- COMPLETE REPORT CONSIDER
- OrderID 43698802

SHENG, WEN
320 YORK STREET
NEW HAVEN, CT 06511
TWO SIGMA INVESTMENTS LP
100 AVE OF THE AMERICAS
NEW YORK, NY 10013



PHONE: 888.889.5248 DisputeResolution@sterlingbackcheck.com

*** CONFIDENTIAL BACKGROUND SCREENING REPORT ***

(INFORMATION CONTAINED IN THIS REPORT IS PUBLISHED IN ACCORDANCE WITH FEDERAL AND CT STATE LAWS)

REPORT SUMMARY				
COMPONENT	IDENTIFIERS	STATUS RESULT		
SSN Trace	XXX-XX-4734	Complete UnPerformable		
Unscored Employee Credit Report	Sheng, Wen, 320 York Street	Complete Complete		
County Criminal Record	Sheng, Wen, CT-NEW HAVEN	Complete Clear		
Federal Criminal Record	Sheng, Wen, CT-DISTRICT	Complete Clear		
Federal Civil Search	Sheng, Wen, CT-DISTRICT	Complete Clear		
Locator Select	Sheng, Wen	Complete Complete		
Employment Verification - Int.	Sheng, Wen, University of Waterloo	Complete Consider		
Education Verification	Sheng, Wen, Yale University	Complete Clear		
Education Verification - Int.	Sheng, Wen, Tongji University	Complete Clear		
Office of Foreign Assets Control	Sheng, Wen	Complete Clear		
DOJ Sex Offender Search	Sheng, Wen	Complete Clear		
Extended Global Sanctions	Sheng, Wen	Complete Clear		
County Civil Search - 7 years Upper Level Only	Sheng, Wen, CT-NEW HAVEN	Complete Clear		
Enhanced Nationwide Criminal Search	Sheng, Wen	Complete Clear		

Pursuant to Minn. Stat. Ann. § 332.70(4): The report may include information that has been expunged, sealed, or has otherwise become inaccessible to the public since the date it was collected.

Results pertaining to the subject's potential criminal background results are only included in the report if there is an exact match between the full name and date of birth provided by the subject and the court record. To ensure the integrity of our results, the criminal information reported appears exactly as it is received from each search. However, if the same information is revealed in numerous criminal background searches, it is only listed once in the report to assist the client in interpreting the findings.

As sex offender, office of foreign asset control and federal criminal offender databases may only list names, information is provided only if there is a complete name match between the subject and the entry in the government records.

Department of motor vehicle records are provided only if there is an exact match between the license number provided by the subject and the agency record.

Credit reports are provided by the credit bureau based on 2 matching criteria among name, address and social security number.

To the extent criminal background results are duplicative of findings from non-criminal background checks, such as a sex offender hit, the results are duplicated in the report. Accordingly, a thorough review of the complete report is required to ensure that the company properly recognizes duplicative results.

The purpose of Social Security Trace/Address Locator Report is to locate jurisdictions for purposes of expanding the scope of the criminal background check. The Social Security number provided by the applicant is not checked against the Social Security Administration database generally is not accessible for preemployment screening purposes. In determining whether a number appears to be validly issued, SSN protocols are applied but please note that due to the randomization of the issuance of social security numbers, any conclusion regarding whether the number is valid may not be accurate for recently issued numbers.

This report or portions of this report may have been rated or scored pursuant to criteria provided by the client. The rating is merely to ease the reviewer(s) review of the report and does not indicate that any disqualification decision has been made. Regardless of ratings, absent any statutory provision mandating an employment action, an individualized analysis should be performed.

As it may not be permissible or recommended to use certain information contained in this report for employment decisions, it is advisable to consult with counsel prior to making any adverse hiring decisions. by requesting and accepting this report, client confirms it is acting in compliance with its end user certification.

SSN Trace

Data as Provided

SSN XXX-XX-XXXX SSN XXX-XX-4734

Last Name **Sheng**First Name **Wen**

Middle Name Not Provided

This search information is an investigative tool only and should not be used as the basis of any employment decision.

Reported Data

Report requested on: 4/2/2016 Report completed on: 4/2/2016

No results returned.

