


FAQs on Politically **Exposed Persons**

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Agenda

- ◆ Why?
 - ◆ Where?
 - ◆ What?
 - ◆ When?
 - ◆ Who?
 - ◆ How?
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- A stylized, dark teal silhouette of a mountain range is positioned in the bottom right corner of the slide, adding a decorative element to the background.

Why?

- ◆ Why were the FAQs written?
- ◆ Why does anyone care?


Where?

- ◆ Where did the FAQs originate?

Who?

- ◆ Who is a PEP?
- ◆ Q1 – definition
- ◆ Q.3 – how senior?
- ◆ Q.4/5 – elected or serving?

Who - 2

- ◆ Q.6 – why families & associates?
 - ◆ Q.7 – can legal entities be PEPs?
 - ◆ Q.8 – government-owned entities?
 - ◆ Q.9 – political parties?
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- A stylized, dark teal silhouette of a mountain range is positioned in the bottom right corner of the slide, partially overlapping the teal background.

How?

- ◆ Q.10 - How can we identify PEPs?
- ◆ How do you know who to screen?
- ◆ How does anyone screen in China?

When?

◆ When do you screen?

- For new clients?
- For existing clients?

What?

- ◆ What if you discover a PEP?
- ◆ Q.11 & 13
- ◆ One potential process