

# Wintertime Stratospheric Circulation Response to Smoke Injection from a Regional Nuclear Conflict

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22 **Abstract:**

23 Tropical stratospheric aerosol injections are known to strengthen the wintertime Stratospheric  
24 Polar Vortex (SPV). Here, we revisit the circulation response to aerosol perturbations during the  
25 first month following injection using chemistry-climate model simulations of regional nuclear  
26 war scenarios. We diagnose the atmospheric heat and momentum budgets to assess the thermal  
27 and dynamical responses to tropical soot injection. The results reveal that, during the initial  
28 adjustment period of 30 days, radiative heating from aerosols is confined to the tropics. In  
29 contrast, temperature and circulation changes in the mid-to-high latitudes are governed primarily  
30 by dynamical processes, with changes in eddy momentum fluxes driving the intensification of  
31 the SPV. Together, these findings demonstrate that circulation responses to stratospheric aerosol  
32 perturbations - through the redistribution of heat and momentum to remote regions - play a key  
33 role in the strengthening of the winter polar jet.

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45    **Key Points:**

- 46    • Heat and momentum budget analyses reveal the mechanisms linking the aerosol perturbations  
47    to early stratospheric circulation responses.
- 48    • During the first month after injection, aerosol radiative heating is confined to the tropical-  
49    subtropical stratosphere.
- 50    • Dynamical processes play a key role in temperature changes over the mid-to-high latitudes as  
51    well as strengthening of the polar vortex.

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54    **Plain Language Summary:**

55       Large injections of aerosols into the stratosphere are known to strengthen the winter polar  
56    vortex, but the physical processes responsible for this response are not fully understood. Using a  
57    climate model simulation of a regional nuclear war, we examine how the atmosphere responds  
58    during the first month after smoke particles are injected into the stratosphere. We find that  
59    warming from aerosol heating in this early period occurs mainly in the subtropics, while changes  
60    in atmospheric circulation transport this heat toward mid-latitudes. These circulation changes  
61    also modify atmospheric momentum transport, leading to a stronger polar jet. Our results show  
62    that atmospheric dynamics play a key role in how aerosol perturbations affect remote regions and  
63    strengthen the winter polar vortex.

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68 **Main Text:**

69 **1. Introduction**

70 Observational studies have suggested that the Northern Hemisphere (NH) stratospheric  
71 polar vortex (SPV) tends to strengthen during boreal winters following major tropical volcanic  
72 eruptions (Kodera, 1995; Graf et al., 2007). The linkage between NH wintertime atmospheric  
73 circulation and stratospheric aerosol injections (SAI) has also been extensively examined in  
74 numerical modeling studies across a range of contexts. Simulations of explosive volcanic  
75 eruptions (Graf et al., 1993; Bittner et al., 2016; Dalla Santa et al., 2019) and geoengineering  
76 scenarios (Banerjee et al., 2021; Jones et al., 2020) typically implement massive injections of  
77 sulfur dioxide into the stratosphere. Nuclear war scenarios consider large injections of black  
78 carbon (BC) and organic carbon (OC) from urban fires (Coupe and Robock, 2021, Pausata et al.,  
79 2016). Results from several of these studies consistently indicate a broadly similar outcome:  
80 aerosol-induced heating of the tropical stratosphere followed by a strengthening of the  
81 stratospheric polar vortex (SPV).

82 A variety of radiative and dynamical mechanisms have been proposed to explain the  
83 linkage between tropical aerosol perturbations and the strength of the SPV. In the following,  
84 temperature and circulation anomalies occurring locally adjacent to the aerosol plume are  
85 referred to as the direct response to aerosol perturbations.

86 (1) **Stratospheric Gradient Mechanism:** The first mechanism involves warming of the  
87 tropical stratosphere due to aerosol-induced heating, which enhances the meridional  
88 temperature gradient and thereby strengthen the stratospheric jet through thermal wind  
89 balance (Graf et al., 1993; Kodera, 1994; Robock and Mao, 1995; Coupe and Robock,  
90 2021).

91       (2) **Wave Feedback Mechanism:** Other studies suggest that the changes in the stratospheric  
92           temperature gradient from the direct radiative effects of aerosol are largely confined to  
93           the subtropics (Stenchikov et al., 2002; Bittner et al., 2016; Toohey et al., 2014). Thus,  
94           the acceleration of the jet required to maintain thermal wind balance occurs mainly in the  
95           subtropical latitudes rather than the polar latitudes. The second mechanism has been  
96           proposed to explain the strengthening of the SPV through an indirect dynamical process.  
97           The eastward acceleration of the zonal wind fields in the subtropical stratosphere deflects  
98           planetary wave propagation equatorward (i.e., limits poleward wave fluxes), thereby  
99           indirectly intensifying the SPV (Toohey et al., 2014; Bittner et al., 2016; Dalla Santa et  
100           al., 2019).

101       (3) **Tropospheric Gradient Mechanism:** The third mechanism includes an indirect  
102           tropospheric pathway. Aerosol scattering of shortwave radiation cools the surface,  
103           weakens the tropospheric meridional temperature gradient and baroclinicity, which in  
104           turn, reduces upward wave flux and contributes to the polar jet acceleration (Graf et al.,  
105           1993; Stenchikov et al., 2002; Dalla Santa et al., 2019).

106           Together, these mechanisms highlight how coupled radiative and dynamical adjustments  
107           can strengthen the wintertime SPV following tropical aerosol injections. However, it remains  
108           unclear whether these mechanisms operate on short timescales immediately after injection. Most  
109           previous work has focused on seasonal or longer-term responses, while the initial evolution of  
110           the circulation anomalies during the weeks immediately following injection - the period when  
111           the atmosphere adjusts most rapidly - remains relatively unexplored.

