

# *Analysis and Design of Algorithms*

## Chapter 7: Transform and Conquer



*School of Software Engineering © Ye Luo*



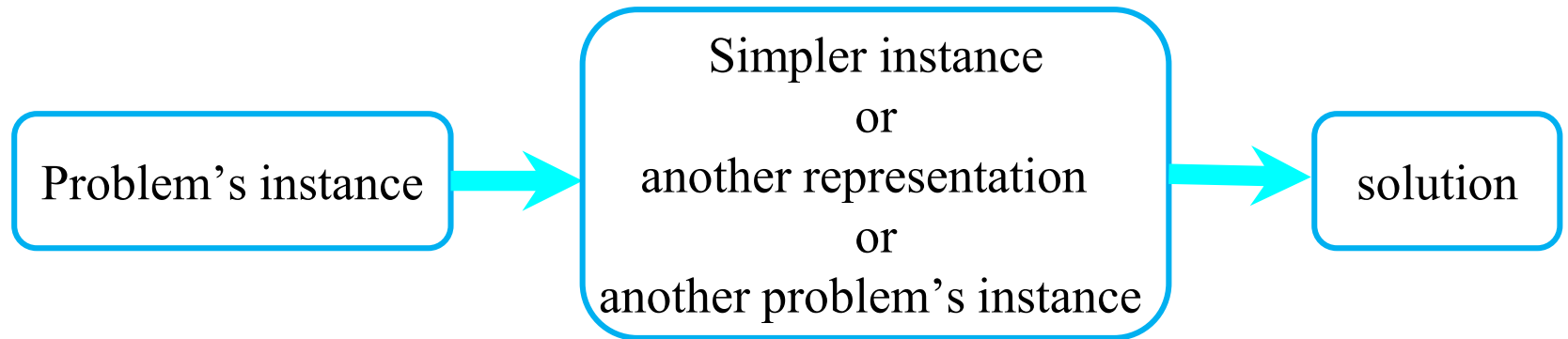
# Transform and Conquer

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## ■ **Three variations of Transform and Conquer tech.**

*This group of techniques solves a problem based on a transformation.*

✦ *Two stage:*



# *Transform and Conquer*

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## ■ **Three variations of Transform and Conquer tech.**

*Differ by what we transform a given instance to:*

✦ *instance simplification:*

*to a simpler/more convenient instance of the same problem*

✦ *representation change:*

*to a different representation of the same instance*

✦ *problem reduction:*

*to a different problem for which an algorithm is already available*

# Presorting - Instance simplification

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## ✦ why interested in sorting?

*many questions about a list are easier to answer if the list is sorted.*

## ✦ benefit from sorting?

- ☆ *the benefits of a sorted list should more than compensate for the time spent on sorting*
- ☆ generally comparison-based sorting alg. **worst case, at least  $n \log n$**

- **Selection Sort**  $\Theta(n^2)$

- **Bubble Sort**  $\Theta(n^2)$

- **Insertion Sort**  $C_{worst}(n) = \frac{(n-1)n}{2}$      $C_{best}(n) = n-1$      $C_{avg}(n) \approx \frac{n^2}{4}$

- **Mergesort**  $\Theta(n \log n)$

- **Quicksort**  $C_w(n) = \Theta(n^2)$      $C_b(n) = \Theta(n \log n)$      $C_{avg}(n) = O(n \log n)$

# *Presorting*

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## ■ ***Presorting --- Instance simplification***

- ✦ *searching*
- ✦ *computing the median (selection problem)*
- ✦ *checking if all elements are distinct (element uniqueness)*

# Presorting

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## ■ **Element Uniqueness with presorting**

### ✦ *Element Uniqueness problem --a brute-force method*

- *compare all pairs of the array's elements (see Chapt 2)*
- *until either two equal elements found or no more pairs left*

$$C_{\text{worst}}(n) = \frac{n(n-1)}{2} \in \Theta(n^2)$$

**ALGORITHM** *UniqueElements*( $A[0..n-1]$ )

//Determines whether all the elements in a given array are distinct

//Input: An array  $A[0..n-1]$

//Output: Returns “true” if all the elements in  $A$  are distinct

//           and “false” otherwise

**for**  $i \leftarrow 0$  **to**  $n-2$  **do**

**for**  $j \leftarrow i+1$  **to**  $n-1$  **do**

**if**  $A[i] = A[j]$  **return false**

**return true**

# Presorting

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## ■ **Element Uniqueness with presorting**

### ✦ *Element Uniqueness problem -Presorting-based method*

- Stage 1: sort by efficient sorting algorithm (e.g. mergesort)
- Stage 2: scan array to check pairs of adjacent elements

### ✦ *Efficiency Analysis*

- sum of
- time spent on sorting : at least  $n \log n$  comparisons –**determine the overall efficiency**
- time spent on checking consecutive elements: no more than  $n-1$  comparisons
- **use a good sorting alg.**

$$C(n) = C_{\text{sort}}(n) + C_{\text{scan}}(n) = \Theta(n \log n) + \Theta(n) = \Theta(n \log n)$$

# Presorting

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## ■ **Computing a mode**

*Mode*: a value that occurs most often in a given list of numbers

Eg. For {5, 1, 5, 7, 6, 5, 7} mode is 5

## ✦ *Brute-force method*

- **Idea:**
  - *Scan the list, compute the frequency of all its distinct values*
  - *find the value with the largest frequency*



