

# Ch08. Attention

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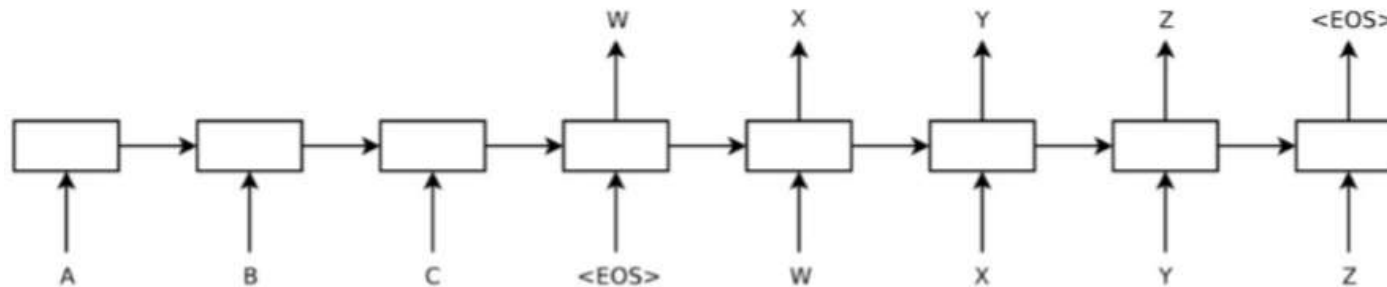
POSTECH

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# Attention in RNN-Encoder-Decoder

D. Bahdanua, K. Cho, and Y. Bengio (2015), "Neural machine translation by jointly learning to align and translate," ICLR.

## RNN-Encoder-Decoder: Revisited



- Source sequence: ABC; Target sequence: WXYZ
- Computes the conditional probability

$$p(y_1, \dots, y_{T_y} | x_1, \dots, x_{T_x}) = \prod_{t=1}^{T_y} p(y_t | c, y_1, \dots, y_{t-1}) = \prod_{t=1}^{T_y} g(y_{t-1}, s_t, c)$$

- where  $s_t$  is the hidden state of the decoder,  $c$  is the fixed-dimensional representation of the input sequence  $(x_1, \dots, x_{T_x})$ , given by the last hidden state of the encoder,

$$\begin{aligned} h_t &= f(x_t, h_{t-1}), \\ c &= q(h_1, \dots, h_{T_x}) = h_{T_x}. \end{aligned}$$

# Attention in RNN-Encoder-Decoder

- Compute the probability over the target sequence:

$$p(\mathbf{y}_1, \dots, \mathbf{y}_{T_y} | \mathbf{x}_1, \dots, \mathbf{x}_{T_x}) = \prod_{t=1}^{T_y} p(\mathbf{y}_t | \mathbf{c}, \mathbf{y}_1, \dots, \mathbf{y}_{t-1})$$
$$= \prod_{t=1}^{T_y} g(\mathbf{y}_{t-1}, \mathbf{s}_t, \mathbf{c}_t)$$

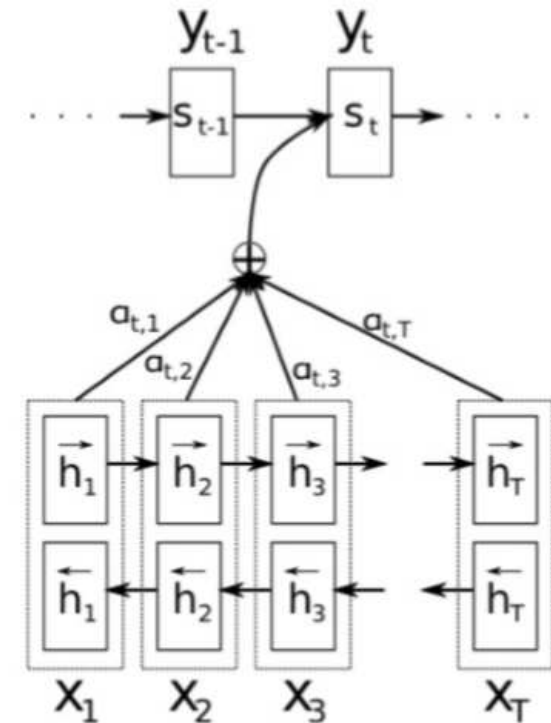
- where  $\mathbf{s}_t$  is the hidden state of the decoder, computed by