112           Here, we use a chemistry-climate model to examine how radiative and dynamical  
113           processes contribute to the stratospheric response to smoke injection in regional nuclear-war

114 scenarios during the first month. We quantify the evolution of the heat and momentum budgets  
115 to assess the processes that link the initial aerosol perturbations to the early-stage circulation  
116 responses.

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118 **2. Data and Methods**

119 **2.1. Model and Scenarios**

120 We evaluate the Whole Atmosphere Community Climate Model version 4 (WACCM4;  
121 Marsh et al., 2013) simulations, previously run by Yook et al. (2025) to assess the climate  
122 response to a regional nuclear conflict. The simulations are run with fully interactive chemistry  
123 and coupled ocean, land, and sea-ice components, with a horizontal resolution of  $1.9^\circ \times 2.5^\circ$ , 66  
124 vertical levels, and a model top near 140 km. The model incorporates the Model for Ozone and  
125 Related Chemical Tracers (Kinnison et al., 2007) and computes photolysis rates using the  
126 Tropospheric Ultraviolet and Visible (TUV) radiation scheme (Madronich & Flocke, 1997),  
127 allowing for the effects of aerosol scattering and absorption on actinic fluxes.

128 Two sets of simulations are analyzed: 1) a control (**CTRL**) case with present-day  
129 background conditions and 2) an India-Pakistan (**IP**) case representing a regional nuclear conflict  
130 scenario following Yook et al. (2025). In the **IP** experiment, ~6.7 Tg of smoke (5 Tg BC and 1.7  
131 Tg OC) is injected into the upper troposphere (150-300 hPa) over the India and Pakistan regions  
132 during 12-15 January of the first simulation year. The smoke aerosols are simulated with the  
133 Community Aerosol and Radiation Model for Atmospheres (CARMA; Bardeen et al., 2008),  
134 which explicitly represents coagulation, wet and dry deposition, and gravitational settling.  
135 Details of the model configuration and experimental design are described in Bardeen et al.

136 (2021) and Yook et al. (2025). To focus on the radiative effects of smoke particles during the  
 137 first month and to minimize feedback from substantial ozone depletion due to halogen chemistry  
 138 reported in Yook et al. (2025), we did not include halogen emissions in this study.

139        Each set of experiments includes 20 ensemble members, initialized from different initial  
 140 conditions on 1 January to isolate circulation responses to stratospheric aerosol forcing from  
 141 internal climate variability. All ensemble members were integrated for four months, and the  
 142 results presented here are based on the ensemble mean of each field.

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144 **2.2. The Zonal Mean Heat Budget**

145        To assess the thermal and dynamical responses of the circulation, we quantify the  
 146 temporal and spatial evolution of the stratospheric heat budget as a function of latitude and  
 147 pressure (e.g., Holton, 2004; Lachmy & Kaspi, 2020; White et al., 2024). The prognostic  
 148 equation for zonal mean temperature can be written as:

$$149 \quad \frac{\partial \bar{T}}{\partial t} = \bar{Q} - \bar{\omega} \left( \frac{\partial \bar{T}}{\partial p} - \kappa \frac{\partial \bar{T}}{p} \right) - \frac{1}{a \cos \phi} \frac{\partial (\cos \phi \bar{v}' T')} {\partial \phi} - \left\{ \left( \frac{\partial (\bar{\omega}' T')}{\partial p} \right) - \kappa \frac{(\bar{\omega}' T')}{p} \right\} - \bar{v} \frac{\partial \bar{T}}{a \partial \phi} + \bar{Q}_{GW} \dots (1)$$

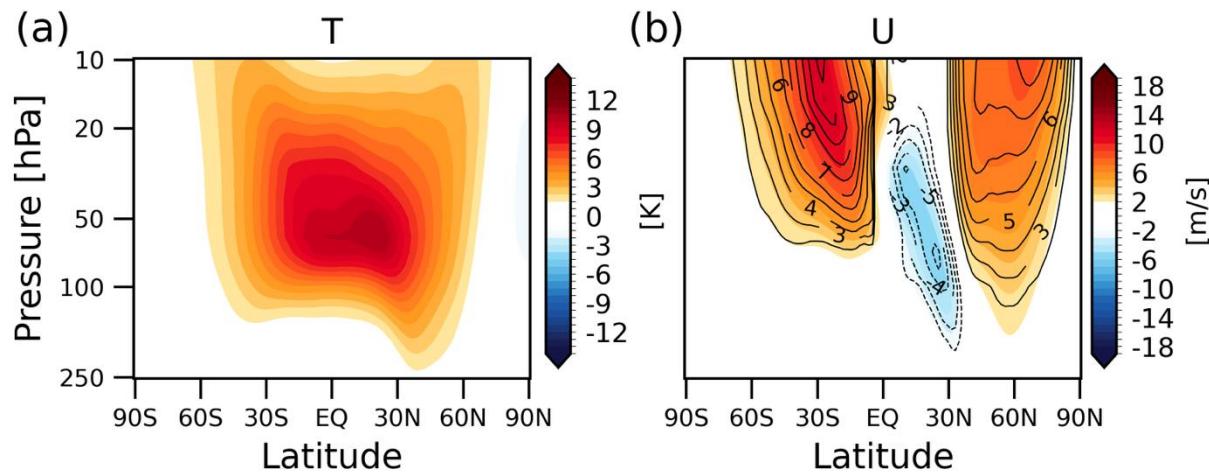
150        TEND RAD ADIA EHFC RES

151 Here,  $T$  is temperature,  $v$  is meridional wind,  $\omega$  is vertical velocity in pressure coordinates,  $Q$  is  
 152 the diabatic heating tendency, and  $Q_{GW}$  represents the heating rate due to gravity-wave drag.  $p$  is  
 153 pressure,  $\phi$  is latitude,  $a$  is Earth's radius, and  $\kappa = R_d/c_p$ . The overbar denotes the zonal mean,  
 154 and the prime denotes deviations from the zonal mean.