# Presorting

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## ■ Computing a mode

### ✦ Brute-force method ('cont)

- **implementation:**
  - Store the values already encountered, along with their frequencies, in an auxiliary list ( the values in this auxiliary list are all distinct )
  - On each iteration, the *i*th element of the original list is compared with the values already encountered by traversing this an auxiliary list
  - If a matching value is found, its frequency is incremented;
  - otherwise, the current element is added to the auxiliary list with frequency of 1

# Presorting

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## ■ Computing a mode

### ✦ Brute-force method ('cont)

- **Worst case analysis**

- when a list with no equal elements,

- $i$  th element is compared with  $i-1$  elements of the auxiliary list

*number of comparisons in creating the frequency auxiliary list*

$$C(n) = \sum_{i=1}^n (i-1) = \frac{(n-1)n}{2} \in \theta(n^2)$$

*number of comparisons to find the largest frequency in the auxiliary list*       $n-1$

# Presorting

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## ❏ **Computing a mode**

### ✦ *Computing a mode with presorting*

- **Idea :**

- *sort the input firstly, then all equal values will be adjacent*
- *find the longest run of the adjacent equal values in the sorted array*

- **Efficiency analysis**

*sum of*

- *time spent on sorting : at least  **$n \log n$**  comparisons –determine the overall efficiency*
- *time spent on checking longest run of the adjacent : **linear***

- **Conclusion:** *using a good sorting algorithm*

# Presorting

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■ **Searching problem** Search for a given  $K$  in  $A[0..n-1]$

✦ *Brute-force method*

- **sequential search** : (see Chapt2)

$$T_{avg}(n) = \frac{p(n+1)}{2} + n(1-p) \quad T_{worst}(n)=n \quad T_{best}(n)=1$$

- **Binary Search** (see Chapt5)

$$C_w(n) = \lfloor \log_2 n \rfloor + 1 = \lceil \log_2 (n+1) \rceil = \Theta(\log n)$$

$$C_b(n) = 1$$

$$C_{avg}(n) = \frac{1}{n} \sum_{i=1}^k i 2^{i-1} \approx \log(n+1) - 1$$

# Presorting

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## ■ Searching problem

### ✦ Searching with presorting

sum of  $C(n) = C_{\text{sort}}(n) + C_{\text{search}}(n) = \Theta(n \log n) + \Theta(\log n) = \Theta(n \log n)$

-time spent on sorting : at least  $n \log n$  comparisons –determine the overall efficiency

-time spent on binary search:

$$C_w(n) = \lfloor \log_2 n \rfloor + 1 = \Theta(\log n); \quad C_{\text{avg}}(n) = \Theta(\log n);$$

✦ if to search in the same list more than once, the time spent on sorting might be justified

# Gaussian Elimination - Instance simplification

## ■ Gaussian Elimination 高斯消去法

*Problem:* Given: **a system of  $n$  linear equations** in  $n$  unknowns with an arbitrary coefficient matrix.

*Idea:*

**Stage1: Elementary operations:** Transform to an **equivalent** system of  $n$  linear equations in  $n$  unknowns with an **upper triangular** coefficient matrix.

$$\begin{array}{l} a_{11}x_1 + a_{12}x_2 + \dots + a_{1n}x_n = b_1 \\ a_{21}x_1 + a_{22}x_2 + \dots + a_{2n}x_n = b_2 \end{array} \quad \longrightarrow \quad \begin{array}{l} a_{11}x_1 + a_{12}x_2 + \dots + a_{1n}x_n = b_1 \\ a_{22}x_2 + \dots + a_{2n}x_n = b_2 \end{array}$$

$$a_{n1}x_1 + a_{n2}x_2 + \dots + a_{nn}x_n = b_n \qquad a_{nn}x_n = b_n$$

# *Gaussian Elimination - Instance simplification*

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## ■ *Gaussian Elimination*

**Stage2:** Solve the latter by **backward substitutions** starting with the last equation and moving up to the first one.

Specifically:

- ① *find the value of  $x_n$  from the last equation immediately*
- ② *Substitute this value into the next to last equation to get  $x_{n-1}$*
- ③ *And so on, until we substitute the known values of the last  $n-1$  variables into the first equation, to find the value of  $x_1$*

# Gaussian Elimination - Instance simplification

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## ■ Gaussian Elimination What's Elementary operations

To change from a system with an arbitrary coefficient matrix to an **equivalent** system with an upper triangular coefficient matrix by

- exchanging two equations of the system
- replacing an equation with its nonzero multiple
- replacing an equation with a sum or difference of this equation and some multiple of the former

### Specifically

- ① we use  $a_{11}$  as a pivot to make all  $x_1$  coefficients zeros in the equations below the first one
- ② replace the second equation with the difference between it and **the first equation multiplied by  $a_{21}/a_{11}$**  to get an equation with zero coefficient for  $x_1$
- ③ doing the same for the third, fourth, and finally  $n$ th equation – with the multiples  $a_{31}/a_{11}, a_{41}/a_{11}, \dots, a_{n1}/a_{11}$  of the first equation



# *Gaussian Elimination - Instance simplification*

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## ■ **Gaussian Elimination**

e.g. Solve

$$\begin{aligned} 2x_1 - x_2 + x_3 &= 1 \\ 4x_1 + x_2 - x_3 &= 5 \\ x_1 + x_2 + x_3 &= 0 \end{aligned}$$

