

# Nola: Later-free ghost state for verifying termination in Iris

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Joint work w/ Takeshi Tsukada Chiba University

June 2, 2025 – Iris Workshop 2025 @ Inria, Paris

# Brief self-introduction



## ◆ Yusuke Matsushita 松下 祐介

- ▶ Software scientist, loves **Rust**
  - Assistant Prof. at KyotoU
- ▶ My past work:



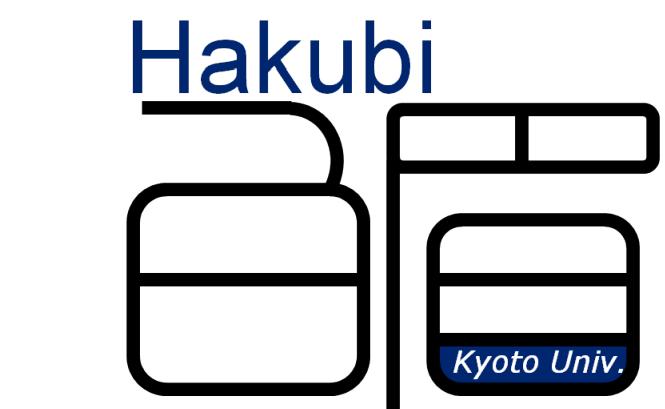
### RustHorn

Senior thesis '19  
ESOP '20 & TOPLAS '21



### RustHornBelt

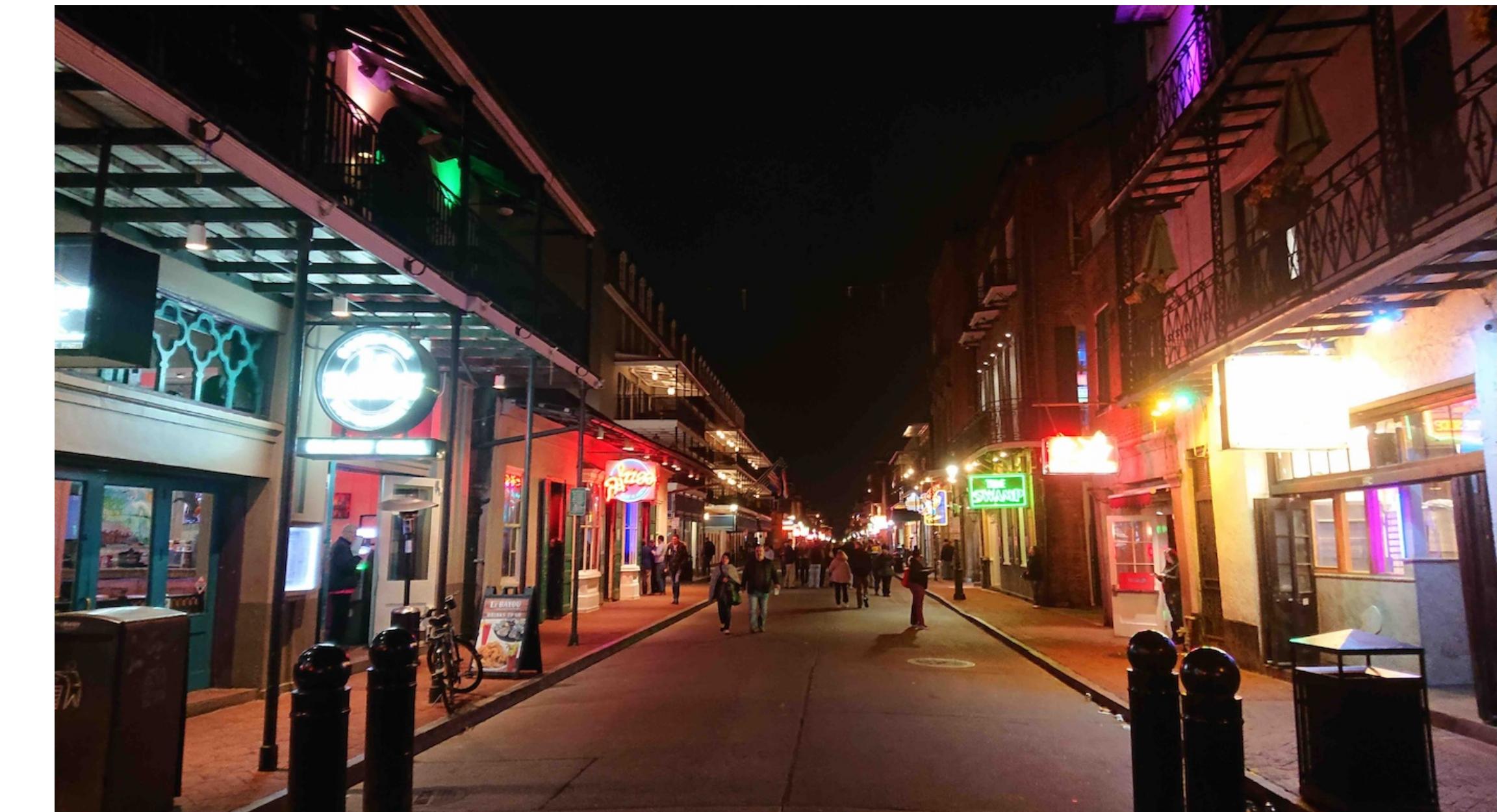
Master's thesis '21  
PLDI '22



Rust's borrows made  
“pure” by prophecies

Internship at Derek's  
group, Extends RustBelt

# POPL 2020 @ New Orleans, a.k.a. NOLA



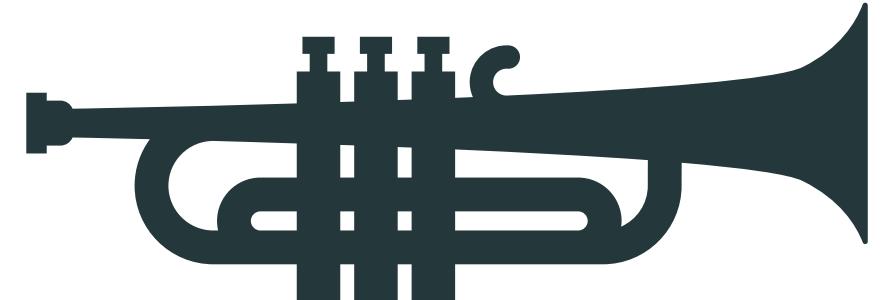
## ♦ Later-free shared mutable state in separation logic

- ▶ Higher-order ghost state, but clears the notorious **later** ▶
  - Great for **termination & liveness** verification
  - Refines Iris's **invariants**  $\Box P$  & RustBelt's **borrows** &  $\alpha \triangleright P$
- ▶ Key idea: **Custom syntax**  $P \in Fml$  for SL formulas
  - **Extensible & Semantic** SL props under later
