

CS 2233-01 Data Structures and Algorithms

# Chapter 4 Algorithm Analysis

**Instructor: Dr. Qingguo Wang**  
**College of Computing & Technology**  
**Lipscomb University**

# How do we compare algorithms?

- We need to define a number of objective measures.

(1) Compare execution times?

*Not good:* times are specific to a particular computer !!

(2) Count the number of statements executed?

*Not good:* number of statements vary with the programming language as well as the style of the individual programmer.

# Algorithm Analysis

- What is the goal of analysis of algorithms?
  - To compare algorithms mainly in terms of running time but also in terms of other factors (e.g., memory requirements, programmer's effort etc.)
- What do we mean by running time analysis?
  - **Determine how running time increases as the *size* of the problem increases.**

# Ideal Solution

- ❑ Express running time as a function of the input size  $n$  (i.e.,  $f(n)$ ).
- ❑ Compare different functions corresponding to running times.
- ❑ Such an analysis is independent of machine time, programming style, etc.

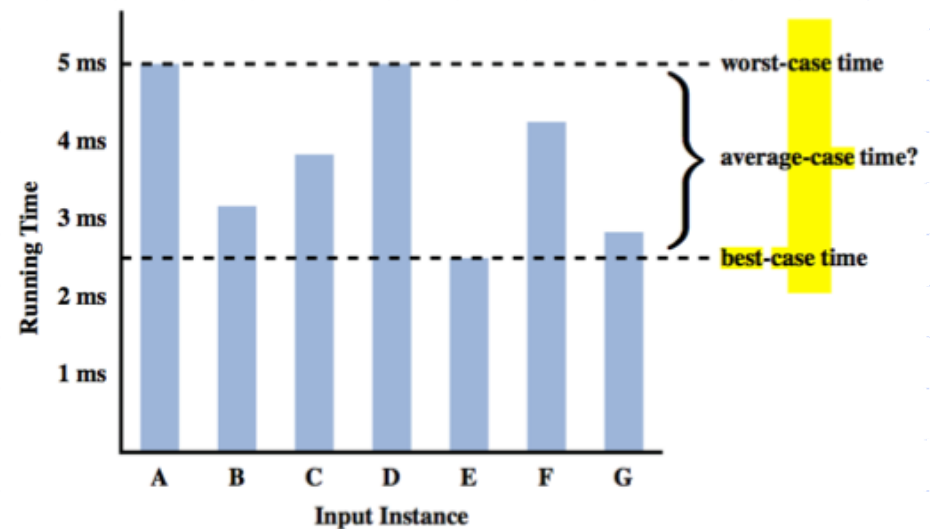
# Analysis Framework: Types of Analysis

## □ Worst case

- Provides an **upper bound** on running time
- An absolute **guarantee** that the algorithm would not run longer, no matter what the inputs are

## □ Best case

- Provides a **lower bound** on running time
- Input is the one for which the algorithm runs the fastest



$$\text{Lower Bound} \leq \text{Running Time} \leq \text{Upper Bound}$$

## □ Average case

- Provides a **prediction** about the running time
- Assumes that the input is random

# Example

- Associate a *cost* with each statement.
- Find the *total cost* by finding the total number of times each statement is executed.

## Algorithm 1

	Cost
arr[0] = 0;	$c_1$
arr[1] = 0;	$c_1$
arr[2] = 0;	$c_1$
...	...
arr[N-1] = 0;	$c_1$

$$c_1 + c_1 + \dots + c_1 = c_1 \times N$$

## Algorithm 2

	Cost
for(i=0; i<N; i++)	$c_2$
arr[i] = 0;	$c_1$

$$(N+1) \times c_2 + N \times c_1 = (c_2 + c_1) \times N + c_2$$

# Asymptotic Analysis

- ❑ To compare two algorithms with running times  $f(n)$  and  $g(n)$ , we need a **rough measure** that characterizes **how fast each function grows**.
- ❑ *Hint: use rate of growth*
- ❑ Compare functions in the limit, that is, **asymptotically!**  
(i.e., for large values of  $n$ )

# Rate of Growth

- The low order terms in a function are relatively insignificant for **large  $n$**

