Hospital Readmission Prediction Of ICU Patients Using Deep Learning Algorithms

by

Shivam Sinha

Roll. No.: 2014IPG-082



ABV-INDIAN INSTITUTE OF INFORMATION TECHNOLOGY AND MANAGEMENT GWALIOR (M.P.), INDIA



Motivation

- For Medicare patients, hospitalizations can be stressful, even more so when they result in subsequent readmissions. A number of studies show that hospitals can engage in several activities to lower their rate of readmissions, such as clarifying patient discharge instructions, coordinating with post-acute care providers etc.
- The purpose of this thesis is to use deep neural networks which can work effectively to predict the result that can be utilized to avoid unnecessary hospital readmissions.

Objectives

The primary objective of this thesis is:

- To develop Deep Neural Network(DNN) models for Healthcare application i.e Hospital Readmission.
- To predict hospital readmission of patients using deep neural networks.
- To compare results between Deep Learning Models and with exiting algorithms.

Novelty of the proposal

- With this thesis, the aim is to use deep learning algorithms to overcome the drawbacks of conventional machine learning algorithms.
- The thesis aims to use nature inspired algorithms for feature extraction.
- The thesis also aims to use hybrid model (Convolution Recurrent Neural Network) which can further increase the accuracy of the proposed model.

Methodology

Flow Diagram

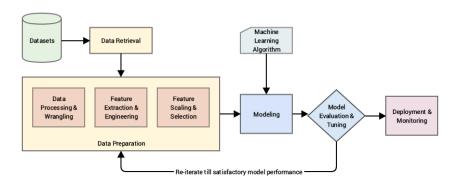


Figure: Flow Diagram

Methodology

Dataset Description

Medical Information Mart for Intensive Care (MIMIC-III)[4] consists of data about patients admitted to various critical care units in a large hospital. A large number of different parameters are present in MIMIC III database. These parameters include information such as vital signs, medications, laboratory measurements, observations, fluid balance, procedure codes, diagnostic codes, imaging reports, hospital length of stay, survival data, and others. The database consists of information of around 58,576 distinct patients who were admitted to various critical care units of the hospital between 2001 and 2012. The data comprises of patients aged 16 years or above only.

Methodology Contd..

Dataset Preprocessing

- Since the dataset is very large, we only consider data of those patients who were readmitted again which gives the details of 7,534 patients. The data set is divided into two classes:-
 - Patients readmitted in 30 days.
 - Patients readmitted after 30 days.
- MIMIC-III dataset contains missing values in some of the features.
 - If feature contains large number of missing values then the feature is removed.
 - If feature contains fewer missing values than mean is used to fill the missing values.

 Normalization is used to remove the biases among the features. It brings the data on a standard scale. It standardizes the range of independent features or variables of data, called feature scaling. Min Max Normalization technique is used.

$$v' = (v - min_A)/(max_A - min_A)$$
 (1)

where A can be vector.

Features are selected through Nature Inspired Algorithms:

- Bat Algorithm is an optimization algorithm inspired by the echolocation behavior of microbats. Bats uses echolocation to sense distance, and they also distinguish between food/prey and other background barriers.
- **Grey Wolf Optimizer** (GWO) Algorithm inspired by grey wolves. The GWO algorithm mimics the leadership hierarchy and hunting mechanism of grey wolves in nature. Four types of grey wolves are employed for simulating the leadership hierarchy such as alpha, beta, delta, and omega. Also, the three main steps of hunting, searching for prey, encircling prey, and attacking victim, are implemented.

Methodology Contd..

Feature Extraction

Features are extracted through Convolution Neural Networks: **Convolutional Neural Network** is an effective machine learning technique from the deeplearning and it is similar to ordinary Neural Networks. Convolutional neural network is a network with convolutional layers. Convolutional neural network is consists of three steps of neural layers to build its architectures: Convolutional, Pooling, and Fully-Connected.

- **Recurrent Neural Network** (RNN) are used to capture the temporal dependency in the time series data. We are usually provided with a series of observations $x_1...x_T$ and we train a classifier to generate hypotheses \hat{y} .
- Long Short Term Memory networks usually just called LSTMs are a special kind of RNN, capable of learning long-term dependencies. Remembering information for long periods of time is practically their default behavior.
- Bidirectional Recurrent Neural Networks also called BRNN is just like RNN but it trains simultaneously on both sides of the time series data. This model gives the better result in both regression and classification problem.