- ▶ Case study: **RustHalt**, revised RustHornBelt
- ▶ Fully mechanized as a **library** of Iris

Iris\*



No later



[github.com/hopv/nola](https://github.com/hopv/nola)



On my GitHub pages

# Why Nola?

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# Termination verification should be easy

# ❖ Meta-logic induction & composition should work

- And that's the case for traditional separation logic

Example | fn decrloop(r) { if \*r > 0 { \*r = \*r - 1; decrloop(r) } }

**Total correctness**  $\forall n \in \mathbb{N}. [r \mapsto n] \text{decrloop}(r) [\lambda_. r \mapsto 0]$

Example 2  $[r \mapsto v] * r = \text{ndnat}; \text{decrloop}(r) [\lambda_. r \mapsto 0]$

# Unbounded termination

Proof. **Composition** of the former and the rule  $\vdash \top \text{ ndnat } [\lambda v. v \in \mathbb{N}]$

# Question

**What about  
shared mutable state?**

**Traditional SL: Mutable state is not sharable**



# Invariants: Shared mutable state

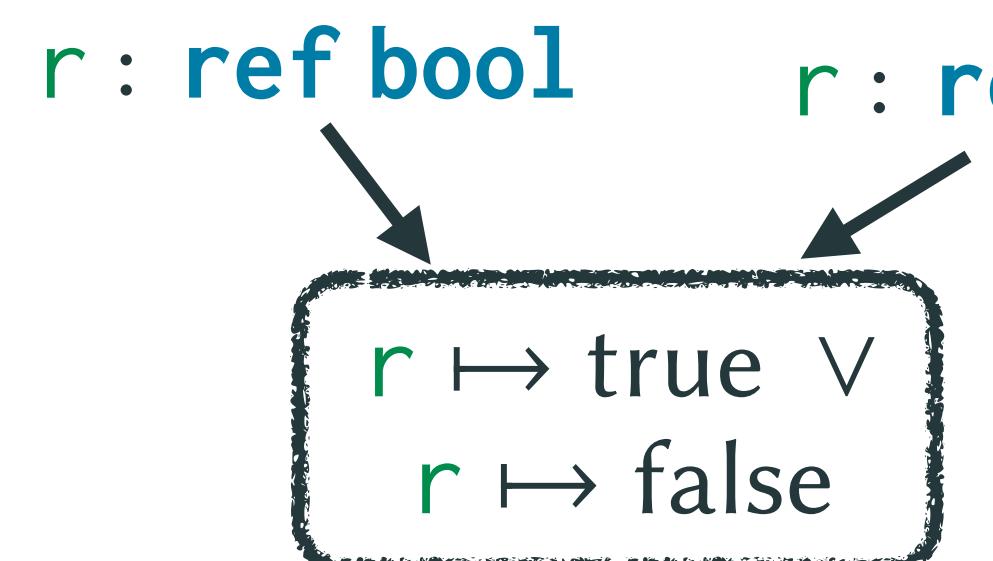
♦ **Invariant  $\boxed{P}$ :** Roughly, the situation  $P$  always holds

► **Mutable state shared** across threads etc.

