further that (x_k) converges to x^* and that f has the Kurdyka-Lojasiewicz property at x^* with $\phi(s) = cs^{1-\theta}, \theta \in [0,1), c > 0$. Then the following estimations hold:*

(i) If $\theta = 0$ then the sequence (x_k) converges in a finite number of steps;

(ii) If $\theta \in (0, \frac{1}{2}]$ then there exist $b_0 > 0$ and $\zeta \in [0,1)$ such that

$$d(x_k, x^*) \leq b_0 \zeta^k;$$

(iii) If $\theta \in (\frac{1}{2}, 1)$ then there exist $\xi > 0$ such that

$$d(x_k, x^*) \leq \xi k^{-\frac{1-\theta}{2\theta-1}}.$$

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