Methodology Contd..

Algorithms

 Auto Encoders are an unsupervised learning method, although technically, they are trained using supervised learning methods, referred to as self-supervised. They are typically trained as part of a broader model that attempts to recreate the input.

For a given dataset of sequences, an encoder-decoder LSTM is configured to read the input sequence, encode it, decode it, and recreate it. The performance of the model is evaluated based on the model ${\rm a}$ ${\rm b}$ ${\rm d}$ ${\rm d$

Model Implementation Details

- The entire dataset was split using stratified sampling and 10% of the dataset was used as a validation set.
- The rest 80% of the dataset was used for training purpose and was tested on 10% of the dataset.
- The LSTM model was trained with 30 epochs using Adam optimizer. LSTM layer uses 100 memory cell with no dropout and 25 memory cell with 20% dropout and these architectures are found after validation performance. Also train this model with Bat Algorithm.
- CLSTM model was trained with 30 epochs and the batch size of 1000. First, the convolution layer was used with 45 filters of size 5×5 . Then the LSTM layer that uses 100 memory cell with 20% dropout. Also train this model with Grey Wolf Optimizer Algorithm.

Comparison among different deep learning models

Table: Comparison among different Deep Learning Models

Methods	Area Under ROC Curve	Accuracy
Long Short Term Mem-	0.88	81.71%
ory		
Long Short Term Mem-	0.73	75.00%
ory Auto-Encoders		
Convolution Long Short	0.87	85.63%
Term Memory		
Long Short Term Mem-	0.75	77.58%
ory with Bat Algorithm		
Long Short Term Mem-	0.85	87.48%
ory with Grey Wolf opti-		
mizer Algorithm		

Comparison among different deep learning models

Table: Comparison among different Deep Learning Models

Methods	Area Under ROC Curve	Accuracy	
Convolution Long Short	0.87	82.41%	
Term Memory Auto-			
Encoders			
Bi-Directional Long	0.85	83.42%	
Short Term Memory			

Comparison with Baseline Models

Table: Comparison with Baseline Models

Methods	Area Under ROC Curve	Accuracy
Logistic Regression	0.54	58.09%
Support Vector Machines	0.54	59.37%
Decision Tree	0.52	55.68%
Random Forest	0.53	60.49%

Table: Comparison with State of Art Models

Paper	Methods	Comparison	Value
		Factor	
Predicting Hospital Length of	Neural Net-	Accuracy	80%
Stay Using Neural Networks on	work		
MIMIC III Data			
Predicting ICU Readmission	Gradient	AUC	0.76
with Machine Learning Using	Boosting		
EHR Data			
Analysis and Prediction of Un-	CLSTM	AUC	0.791
planned ICU Readmission using			
RNN with LSTM.			

Receiver Operating Characteristic Curves

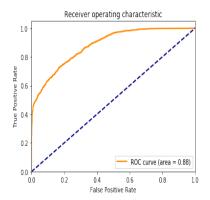


Figure: Receiver Operating Characteristic Curve Long Short Term Memory.

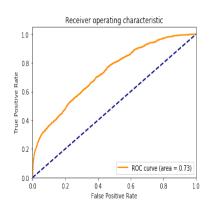


Figure: Receiver Operating Characteristic Curve Long Short Term Memory Auto Encoders.

Receiver Operating Characteristic Curves

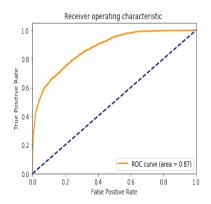


Figure: Receiver Operating Characteristic Curve Convolution Long Short Term Memory.

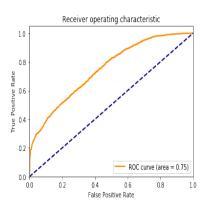


Figure: Receiver Operating Characteristic Curve Long Short Term Memory with Bat Algorithm.

Receiver Operating Characteristic Curves

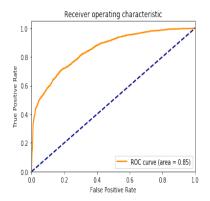


Figure: Receiver Operating Characteristic Curve Long Short Term Memory with Grey Wolf Optimizer Algorithm.