Gaussian elimination

$$\begin{array}{cccc} 2 & -1 & 1 & 1 \\ 4 & 1 & -1 & 5 \\ 1 & 1 & 1 & 0 \end{array} \begin{array}{l} \\ \text{row2} - (4/2)*\text{row1} \\ \text{row3} - (1/2)*\text{row1} \end{array} \quad \begin{array}{cccc} 2 & -1 & 1 & 1 \\ 0 & 3 & -3 & 3 \\ 0 & 3/2 & 1/2 & -1/2 \end{array} \begin{array}{l} \\ \\ \text{row3} - (1/2)*\text{row2} \end{array}$$

Backward substitution

$$\begin{array}{cccc} 2 & -1 & 1 & 1 \\ 0 & 3 & -3 & 3 \\ 0 & 0 & 2 & -2 \end{array}$$
$$\begin{aligned} x_3 &= (-2) / 2 = -1 \\ x_2 &= (3 - (-3)x_3) / 3 = 0 \\ x_1 &= (1 - x_3 - (-1)x_2) / 2 = 1 \end{aligned}$$

# *Gaussian Elimination - Instance simplification*

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## ■ **Gaussian Elimination**

### ✦ *Two considerations*

1. *if  $A[j, i] = 0$*

→ *exchange the  $i$ th row with some row below it with a nonzero coefficient in the  $i$ th column*

2. *if  $A[j, i]$  is so small* that consequently the scaling factor  $A[j, i]/A[i, i]$  is so large that new  $A[j, k]$  might be distorted by a round-off error caused by a subtraction of two numbers of greatly different magnitudes

→ *look for a row in the largest absolute value of the coefficient in the  $i$ th column, exchange it with the  $i$ th row* --- *partial pivoting*

# Gaussian Elimination - Instance simplification

## ■ Gaussian Elimination

stage1: Elementary operations

Efficiency:  $\Theta(n^3) + \Theta(n^2) = \Theta(n^3)$

ALGORITHM *GaussElimination* ( $A[1\dots n, 1\dots n], b[1\dots n]$ )

**for**  $i \leftarrow 1$  **to**  $n-1$  **do**

**for**  $j \leftarrow i+1$  **to**  $n$  **do**

$\text{temp} \leftarrow A[j, i] / A[i, i]$

**for**  $k \leftarrow i$  **to**  $n+1$  **do**

$A[j, k] \leftarrow A[j, k] - A[i, k] * \text{temp}$

$A[i, i] \neq 0$

basic operation:  
multiplication

stage2: Backward substitution

**for**  $j \leftarrow n$  **downto**  $1$  **do**

$t \leftarrow 0$

**for**  $k \leftarrow j+1$  **to**  $n$  **do**

$t \leftarrow t + A[j, k] * x[k]$

$x[j] \leftarrow (A[j, n+1] - t) / A[j, j]$

# Gaussian Elimination - Instance simplification

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## ■ Gaussian Elimination

### ✦ Efficiency analysis

- stage1: Elementary operations

$$\begin{aligned} C(n) &= \sum_{i=1}^{n-1} \sum_{j=i+1}^n \sum_{k=i}^{n+1} 1 = \sum_{i=1}^{n-1} \sum_{j=i+1}^n (n+1-i+1) = \sum_{i=1}^{n-1} (n+2-i)(n-(i+1)+1) \\ &= \sum_{i=1}^{n-1} (n+2-i)(n-i) = (n+1)(n-1) + n(n-2) + \dots + 3 \cdot 1 = \sum_{j=1}^{n-1} (j+2) \cdot j \\ &= \sum_{j=1}^{n-1} j^2 + \sum_{j=1}^{n-1} 2j = \frac{(n-1)n(2n-1)}{6} + 2 \frac{(n-1)n}{2} = \frac{(n-1)n(2n+5)}{6} \in \theta(n^3) \end{aligned}$$

- stage2: Backward substitution  $\Theta(n^2)$

# *Gaussian Elimination - Instance simplification*

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## ✦ *Some discussions*

- ☆ *Gaussian Elimination either yields **an exact solution** to a system of linear equations when the system has a unique solution*
- ☆ *or discovers that no such solution exists, in this case, the system will have either **no solutions or infinitely many of them***
- ☆ *the principal difficulty lies in preventing the accumulation of **round-off error***

# *Gaussian Elimination*

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## ■ *Applications of Gaussian Elimination*

- ✦ *LU decomposition*
- ✦ *Computing a matrix inverse*
- ✦ *Computing a determinant*

# Heaps and Heapsort

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## ■ Heaps

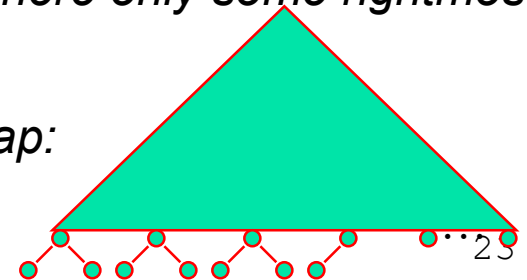
- Heap is suitable for implementing *priority queues*

*maintaining a set  $S$  of elements, each with an associated value called a key/priority. It supports the following operations:*

- *Finding an item with the highest priority*
- *Deleting an item with the highest priority*
- *Adding a new item to the multiset*

## ✦ *Notion of the Heap*

- *A binary tree with keys assigned to its nodes, one key per node*
- *Shape requirement:* the binary tree is *essentially complete*, i.e. all its levels are full except possibly the last level, where only some rightmost leaves may missing
- *Parental dominance requirement:* for max-heap: *key at each node  $\geq$  keys at its children*

