

# SURVEY OF THE ASSET MANAGEMENT INDUSTRY (as at 31 December 2022)

## SECTION IV: RESTRICTED COLLECTIVE INVESTMENT SCHEMES

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### GENERAL INFORMATION

Name of Singapore Office	:	<input type="text"/>
<b>**Please indicate the name shown on MAS's Financial Institution Directory accordingly.</b>		
Reporting Cycle	:	<input type="text"/>
Company Code/User ID	:	<input type="text"/>

**Reset all figures in this return to zero**

**Import from xfdf file**

**Export to xfdf file for submission to MAS**

*(Please make sure you entered all forms required for your company before export)*

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### **Important Notes:**

1. Deadline for submission is **1 March 2023**.
2. Submit the survey electronically by uploading the completed form through MAS website.  
**You are also required to fill up the General Information section on the first page of the main form.**
3. Completing the survey form:
  - a) Fill in either text / number where appropriate.
  - b) Leave cells blank if you have no activity in that area.
  - c) Figures should be reported in millions of Singapore Dollars (S\$ million) and should be rounded to 2 decimal places. For the purpose of translating foreign currencies into Singapore dollars, please use the stipulated daily exchange rates for the last trading day of 2022 available on MAS website: <https://secure.mas.gov.sg/msb/ExchangeRates.aspx>
4. Boxes shaded in grey will be automatically computed.
5. Fund Management Companies which are managing Variable Capital Companies ("VCCs") are reminded to select the appropriate type of fund vehicle i.e. "Corporations with Variable Capital" within the respective Sections.

SECTION IV: RESTRICTED COLLECTIVE INVESTMENT SCHEMES ("CIS")<sup>1</sup>

A. INVESTMENT FOCUS AND FUND SIZE OF INDIVIDUAL CIS

(S\$ mil) as at 31 Dec 2022

	Name of CIS <sup>2</sup>	Fund Type <sup>5</sup>	Date of First Offer in Singapore	Fund Vehicle <sup>7</sup>	Fund Domiciliation <sup>8</sup>	Investment Focus <sup>6</sup>	Expense ratio <sup>3</sup>	AUM <sup>4</sup> attributed to units which were subscribed in Singapore	Total AUM including units which were subscribed outside of Singapore	Other jurisdictions where CIS is offered <sup>9</sup>
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(S\$ mil) as at 31 Dec 2022

	Name of CIS <sup>2</sup>	Fund Type <sup>5</sup>	Date of First Offer in Singapore	Fund Vehicle <sup>7</sup>	Fund Domiciliation <sup>8</sup>	Investment Focus <sup>6</sup>	Expense ratio <sup>3</sup>	AUM <sup>4</sup> attributed to units which were subscribed in Singapore	Total AUM including units which were subscribed outside of Singapore	Other jurisdictions where CIS is offered <sup>9</sup>
36										
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(S\$ mil) as at 31 Dec 2022

	Name of CIS <sup>2</sup>	Fund Type <sup>5</sup>	Date of First Offer in Singapore	Fund Vehicle <sup>7</sup>	Fund Domiciliation <sup>8</sup>	Investment Focus <sup>6</sup>	Expense ratio <sup>3</sup>	AUM <sup>4</sup> attributed to units which were subscribed in Singapore	Total AUM including units which were subscribed outside of Singapore	Other jurisdictions where CIS is offered <sup>9</sup>
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(S\$ mil) as at 31 Dec 2022

	Name of CIS <sup>2</sup>	Fund Type <sup>5</sup>	Date of First Offer in Singapore	Fund Vehicle <sup>7</sup>	Fund Domiciliation <sup>8</sup>	Investment Focus <sup>6</sup>	Expense ratio <sup>3</sup>	AUM <sup>4</sup> attributed to units which were subscribed in Singapore	Total AUM including units which were subscribed outside of Singapore	Other jurisdictions where CIS is offered <sup>9</sup>
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(S\$ mil) as at 31 Dec 2022

	Name of CIS <sup>2</sup>	Fund Type <sup>5</sup>	Date of First Offer in Singapore	Fund Vehicle <sup>7</sup>	Fund Domiciliation <sup>8</sup>	Investment Focus <sup>6</sup>	Expense ratio <sup>3</sup>	AUM <sup>4</sup> attributed to units which were subscribed in Singapore	Total AUM including units which were subscribed outside of Singapore	Other jurisdictions where CIS is offered <sup>9</sup>
150										
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(S\$ mil) as at 31 Dec 2022

