

- ④ We can express insertion sort as a recursive procedure as follows. In order to sort $A[1 \dots n]$, we recursively sort $A[1 \dots n-1]$ and then insert $A[n]$ into the sorted array $A[1 \dots n-1]$. Write a recurrence for the running time recursive version of insertion sort.

There are two steps in this recursive sorting algorithm:

step 1: Sort the sub-array $A[1 \dots n-1]$

step 2: Insert $A[n]$ into the sorted sub-array from step 1 in proper position.

For $n=1$, step 1 doesn't take any time as the sub-array is an empty array and step 2 takes constant time, i.e., the algorithm runs in $O(1)$ time.

For $n > 1$, step 1 again calls for the recursion for $n-1$ and step 2 runs in $O(n)$ time.

So, we can write the recurrence as:

$$T(n) = \begin{cases} O(1) & \text{if } n=1 \\ T(n-1) + O(n) & \text{if } n > 1 \end{cases}$$

Let us first write the pseudocode for auxiliary procedure required to insert $A[n]$ into the sorted array as follows.

	COST	TIMES
recursive insertion (A, n) {		
if $n < 1$:	C1	1
return A		
recursive insertion ($A, n-1$)	$T(n-1)$	1
key = $A[n]$	C2	1
while $n > 0$ and $\text{key} < A[n-1]$	C3	$t(n)+1$
$A[n] = A[n-1]$	C4	$t(n)$
$n = n-1$	C5	$t(n)$

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A[n] = key
return A

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C_6

C_7

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Here $f(n)$ is the number of times that the while loop needs to be executed when the function is called with the value n . This depends on the input A , so we might need to make separate **worst-case** and best-case analysis.

So we have

$$T(n) = T(n-1) + C_1 + C_2 + C_3 + C_4 + C_7 + (C_3 + C_4 + C_5) \cdot f(n)$$

Now the best case, the input is already sorted, and so the while loop is never executed and so $f(n) = 0$, hence

$$T(n) \geq T(n-1) + C_1 + C_2 + C_3 + C_4 + C_7$$

$$\text{So } T(n) = \Omega(n) \quad // \text{ In Best case}$$

In the worst case, the input is sorted in reverse order, and so that $f(n) = n-1$ hence,

$$T(n) \leq T(n-1) + C_1 + C_2 + C_3 + C_4 + C_7 + \underbrace{(C_3 + C_4 + C_5)}_{(n-1)}$$

$$T(n) \leq \underbrace{T(n-1) + C_1 + C_2 + C_3 + C_4 + C_7}_{\approx n}$$

$$T(n) \approx O(n \cdot n) = O(n^2).$$

⑤ Describe a $O(n \log n)$ -time algorithm that, given a set S of n integers and another integer x , determines whether or not there exists two elements in S whose sum is exactly x .

So, the problem asks for a search algorithm and we already know binary search is an efficient one at that which runs at $O(\log n)$ time for a sorted array.

So, we can sort the array with merge sort ($O(n \log n)$) and then for each element $S[i]$ in the array, we can do a binary search for $x - S[i]$ on the sorted array ($O(\log n)$). So, the overall algorithm will run at $O(n \log n)$ time.

But this is not better approach. Solving for above problem we can extend some ~~extra~~ another search that is two-way-search. Simultaneous search from both end of the array, to check if two elements sums up to expected sum x .

Sum-Search (S, x)

Merge-sort ($S, 1, S.length$)

left = 1

right = $S.length$

while (left < right)

if $S[left] + S[right] == x$
return true;

else if $S[left] + S[right] < x$

left = left + 1

else

right = right - 1

return false.

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Solution (a) Sorting sublists.

for input of size n , insertion sort runs on $O(k^2)$ worst-case time. So, worst-case to sort n/k sublists, each of length k will be $n/k \cdot O(k^2) = O(nk)$.

- means, sorting each list takes $ak^2 + bk + c$ for some constants a, b and c . We have n/k of those, thus;

$$\frac{n}{k} (ak^2 + bk + c) = ank + bn + \frac{cn}{k} = O(nk).$$

(b) Merging sublist

We have n elements divided into n/k sorted sublists each of length k . To merge these n/k sorted sublists to get a single sorted list of length n , we have to take 2 sublists at a time and continue to merge them.

Sorting a sublists of length k each takes

$$T(a) = \begin{cases} 0 & \text{if } a=1 \\ 2T(a/2) + ak & \text{if } a=2^p, \text{ if } p \geq 0. \end{cases}$$

Since we have two arrays each of length $\frac{a}{2}k$

Proof by induction

Base: Simple as ever:

$$T(1) = 2k \lg 1 = k \cdot 0 = 0$$

Step: Assume that $T(a) = ak \lg a$ and we calculate $T(2a)$

$$\begin{aligned} T(2a) &= 2T(a) + 2ak = 2(T(a) + ak) = 2(ak \lg a + ak) \\ &= 2ak(\lg a + 1) = 2ak(\lg a + \lg 2) + 2ak \overset{7}{\lg(2a)} \\ &= 8 \end{aligned}$$

This proves it. Now if we substitute the number of sublists n/k for a

$$T(n/k) = \frac{n}{k} k \lg \frac{n}{k} = n \lg(n/k)$$

while this is exact only when n/k is a power of 2, it tells us that overall time complexity of merge is $O(n \lg(n/k))$.

