

4-1 Convolution

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Intuition for convolution neural network

1. Given an image, why not use a fully connected neural network?

- Number of parameters is **overwhelmingly** large, easily leading to overfitting
 - ▷ To overcome this problem, we may require more training data, more memory and faster computation algorithms
 - ▷ **Almost impossible in practice**
- Overlooks the spatial structure
- Not location (translation) invariant

2. Consider a new network, convolution neural network, for image processing

Convolution neural network

1. Advantages of convolution:

- Convolution is implemented for local regions independently, so computation can be **parallelized**
- Convolution shares kernels over different local regions, so it involves **fewer parameters**
- Convolution takes local spatial information into consideration, so the model is **more spatially in**

2. Neural network using convolution is called convolution neural network (CNN)

Convolution

1. Convolution is not new to us

- In probability, convolution is related to the density function of $Z = X + Y$

$$f_Z(z) = \int f_X(x)f_Y(z-x)\mu(\mathrm{d}x),$$

- ▷ μ : dominating measure
- ▷ $f_\star(\cdot)$: density function for random variable \star
- ▷ X, Y : two **independent** random variables

2. For simplicity, denote the convolution of functions f and g to be

$$h(z) = (f * g)(z)$$

- Mathematically, f and g can be any functions as long as the definition is valid

1d convolution

Input

3	6	5	4	8	9	1	7	9	10	10
---	---	---	---	---	---	---	---	---	----	----

Kernel

-1	0	1
----	---	---

Calculation

Result

2	-2	3	5	-7	-2	8	3	1
---	----	---	---	----	----	---	---	---

1. Usually, we flip $g(x)$ to obtain a kernel so that we can conveniently use a command like `np.sum`
2. Values for x are no longer useful

Padding

1. Intuition

- The size of the input sequence decreases after convolution
- Some (important) information on the boundary is overlooked

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Padding

Input

0	3	6	5	4	8	9	1	7	9	10	10	0
---	---	---	---	---	---	---	---	---	---	----	----	---

Kernel

-1	0	1
----	---	---

Calculation

Result

6	2	-2	3	5	-7	-2	8	3	1	-10
---	---	----	---	---	----	----	---	---	---	-----

Padding

1. Two types of convolutions:
 - **Valid** convolution: no padding
 - **Same** convolution: apply padding so that the output size is the same as the input
2. We can pad more than one 0 around the borders.
3. We can move more than one steps to do convolution to
 - Decrease the output size
 - Balance accuracy and computation cost

Stride

Input

3	6	5	4	8	9	1	7	9	10	10
---	---	---	---	---	---	---	---	---	----	----

Kernel

-1	0	1
----	---	---

Calculation

Result

2	3	-7	8	1
---	---	----	---	---

1. In the above example, stride is 2
2. Stride can be other positive numbers
3. Padding and stride can be used at the same time

Dilated/Atrous convolution

Input

3	6	5	4	8	9	1	7	9	10	10
---	---	---	---	---	---	---	---	---	----	----

Dilated Kernel

-1	0	1	0	1
----	---	---	---	---

Calculation

Result

10	7	4	12	2	8	18
----	---	---	----	---	---	----

1. In the above example, **dilation rate** is 2
2. Dilation increases the receptive field without (essentially) increasing kernel size
3. Padding, stride and dilation can be used at the same time

2d convolution

Original sequence

(Padding = 1)

0	0	0	0	0	0	0
0	3	6	5	4	8	0
0	9	1	7	9	6	0
0	8	0	5	0	9	0
0	6	2	0	5	2	0
0	6	3	7	0	9	0
0	0	0	0	0	0	0

Kernel

-1	1
-1	1

Result

(Stride=2)

3	-1	4
17	11	6
12	2	6

Average kernel



$$\begin{bmatrix} 1/9 & 1/9 & 1/9 \\ 1/9 & 1/9 & 1/9 \\ 1/9 & 1/9 & 1/9 \end{bmatrix}$$



Gaussian kernel



$$\begin{bmatrix} 1/16 & 1/8 & 1/16 \\ 1/8 & 1/4 & 1/8 \\ 1/16 & 1/8 & 1/16 \end{bmatrix}$$



