SECRET

under the Banking Ordinance

Return of Mainland Activities

For Position of the Hong Kong Office(s), Mainland Branch(es) and Mainland Subsidiary Bank(s) of an Authorized Institution as the case may be

Position as at(last day of March, June, S	
Period from (dd/mm/y	yyy) to (dd/mm/yyyy)
Name of Authorized Institution	Date of Submission
The Banking	g Ordinance
The return should be submitted to the Monetary A of March, June, September and December, unless Note: This return is to be prepared in accordance Monetary Authority.	Authority not later than 6 weeks after the last day as otherwise advised by the Monetary Authority. When the completion instructions issued by the
Chief Accountant	Chief Executive
Name	Name
Current year's profit & loss account Period from	
Name	Telephone Number

MA(BS)20 (01/2018)

Part 1. Abridged balance sheet for position of the subsidiary bank(s) in Mainland China

Q1. Does your institution have subsidiary bank(s) in Mainland China?

Yes / No

		HK\$'000
I.	LIABILITIES	
1.	Capital and reserves	
	1.1 Paid-up capital	
	1.2 Reserves	
	1.3 Current profits/losses	
2.		
3.	Deposits from customers	
	3.1 Time deposits	
	3.2 Others	
4.	Amounts payable under repos	
5.	Due to banks	
.	5.1 Parent bank	
	5.2 Connected Als and connected banks outside Hong Kong	
	5.3 Other Als and banks outside Hong Kong	
	5.3.1 Als	
	5.3.2 Banks in Mainland China	
	5.3.3 Banks outside Mainland China	
6	Negotiable debt instruments issued and outstanding	
J .	6.1 Negotiable certificates of deposit	
	6.2 Others	
7.	Other liabilities	
	Total liabilities	
	ASSETS	
	Cash	
	Placement with The People's Bank of China	
' '	10.1 Placement subject to reserve requirement	
	10.2 Other placement	
11	Loans and advances to customers	
	Amount receivable under reverse repos	
	Due from banks	
'	13.1 Parent bank	
	13.2 Connected Als and connected banks outside Hong Kong	
	13.3 Other Als and banks outside Hong Kong	
	13.3.1 Als	
	13.3.2 Banks in Mainland China	
	13.3.3 Banks outside Mainland China	
14.		
	14.1 Negotiable certificates of deposit held	
	14.2 Others	
15.	Investments	
	15.1 Investments in shareholdings	
	15.2 Others	
16.		
	16.1 Bank premises	
	16.2 Others	
17.		
	Total assets	
19.		
20.		
	. etc. debate loss provisions	

Part 2. Current year's profit & loss account for position of the subsidiary bank(s) in Mainland China

Q1. Does your institution have subsidiary bank(s) in Mainland China?	Yes / No
First day of the financial year (dd-mm-yyyy)	
Income	HK\$'000
1.1 Interest income	
1.2 Interest expenses	
Net interest income	
2. Income from trading activities	
3. Income from non-trading activities and investments	
4. Income from fees and commissions	
5. Profit / (loss) on sale of fixed assets	
6. Other income	
7. Total income	
Expenses and other charges	
8.1 Staff expenses	
8.2 Rental expenses	
8. Staff and rental expenses	
9. Other expenses	
10. Net charge / (credit) for debt provision	
11. Net charge for other provisions	
12. Deficit / (surplus) on revaluation of land and buildings	
13. Impairment loss / (reversal of impairment loss) on assets	
14. Total expenses and other charges	
Current profit / (loss)	
15. Profit / (loss) before tax	
16. Net charge for tax provision	
17. Profit / (loss) after tax	
18. Extraordinary profit / (loss) net of tax	
19. Profit / (loss) for the period	