- Key of Iris [Jung+ '15], Typical **higher-order ghost state**

Logical state that  
depends on  
SL assertions

**Shared mutable ref**     $r : \text{ref bool} \triangleq \boxed{r \mapsto \text{true} \vee r \mapsto \text{false}}$



$[\top] \text{ref true } [\lambda r. \boxed{r \mapsto \text{true} \vee r \mapsto \text{false}}]$

$[\boxed{r \mapsto \text{true} \vee r \mapsto \text{false}}] * r = \text{false } [\top]$

$[\boxed{r \mapsto \text{true} \vee r \mapsto \text{false}}] * r [\lambda v. v = \text{true} \vee v = \text{false}]$

Even **nested** ref!

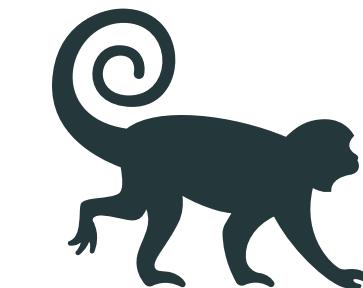
$r : \text{ref } (\text{ref bool}) \triangleq \boxed{\exists s. r \mapsto s * (s : \text{ref bool})}$

# Sad news: Naive later-free invariant is unsound

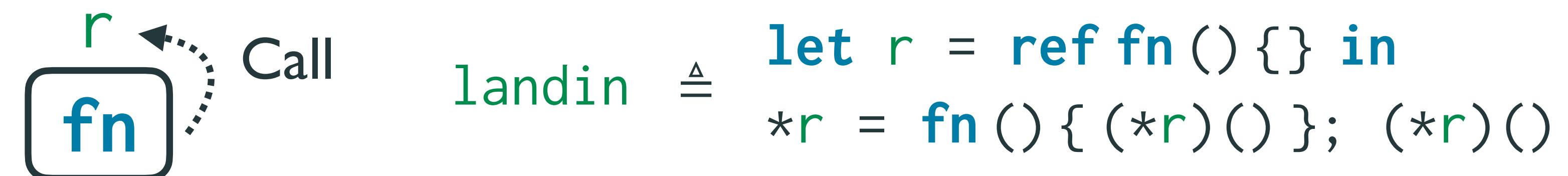
- ♦ Naive rule causes unsound “infinite loops” in logic

**Naive access rule**

$$\frac{[P * Q] \text{ ae } [\lambda v. P * \Psi v]}{[\boxed{P} * Q] \text{ ae } [\Psi]}$$



**Paradox**    Landin’s knot: Loop by a **shared mutable ref** of a **closure**



With the naive access rule, we can **wrongly** prove  $[\top] \text{ landin } [\top]$

Proof. Via an **invariant** with a **Hoare triple**

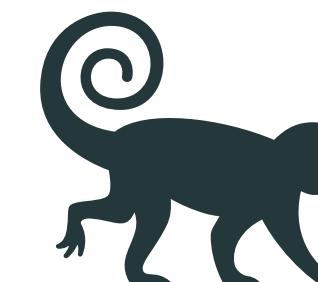
$$\exists f. r \mapsto f * [\top] f() [\top]$$

# Existing approach: Later

- ♦ **Weakened invariant**  $\boxed{\triangleright P}$  : The situation  $\triangleright P$  always holds
  - **Later modality**  $\triangleright$  : “Holds one index later” [Nakano ’00]
    - For **soundness**, Notorious **obstacle** of verification in **Iris**

$$\frac{[P * Q] \text{ ae } [\lambda v. P * \Psi v]}{[\boxed{P} * Q] \text{ ae } [\Psi]}$$

Naive but **unsound**



$$\frac{[\triangleright P * Q] \text{ ae } [\lambda v. \triangleright P * \Psi v]}{[\boxed{\triangleright P} * Q] \text{ ae } [\Psi]}$$

Sound but **weakened**

Iris\*

# Problem about later

- ♦ **Laters ▷ are in the way**

- ▶ Very basic SL props like  $\ell \mapsto v$  are **timeless** ▷  $P \equiv P$  (up to  $\diamond$ )
- ▶ But many SL props, including **invariants** ▷  $P$ , are **not timeless**
- ▶ **Later ▷ blocks access** to esp. inside of **nested refs**

$r : \text{ref}(\text{ref bool}) \triangleq \boxed{\triangleright \exists s. r \mapsto s * (s : \text{ref bool})}$

$[r : \text{ref}(\text{ref bool})] *_r [\lambda s. \triangleright (s : \text{ref bool})]$

Blocks access!



