# Ideas from "Classical nilpotent orbits as hyperkähler quotients"

Shing Tak Lam

July 28, 2023

In this note, we provide a high level overview for the paper [1] by Kobak and Swann. We will use the same notation and numbering as in the paper, but we will omit technical details from the proofs.

#### 1 Introduction

Here, we define the hyperKähler structure on  $\mathbb{H}^n$ , where the complex structures are given by right multiplication by -i, -j, -k respectively, and the Riemannian metric is the Euclidean metric from the isomorphism  $\mathbb{H}^n \cong \mathbb{R}^{4n}$  of vector spaces. For a subgroup H of  $\operatorname{Sp}(N)$ , H acts (on the left) on  $\mathbb{H}^n$ , and the *hyperKähler moment map* for this action is an equivariant map

$$\mu: \mathbb{H}^n \to \mathfrak{h}^* \otimes \operatorname{Im}(\mathbb{H}) \cong \mathfrak{h} \otimes \operatorname{Im}(\mathbb{H})$$

where  $d(\mu^X) = X \rfloor \eta$ ,  $\eta = \omega_I i + \omega_J j + \omega_K k$  the quaternion values form given by the symplectic forms. What this means is that if we write

$$\mu = \mu_I i + \mu_I j + \mu_K k$$

then  $\mu_I$  is a moment map for the action of H on  $\mathbb{H}^n$  with respect to the complex structure I, and so on. Throughout, we will have the moment map

$$\mu^{X}(q) = -\overline{q}^{\mathsf{T}} X q$$

for  $X \in \mathfrak{h}$ , which we consider to be an  $N \times N$  quaternionic matrix.

### 2 The Constructions

First of all, we reduce to the case where  $\mathcal{O}$  is an adjoint orbit of a classical simple Lie algebra over  $\mathbb{C}$ , since in the general case we can take product/sums.

In each case, we first specify a hyperKähler vector space M and the group G, then we prove that the complex symplectic quotient by  $G^{\mathbb{C}}$  is what we want, then we show that the complex quotient is the same as the hyperKähler quotient.

#### 2.1 The Special Linear Group

In this case, let  $V_0, \ldots, V_k$  be Hermitian vector spaces,  $\dim(V_i) = n_i$ ,  $n_0 = 0$  and  $n_k = n$ . Then we can define the vector space

$$M = \bigoplus_{i=0}^{k-1} (\operatorname{Hom}(V_i, V_{i+1}) \oplus \operatorname{Hom}(V_{i+1}, V_i))$$

and we write each point  $p = (\alpha_i, \beta_i)$  as the diagram

$$0 = V_0 \xleftarrow{\alpha_0} V_1 \xleftarrow{\alpha_1} V_2 \xleftarrow{\alpha_2} \cdots \xleftarrow{\alpha_{k-1}} V_k = \mathbb{C}^n$$

We have a left  $\mathbb{H}$  action on M, given by

$$i(\alpha_i, \beta_i) = (i\alpha_i, i\beta_i)$$
  $j(\alpha_i, \beta_i) = (-\beta_i^*, \alpha_i^*)$ 

which makes M into a quaternionic vector space. In this case, the Lie group action of  $G = U(n_1) \times \cdots \times U(n_{k-1})$  on M is

$$\alpha_i \mapsto g_{i+1} \alpha_i g_i^{-1} \beta_i \mapsto g_i \beta_i g_{i+1}^{-1}$$

$$(1)$$

where  $g_i \in U_{n_i}$ ,  $g_0 = g_k = 1$ . The moment map in this case is  $\mu = i\mu_r + 2k\mu_c : M \to \mathfrak{g}^* \otimes \operatorname{Im}(\mathbb{H})$ , where (up to identifying  $\mathfrak{g}^*$  with  $\mathfrak{g}$  via the Killing form),

$$\mu_{r} = (\alpha_{i-1}\alpha_{i-1}^{*} - \beta_{i-1}^{*}\beta_{i-1} + \beta_{i}\beta_{i}^{*} - \alpha_{i}^{*}\alpha_{i})_{i=1}^{k-1} \qquad \in \mathfrak{g} \otimes i\mathbb{R} = i\mathfrak{g} = i\mathfrak{u}(n_{1},\mathbb{C}) \oplus \cdots \oplus i\mathfrak{u}(n_{k-1},\mathbb{C})$$

$$\mu_{c} = (\alpha_{i-1}\beta_{i-1} - \beta_{i}\alpha_{i})_{i=1}^{k-1} \qquad \in \mathfrak{g} \otimes \mathbb{C} = \mathfrak{gl}(n_{1},\mathbb{C}) \oplus \cdots \oplus \mathfrak{gl}(n_{k-1},\mathbb{C})$$

