



# EFFICIENT BILEVEL SOURCE MASK OPTIMIZATION

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## Introduction

- RETs critical for advanced technology nodes, with SMO pivotal for optimizing source and mask together to expand the process window.
- Traditional SMO methods are limited by sequential optimizations, leading to long runtimes and no performance guarantees.
- The paper introduces a unified SMO framework using accelerated Abbe forward imaging, enhancing precision and efficiency.
- The innovative BiSMO framework, using bilevel optimization and three gradient-based methods, achieves 40% error reduction and 8x runtime efficiency increase.

## Contribution

- First unified Abbe-based SMO framework with process window considerations, parallel computation accelerates Abbe imaging to Hopkins' method speeds.
- Modeled SMO as a unified bilevel framework, developed three efficient gradient-based methods for better solution space exploration.
- Experimental results: 40% error reduction and 8x throughput increase compared to SOTA SMO methods. Error metrics are half compared to SOTA MO methods.

## Bilevel SMO

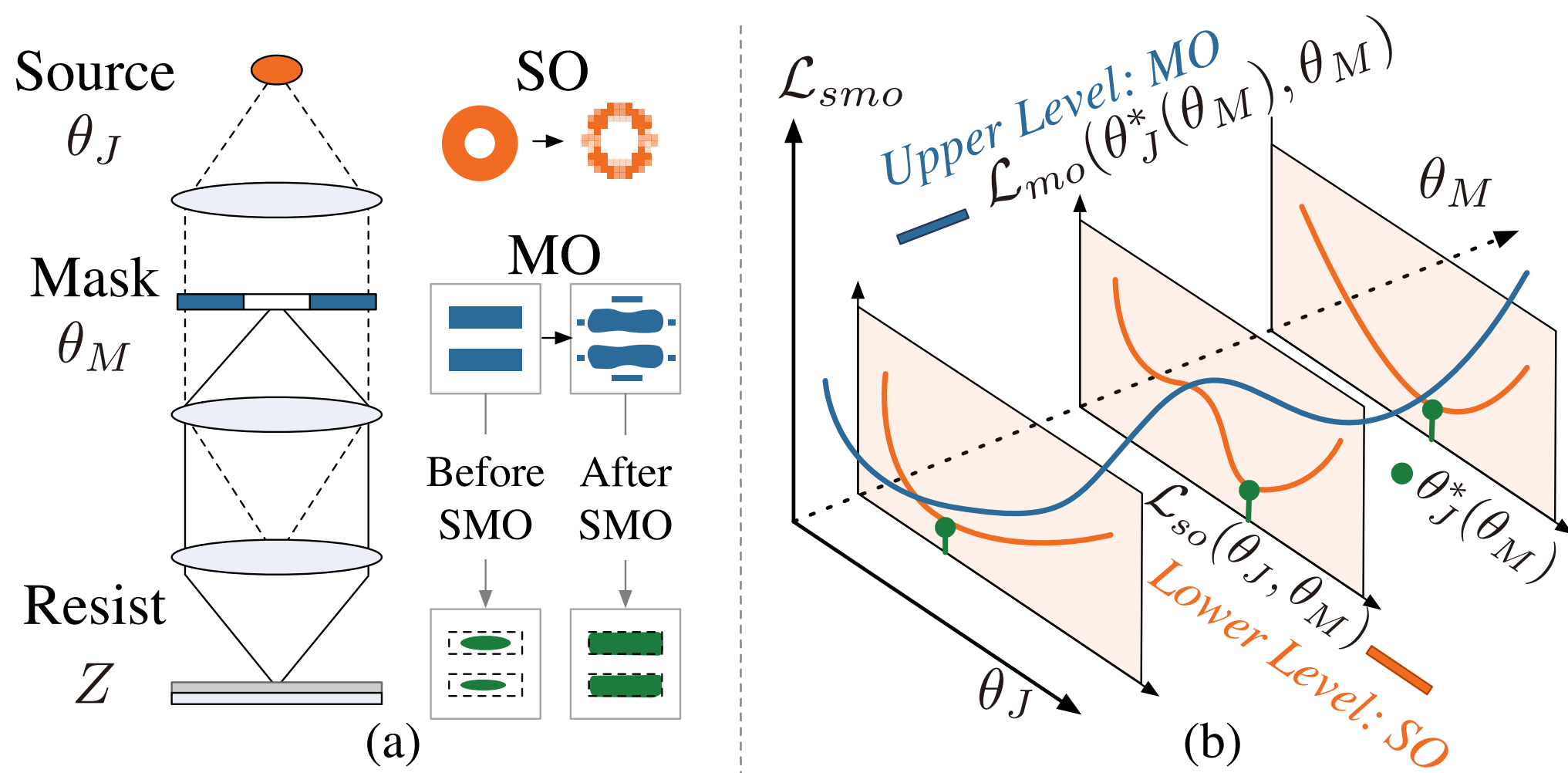
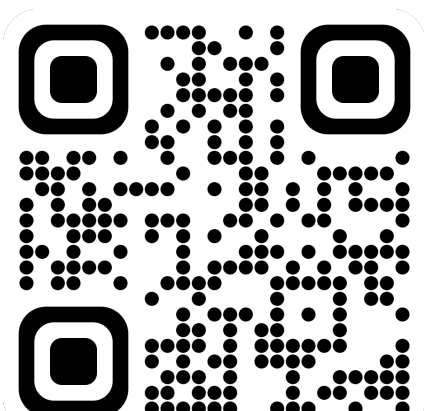