$$\mathbf{s}_t = f(\mathbf{s}_{t-1}, \mathbf{y}_{t-1}, \mathbf{c}_t),$$

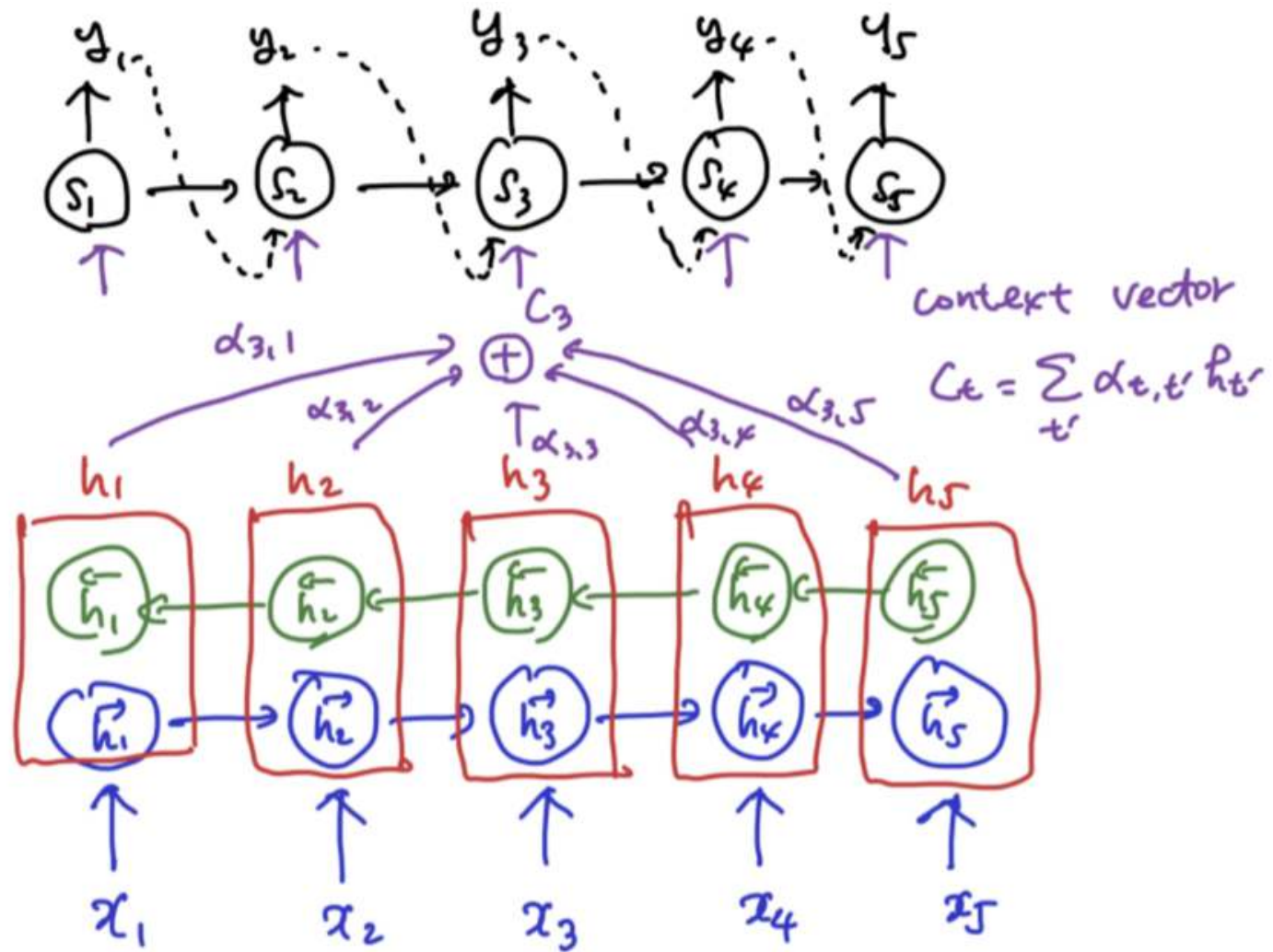
- and  $\mathbf{c}_t$  the **context vector**, computed by a weighted sum of encoder hidden states  $\{\mathbf{h}_t\}$ :