Unscored Employee Credit Report

Data as Provided

Last Name Sheng
First Name Wen
Middle Name Not Provided

SSN XXX-XX-XXXX SSN XXX-XX-4734

Street Address 320 York Street

City New Haven

State CT

Zip Code 06511

Verified Data

Report requested on: 4/2/2016 Report completed on: 4/2/2016

Report:

SUBJECT NAME: SHENG, WEN

SOCIAL SECURITY NUMBER: 000-00-4734

CURRENT ADDRESS REPORTED 04/2016: 320 YORK, NEW HAVEN CT. 06511

CREDIT INFORMATION

SPECIAL MESSAGES:

BASED ON THE INFORMATION YOU PROVIDED, OUR BUREAU RECORDS INDICATE NO REPORT

EMPLOYMENT CREDIT REPORT SERVICED BY:

TRANSUNION
2 BALDWIN PLACE, P.O. BOX 1000
CHESTER, PA. 19022
800-888-4213

Consumer disclosures can be obtained online through TransUnion at:

http://www.transunion.com

END OF TRANSUNION REPORT

County Criminal Record

Clear

Data as Provided

Last Name **Sheng**First Name **Wen**

Middle Name Not Provided

SSN XXX-XX-XXXX

SSN XXX-XX-4734

DOB 1/30/XX

Gender UnKnown

Jurisdiction CT-NEW HAVEN (314)

Verified Data

Report requested on: 4/2/2016 Report completed on: 4/2/2016

Federal Criminal Record

Clear

Data as Provided

Last Name Sheng First Name Wen

Middle Name Not Provided

SSN XXX-XX-XXXX

SSN XXX-XX-4734

DOB 1/30/XX

Gender UnKnown

Jurisdiction CT-DISTRICT (3296)

Verified Data

Report requested on: 4/2/2016 Report completed on: 4/2/2016

Federal Civil Search

Clear

Data as Provided

Last Name Sheng

First Name Wen

Middle Name Not Provided

SSN XXX-XX-XXXX

SSN XXX-XX-4734

DOB 1/30/XX

Jurisdiction CT-DISTRICT (3296)

Verified Data

Report requested on: 4/2/2016 Report completed on: 4/3/2016

Locator Select

Data as Provided

Last Name Sheng

First Name Wen

Middle Name Not Provided

SSN XXX-XX-XXXX

SSN XXX-XX-4734

DOB 1/30/XX

State CT

Zip Code **06511**

Verified Data

Report requested on: 4/2/2016 Report completed on: 4/2/2016

If the Search revealed any potential reportable information that was not up to date, primary source searches were initiated to ensure only up to date information is reported. Any information from such primary source searches is located elsewhere in this report.

Employment Verification - Int.

Consider

Provided Data

Name: Wen Sheng SSN: XXX-XX-4734

Start Date: 6/8/2014
End Date: 9/8/2014
Starting Salary: Not Provided
Ending Salary: CAD 1500

Verified Data

Report requested on: 4/2/2016 Report completed on: 4/7/2016

Employer Name: University of Waterloo

Start Date: 6/8/2014 End Date: 9/8/2014 Starting Salary: Unpaid

Ending Salary: Unpaid



Position: Research Assistent

Type of Employment: Standard

Position: Visiting Scholars / Student Employee

Type of Employment: Standard

Expand - Additional Information

Last Name: Sheng
First Name: Wen
SSN: Wen

Employer Name: University of Waterloo

Type of Employment: **Standard**Current Employer: **No**Contact This Employer: **Yes**

Phone: 1(519) 888-4567

Country: Canada

Street Address: 200 University Avenue West

City: **Waterloo** Province/Region: **Ontario** Postal Code: **(519) 888-4567**

Supervisors Name: Lin Tan
Start Date: 6/8/2014
End Date: 9/8/2014

Ending Salary: CAD 1500

Position: Research Ass

Position: Research Assistent
Country Juris: CAN-Canada (3905)

Contact Last Name: **D.**Contact First Name: **Divva**

Contact Title: Human Resources
Contact Phone: 519-888-4567
Type of Employment Standard
Start Date: 6/8/2014
End Date: 9/8/2014

Ending Salary: **Unpaid**Position: **Visiting Scholars /**

Student Employee

Current Employer: No

Is subject eligible for rehire: Would not specify What were the subject's duties: Would not specify

Starting Salary: Unpaid

What were the subject's reasons for leaving: Would not specify How would you rate the subject's performance: Would not specify

Rate how they followed company safety guidelines: Would not specify

Rate their attendance: Would not specify

Rate their ability to get along with others: Would not specify

Rate their attitude: Would not specify Rate their honesty: Would not specify

Comments:

Employer did not disclose further information.

