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1 Non-deterministic Finite Automata (NFA)

Def: an NFA is a 5-tuple $N = (Q, \Sigma, \delta, q_0, F)$ where:

- Q is a finite, nonempty set of states
- Σ is an alphabet
- $q_0 \in Q$ is the start state
- $F \subseteq Q$ is the set of accepted states
- δ is the transition function which has the form: $\delta : Q \times (\Sigma \cup \{\epsilon\}) \rightarrow P(Q)$

Def: an NFA $N = (Q, \Sigma, \delta, q_0, F)$ *accepts* a string $\omega \in \Sigma^*$ if there exists 1. $m \in \mathbb{N}$ 2. $r_0, \dots, r_m \in Q$ 3. $\sigma_1, \dots, \sigma_m \in \Sigma \cup \{\epsilon\}$ such that

1. $r_0 = q_0$
2. $r_m \in F$
3. $\omega = \sigma_1 \dots \sigma_m$
4. $r_{k+1} \in \delta(r_k, \sigma_{k+1})$

for $k = 0 \dots m - 1$

1.1 ϵ -closure

$R \subseteq Q$

The ϵ -closure of R is $\epsilon(R)$ = set of all states that can be reached from any state in R by following zero or more ϵ -transitions

$$\begin{aligned} \delta^*(q, \epsilon) &= \epsilon(\{q\}) \\ \delta^*(q, \omega\sigma) &= \epsilon(\cup_{r \in \delta^*(q, \omega)} \delta(r, \sigma)) \\ &\text{for all } q, \omega, \sigma \\ L(N) &= \{\omega \in \Sigma^* : N \text{ accepts } \omega\} \\ &= \{\omega \in \Sigma^* : \delta^*(q_0, \omega) \cap F \neq \emptyset\} \end{aligned}$$

Thm: Let Σ be an alphabet and let $A \subseteq \Sigma^*$. The language A is regular iff $A = L(N)$ for some NFA N .

Proof pt 1: regular $\implies A = L(N)$ for an NFA N .

$A = L(m)$ for a **DFA** $m = (Q, \Sigma, \delta, q_0, F)$

Then let $N = (Q, \Sigma, \eta, q_0, F)$ where

$\eta(q, \sigma) = \{\delta(q, \sigma)\}$

$\eta(q, \epsilon) = \emptyset$

for all $q \in Q, \sigma \in \Sigma$.

Proof pt 2: $A = L(N)$ for an NFA $N \implies$ regular.

$N = (Q, \Sigma, \eta, q_0, F)$

Define $m = (P(Q), \Sigma, \delta, S, G)$ as:

$\delta(R, \sigma) = \epsilon(\cup_{q \in R} \eta(q, \sigma))$ (subset construction)

$S = \epsilon(\{q_0\}), G = \{R \in P(Q) : R \cap F \neq \emptyset\}$

Goal: find NFA k with 2 states such that $L(K) = \text{complement of } L(N)$

Also: show an NFA that takes strings (3rd from the end is a 1) must have ≥ 8 states to represent it in a DFA.