HK\$'000	Total direct exposures								
	I. Hong Kong offices and all Mainland branches								
	1	1. On-balance sheet exposures 2.				2. Off-balance sheet exposures			3. Memo
	1.1. Loans and advances	1.2. Negotiable debt instruments	1.3. Others	1.4. Total	2.1. Contingent liabilities	2.2. Irrevocable undrawn commitments	2.3. FX and derivatives contracts	2.4. Total	3.1. Plain vanilla NDIs out of item 1.2
Central government, central government-owned entities and their subsidiaries and JVs									
Local governments, local government-owned entities and their subsidiaries and JVs									
3. PRC nationals residing in Mainland China or other entities incorporated in Mainland China and their subsidiaries and JVs									
3.1 Of which, PRC nationals residing in Mainland China or entities beneficially-owned by Mainland interest									
4. Other entities of central government not reported in item 1 above									
5. Other entities of local governments not reported in item 2 above									
6. PRC nationals residing outside Mainland China or entities incorporated									
outside Mainland China where the credit is granted for use in Mainland China									
7. Other counterparties where the exposures are considered by the									
reporting institution to be non-bank Mainland China exposures									
8. Total									
10. On-	balance sheet		after provisions of total assets						

HK\$'000	Total direct exposures								
				II. All Ma	inland subsidi				
a	1	. On-balance s	heet exposure	s	2	2. Off-balance s	heet exposure	es	3. Memo
	1.1. Loans and advances	1.2. Negotiable debt instruments	1.3. Others	1.4. Total	2.1. Contingent liabilities	2.2. Irrevocable undrawn commitments	2.3. FX and derivatives contracts	2.4. Total	3.1. Plain vanilla NDIs out of item 1.2
Central government, central government-owned entities and their subsidiaries and JVs									
Local governments, local government-owned entities and their subsidiaries and JVs									
3. PRC nationals residing in Mainland China or other entities incorporated in Mainland China and their subsidiaries and JVs									
3.1 Of which, PRC nationals residing in Mainland China or entities beneficially-owned by Mainland interest									
Other entities of central government not reported in item 1 above Other entities of local governments not reported in item 2 above									
6. PRC nationals residing outside Mainland China or entities incorporated									
outside Mainland China where the credit is granted for use in Mainland China									
7. Other counterparties where the exposures are considered by the reporting institution to be non-bank Mainland China exposures									
8. Total									

9. Total assets after provisions
10. On-balance sheet exposures as % of total assets

HK\$'000				Tota	al direct expos	ures			
		III. Total (I+II)							
	1	. On-balance s	heet exposure	s	2	. Off-balance s	heet exposure	s	3. Memo
	1.1. Loans and advances	1.2. Negotiable debt instruments	1.3. Others	1.4. Total	2.1. Contingent liabilities	2.2. Irrevocable undrawn commitments	2.3. FX and derivatives contracts	2.4. Total	3.1. Plain vanilla NDIs out of item 1.2
Central government, central government-owned entities and their subsidiaries and JVs									
Local governments, local government-owned entities and their subsidiaries and JVs									
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Other entities of central government not reported in item 1 above Other entities of local governments not reported in item 2 above									
PRC nationals residing outside Mainland China or entities incorporated outside Mainland China where the credit is granted for use in Mainland China									
Other counterparties where the exposures are considered by the reporting institution to be non-bank Mainland China exposures									
8. Total			ofter proviniene						

Part 4. Non-bank Mainland China exposures - Breakdown by geographical location in Mainland China

HK\$'000	Total direct on-balance sheet exposures				
Breakdown by geographical location in Mainland China	I. Hong Kong offices and all Mainland branches	II. All Mainland subsidiary banks	III. Total (I+II)		
A. Eastern region:					
1. Beijing					
2. Tianjin					
3. Hebei					
4. Liaoning					
5. Shanghai					
6. Jiangsu					
7. Zhejiang					
8. Fujian					
9. Shandong					
10. Guangdong					
11. Hainan					
B. Central region:					
1. Shanxi					
2. Jilin					
3. Heilongjiang					
4. Anhui					
5. Jiangxi					
6. Henan					
7. Hubei					
8. Hunan					
C. Western region:					
1. Chongqing					
2. Sichuan					
3. Guizhou					
4. Yunnan					
5. Tibet					
6. Shaanxi					
7. Gansu					
8. Qinghai					
9. Ningxia					
10. Xinjiang					
11. Guangxi					
12. Inner Mongolia					
D. Other locations in Mainland China					
E. Locations outside Mainland China					
F. Total					

