

Chapter 16: Generics

TAO Yida

taoyd@sustech.edu.cn



Objectives

▶ Motivation of generic methods (泛型方法)

Declare and use generic methods

▶ Declare and use generic classes (泛型类)



Recall Method Overloading

A language feature that allows a class to have multiple methods with the same name, but different parameter lists.

```
public static void printArray(Integer[] array) {
    for (Integer element : array) System.out.printf("%s ", element);
    System.out.println();
}

public static void printArray(Double[] array) {
    for (Double element : array) System.out.printf("%s ", element);
    System.out.println();
}

public static void printArray(Character[] array) {
    for (Character element : array) System.out.printf("%s ", element);
    System.out.println();
}
```



Using overloaded methods

```
integerArray contains: 1 2 3 4 5 6
doubleArray contains: 1.1 2.2 3.3 4.4 5.5
characterArray contains: H E L L O
```



Looks good, but wait...

```
public static void printArray(Integer[] array) {
    for (Integer element : array) System.out.printf("%s ", element);
    System.out.println();
public static void printArray(Double[] array) {
   for (Double element : array) System.out.printf("%s ", element);
   System.out.println();
public static void printArray(Character[] array) {
    for (Character element : array) System.out.printf("%s ", element);
    System.out.println();
}
```

These methods are identical except the data type part (in red). If the input is Long[] or String[], shall we continue the overloading?





A better design with generics

If the operations performed by several overloaded methods are identical for each argument type, the overloaded methods can be more compactly coded using a generic method.

```
public static <T> void printArray(T[] array) {
    for (T element : array) System.out.printf("%s ", element);
    System.out.println();
}
```

Type-parameter section: one or more type parameters (类型参数) delimited by <>

Each type parameter parameterizes the data types that can be used in the method (in the above example, T can be used anywhere a data type name is expected)



Declaring generic methods

- Generic methods can be declared like any other normal methods.
- Type parameters can represent only reference types (not primitive types)

```
public static void printArray(Double[] array) {
    for (Double element : array) System.out.printf("%s ", element);
    System.out.println();
}
```



No difference except the data type is parameterized

```
public static <T> void printArray(T[] array) {
   for (T element : array) System.out.printf("%s ", element);
   System.out.println();
}
```



Using generic methods

```
public static void main(String[] args) {
    Integer[] integerArray = { 1, 2, 3, 4, 5, 6 };
    Double[] doubleArray = { 1.1, 2.2, 3.3, 4.4, 5.5 };
    Character[] characterArray = { 'H', 'E', 'L', 'L', 'O' };
    System.out.print("integerArray contains: ");
    printArray(integerArray);
    System.out.print("doubleArray contains: ");
    printArray(doubleArray);
    System.out.print("characterArray contains: ");
    printArray(characterArray);
}

    Results are the same as before.
    But we only declare one
    printArray(characterArray);
}
```

```
integerArray contains: 1 2 3 4 5 6
doubleArray contains: 1.1 2.2 3.3 4.4 5.5
characterArray contains: H E L L O
```



How does compiler work here?

```
public class GenericMethodExample {
  public static void main(String[] args) {
   Integer[] integerArray = ...;
   Double[] doubleArray = ...;
   Character[] characterArray =
    printArray(integerArray);
   printArray(doubleArray);
   printArray(characterArray);
  public static <T> void printArray(T[] array) {
   for (T element : array)
      System.out.printf("%s ", element);
   System.out.println();
```

A high-level view

Determine integerArray's type is Integer[]

Locate a method named printArray with a single parameter of Integer[] type. Not such method.

Determine whether there is a generic method named printArray with a single parameter of array type and uses a type parameter to represent the array element type. Yes, found the method.

Check whether the operations in the method can be applied to the type of elements stored in the actual array argument. Yes, all objects have a toString method (implicit call here). The code compiles!



Under the hood: Erasure (消除)

- When the compiler translates generic method printArray into Java bytecodes, it removes the type-parameter section and replaces the type parameters with actual types. This process is known as erasure.
- By default, all generic types are replaced with type Object
- The compiled version of printArray is shown below (we show source code instead of bytecodes)

```
public static void printArray(Object[] array) {
    for (Object element : array) System.out.printf("%s ", element);
    System.out.println();
}
```