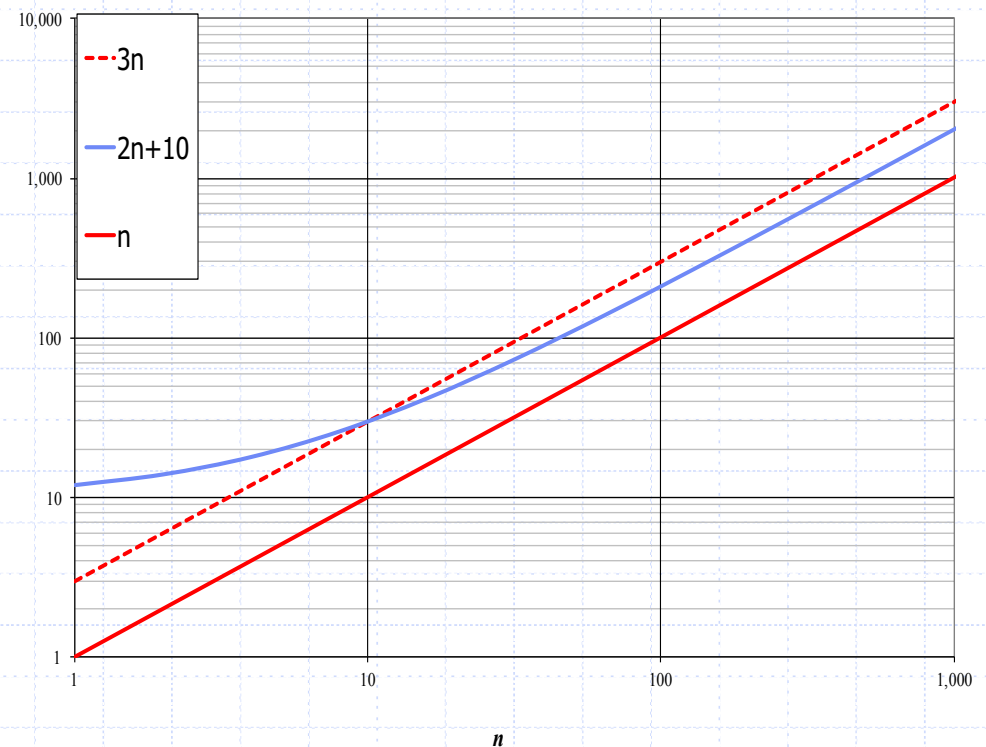
$$n^4 + 100n^2 + 10n + 50 \sim n^4$$

*i.e.*, we say that  $n^4 + 100n^2 + 10n + 50$  and  $n^4$  have the same **rate of growth**



# Asymptotic Notation (Big-Oh Notation)

- Given functions  $f(n)$  and  $g(n)$ , we say that  $f(n)$  is  $O(g(n))$  if there are positive constants  $c$  and  $n_0$  such that  $f(n) \leq cg(n)$  for  $n \geq n_0$
- Example: Can you prove that  $2n + 10$  is  $O(n)$ ?



# Big-Oh Notation

- Given functions  $f(n)$  and  $g(n)$ , we say that  $f(n)$  is  $O(g(n))$  if there are positive constants  $c$  and  $n_0$  such that  $f(n) \leq cg(n)$  for  $n \geq n_0$