Figure 1. (a) The forward lithography and SMO process. (b) Bilevel SMO with upper-level MO and lower-level SO.



## BiSMO vs. Traditional SMO Flow

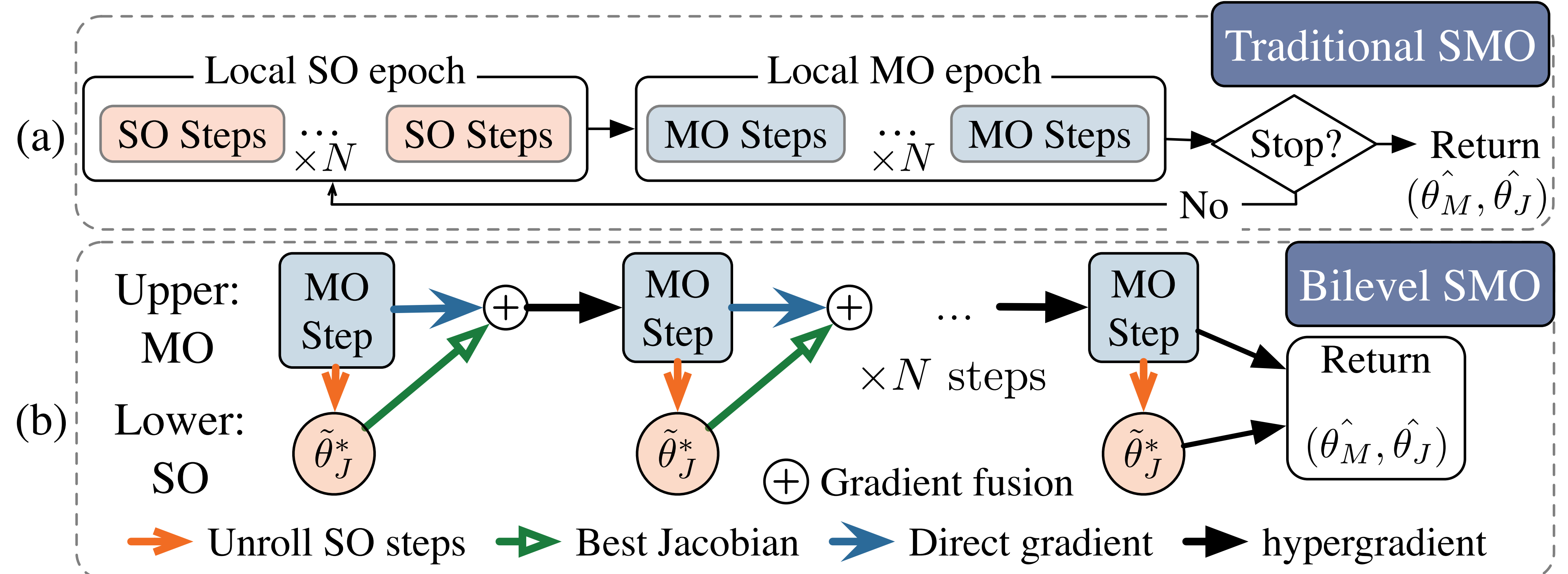


Figure 2. (a) Previous AM-SMO flow. (b) Our BiSMO flow.

