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INTRODUCTION

Each of the past three centuries was dominated by a single new technology. The 18th century was the era of the great mechanical systems accompanying the Industrial Revolution. The 19th century was the age of the steam engine. During the 20th century, the key technology was information gathering, processing, and distribution. Among other developments, we saw the installation of worldwide telephone networks, the invention of radio and television, the birth and unprecedented growth of the computer industry, the launching of communication satellites, and, of course, the Internet.

As a result of rapid technological progress, these areas are rapidly converging in the 21st century and the differences between collecting, transporting, storing, and processing information are quickly disappearing. Organizations with hundreds of offices spread over a wide geographical area routinely expect to be able to examine the current status of even their most remote outpost at the push of a button. As our ability to gather, process, and distribute information grows, the demand for ever more sophisticated information processing grows even faster.

Although the computer industry is still young compared to other industries (e.g., automobiles and air transportation), computers have made spectacular progress in a short time. During the first two decades of their existence, computer systems were highly centralized, usually within a single large room. Not infrequently, this room had glass walls, through which visitors could gawk at the great electronic wonder inside. A medium-sized company or university might have had

one or two computers, while very large institutions had at most a few dozen. The idea that within forty years vastly more powerful computers smaller than postage stamps would be mass produced by the billions was pure science fiction.

The merging of computers and communications has had a profound influence on the way computer systems are organized. The once-dominant concept of the ''computer center'' as a room with a large computer to which users bring their work for processing is now totally obsolete (although data centers holding thousands of Internet servers are becoming common). The old model of a single computer serving all of the organization's computational needs has been replaced by one in which a large number of separate but interconnected computers do the job. These systems are called **computer networks**. The design and organization of these networks are the subjects of this book.

Throughout the book we will use the term ''computer network'' to mean a collection of autonomous computers interconnected by a single technology. Two computers are said to be interconnected if they are able to exchange information. The connection need not be via a copper wire; fiber optics, microwaves, infrared, and communication satellites can also be used. Networks come in many sizes, shapes and forms, as we will see later. They are usually connected together to make larger networks, with the **Internet** being the most well-known example of a network of networks.

There is considerable confusion in the literature between a computer network and a **distributed system**. The key distinction is that in a distributed system, a collection of independent computers appears to its users as a single coherent system. Usually, it has a single model or paradigm that it presents to the users. Often a layer of software on top of the operating system, called **middleware**, is responsible for implementing this model. A well-known example of a distributed system is the **World Wide Web**. It runs on top of the Internet and presents a model in which everything looks like a document (Web page).

In a computer network, this coherence, model, and software are absent. Users are exposed to the actual machines, without any attempt by the system to make the machines look and act in a coherent way. If the machines have different hardware and different operating systems, that is fully visible to the users. If a user wants to run a program on a remote machine, he† has to log onto that machine and run it there.

In effect, a distributed system is a software system built on top of a network. The software gives it a high degree of cohesiveness and transparency. Thus, the distinction between a network and a distributed system lies with the software (especially the operating system), rather than with the hardware.

Nevertheless, there is considerable overlap between the two subjects. For example, both distributed systems and computer networks need to move files around. The difference lies in who invokes the movement, the system or the user.

† ''He'' should be read as ''he or she'' throughout this book.

Although this book primarily focuses on networks, many of the topics are also important in distributed systems. For more information about distributed systems, see Tanenbaum and Van Steen (2007).

1.1 USES OF COMPUTER NETWORKS

Before we start to examine the technical issues in detail, it is worth devoting some time to pointing out why people are interested in computer networks and what they can be used for. After all, if nobody were interested in computer networks, few of them would be built. We will start with traditional uses at companies, then move on to home networking and recent developments regarding mobile users, and finish with social issues.

1.1.1 Business Applications

Most companies have a substantial number of computers. For example, a company may have a computer for each worker and use them to design products, write brochures, and do the payroll. Initially, some of these computers may have worked in isolation from the others, but at some point, management may have decided to connect them to be able to distribute information throughout the company.

Put in slightly more general form, the issue here is **resource sharing**. The goal is to make all programs, equipment, and especially data available to anyone on the network without regard to the physical location of the resource or the user. An obvious and widespread example is having a group of office workers share a common printer. None of the individuals really needs a private printer, and a high-volume networked printer is often cheaper, faster, and easier to maintain than a large collection of individual printers.