Sharpen kernel



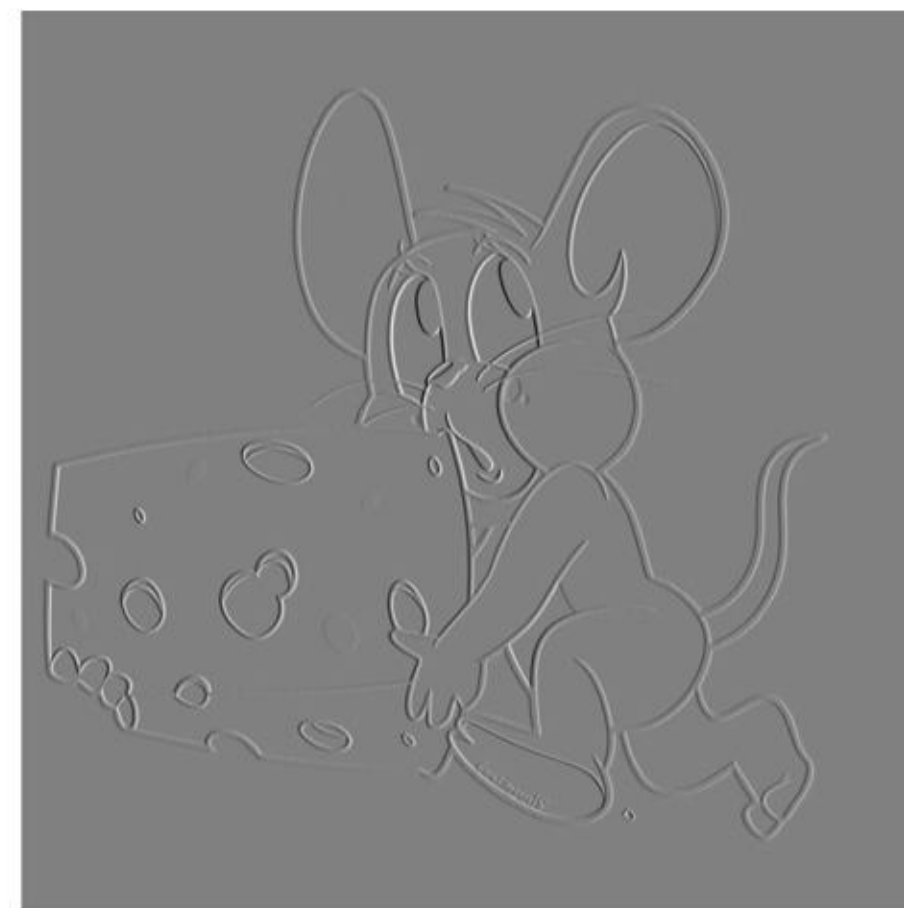
$$\begin{bmatrix} -1 & -1 & -1 \\ -1 & 9 & -1 \\ -1 & -1 & -1 \end{bmatrix}$$