A Manufacturing Fostware & wearing appared Selectional & electronics Fording A Rubber, plastic & chemicals Fording & publishing Others B. Building and construction, property development and investment Frigority development (by types of properties) a. Indiscribil b. residential c. commercial d. describing A Manufacturing D. Refriction & Government of the selection	HK\$'000	On-balance sheet loans and advances					
A Manufacturing Fostware & wearing appared Selectional & electronics Fording A Rubber, plastic & chemicals Fording & publishing Others B. Building and construction, property development and investment Frigority development (by types of properties) a. Indiscribil b. residential c. commercial d. describing A Manufacturing D. Refriction & Government of the selection	Breakdown by economic sector			III. Total (I+II)			
1. Textiles 2. Activation wavaining apparel 3. Metal products & engineering 4. Author, plastic & chemicals 5. Electrical & electronic 6. Food 7. Reverlage & tobacco 8. Printing & publishing 9. Others 8. Building and construction, property development and investment 1. Property development (by types of properties) 8. Building and construction, property development and investment 9. Ruinting and construction (by types of properties) 9. Industrial 9	Loans for use in Mainland China:						
2. Footwera & wearing apparel 3. Metal products & engineering 4. Rubber, plastic & chemicals 5. Electrical & electronic 6. Food 7. Powerages & tobacco 8. Printing & publishing 9. Others 9. Others 9. Building and construction, property development and investment 1. Froperty development by types of properties) 9. Industrial 9. Evoporty investment (by types of properties) 9. Industrial 9. Indus							
3. Metal products & engineering 4. Rubber, plasts & chemicals 5. Electrical & electronic 6. Flood 7. Reverages & todosoo 8. Proling & publishing 9. Others 8. Bullding and construction, property development and investment 1. Property development (by types of properties) a. industrial b. residential c. commercial d. d. others e. Memorandum frem: Properties located in Mainland China 2. Property investment (by types of properties) a. industrial b. residential c. commercial d. d. others e. Memorandum frem: Properties located in Mainland China 2. Property investment (by types of properties) a. industrial d. others e. Memorandum frem: Properties located in Mainland China 3. Ovil engineering works C. Commercial d. d. others e. Memorandum frem: Properties located in Mainland China 3. Ovil engineering works C. Electricity and gas D. Recreational activities E. Information technology 1. Telecommunications 2. Ovil engineering powers 5. Others F. Wholesela & retail rapet 6. Universe 7. Air transport 8. Universe 8. Air transport 9. Air transp							
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	K. Loans for use outside Mainland China						
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Part 6. Classification of Mainland related loans and advances

HK\$'000	On-balance sheet loans and advances					
Breakdown by loan classification	I. Hong Kong offices and all Mainland branches	II. All Mainland subsidiary banks	III. Total (I+II)			
1. Pass						
2. Special mention						
3. Substandard						
4. Doubtful						
5. Loss						
6. Total						
7. Classified loans						
8. Amount of individual impairment						
allowances specific provisions for the						
classified loans						
9. Value of collateral for the classified						
loans						
10. Coverage ratio						

Part 7a. Non-bank Mainland China exposures - Ten largest exposures among all counterparties

	HK\$'000	I. Hong Kong o	ffices and all Ma	ainland branches
	Top 10 largest total on- and off-balance sheet exposures by name of customers	1.1 On-balance sheet exposures	1.2 Off-balance sheet exposures	1. Total
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
	11. Total assets after provisions			
	12. On-balance sheet exposures as % of total assets			

HK\$'000		II. All M	ry banks	
Top 10 largest total on- and off-balance she	eet exposures by name of customers	1.1 On-balance sheet exposures	1.2 Off-balance sheet exposures	1. Total
	 Total assets after provisions 			
12. On-	balance sheet exposures as % of total assets			

Part 7b. Non-bank Mainland China exposures - Ten largest exposures to PRC nationals residing in Mainland China or entities beneficially-owned by Mainland interest as reported in item 3.1 of Part 3