However, probably even more important than sharing physical resources such as printers, and tape backup systems, is sharing information. Companies small and large are vitally dependent on computerized information. Most companies have customer records, product information, inventories, financial statements, tax information, and much more online. If all of its computers suddenly went down, a bank could not last more than five minutes. A modern manufacturing plant, with a computer-controlled assembly line, would not last even 5 seconds. Even a small travel agency or three-person law firm is now highly dependent on computer networks for allowing employees to access relevant information and documents instantly.

For smaller companies, all the computers are likely to be in a single office or perhaps a single building, but for larger ones, the computers and employees may be scattered over dozens of offices and plants in many countries. Nevertheless, a sales person in New York might sometimes need access to a product inventory

database in Singapore. Networks called **VPNs** (**Virtual Private Networks**) may be used to join the individual networks at different sites into one extended network. In other words, the mere fact that a user happens to be 15,000 km away from his data should not prevent him from using the data as though they were local. This goal may be summarized by saying that it is an attempt to end the "tyranny of geography."

In the simplest of terms, one can imagine a company's information system as consisting of one or more databases with company information and some number of employees who need to access them remotely. In this model, the data are stored on powerful computers called **servers**. Often these are centrally housed and maintained by a system administrator. In contrast, the employees have simpler machines, called **clients**, on their desks, with which they access remote data, for example, to include in spreadsheets they are constructing. (Sometimes we will refer to the human user of the client machine as the "client," but it should be clear from the context whether we mean the computer or its user.) The client and server machines are connected by a network, as illustrated in Fig. 1-1. Note that we have shown the network as a simple oval, without any detail. We will use this form when we mean a network in the most abstract sense. When more detail is required, it will be provided.

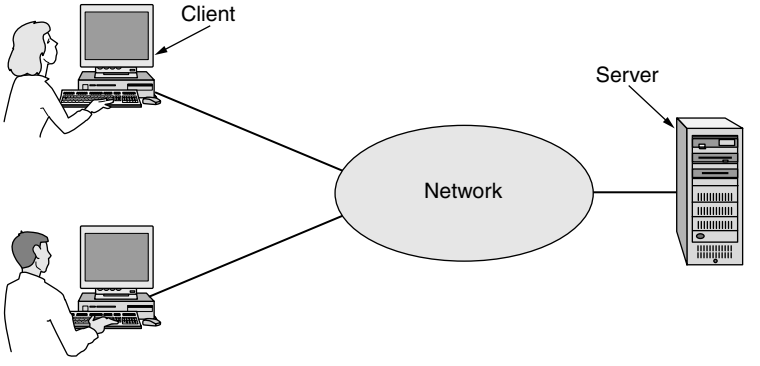

Figure 1-1. A network with two clients and one server.

This whole arrangement is called the **client-server model**. It is widely used and forms the basis of much network usage. The most popular realization is that of a **Web application**, in which the server generates Web pages based on its database in response to client requests that may update the database. The client-server model is applicable when the client and server are both in the same building (and belong to the same company), but also when they are far apart. For example, when a person at home accesses a page on the World Wide Web, the same model is employed, with the remote Web server being the server and the user's personal

computer being the client. Under most conditions, one server can handle a large number (hundreds or thousands) of clients simultaneously.

If we look at the client-server model in detail, we see that two processes (i.e., running programs) are involved, one on the client machine and one on the server machine. Communication takes the form of the client process sending a message over the network to the server process. The client process then waits for a reply message. When the server process gets the request, it performs the requested work or looks up the requested data and sends back a reply. These messages are shown in Fig. 1-2.

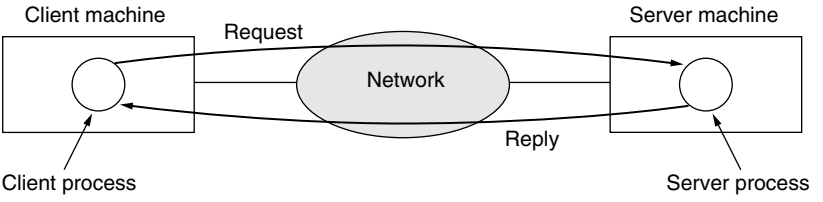

Figure 1-2. The client-server model involves requests and replies.

A second goal of setting up a computer network has to do with people rather than information or even computers. A computer network can provide a powerful **communication medium** among employees. Virtually every company that has two or more computers now has **email** (**electronic mail**), which employees generally use for a great deal of daily communication. In fact, a common gripe around the water cooler is how much email everyone has to deal with, much of it quite meaningless because bosses have discovered that they can send the same (often content-free) message to all their subordinates at the push of a button.