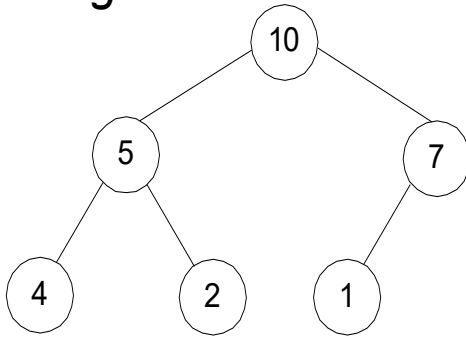


# Heaps and Heapsort

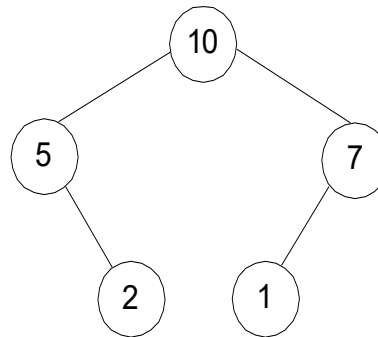
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## ■ Heaps

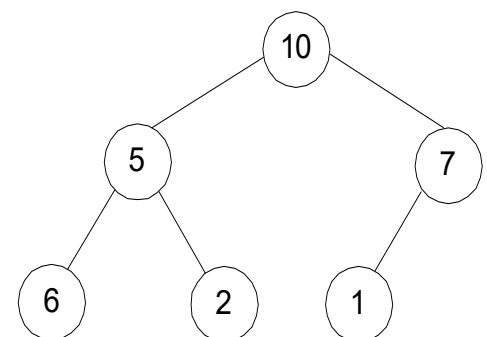
*E.g.*



*a heap*



*not a heap*



*not a heap*

- ☆ *Heap's elements are ordered top down ( a sequence of values along any path down from its root is decreasing or non-increasing if equal keys are allowed )*
- ☆ *but they are not ordered left to right*



# Heaps and Heapsort

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## ■ Heaps

### ✦ Properties of Heaps

- There exists exactly one essentially complete binary tree with  $n$  nodes, its height is  $\lfloor \log_2 n \rfloor$ 
  - Height of a node: the number of edges on the longest simple downward path from the node to a leaf.
  - Height of a tree: the height of its root.
  - level of a node: A node's level + its height =  $h$ , the tree's height.
- The root of a heap always has the largest key (for a max-heap)
- A node of a heap considered with all its descendants is also a heap (The subtree rooted at any node of a heap is also a heap)
- Max-heap property and min-heap property
  - Max-heap: for every node other than root,  $A[\text{PARENT}(i)] \geq A(i)$
  - Min-heap: for every node other than root,  $A[\text{PARENT}(i)] \leq A(i)$

# Heaps and Heapsort

## ■ Heaps

### ✦ Properties of Heaps

- *it is more efficient to implement a heap as an array, by storing the heap's elements in top-down left-to-right order*
  - *Parental nodes are represented in the first  $\lfloor n/2 \rfloor$  locations of the array*
  - *Leaf keys occupy the last  $\lceil n/2 \rceil$  locations*
  - *Relationships between indexes of parents and children.*

PARENT(i)

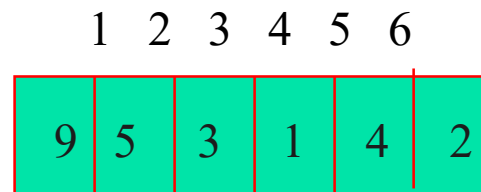
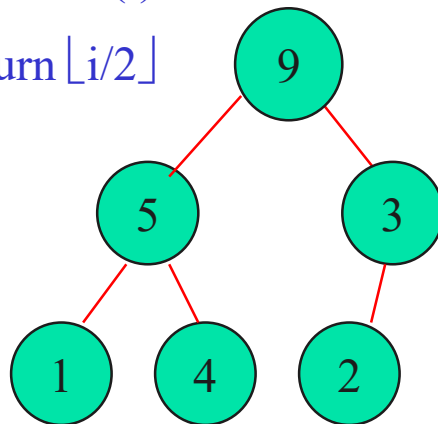
return  $\lfloor i/2 \rfloor$

LEFT(i)

return  $2i$

RIGHT(i)

return  $2i+1$



# Heaps and Heapsort

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## ■ Heaps Construction

*How to construct a heap with the given list of keys?*

### ✦ Bottom-up Heap construction

- *Build an essentially complete binary tree by inserting  $n$  keys in the given order.*
- *Heapify the tree*
  - *Starting with the last (rightmost) parental node, heapify/fix the subtree rooted at it; if the parental dominance condition does not hold for the key at this node:*
    - *exchange its key  $K$  with the key of its larger child*
    - *Heapify/fix the subtree rooted at the  $K$ 's new position*
    - *until the parental dominance requirement for  $K$  is satisfied*
  - *Proceed to do the same for the node's immediate predecessor.*
  - *Stops after this is done for the tree's root.*

# Heaps and Heapsort

## ■ Heaps Construction

*Bottom-up Heap construction (A Recursive version)*

ALGORITHM *HeapBottomUp*( $H[1..n]$ )

//Constructs a heap from the elements

//of a given array by the bottom-up algorithm

//Input: An array  $H[1..n]$  of orderable items

//Output: A heap  $H[1..n]$

for  $i \leftarrow \lfloor n/2 \rfloor$  downto 1 do

    MaxHeapify( $H, i$ )

Given a heap of  $n$  nodes,  
what's the index of the last  
parent?  $\lfloor n/2 \rfloor$

ALGORITHM *MaxHeapify*( $H, i$ )