155        The term on the left-hand side of the above equation represents the net temperature  
 156 tendency (TEND). The first term on the right-hand side represents the diabatic heating from the

157 sum of longwave and shortwave heating rates (i.e., radiative processes; RAD). The second term  
 158 represents the adiabatic process by vertical motion (hereafter ADIA), and the third term  
 159 corresponds to the meridional eddy heat flux convergence (EHFC). The remaining terms (fourth  
 160 through sixth) represent heating due to vertical eddy heat flux convergence, temperature  
 161 advection by the zonal mean meridional wind, and heating from gravity wave drag, respectively,  
 162 comprising the residual terms (RES). To distinguish heating from dynamical processes from that  
 163 due to radiative processes, we define the dynamical temperature tendency (DYN) as the sum of  
 164 the ADIA, EHFC, and RES terms. Note that DYN refers only to dynamical processes in the  
 165 temperature budget (Eq. 1), not in the zonal-momentum budget (Eq. 2), which will be discussed  
 166 later.

167

168 **3. Results**169 **3.1. Stratospheric Temperature and Circulation Responses**

170

171 **Figure 1.** Changes in zonal-mean (a) temperature and (b) zonal wind between **IP** and **CTRL** simulations  
 172 (color shading). In panel (b), line contours indicate zonal wind changes estimated from the thermal wind  
 173 relationship using the temperature anomalies shown in panel (a). All fields are averaged over the 30-day period  
 174 following the IP conflict from 11 January to 9 February.

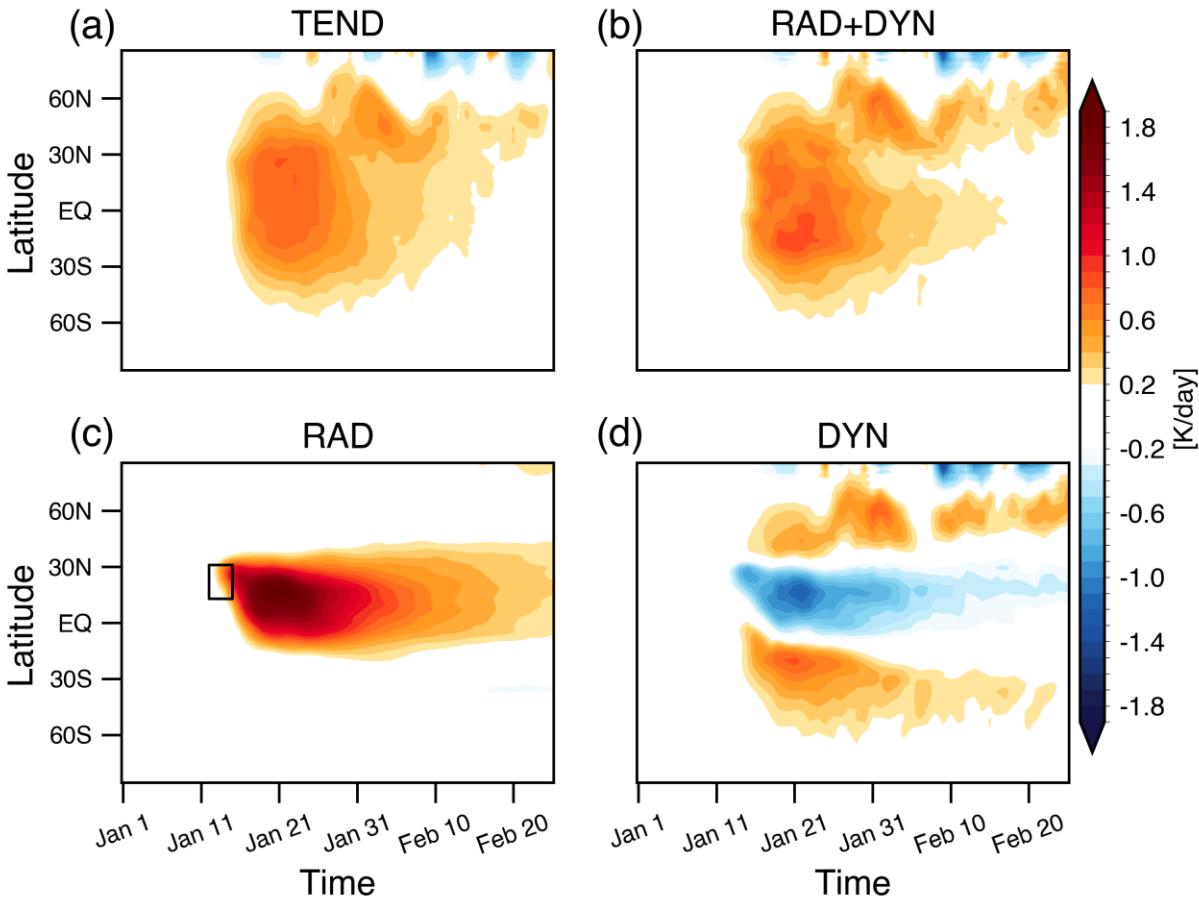
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176        The stratospheric climate anomalies during the first month after the IP conflict show a  
177    rapid warming after the injection, with temperature anomalies exceeding 10 K in the tropical  
178    lower stratosphere during the first month (Fig. 1a). This tropical warming arises primarily from  
179    shortwave absorption by smoke particles, consistent with earlier studies of nuclear-conflict  
180    scenarios (Robock et al., 2007; Mills et al., 2008, 2014; Bardeen et al., 2021; Yook et al., 2025).