# Existing workaround & Its limitation

- ◆ **Step-indexing:** Tie program steps with laters ▶

$$\frac{e \hookrightarrow e' \quad \{P\} e' \{\Psi\}}{\{\triangleright P\} e \{\Psi\}}$$

Wait for one program step,  
then you can strip off one later

- ◆ Does not work well in **termination** verification

## Paradox

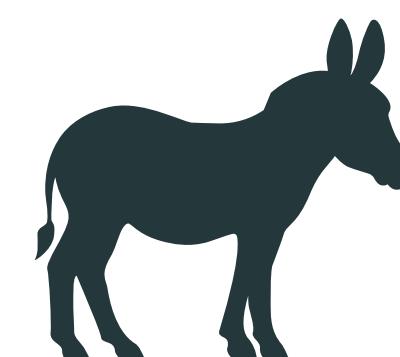
**Step-indexing** on **total** Hoare triple

$$\frac{e \hookrightarrow e' \quad [P] e' [\Psi]}{[\triangleright P] e [\Psi]}$$

lets you **wrongly** prove  $[\top] \text{loop} [\perp]$  under  $\text{loop} \hookrightarrow \text{loop}$

Proof. By Löb induction

$$\frac{\triangleright P \Rightarrow P}{P}$$



# Recent approaches to termination & liveness

## I. Give up invariants on non-timeless propositions

- ▶ Many recent SLs for **advanced liveness properties**:  
Simuliris [Gäher+ '22], CCR [Song+ '23], Fairness Logic [Lee+ '23], etc.

## 2. Finitely bound program steps [Mevel+ '19]

- ▶ **Bounded** termination  $n$ , not genuine liveness



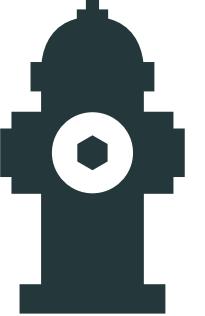
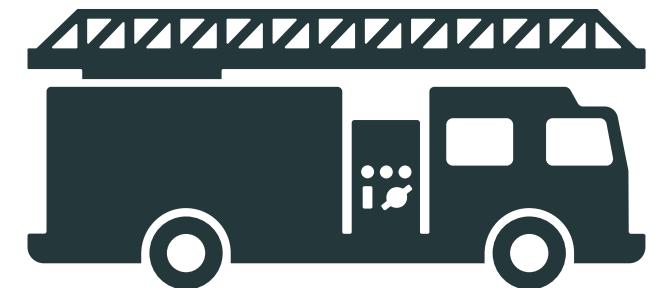
## 3. Use transfinite step-indexing [Spies+ '21]

- ▶ Still need to transfinitely **bound** program steps  $\alpha$
- ▶ **Lose** good properties of later  $\triangleright (P * Q) \Leftrightarrow \triangleright P * \triangleright Q$

Used by, e.g.,  
RustBelt's lifetime logic

# Goal: Natural & modular liveness verification

- ♦ Verify liveness naturally for shared mutable state
  - ▶ Want to use natural meta-logic induction
    - Strong **composability** of proof, **No bounding**
  - ▶ Sound later-free higher-order ghost state
    - **Invariants** over **closures** etc. should be handled with care, due to Landin's knot paradox
    - But **nested invariants** should **not** suffer from the later



Is that even possible?

# Solution, Nola

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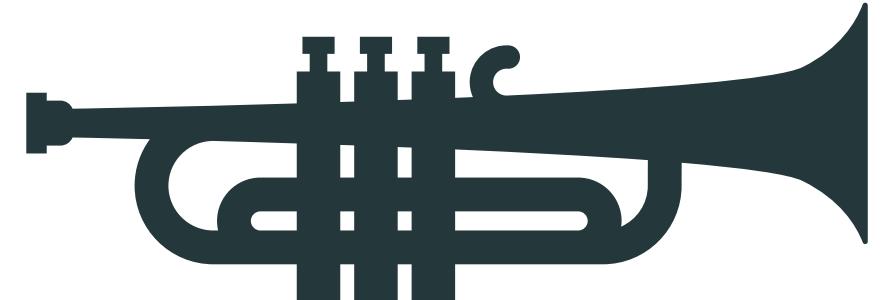
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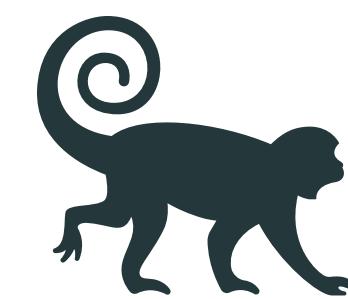


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# Key idea: Custom syntax for SL formulas

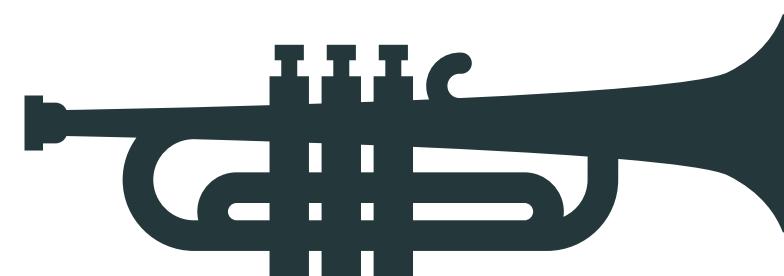
## ♦ Custom syntax $Fml$ & semantics $\llbracket \cdot \rrbracket$ for SL formulas