ŀ	HK\$'000	I. Hong Kong o	ffices and all Ma	ainland branches
1	Top 10 largest total on- and off-balance sheet exposures by name of customers	1.1 On-balance sheet exposures	1.2 Off-balance sheet exposures	1. Total
_	11. Total assets after provisions			·
	12. On-balance sheet exposures as % of total assets			

HK\$'000	II. All Mainland subsidiary banks		
Top 10 largest total on- and off-balance sheet exposures by name of customers	1.1 On-balance sheet exposures	1.2 Off-balance sheet exposures	1. Total
11. Total assets after provisions	;		

12. On-balance sheet exposures as % of total assets

Part 8a. Non-bank Mainland China exposures - breakdown by risk mitigation type (exposures to all counterparties)

HK\$'000	On-balance sheet loans and advances		
Breakdown by risk mitigation type	I. Hong Kong offices and all Mainland branches	II. All Mainland subsidiary banks	III. Total (I+II)
Loans granted on the back of the following features:			
1. With full collateral			
1.1 Pledged deposits			
1.1.1 RMB			
1.1.2 Non-RMB			
1.2 Properties			
1.3 Debt securities			
1.4 Shares			
1.5 Other assets			
2. With full guarantee from banks			
2.1 Mainland banks			
2.2 Non-Mainland banks			
3. With partial collateral			
3.1 Pledged deposits			
3.1.1 RMB			
3.1.2 Non-RMB			
3.2 Properties			
3.3 Debt securities			
3.4 Shares			
3.5 Other assets			
3.6 with guarantee from Mainland banks			
3.7 with guarantee from non-Mainland banks			
3.8 with guarantee from non-banks			
3.9 Remaining loan balance (i.e. unsecured portion)			
4. With full guarantee from non-banks			
5. Unsecured			
6. Total loans and advances			
Memorandum items:			
7. With Intragroup bank guarantee (full and partial)			
8. With non-bank guarantee (full and partial) from the central government,			
central government-owned entities and their subsidiaries and JVs			
9. With non-bank guarantee (full and partial) from the local governments,			
local government-owned entities and their subsidiaries and JVs			
10. With non-bank guarantee (full and partial) from PRC nationals residing			
in Mainland China or private enterprises incorporated in Mainland China			ļ
and their subsidiaries and JVs			

Part 8b. Non-bank Mainland China exposures - breakdown by risk mitigation type (exposures to PRC nationals residing in Mainland China or entities beneficially-owned by Mainland interest as reported in item 3.1 of Part 3)

HK\$'000	On-balance sheet loans and advances			
Breakdown by risk mitigation type	I. Hong Kong offices and all Mainland branches	II. All Mainland subsidiary banks	III. Total (I+II)	
Loans granted on the back of the following features:				
1. With full collateral				
1.1 Pledged deposits				
1.1.1 RMB				
1.1.2 Non-RMB				
1.2 Properties				
1.3 Debt securities				
1.4 Shares				
1.5 Other assets				
2. With full guarantee from banks				
2.1 Mainland banks				
2.2 Non-Mainland banks				
3. With partial collateral				
3.1 Pledged deposits				
3.1.1 RMB				
3.1.2 Non-RMB				
3.2 Properties				
3.3 Debt securities				
3.4 Shares				
3.5 Other assets				
3.6 with guarantee from Mainland banks				
3.7 with guarantee from non-Mainland banks				
3.8 with guarantee from non-banks				
3.9 Remaining loan balance (i.e. unsecured portion)				
4. With full guarantee from non-banks				
5. Unsecured				
6. Total loans and advances				
Memorandum items:				
7. With Intragroup bank guarantee (full and partial)				
8. With non-bank guarantee (full and partial) from the central				
government, central government-owned entities and their				
subsidiaries and JVs				
9. With non-bank guarantee (full and partial) from the local				
governments, local government-owned entities and their				
subsidiaries and JVs				
10. With non-bank guarantee (full and partial) from PRC				
nationals residing in Mainland China or private enterprises				
incorporated in Mainland China and their subsidiaries and JVs				