## Reformulate into bilevel format.

$$(\hat{\theta}_J, \hat{\theta}_M) = \underset{(\theta_J, \theta_M)}{\operatorname{argmin}} \mathcal{L}_{smo}(\theta_J, \theta_M),$$

$$\Downarrow$$

$$\min_{\theta_M} \mathcal{L}_{mo}(\theta_J^*(\theta_M), \theta_M), \quad \triangleright \text{Upper-Level: MO}$$

$$\text{s.t. } \theta_J^*(\theta_M) = \underset{\theta_J}{\operatorname{argmin}} \mathcal{L}_{so}(\theta_J, \theta_M). \quad \triangleright \text{Lower-Level: SO}$$

## Solve the bilevel SMO : Hypergradient

$$\nabla_{\theta_M} \mathcal{L}_{mo} = \frac{\partial \mathcal{L}_{mo}}{\partial \theta_M} + \frac{\partial \mathcal{L}_{mo}}{\partial \theta_J} \frac{\partial \theta_J^*(\theta_M)}{\partial \theta_M}. \quad (2)$$

- BiSMO-FD : bilevel SMO with finite difference.
- BiSMO-NMN: bilevel SMO with Neumann series.
- BiSMO-CG: bilevel SMO with conjugate gradient.

$$\text{BiSMO-FD : } \nabla_{\theta_M} \mathcal{L}_{mo}^{\text{FD}} = \frac{\partial \mathcal{L}_{mo}}{\partial \theta_M} - \xi \frac{\partial \mathcal{L}_{mo}}{\partial \theta_J} \frac{\partial^2 \mathcal{L}_{so}}{\partial \theta_M \partial \theta_J}, \quad (3)$$

## Implicit Function Theorem (IFT)

$$\nabla_{\theta_M} \mathcal{L}_{mo} = \frac{\partial \mathcal{L}_{mo}}{\partial \theta_M} - \frac{\partial \mathcal{L}_{mo}}{\partial \theta_J} \left[ \frac{\partial^2 \mathcal{L}_{so}}{\partial \theta_J \partial \theta_J} \right]^{-1} \frac{\partial^2 \mathcal{L}_{so}}{\partial \theta_M \partial \theta_J}. \quad (4)$$

## Neumann series:

With a matrix  $\mathbf{A}$  that  $\|\mathbf{I} - \mathbf{A}\| < 1$ , we have:

$$\mathbf{A}^{-1} = \sum_{k=0}^{\infty} (\mathbf{I} - \mathbf{A})^k. \quad (5)$$

Truncate the Neumann series to  $K$  terms, the BiSMO-NMN is given by,

$$\nabla_{\theta_M} \tilde{\mathcal{L}}_{mo}^{\text{NMN}} = \frac{\partial \mathcal{L}_{mo}}{\partial \theta_M} - \frac{\partial \mathcal{L}_{mo}}{\partial \theta_J} \sum_{k=0}^K \left[ \mathbf{I} - \frac{\partial^2 \mathcal{L}_{so}}{\partial \theta_J \partial \theta_J} \right]^k \frac{\partial^2 \mathcal{L}_{so}}{\partial \theta_M \partial \theta_J}. \quad (6)$$

## Conjugate Gradient Bilevel SMO.

The vector  $\tilde{w}$  can be obtained by solving the optimization problem:

$$\min_{\tilde{w}} \tilde{w}^\top \left[ \frac{\partial^2 \mathcal{L}_{so}}{\partial \theta_J \partial \theta_J} \right] \tilde{w} - \tilde{w}^\top \frac{\partial \mathcal{L}_{mo}}{\partial \theta_J}. \quad (7)$$

The conjugate gradient (CG) algorithm is well-suited for this task. BiSMO-CG is computed as:

$$\nabla_{\theta_M} \tilde{\mathcal{L}}_{mo}^{\text{CG}} = \frac{\partial \mathcal{L}_{mo}}{\partial \theta_M} - \left[ \underset{\tilde{w}}{\operatorname{argmin}} \left( \tilde{w}^\top \frac{\partial^2 \mathcal{L}_{so}}{\partial \theta_J \partial \theta_J} \tilde{w} - \tilde{w}^\top \frac{\partial \mathcal{L}_{mo}}{\partial \theta_J} \right) \right] \frac{\partial^2 \mathcal{L}_{so}}{\partial \theta_M \partial \theta_J}. \quad (8)$$

