

# LOGIC AND COMPUTATION

*to explain the principles and limits of mechanical theorem proving*

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# Logic and Computation

PROPOSITIONAL LOGIC *is decidable*:

- ▶ concepts: formulae, semantics, consequence, deduction, soundness, completeness
- ▶ systems: natural deduction, satisfiability, equivalence checking, model checking

PREDICATE LOGIC *is undecidable*:

- ▶ concepts: variables, functions, relations, quantification, substitution, unification
- ▶ systems: natural, resolution, decidable, simplex, reduction, normalisation

INTUITIONISTIC LOGIC *believes in “propositions as types and programs as proofs”*:

- ▶ concepts: lambda calculus, types, lambda cube
- ▶ systems: type inference, calculus of constructions

## A. PROPOSITIONAL LOGIC *is decidable*

*There are confluent procedures to demonstrate automatically a propositional statement.*

## A1. CONCEPTS

# Formulae and Semantics

## SYNTAX OR FORMULAE

$$\psi ::= p \mid \diamond \psi \mid \psi \bullet \psi$$

## SEMANTICS OR INTERPRETATION

$$\mathbb{B} = \{t, f\} \quad \llbracket p \rrbracket : \mathbb{B} \quad \llbracket \diamond \rrbracket : \mathbb{B} \rightarrow \mathbb{B} \quad \llbracket \bullet \rrbracket : \mathbb{B} \times \mathbb{B} \rightarrow \mathbb{B} \quad \llbracket \psi \rrbracket : \mathbb{B}^n \rightarrow \mathbb{B}$$

## IMPLICATION as an example

$$\llbracket \Rightarrow \rrbracket (t, f) = f$$

$$\llbracket \Rightarrow \rrbracket (t, t) = t$$

# Logical Consequence

## CONSEQUENCE

$$\phi \models \psi$$

If  $\llbracket \phi \rrbracket (\vec{x}) = t$ , then  $\llbracket \psi \rrbracket (\vec{x}) = t$ , for all assignments  $\vec{x} \in \mathbb{B}^n$ .

That is, the formula  $\phi \Rightarrow \psi$  is a tautology.

## EXAMPLE

$$p \wedge q \models p$$

$$\begin{array}{ll} \llbracket p \wedge q \rrbracket (t, t) = t & \llbracket p \rrbracket (t, \_) = t \\ \llbracket p \wedge q \rrbracket (\_, \_) = f & \llbracket p \rrbracket (f, \_) = f \end{array}$$

# Sequent and Deduction

## SEQUENT

$$A_1, A_2, \dots, A_n \vdash C_1, C_2, \dots, C_m$$

The formula  $A_1 \wedge A_2 \wedge \dots \wedge A_n \Rightarrow C_1 \vee C_2 \vee \dots \vee C_m$  is a tautology.

## DEDUCTIVE PROOF

A list of sequents satisfying that each sequent is either an axiom or derived from previous sequents by applying inference rules.

# Soundness and Completeness

## SOUNDNESS AND COMPLETENESS

A deduction system consists of a finite set of axioms and inference rules.

It is sound if  $\phi \vdash \psi$  implies  $\phi \models \psi$  for all formulae  $\phi$  and  $\psi$ .

It is complete if  $\phi \models \psi$  implies  $\phi \vdash \psi$  for all formulae  $\phi$  and  $\psi$ .

## A2. NATURAL DEDUCTION

*In logic and proof theory, natural deduction is a kind of proof calculus in which logical reasoning is expressed by inference rules closely related to “natural” way of reasoning. — Wikipedia*

# Gentzen System

GENTZEN SYSTEM as an example of natural deduction

$$\frac{}{\Gamma, \psi \vdash \Delta, \psi} \text{ INITIAL}$$

$$\frac{\Gamma \vdash \Delta, \psi}{\Gamma, \neg\psi \vdash \Delta} \text{ NOTL}$$

$$\frac{\Gamma, \psi \vdash \Delta}{\Gamma \vdash \Delta, \neg\psi} \text{ NOTR}$$

$$\frac{\Gamma \vdash \Delta, \phi \quad \Gamma, \psi \vdash \Delta}{\Gamma, \phi \Rightarrow \psi \vdash \Delta} \text{ IMPL}$$

$$\frac{\Gamma, \phi \vdash \Delta, \psi}{\Gamma \vdash \Delta, \phi \Rightarrow \psi} \text{ IMPR}$$