$l \leftarrow \text{LEFT}(i)$

$r \leftarrow \text{RIGHT}(i)$

if  $l \leq n$  and  $H[l] > H[i]$

    then  $\text{largest} \leftarrow l$

    else  $\text{largest} \leftarrow i$

if  $r \leq n$  and  $H[r] > H[\text{largest}]$

    then  $\text{largest} \leftarrow r$

if  $\text{largest} \neq i$

    then exchange  $H[i] \leftrightarrow H[\text{largest}]$

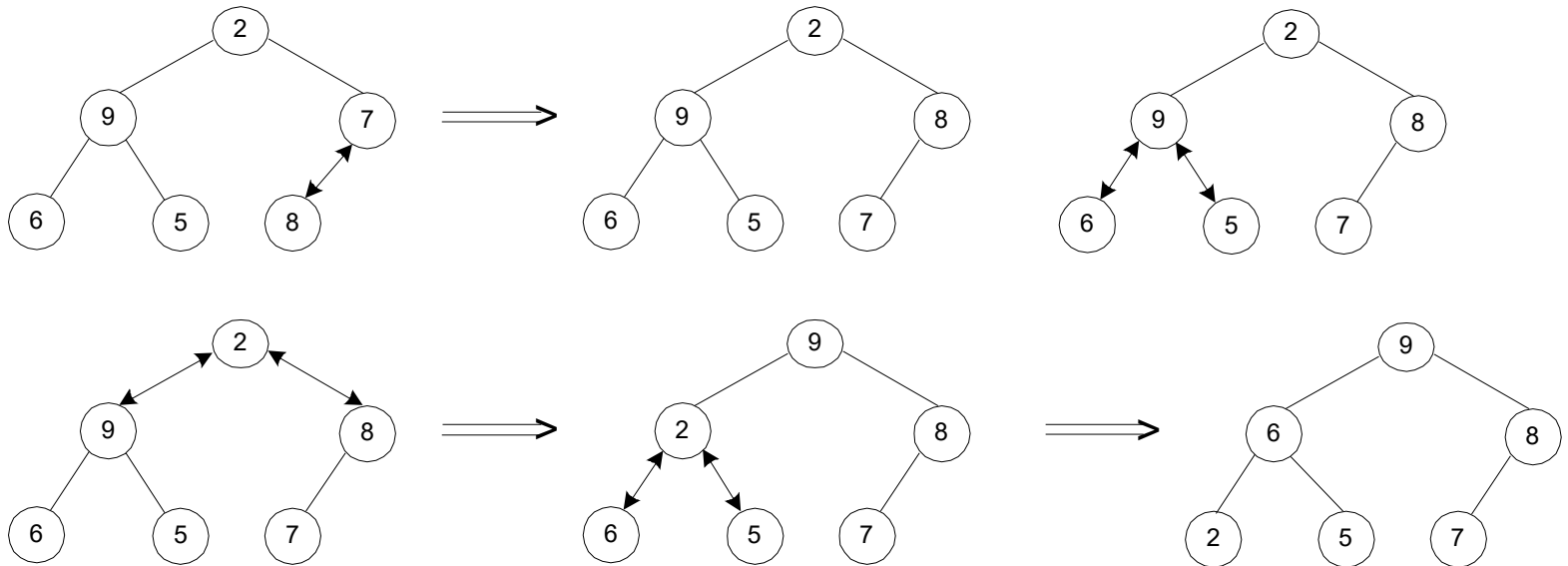
    MaxHeapify( $H, \text{largest}$ )

# Heaps and Heapsort

## Heaps Construction

### Bottom-up Heap construction('cont)

- Example 1: Construct a heap for the list 2, 9, 7, 6, 5, 8



- Example 2: 4 1 3 2 16 9 10 14 8 7  $\rightarrow$  16 14 10 8 7 9 3 2 4 1

# Heaps and Heapsort

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## ■ Heaps Construction

### ✦ Worst-Case Efficiency for Bottom-up

- assume  $n = 2^k - 1$ , so the heap is full, the maximum number of nodes occurs on each level
- Worst case: each key on level  $i$  will travel to the leaf level  $h$ 
  - height of the tree  $h = \lfloor \log_2 n \rfloor$
  - moving to the level down needs two comparisons
    - one to find the larger child
    - one to determine whether the exchange is required
  - number of key comparisons for a key on level  $i$ :  $2(h-i)$

$$C_{\text{worst}}(n) = \sum_{i=0}^{h-1} \sum_{\text{nodes at level } i} 2(h-i) = \sum_{i=0}^{h-1} 2(h-i)2^i = 2(n - \log_2(n+1))$$

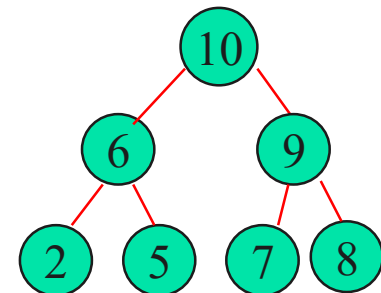
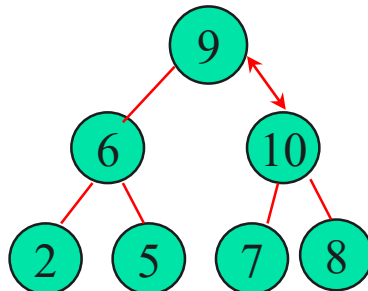
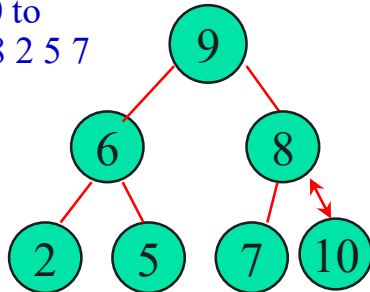
# Heaps and Heapsort