In the earlier example, it seems that using generic methods is the same as using Object array as parameter of printArray (like the code below). Why using generics then?

```
public static void main(String[] args) {
    Integer[] integerArray = { 1, 2, 3, 4, 5, 6 };
    Double[] doubleArray = { 1.1, 2.2, 3.3, 4.4, 5.5 };
    Character[] characterArray = { 'H', 'E', 'L', 'L', '0' };
    System.out.print("integerArray contains: ");
    printArray(integerArray);
    System.out.print("doubleArray contains: ");
    printArray(doubleArray);
    System.out.print("characterArray contains: ");
    printArray(characterArray);
public static void printArray(Object[] array) {
    for (Object element : array) System.out.printf("%s ", element);
    System.out.println();
```



```
public static Object simplyReturn(Object o) {
    return o;
}
public static void main(String[] args) {
    String s = simplyReturn("hello");
}
```

The compiler sees that the method return type is Object, assigning a reference of Object to a String variable is illegal, so a compilation error will occur.

Can we downcast to String?



```
public static Object simplyReturn(Object o) {
    return o;
}
public static void main(String[] args) {
    String s = (String)simplyReturn(100);
}
```

Programmers need to perform explicit type cast: (String) simplyReturn("hello"), However, the code may generate ClassCastExceptions if the cast fails at runtime.



```
public static <T> T simplyReturn(T o) {
    return o;
}
public static void main(String[] args) {

    String s = simplyReturn("hello");
}
```

With the generic method, the compiler will perform careful type checking and infer the return type is String when the actual argument's type is String and inserts type cast automatically (such cast will never throw ClassCastException, guaranteed to be safe).

Therefore, the code can be successfully compiled and is more type safe (类型安全). The benefits become obvious when the return type is also parameterized.



Bounded Type Parameter

```
public static <T> T simplyReturn(T o) {
    return o;
}
```

- In generic methods like the above, all reference types up to Object can be passed to the type parameter (we say Object is an upper bound).
- be passed to a type parameter, e.g., a method that operates on numbers might only want to accept instances of Number or its subclasses
- Bounded type parameters (有界类型参数) are useful in such cases.



Bounded Type Parameter

- To declare a bounded type parameter, simply list the type parameter's name followed by the extends keyword and an upper bound (上边界)
 - Here, extends is used in a general sense to mean either "extends" as in classes or "implements" as in interfaces.

```
public static <T extends Number> T sum(T x, T y) {
    return x.intValue() + y.intValue();
}
```

T can be any type that extends the

Number class, therefore we can

invoke intValue() of such type T



Bounded Type Parameter

- To declare a bounded type parameter, simply list the type parameter's name followed by the extends keyword and an upper bound (上边界)
 - Here, extends is used in a general sense to mean either "extends" as in classes or "implements" as in interfaces.

```
public static <T extends Comparable<T>> T maximum(T x, T y, T z) {
    T max = x;
    if (y.compareTo(max) > 0) max = y;
    if (z.compareTo(max) > 0) max = z;
    return max;
}

the Comparable interface,
    therefore we can invoke
    compareTo of such type T
```



Example

Maximum of 3, 4 and 5 is 5
Maximum of 6.6, 8.8 and 7.7 is 8.8
Maximum of pear, apple and orange is pear

Integer, Double and String all implement the Comparable interface, so can be passed to the type parameter



Compiler's view

```
// Erasure: replacing the type parameter T with the upper bound Comparable
public static Comparable maximum(Comparable x, Comparable y, Comparable z) {
    Comparable max = x;
    if (y.compareTo(max) > 0) max = y;
    if (z.compareTo(max) > 0) max = z;
    return max;
}
```

When encountering method calls, infer the return type and insert explicit casts (the compiler guarantees that the cast will never throw ClassCastException):

```
maximum(3, 4, 5) \Rightarrow (Integer) maximum(3, 4, 5)

maximum(6.6, 8.8, 7.7) \Rightarrow (Double) maximum(6.6, 8.8, 7.7)

maximum("pear", "apple", "orange") \Rightarrow (String) maximum("pear", "apple", "orange")
```



Objectives

- ▶ Motivation of generic methods (泛型方法)
- Declare and use generic methods

▶ Declare and use generic classes (泛型类)



Generic classes

- The concept of many data structures, such as a stack, can be understood independently of the element type it manipulates.
- Generic classes provide a means for describing the concept of a stack (or any other classes) in a type independent manner.
- We can then instantiate type-specific objects of the generic classes. This makes software reusable (**program in general, not in specifics**).

1
2
3

H E L

Hello
Java
World

A stack of Integer objects

A stack of Character objects

A stack of String objects



We've seen generic classes before

ArrayList<E> is a **generic class**, where E is a placeholder (占位符) (**type parameter**) for the type of elements that you want the ArrayList to hold.