$$\frac{\Gamma, \phi, \psi \vdash \Delta}{\Gamma, \phi \wedge \psi \vdash \Delta} \text{ CONL}$$

$$\frac{\Gamma \vdash \Delta, \phi \quad \Gamma \vdash \Delta, \psi}{\Gamma \vdash \Delta, \phi \wedge \psi} \text{ CONR}$$

$$\frac{\Gamma, \phi \vdash \Delta \quad \Gamma, \psi \vdash \Delta}{\Gamma, \phi \vee \psi \vdash \Delta} \text{ DISL}$$

$$\frac{\Gamma \vdash \Delta, \phi, \psi}{\Gamma \vdash \Delta, \phi \vee \psi} \text{ DISR}$$

## Terms

**Let us implement Gentzen system in functional programming language Haskell.**

$\lambda$ -TERM       $t ::= x \mid t\ t \mid \lambda x .\ t$

DEFINE DATA TYPE Term to express formulae

```
data Term = Cst String | Var String  
          | App [Term] | Abs [Term] deriving (Eq, Ord)
```

# Terms

DEFINE show FUNCTION to convert terms into strings

```
instance Show Term where
    show (Cst s) = s
    show (Var x) = x
    show (App ts) = "(" ++ shows ts ++ ")"
    show (Abs ts) = "(abs " ++ shows ts ++ ")"

shows :: Show a => [a] -> String
shows xs = if null xs then ""
           else foldl (\y x -> y ++ " " ++ show x)
                      (show $ head xs) (tail xs)
```

# Trees

DEFINE AUXILIARY DATA TYPE Tree to characterise the syntax structure of terms

```
data Tree = Tree String [Tree] deriving (Eq, Ord)

instance Show Tree where
    show = concat . showt
        where showt :: Tree -> [String]
              showt (Tree s []) = [s ++ "\n"]
              showt (Tree s ts) =
                  let x = s ++ " ->\n"
                      d = take (length x) (repeat ' ')
                  in x : (concat $ map (\t -> map (d++) (showt t)) ts)
```

# Trees

DEFINE AUXILIARY FUNCTION `token` to split strings into tokens

```
token :: String -> [String]
token s = let (a, t) = head (lex s)
          in if a == "" then []
              else if t == "" then [a]
                  else a : (token t)
```

# Trees

DEFINE AUXILIARY FUNCTION `nest` to replace the top-level paired-braces by brackets

```
nest :: [String] -> [[String]]
nest xs = let (ys, xss) = rec xs 0
          in wrap ys ++ xss
where rec :: [String] -> Int -> ([String], [[String]])
      rec [] n = ([], [])
      rec ("(:xs) n = let (ys, xss) = rec xs (n + 1)
            in if n == 0 then ([], ys:xss)
               else ("(:ys, xss)
      rec (")":xs) n = let (ys, xss) = rec xs (n - 1)
            in if n == 1 then ([], wrap ys ++ xss)
               else (")":ys, xss)
      rec (x:xs) n = let (ys, xss) = rec xs n in (x:ys, xss)
      wrap = map (\x -> [x])
```

# Trees

DEFINE tree FUNCTION to construct trees from strings

```
tree :: String -> Tree
tree = mkt . map skt . nest . token
  where skt :: [String] -> Tree
        skt [] = Tree "" []
        skt [x] = Tree x []
        skt xs = let x = head xs
                  in if x == "app" || x == "abs"
                      then Tree x (map skt $ nest $ tail xs)
                      else skt ("app" : xs)
mkt :: [Tree] -> Tree
mkt [] = Tree "" []
mkt [t] = t
mkt ts = Tree "app" ts
```

# Terms

DEFINE readt FUNCTION *to construct terms from strings via trees*

```
isvar :: String -> Bool
isvar s = s /= "" && isLower (head s)

iscst :: String -> Bool
iscst = not . isvar

readt :: String -> Term
readt = mkt . tree
  where mkt :: Tree -> Term
        mkt t = case t of
          Tree s ts ->
            case s of
              "abs" -> Abs $ map mkt ts
              "app" -> App $ map mkt ts
              _ -> if isvar s then Var s else Cst s
```

# Goals

SEQUENT       $\Gamma \vdash \Delta$

DEFINE DATA TYPE Goal *to realise sequents*

```
data Goal = Goal [Term] [Term] deriving (Eq, Ord)
```