181        The circulation responses are marked by strengthening of the wintertime SPV. Zonal  
182    wind anomalies show robust westerly accelerations across the NH mid-to-high latitudes as well  
183    as over the SH subtropics (Fig. 1b). The thermal-wind response derived from the temperature  
184    anomalies (line contours) closely matches the simulated wind response (shading), indicating that  
185    the circulation anomalies are largely in thermal-wind balance with the temperature anomalies  
186    (Fig. 1b). We further examine the processes contributing to the SPV intensification by  
187    quantifying the associated heat-budget in the next section.

188

189    **3.2. Stratospheric Heat Budget Analyses**



190  
 191 **Figure 2.** Time series of changes in stratospheric temperature tendency ( $\text{K day}^{-1}$ ). Each panel shows (a) total  
 192 temperature tendency (TEND; LHS of Eq. 1), (b) sum of all diagnosed thermodynamic tendency terms  
 193 (RAD+DYN; RHS of Eq. 1). (c) radiative heating term (RAD), and (d) dynamical contribution (DYN). The  
 194 results are vertically averaged between the 100 and 10 hPa levels, with each layer weighted by pressure. All  
 195 results in Figs. 2-4 are shown as differences between the **IP** and **CTRL** simulations. The black box in panel (c)  
 196 marks the aerosol injection time and location for the IP conflict scenario.

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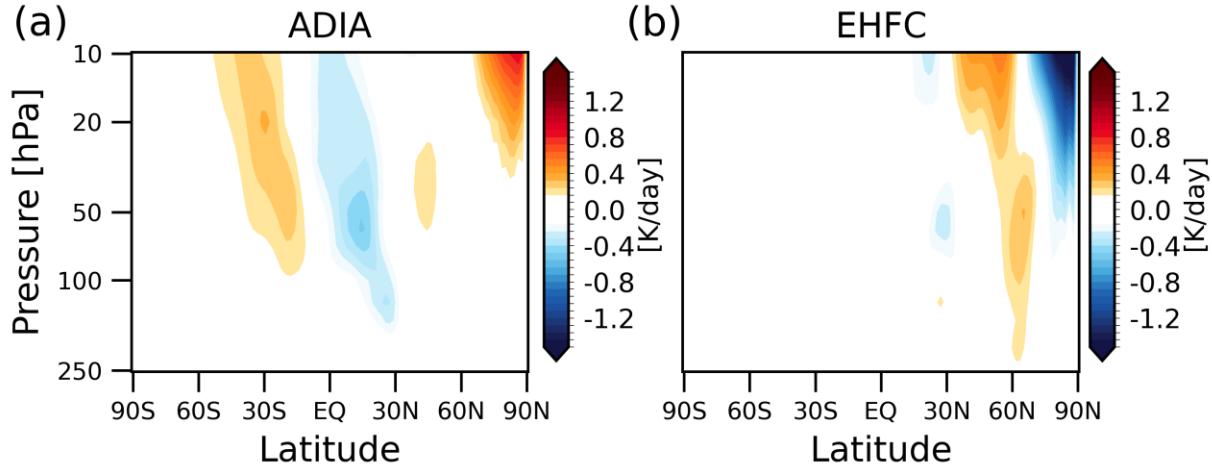
198 The evolution of stratospheric temperature following aerosol injection is quantified using  
 199 the temperature tendency budget (Eq. 1). The net temperature tendency across the global  
 200 stratosphere (Fig. 2a) is well captured by the sum of the diagnosed radiative and dynamical terms  
 201 (Fig. 2b), indicating that the heat-budget analysis accurately captures the processes driving the

202 temperature response. The atmosphere warms rapidly over the tropics within a few days of the  
203 injection (January 12-15), and a secondary warming signal emerges in the extratropics roughly  
204 two weeks later.

205 The radiative and dynamical contributions to the temperature tendency exhibit distinct  
206 spatial structures (Figs. 2c-2d). Radiative heating produces strong tropical warming, consistent  
207 with shortwave absorption by smoke particles, but this radiative signal remains confined largely  
208 within  $\pm 30^\circ$  latitude (Fig. 2c). Radiative warming tendency of the tropical stratosphere peaks at  
209  $\sim 2 \text{ K day}^{-1}$  within the first week following the smoke injection, but then declines to less than  
210  $\sim 0.3 \text{ K day}^{-1}$  over the course of a month. This indicates that the tropical stratosphere rapidly  
211 approaches radiative equilibrium, as the aerosol-induced heating is offset by enhanced longwave  
212 cooling from the Planck feedback.

213 The dynamical tendency exhibits cooling over the tropics and warming over the  
214 midlatitudes in both hemispheres (Fig. 2d). The tropical cooling is associated with enhanced  
215 ascent and adiabatic cooling (Figs. 3a and S1a), and the mid-to-high latitudes warming arises  
216 from both vertical motion (ADIA) and meridional heat transport by atmospheric eddies (EHFC)  
217 (Figs. 3b and S1b). Warming associated with meridional eddy heat-flux convergence is evident  
218 across the stratosphere around  $\sim 60^\circ\text{N}$ , underscoring the importance of atmospheric eddies in  
219 shaping extratropical temperature anomalies (Fig. 3b).

220 Taken together, the results indicate that aerosol perturbations influence the temperature  
221 field through two pathways: (1) direct radiative heating in the tropics and (2) dynamical heat  
222 redistribution that transports warm anomalies poleward. The latter dominates the temperature  
223 anomalies at mid-to-high latitudes and highlights the key role of dynamical processes in driving  
224 the extratropical response to smoke injection.