② Largest value of k

For the modified algorithm to have the same asymptotic running time as standard merge sort, $O(nk + n \lg(n/k))$ must be same as $O(n \lg n)$.

To satisfy this condition, k cannot grow faster than $\lg n$ asymptotically. If it does then because of the nk term, the algorithm will run at worse asymptotic time than $O(n \lg n)$.

So, let's assume, $k = O(\lg n)$ and see if we can meet the criteria...

$$\begin{aligned} O(nk + n \lg(n/k)) &= O(nk + n \lg n - n \lg k) \\ &= O(n \lg n + n \lg n - n \lg(\lg n)) \\ &= O(2n \lg n - n \lg(\lg n)) \\ &= O(n \lg n). \end{aligned}$$

$\lg(\lg n)$ is very small compared to $\lg n$ for sufficiently larger value of n .

(d) The Value of K in practice

In practice, K should be the largest list length on which insertion sort is faster than merge sort.

(7) (a) List of Inversions

Inversions in the given array are: $(1,5), (2,5), (3,4), (3,5)$, and $(4,5)$. (Note: Inversions are specified by indices of the array, not by values)

(b) Array with most Inversions

The array with elements from the set $1, 2, \dots, n$. With the most inversions will have the elements in reverse sorted order i.e.
 $(n, n-1, \dots, 2, 1)$

As the array has n unique elements in reverse sorted order, for every unique pair of (i, j) , there will be an inversion. So, total number of inversion = number of ways to choose.

(c) Relation with Insertion Sort

If we take at the pseudocode for insertion sort with the definition of inversion in mind, we will realize that more the number of inversions in an array, the more times the inner while loop will run.

This is also in line with our finding in sub-program problem b. Maximum number of inversions are possible when the array

is reversed sorted.

So, the higher the number of inversions in an array, the longer insertion sort will take to sort the array.

④ Algorithm to Calculate Inversions

Although a hint to modify merge sort is already given, without that also we should think of divide-and-conquer algorithms whenever we see running time with $\lg n$ term.

As was done in merge sort, we need to recursively divide the array into halves and count number of inversions in the sub-arrays. This will result in $\lg n$ step and $O(n)$ operations in each step to count the inversions. All in all a $O(n \lg n)$ algorithm.

The problem did not specifically ask to write pseudocode, but we can do that as well for the sake of completion. We can rewrite Merge Sort as follows to repeatedly subdivide the array and count number of inversions in each half.

Count-Inversions (A, p, r) {

if $p \geq r$
return 0

$q = \lfloor (p+r)/2 \rfloor$

left = Count-Inversions (A, p, q)

right = Count-Inversions ($A, q+1, r$)

inversing = left + right + Merge (A, p, q, r)

return inversing.

}

And here is modified Merge-sort pseudo code that actually counts the number of inversion in linear time.

Merge(A, p, q, r) Σ

$$n_1 = q - p + 1$$

$$n_2 = r - q$$

Let $L[1 \dots n_1]$ and $R[1 \dots n_2]$ be new array

for $i = 1$ to n_1

$$L[i] = A[p + i - 1]$$

for $j = 1$ to n_2

$$R[j] = A[q + j]$$

$$L[n_1 + 1] = \infty$$

$$R[n_2 + 1] = \infty$$

$$i = 1$$

$$j = 1$$

$$\text{inversions} = 0$$

for $k = p$ to r

if $L[i] \leq R[j]$

$$A[k] = L[i]$$

$$i = i + 1$$

else

$$\text{inversions} = \text{inversions} + (n_1 - i + 1)$$

$$A[k] = R[j]$$

$$j = j + 1$$

return inversions

}

⑧ solutions

- Insertion Sort is stable because the comparison in the inner while will move elements to right only when they are greater than key. If they are equal they don't move to right.
- Merge Sort is stable the comparison in the merge compare L and R array only check if L is less than R. If it is equal it uses the element from L array which is in order with the input.
- Heap Sort is not stable because the comparison is between left and right child and picks the greatest element. ex: 5, 3, 3, 4. element 3 is not inserted in the correct order.
- Quick Sort is not stable because we random procedure to get balanced split which can easily pick on similar element in the beginning and make it pivot element.

Q Give a simple scheme that makes any Sorting algorithm stable. How much additional time and space does your scheme entail?

All we need to make sure is save the inserted order in another array and use it to break the tie for similar element values. we need to store indices from 1 to n each will need $\log n$ space (since an element has to be written in the form 2^x and x will be no. of bits ex: 8 need 3 bits) we pick $\log n$ based on the maximum no. All the elements need $O(n \log n)$ space.

Additional time: the worst case occurs when all elements are same and we need compare indices for every element. But the comparison occurs in constant time.

10) Solⁿ

First run through the first of integers and converted each one to base n , then radix sort then, Each number will have at most $\log_n n^3 = 3$ digits so, there will only need to be 3 passes.

For each pass, there are n possible values which can be taken on, so we can use counting sort to sort each digit in $O(n)$ time