# Goals

DEFINE show FUNCTION *to convert goals into strings*

```
instance Show Goal where
  show (Goal xs ys) =
    let hs = showi "A" xs
        ks = showi "C" ys
        m = maxs $ map length (hs ++ ks)
    in if m == 0 then ""
       else let s = take m (repeat '-')
             in "\n" ++ concat hs ++ s ++ "\n" ++ concat ks
where maxs :: [Int] -> Int
      maxs = foldl (\y x -> if y >= x then y else x) 0

showi :: Show a => String -> [a] -> [String]
showi s xs = map (\(n,x) -> s ++ show n ++ ": " ++ show x ++ "\n")
                  (zip [1..length xs] xs)
```

## Axioms

$$\frac{}{\Gamma, \psi \vdash \Delta, \psi} \text{INITIAL}$$

DEFINE initial FUNCTION to realise the axiom INITIAL

```
cap :: Eq a => [a] -> [a] -> [a]
cap xs = foldl (\zs y -> if y `elem` xs then zs ++ [y] else zs) []

initial :: Goal -> [Goal]
initial (Goal xs ys) = if null $ cap xs ys
                        then [Goal xs ys] else []
```

# Inference Rules

$$\frac{\Gamma \vdash \Delta, \psi}{\Gamma, \neg\psi \vdash \Delta} \text{ NOTL}$$

DEFINE notl FUNCTION to realise the inference rule NOTL

```
notl :: Goal -> [Goal]
notl (Goal xs ys) =
  let p x = case x of
    App [Cst "~", t] -> False
    _ -> True
  f x = case x of
    App [Cst "~", t] -> [t]
    _ -> []
  hs = filter p xs
  ks = concat $ map f xs
in [Goal hs (ys ++ ks)]
```

# Inference Rules

$$\frac{\Gamma, \psi \vdash \Delta}{\Gamma \vdash \Delta, \neg\psi} \text{ NOTR}$$

DEFINE notr FUNCTION to realise the inference rule NOTR

```
notr :: Goal -> [Goal]
notr (Goal xs ys) =
  let p x = case x of
    App [Cst "~", t] -> False
    _ -> True
  f x = case x of
    App [Cst "~", t] -> [t]
    _ -> []
  hs = filter p ys
  ks = concat $ map f ys
in [Goal (xs ++ ks) hs]
```

# Inference Rules

$$\frac{\Gamma \vdash \Delta, \phi \quad \Gamma, \psi \vdash \Delta}{\Gamma, \phi \Rightarrow \psi \vdash \Delta} \text{ IMPL}$$

DEFINE `impl` FUNCTION to realise the inference rule IMPL

```
impl :: Goal -> [Goal]
impl (Goal xs ys) =
  let f x = case x of
    App [Cst ">=", ta, tb] -> [(x, ta, tb)]
    _ -> []
  ps = concat $ map f xs
  in if null ps then [Goal xs ys]
     else let (t, ta, tb) = head ps
           hs = rm t xs
           in [Goal (tb:hs) ys, Goal hs (ta:ys)]
```

# Inference Rules

$$\frac{\Gamma, \phi \vdash \Delta, \psi}{\Gamma \vdash \Delta, \phi \Rightarrow \psi} \text{ IMPR}$$

DEFINE impr FUNCTION to realise the inference rule IMPR

```
impr :: Goal -> [Goal]
impr (Goal xs ys) =
  let p x = case x of
    App [Cst ">=", ta, tb] -> False
    _ -> True
  f x = case x of
    App [Cst ">=", ta, tb] -> [(ta, tb)]
    _ -> []
  (hs, ks) = unzip $ concat $ map f ys
  in [Goal (xs ++ hs) (filter p ys ++ ks)]
```

## Inference Rules

$$\frac{\Gamma, \phi, \psi \vdash \Delta}{\Gamma, \phi \wedge \psi \vdash \Delta} \text{ CONL}$$

DEFINE `conl` FUNCTION to realise the inference rule CONL

```
conl :: Goal -> [Goal]
conl (Goal xs ys) =
  let f x = case x of
    App [Cst "/\\\", ta, tb] -> [ta, tb]
    _ -> [x]
  in [Goal (concat $ map f xs) ys]
```

# Inference Rules

$$\frac{\Gamma \vdash \Delta, \phi \quad \Gamma \vdash \Delta, \psi}{\Gamma \vdash \Delta, \phi \wedge \psi} \text{ CONR}$$