$$\mathbf{c}_t = \sum_{t'=1}^{T_x} \alpha_{t,t'} \mathbf{h}_{t'}.$$

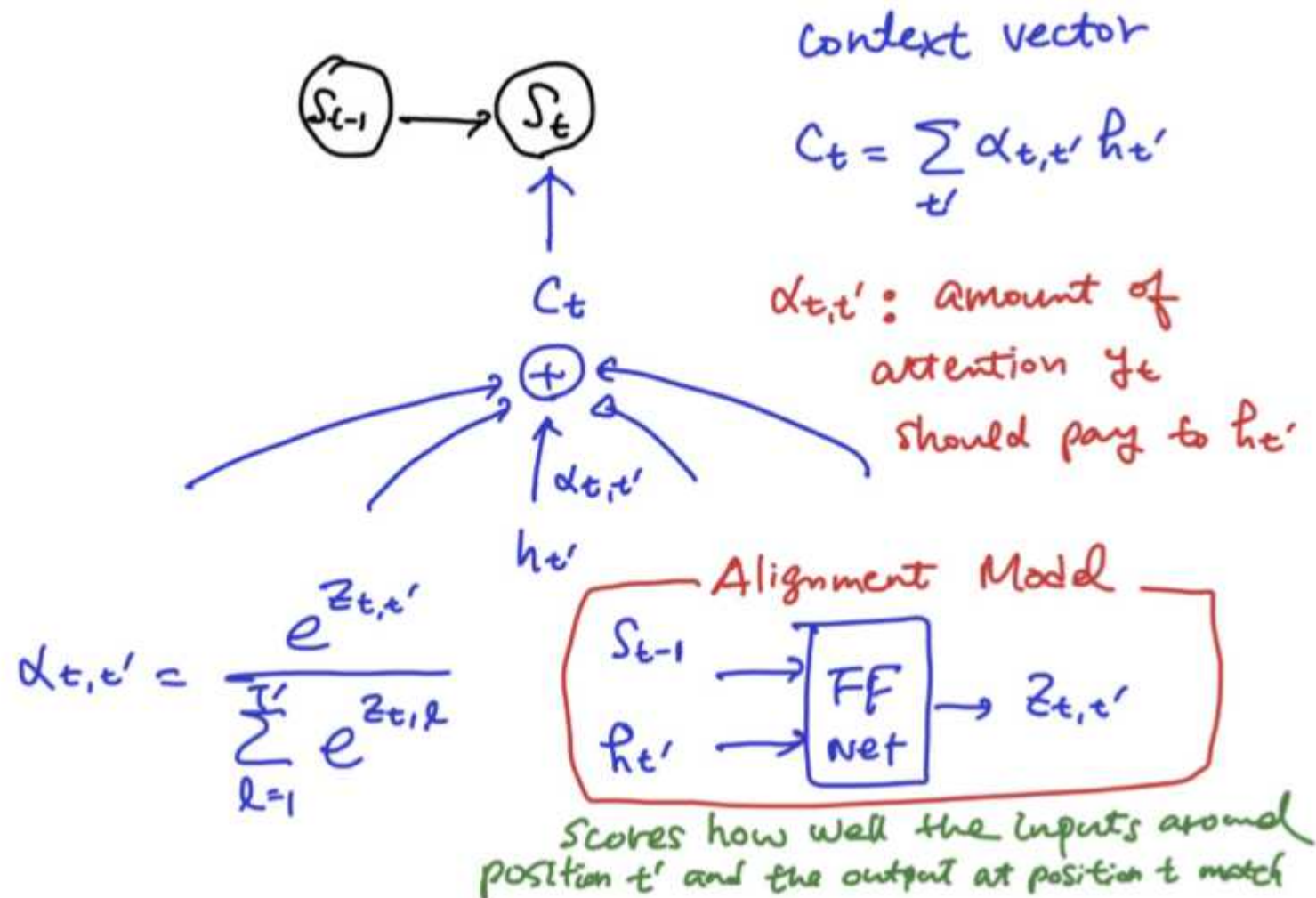
- Note that in the previous model, we have  $\mathbf{c}_1 = \mathbf{h}_{T_x}$  and  $\mathbf{c}_t = 0$  for  $t = 2, \dots, T_y$ .