## ■ Heaps Construction

### ✦ Top-down Heap Construction

- Successive insertions of new key into a previously constructed heap
- Insertion of a new key  $K$ 
  - Insert the new node with key  $K$  at the last position in heap, i.e. after the last leaf of the existing heap
  - sift  $K$  up to its appropriate position
    - Compare with its parent, and exchange them if it violates the parental dominance condition.
    - Continue comparing the element with its new parent,
    - until  $K$  is not greater than its last parent or it reaches the root

Ex: add 10 to  
heap: 9 6 8 2 5 7



# Heaps and Heapsort

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## ■ Heaps Construction

### ✦ Efficiency for Top-down

- *height of a heap with  $n$  node:  $h = \lfloor \log_2 n \rfloor$*
- *Inserting one new element to a heap with  $n-1$  nodes requires no more comparisons than the heap's height*
- *time efficiency for Top-down insertion is  $O(\log_2 n)$*



# Heaps and Heapsort

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## ■ Heaps Construction

### ✦ Root Deletion

- swap the root with the last leaf  $K$
- Decrease the heap's size by 1
- Heapify the smaller tree by sifting  $K$  down the tree, in exactly the same way in Bottom-up Heap construction
  - verify the parental dominance for  $K$ ,
  - if it holds, we done.
  - if not, swap  $K$  with the larger of its children
  - and repeat this operation until parental dominance holds for  $K$  in its new position.

# *Heaps and Heapsort*

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## ■ **Heaps Construction**

### ✦ *Efficiency for Root Deletion*

- *It can't make key comparison more than twice the heap's height*
- *Efficiency:  $2h \in \Theta(\log n)$*

Ex: 9 8 6 2 5 1

# Heaps and Heapsort

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## ■ Heapsort

### ✦ Analysis of Heapsort

- Bottom-up heap construction  $O(n)$
- Root deletion, Repeat  $n-1$  times until heap contains just one node

$$C_2(n) \leq 2\lfloor \log_2(n-1) \rfloor + 2\lfloor \log_2(n-2) \rfloor + \dots + 2\lfloor \log_2 1 \rfloor \leq 2 \sum_{i=1}^{n-1} \log_2 i$$

$$\leq 2 \sum_{i=1}^{n-1} \log_2(n-1) = 2(n-1) \log_2(n-1) \leq 2n \log_2 n \in O(n \log n)$$

- Analysis shows that  $C_1(n) + C_2(n) = \Theta(n \log n)$ , in both the worst and average cases, the same class as mergesort
- But not require extra storage --- *implemented with arrays*
- Experiments show that heapsort runs more slowly than quicksort but competitive with mergesort

# Horner's Rule- Representation change

## ■ Horner's Rule For Polynomial Evaluation 霍纳法则

### ✦ Problem

*Polynomial Evaluation*: Compute the value of a polynomial

$$p(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0 \quad (1)$$

at a specific point  $x$

--- fast Fourier Transform, FFT

### ✦ Two brute-force algorithms

```
p ← 0
for i ← n down to 0 do
    power ← 1
    for j ← 1 to i do
        power ← power * x
    p ← p + ai * power
return p
```

```
p ← a0; power ← 1
for i ← 1 to n do
    power ← power * x
    p ← p + ai * power
return p
```

# Horner's Rule- Representation change

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## ■ Horner's Rule For Polynomial Evaluation

### ✦ Horner's Rule --Representation change

- Obtained from (1), successively taking  $x$  as a common factor in the remaining polynomials of diminishing degrees

$$p(x) = (\dots (a_n x + a_{n-1}) x + \dots) x + a_0 \quad (2)$$

**算法** Horner( $P[0..n], x$ )

//用霍纳法则求一个多项式在一个给定点的值

//输入：一个  $n$  次多项式的系数数组  $P[0..n]$ (从低到高存储)，以及一个数字  $x$

//输出：多项式在  $x$  点的值

$p \leftarrow p[n]$

**for**  $i \leftarrow n-1$  **downto** 0 **do**

$p \leftarrow x * p + p[i]$

**return**  $p$

# Horner's Rule- Representation change

## ■ Horner's Rule For Polynomial Evaluation

### ✦ Horner's Rule --Representation change

- Obtained from (1), successively taking  $x$  as a common factor in the remaining polynomials of diminishing degrees

$$p(x) = (\dots (a_n x + a_{n-1}) x + \dots) x + a_0 \quad (2)$$

$$\begin{aligned} \text{E.g.: } p(x) &= 2x^4 - x^3 + 3x^2 + x - 5 = x(2x^3 - x^2 + 3x + 1) - 5 = \\ &= x(x(2x^2 - x + 3) + 1) - 5 = x(x(x(2x - 1) + 3) + 1) - 5 \end{aligned}$$