# 2.1.1 The Complex Quotient

Fix a point  $p=(\alpha_i,\beta_i)\in\mu_c^{-1}(0)$ . In this case, we can define  $X=\alpha_{k-1}\beta_{k-1}\in \operatorname{End}(\mathbb{C}^n)$ . Then  $X^k=0$ , as  $p\in\mu_c^{-1}(0)$ . Moreover, the action of  $G^\mathbb{C}=\operatorname{GL}(n_1,\mathbb{C})\times\cdots\times\operatorname{GL}(n_{k-1},\mathbb{C})$  preserves X, so we have a well defined map

$$\Phi^c: \mu_c^{-1}(0)/G^{\mathbb{C}} \to \mathcal{N}$$
$$(\alpha_i, \beta_i) \mapsto \alpha_{k-1}\beta_{k-1}$$

where  $\mathcal{N}$  is the nilpotent variety of  $\mathfrak{sl}(n,\mathbb{C})^1$ .

**Theorem 2.1.** The map  $\Phi^c$ , restricted to the set of closed  $G^{\mathbb{C}}$  orbits, is injective. Furthermore, its image consists of a union of closures of nilpotent orbits in  $\mathfrak{sl}(n,\mathbb{C})$ . If there exists  $X \in \mathfrak{sl}(n,\mathbb{C})$  such that  $\mathrm{rank}(X^i) = n_{k-i}$  for all i, then the image is precisely the closure of the nilpotent orbit containing X.

*Proof sketch.* First of all, notice that eq. (1) defines a  $GL(n, \mathbb{C})$  action on M, by taking  $g_k = g$ , and  $g_i = 1$  for i < k. This action preserves  $\mu_c^{-1}(0)$ , and  $\Phi^c$  is equivariant with respect to this action<sup>2</sup>. Therefore, the image of  $\Phi^c$  is a union of nilpotent orbits.

To show the injectivity statement, we use the  $\mathrm{GL}(n,\mathbb{C})$  action to assume without loss of generality that X is in Jordan normal form. In fact, we can assume that X is a Jordan block, each  $\beta_i$  is surjective and each  $\alpha_i$  is injective using the  $G^{\mathbb{C}}$  action and the fact that the orbits are closed. In this case, by an appropriate choice of basis, using the  $G^{\mathbb{C}} \times \mathrm{GL}(n,\mathbb{C})$ -action, we can assume  $\beta$  has matrix

$$\begin{pmatrix} 0 & 1 & \cdots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ 0 & \cdots & \cdots & 1 \end{pmatrix}$$

With this,  $\alpha_1$  is upper triangular, and by induction all  $\alpha_i$  are upper triangular, and we get uniqueness. The rest of the statement follows from the facts that

- 1. If X is nilpotent, the numbers  $rank(X^i)$  determines the Jordan normal form of X, and so the nilpotent orbit of X,
- 2. If X, Y are nilpotent, then Y is in the closure of the nilpotent orbit containing X if and only if  $\operatorname{rank}(Y^i) \leq \operatorname{rank}(X^i)$  for all i.

#### 2.1.2 Equivalence of Kähler and Complex Quotients

We have the following result by Kirwan (paraphrased):

<sup>&</sup>lt;sup>1</sup>Any nilpotent endomorphism necessarily has all eigenvalues being zero, and so it is trace free.

<sup>&</sup>lt;sup>2</sup>In fact,  $\Phi^c$  is a complex symplectic moment map for a  $GL(n,\mathbb{C})$  action on the quotient.

**Theorem 2.2.** Let X be a Kähler manifold, G a compact Lie group acting on X preserving the Kähler structure, such that  $G^{\mathbb{C}}$  also acts holomorphically on X. Let  $\mu$  be the Kähler moment map for the action of G, satisfying condition ( $\star$ ). Let

$$X^{\min} = \left\{ y \mid \text{ limit under steepest decent of } \left\| \mu \right\|^2 \text{ lies in } \mu^{-1}(0) \right\}$$

Then  $x \in G^{\mathbb{C}}\mu^{-1}(0)$  if and only if  $x \in X^{\min}$  and the orbit  $G^{\mathbb{C}}x$  is closed in  $X^{\min}$ . In this case, the map

$$u^{-1}(0)/G \to G^{\mathbb{C}}u^{-1}(0)/G^{\mathbb{C}}$$

is a homeomorphism, where  $G^{\mathbb{C}}\mu^{-1}(0)/\!\!/G^{\mathbb{C}}$  is the set of closed  $G^{\mathbb{C}}$  orbits in  $G^{\mathbb{C}}\mu^{-1}(0)$ .

