

微纳光电子材料与器件工艺原理

Photolithography 光刻 Part I: Optics

Xing Sheng 盛 兴

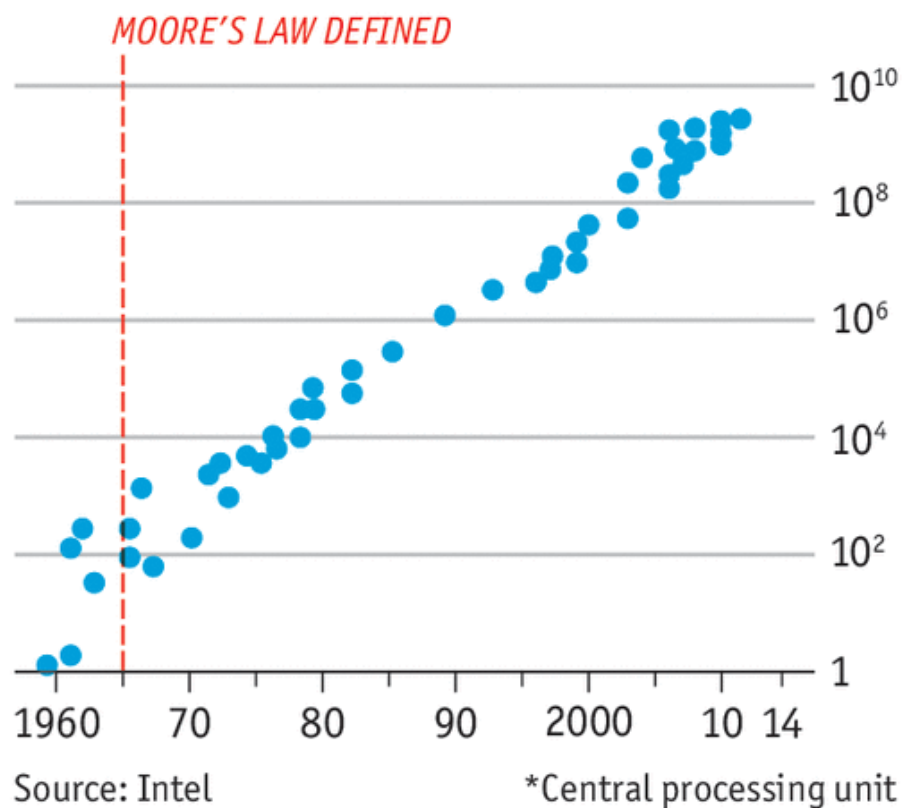


Department of Electronic Engineering
Tsinghua University

xingsheng@tsinghua.edu.cn

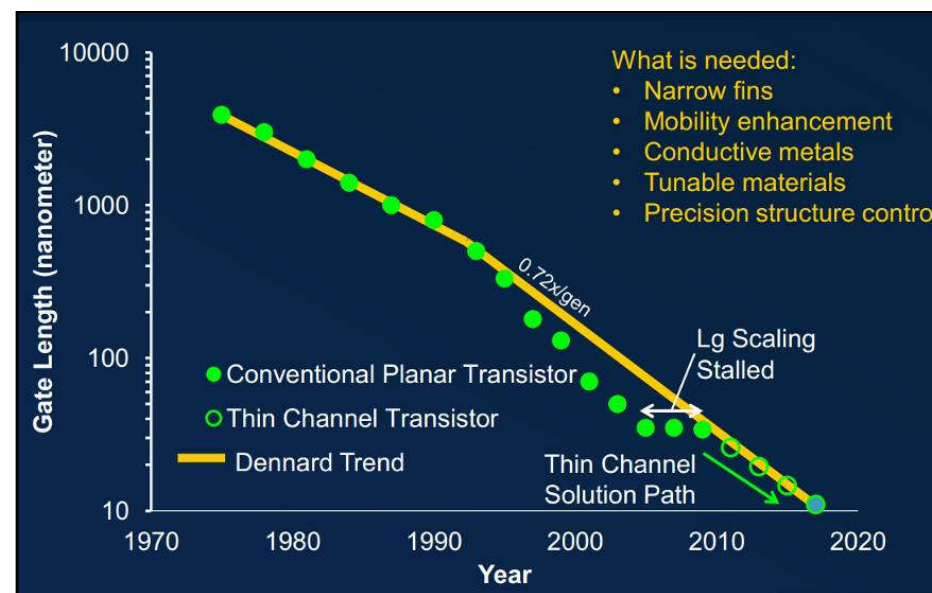
Integrate Circuits

■ Moore's law



Economist.com

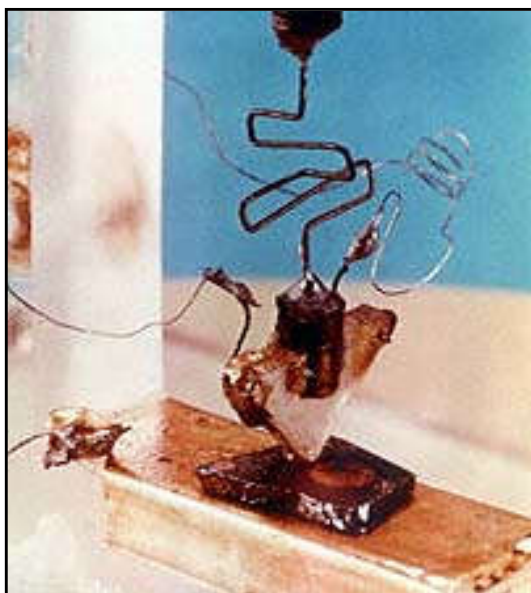
transistor number



transistor size

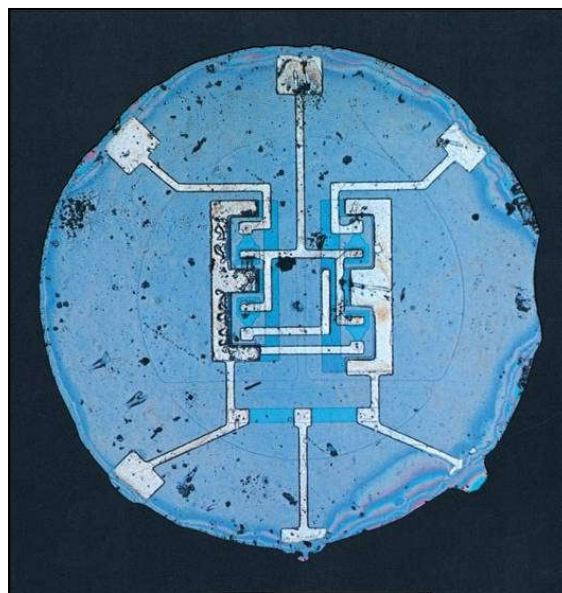
Transistor size

1947



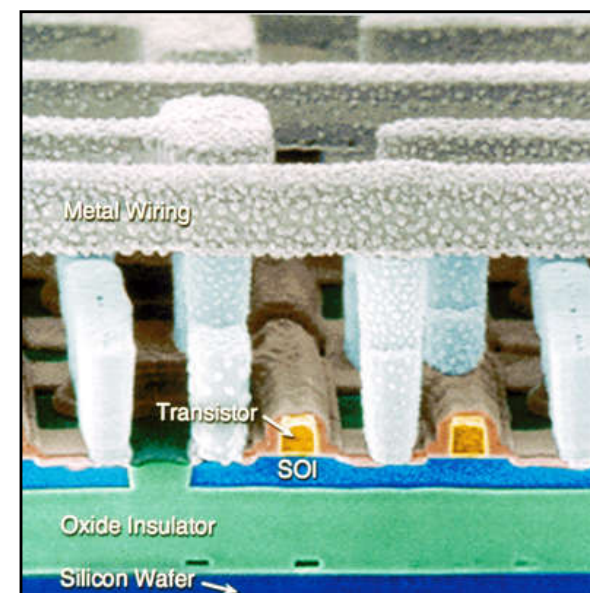
1 cm

1961



~ 2 mm

2000s



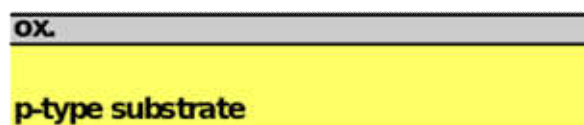
< 100 nm

revolution

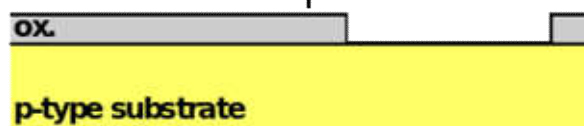
evolution

CMOS Process

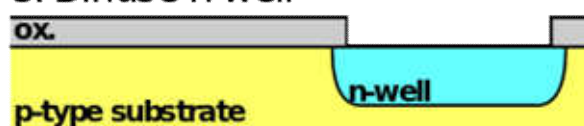
1. Grow field oxide



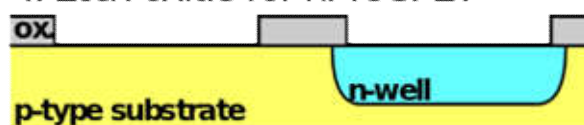
2. Etch oxide for pMOSFET



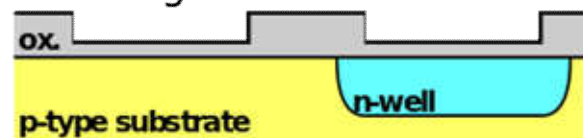
3. Diffuse n-well



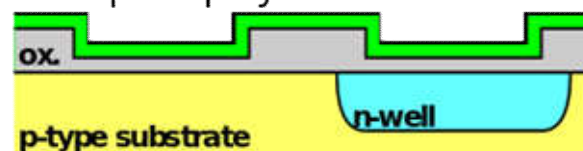
4. Etch oxide for nMOSFET



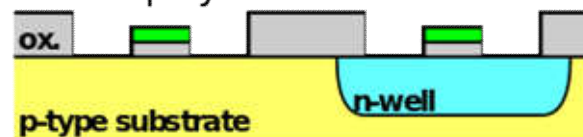
5. Grow gate oxide



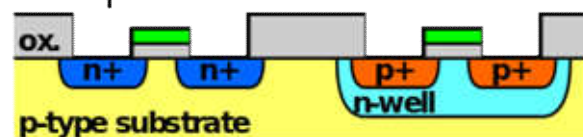
6. Deposit polysilicon



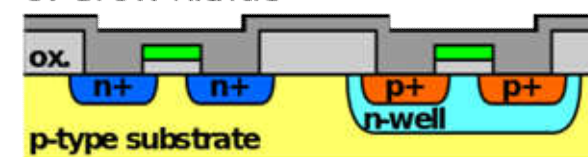
7. Etch polysilicon and oxide



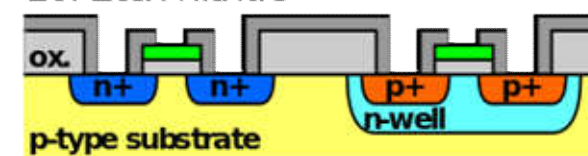
8. Implant sources and drains



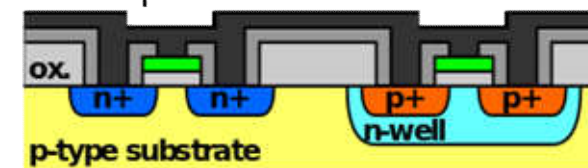
9. Grow nitride



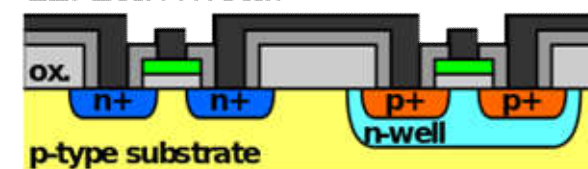
10. Etch nitride



11. Deposit metal



12. Etch metal



'Lithography is the cornerstone of modern IC technology'

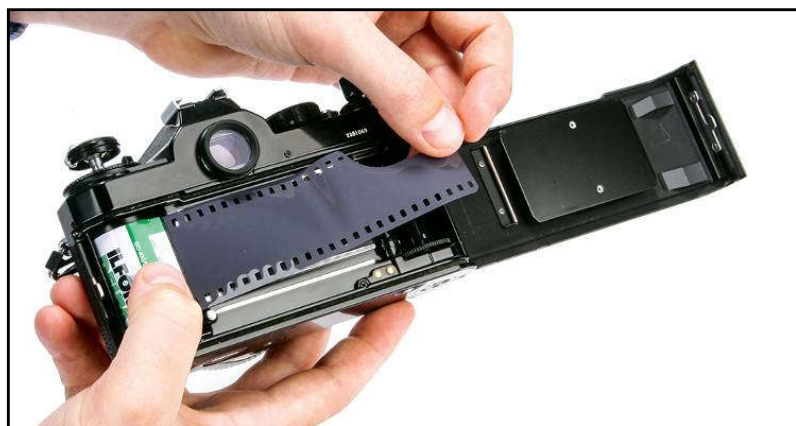
---- Silicon VLSI, Plummer et al., 7

Lithography

litho- 石头
-graph 图案

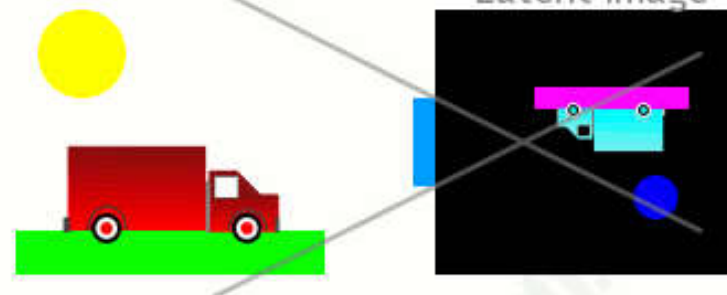


Photography



曝光

1. Exposure



显影

2. Developing



1. Developer



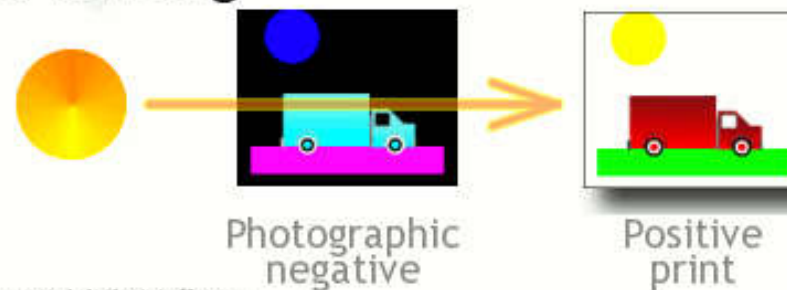
2. Stop bath



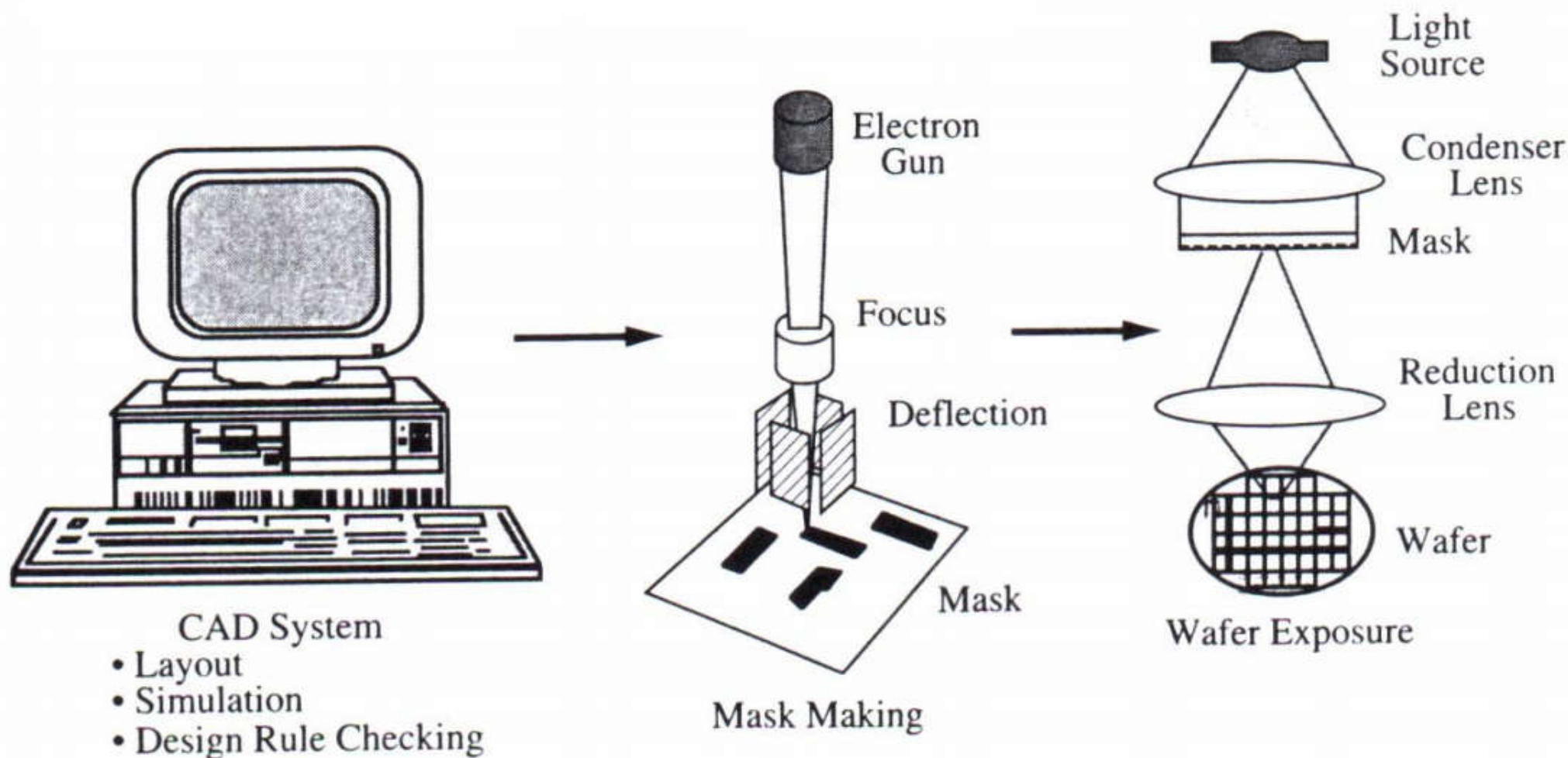
3. Hypo

打印

3. Printing



Photolithography (光刻)



