

§4.4 Spanning Sets and Linear Independence (Continued)

**Definition.** If  $S = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k\}$  is a set of vectors in a vector space  $V$ , then the **span of  $S$**  is the set of all linear combinations of the vectors in  $S$ ,

$$\text{span}(S) = \{c_1\mathbf{v}_1 + c_2\mathbf{v}_2 + \dots + c_k\mathbf{v}_k : c_1, c_2, \dots, c_k \text{ are real numbers}\}.$$

The span of  $S$  is denoted by  $\text{span}(S)$  or  $\text{span}\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k\}$ . When  $\text{span}(S) = V$ , it is said that  $V$  is **spanned** by  $\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k\}$ , or that  $S$  **spans**  $V$ .

**Theorem 4.7.** If  $S = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k\}$  is a set of vectors in a vector space  $V$ , then  $\text{span}(S)$  is a subspace of  $V$ . Moreover,  $\text{span}(S)$  is the smallest subspace of  $V$  that contains  $S$ , in the sense that every other subspace of  $V$  that contains  $S$  must contain  $\text{span}(S)$ .

**Definition.** A set of vectors  $S = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k\}$  in a vector space  $V$  is **linearly independent** when the vector equation  $c_1\mathbf{v}_1 + c_2\mathbf{v}_2 + \dots + c_k\mathbf{v}_k = \mathbf{0}$  has only the trivial solution  $c_1 = 0, c_2 = 0, \dots, c_k = 0$ . If there are also nontrivial solutions, then  $S$  is **linearly dependent**.

**Example 7.** The followings are examples of linearly dependent sets.

(a)  $S = \{(1, 2), (2, 4)\}$                       (b)  $S = \{(1, 0), (0, 1), (-2, 5)\}$

**Example 8.** Determine whether the set of vectors in  $R^3$  is linearly independent.

$$S = \{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\} = \{(1, 2, 3), (0, 1, 2), (-2, 0, 1)\}$$

**Example 9.** Determine whether the set of vectors in  $P_2$  is linearly independent.

$$S = \{1 + x - 2x^2, 2 + 5x - x^2, x + x^2\}$$

**Theorem 4.8.** A set  $S = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k\}$ ,  $k \geq 2$ , is linearly dependent if and only if at least one of the vectors  $\mathbf{v}_i$  can be written as a linear combination of the other vectors in  $S$ .

§4.5 Basis and Dimension

**Definition.** A set of vectors  $S = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$  in a vector space  $V$  is a **basis** for  $V$  when the conditions below are true.

1.  $S$  spans  $V$ .
2.  $S$  is linearly independent.

**Example 1.** Show that the set  $S = \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$  is a basis for  $R^3$ .

**Example 2.** Show that the set  $S = \{(1, 1), (1, -1)\}$  is a basis for  $R^2$ .

**Example 4.** Show that the vector space  $P_3$  has the basis  $S = \{1, x, x^2, x^3\}$ .

**Theorem 4.9.** If  $S = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$  is a basis for a vector space  $V$ , then every vector in  $V$  can be written in one and only one way as a linear combination of vectors in  $S$ .

**Theorem 4.10.** If  $S = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$  is a basis for a vector space  $V$ , then every set containing more than  $n$  vectors in  $V$  is linearly dependent.

**Example 7.** (b)  $P_3$  has a basis consisting of four vectors, so the set

$$S = \{1, 1+x, 1-x, 1+x+x^2, 1-x+x^2\}$$

must be linearly dependent.

**Theorem 4.11.** If a vector space  $V$  has one basis with  $n$  vectors, then every basis for  $V$  has  $n$  vectors.

**Definition.** If a vector space  $V$  has a basis consisting of  $n$  vectors, then the number  $n$  is the **dimension** of  $V$ , denoted by  $\dim(V) = n$ . When  $V$  consists of the zero vector alone, the dimension of  $V$  is defined as zero.