We will return to the condition  $(\star)$  later, but for now, we will first assume that  $(\star)$  holds in the cases which we want, and then prove that it holds later on.

First, since  $(M, \omega_I, \omega_I, \omega_K)$  is hyperKähler,  $(M, \omega_I)$  is Kähler. Moreover, the group G acts on  $(M, \omega_I)$ preserving the Kähler structure, with moment map  $\mu_r$ . Therefore, applying theorem 2.2, we get that

$$\mu_r^{-1}(0)/G \cong G^{\mathbb{C}}\mu_r^{-1}(0)/\!\!/ G^{\mathbb{C}}$$

Next, we assume  $M^{\min} = M$ , so  $G^{\mathbb{C}} \mu_r^{-1}(0)$  is just the set of points for which the orbit  $G^{\mathbb{C}} x$  is closed. In this case, we have a natural inclusion

$$X = \mu_c^{-1}(0) \cap G^{\mathbb{C}} \mu_r^{-1}(0) \subset G^{\mathbb{C}} \mu_r^{-1}(0)$$

Since X is  $G^{\mathbb{C}}$  invariant, we have an induced map

$$X/G^{\mathbb{C}} \hookrightarrow G^{\mathbb{C}}\mu_r^{-1}(0)/\!\!/G^{\mathbb{C}} \cong \mu_r^{-1}(0)/\!\!/G$$

Finally, we want to find the image of this map. But this is just  $\mu^{-1}(0)/G$ , which is the hyperKähler quotient. Therefore, all that remains is to show that  $M^{\min} = M$ , and that  $(\star)$  holds.

 $\underline{\mathcal{M}}^{\min} = \underline{\mathcal{M}}$ : For this, it suffices to show that the critical points of  $\|\mu_r\|^2$  are global minima. Since  $\mu_r^* = \mu_r$ , we have that grad  $(\|\mu_r\|^2) = 2(d\mu_r)\mu_r$ , which vanishes if and only if  $\mu_r = 0$  and so  $\|\mu_r\|^2 = 0$ .

The condition  $(\star)$  is that the trajectories of the gradient flow of  $\|\mu_r\|^2$  are bounded. In this case, we have that

$$\|\mu_r(x)\|^2 \le \|x\|^4$$

for all  $x \in M$ . The paper then claims that this implies each trajectory is bounded, but I don't see why this is true.

**Theorem 2.7.** The hyperKähler quotient of M by G is a union of nilpotent orbits in  $\mathfrak{sl}(n,\mathbb{C})$ . If there is a nilpotent element  $X \in \mathfrak{sl}(n,\mathbb{C})$ , with rank $(X^i) = n_{k-i}$  for all i, then the quotient is isomorphic to the closure of the nilpotent orbit containing X.

#### Orthogonal and Symplectic Lie Algebras

For  $\mathfrak{o}(n,\mathbb{C})$  and  $\mathfrak{sp}(n,\mathbb{C})$ , we write

$$\mathfrak{o}(n,\mathbb{C}) = \mathfrak{c}_0^{\mathbb{C}}$$
  
 $\mathfrak{sp}(n,\mathbb{C}) = \mathfrak{c}_1^{\mathbb{C}}$ 

and the corresponding Lie groups  $C^{\mathbb{C}}_{\delta}$  are Lie groups acting on  $V=\mathbb{C}^{(1+\delta)n}$  preserving a non-degenerate bilinear form B such that  $B(u,v)=(-1)^{\delta}B(v,u)$ . If  $X\in\mathfrak{c}^{\mathbb{C}}_{\delta}$  is nilpotent, with  $X^k=0$ , then  $X^{k-i}V$  has a bilinear form  $B_i$ , with

$$B_i(u, v) = (-1)^{k-i+\delta} B_i(v, u)$$
 (2)

As a matrix,  $B_{i-1} = XB_i$  restricted to  $X^{k-i}V$ . Therefore, we consider the same construction as in the previous subsection, except we require each  $V_i$  to have a bilinear form  $B_i$  satisfying eq. (2). Moreover, let  $A^{\dagger}$  be the adjoint of A with respect to  $B_i$ , then we require that

$$(A^*)^{\dagger} = (A^{\dagger})^*$$

Let  $M_{\delta}$  be the vector subspace of M, given by

$$\beta_i = \alpha_i^{\dagger}$$

Note  $(\alpha_i^{\dagger})^{\dagger} = -\alpha_i$ . Then  $M_{\delta}$  is also a flat hyperKähler manifold. Let  $H = C_1 \times \cdots \times C_n$  be the subgroup of G, where  $C_i$  preserves  $B_i$ . In particular,  $C_i$  is  $O(n_i)$  or  $Sp(n_i/2)$ . H acts on  $M_{\delta}$ , and the moment map is the same as above, just with  $\beta_i = \alpha_i^{\dagger}$ .