# Attention in RNN-Encoder-Decoder



# Attention in RNN-Encoder-Decoder



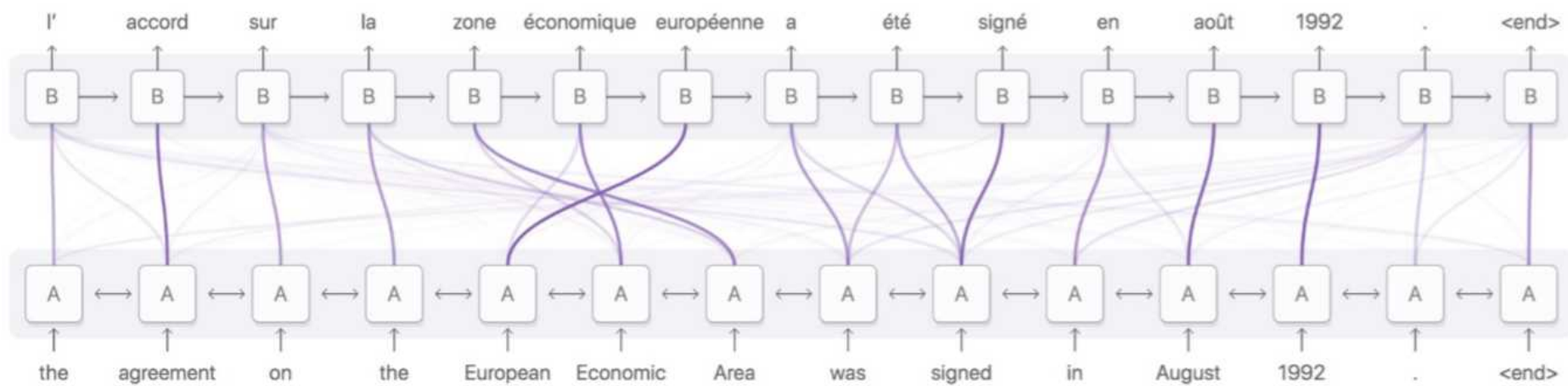


Diagram derived from Fig. 3 of Bahdanau, *et al.* 2014

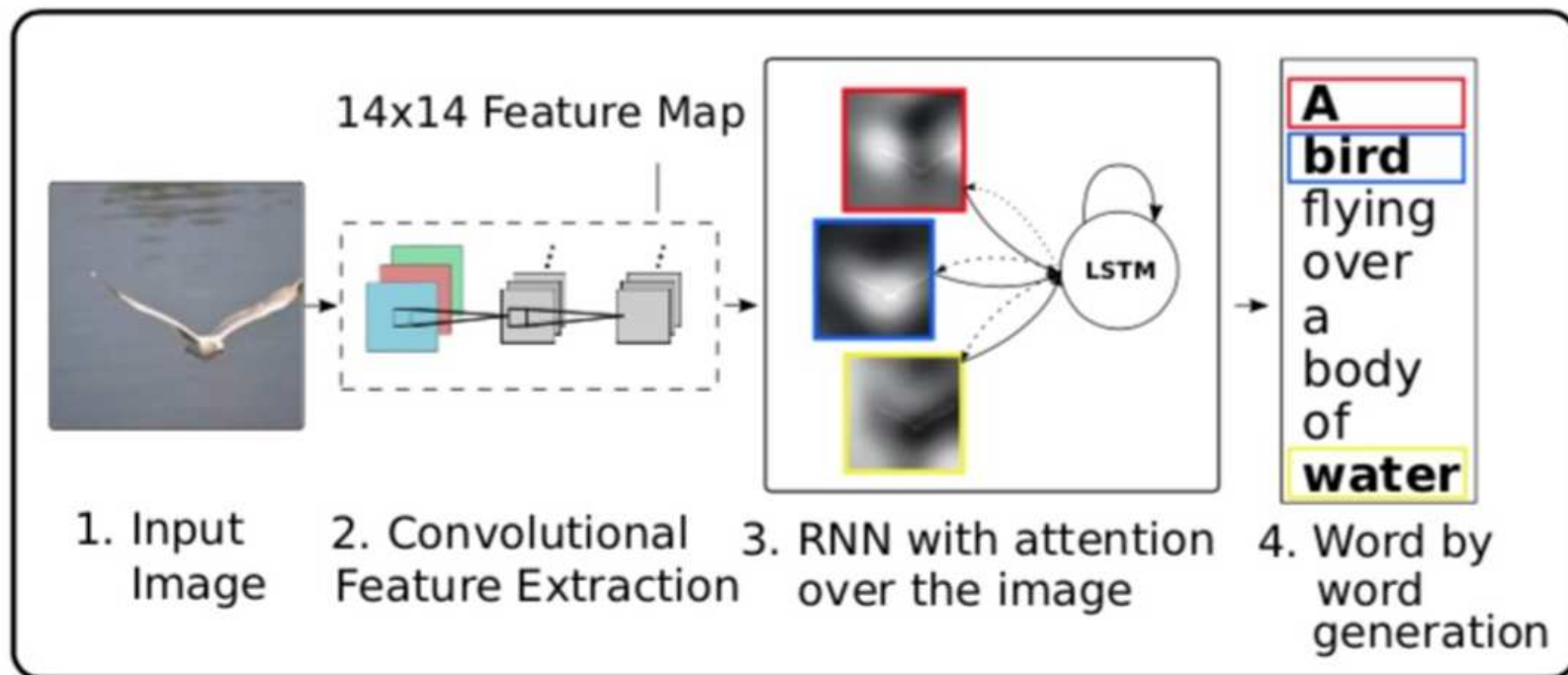
# Visual Attention

A. Karpathy and L. Fei-Fei (2015), "Deep Visual-Semantic Alignments for Generating Image Descriptions," CVPR.

K. Xu et al. (2015), "Show, Attend and Tell: Neural Image Caption Generation with Visual Attention," ICML.

Learning words-image alignment

- Input: Raw image
- Output: A sequence of  $C$  words from vocabulary of size  $K$ ,  $\{\mathbf{y}_1, \dots, \mathbf{y}_C\}, \mathbf{y}_i \in \mathbb{R}^K$ .