- ▶ Intuitively,  $\llbracket \cdot \rrbracket: Fml \rightarrow iProp$  is **well-behaved** substitute for  $\triangleright$ 
  - So many SL props become “**timeless**” under well-designed  $\llbracket \cdot \rrbracket$



$$\frac{[P * Q] \text{ ae } [\lambda v. P * \Psi v]}{[\boxed{P} * Q] \text{ ae } [\Psi]}$$

$$\text{Ir/S}^* \frac{\triangleright [P * Q] \text{ ae } [\lambda v. \triangleright P * \Psi v]}{\triangleright [P] * Q \text{ ae } [\Psi]}$$



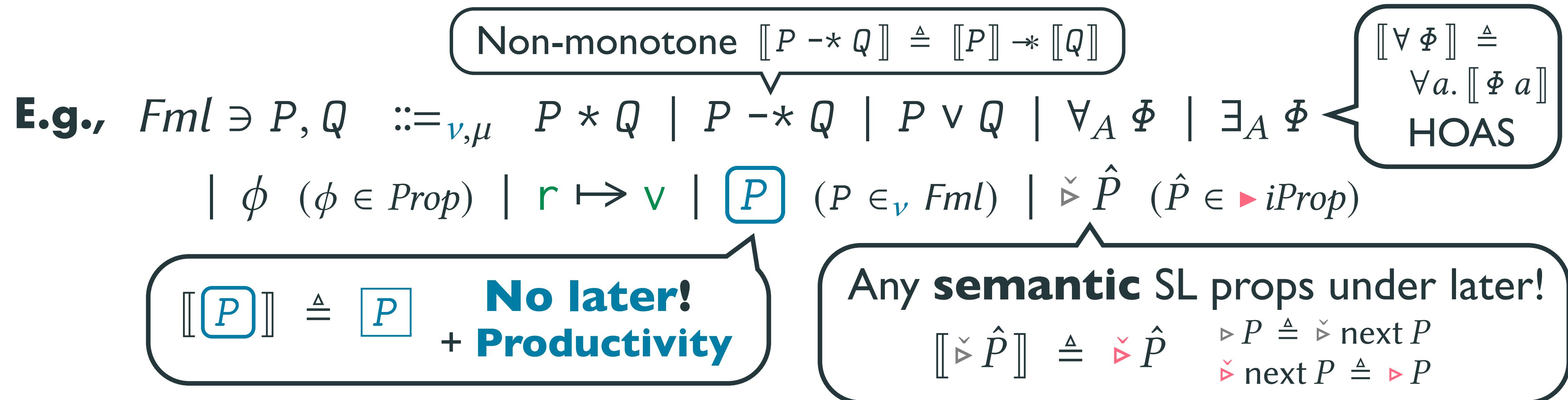
$$\frac{[\llbracket P \rrbracket * Q] \text{ ae } [\lambda v. \llbracket P \rrbracket * \Psi v]}{[\boxed{P} * Q] \text{ ae } [\Psi]} \text{ Winv } \llbracket \cdot \rrbracket$$

$P \in Fml$

$\llbracket \cdot \rrbracket: Fml \rightarrow iProp$

# Power of SL formulas

- ♦ SL formulas can be really **expressive & semantic**



- ♦ SL formulas can even be **extensible**

- By **parameterizing** over the constructors, just like iProp's  $\Sigma$

# Later-free access to nested refs

- ♦ With Nola, we can go inside **nested refs w/o later!**
  - ▶ Allows **natural termination verification**

$$r : \text{ref bool} \triangleq r \mapsto \text{true} \vee r \mapsto \text{false}$$

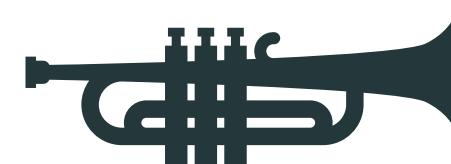
$$r : \text{ref } (\text{ref bool}) \triangleq \exists s. r \mapsto s * (s : \text{ref bool})$$

$$[\llbracket r : \text{ref } (\text{ref bool}) \rrbracket] *_r [\lambda s. \llbracket s : \text{ref bool} \rrbracket]^{\text{Winv}}[]$$

**Later-free access!**

# Verification example: Infinite list

## ♦ Termination of iteration can be naturally verified



$$\text{list } \Phi r \triangleq \boxed{\Phi r} * \\ \boxed{\exists s. r+1 \mapsto s * \text{list } \Phi s}$$

$$[\llbracket \text{list } \Phi r \rrbracket] * (r+1) \\ [\lambda s. \llbracket \text{list } \Phi s \rrbracket]^{\text{Winv}}[]$$