Photolithography (光刻)

[Video](#)

光刻胶

Photoresist

Si Substrate

SiO₂

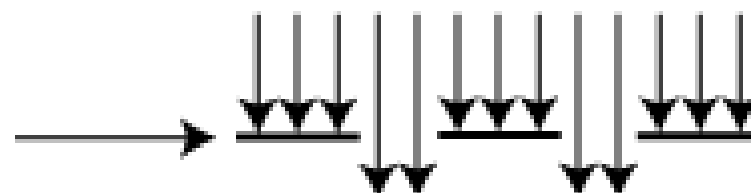
Coating

光源

 $h\nu$

掩膜

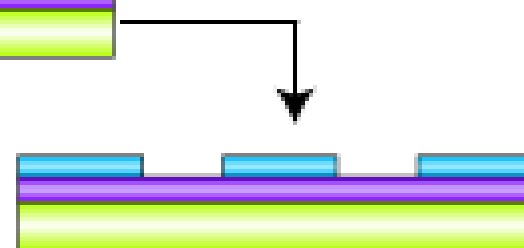
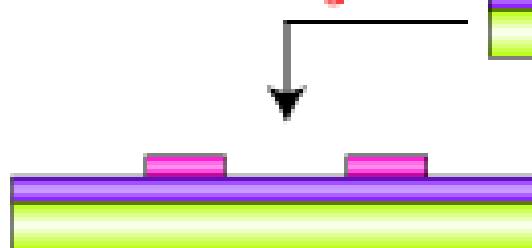
Mask



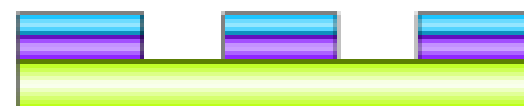
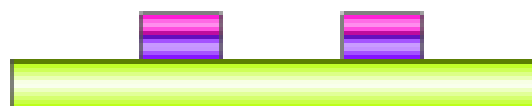
Exposure

Negative

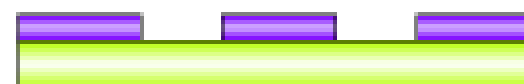
Positive



Aqueous Base Development



Transfer



Strip

Exposure (曝光)

接触式

接近式

投影式

1:1 Exposure Systems

Usually 4X or 5X Reduction

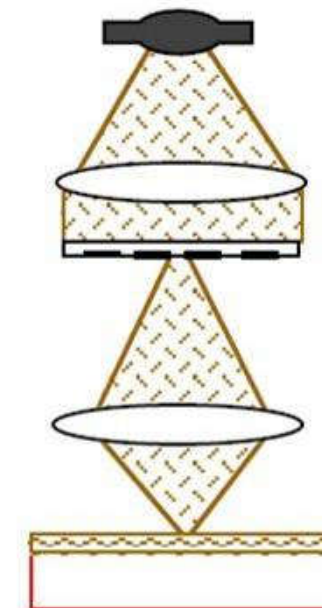
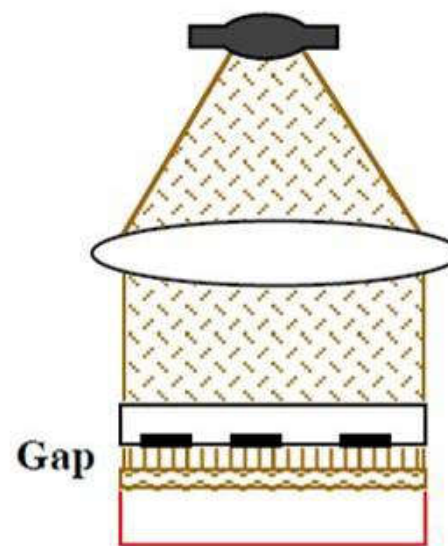
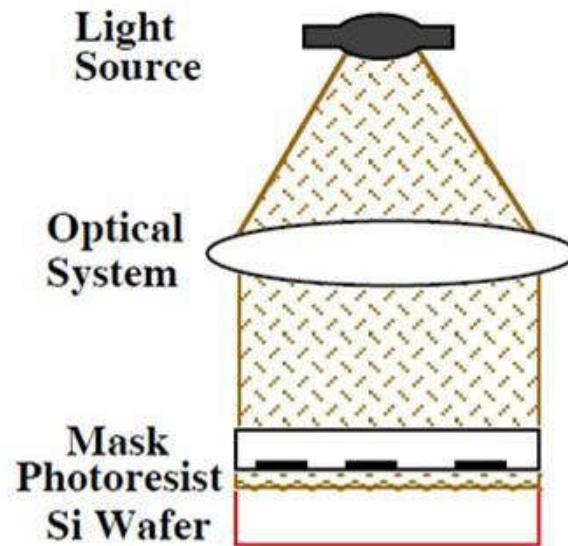


Figure 5.3 Contact Printing

Proximity Printing

Projection Printing

High resolution. But mask wear, defect generation.

Less mask wear /contamination, less resolution (depend on gap).

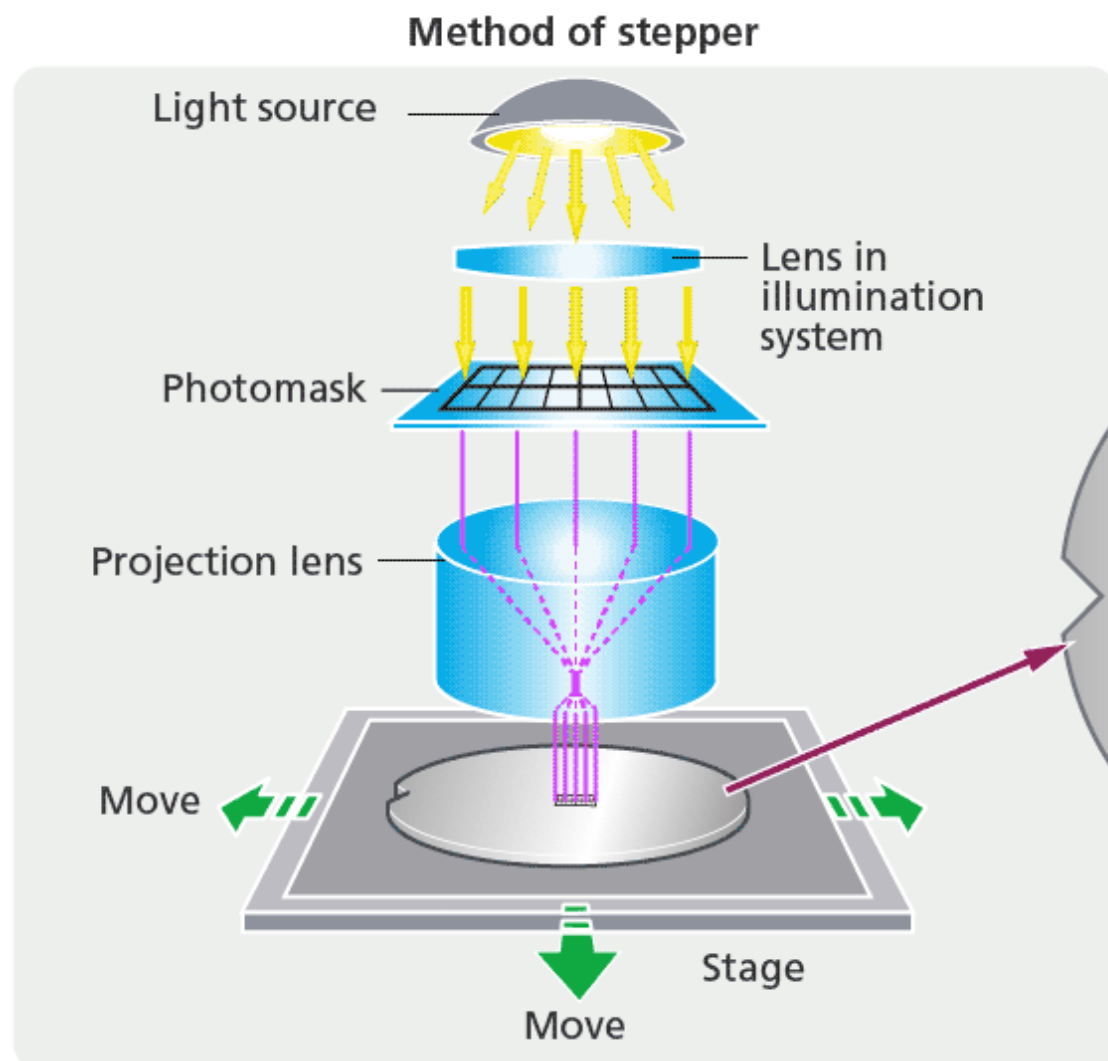
No mask wear/contamination, mask de-magnified 4× (resist features 4× smaller than mask).
Very expensive, mainly used for IC industry.

Fast, simple and inexpensive, choice for R&D.

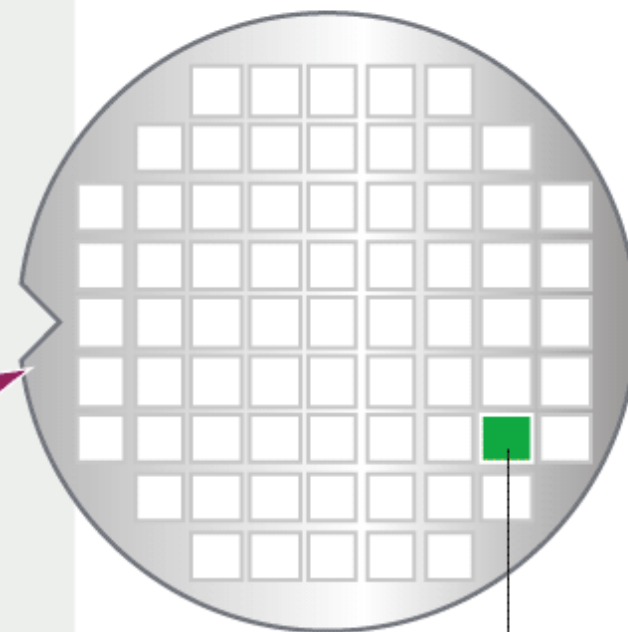
Exposure (曝光)

stepper (步进投影)

[Video](#)

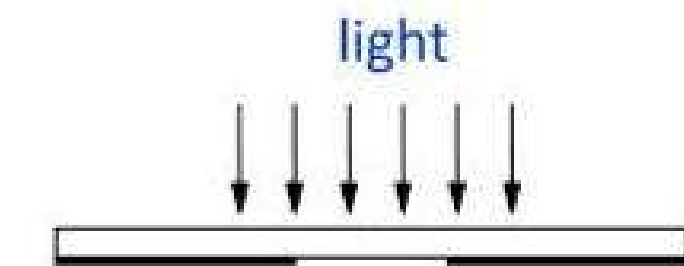


*mass chip production
in industry*



Area which can be
exposed at once

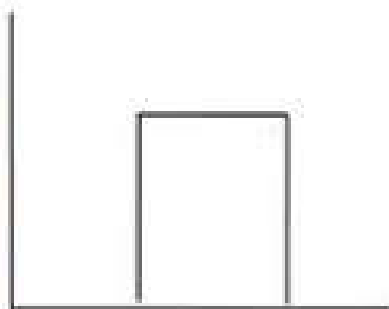
Resolution



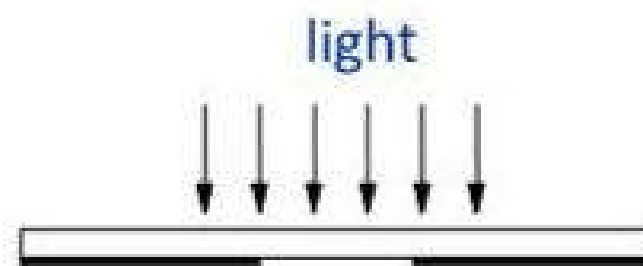
mask



Ideal light
intensity



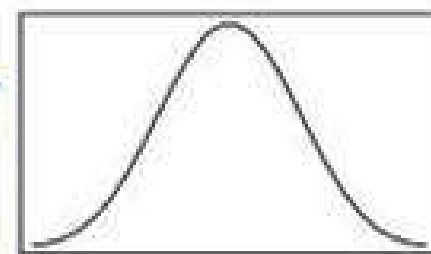
Sharp dose profile

ideal case

lens



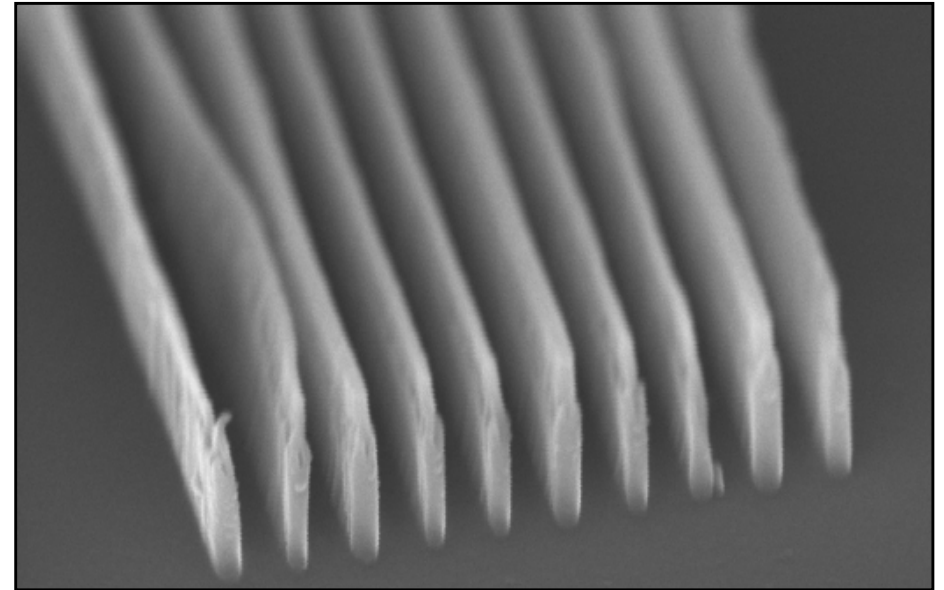
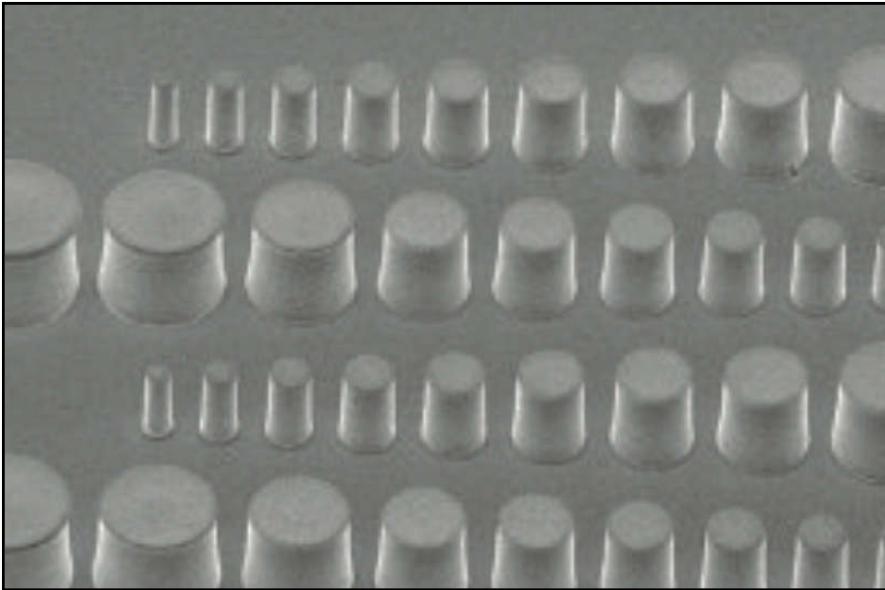
Actual light
intensity