# Visual Attention

- **Encoder:** Use a CNN to extract a set of  $D$ -dimensional feature vectors, referred to as **annotation vectors**:

$$\mathbf{a} = [\mathbf{a}_1, \dots, \mathbf{a}_L] \in \mathbb{R}^{L \times D}$$

- which contains the output  $L_1 \times L_2 \times D$  ( $L = L_1 \times L_2$ ) of a lower convolutional layer (before max pooling)
- **Decoder:** Use a **RNN with attention modules** to produce a caption generating one word every time step conditioned on a context vector, the previous hidden state, and the previously generated words.



A woman is throwing a frisbee in a park.



A dog is standing on a hardwood floor.



A stop sign is on a road with a mountain in the background.



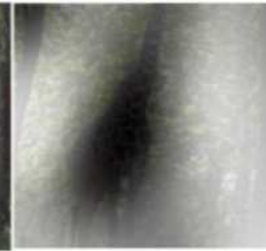
A little girl sitting on a bed with a teddy bear.



A group of people sitting on a boat in the water.



A giraffe standing in a forest with trees in the background.

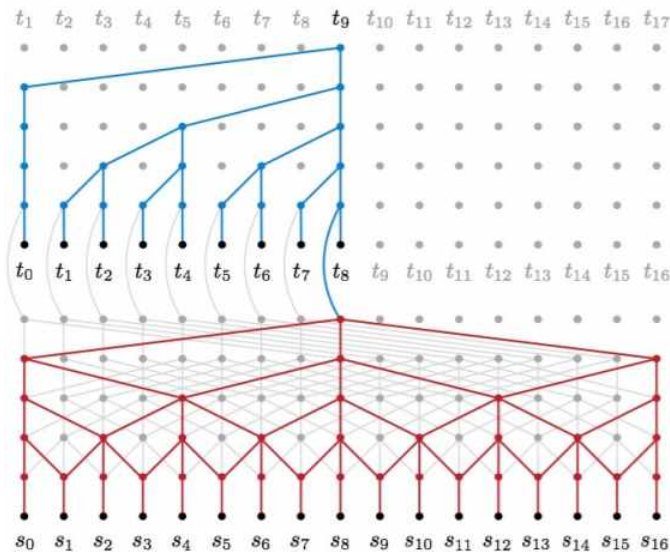




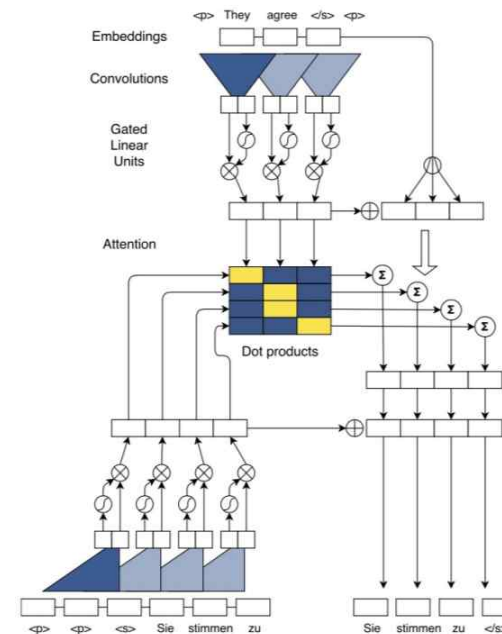
# Google Transformer

A. Vaswani et al. (2017), "Attention is all you need," NIPS.

- RNN: Sequential computations (autoregressive models in decoders) are expensive and are not easy to be parallelized.
  - N. Kalchbrenner et al. (2017), "Neural machine translation in linear time," arXiv:1610.10099.
- CNN (ByteNet, ConvS2S): Need multiple layers to catch long-term dependencies
  - J. Gehring et al. (2017), "Convolutional sequence to sequence learning," arXiv:1705.03122