Iteration

Termination!  
By meta-logic induction

$$\text{llist } \Phi r \triangleq \boxed{\triangleright \Phi r} * \\ \boxed{\triangleright \exists s. r+1 \mapsto s * \text{llist } \Phi s}$$

$$[\llbracket \text{llist } \Phi r \rrbracket] * (r+1) \\ [\lambda s. \triangleright \llbracket \text{llist } \Phi s \rrbracket]$$

Iris\*

```
fn iterc(f, c, r) { if *c > 0 {  
    f(r); *c = *c - 1; iterc(f, c, *(r+1)) } }
```

$$\frac{\forall r. [\boxed{\Phi r}] f(r) [\top]^{\text{Winv}}[]}{[\llbracket \text{list } \Phi r \rrbracket * c \mapsto n] \text{iterc}(f, c, r) [c \mapsto 0]^{\text{Winv}}[]}$$

# Custom view shifts & Hoare triples

- ♦ Enable **customizing the world satisfaction**
  - Or the “**mother invariant**” for higher-order ghost state

$$P \Rightarrow^W Q \triangleq P * W \Rightarrow Q * W$$

World satisfactions  
can be **combined**

$$\frac{P \Rightarrow^W Q}{P \Rightarrow^{W * W'} Q} \quad \frac{[P] \text{ e } [\Psi]^W}{[P] \text{ e } [\Psi]^{W * W'}}$$

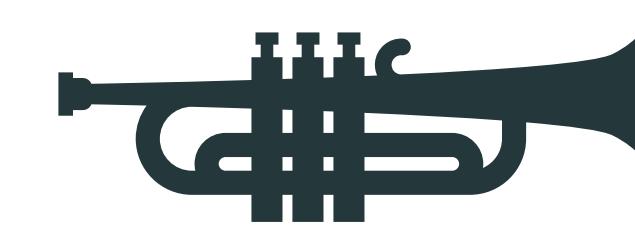
$$\frac{P \Rightarrow^W P' \quad [P'] \text{ e } [\Psi]^W}{[P] \text{ e } [\Psi]^W}$$

$$\frac{[P] \text{ e } [\Psi']^W \quad \forall v. \Psi' v \Rightarrow^W \Psi v}{[P] \text{ e } [\Psi]^W}$$

# Model of Nola's invariant

- ♦ **Nola's invariant generalizes Iris's invariant**

- $Fml$  generalizes  $\triangleright iProp$ ,  $\llbracket \rrbracket$  generalizes  $\check{\triangleright} : \triangleright iProp \rightarrow iProp$



Iris\*

$$\text{INV } Fml \triangleq \text{AUTH}(\mathbb{N} \xrightarrow{\text{fin}} \text{AG } Fml)$$

$$\boxed{P} \triangleq \exists \iota. \left[ \circ [\iota \leftarrow \text{ag } P] \right]^{\gamma_{\text{INV}}}$$

$$\begin{aligned} \text{Winv } \llbracket \rrbracket &\triangleq \exists I : \mathbb{N} \xrightarrow{\text{fin}} Fml. \\ &\quad \left[ \bullet \text{ag } I \right]^{\gamma_{\text{INV}}} * \underset{\iota \in \text{dom } I}{*} \left( (\llbracket I \iota \rrbracket * [D]_\iota) \vee [E]_\iota \right) \end{aligned}$$

$$\text{LINV} \triangleq \text{AUTH}(\mathbb{N} \xrightarrow{\text{fin}} \text{AG}(\triangleright iProp))$$

$$\triangleright P \triangleq \exists \iota. \left[ \circ [\iota \leftarrow \text{ag}(\text{next } P)] \right]^{\gamma_{\text{LINV}}}$$

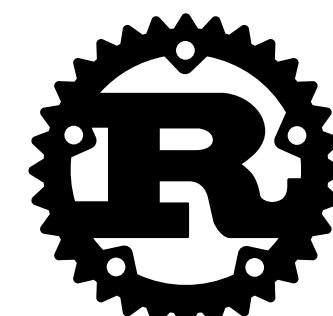
$$\begin{aligned} \text{Wlinv} &\triangleq \exists \hat{I} : \mathbb{N} \xrightarrow{\text{fin}} \triangleright iProp. \\ &\quad \left[ \bullet \text{ag } \hat{I} \right]^{\gamma_{\text{LINV}}} * \underset{\iota \in \text{dom } \hat{I}}{*} \left( (\check{\triangleright} \hat{I} \iota * [D]_\iota) \vee [E]_\iota \right) \end{aligned}$$

# Soundness & Expressivity

- ♦ **Well-definedness is the key to soundness**
  - ▶  $Fml$ 's reference to  $iProp$  should be **contractive**
    - For well-definedness of  $iProp = (\text{Inv } Fml \times \dots) \rightarrow Prop$
  - ▶  $\llbracket \cdot \rrbracket$  should be **well-defined** & **non-expansive**
    - Landin's knot **paradox** does **not** occur
$$\llbracket [\tau] e [\tau] \rrbracket \triangleq ? [\tau] e [\tau] \xrightarrow{\text{Winv } \llbracket \cdot \rrbracket} \leftarrow \text{Invalid circular ref to } \llbracket \cdot \rrbracket$$
- ♦ **Allows flexible construction for extra expressivity**
  - ▶ E.g., **Stratification**  $\llbracket \cdot \rrbracket_i : Fml_i \rightarrow iProp$   $\llbracket [P] e [\Phi] \rrbracket_1 \triangleq$ 
$$[\llbracket P \rrbracket_1] e [\llbracket \Phi \rrbracket_1] \xrightarrow{\text{Winv } \llbracket \cdot \rrbracket_0}$$