225

226 **Figure 3.** Zonal-mean temperature tendencies associated with (a) adiabatic process (ADIA) and (b)  
 227 meridional eddy heat-flux convergence (EHFC). All fields are averaged over the 30-day period following the  
 228 IP conflict from 11 January to 9 February.

229

### 230 3.3. Mechanisms for Stratospheric Polar Vortex Response

231 To further diagnose the circulation response, we examine the zonal-mean momentum  
 232 budget as follows (Eq. 2; Holton, 2004; Dima et al., 2005; White et al., 2024):

$$233 \frac{\partial \bar{U}}{\partial t} \cong \bar{v}(f - \frac{1}{a \cos \phi} \frac{\partial(\bar{u} \cos \phi)}{\partial \phi}) - \frac{1}{a \cos^2 \phi} \frac{\partial(\cos \phi (\bar{u}' v'))}{\partial \phi} - (\bar{u} \frac{\partial \bar{\omega}}{\partial p} + \frac{\partial(\bar{u}' \bar{\omega}')}{\partial p} - \bar{F}_r) \dots (2)$$

234

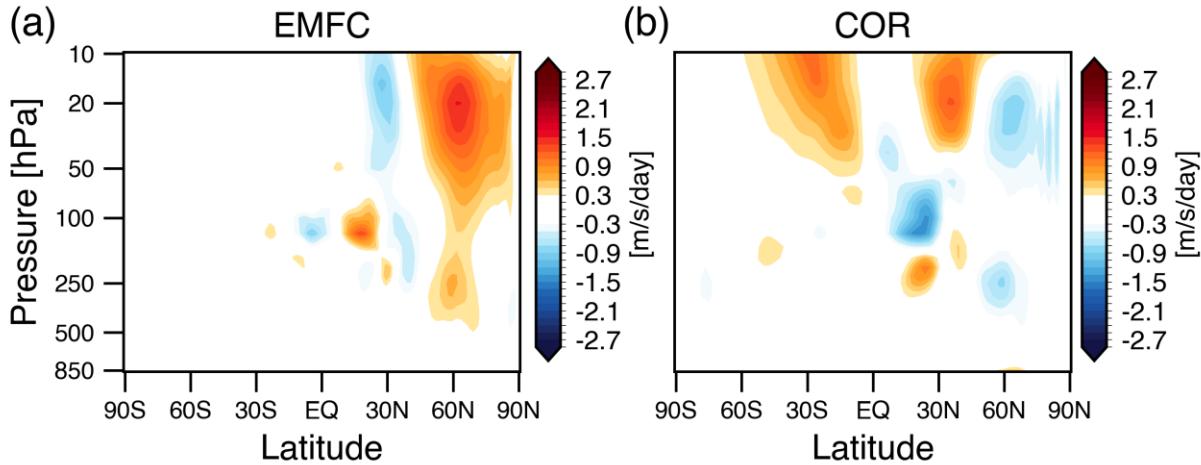
COR

EMFC

URES

235 The wind tendency can be written as the sum of three terms, the Coriolis acceleration  
 236 (COR), the eddy momentum flux convergence (EMFC), and the residual term (URES), which  
 237 includes vertical advection, vertical eddy momentum flux convergence, and friction ( $F_r$ ).

238



239

240 **Figure 4.** The acceleration of the zonal-mean zonal flow ( $\text{m s}^{-1} \text{ day}^{-1}$ ) associated with the (a) eddy momentum-  
 241 flux convergence (EMFC) and (b) Coriolis torque (COR). All fields are averaged over the 30-day period  
 242 following the IP conflict from 11 January to 9 February.

243

244 Figure 4 demonstrates the key components of the zonal-mean momentum budget that  
 245 drive the anomalous zonal wind responses. The eddy momentum-flux convergence produces  
 246 strong westerly accelerations throughout the stratosphere across  $\sim 40\text{-}90^\circ\text{N}$  (Fig. 4a), indicating  
 247 that eddy forcing is the primary driver of the anomalous zonal wind response. The spatial  
 248 structure of the EMFC anomalies aligns with the regions of strengthened westerlies in Fig. 1b.

249 The EMFC anomalies are proportional to the divergence of the meridional component of  
 250 the Eliassen-Palm flux (EPy) which provide a useful diagnostic for meridional propagation of  
 251 planetary waves. The EPy anomalies show pronounced negative values over the NH  
 252 midlatitudes, indicating enhanced equatorward wave propagation (Fig. S2). As a result, wave  
 253 breaking at high latitudes is reduced, which in turn weakens the deceleration of the SPV. These  
 254 changes in enhanced equatorward wave propagation are consistent with findings from  
 255 stratospheric aerosol-injection studies (Bittner et al., 2016; Toohey et al., 2014; Coupe and

256 Robock 2021). These results are also consistent with earlier dynamical studies of the circulation  
257 response to thermal perturbations in the tropical stratosphere, which showed that changes in  
258 horizontal eddy momentum fluxes play a crucial role in driving the midlatitude zonal wind  
259 anomalies (Haigh et al., 2005; Simpson et al., 2009).

260 The Coriolis torque – westerly torques associated with poleward wind anomalies –  
261 contributes more modestly to the zonal wind anomalies over the NH mid-to-high latitudes,  
262 indicating a slight equatorward shift of the zonal wind anomalies. The influence of the Coriolis  
263 torque is more pronounced over the SH subtropics and midlatitudes, where the jet intensifies but  
264 the EMFC anomalies are weak (Fig. 4b). Overall, the results in Fig. 4 reveal the dynamical  
265 pathway for the polar-jet acceleration, highlighting the key role of eddy momentum forcing via  
266 wave-mean flow interactions.