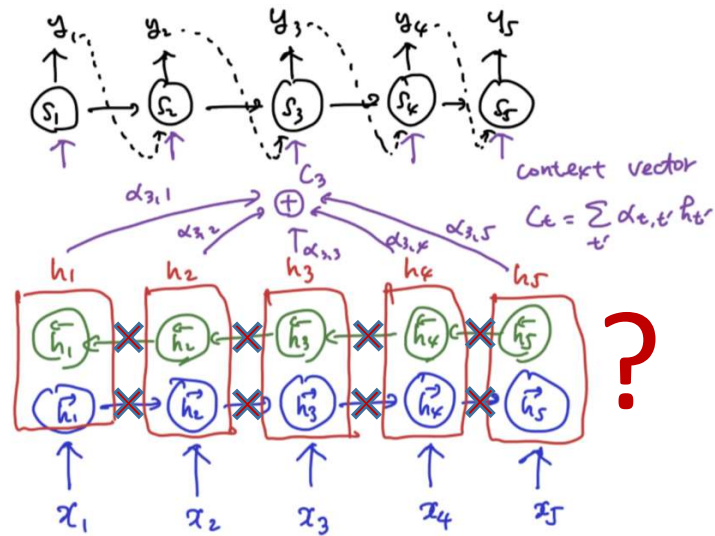
(a) ByteNet



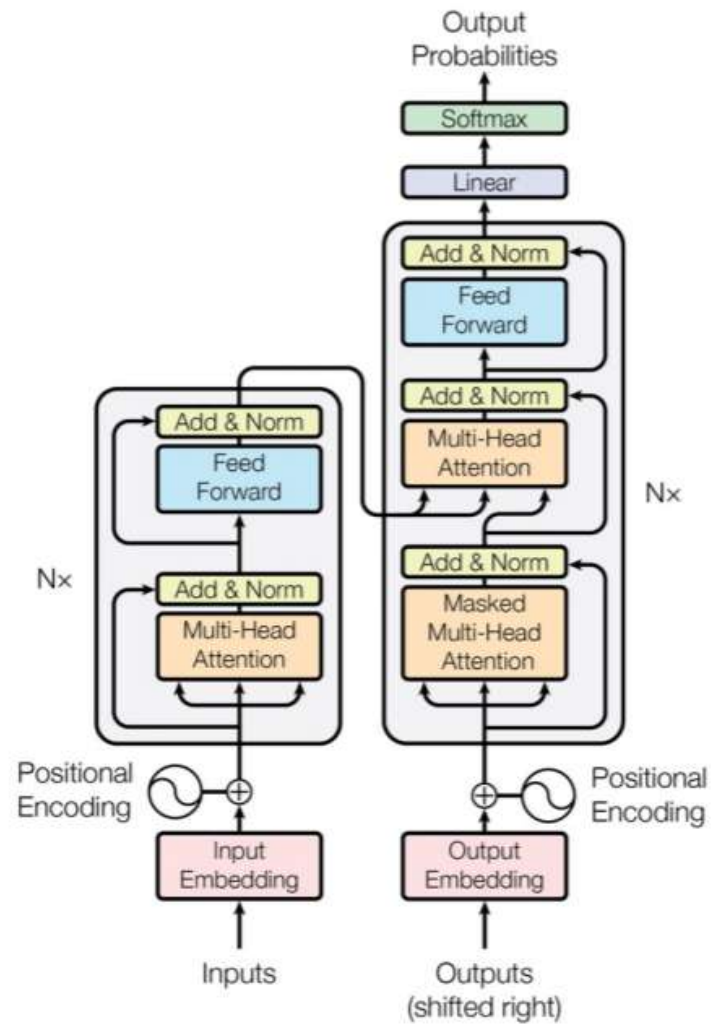
(b) ConvS2S

# Self-attention

- Disconnect recurrent links (to facilitate parallel processing). Instead, use **self-attention** **with positional encoding**.



# Transformer

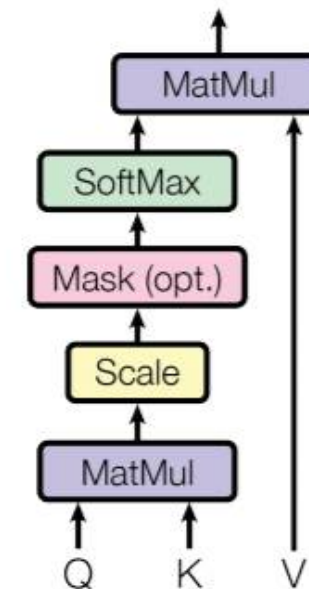


- Transformer = encoder + decoder
- Encoder or decoder = attention + positional encoding + feedforward net

# Scaled Dot-Product Attention

- Queries:  $\mathbf{Q} \in \mathbb{R}^{N \times D_k}$  ( $N$ : # of words;  $D_k$ : dimension size)
  - $\mathbf{Q} = (\mathbf{X} + \mathbf{P}) * \mathbf{W}_Q \in \mathbb{R}^{D_{model} \times D_k}$  ( $D_{model}$ : dimension size of  $\mathbf{X}$ )
    - $\mathbf{X}$ : input
    - $\mathbf{P}$ : positional encoding (explained later)
    - $\mathbf{W}_Q$ : learning parameter
- Keys:  $\mathbf{K} \in \mathbb{R}^{N \times D_k}$ 
  - $\mathbf{K} = (\mathbf{X} + \mathbf{P}) * \mathbf{W}_K$
- Values:  $\mathbf{V} \in \mathbb{R}^{N \times D_v}$ 
  - $\mathbf{V} = (\mathbf{X} + \mathbf{P}) * \mathbf{W}_V$
- $\text{Attention}(\mathbf{Q}, \mathbf{K}, \mathbf{V}) = \text{softmax}\left(\frac{\mathbf{Q}\mathbf{K}^T}{\sqrt{D_k}}\right) \mathbf{V}$
- $\mathbf{Q}\mathbf{K}^T$ : kind (but not exactly) of pair-words similarity matrix (note that  $\mathbf{Q} \neq \mathbf{K}$ )
- $\text{Attention}(\mathbf{Q}, \mathbf{K}, \mathbf{V})$ :  $N \times D_v$  matrix where each row is a word containing the context within the sentence.
- Self-attention: Queries, keys, and values are from the same word sequence (but with different embeddings, as  $\mathbf{W}_Q \neq \mathbf{W}_K \neq \mathbf{W}_V$ ).