To evaluate  $p(x)$  at  $x=3$

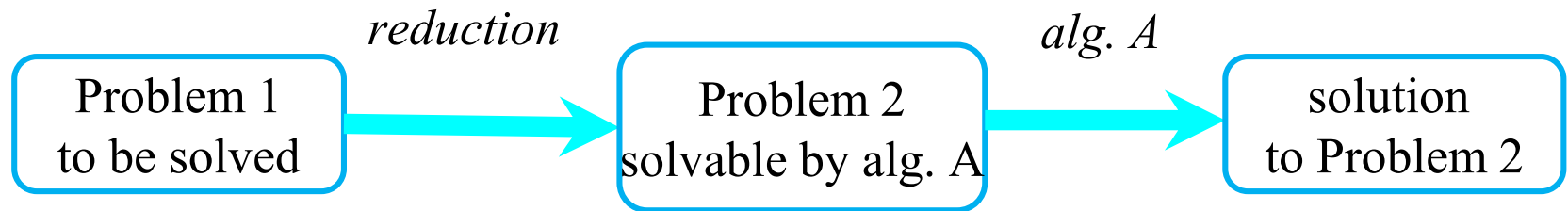
coefficients	2	-1	3	1	-5
$x=3$	2	$3*2+(-1)=5$	$3*5+3=18$	$3*18+1=55$	$3*55+(-5)=160$

# Problem Reduction

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## ■ Problem Reduction

- *To solve a problem, reduce it to another problem that you know how to solve*



*two points:*

- *finding a problem to which the problem at hand should be reduced*
- *reduction-based algorithm to be more efficient than solving the original problem directly*

# Problem Reduction

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## ■ Problem Reduction

E.g. in analytical geometry, for three arbitrary points in the plane,  $p_1 = (x_1, y_1)$ ,  $p_2 = (x_2, y_2)$ ,  $p_3 = (x_3, y_3)$ , the determinant is positive if and only if the point  $p_3$  is to the left of the directed line through points  $p_1 p_2$

$$\det \begin{vmatrix} x_1 & y_1 & 1 \\ x_2 & y_2 & 1 \\ x_3 & y_3 & 1 \end{vmatrix} = x_1 y_2 + x_2 y_3 + x_3 y_1 - x_3 y_2 - x_2 y_1 - x_1 y_3$$

i.e. we reduce a geometric problem about the relative locations of three points to a problem about the sign of a determinant.

☆ *the entire idea of analytical geometry is based on reducing geometric problems to algebra ones.*



# Problem Reduction

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## ■ Linear programming

### ✦ Linear programming:

- a problem of *optimizing a linear function of several variables* subject to *constraints* in the form of *linear* equations and linear inequalities.

Maximize(or minimize)  $c_1x_1 + \dots c_nx_n$

Subject to  $a_{i1}x_1 + \dots + a_{in}x_n \leq (\text{or } \geq \text{ or } =) b_i, \text{ for } i=1 \dots n$

$$x_1 \geq 0, \dots, x_n \geq 0$$

# Problem Reduction

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## ■ Linear programming

### ✦ Algorithms for Linear programming:

- **simplex method**: worst-case efficiency is to be exponential
- *Ellipsoid algorithm: polynomial time.*
- *Interior-point methods: polynomial time*
- *Karmarkar's alg.: polynomial worst-case efficiency*
- **Integer Linear programming**: the variables of a Linear programming problem are required to be integers.
  - *no known polynomial-time alg.*
  - *branch-and-bound method for solving Integer Linear programming*

# Problem Reduction

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## ■ **Linear programming**

### ✦ *Investment Problem:*

- **Scenario**

- ♦ *A university endowment needs to invest \$100million*
- ♦ *Three types of investment:*
  - *Stocks (expected interest: 10%)*
  - *Bonds (expected interest: 7%)*
  - *Cash (expected interest: 3%)*

- **Constraints**

- ♦ *The investment in stocks is no more than 1/3 of the money invested in bonds*
- ♦ *At least 25% of the total amount invested in stocks and bonds must be invested in cash*

- **Objective:**

- ♦ *An investment that maximizes the return*

# Problem Reduction

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## ■ **Linear programming**

### ✦ *Investment Problem: ('cont)*

- **mathematical model**

$$\text{Maximize} \quad 0.10x + 0.07y + 0.03z$$

$$\text{subject to} \quad x + y + z = 100$$

$$x \leq (1/3)y$$

$$z \geq 0.25(x + y)$$

$$x \geq 0, y \geq 0, z \geq 0$$

*optimal decision making problem ---- → linear programming problem*

# Problem Reduction

## ■ Linear programming

### ✦ Knapsack Problem (Continuous/Fraction Version):

- **Scenario**

- ◆ Given  $n$  items:

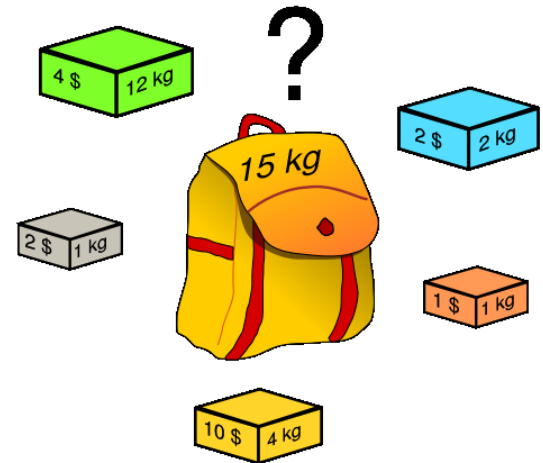
- weights:  $w_1 \ w_2 \ \dots \ w_n$
    - values:  $v_1 \ v_2 \ \dots \ v_n$
    - a knapsack of capacity  $W$