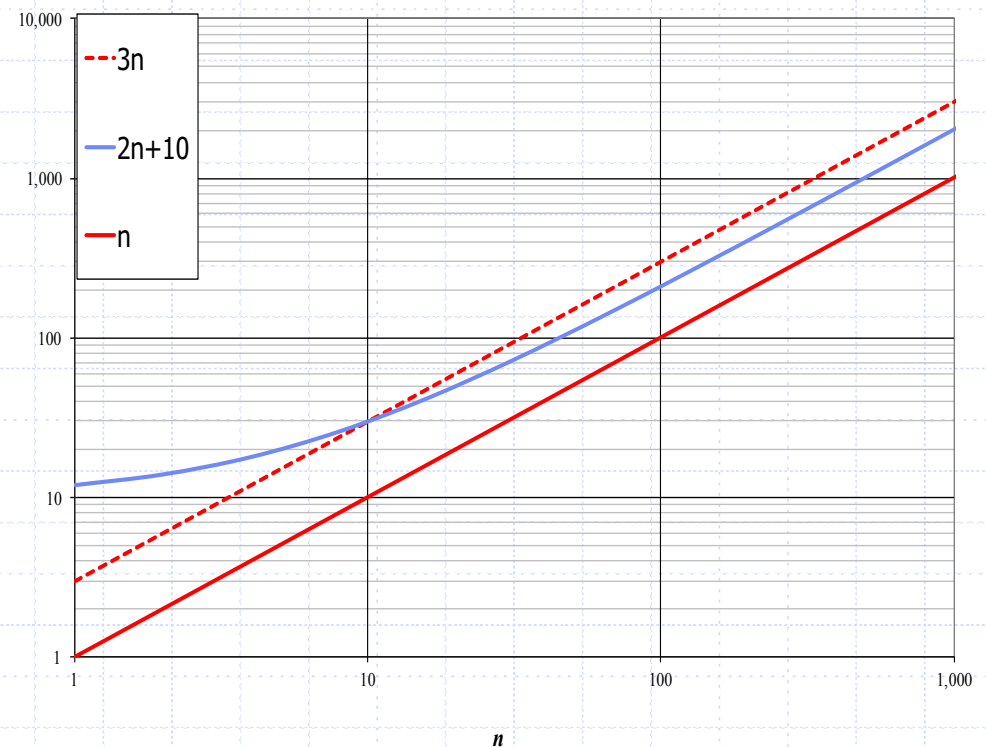
- Example:  $2n + 10$  is  $O(n)$

$$2n + 10 \leq cn$$

$$(c - 2)n \geq 10$$

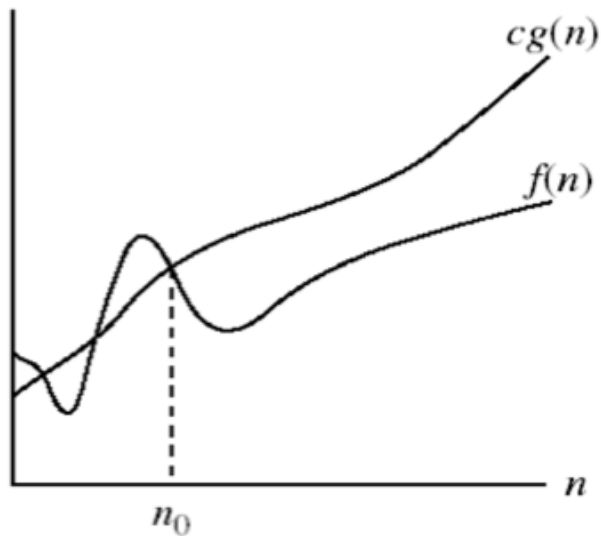
$$n \geq 10/(c - 2)$$

Pick  $c = 3$  and  $n_0 = 10$



# Asymptotic notations

## □ *O*-notation

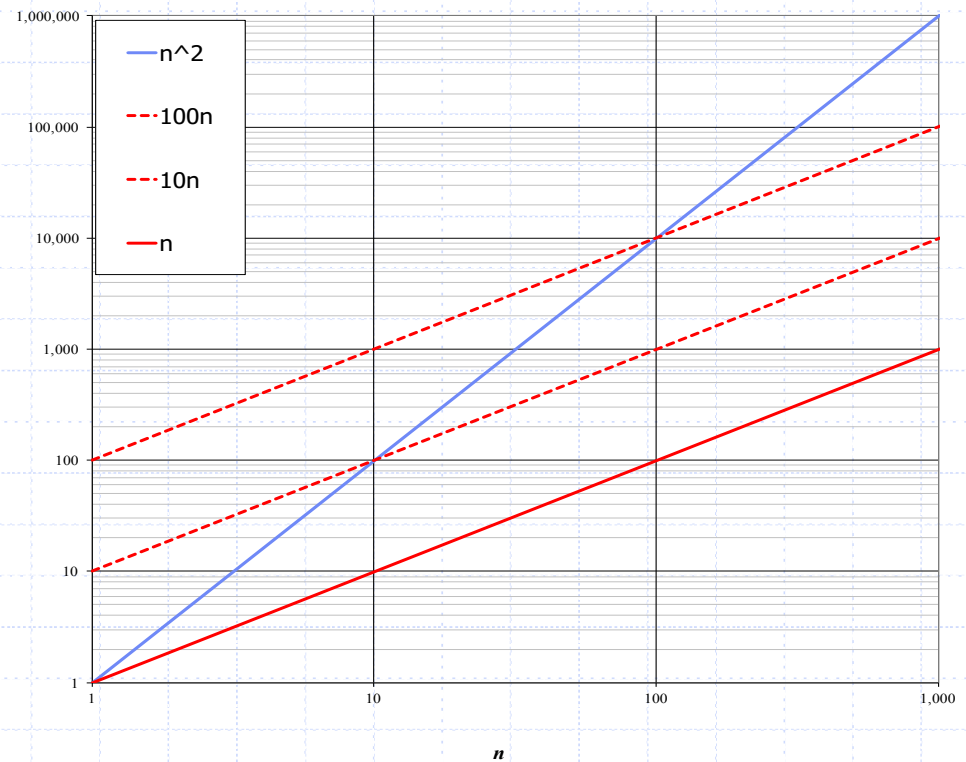


$g(n)$  is an *asymptotic upper bound* for  $f(n)$ .