DEFINE conr FUNCTION to realise the inference rule CONR

```
conr :: Goal -> [Goal]
conr (Goal xs ys) =
  let f x = case x of
    App [Cst "/\\", ta, tb] -> [(x, ta, tb)]
    _ -> []
  ps = concat $ map f ys
  in if null ps then [Goal xs ys]
     else let (t, ta, tb) = head ps
           hs = rm t ys
           in [Goal xs (ta : hs), Goal xs (tb : hs)]
```

# Inference Rules

$$\frac{\Gamma, \phi \vdash \Delta \quad \Gamma, \psi \vdash \Delta}{\Gamma, \phi \vee \psi \vdash \Delta} \text{ DISL}$$

DEFINE `disl` FUNCTION to realise the inference rule DISL

```
disl :: Goal -> [Goal]
disl (Goal xs ys) =
  let f x = case x of
    App [Cst "\\"/, ta, tb] -> [(x, ta, tb)]
    _ -> []
  ps = concat $ map f xs
  in if null ps then [Goal xs ys]
     else let (t, ta, tb) = head ps
           hs = rm t xs
           in [Goal (ta : hs) ys, Goal (tb : hs) ys]
```

# Inference Rules

$$\frac{\Gamma \vdash \Delta, \phi, \psi}{\Gamma \vdash \Delta, \phi \vee \psi} \text{ DISR}$$

DEFINE `disr` FUNCTION to realise the inference rule DISR

```
disr :: Goal -> [Goal]
disr (Goal xs ys) =
  let f x = case x of
    App [Cst "\\/", ta, tb] -> [ta, tb]
    _ -> [x]
  in [Goal xs (concat $ map f ys)]
```

## Extension: Reflexivity

$$\frac{}{\Gamma \vdash \Delta, t = t} \text{REFL}$$

DEFINE refl FUNCTION to realise the axiom REFL

```
refl :: Goal -> [Goal]
refl (Goal xs ys) =
  let p y = case y of
    App [Cst "=", ta, tb] -> ta == tb
    _ -> False
  in if null $ filter p ys then [Goal xs ys] else []
```

## Extension: Logical Equivalence

$$\frac{\Gamma, \phi \Rightarrow \psi, \psi \Rightarrow \phi \vdash \Delta}{\Gamma, \phi \Leftrightarrow \psi \vdash \Delta} \text{ BIML}$$

DEFINE biml FUNCTION to realise the axiom BIML

```
biml :: Goal -> [Goal]
biml (Goal xs ys) =
  let p x = case x of
    App [Cst "<=>", ta, tb] -> False
    _ -> True
  f x = case x of
    App [Cst "<=>", ta, tb] ->
      [(App [Cst "=>", ta, tb], App [Cst "=>", tb, ta])]
    _ -> []
  (hs, ks) = unzip $ concat $ map f xs
in [Goal (filter p xs ++ hs ++ ks) ys]
```

## Extension: Logical Equivalence

$$\frac{\Gamma \vdash \Delta, \phi \Rightarrow \psi \quad \Gamma \vdash \Delta, \psi \Rightarrow \phi}{\Gamma \vdash \Delta, \phi \Leftrightarrow \psi} \text{BIMR}$$

DEFINE bimr FUNCTION to realise the axiom BIMR

```
bimr :: Goal -> [Goal]
bimr (Goal xs ys) =
  let f x = case x of
    App [Cst "<=>", ta, tb] ->
      [(x, App [Cst "=>", ta, tb], App [Cst "=>", tb, ta])]
    _ -> []
  ps = concat $ map f ys
  in if null ps then [Goal xs ys]
     else let (t, ta, tb) = head ps
           hs = rm t ys
           in [Goal xs (ta:hs), Goal xs (tb:hs)]
```

# Tactics

TACTIC: a command to transform a list  $\Gamma$  of goals into another list  $\Delta$  of goals such that the conjunction of goals in  $\Delta$  implies the conjunction of goals in  $\Gamma$ .

```
type Tacs = [(String, [Goal] -> [Goal])]
```

# Tactics

```
axms :: Tacs
axms = [("initial", initial . head),
        ("refl", refl . head)]  
  
infs :: Tacs
infs = [("notl", notl . head),
        ("notr", notr . head),
        ("impl", impl . head),
        ("impr", impr . head),
        ("conl", conl . head),
        ("conr", conr . head),
        ("disl", disl . head),
        ("disr", disr . head),
        ("biml", biml . head),
        ("bimr", bimr . head)]
```