# Rust-style borrows

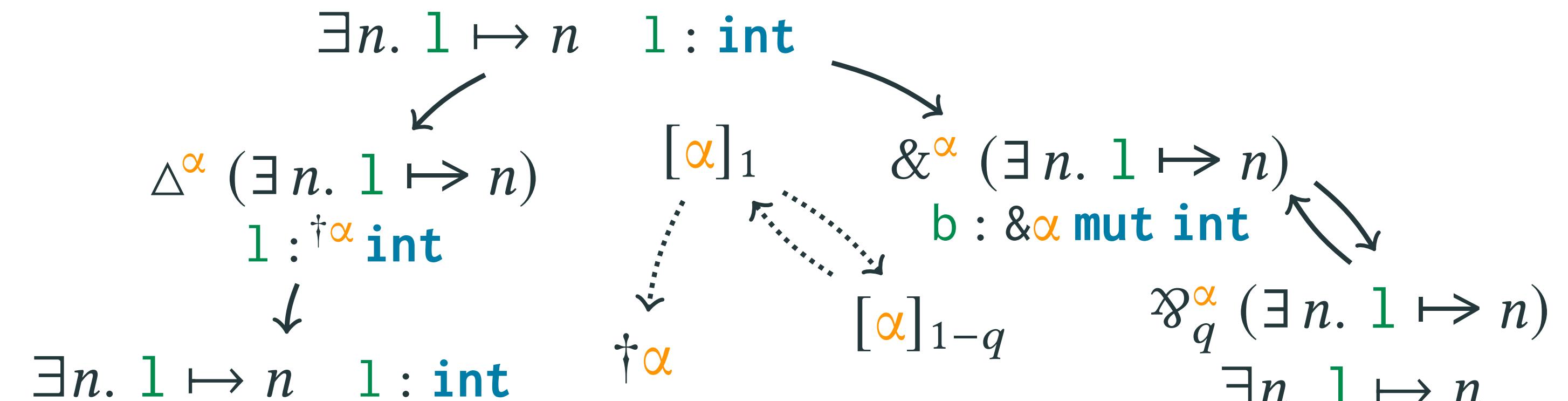
- ♦ Later-free version of RustBelt's lifetime logic [Jung+ '18]
- Advanced higher-order ghost state, but analogous to invariants



```

let mut l = 0;
α { let b = &mut l;
    *b += 7;
    print(l);
}

```



$$[P] \Rightarrow^{\text{Wbor}} [\ ] \quad \&^\alpha P * \Delta^\alpha P \quad \dagger^\alpha * \Delta^\alpha P \Rightarrow^{\text{Wbor}} [\ ] \quad [P]$$

$$\&^\alpha P * [\alpha]_q \Rightarrow^{\text{Wbor}} [\ ] \quad \wp_q^\alpha P * [P] \quad \wp_q^\alpha P * [P] \Rightarrow^{\text{Wbor}} [\ ] \quad \&^\alpha P * [\alpha]_q$$

# Last challenge: Semantic alteration of syntax

- ♦ Want to prove **subtyping** on **shared mutable refs**
  - Need **semantic alteration** of **SL formulas** for **invariants**

**Goal** 
$$\frac{T \leq U \quad U \leq T}{\text{ref } T \leq \text{ref } U}$$

So **need**  
something like

$$\frac{[\![P]\!] \Leftrightarrow [\![Q]\!]}{[\![\boxed{P}]\!] \Leftrightarrow [\![\boxed{Q}]\!]}$$

- ♦ **Semantic equivalence of syntax SL formulas?**

$$[\![\boxed{P}]\!] \triangleq \boxed{P}$$

Not **semantic!**

$$[\![\boxed{P}]\!] \triangleq? \exists Q \text{ s.t. } [\![P]\!] \Leftrightarrow [\![Q]\!]. \boxed{Q}$$