267

#### 268 **4. Conclusions**

269 This study uses a chemistry-climate model to examine the wintertime circulation  
270 response to smoke aerosol injected into the tropical stratosphere over the India-Pakistan region  
271 during a regional-scale nuclear conflict (for the exact emission locations please refer to Fig. S1 in  
272 Yook et al. 2025). We quantify the stratospheric heat and momentum budgets to assess the  
273 transient thermal and dynamical responses of the circulation to the aerosol perturbations. The  
274 heat budget reveals that tropical warming is driven by radiative heating, whereas extratropical  
275 warming arises primarily from dynamical processes that redistribute tropical heat poleward (Fig.  
276 2). These extratropical warm anomalies are largely driven by dynamical processes, primarily by  
277 meridional eddy heat flux (Fig. 3). The momentum budget (Fig. 4) further shows that the  
278 associated changes in eddy momentum-flux convergence play a key role in the strengthening of

279 the polar vortex. Taken together, our results demonstrate how stratospheric aerosol perturbations  
280 influence atmospheric circulation in remote regions. Changes in atmospheric eddies in response  
281 to the aerosol perturbation play a key role in driving extratropical circulation anomalies through  
282 the redistribution of heat and momentum.

283 Previous studies have identified key mechanisms linking stratospheric aerosol injections  
284 to polar vortex strengthening: (1) the stratospheric gradient mechanism, (2) the wave-feedback  
285 mechanism, and (3) the tropospheric gradient mechanism, primarily based on analyses over  
286 seasonal to longer timescales. In this study, we focus on the initial ~30-day climate response  
287 following the aerosol injection, a period sufficient for stratospheric temperature anomalies to  
288 approach radiative equilibrium (Fig. 2). We clarify how these mechanisms operate on such short  
289 timescales by explicitly quantifying the heat and momentum budgets. Our results suggest that the  
290 wave-feedback mechanism plays a particularly dominant role even within the first few weeks  
291 following aerosol injection. During this early period, the zonal wind anomalies are in thermal-  
292 wind balance, indicating that the stratospheric gradient mechanism is also applicable. However,  
293 our heat-budget analysis reveals that the enhanced temperature gradients are driven primarily by  
294 dynamical processes rather than by direct radiative effects.

295 Understanding both the initial and longer-term responses, as well as the mechanisms  
296 driving their evolution, remains an important topic for future research. For instance, the  
297 “tropospheric gradient mechanism”, which involves changes in the surface temperature gradient,  
298 was not relevant during the early adjustment period but is expected to become important later.  
299 Investigating how and when this mechanism emerges will be crucial. It will also be valuable to  
300 examine the mechanisms that sustain circulation anomalies over extended timescales – months to  
301 years after the aerosol perturbation – and to assess how the global transport of aerosols

302 influences these responses. Further work should also investigate how the strengthening of the  
303 stratospheric polar vortex translates into tropospheric atmospheric changes, such as the North  
304 Atlantic Oscillation (NAO) as well as surface climate.

305  
306

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316

317 **A conflict of interest disclosure statement**

318 All authors declare that they have no conflicts of interest.

319

320 **Open Research**

321 The data used in the generation of the figures of this paper are available in Yook (2026).  
322 WACCM4 is an open-source community model, which was developed with support primarily  
323 from the National Science Foundation, see Marsh et al. (2013).