"blurred" dose profile

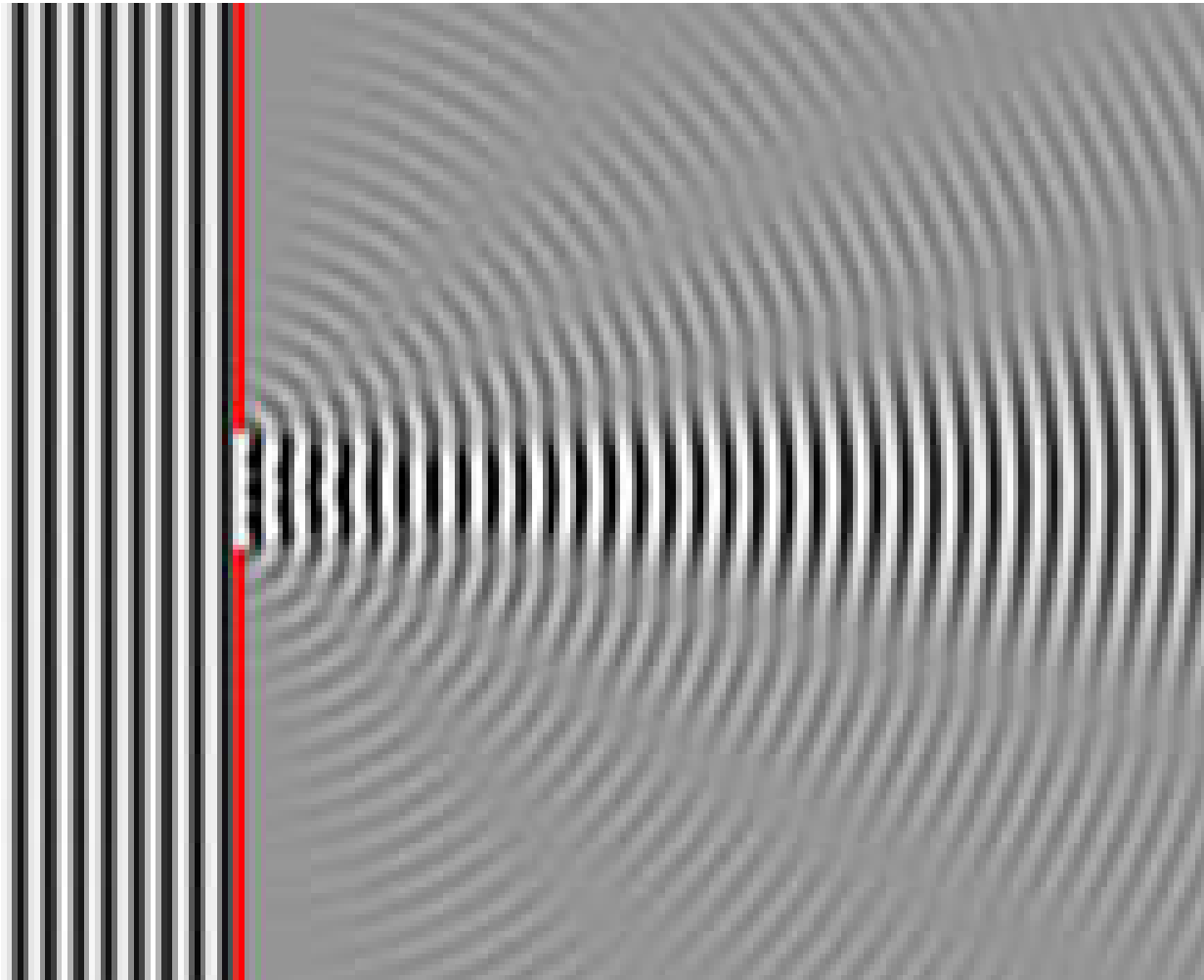
actual case

Resolution



the smaller, the harder

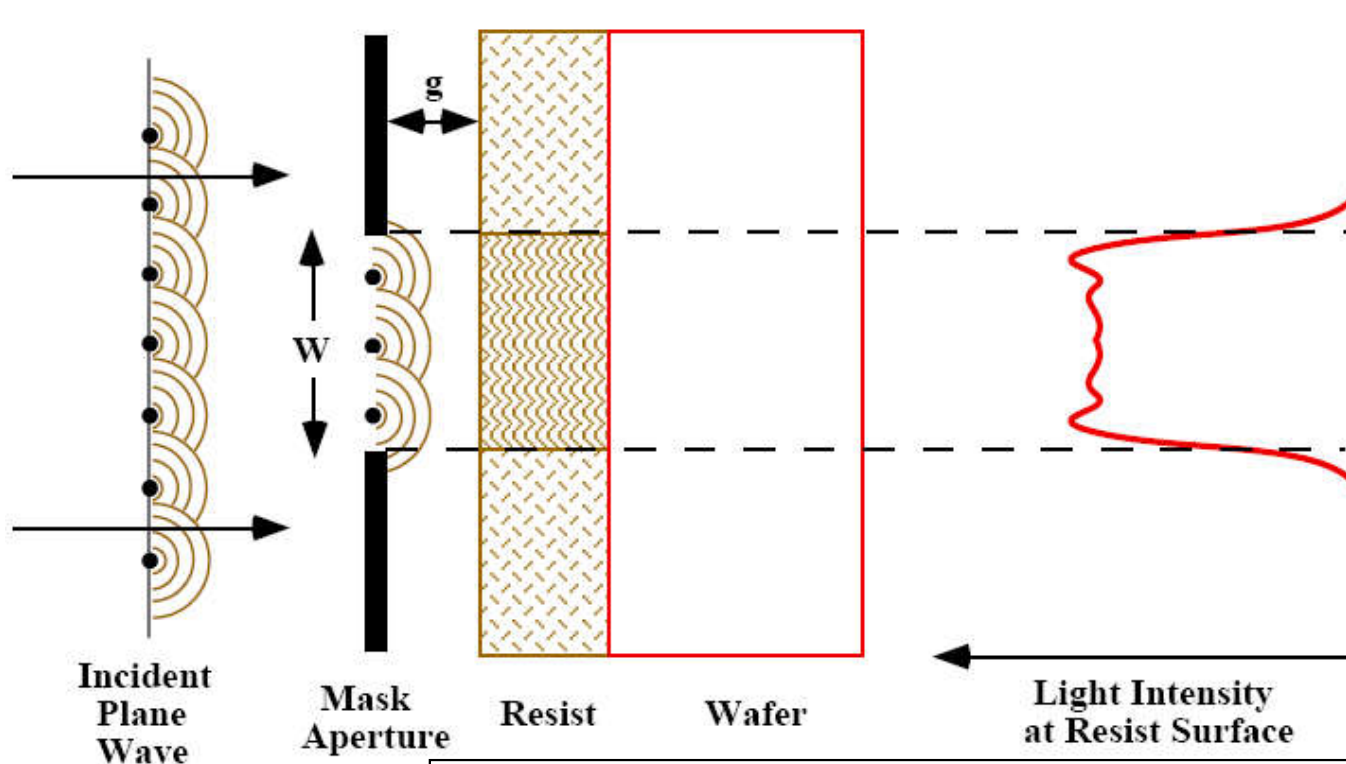
Resolution



diffraction: light is a wave!

Resolution

contact and proximity mode



R resolution
 λ wavelength
 g gap size

$$R \sim \sqrt{\lambda \cdot g}$$

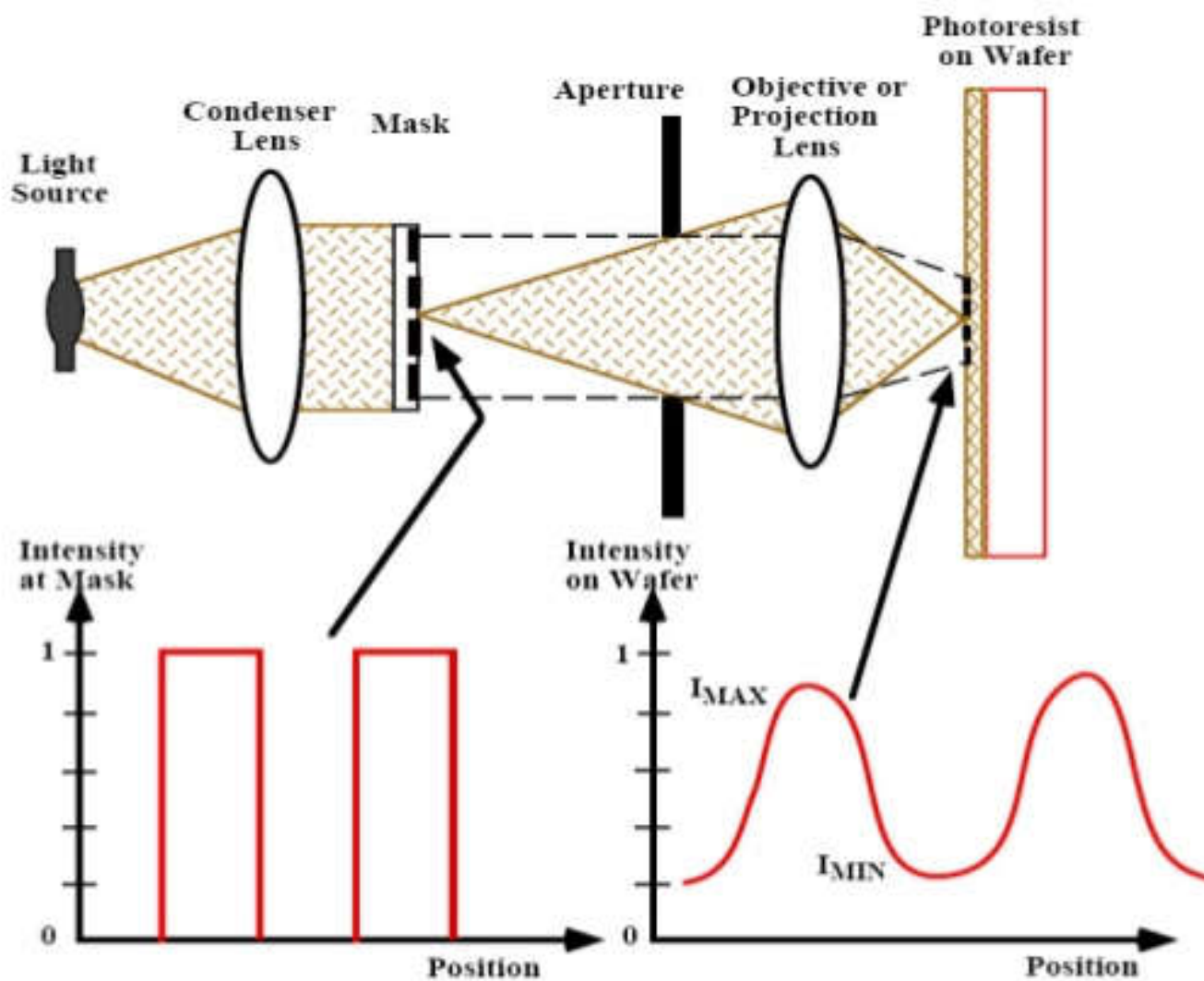
smaller λ, g ---> smaller R

λ UV, DUV, EUV, x-ray, ...

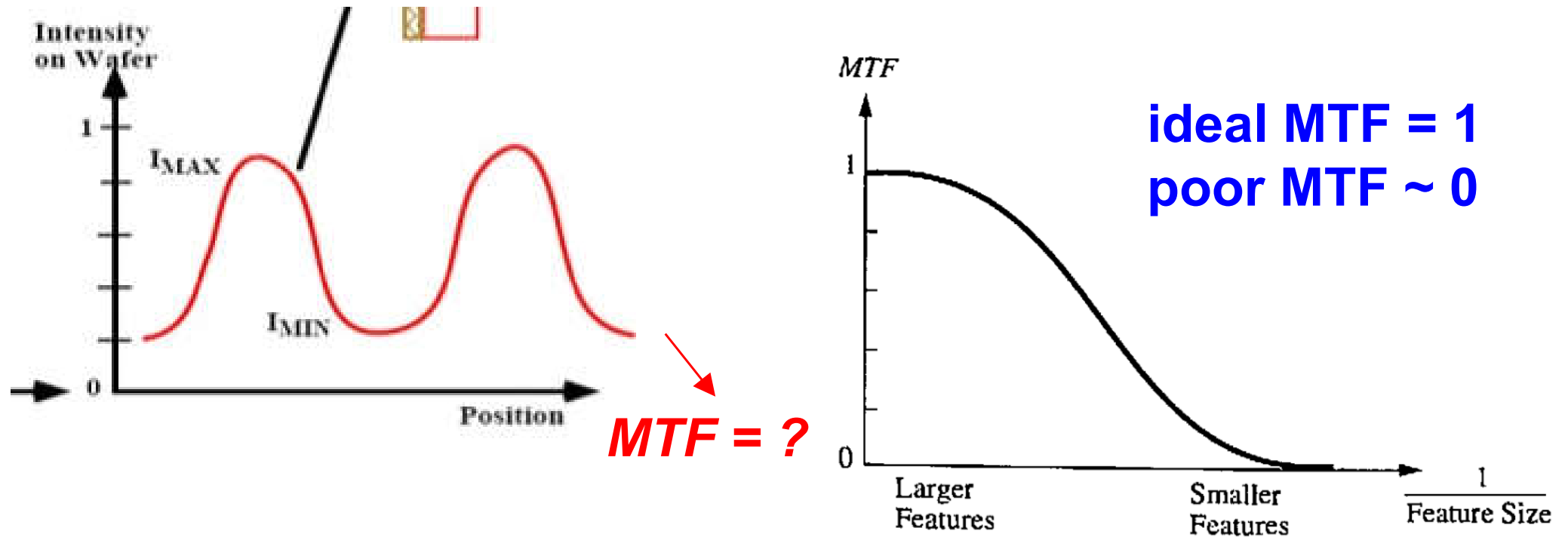
g minimum: resist film thickness

Resolution

projection mode



Resolution



modulation transfer function (MTF)

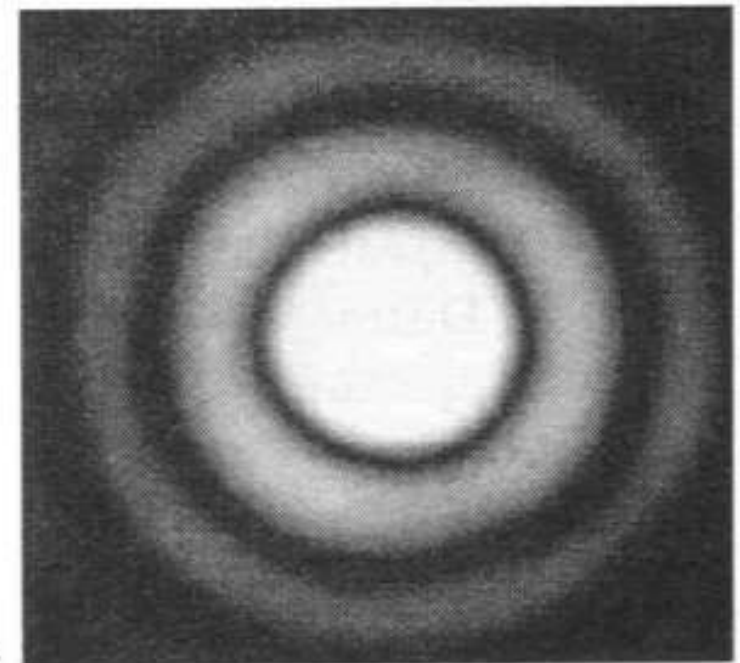
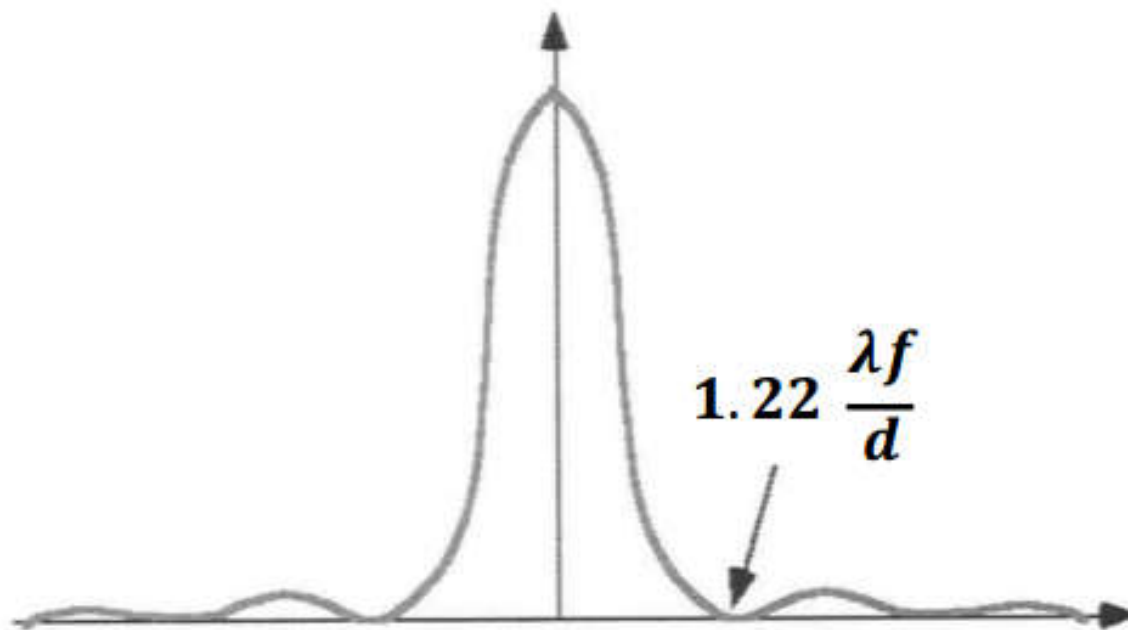
$$MTF = \frac{I_{\max} - I_{\min}}{I_{\max} + I_{\min}}$$