# Interactive Theorem Provers

DEFINE itp FUNCTION *to allow users to prove a statement by applying tactics*

```
itp :: Tacs -> [Goal] -> IO ()
itp tacs [] = putStrLn "\n  Q. E. D. \n"
itp tacs (g:gs) =
  do putStrLn "\n# CURRENT GOAL "
     putStrLn ("(" ++ show (length gs) ++ " OTHER GOALS TO PROVE) #")
     putStrLn (show g)
     putStrLn "\n > "
     s <- getLine
     case s of
       "quit" -> putStrLn "\n  BYE-BYE  \n"
       "next" -> itp tacs (gs ++ [g])
       _ -> let hs = concat $ map (\(t,f) -> if s == t then [f] else []) tacs
             in if null hs
                 then do putStrLn "\n!!! INVALID !!!\n"
                          itp tacs (g:gs)
                 else let ks = head hs $ [g]
                       in if ks == [g]
                           then do putStrLn "\n!!! NO EFFECT !!!\n"
                                   itp tacs (ks ++ gs)
                           else itp tacs (ks ++ gs)
```

# Interactive Theorem Provers

DEMO: *to demonstrate that*

$$p \wedge q \Rightarrow p \vee q$$

```
$ ghci gentzen.hs
GHCi, version 9.6.1: https://www.haskell.org/ghc/  ?: for help
[1 of 2] Compiling Main           ( gentzen.hs, interpreted )
Ok, one module loaded.
ghci> itp (axms ++ infs) [head gs]

# CURRENT GOAL (0 OTHER GOALS TO PROVE) #

-----
C1: (=> (/\ $\wedge$  p q) (/\ $\vee$  p q))

> impr

# CURRENT GOAL (0 OTHER GOALS TO PROVE) #

A1: (/\ $\wedge$  p q)
-----
C1: (/\ $\vee$  p q)

> conl

# CURRENT GOAL (0 OTHER GOALS TO PROVE) #

A1: p
A2: q
-----
C1: (/\ $\vee$  p q)

> disr

# CURRENT GOAL (0 OTHER GOALS TO PROVE) #

A1: p
A2: q
-----
C1: p
C2: q

> initial

Q. E. D.
```

# Automation: Simplification

CONFLUENCE: **the order of applying tactics in Gentzen system doesn't matter.**

In computer science, **confluence** is a property of rewriting systems, describing which terms in such a system can be rewritten in more than one way, to yield the same result. — Wikipedia

# Automation: Simplification

DEFINE simple FUNCTION to apply tactics until the proof is done or there is no change

```
fix :: Eq a => (a -> a) -> a -> a
fix f x = let y = f x in if x == y then x else fix f y

simple :: [Goal] -> [Goal]
simple gs =
  let axms = [initial, refl]
      inf_s = [notl, notr, impl, impr, conl, conr,
                disl, disr, biml, bimr]
      tacs = axms ++ inf_s
  in fix (concat . map (apply tacs)) gs
where apply :: [Goal -> [Goal]] -> Goal -> [Goal]
  apply [] g = [g]
  apply (t:ts) g = let gs = t g
                   in if gs == [g] then apply ts g else gs
```

# Tactics

ADD simple TACTIC to extend the list of tactics

```
autos :: TacS
autos = [("simple", simple)]
```

# Automation: Simplification

DEMO: to demonstrate that

$$p \wedge q \Rightarrow p \vee q$$

$$\neg\neg p \Leftrightarrow p$$

$$\neg(p \vee q) \Leftrightarrow \neg p \wedge \neg q$$

$$p \vee \neg q$$

$$p \Rightarrow p \wedge q$$

```
ghci> itp (axms ++ infs ++ autos) gs
# CURRENT GOAL (4 OTHER GOALS TO PROVE) #

-----
C1: (=> (/\\ p q) (\\/ p q))

> simple

# CURRENT GOAL (3 OTHER GOALS TO PROVE) #

-----
C1: (<=> (~ (~ p)) p)

> simple

# CURRENT GOAL (2 OTHER GOALS TO PROVE) #

-----
C1: (<=> (~ (\\/ p q)) (/\\ (~ p) (~ q)))

> simple

# CURRENT GOAL (1 OTHER GOALS TO PROVE) #

-----
C1: (\\/ p (~ p))

> simple

# CURRENT GOAL (0 OTHER GOALS TO PROVE) #

-----
C1: (=> p (/\\ p q))

> simple

# CURRENT GOAL (0 OTHER GOALS TO PROVE) #

A1: p
-----
C1: q

> quit

BYE-BYE
```