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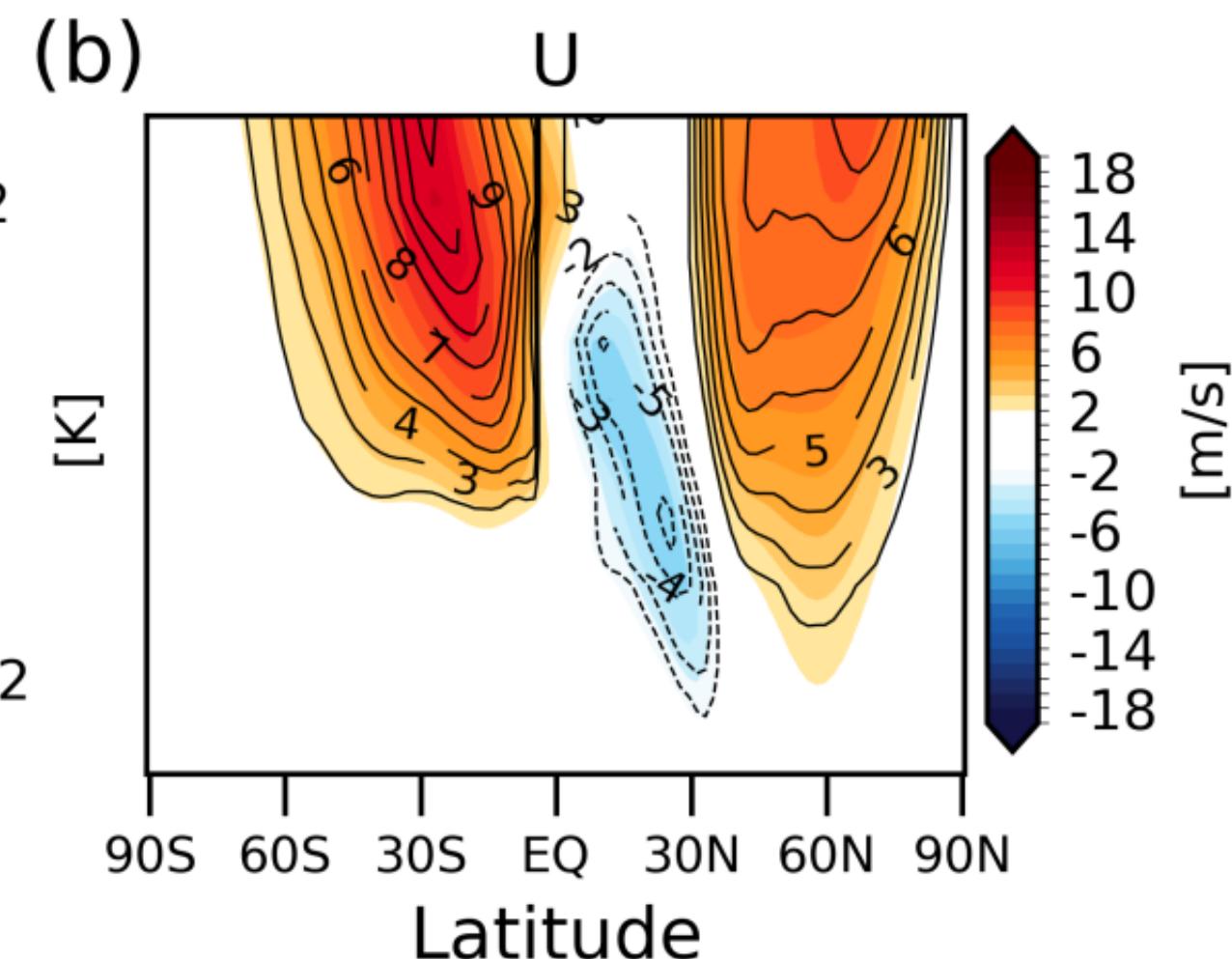
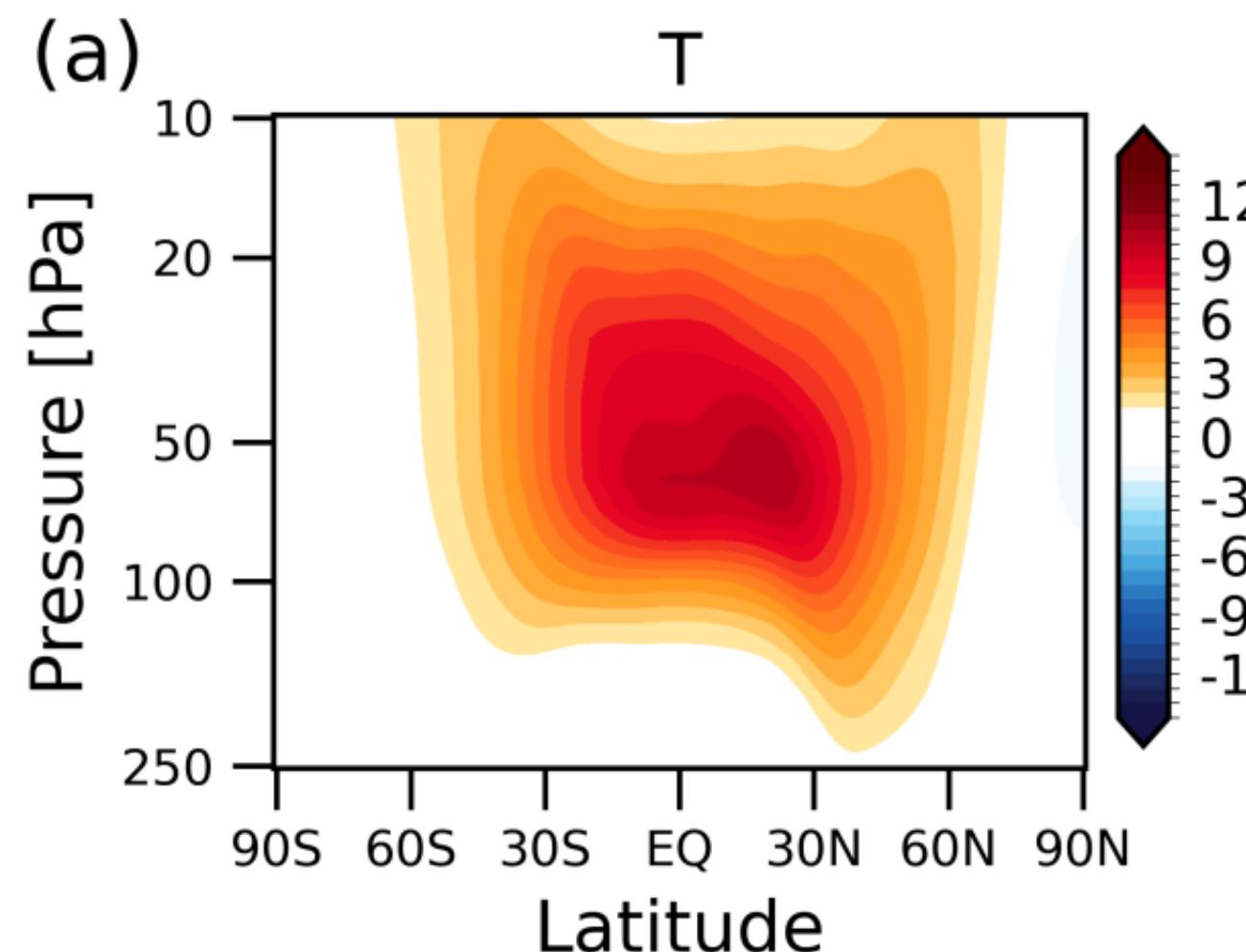
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**Figure 1.**