Resolution

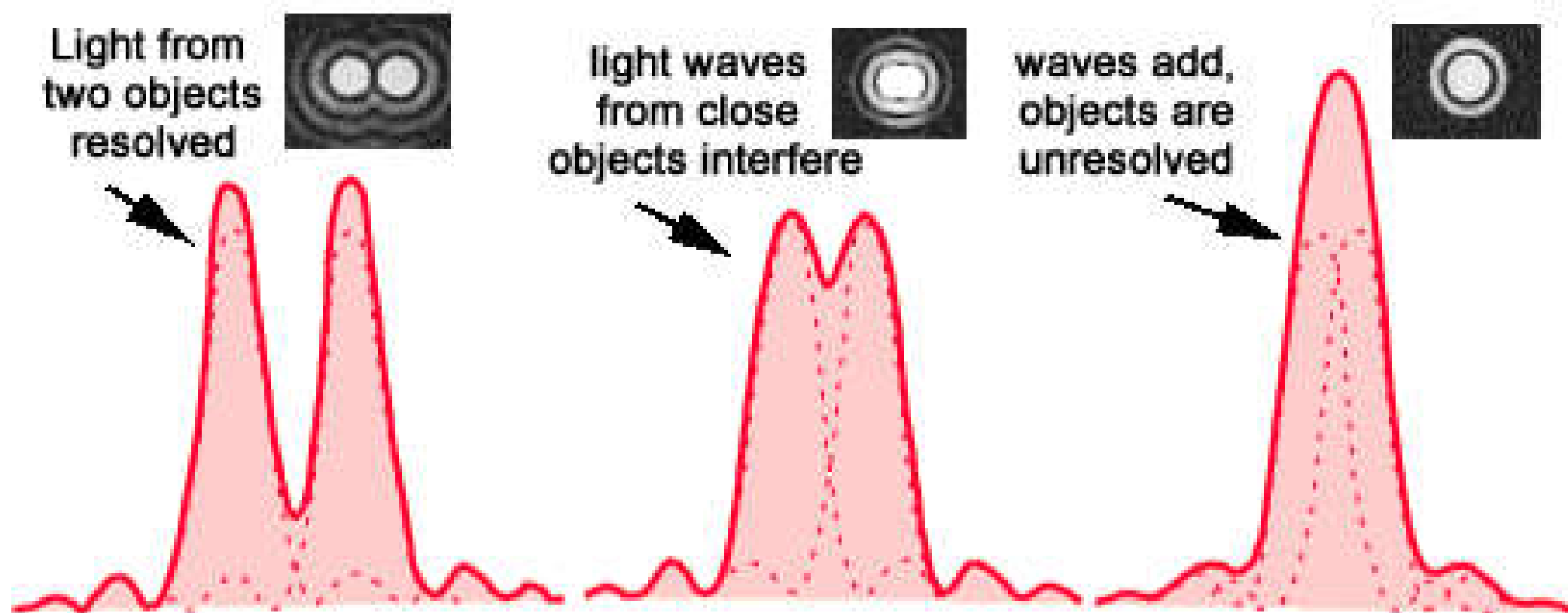
diffraction pattern (Airy's disk)

f = focal distance

d = lens diameter



Resolution



Resolution

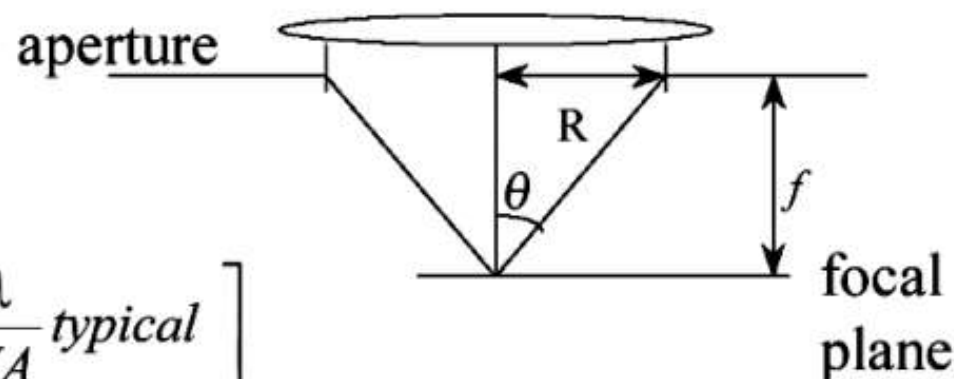
resolution

$$l_m = k_1 \frac{\lambda}{NA} \quad \left[0.6 \frac{\lambda}{NA} \text{ typical} \right]$$

$NA \equiv$ numerical aperture of lens.

$= n \cdot \sin \theta$, where n is the index of refraction

k_1 = a constant between 0.25 and 1, depending on optics, resist, and process latitude



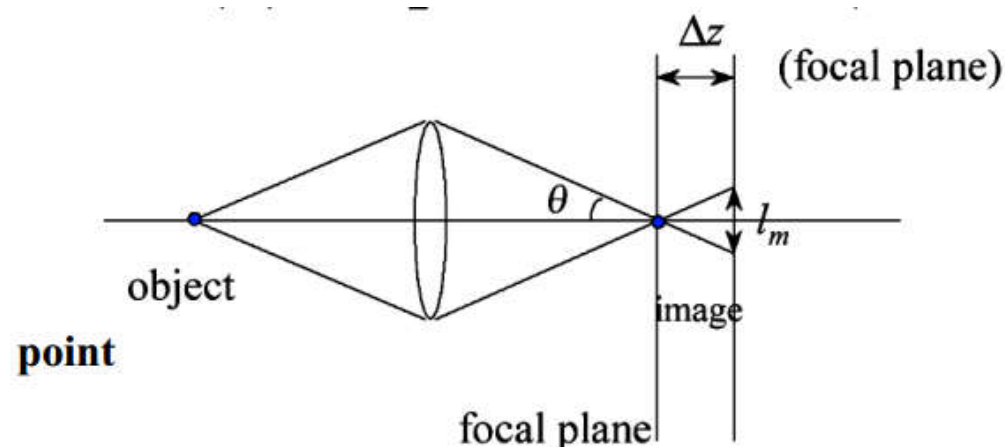
smaller λ , larger NA ---> smaller resolution

λ UV, DUV, EUV, x-ray, ...

n refractive index (air: 1, oil: 1.4~1.7)

$\sin \theta$ maximum = 1.0

Depth of Focus (DOF)



$$\Delta z = k_2 \frac{\lambda}{(NA)^2}$$

$$0.5 < k_2 < 1$$

$$\approx \frac{\pm l_m/2}{\tan \theta} \approx \frac{\pm l_m/2}{\sin \theta} = \pm \frac{\lambda}{2(NA)^2}$$

for small θ

off focus

on focus



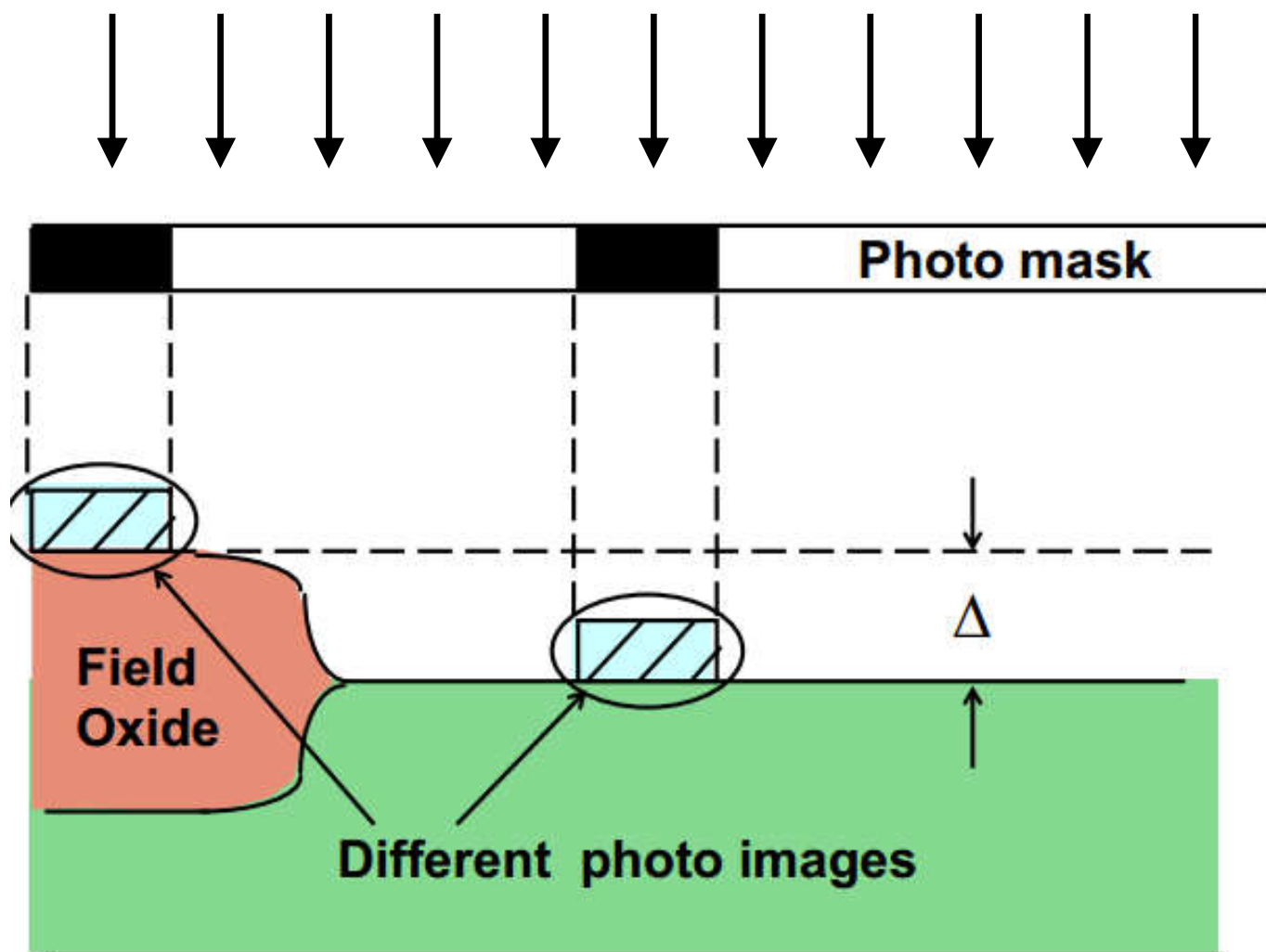
off focus

$$(1) l_m \cong 0.6 \frac{\lambda}{NA} \quad \text{want small } l_m$$

$$(2) DOF = \pm \frac{\lambda}{2(NA)^2} \quad \text{want large DOF}$$

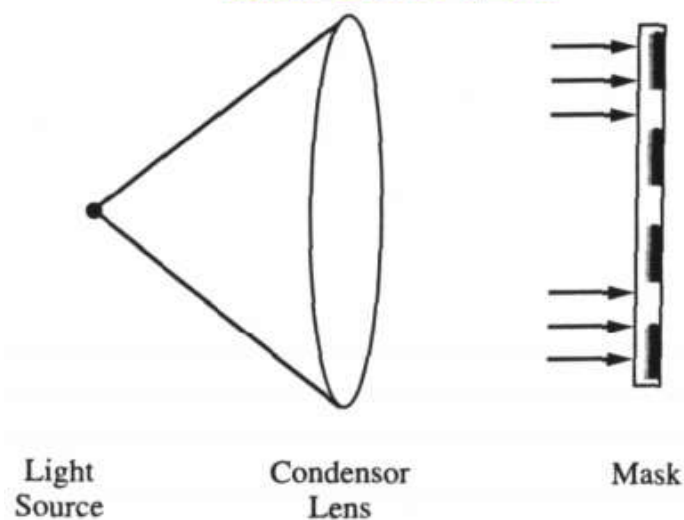
trade-off between resolution and DOF

Depth of Focus (DOF)

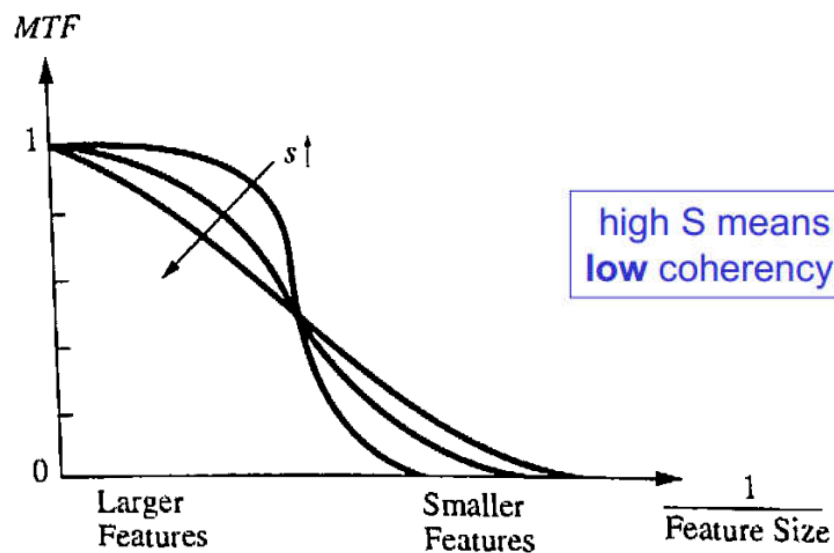
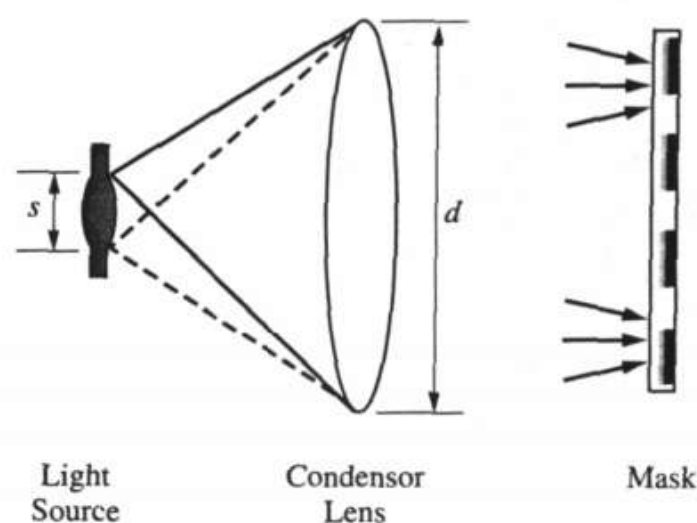


Spatial Coherence

Spatially coherent
small source size s



Partially coherent



S = spatial coherence of light source

$$S = \frac{\text{light source diameter}}{\text{condensor lens diameter}} = \frac{s}{d}$$

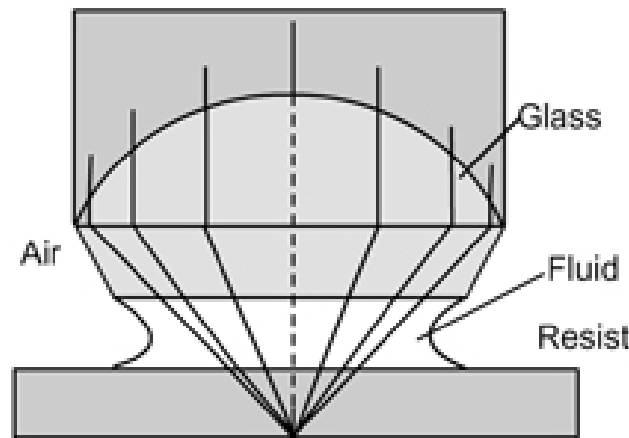
Resolution Improvement

$$R \sim \frac{\lambda}{n \sin \theta}$$

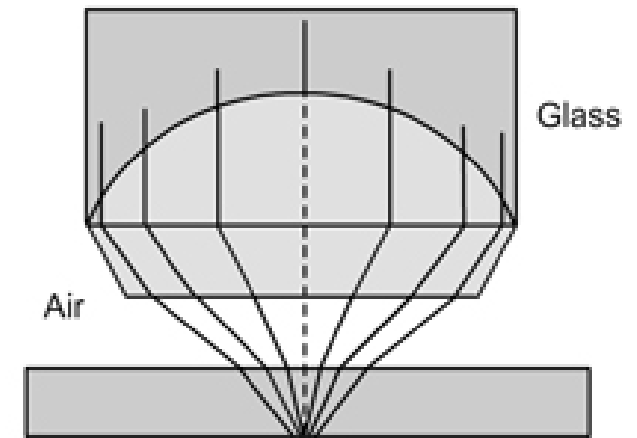
reduce λ
increase n
???