Education Verification Clear

Provided Data

Name: Wen Sheng SSN: XXX-XX-4734

School: Yale University
Start Date: 9/1/2015
End Date: Not Provided
Major: Computer Science

Type of Degree: Master's
Course Format: On-Campus

Verified Data

Report requested on: 4/2/2016 Report completed on: 4/5/2016

School: Yale University
Start Date: 9/1/2015
End Date: 4/1/2016

Major: Computer Science
Degree Type: No degree awarded

Expand - Additional Information

Last Name: Sheng
First Name: Wen
Attendance Name: Wen Sheng
SSN: XXX-XX-4734

Country: United States
Institution Type: College/University
School Name: Yale University
City: New Haven

State: **CT**Zip Code: **06511**Start Date: **9/1/2015**

Major: Computer Science

Degree Type: Master's

Completed: In Progress

Degree/Diploma/Certificate: Master's

Course Format: On-Campus

Notes

Expected Graduation Date - Month/Day/Year: 5/15/2016

Contact First Name: National Student Clearinghouse
Contact Title: Online Verification Service

Institution Type College/University
School: Yale University
Start Date: 9/1/2015
End Date: 4/1/2016

Major: Computer Science
Degree: No degree awarded
Degree Type No degree awarded

Education Verification - Int.

Clear

Provided Data

Name: Wen Sheng SSN: XXX-XX-4734

School: Tongji University

Start Date: 9/1/2011 End Date: 6/1/2015

Major: Software Engineering

Type of Degree: Bachelor's Course Format: On-Campus

Verified Data

Report requested on: 4/2/2016 Report completed on: 4/7/2016

School: Tongji University

Start Date: 9/1/2011 End Date: 6/1/2015

Major: Software Engineering

Degree Type: Bachelor's

Expand - Additional Information

Last Name: Sheng First Name: Wen Attendance Name: Wen Sheng

SSN: XXX-XX-4734

Institution Type: College/University School Name: Tongji University

Country: China Province/Region: Shanghai City: Shanghai Start Date: 9/1/2011

End Date: 6/1/2015

Major: Software Engineering

Degree/Diploma/Certificate: Bachelor's

Degree Type: Bachelor's Completed: Yes Course Format: On-Campus

Country Juris: CHN-China (3911)

Contact First Name: China Ministry Of Education

Contact Title: Student Record Institution Type College/University School: Tongji University

> Start Date: 9/1/2011 End Date: 6/1/2015

> > Major: Software Engineering Degree: Bachelor Of Engineering

Degree Type Bachelor's

Office of Foreign Assets Control

Clear

Data as Provided

Last Name Sheng First Name Wen Middle Name Not Provided DOB 1/30/XX SSN XXX-XX-XXXX