Sobel kernel for vertical boundary



$$\begin{bmatrix} -1 & 0 & 1 \\ -2 & 0 & 2 \\ -1 & 0 & 1 \end{bmatrix}$$



Sobel kernel for horizontal boundary

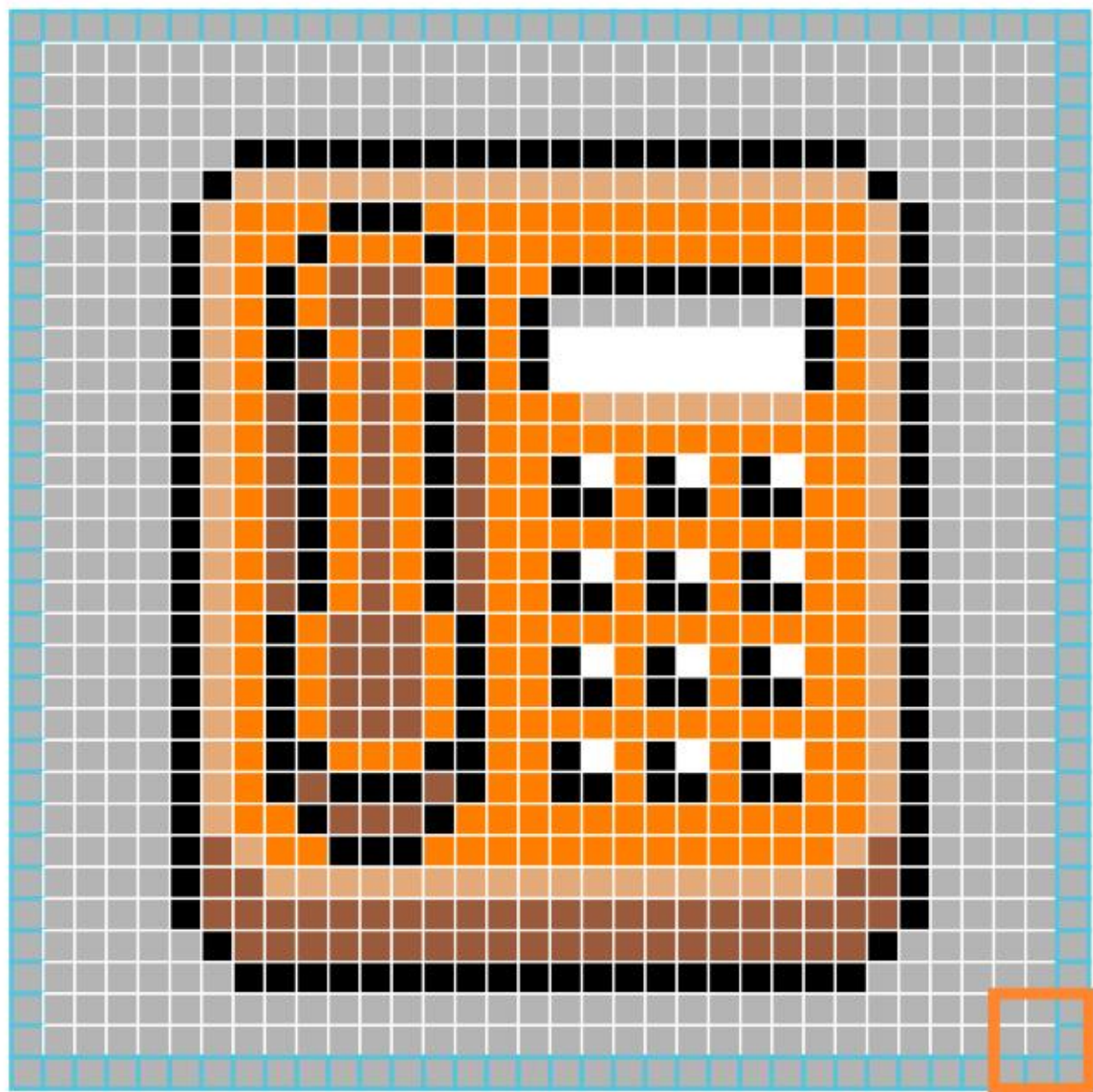


$$\begin{bmatrix} -1 & -2 & -1 \\ 0 & 0 & 0 \\ 1 & 2 & 1 \end{bmatrix}$$



Another example

Original image



Kernel

-1	-1	-1
-1	9	-1
-1	-1	-1

Sharpen

-1	0	1
-2	0	2
-1	0	1

Sobel (vertical)

-1	-2	-1
0	0	0
1	2	1

Sobel (horizontal)



Multi-Channel convolution

1. In general, the third dimension of kernels is the same as the input

2. Output size calculation

- Input size: $d_H \times d_W \times d_C$
- Kernel size: $f \times f \times d_C$
- Padding: p
- Stride: s
- Output size: $d'_H \times d'_W$

$$d'_H = \left\lfloor \frac{d_H + 2p - f}{s} + 1 \right\rfloor, \quad d'_W = \left\lfloor \frac{d_W + 2p - f}{s} + 1 \right\rfloor$$