Immersion Lithography

$$R \sim \frac{\lambda}{n \sin \theta}$$



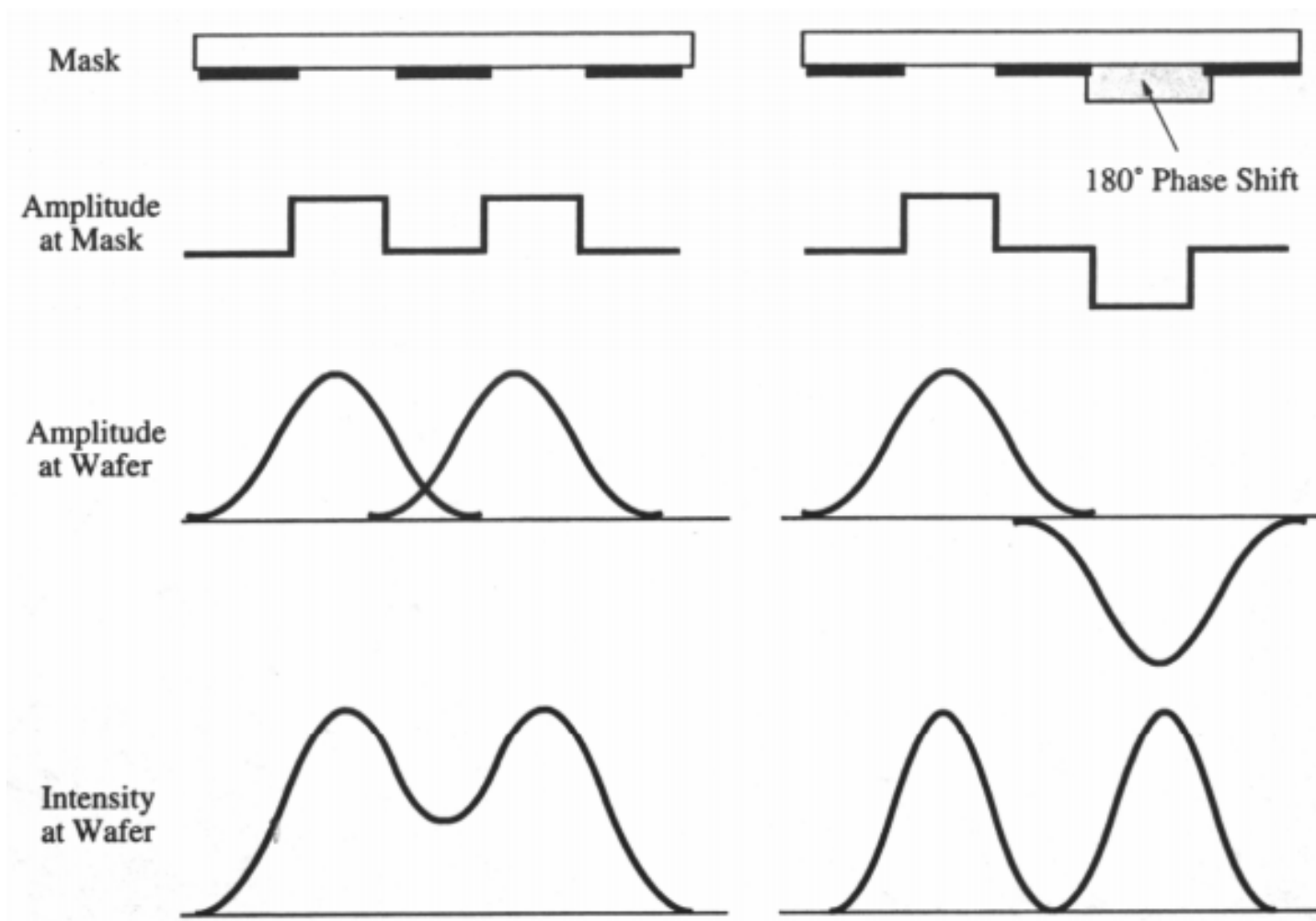
$$n > 1.0$$



$$n = 1.0$$

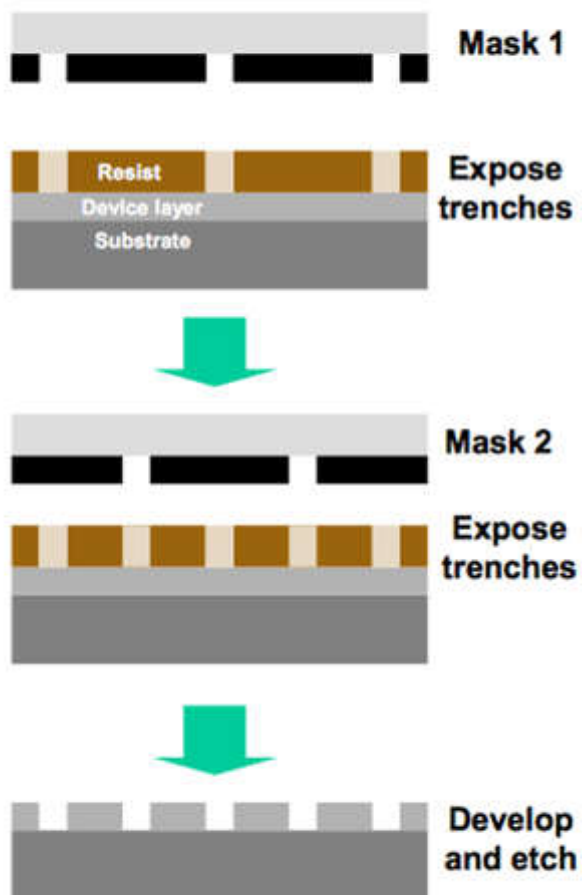
high index fluid $n = 1.7$
resolution is reduced by ~40%

Phase Shift Mask

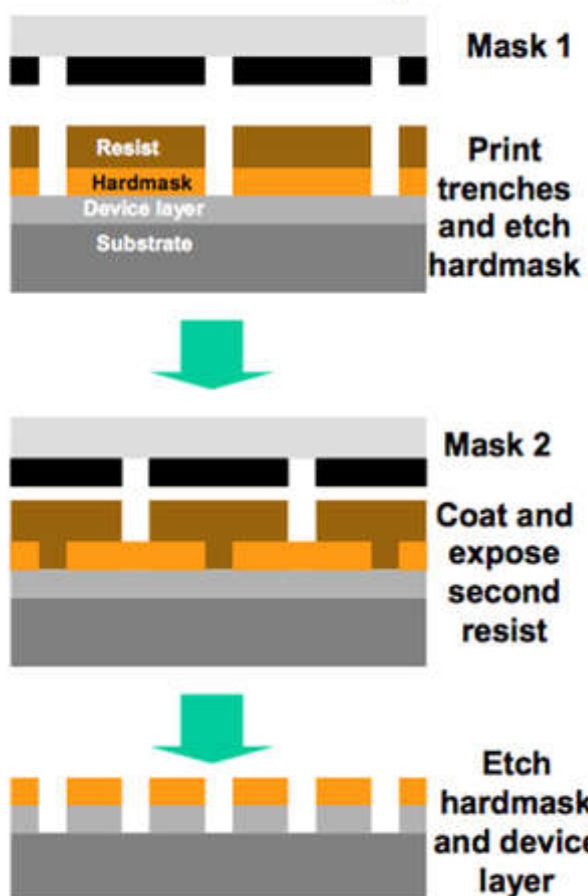


Double Patterning

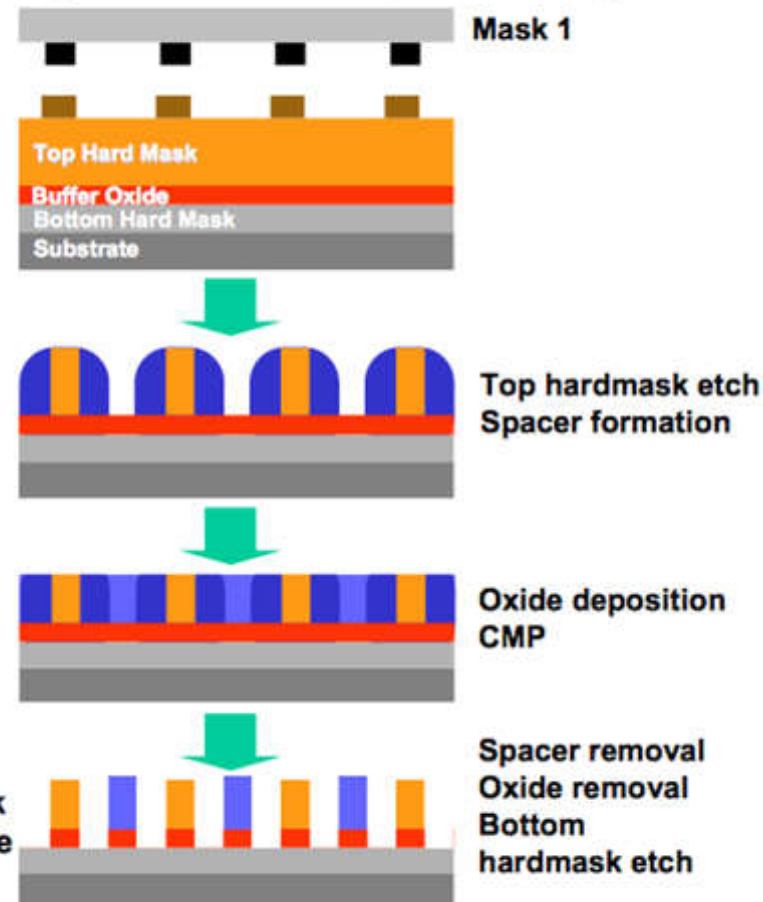
Double Exposure



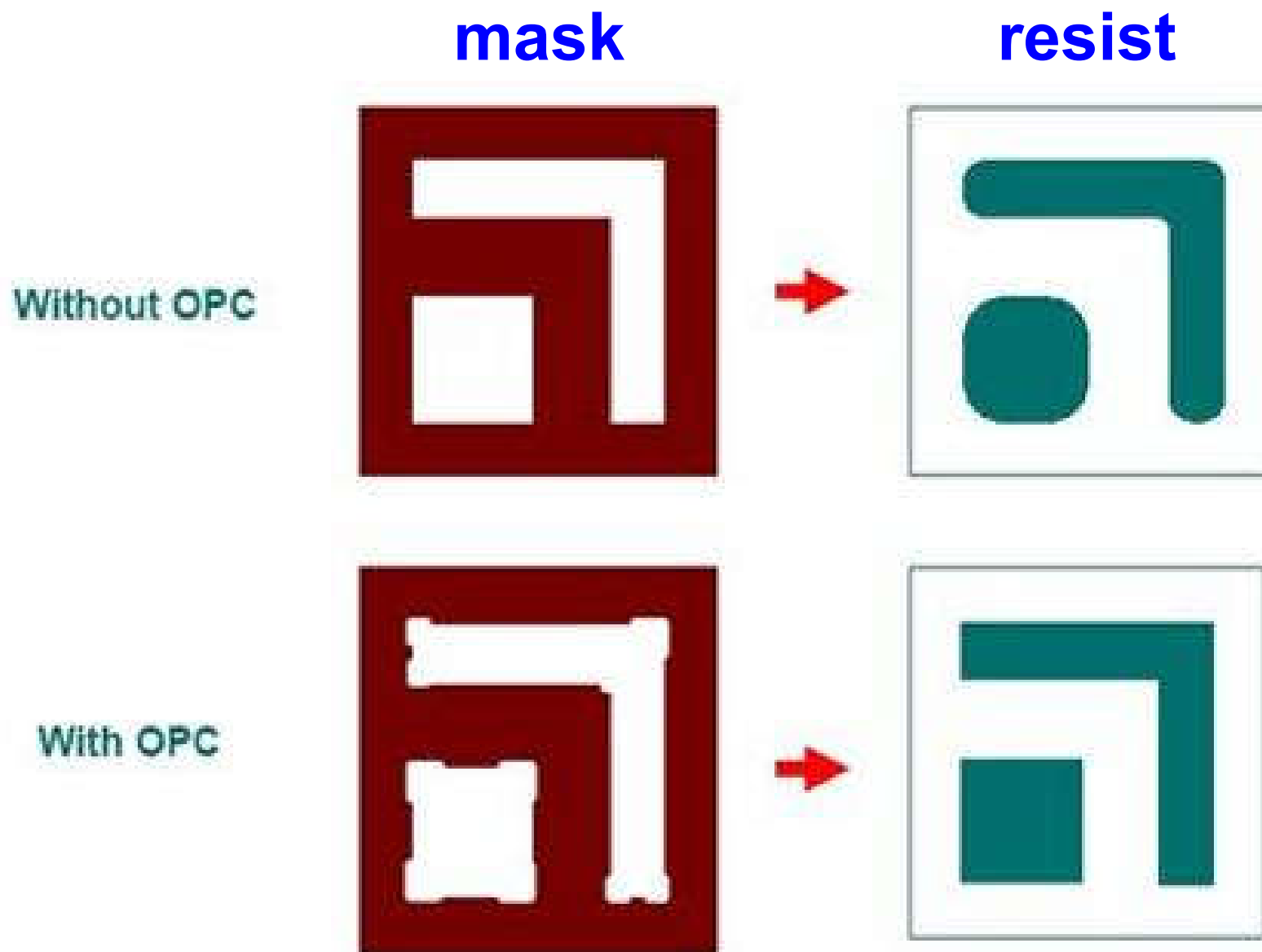
Double Patterning



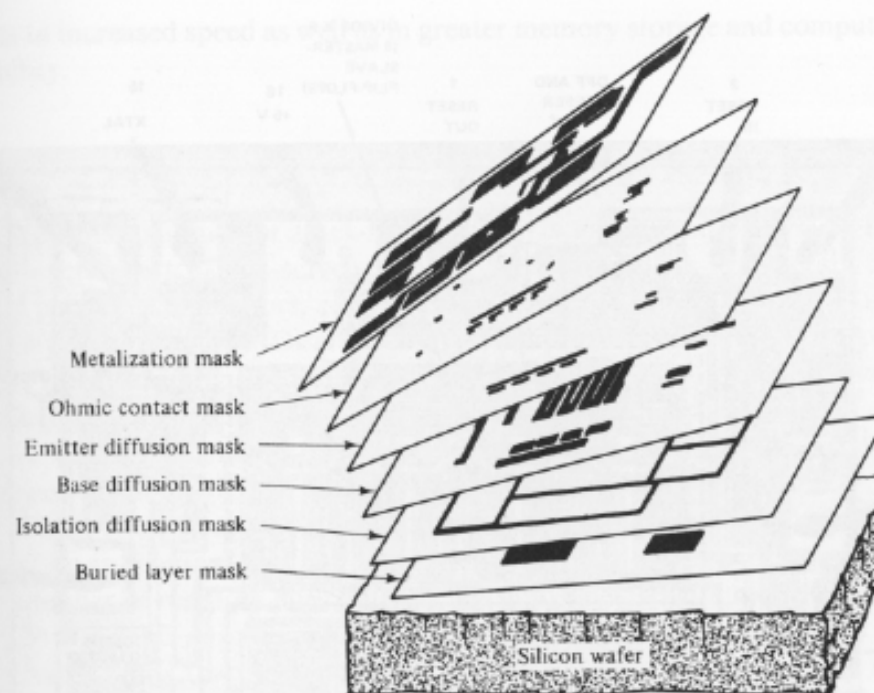
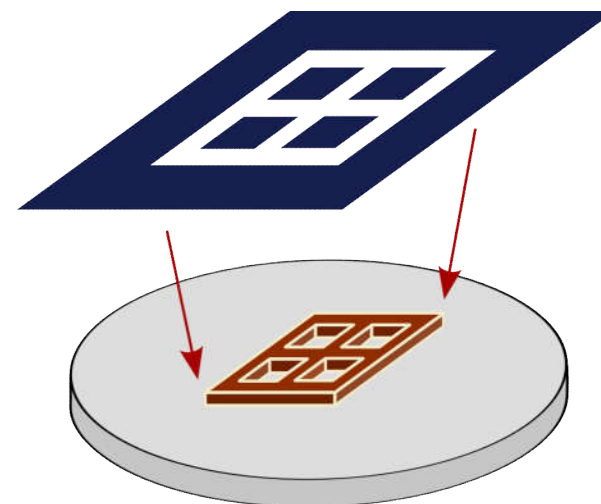
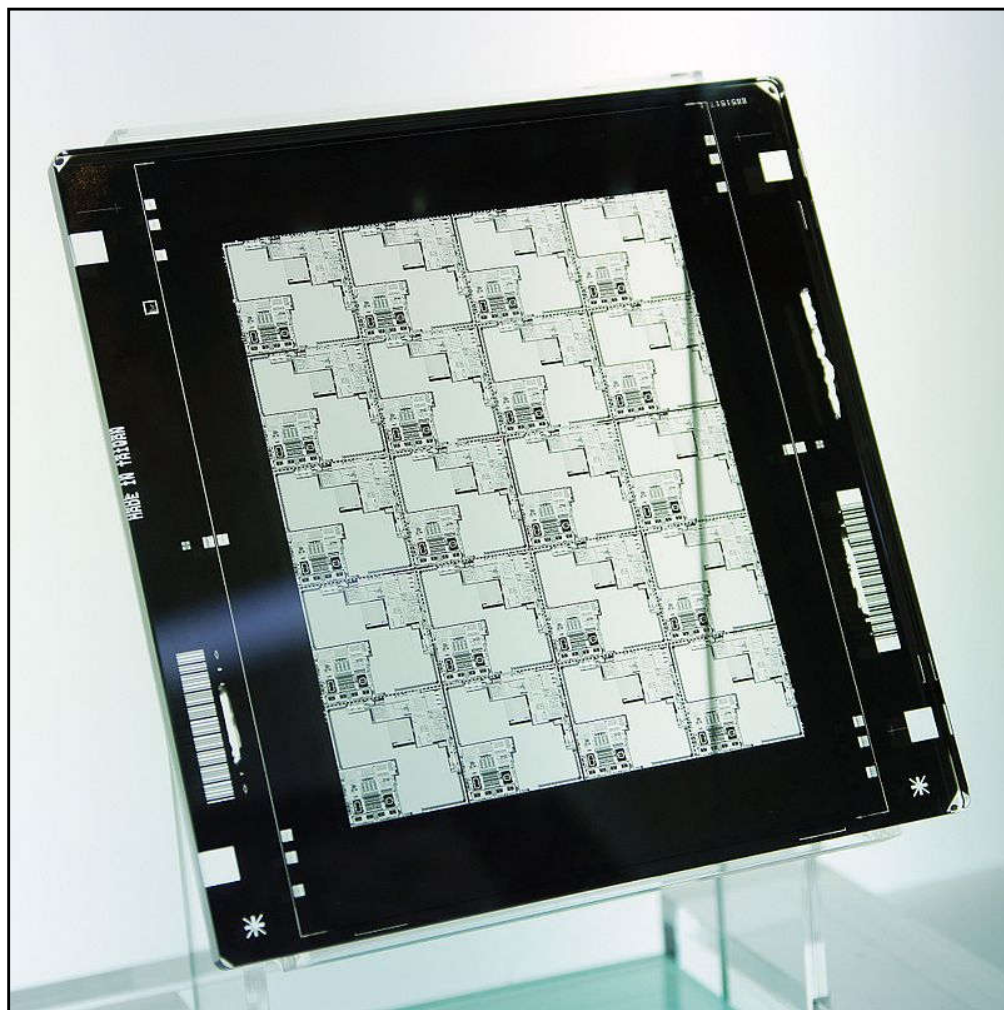
Spacer double patterning



Optical Proximity Correction (OPC)



Photomasks (掩膜)

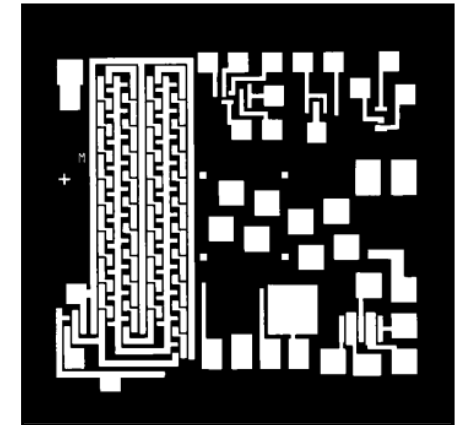


Photomasks (掩膜)