## Results and Analysis

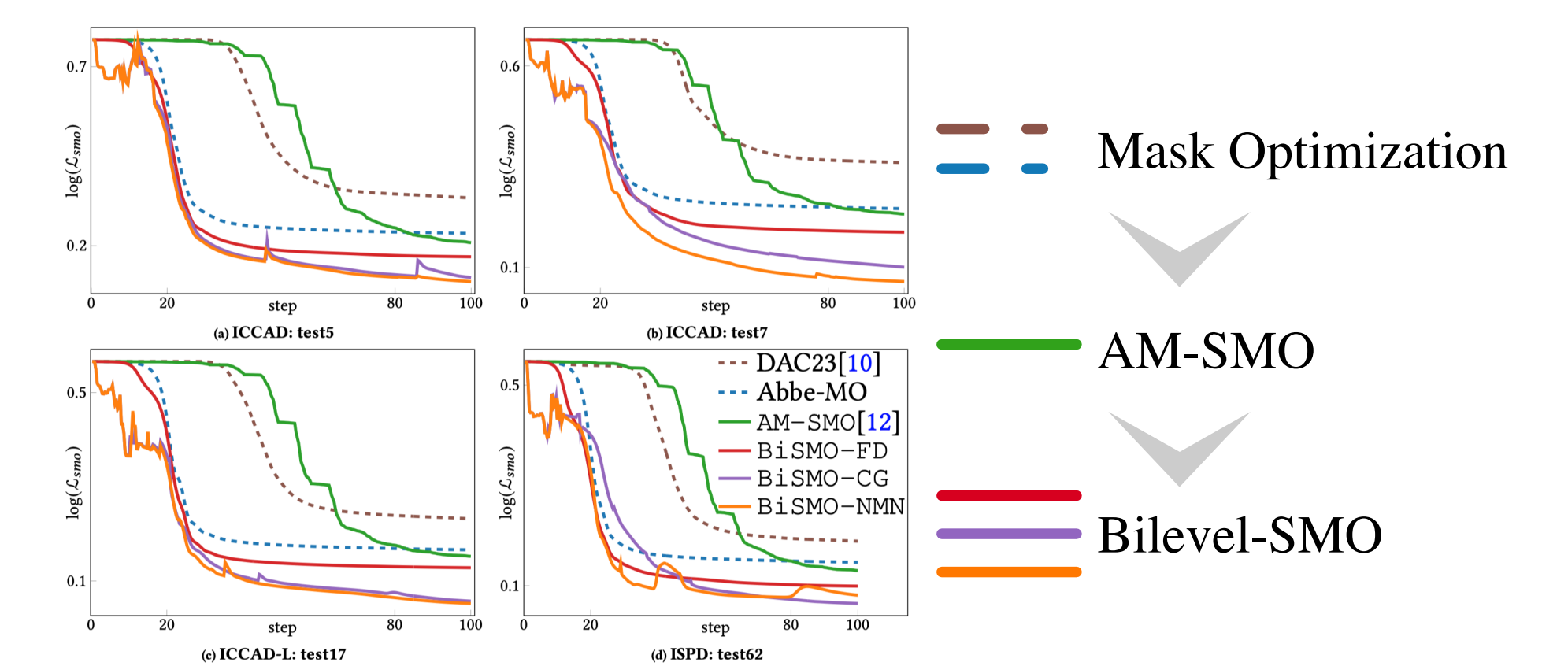


Figure 3: Loss comparison between different MO methods (dashed lines) and SMO methods (solid lines).