Invalid **circular self-ref**

# Solution: Magic derivability

- ♦ Fixpoint-like semantic construction of derivability
  - Key: Parameterize the semantics over derivability candidates

$$\begin{array}{c} \text{Judg} \ni J ::= P \Leftrightarrow Q \qquad \llbracket \boxed{P} \rrbracket_{\delta} \triangleq \exists Q \text{ s.t. } \delta(P \Leftrightarrow Q). \boxed{Q} \\ \llbracket P \Leftrightarrow Q \rrbracket_{\delta}^+ \triangleq \llbracket P \rrbracket_{\delta} \Leftrightarrow \llbracket Q \rrbracket_{\delta} \qquad \llbracket \cdot \rrbracket^+ : (\text{Judg} \rightarrow i\text{Prop}) \rightarrow (\text{Judg} \rightarrow i\text{Prop}) \\ \text{der } J \Rightarrow \llbracket J \rrbracket_{\text{der}}^+ \qquad \frac{\forall \delta \in \text{Deriv}. \llbracket P \rrbracket_{\delta} \Leftrightarrow \llbracket Q \rrbracket_{\delta}}{\forall \delta \in \text{Deriv}. \llbracket \boxed{P} \rrbracket_{\delta} \Leftrightarrow \llbracket \boxed{Q} \rrbracket_{\delta}} \\ \text{der } \in \text{Deriv} \end{array}$$

Model

*Deriv* is the closure of  $\llbracket \cdot \rrbracket^+$  & conjunction,  
*der* is the smallest element of *Deriv*

If  $\llbracket \cdot \rrbracket^+$  is monotone,  
*der* is exactly the fixpoint

# Case study: RustHalt

- ♦ Semantic foundation for verifying Rust termination
  - Refined **RustHornBelt** [M+ '22] w/ **Nola's** invariants & borrows
    - Each **Rust type** is modeled as a parameterized **SL formula**  $Fml$
  - **Semantic typing / logical** relation that enjoys **extensibility**

**Example**     $\frac{\begin{array}{c} \text{fn iter}(f,l) \{ \text{match } l \{ \text{Nil} \Rightarrow (), \text{ Cons}(a,l') \Rightarrow \{ f(a); \text{ iter}(f,*l') \} \} \} \\ \\ \forall a. \ a : \&\alpha \text{ mut } T \vdash f(a) \dashv \_. \rightsquigarrow \lambda post, [(a,a')]. \ a' = f a \rightarrow post [] \end{array}}{l : \&\alpha \text{ mut } \text{List}\langle T \rangle \vdash \text{iter}(f,l) \dashv \_. \rightsquigarrow \lambda post, [(l,l')]. \ l' = \text{map } f l \rightarrow post []}$

$$\begin{aligned} \llbracket \Gamma \vdash_{\gamma} e \dashv r. \Gamma' \rightsquigarrow pre \rrbracket &\triangleq \forall \hat{post}, t, q. \left[ \exists \bar{\hat{a}}. \left\langle \lambda \pi. pre(\hat{post} \pi)(\bar{\hat{a}} \pi) \right\rangle * [\gamma]_q * [t] * \llbracket \Gamma \rrbracket(\bar{\hat{a}}, t) \right] \\ &\quad e \left[ \lambda r. \exists \bar{\hat{b}}. \left\langle \lambda \pi. \hat{post} \pi(\bar{\hat{b}} \pi) \right\rangle * [\gamma]_q * [t] * \llbracket \Gamma' \rrbracket(\bar{\hat{b}}, t) \right]^{\text{Wrh}} \llbracket \] \end{aligned}$$

# Recent application: Lilo Lee+ OOPSLA '25

- ♦ **Fair liveness verification for shared mutable state**
  - Extends **fairness logic** [Lee+ '23] with **Nola-style** invariants
    - **Stratification** is used for higher-order features

## Example

```
while (1) { y; X := 1;  
  do { y; a := X; } while (a = 1); y; print(a); } || while (1) { y; X := 2;  
  do { y; b := X; } while (b = 2); y; print(b); }
```

**refines**

```
while (1) { y; print(2); } || while (1) { y; print(1); }
```

under scheduler **fairness**

# Takeaway: Syntax vs. Semantics

- ◆ **Syntactic formulas & Semantic proof system**
  - ▶ Unlike the syntax of logic, where the proof system is also syntactic
- ◆ **Syntax is great in flexibility**
  - ▶ In Nola, syntax removes the need for the later modality
  - ▶ Syntax can be designed for various use cases (e.g., strafication)
- ◆ **Syntax can be quite extensible & semantic**
  - ▶ Semantic propositions can be embedded in formulas under later

$$P \longleftrightarrow P$$

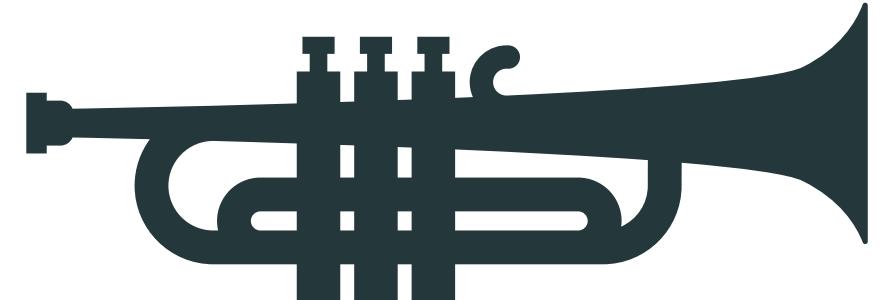
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