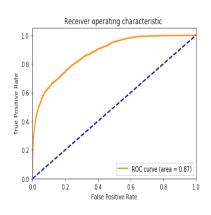


Figure: Receiver Operating Characteristic Curve Convolution Long Short Term Memory Auto Encoders.

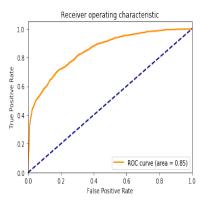


Figure: Receiver Operating Characteristic Curve Bi-Directional Long Short Term Memory.

Model Loss Curves

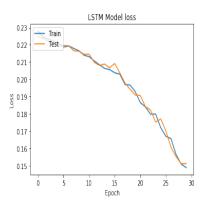


Figure: Loss Curve:LSTM.

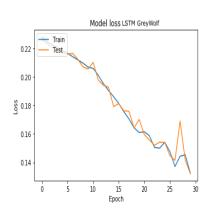


Figure: Loss Curve: LSTM Grey Wolf.

Model Loss Curves

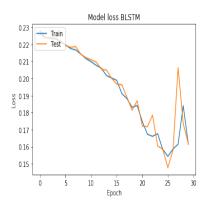


Figure: Loss Curve: Bidirectional LSTM.

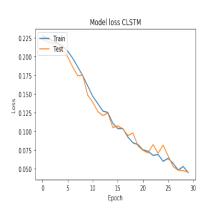


Figure: Loss Curve: Convolution LSTM.

Conclusion

Health Care Readmissions is extremely difficult task for both patients and hospitals. In our thesis, we design a robust algorithm to determine the amount of patients readmitted to a hospital. We measured the performance of different deep learning models with and without Nature-inspired algorithms to predict readmission probability and concluded that Long Short Term Memory with grey wolf optimizer performed better than the remaining ML algorithms in the accuracy value. We also establish that the result of the combination of LSTM and Convolution layer was remarkable on this dataset. This architecture can be used in current's health system to aim at high possibility patients, decrease the degree of readmission, and provide excellent health care.

References I



Mohammad M Ghassemi, Stefan E Richter, Ifeoma M Eche, Tszyi W Chen, John Danziger, and Leo A Celi.

A data-driven approach to optimized medication dosing: a focus on heparin.

Intensive care medicine, 40(9):1332–1339, 2014.



Romain Pirracchio, Maya L Petersen, Marco Carone, Matthieu Resche Rigon, Sylvie Chevret, and Mark J van der Laan.

Mortality prediction in intensive care units with the super icu learner algorithm (sicula): a population-based study.

The Lancet Respiratory Medicine, 3(1):42–52, 2015.

References II



Zhengping Che, Sanjay Purushotham, Robinder Khemani, and Yan Liu.

Interpretable deep models for icu outcome prediction. In AMIA Annual Symposium Proceedings, volume 2016, page 371. American Medical Informatics Association, 2016.



Alistair EW Johnson, Tom J Pollard, Lu Shen, H Lehman Li-wei, Mengling Feng, Mohammad Ghassemi, Benjamin Moody, Peter Szolovits, Leo Anthony Celi, and Roger G Mark. Mimic-iii, a freely accessible critical care database. Scientific data, 3:160035, 2016.



Bhargava K Reddy and Dursun Delen. Predicting hospital readmission for lupus patients: An rnn-lstm-based deep-learning methodology. Computers in biology and medicine, 101:199–209, 2018.

References III



Jonathan Franco, Francesc Formiga, Joan-Carles Trullas, P Salamanca Bautista, Alicia Conde, Luis Manzano, Raúl Quirós, Álvaro González Franco, Alejandro Martín Ezquerro, Manuel Montero-Pérez-Barquero, et al.

Impact of prealbumin on mortality and hospital readmission in patients with acute heart failure.

European journal of internal medicine, 43:36–41, 2017.



Klaus Greff, Rupesh K Srivastava, Jan Koutník, Bas R Steunebrink, and Jürgen Schmidhuber.

Lstm: A search space odyssey.

IEEE transactions on neural networks and learning systems, 28(10):2222–2232, 2017.

References IV

Jack Hanson, Yuedong Yang, Kuldip Paliwal, and Yaoqi Zhou. Improving protein disorder prediction by deep bidirectional long short-term memory recurrent neural networks. *Bioinformatics*, 33(5):685–692, 2016.

Ming Liang and Xiaolin Hu.