ArrayList<String> list;

Declares list as an ArrayList collection to store String objects

ArrayList<Integer> list;

Declares list as an ArrayList collection to store Integer objects



Declaring a generic class

- A generic class declaration looks like a non-generic class declaration, except that the class name is followed by a **type-parameter section**.
- The type-parameter section can have one or more type parameters separated by commas.
- Generic classes are also known as parameterized classes.
- In a generic class, type parameters can be used anywhere a type is expected (e.g., when declaring parameters, return types, defining variables ...)



A generic Stack class

```
public class Stack<T> {
    private ArrayList<T> elements; // use an ArrayList to implement the stack
    public Stack() { this(10); }
    public Stack(int capacity) {
        int initCapacity = capacity > 0 ? capacity : 10;
        elements = new ArrayList<T>(initCapacity);
    }
    public void push(T value) {
        elements.add(value);
    }
    public T pop() {
        if(elements.isEmpty())
            throw new EmptyStackException();
        return elements.remove(elements.size() - 1);
}
```



Test the generic Stack class

```
public static void main(String[] args) {
   Stack<Double> doubleStack = new Stack<Double>(5);
    Stack<Integer> integerStack = new Stack<Integer>();
    doubleStack.push(1.2);
    Double value = doubleStack.pop();
    System.out.println(value);
    integerStack.push(1);
    integerStack.push(2);
    while(true) {
        Integer i = integerStack.pop();
        System.out.println(i);
}
```

```
1.2
2
1
Exception...
```



Compiler's view

Erasure (similar to generic methods): Replacing all type parameters with **Object** or their bounds if the type parameters are bounded

The produced bytecodes contain only ordinary classes, interfaces, and methods, i.e., no generics at the bytecode level

```
public class Stack {
    private ArrayList<Object> elements;
    public Stack() { this(10); }
    public Stack(int capacity) {...
        elements = new ArrayList<Object>(initCapacity);
    }
    public void push(Object value) { ... }
    public Object pop() { ... }
}
```



Compiler's view

The compiler will insert type casts if necessary to preserve type safety

```
Stack<Double> doubleStack = new Stack<Double>(5);
doubleStack.push(1.2);
Double value = doubleStack.pop();
```

```
Stack doubleStack = new Stack(5);
doubleStack.push(1.2);
Double value = (Double) doubleStack.pop();
```



Let's test our understanding

▶ **Q1:** Will the compiler successfully compile the following code?

```
String s = "hello world";
Object obj = s;
```



- It is **safe** to assign **s** (of type **String**) to **obj** (of type **Object**) because an instance of a subclass (subtype) is also an instance of a superclass (supertype).
- "Safe" means any operations that can be done via the reference obj are also allowed to be done via the reference s



A more difficult question

▶ **Q2:** Will the compiler successfully compile the following code?

```
ArrayList<String> ls = new ArrayList<String>();
List<String> ls2 = ls;
```



- It is safe to assign 1s to 1s2 because an ArrayList of String is also a List of String.
- Any operations that can be done via the reference 1s2 can also be done via the reference 1s



The "hardest" question about generics

Q3: Will the compiler successfully compile the following code?

```
List<String> ls = new ArrayList<String>();
List<Object> lo = ls;
```



- This boils down to the question: *is a List of String a List of Object*? (Most people will instinctively answer "yes"...)
- **What if we ask the safety question:** *is it true that any operations that can be done via the reference Lo can also be done via Ls?*



Let's do some analysis

As a reference of type List<Object>, lo can be used for the following operation:

```
lo.add(new Double());
```

However, we cannot perform the same operation via the reference ls because it is of type List<String>

```
List<String> ls = new ArrayList<String>();
List<Object> lo = ls; // type mismatch
```





Further analysis from compiler's view

If the compiler allows assigning 1s to 10, then the code

```
List<String> ls = new ArrayList<String>();
List<Object> lo = ls;
lo.add(new Double(0.0));
String s = ls.get(0);
```

will be compiled into the following form:

```
List ls = new ArrayList();
List lo = ls;
lo.add(new Double(0.0));
String s = (String) ls.get(0);
```



ClassCastException

Generic classes are designed to provide type safety, such exceptions are awkward



General Rule

- If Foo is a subtype (subclass or subinterface) of Bar, and G is some generic type declaration, it is not the case that G<Foo> is a subtype of G<Bar>.
- ▶ G<?> is a superclass of G<T> for any T

This is probably the hardest thing one needs to learn about generics, because it goes against our intuitions...