- **Constraints**

- ◆ Any fraction of any item can be put into the knapsack,  $x_i$

- **Objective:**

- ◆ Find the most valuable subset of the items



# Problem Reduction

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## ■ **Linear programming**

### ✦ *Knapsack Problem (Continuous/Fraction Version): ('cont)*

- **mathematical model**

Maximize

$$\sum_{i=1}^n v_i x_i$$

subject to

$$\sum_{i=1}^n w_i x_i \leq W$$

$$0 \leq x_i \leq 1 \quad \text{for } i = 1, \dots, n$$

# Problem Reduction

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## ■ Linear programming

### ✦ Knapsack Problem (Discrete Version)

- **Scenario**

- ◆ Given  $n$  items:

- weights:  $w_1 \ w_2 \ \dots \ w_n$
    - values:  $v_1 \ v_2 \ \dots \ v_n$
    - a knapsack of capacity  $W$

- **Constraints**

- ◆ an item can either be put into the knapsack in its entirety or not be put into the knapsack.

- **Objective:**

- Find the most valuable subset of the items*

# Problem Reduction

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## ■ **Linear programming**

### ✦ *Knapsack Problem (Discrete Version) ('cont)*

- **mathematical model**

Maximize

$$\sum_{i=1}^n v_i x_i$$

subject to

$$\sum_{i=1}^n w_i x_i \leq W$$

$$x_i \in \{0, 1\} \quad \text{for } i = 1, \dots, n$$



# Problem Reduction

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## ■ Reduction to Graph

- *many problems can be solved by reduction to one of the standard graph problems*
- *state-space graph: vertices of a graph represent possible states of the problem, edges indicate permitted transitions among such states*
- *one of the graph's vertices represents the initial state, another represents a goal state of the problem*
- *puzzles and games*
- *not always a straightforward task*

*problem ----→ a path from the initial-state vertex to a goal-state vertex*

# Problem Reduction

## ■ Reduction to Graph

### ✦ River-crossing puzzle



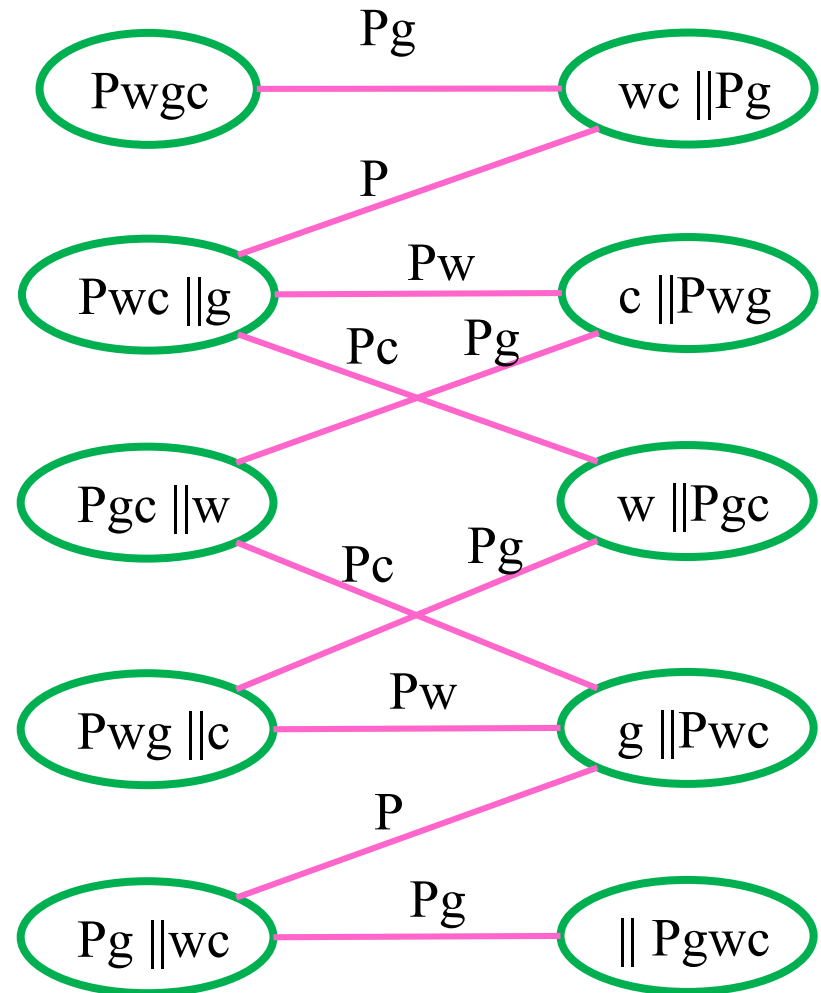
- **Problem:** *The wolf, goat and bag of cabbage puzzle.*
  - *A peasant must transport a wolf, goat and bag of cabbage from one side of a river to another using a boat*
  - *the boat can only hold one item in addition to the peasant ,*
  - *subject to the constraints that the wolf cannot be left alone with the goat , and the goat cannot be left alone with the cabbage .*

# Problem Reduction

## ■ Reduction to Graph

### ✦ River-crossing puzzle

- **state-space graph**



# Summary

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1. 变治法是一种基于变换思想，把问题变换成一种更容易解决的类型。
2. 变治法的三种类型：实例化简，改变表现，和问题化简
3. 变治法三种类型对应的算法举例
4. 堆的概念，堆排序的思想：在排列好堆中的数组元素后，再从剩余堆中连续删除最大的元素。在最差以及平均情况下，该算法都属于在位的排序算法，时间复杂度 $\Theta(n \log n)$
5. 高斯消去法
6. 霍纳法则
7. 线性规划及整数线性规划