	Name of CIS <sup>2</sup>	Fund Type <sup>5</sup>	Date of First Offer in Singapore	Fund Vehicle <sup>7</sup>	Fund Domiciliation <sup>8</sup>	Investment Focus <sup>6</sup>	Expense ratio <sup>3</sup>	AUM <sup>4</sup> attributed to units which were subscribed in Singapore	Total AUM including units which were subscribed outside of Singapore	Other jurisdictions where CIS is offered <sup>9</sup>
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(S\$ mil) as at 31 Dec 2022

	Name of CIS <sup>2</sup>	Fund Type <sup>5</sup>	Date of First Offer in Singapore	Fund Vehicle <sup>7</sup>	Fund Domiciliation <sup>8</sup>	Investment Focus <sup>6</sup>	Expense ratio <sup>3</sup>	AUM <sup>4</sup> attributed to units which were subscribed in Singapore	Total AUM including units which were subscribed outside of Singapore	Other jurisdictions where CIS is offered <sup>9</sup>
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250										
	<b>Total</b>									

**B. SUBSCRIPTIONS AND REDEMPTIONS OF RESTRICTED CIS IN 2022**

(S\$ mil)

	Total
(1) Gross Subscriptions in Singapore	
(2) Gross Redemptions in Singapore	
(1) - (2)	



<sup>1</sup> Include only restricted schemes i.e. funds offered to accredited investors or non-accredited investors at a consideration of not less than S\$200,000

<sup>2</sup> For each sub-fund of an umbrella fund, please state the name of the umbrella fund followed by the name of the sub-fund.

<sup>3</sup> The expense ratio should be calculated as required by or used in the foreign jurisdiction in which the fund is constituted.

<sup>4</sup> Assets under management.

<sup>5</sup> Please indicate the fund type by the letter of the alphabet as shown below. (Category 'f' may overlap with the other categories. Under such circumstances, please classify the fund as 'f'.)

- a1 - Listed/Public Equity Fund (funds which invest in all types of publicly listed equities should be classified here including those which invest in stocks of a certain sector, such as technology, telecommunications, or property stocks)
- a2 - Listed/Public Fixed Income Fund
- b1 - Private Equity Fund
- b2 - Private Credit Fund
- c - Balanced Fund (equity and fixed income)
- d1 - Money Market Fund (as defined in Appendix 2 of the Code on Collective Investment Schemes)
- d2 - Money Market Fund (the objective of the fund is to invest in money market instruments but the fund does not comply with the guidelines as stated in Appendix 2)
- e1 - Single Hedge Fund (as defined in Appendix 3 of the Code on Collective Investment Schemes)
- e2 - Fund-of-Hedge Fund (as defined in Appendix 3 of the Code on Collective Investment Schemes)
- f - Capital Guaranteed Fund (as defined in Appendix 4 of the Code on Collective Investment Schemes)
- g - Index Fund (as defined in Appendix 5 of the Code on Collective Investment Schemes)
- h - Property Fund (as defined in Appendix 6 of the Code on Collective Investment Schemes)
- i - Fund-of-Funds or Multi-Manager Fund
- j - Venture Capital Fund
- o - Others

<sup>6</sup> To provide a brief description of investment focus, e.g. global equity, global bond, Asian equity, European technology stocks.

<sup>7</sup> Please indicate the type of fund vehicle by the number as shown below.

- 1 - Unit Trusts
- 2 - Corporations with Variable Capital(e.g. Singapore VCC or corporate structures in other jurisdictions with variable capital)
- 3 - Corporations (others)
- 4 - Contractual
- 5 - Partnership
- 6 - Others

<sup>8</sup> Please indicate the country of fund domicile.

<sup>9</sup> For CIS that are also offered overseas, please indicate the top 3 jurisdiction(s) by AUM using the letter of the alphabet as shown below, If the CIS is not offered outside Singapore, please indicate "f" (see list below)

- a1 - Malaysia
- a2 - Thailand
- a3 - Indonesia
- a4 - Any other ASEAN country
- b1 - Australia
- b2 - China
- b3 - Hong Kong
- b4 - South Korea
- b5 - India
- b6 - Japan
- b7 - Any other Asia-Pacific country not in ASEAN
- c1 - UK
- c2 - Ireland
- c3 - Luxembourg
- c4 - Switzerland
- c5 - Germany
- c6 - Any other EU country
- d1 - United States
- d2 - Canada
- d3 - Any other country in the Americas
- e1 - UAE
- e2 - Qatar
- e3 - Any other country in the Middle East
- f - The CIS is not offered outside Singapore