**Example 9.** Find the dimension of the subspace of  $R^3$ .

(a)  $W = \{(d, c-d, c) : c \text{ and } d \text{ are real numbers}\}$

**Example 11.** Let  $W$  be the subspace of all symmetric matrices in  $M_{2,2}$ . What is the dimension of  $W$ ?

*Solution.* Each vector in  $V \subset M_{2,2}$  consisting of all symmetric matrices has the form

$$(1) \quad \begin{bmatrix} a & b \\ b & c \end{bmatrix} = a \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} + b \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} + c \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}.$$

□

Ex. (§4.5, # 17) Determine if  $S = \{(7, 0, 3), (8, -4, 1)\}$  is a basis in  $\mathbb{R}^3$ .

[Solution] Consider  $B = \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$  as a basis in  $\mathbb{R}^3$ . We see the dimension of  $V = \mathbb{R}^3$  is  $d = 3$ . However,  $S$  has only two vectors, and so it is not a basis.

Ex. (§4.5, # 27) Determine if the set  $S$  is a basis in  $M_{2,2}$ :

$$S = \left\{ \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 8 & -4 \\ -4 & 3 \end{bmatrix} \right\}.$$

[Solution] Recall that a set  $S$  is a basis in  $V$  provided

- (1)  $S$  spans  $V$ ;
- (2)  $S$  is linearly independent.

We can see the fourth matrix is a linear combination of the other three.

$$(2) \quad \begin{bmatrix} 8 & -4 \\ -4 & 3 \end{bmatrix} = c_1 \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} + c_2 \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} + c_3 \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$(3) \quad = \begin{bmatrix} c_1 + c_3 & c_2 \\ c_2 & c_3 \end{bmatrix}.$$

Now comparing the corresponding components (entry values) of the matrices in both sides of the above equation, we obtain

$$(4) \quad c_1 + c_3 = 8 \Rightarrow c_1 = 5$$

$$(5) \quad c_2 = -4$$

$$(6) \quad c_3 = 3.$$

So, the set  $S$  is linearly dependent. Thus we can infer  $S$  is not a basis for  $M_{2,2}$ .

Method II. (growth mindset)

[Solution] Input the matrices into a matrix. Since the determinant of the matrix equals zero, it is linearly dependent and does not span  $M_{2,2}$ .

$$(7) \quad \begin{vmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 \\ 8 & -4 & -4 & 3 \end{vmatrix} = 0.$$

In the above we give the definitions of **basis** and **dimension** of a given vector space.

\*Summary. We show how to determine a set of vectors to be a basis or not a basis in the context of  $\mathbb{R}^n$ ,  $P_n$ ,  $M_{mn}$ .

§4.6\* Rank of a Matrix and Systems of Linear Equations

**Definition.** The dimension of the row (or column) space of a matrix  $A$  is the **rank** of  $A$  and is denoted by  $\text{rank}(A)$ .

**Example 6.** Find the rank of the matrix  $A = \begin{bmatrix} 1 & -2 & 0 & 1 \\ 2 & 1 & 5 & -3 \\ 0 & 1 & 3 & 5 \end{bmatrix}$ .

**Theorem 4.16.** If  $A$  is an  $m \times n$  matrix, then the set of all solutions of the homogeneous system of linear equations  $A\mathbf{x} = \mathbf{0}$  is a subspace of  $R^n$  called the **nullspace** of  $A$  and is denoted by  $N(A)$ . So,  $N(A) = \{\mathbf{x} \in R^n : A\mathbf{x} = \mathbf{0}\}$ . The dimension of the nullspace of  $A$  is the **nullity** of  $A$ .

**Example 7.** Find the nullspace of the matrix  $A = \begin{bmatrix} 1 & 2 & -2 & 1 \\ 3 & 6 & -5 & 4 \\ 1 & 2 & 0 & 3 \end{bmatrix}$ .