- Layout design

- CAD tools
- see examples

Example



design layout

- Transparency film

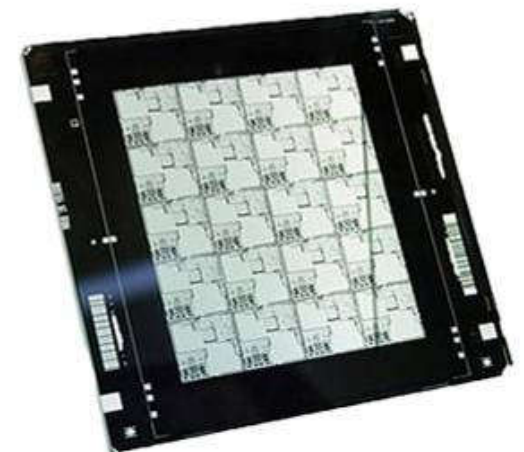
- flexible mask



transparency film

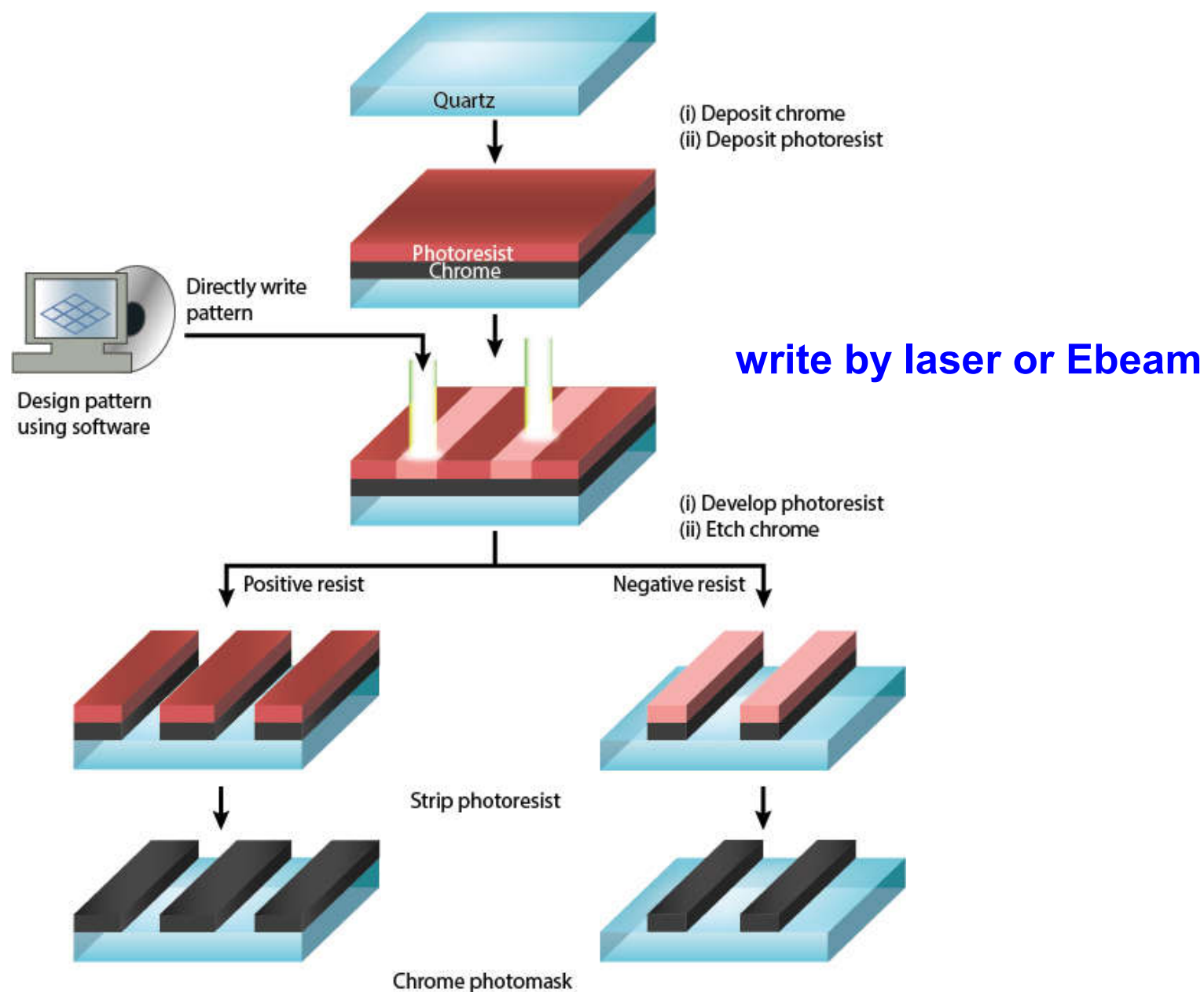
- Chrome mask

- glass substrate
- chrome coating



chrome mask

Photomasks (掩膜)



Light Sources

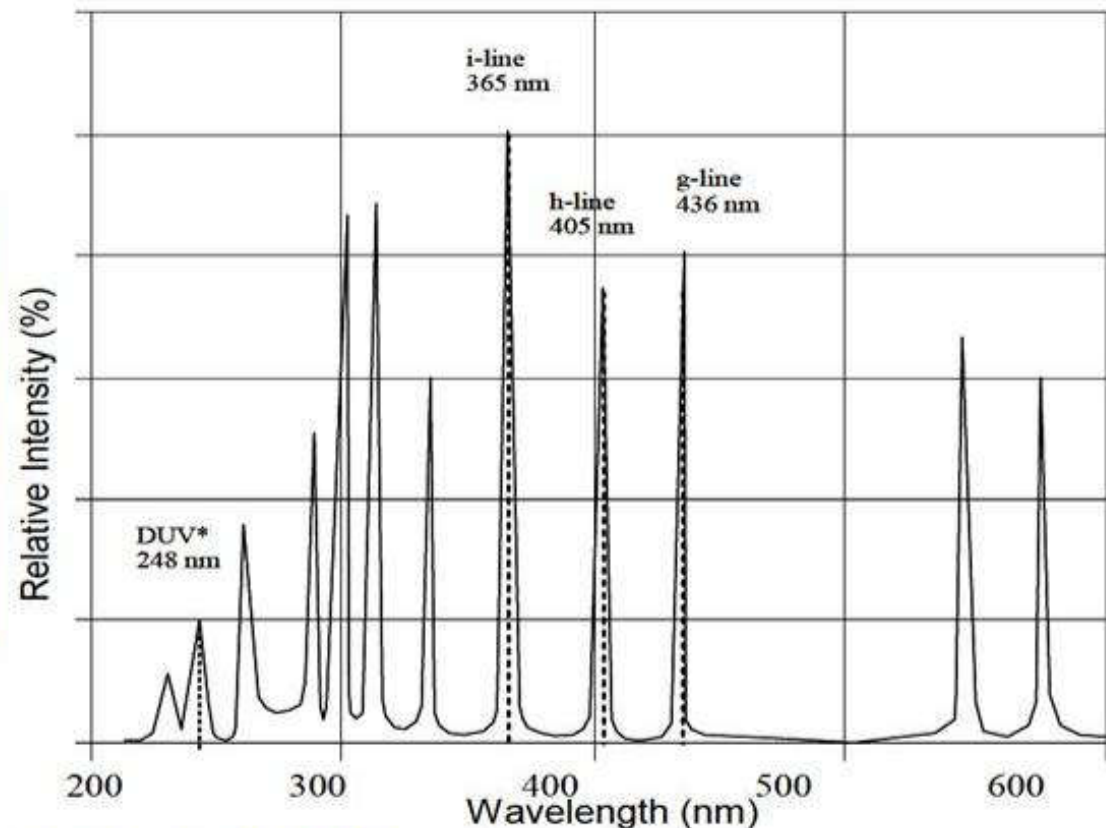
- **Mercury (Hg) arc lamp**
 - **g-line 436 nm, h-line 405 nm, i-line 365 nm**

$$R \sim \frac{\lambda}{n \sin \theta}$$



g line $\lambda=436$ nm
i line $\lambda=365$ nm
(used for $0.5\mu\text{m}$ and $0.35\mu\text{m}$
lithography generation)

High pressure Hg-vapor lamps
Order \$1000, lasts ~1000 hours.



- Filters can be used to limit exposure wavelengths.

Light Sources

- Mercury (Hg) arc lamp
 - g-line 436 nm, h-line 405 nm, i-line 365 nm

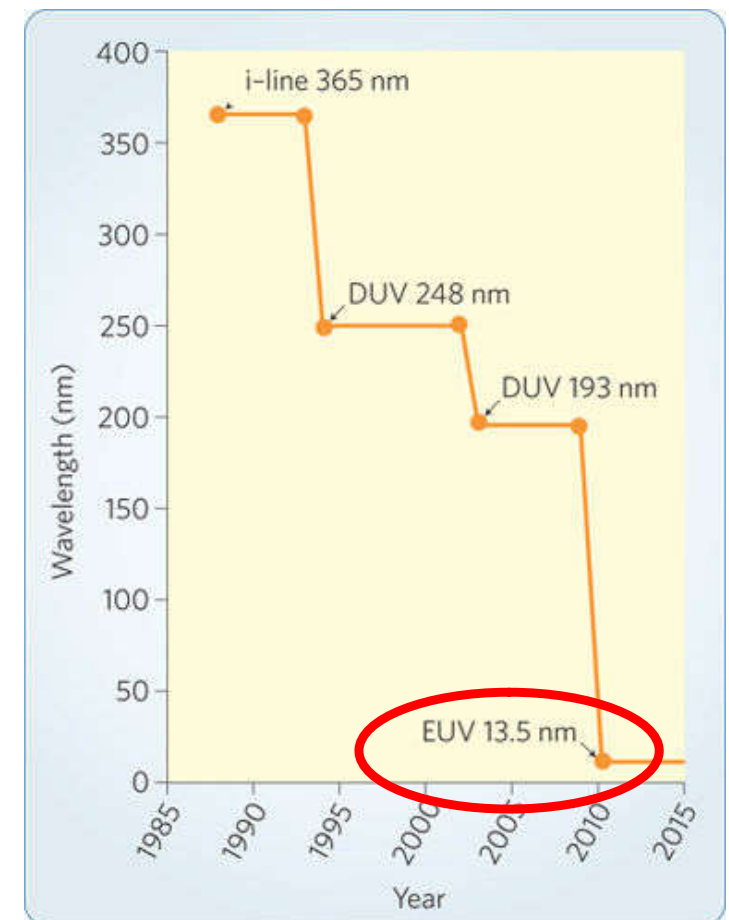


*yellow light
in cleanroom*

Light Sources

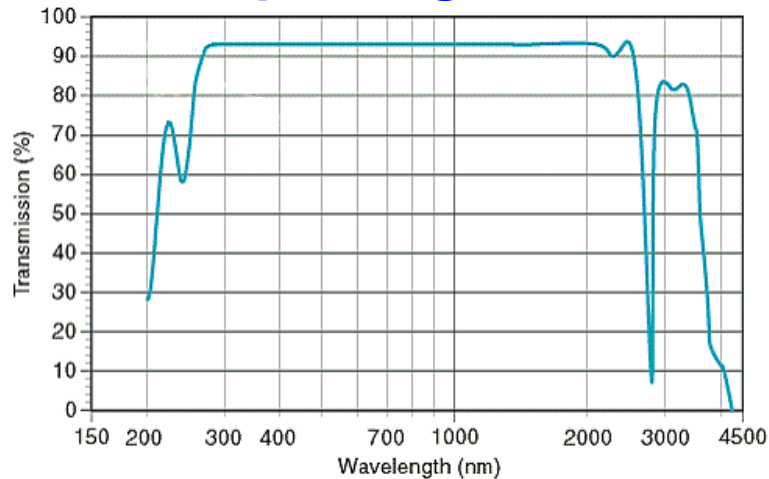
- Deep UV (DUV)
 - excimer lasers, KrF (248 nm), ArF (193 nm)
- Extreme UV (EUV)
 - Tin (Sn) plasma lasers, 13.5 nm
- X-ray
 - 0.01 ~ 10 nm
- Electron beam (E-beam)
- ...

$$R \sim \frac{\lambda}{n \sin \theta}$$

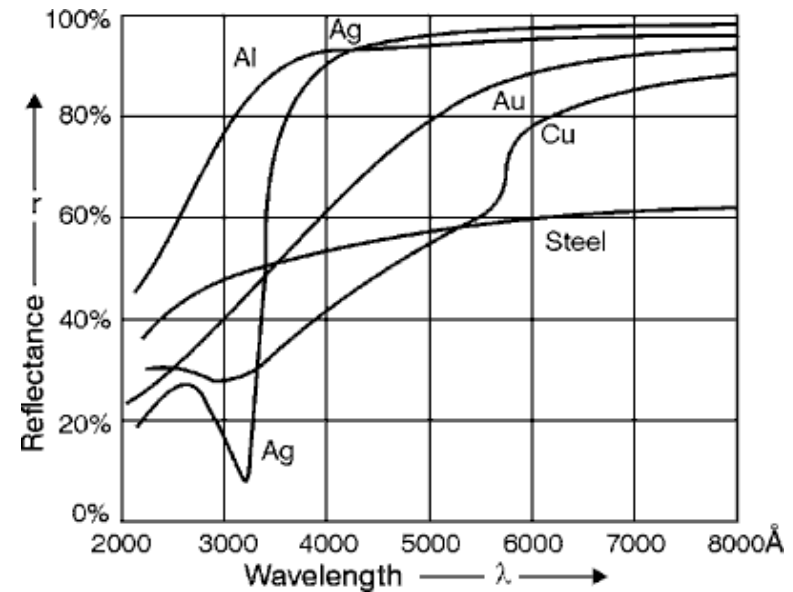


Optics for EUV

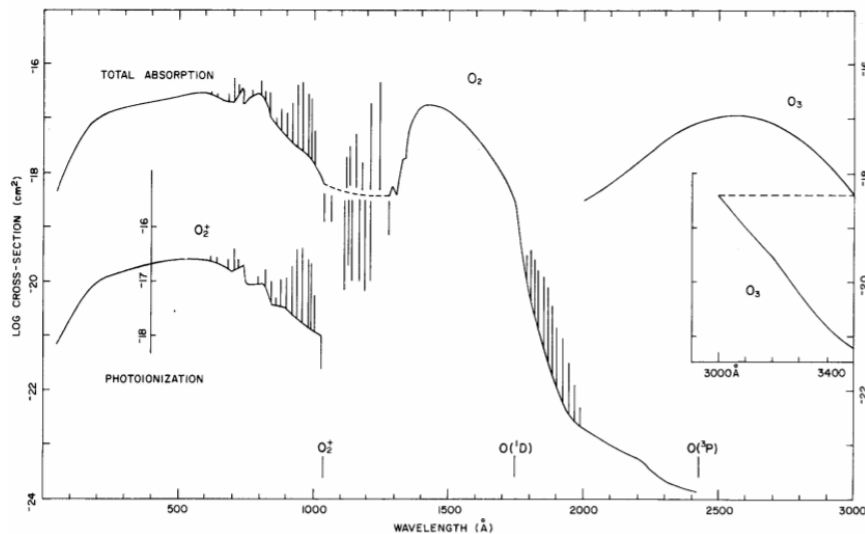
quartz glass



metals



air

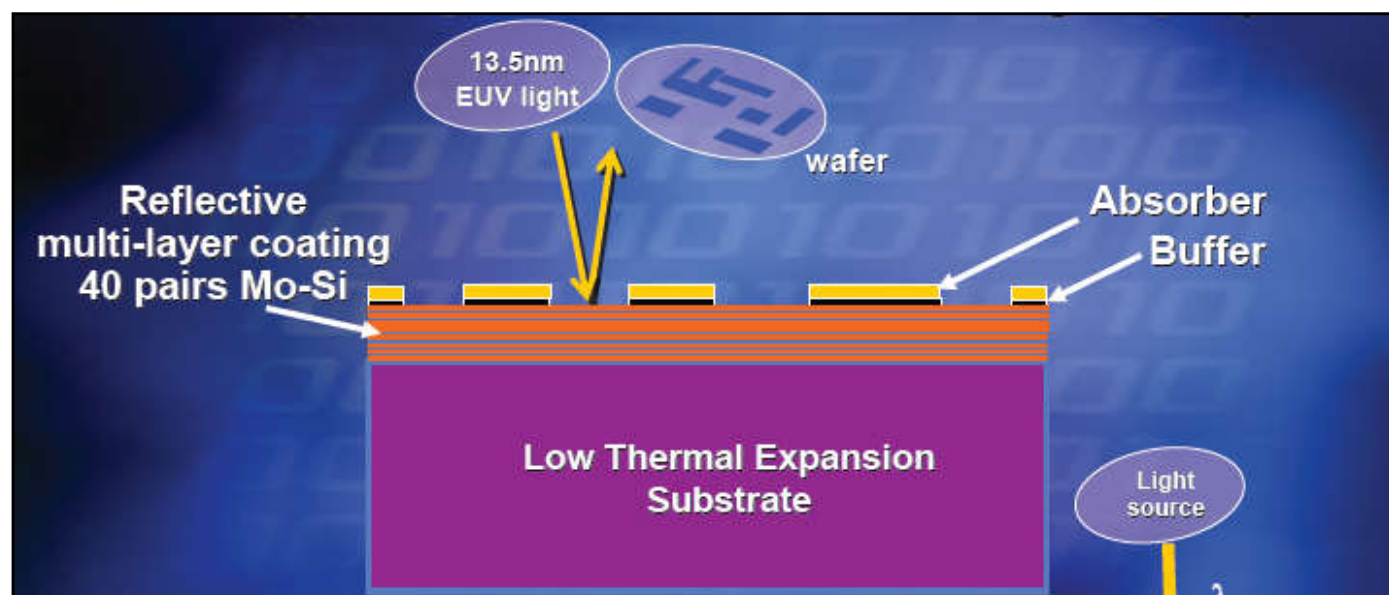
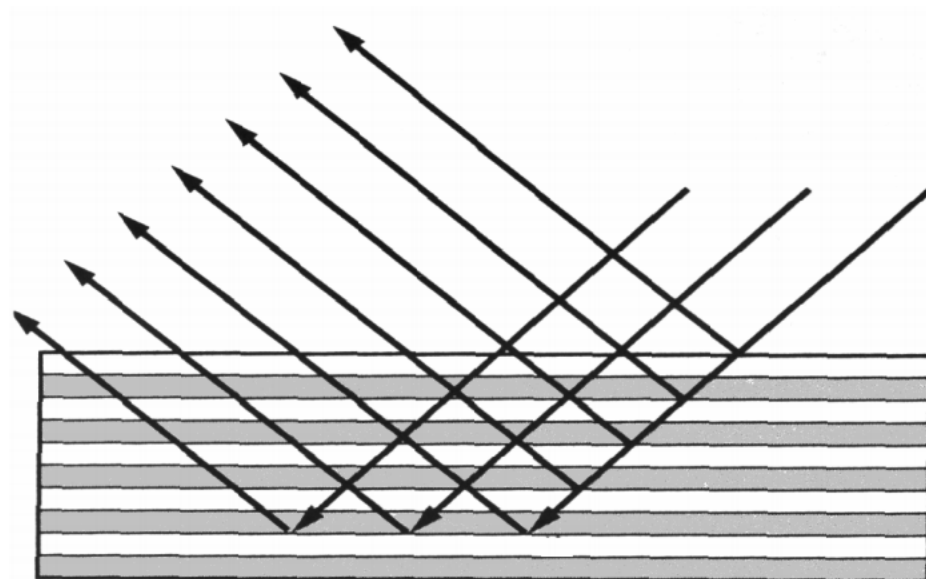