## Scaled Dot-Product Attention



# Multi-Head Attention

- Allows the model to jointly attend to information from different representation subspaces at different positions:

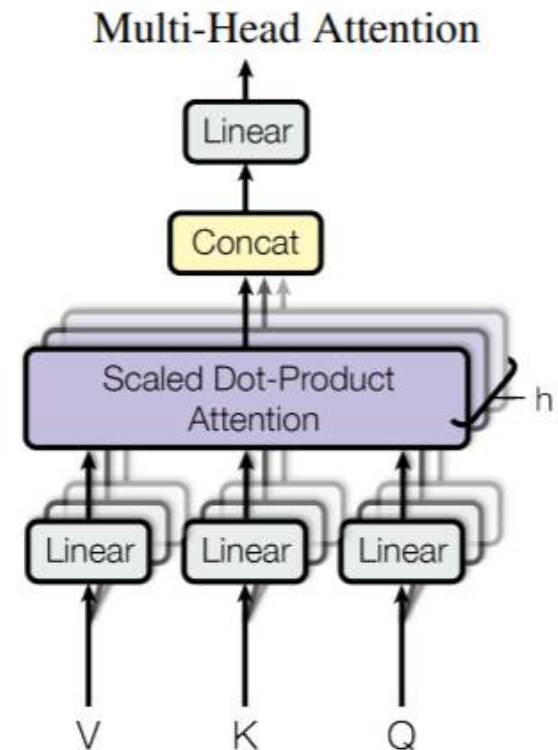
$$\text{MultiHead}(\mathbf{Q}, \mathbf{K}, \mathbf{V}) = \text{Concat}(\text{head}_1, \dots, \text{head}_h) \mathbf{W}^O,$$

$$\text{head}_i = \text{Attention}(\mathbf{Q}(\mathbf{W}_i^Q), \mathbf{K}(\mathbf{W}_i^K), \mathbf{V}(\mathbf{W}_i^V)),$$

- where linear projections are done via parameters matrices

$$\begin{aligned} \mathbf{W}_i^Q &\in \mathbb{R}^{D_{model} \times D_k}, & \mathbf{W}_i^K &\in \mathbb{R}^{D_{model} \times D_k}, \\ \mathbf{W}_i^V &\in \mathbb{R}^{D_{model} \times D_v}, & \mathbf{W}_i^O &\in \mathbb{R}^{h D_v \times D_{model}}, \end{aligned}$$

- where  $h = 8$  is the number of parallel attention layers.



# Position-wise FFN, Layer normalization

- Applied to each position separately and identically (with same  $\mathbf{W}$ ).
- FFN with single hidden layer

$$FFN(\mathbf{x}) = \max(0, \mathbf{x}\mathbf{W}_1 + \mathbf{b}_1) \mathbf{W}_2 + \mathbf{b}_2$$

- After that, apply layer normalization

# Attention in Encoder and Decoder

- Encoder:
  - Contains self-attention layers.
  - Each position in the encoder can attend to all positions in the previous layer of the encoder.
- Decoder:
  - Contains self-attention layers.
  - Each position in the decoder can attend to all positions in the decoder up to and including that position
  - Shift to the right by one position in decoder input. (Predict  $y_i$  from  $y_0$  to  $y_{i-1}$ .)
- Encoder-decoder attention:
  - Queries come from the previous decoder layer and keys/values come from the output of the encoder.
  - Allows every position in the decoder attend over all positions in the input sequence.
  - Mimics the encoder-decoder attention in seq2seq learning.
- Training
  - Use teacher-forcing.
- Inference
  - Predict the first word ( $y_1$ ) from input of <start> ( $y_0$ ) token.
  - Predict  $y_2$  from input of  $y_0, y_1$
  - And so on..