**Theorem 2.8.** The hyperKähler quotient of  $M_{\delta}$  by H is a union of closures of nilpotent orbits of  $C_{\delta}^{\mathbb{C}}$ , where  $C_{0}^{\mathbb{C}} = \mathrm{O}(n,\mathbb{C})$  and  $C_{1}^{\mathbb{C}} = \mathrm{Sp}(n/2,\mathbb{C})$ . Moreover, this quotient agrees with the algebraic quotient  $\mu_{c}^{-1}(0)/\!\!/H^{\mathbb{C}}$ . If there is an  $X \in \mathfrak{c}_{\delta}^{\mathbb{C}}$  with  $\mathrm{rank}(X^{i}) = n_{k-i}$  for all i, then the hyperKähler quotient is the closure of the nilpotent orbit containing X.

Proof sketch. First, we note that nilpotent orbits in  $\mathfrak{o}(n,\mathbb{C})$  and  $\mathfrak{sp}(n/2,\mathbb{C})$  are the intersections of the  $\mathfrak{sl}(n,\mathbb{C})$  orbits with  $\mathfrak{c}_{\delta}^{\mathbb{C}}$ . Therefore, suffices to show that for any  $X \in \mathfrak{c}_{\delta}^{\mathbb{C}}$ , there exists  $(\alpha_1, \ldots, \alpha_{k-1})$  in a closed  $H^{\mathbb{C}}$ -orbit of  $\mu_c^{-1}(0) \cap M_{\delta}$ , with  $\alpha_{k-1}\alpha_{k-1}^{\dagger} = X$ . This is because we have natural maps

$$\frac{\mu_c^{-1}(0)\cap M_\delta}{H^{\mathbb{C}}}\to \frac{\mu_c^{-1}(0)}{G^{\mathbb{C}}}\stackrel{\Phi^c}{\to} \mathcal{N}$$

for which the composition is  $[(\alpha_i)] \mapsto \alpha_{k-1} \alpha_{k-1}^{\dagger}$ .

To prove the claim, we again consider Jordan blocks, and define the  $B_i$ s and  $\alpha_i$ s appropriately.

# 3 Consequences and Examples

**Lemma 3.1.** Let H be a Lie group acting on  $\mathbb{H}^N$  preserving the complex structures. Let a non-zero quaternion  $a \in \mathbb{H}^*$  act on  $q \in \mathbb{H}^N$  on the right, that is,  $q \mapsto qa^{-1}$ , and on  $p \in \text{Im}(\mathbb{H})$  by conjugation. That is,  $p \mapsto apa^{-1}$ . Then the map  $\mu : \mathbb{H}^N \to \mathfrak{h}^* \otimes \text{Im}(\mathbb{H})$  defined as above is the unique moment map for the action of H on  $\mathbb{H}^N$  which is equivariant with respect to the action of  $\mathbb{H}^*$ .

Using this, we get that the set  $\mu^{-1}(0)$  is  $\mathbb{H}^*$ -invariant, and if K is another Lie group so that  $H \times K$  also acts on  $\mathbb{H}^N$  preserving the complex structures, then the moment map for the action of  $H \times K$  is the direct sum of the moment maps for H and for K, and the hyperKähler quotient by  $H \times K$  is the hyperKähler quotient by H followed by the hyperKähler quotient by K.

# 3.1 Quaternionic Kähler metrics

Omitted.

#### 3.2 Finite Quotients

#### 3.3 HyperKähler Quotients

## References

[1] Piotr Z. Kobak and Andrew Swann. "Classical nilpotent orbits as hyperkähler quotients". In: Int. J. Math. 07.02 (Apr. 1996). Publisher: World Scientific Publishing Co., pp. 193–210. ISSN: 0129-167X. DOI: 10.1142/S0129167X96000116. URL: https://www.worldscientific.com/doi/10.1142/S0129167X96000116 (visited on 07/27/2023).