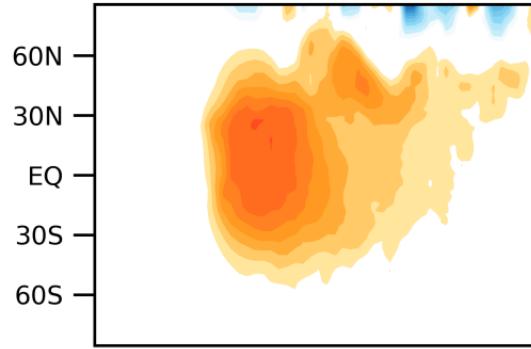


**Figure 2.**

Latitude

(a)

TEND

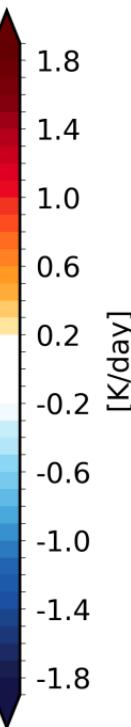


(b)

RAD+DYN

(d)

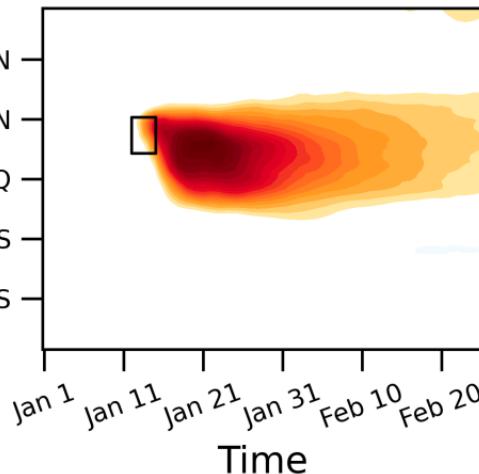
DYN



Latitude

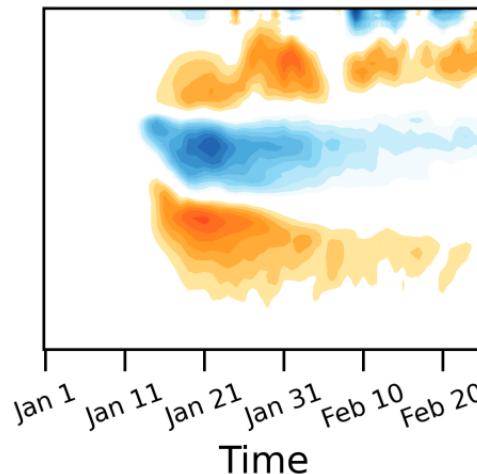
(c)

RAD

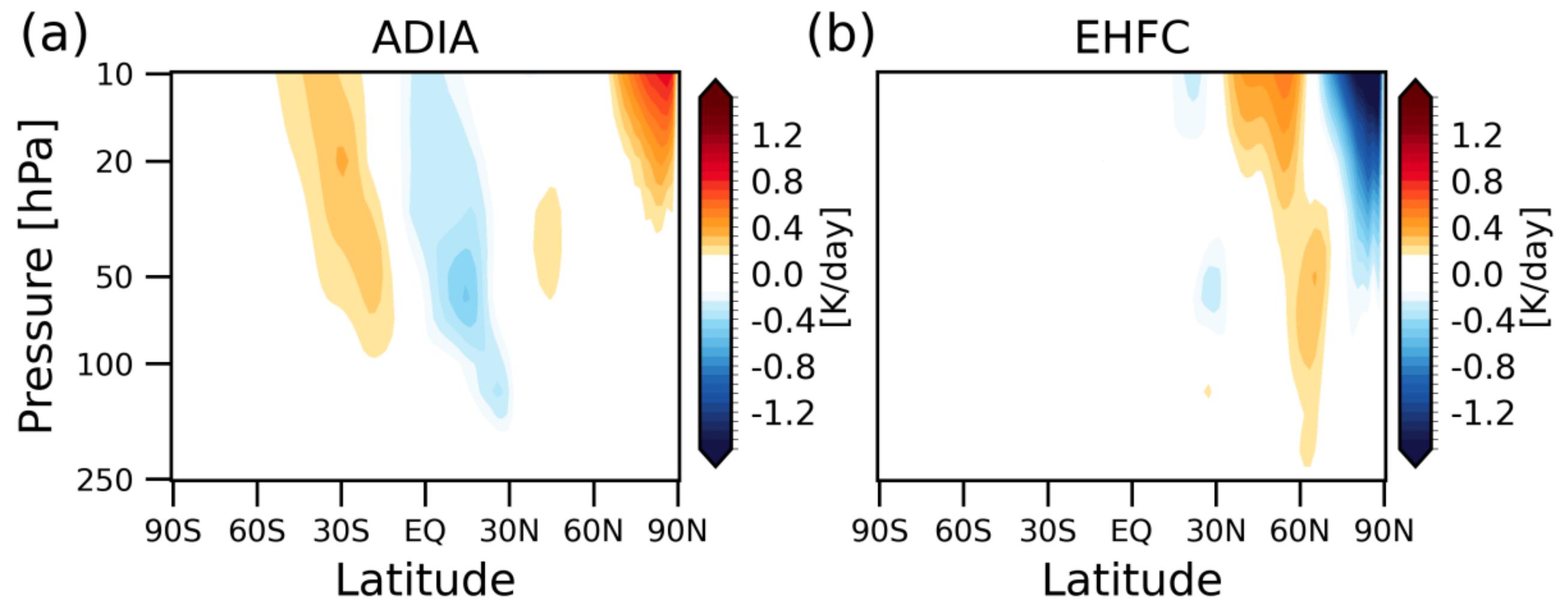


(d)

DYN



**Figure 3.**



**Figure 4.**