SSN XXX-XX-4734

Verified Data

Report requested on: 4/2/2016 Report completed on: 4/2/2016

DOJ Sex Offender Search

Clear

Data as Provided

Last Name Sheng First Name Wen Middle Name Not Provided

SSN XXX-XX-XXXX SSN XXX-XX-4734

DOB 1/30/XX Gender UnKnown

Verified Data

Report completed on: 4/4/2016 Report requested on: 4/2/2016

Case Comments: LIMITATIONS ON THE DOJ 50 STATE SEX OFFENDER SEARCH

1. NEVADA: THIS SEARCH DOES NOT PROVIDE RESULTS FROM THE STATE OF NEVADA, AS BY BOTH STATUTE AND REGULATION, INFORMATION FROM THE NEVADA SEX OFFENDER REGISTRY WEB SITE CANNOT BE USED FOR EMPLOYMENT PURPOSES AND CANNOT BE DISTRIBUTED COMMERCIALLY. 2. OREGON: THIS SEARCH HAS SPECIAL CONDITIONS FROM THE STATE OF OREGON. INFORMATION IS ONLY PROVIDED FOR SEX OFFENDERS WHO HAVE BEEN DESIGNATED AS PREDATORY, AS PROVIDED IN ORS 181.585, WHO HAVE BEEN DETERMINED TO PRESENT THE HIGHEST RISK OF REOFFENDING AND TO REQUIRE THE WIDEST RANGE OF NOTIFICATION OR ARE FOUND TO BE A SEXUALLY VIOLENT DANGEROUS OFFENDER UNDER ORS 144.635.

Extended Global Sanctions Clear Data as Provided		
First Name Wen		
Middle Name Not Provided		
DOB 1/30/XX		
Verified Data		
	Report requested on: 4/2/2016 Report completed on: 4/4/2016	

County Civil Search - 7 years Upper Level Only Clear			
	Clear		
Data as Provided			
Last Name Sheng			
First Name Wen			
Middle Name Not Provided			
SSN XXX-XX-XXXX			
SSN XXX-XX-4734			
DOB 1/30/XX			
Jurisdiction CT-NEW HAVEN (314)			
Verified Data			
Report requested on: 4/2/201	6 Report completed on: 4/3/2016		

Enhanced Nationwide Criminal Search			
Complete			
Data as Provided			
Last Name Sheng			
First Name Wen			
Middle Name Not Provided			
SSN XXX-XX-XXXX			

SSN XXX-XX-4734

DOP 1/20/VV

DOB 1/30/XX

Gender UnKnown

Verified Data

Report requested on: 4/2/2016 Report completed on: 4/2/2016

The provided applicant demographics have been searched against the MSMJ database. Reportable/verified information where found is reported under the source jurisdiction and labeled as originating from this data.

Para informacion en espanol, visite <u>www.consumerfinance.gov/learnmore</u> o escribe a la Consumer Financial Protection Bureau, 1700 G Street N.W., Washington, DC 20006.

A Summary of Your Rights Under the Fair Credit Reporting Act

The federal Fair Credit Reporting Act (FCRA) promotes the accuracy, fairness, and privacy of information in the files of consumer reporting agencies. There are many types of consumer reporting agencies, including credit bureaus and specialty agencies (such as agencies that sell information about check writing histories, medical records, and rental history records). Here is a summary of your major rights under the FCRA. For more information, including information about additional rights, go to www.consumerfinance.gov/learnmore or write to: Consumer Financial Protection Bureau, 1700 G Street N.W., Washington, DC 20006.

- You must be told if information in your file has been used against you. Anyone who uses a credit report or another type of consumer report to deny your application for credit, insurance, or employment or to take another adverse action against you must tell you, and must give you the name, address, and phone number of the agency that provided the information.
- You have the right to know what is in your file. You may request and obtain all the information about you in the files of a consumer reporting agency (your "file disclosure"). You will be required to provide proper identification, which may include your Social Security number. In many cases, the disclosure will be free. You are entitled to a free file disclosure if:
- a person has taken adverse action against you because of information in your creditreport;
- you are the victim of identify theft and place a fraud alert in your file;
- your file contains inaccurate information as a result of fraud;
- you are on public assistance;
- you are unemployed but expect to apply for employment within 60 days.

In addition, all consumers will be entitled to one free disclosure every 12 months upon request from each nationwide credit bureau and from nationwide specialty consumer reporting agencies. See www.consumerfinance.gov/learnmore for additional information.

• You have the right to ask for a credit score. Credit scores are numerical summaries of your credit-worthiness based on information from credit bureaus. You may request a credit score from consumer reporting agencies that create scores or distribute scores used in residential real property loans, but you will have to pay for it. In some mortgage

transactions, you will receive credit score information for free from the mortgage lender.