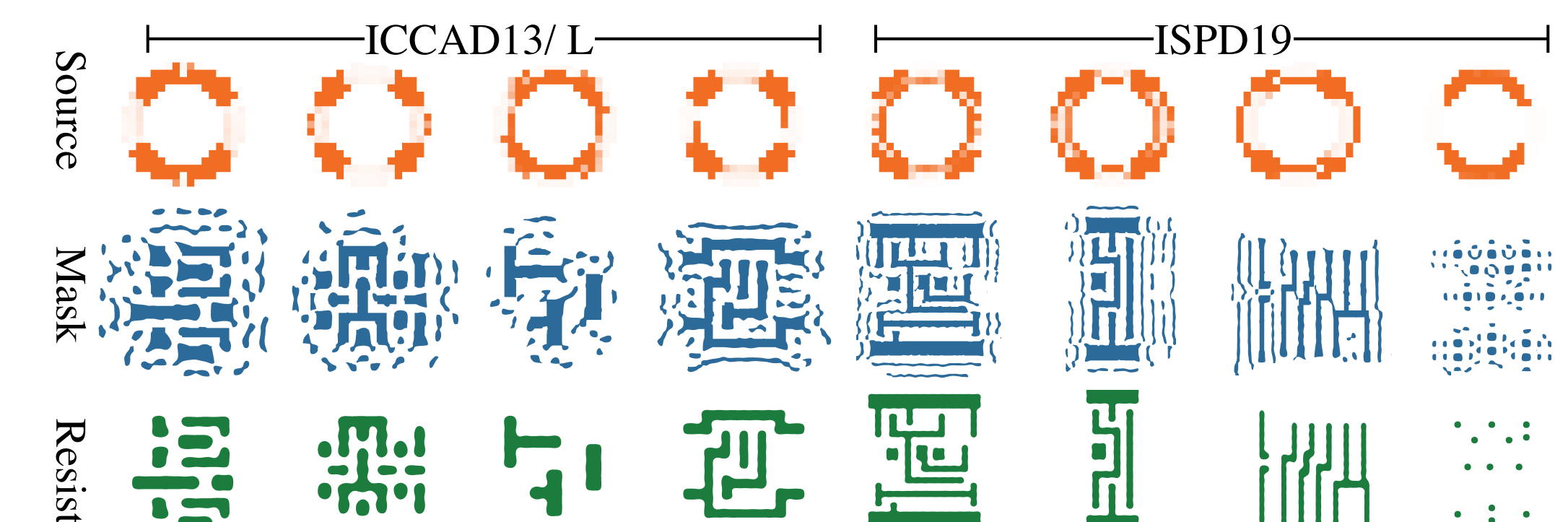


Figure 3. Result samples from ICCAD13 and ISPD19 datasets.

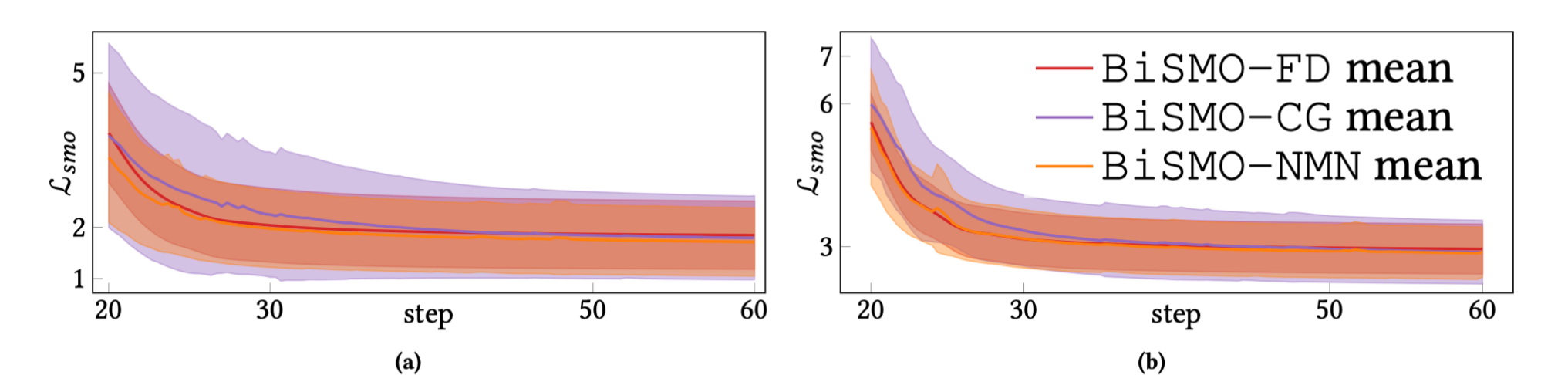


Figure 5: Mean and STD of (a) ICCAD (b) ICCAD-L datasets.