at EUV:

glass is not transparent
metal is not reflective
even air is absorptive

Optics for EUV

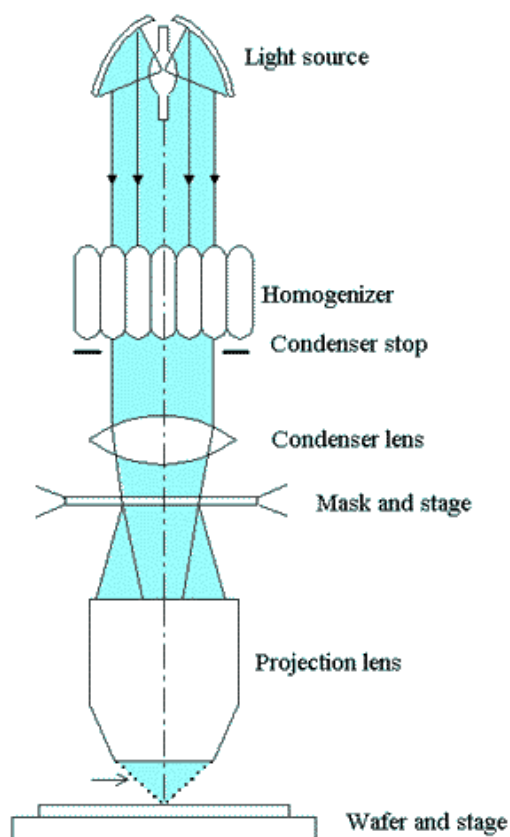
**multilayer mirrors
(Mo/Si)**



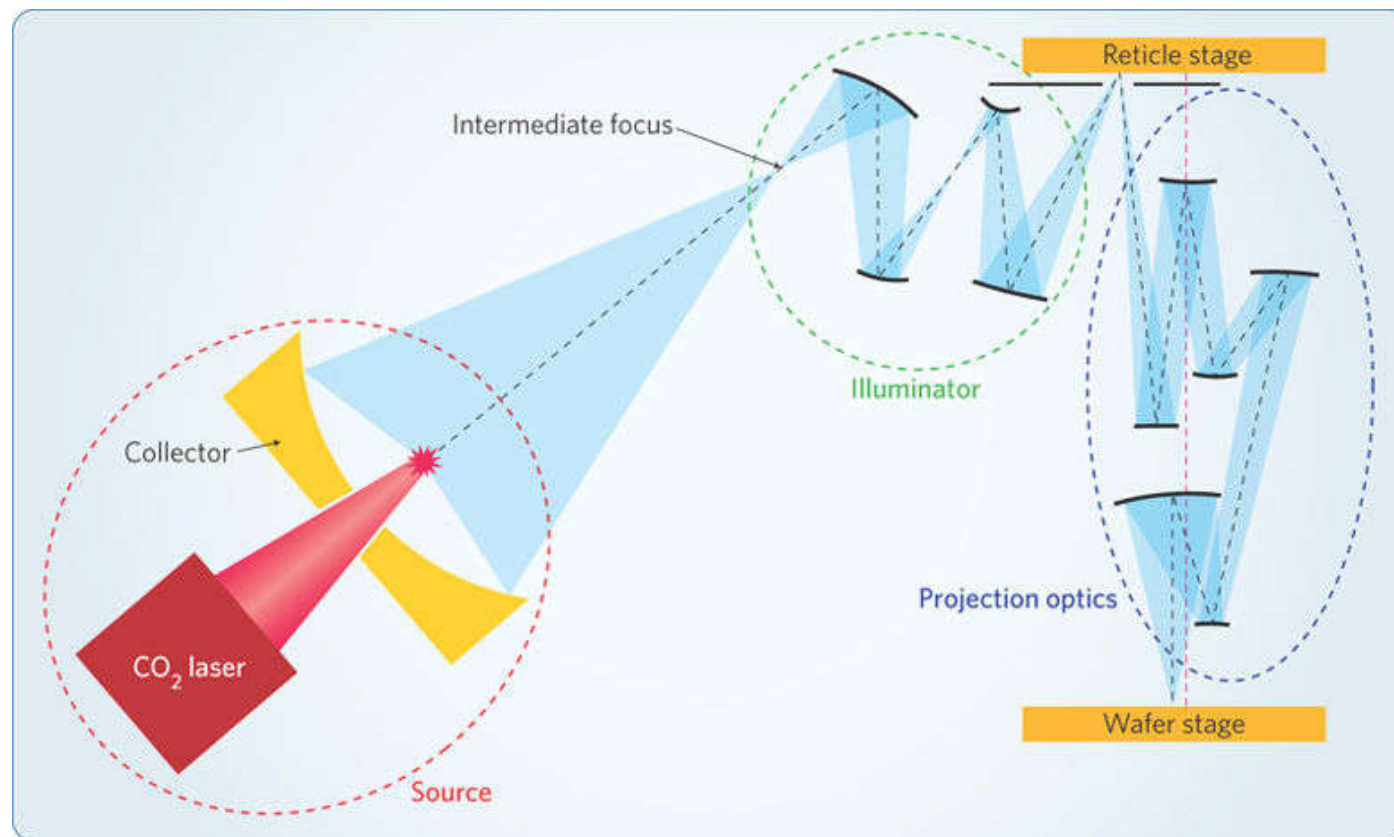
reflective masks

Optics

optical loss > 95%



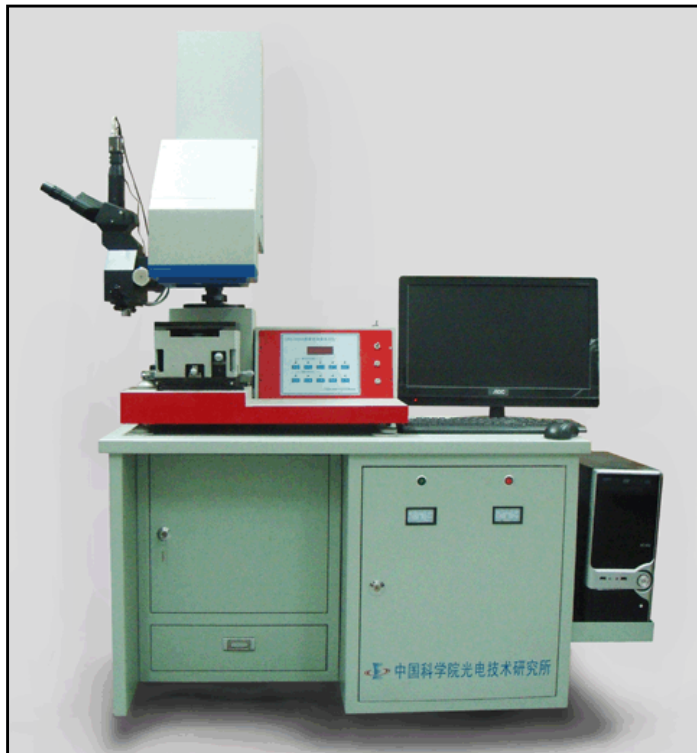
UV (365 nm)



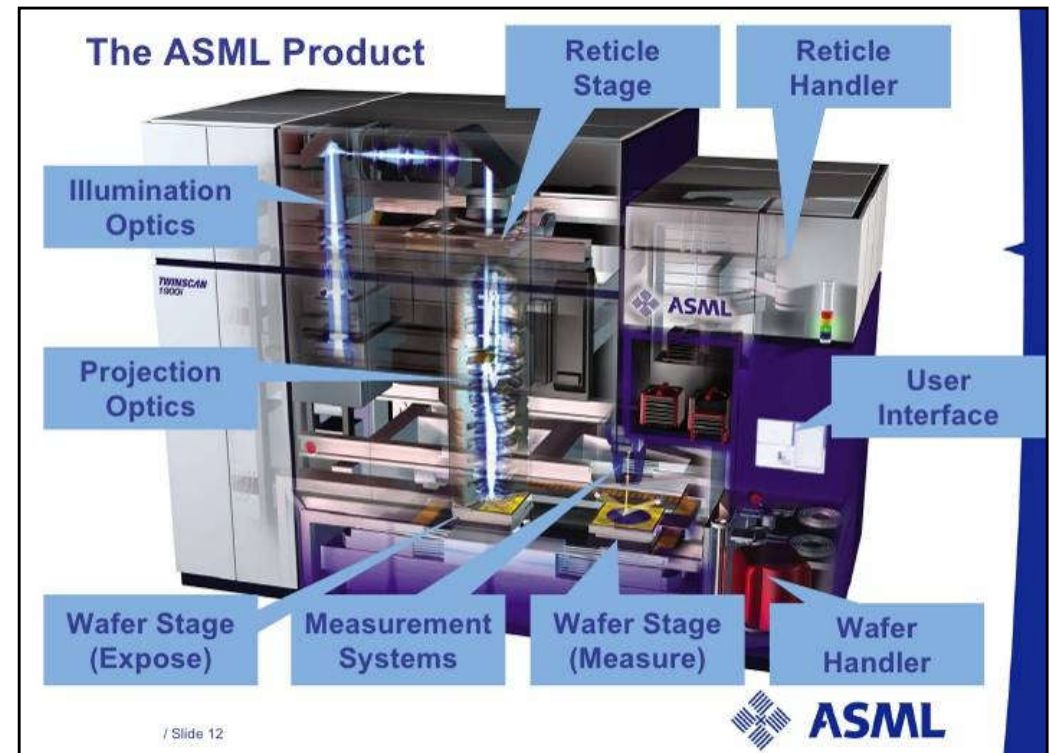
EUV (13.5 nm)

Equipment

UV (365 nm)
resolution ~ 2 μm
price ~ 200,000 RMB

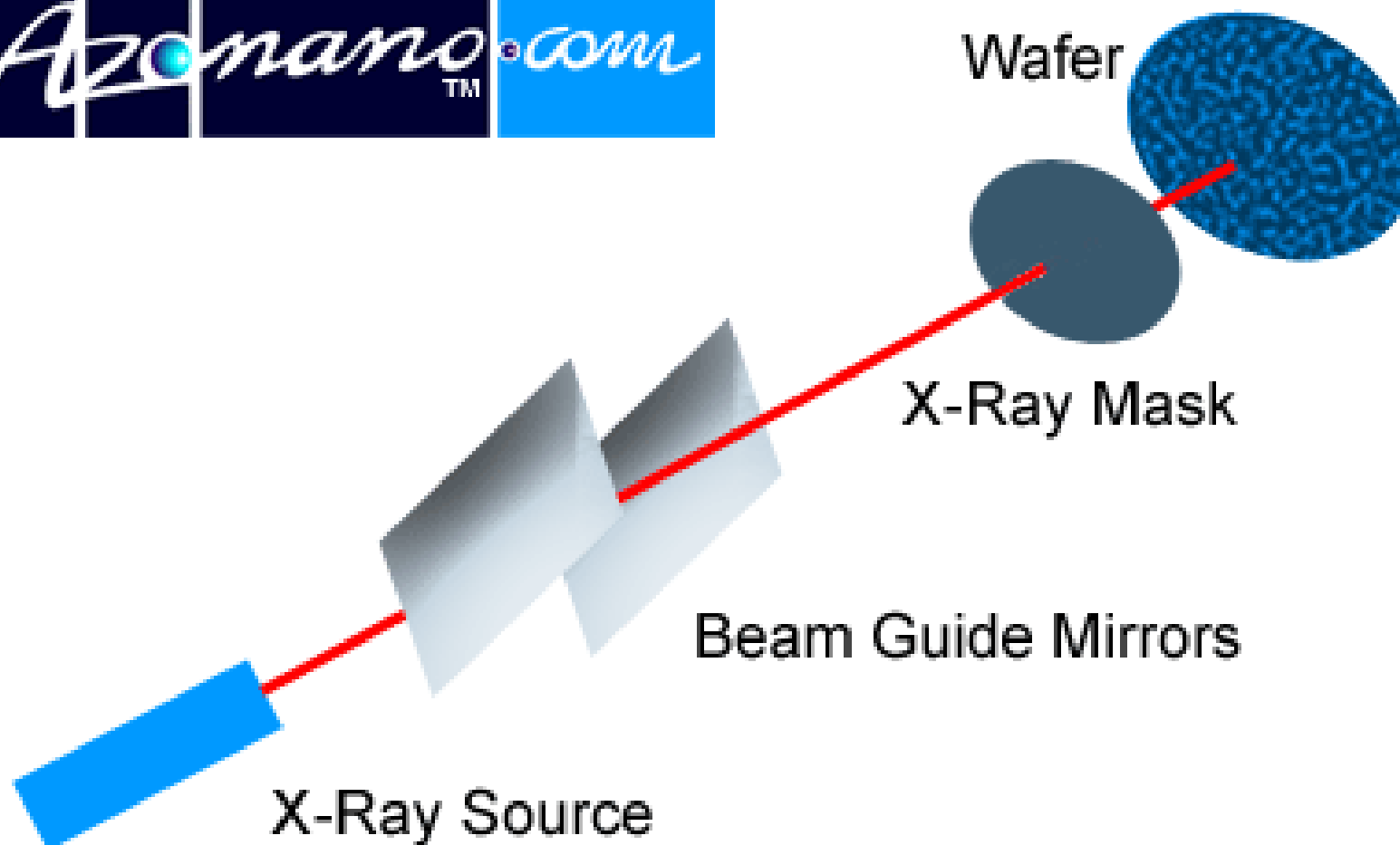


EUV (13.5 nm)
resolution ~ 10 nm
price ~ 100,000,000 \$\$\$



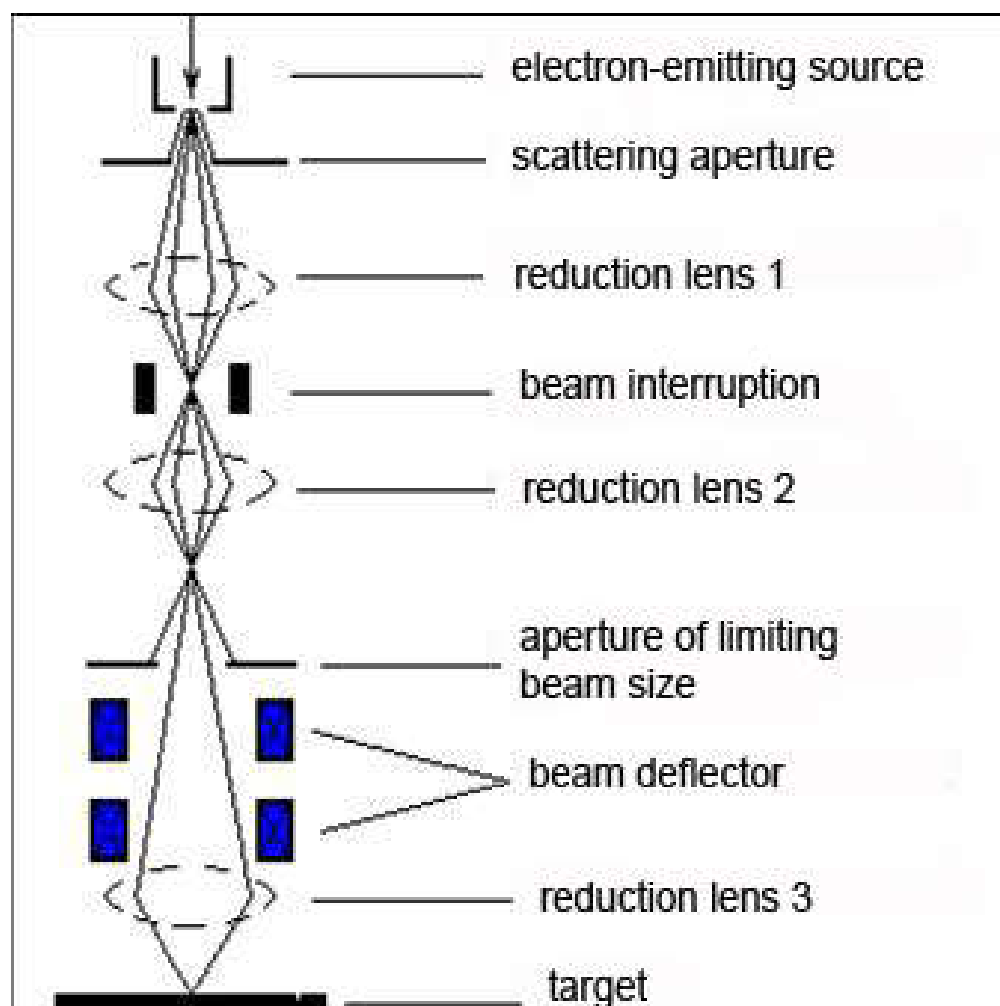
X-ray Lithography

wavelength 0.1~10 nm

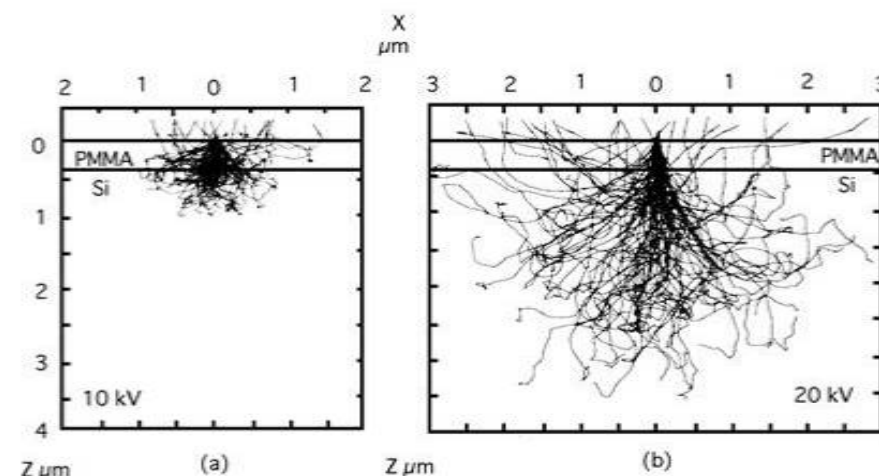


Electron Beam (Ebeam) Lithography

similar to a scanning electron microscope (SEM)