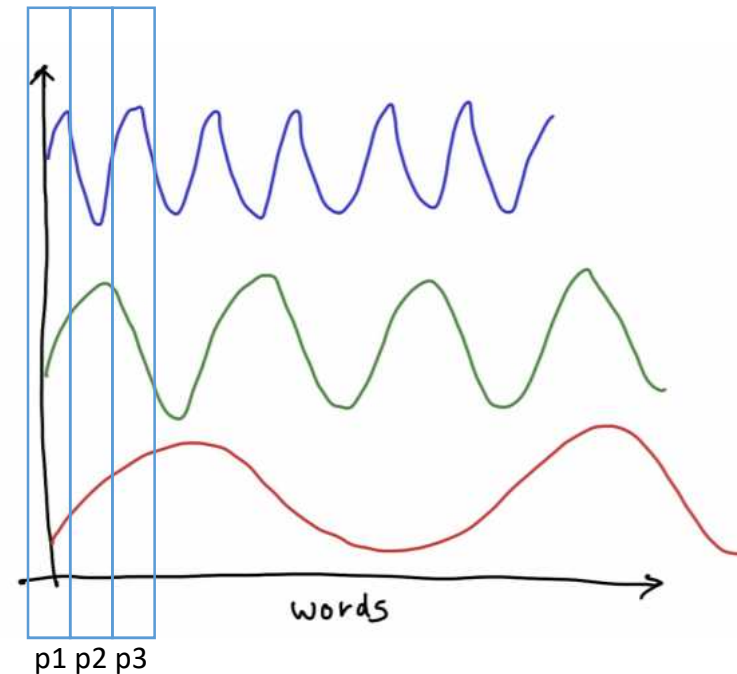
# Positional Encoding

- Inject some information about the relative or absolute position of the tokens in the sequence.
- Add "positional encodings" to the input embeddings.

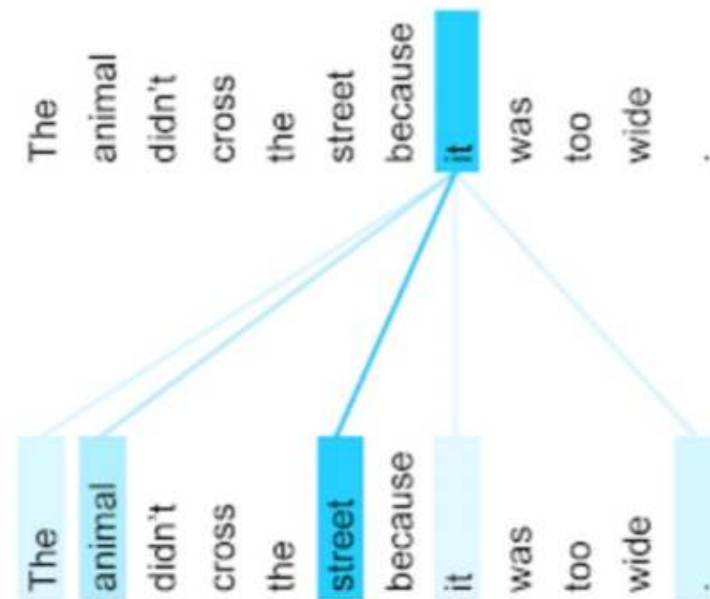
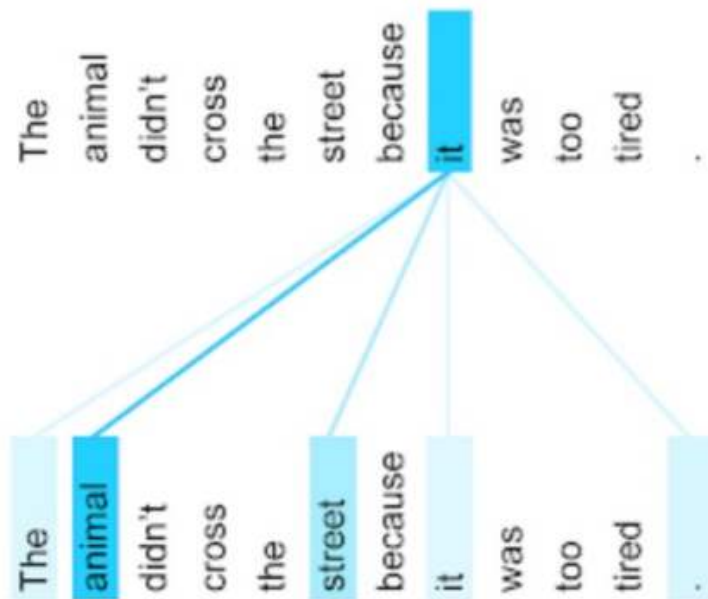
$$\text{PE}_{pos,2i} = \sin\left(\frac{pos}{10000^{2i/D_{model}}}\right),$$
$$\text{PE}_{pos,2i+1} = \cos\left(\frac{pos}{10000^{2i/D_{model}}}\right).$$

For example,

- p1 = (mid, low, low)
- p2 = (low, high, mid)
- p3 = (high, mid, high)
- ...







The encoder self-attention distribution for the word "it" from the 5th to the 6th layer of a Transformer trained on English to French translation (one of eight attention heads).

[Figure source: <https://ai.googleblog.com/2017/08/transformer-novel-neural-network.html>]