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§4.4 Spanning Sets and Linear Independence (Continued)

**Definition.** If  $S = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k\}$  is a set of vectors in a vector space V, then the **span** of S is the set of all linear combinations of the vectors in S,

$$\operatorname{span}(S) = \{c_1\mathbf{v}_1 + c_2\mathbf{v}_2 + \dots + c_k\mathbf{v}_k : c_1, c_2, \dots, c_k \text{ are real numbers}\}.$$

The span of S is denoted by  $\operatorname{span}(S)$  or  $\operatorname{span}\{\mathbf{v}_1,\mathbf{v}_2,\cdots,\mathbf{v}_k\}$ . When  $\operatorname{span}(S)=V$ , it is said that V is **spanned** by  $\{\mathbf{v}_1,\mathbf{v}_2,\cdots,\mathbf{v}_k\}$ , or that S **spans** V.

**Theorem 4.7.** If  $S = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k\}$  is a set of vectors in a vector space V, then  $\mathrm{span}(S)$  is a subspace of V. Moreover,  $\mathrm{span}(S)$  is the smallest subspace of V that contains S, in the sense that every other subspace of V that contains S must contain  $\mathrm{span}(S)$ .

**Definition.** A set of vectors  $S = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k\}$  in a vector space V is **linearly independent** when the vector equation  $c_1\mathbf{v}_1 + c_2\mathbf{v}_2 + \dots + c_k\mathbf{v}_k = \mathbf{0}$  has only the trivial solution  $c_1 = 0, c_2 = 0, \dots, c_k = 0$ . If there are also nontrivial solutions, then S is **linearly dependent**.

**Example 7.** The followings are examples of linearly dependent sets.

(a) 
$$S = \{(1,2), (2,4)\}$$

(b) 
$$S = \{(1,0), (0,1), (-2,5)\}$$

**Example 8.** Determine whether the set of vectors in  $\mathbb{R}^3$  is linearly independent.

$$S = {\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3} = {(1, 2, 3), (0, 1, 2), (-2, 0, 1)}$$

**Example 9.** Determine whether the set of vectors in  $P_2$  is linearly independent.

$$S = \{1 + x - 2x^2, 2 + 5x - x^2, x + x^2\}$$

**Theorem 4.8.** A set  $S = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k\}, k \geq 2$ , is linearly dependent if and only if at least one of the vectors  $\mathbf{v}_i$  can be written as a linear combination of the other vectors in S.

## §4.5 Basis and Dimension

**Definition.** A set of vectors  $S = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$  in a vector space V is a **basis** for V when the conditions below are true.

1. S spans V.

2. S is linearly independent.

**Example 1.** Show that the set  $S = \{(1,0,0), (0,1,0), (0,0,1)\}$  is a basis for  $\mathbb{R}^3$ .

**Example 2.** Show that the set  $S = \{(1,1), (1,-1)\}$  is a basis for  $\mathbb{R}^2$ .

**Example 4.** Show that the vector space  $P_3$  has the basis  $S = \{1, x, x^2, x^3\}$ .

**Theorem 4.9.** If  $S = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$  is a basis for a vector space V, then every vector in V can be written in one and only one way as a linear combination of vectors in S.

**Theorem 4.10.** If  $S = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$  is a basis for a vector space V, then every set containing more than n vectors in V is linearly dependent.

**Example 7.** (b)  $P_3$  has a basis consisting of four vectors, so the set

$$S = \{1, 1 + x, 1 - x, 1 + x + x^2, 1 - x + x^2\}$$

must be linearly dependent.

**Theorem 4.11.** If a vector space V has one basis with n vectors, then every basis for V has n vectors.

**Definition.** If a vector space V has a basis consisting of n vectors, then the number n is the **dimension** of V, denoted by  $\dim(V) = n$ . When V consists of the zero vector alone, the dimension of V is defined as zero.

**Example 9.** Find the dimension of the subspace of  $R^3$ . (a)  $W = \{(d, c - d, c) : c \text{ and } d \text{ are real numbers}\}$ 

**Example 11.** Let W be the subspace of all symmetric matrices in  $M_{2,2}$ . What is the dimension of W?

Solution. Each vector in  $V \subset M_{2,2}$  consisting of all symmetric matrices has the form

$$\begin{bmatrix} a & b \\ b & c \end{bmatrix} = a \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} + b \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} + c \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}. \tag{1}$$

Ex. (§4.5, # 17) Determine if  $S = \{(7,0,3), (8,-4,1)\}$  is a basis in  $\mathbb{R}^3$ . [Solution] Consider  $B = \{(1,0,0), (0,1,0), (0,0,1)\}$  as a basis in  $\mathbb{R}^3$ . We see the dimension of  $V = \mathbb{R}^3$  is d = 3. However, S has only two vectors, and so it is not a basis. Ex. (§4.5, # 27) Determine if the set S is a basis in  $M_{22}$ :

$$S = \left\{ \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 8 & -4 \\ -4 & 3 \end{bmatrix} \right\}.$$

[Solution] Recall that a set S is a basis in V provided

- 1. S spans V;
- 2. S is linearly independent.

We can see the fourth matrix is a linear combination of the other three.

$$\begin{bmatrix} 8 & -4 \\ -4 & 3 \end{bmatrix} = c_1 \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} + c_2 \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} + c_3 \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$
 (2)

$$= \begin{bmatrix} c_1 + c_3 & c_2 \\ c_2 & c_3 \end{bmatrix}. \tag{3}$$

Now comparing the corresponding components (entry values) of the matrices in both sides of the above equation, we obtain

$$c_1 + c_3 = 8 \Rightarrow c_1 = 5 \tag{4}$$

$$c_2 = -4 \tag{5}$$

$$c_3 = 3. (6)$$

So, the set S is linearly dependent. Thus we can infer S is not a basis for  $M_{2,2}$ . Method II. (growth mindset)

[Solution] Input the matrices into a matrix. Since the determinant of the matrix equals zero, it is linearly dependent and does not span  $M_{2,2}$ .

$$\begin{vmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 \\ 8 & -4 & -4 & 3 \end{vmatrix} = 0.$$
 (7)

In the above we give the definitions of **basis** and **dimension** of a given vector space.

\*Summary. We show how to determine a set of vectors to be a basis or not a basis in the context of  $\mathbb{R}^n$ ,  $P_n$ ,  $M_{mn}$ .

**Definition.** The dimension of the row (or column) space of a matrix A is the **rank** of A and is denoted by rank(A).

**Example 6.** Find the rank of the matrix  $A = \begin{bmatrix} 1 & -2 & 0 & 1 \\ 2 & 1 & 5 & -3 \\ 0 & 1 & 3 & 5 \end{bmatrix}$ .

**Theorem 4.16.** If A is an  $m \times n$  matrix, then the set of all solutions of the homogeneous system of linear equations  $A\mathbf{x} = \mathbf{0}$  is a subspace of  $R^n$  called the **nullspace** of A and is denoted by N(A). So,  $N(A) = \{\mathbf{x} \in R^n : A\mathbf{x} = \mathbf{0}\}$ . The dimension of the nullspace of A is the **nullity** of A.

**Example 7.** Find the nullspace of the matrix  $A = \begin{bmatrix} 1 & 2 & -2 & 1 \\ 3 & 6 & -5 & 4 \\ 1 & 2 & 0 & 3 \end{bmatrix}$ .