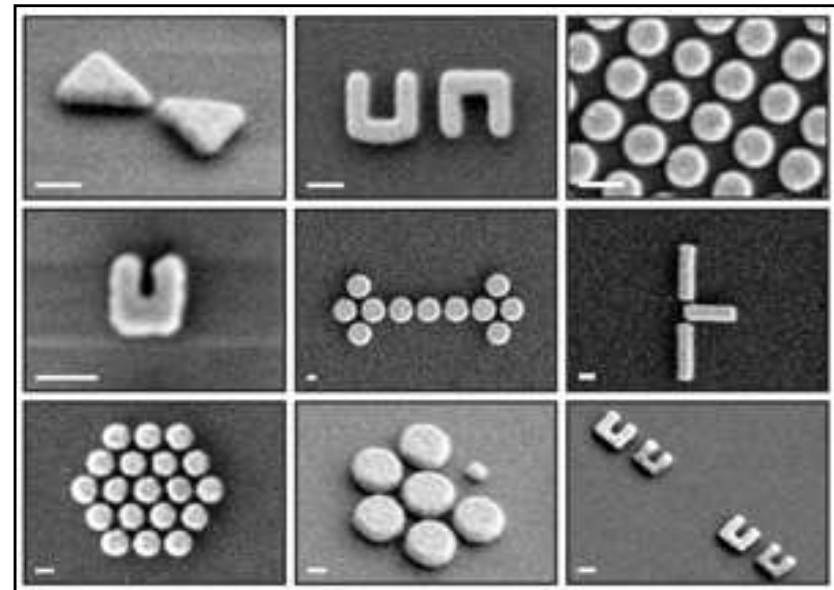
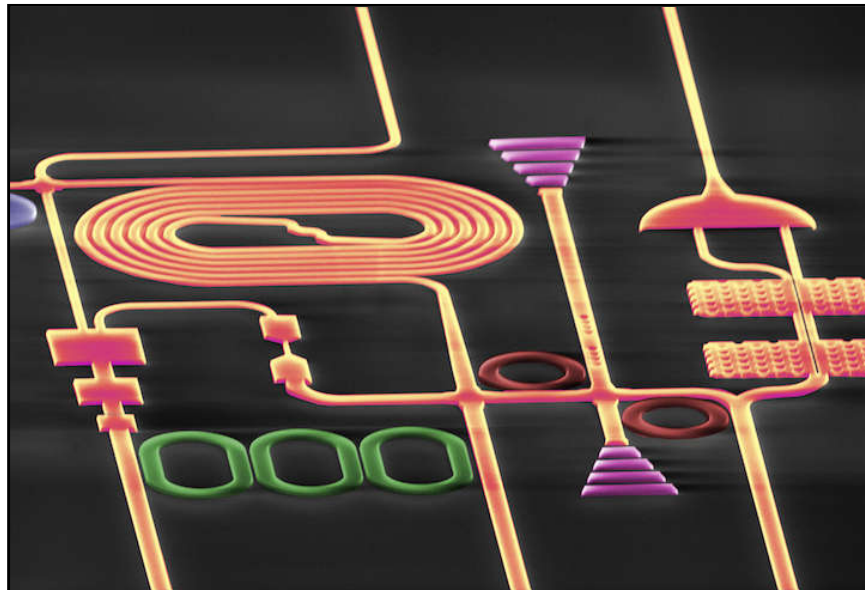
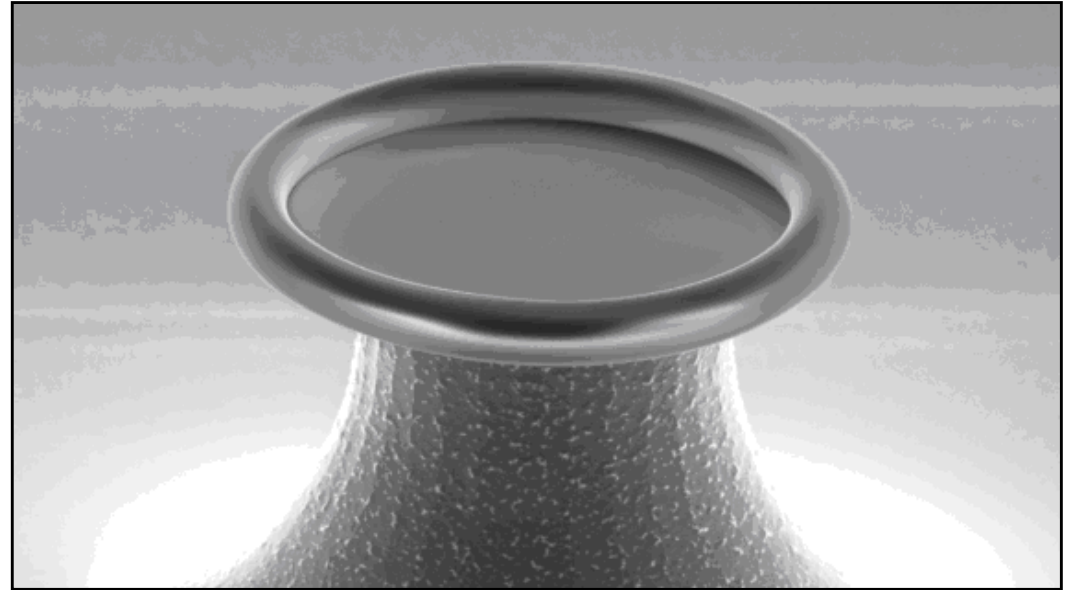
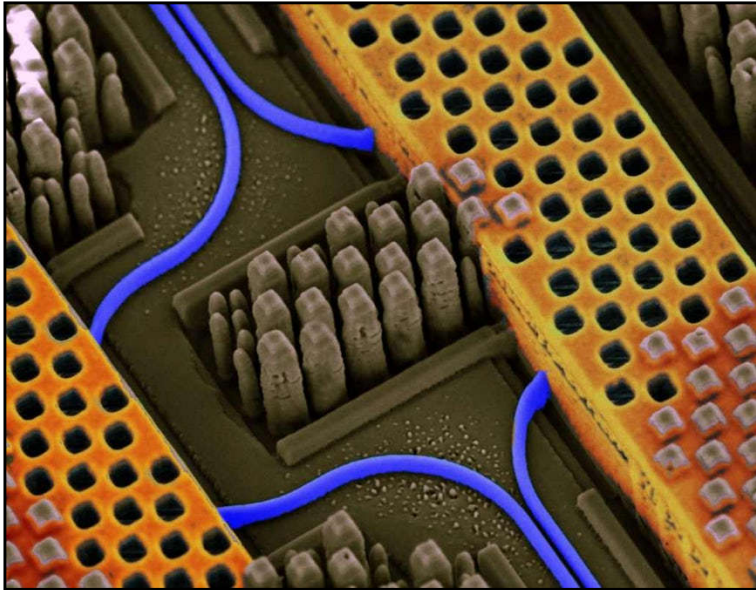
proximity effect



Electron Beam (Ebeam) Lithography

- Wavelength $\lambda(\text{nm}) = \frac{1.23}{\sqrt{V}}$
 - example: for $V = 30 \text{ kV}$, $\lambda = 0.007 \text{ nm}$
- The resolution is limited by secondary electrons
 - resolution $\sim 10 \text{ nm}$
- No mask for electron, only direct writing!
 - *"To cover the 700 cm^2 surface area of a **300 mm silicon wafer**, the minimum write time would extend to 7×10^8 seconds, **about 22 years**." - Wikipedia*
- Only for research purposes now

NanoPhotonics



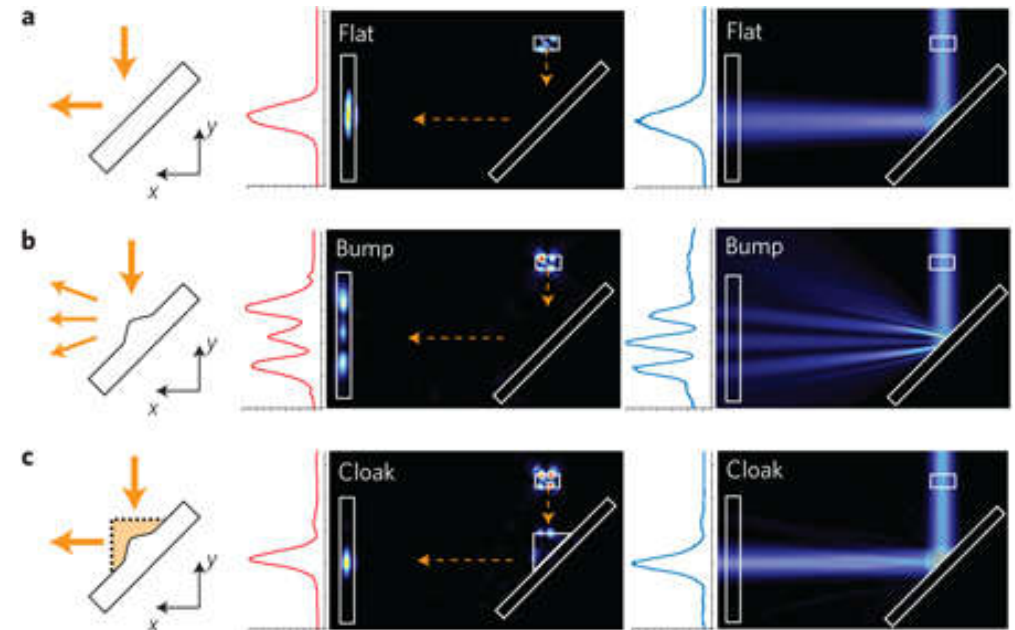
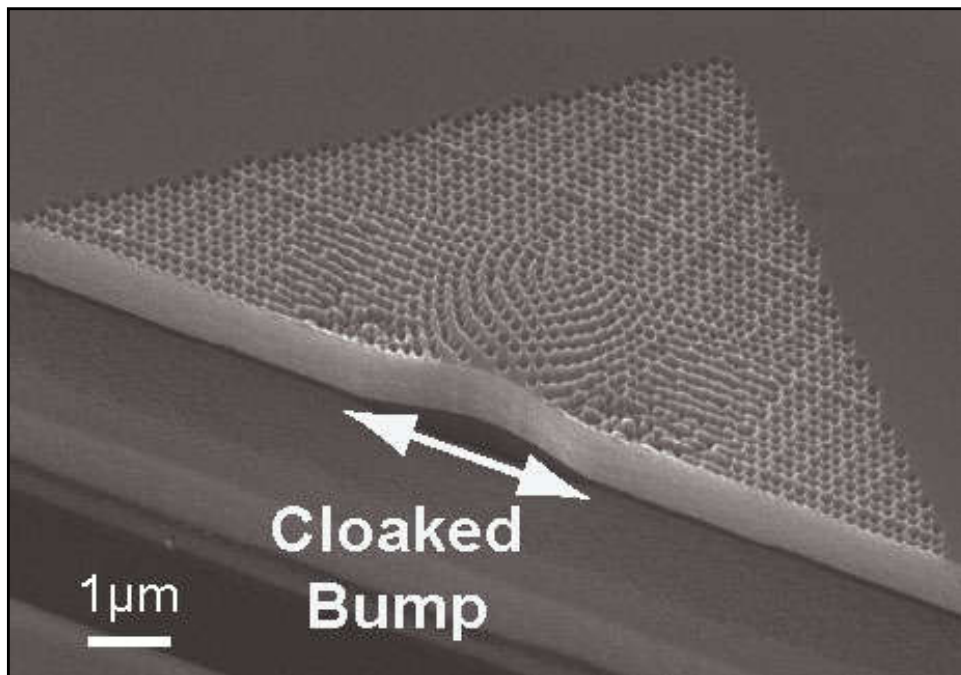
Optical Cloak

LETTERS

PUBLISHED ONLINE: 29 APRIL 2009 | DOI: 10.1038/NMAT2461

nature
materials

An optical cloak made of dielectrics

Jason Valentine^{1*}, Jensen Li^{1*}, Thomas Zentgraf^{1*}, Guy Bartal¹ and Xiang Zhang^{1,2†}

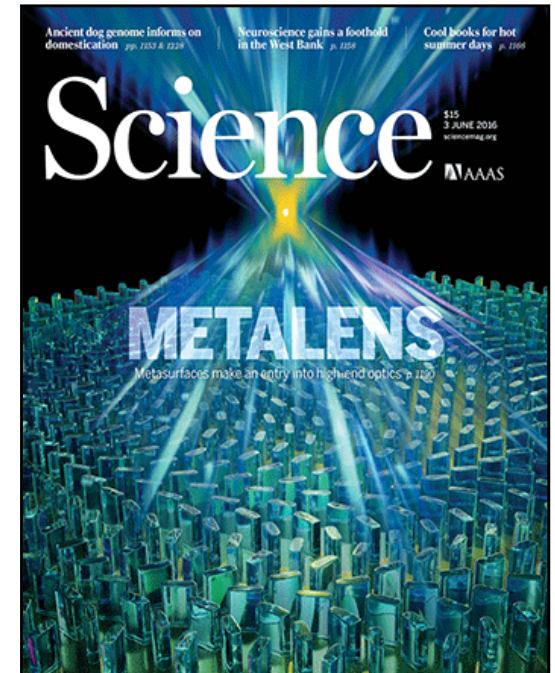
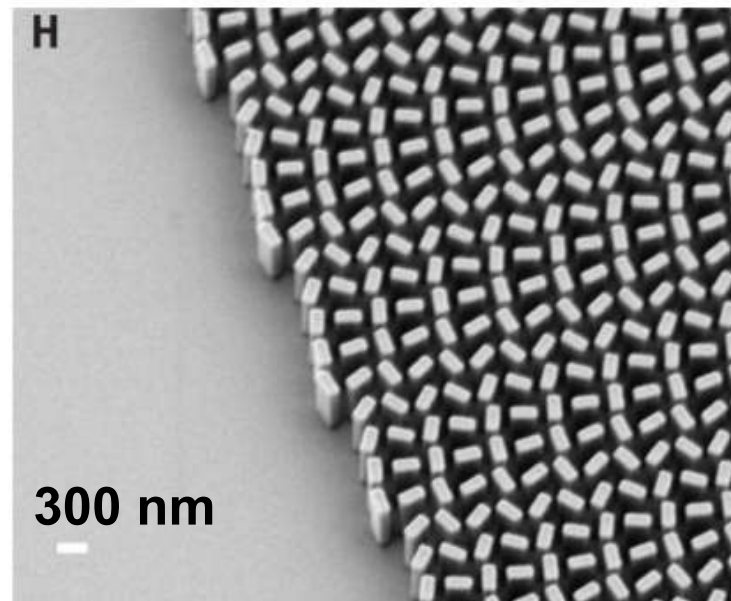
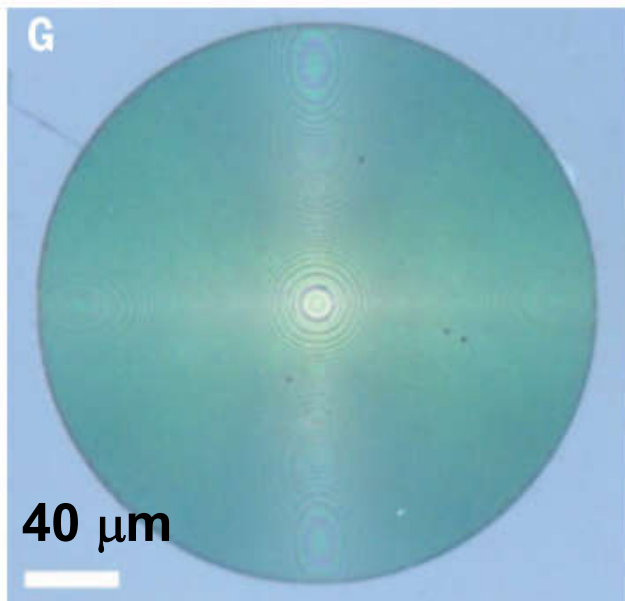
Metalens

RESEARCH ARTICLE

APPLIED OPTICS

Metalenses at visible wavelengths: Diffraction-limited focusing and subwavelength resolution imaging

Mohammadreza Khorasaninejad,^{1*} Wei Ting Chen,^{1*} Robert C. Devlin,^{1*} Jaewon Oh,^{1,2}
Alexander Y. Zhu,¹ Federico Capasso^{1†}



Thank you for your attention