Recurrent convolutional neural network for object recognition.

In Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition, pages 3367–3375, 2015.

Xin-She Yang and Amir Hossein Gandomi. Bat algorithm: a novel approach for global engineering optimization.

Engineering Computations, 29(5):464–483, 2012.

References V



Seyedali Mirjalili, Seyed Mohammad Mirjalili, and Andrew Lewis.

Grey wolf optimizer.

Advances in engineering software, 69:46-61, 2014.

2014_IPG-082-MTP-PPT-PLAG

ORIGIN	ALITY REPORT				
7 SIMILA	% ARITY INDEX	2% INTERNET SOURCES	3% PUBLICATIONS	7 % STUDENT PAP	ERS
PRIMAF	RY SOURCES				
1	Submitte Student Paper	ed to Punjab Tec	hnical Universi	ty	2%
2	Dinh Tuy harmonic inverters 2015 9th	oc Dzung, Nguy en, Hong-Hee L c elimination for using Grey Wol International Co cs and ECCE A	ee. "Selective cascaded mult f Optimizer alg onference on P	ilevel orithm", ower	1%
3	Submitte Student Paper	ed to University (College London		1%
4	www.nat				1%
5	Submitte Student Paper	ed to Monash Un	iversity	<	<1%
6	Submitte Student Paper	ed to The Univers	sity of Manches	ster <	<1%

Shankho Subhra Pal. "Grey Wolf Optimization

Submitted to University of Warwick Student Paper Submitted to Rochester Institute of Technology Student Paper Submitted to King's College Student Paper Submitted to Universiti Teknologi MARA Student Paper Submitted to City University Student Paper Submitted to City University Student Paper Submitted to Universiti Sains Malaysia Student Paper Submitted to Universiti Sains Malaysia Student Paper Submitted to Kuwait University Student Paper Submitted to University of Melbourne Student Paper		Trained Feed Foreword Neural Network for Breast Cancer Classification", International Journal of Applied Industrial Engineering, 2018 Publication	<1%
Submitted to King's College Student Paper Submitted to Universiti Teknologi MARA Student Paper Submitted to City University Student Paper Submitted to City University Student Paper Submitted to Universiti Sains Malaysia Student Paper Submitted to Kuwait University Student Paper Submitted to University of Melbourne Student Paper Submitted to University of Melbourne Student Paper Submitted to University of Melbourne Student Paper	8	· · · · · · · · · · · · · · · · · · ·	<1%
Submitted to Universiti Teknologi MARA Student Paper Submitted to City University Student Paper Submitted to City University Student Paper Submitted to Universiti Sains Malaysia Student Paper Submitted to Universiti Sains Malaysia Student Paper Submitted to Kuwait University Student Paper Submitted to University of Melbourne Student Paper Submitted to University of Melbourne Student Paper Submitted to University of Melbourne Student Paper	9		<1%
Submitted to City University Student Paper 13 www.analyticsvidhya.com Internet Source 14 Submitted to Universiti Sains Malaysia Student Paper 15 Submitted to Kuwait University Student Paper 16 Submitted to University of Melbourne Student Paper 17 Submitted to IIT Delhi	10		<1%
www.analyticsvidhya.com Internet Source 13 www.analyticsvidhya.com Internet Source 14 Submitted to Universiti Sains Malaysia Student Paper 15 Submitted to Kuwait University Student Paper 16 Submitted to University of Melbourne Student Paper 17 Submitted to IIT Delhi 18 Submitted to IIT Delhi	11		<1%
Submitted to Universiti Sains Malaysia Student Paper Submitted to Kuwait University Student Paper Submitted to University of Melbourne Student Paper Submitted to University of Melbourne Student Paper Submitted to IIT Delhi	12		<1%
Submitted to Kuwait University Student Paper Submitted to University of Melbourne Student Paper Submitted to IIT Delhi Submitted to IIT Delhi	13		<1%
Submitted to University of Melbourne Student Paper Submitted to IIT Delhi Submitted to IIT Delhi	14	· · · · · · · · · · · · · · · · · · ·	<1%
Submitted to IIT Delhi	15	· · · · · · · · · · · · · · · · · · ·	<1%
\ 0/	16	· · · · · · · · · · · · · · · · · · ·	<1%
	17		<1%

Exclude quotes Off Exclude matches Off

Exclude bibliography On