$O(g(n))$  is the set of functions with smaller or same order of growth as  $g(n)$

# Big-Oh Example

- Can you prove the function  $n^2$  is not  $O(n)$ ?



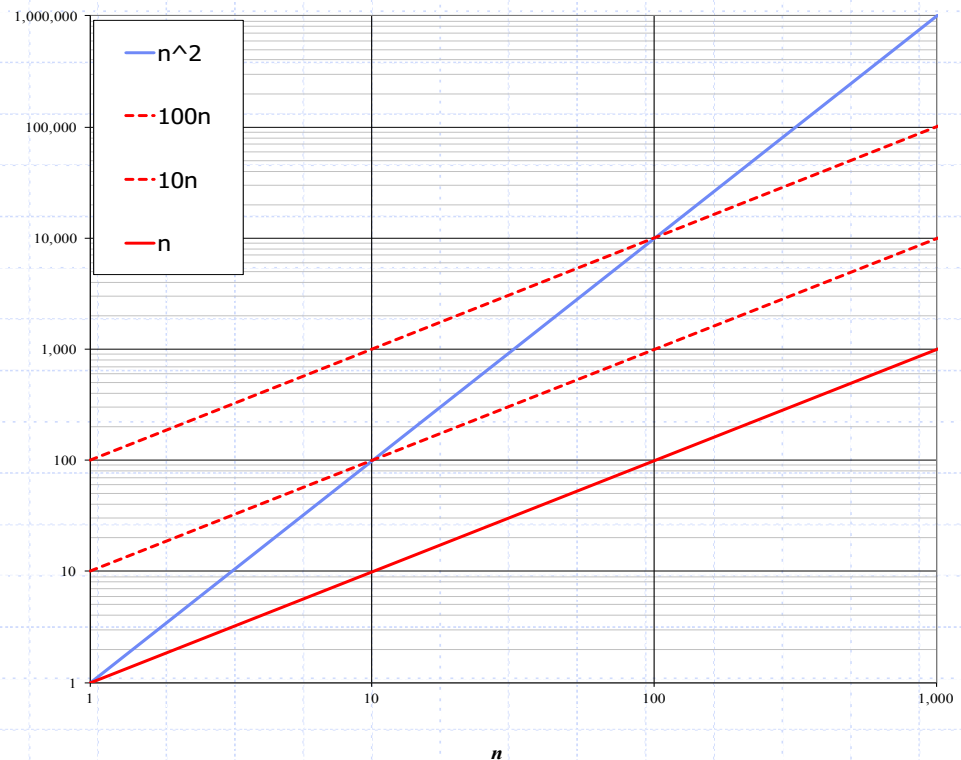
# Big-Oh Example

- Example: the function  $n^2$  is not  $O(n)$

$$n^2 \leq cn$$

$$n \leq c$$

The above inequality cannot be satisfied since  $c$  must be a constant



# More Big-Oh Examples

□  $7n - 2$  is  $O(n)$

□  $3n^3 + 20n^2 + 5$  is  $O(n^3)$

□  $3 \log n + 5$  is  $O(\log n)$

# More Big-Oh Examples

□  $7n - 2$  is  $O(n)$

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need  $c > 0$  and  $n_0 \geq 1$  such that  $7n - 2 \leq cn$  for  $n \geq n_0$

this is true for  $c = 7$  and  $n_0 = 1$

□  $3n^3 + 20n^2 + 5$  is  $O(n^3)$

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need  $c > 0$  and  $n_0 \geq 1$  such that  $3n^3 + 20n^2 + 5 \leq cn^3$  for  $n \geq n_0$

this is true for  $c = 4$  and  $n_0 = 21$

□  $3 \log n + 5$  is  $O(\log n)$

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need  $c > 0$  and  $n_0 \geq 1$  such that  $3 \log n + 5 \leq c \log n$  for  $n \geq n_0$

this is true for  $c = 8$  and  $n_0 = 2$

# Big-Oh Rules

- If  $f(n)$  is a polynomial of degree  $d$ , then  $f(n)$  is  $O(n^d)$ , i.e.,
  1. Drop lower-order terms
  2. Drop constant factors, e.g.  $n^4 + 100n^2 + 10n + 50$
- Use the smallest possible class of functions
  - Say “ $2n$  is  $O(n)$ ” instead of “ $2n$  is  $O(n^2)$ ”
- Use the simplest expression of the class
  - Say “ $3n + 5$  is  $O(n)$ ” instead of “ $3n + 5$  is  $O(3n)$ ”



# For Previous Examples

- $7n - 2$

- $3n^3 + 20n^2 + 5$

- $3 \log n + 5$

## Exercise R-4.22

Show that  $(n + 1)^5$  is  $O(n^5)$ .