- You have the right to dispute incomplete or inaccurate information. If you identify information in your file that is incomplete or inaccurate, and report it to the consumer reporting agency, the agency must investigate unless your dispute is frivolous. See www.consumerfinance.gov/learnmore for an explanation of dispute procedures.
- Consumer reporting agencies must correct or delete inaccurate, incomplete, or unverifiable information. Inaccurate, incomplete or unverifiable information must be removed or corrected, usually within 30 days. However, a consumer reporting agency may continue to report information it has verified as accurate.
- Consumer reporting agencies may not report outdated negative information. In most cases, a consumer reporting agency may not report negative information that is more than seven years old, or bankruptcies that are more than 10 years old.
- Access to your file is limited. A consumer reporting agency may provide information about you only to people with a valid need -- usually to consider an application with a creditor, insurer, employer, landlord, or other business. The FCRA specifies those with a valid need for access.
- You must give your consent for reports to be provided to employers. A consumer reporting agency may not give out information about you to your employer, or a potential
 employer, without your written consent given to the employer. Written consent generally is not required in the trucking industry. For more information, go to
 www.consumerfinance.gov/learnmore.
- You may limit "prescreened" offers of credit and insurance you get based on information in your credit report. Unsolicited "prescreened" offers for credit and insurance must include a toll-free phone number you can call if you choose to remove your name and address from the lists these offers are based on. You may opt-out with the nationwide credit bureaus at 1-888-567-8688.
- You may seek damages from violators. If a consumer reporting agency, or, in some cases, a user of consumer reports or a furnisher of information to a consumer reporting agency violates the FCRA, you may be able to sue in state or federal court.
- · Identity theft victims and active duty military personnel have additional rights. For more information, visit www.consumerfinance.gov/learnmore.

States may enforce the FCRA, and many states have their own consumer reporting laws. In some cases, you may have more rights under state law. For more information, contact your state or local consumer protection agency or your state Attorney General. For information about your federal rights, contact:

TYPE OF BUSINESS:	CONTACT:
1.a. Banks, savings associations, and credit unions with total assets of over \$10 billion and their affiliates	a. Bureau of Consumer Financial Protection 1700 G Street NW Washington, DC 20006
b. Such affiliates that are not banks, savings associations, or credit unions also should list, in addition to the Bureau:	b. Federal Trade Commission: Consumer Response Center ??? FCRA Washington, DC 20580 (877) 382-4357
2. To the extent not included in item 1 above:	
National banks, federal savings associations, and federal branches and federal agencies of foreign banks	a. Office of the Comptroller of the Currency Customer Assistance Group 1301 McKinney Street, Suite 3450 Houston, TX 77010-9050
b. State member banks, branches and agencies of foreign banks (other than federal branches, federal agencies, and insured state branches of foreign banks), commercial lending companies owned or controlled by foreign banks, and organizations operating under section 25 or 25A of the Federal Reserve Act	b. Federal Reserve Consumer Help Center P.O. Box 1200 Minneapolis, MN 55480
c. Nonmember Insured Banks, Insured State Branches of Foreign Banks, and insured state savings associations	c. FDIC Consumer Response Center 1100 Walnut Street, Box #11 Kansas City, MO 64106
d. Federal Credit Unions	d. National Credit Union Administration Office of Consumer Protection (OCP) Division of Consumer Compliance and Outreach (DCCO) 1775 Duke Street Alexandria, VA 22314
3. Air carriers	Asst. General Counsel for Aviation Enforcement & Proceedings Department of Transportation 1200 New Jersey Avenue, SE Washington, DC 20590
4. Creditors Subject to Surface Transportation Board	Office of Proceedings, Surface Transportation Board Department of Transportation 395 E Street SW Washington, DC 20423
5. Creditors Subject to Packers and Stockyards Act	Nearest Packers and Stockyards Administration area supervisor
6. Small Business Investment Companies	Associate Deputy Administrator for Capital Access United States Small Business Administration 409 Third Street, SW, 8th Floor Washington, DC 20416
7. Brokers and Dealers	Securities and Exchange Commission 100 F St NE Washington, DC 20549
8. Federal Land Banks, Federal Land Bank Associations, Federal Intermediate Credit Banks, and Production Credit Associations	Farm Credit Administration 1501 Farm Credit Drive Mclean, VA 22102-5090
9. Retailers, Finance Companies, and All Other Creditors Not Listed Above	FTC Regional Office for region in which the creditor operates or Federal Trade Commission: Consumer Response Center ??? FCRA Washington, DC 20580 (877) 382-4357