Telephone calls between employees may be carried by the computer network instead of by the phone company. This technology is called **IP telephony** or **Voice over IP** (**VoIP**) when Internet technology is used. The microphone and speaker at each end may belong to a VoIP-enabled phone or the employee's computer. Companies find this a wonderful way to save on their telephone bills.

Other, richer forms of communication are made possible by computer networks. Video can be added to audio so that employees at distant locations can see and hear each other as they hold a meeting. This technique is a powerful tool for eliminating the cost and time previously devoted to travel. **Desktop sharing** lets remote workers see and interact with a graphical computer screen. This makes it easy for two or more people who work far apart to read and write a shared blackboard or write a report together. When one worker makes a change to an online document, the others can see the change immediately, instead of waiting several days for a letter. Such a speedup makes cooperation among far-flung groups of people easy where it previously had been impossible. More ambitious forms of remote coordination such as telemedicine are only now starting to be used (e.g.,

remote patient monitoring) but may become much more important. It is sometimes said that communication and transportation are having a race, and whichever wins will make the other obsolete.

A third goal for many companies is doing business electronically, especially with customers and suppliers. This new model is called **e-commerce** (**electronic commerce**) and it has grown rapidly in recent years. Airlines, bookstores, and other retailers have discovered that many customers like the convenience of shopping from home. Consequently, many companies provide catalogs of their goods and services online and take orders online. Manufacturers of automobiles, aircraft, and computers, among others, buy subsystems from a variety of suppliers and then assemble the parts. Using computer networks, manufacturers can place orders electronically as needed. This reduces the need for large inventories and enhances efficiency.

1.1.2 Home Applications

In 1977, Ken Olsen was president of the Digital Equipment Corporation, then the number two computer vendor in the world (after IBM). When asked why Digital was not going after the personal computer market in a big way, he said: "There is no reason for any individual to have a computer in his home." History showed otherwise and Digital no longer exists. People initially bought computers for word processing and games. Recently, the biggest reason to buy a home computer was probably for Internet access. Now, many consumer electronic devices, such as set-top boxes, game consoles, and clock radios, come with embedded computers and computer networks, especially wireless networks, and home networks are broadly used for entertainment, including listening to, looking at, and creating music, photos, and videos.

Internet access provides home users with **connectivity** to remote computers. As with companies, home users can access information, communicate with other people, and buy products and services with e-commerce. The main benefit now comes from connecting outside of the home. Bob Metcalfe, the inventor of Ethernet, hypothesized that the value of a network is proportional to the square of the number of users because this is roughly the number of different connections that may be made (Gilder, 1993). This hypothesis is known as "Metcalfe's law." It helps to explain how the tremendous popularity of the Internet comes from its size.

Access to remote information comes in many forms. It can be surfing the World Wide Web for information or just for fun. Information available includes the arts, business, cooking, government, health, history, hobbies, recreation, science, sports, travel, and many others. Fun comes in too many ways to mention, plus some ways that are better left unmentioned.

Many newspapers have gone online and can be personalized. For example, it is sometimes possible to tell a newspaper that you want everything about corrupt

politicians, big fires, scandals involving celebrities, and epidemics, but no football, thank you. Sometimes it is possible to have the selected articles downloaded to your computer while you sleep. As this trend continues, it will cause massive unemployment among 12-year-old paperboys, but newspapers like it because distribution has always been the weakest link in the whole production chain. Of course, to make this model work, they will first have to figure out how to make money in this new world, something not entirely obvious since Internet users expect everything to be free.

The next step beyond newspapers (plus magazines and scientific journals) is the online digital library. Many professional organizations, such as the ACM (*www.acm.org*) and the IEEE Computer Society (*www.computer.org*), already have all their journals and conference proceedings online. Electronic book readers and online libraries may make printed books obsolete. Skeptics should take note of the effect the printing press had on the medieval illuminated manuscript.

Much of this information is accessed using the client-server model, but there is different, popular model for accessing information that goes by the name of **peer-to-peer** communication (Parameswaran et al., 2001). In this form, individuals who form a loose group can communicate with others in the group, as shown in Fig. 1-3. Every person can, in principle, communicate with one or more other people; there is no fixed division into clients and servers.

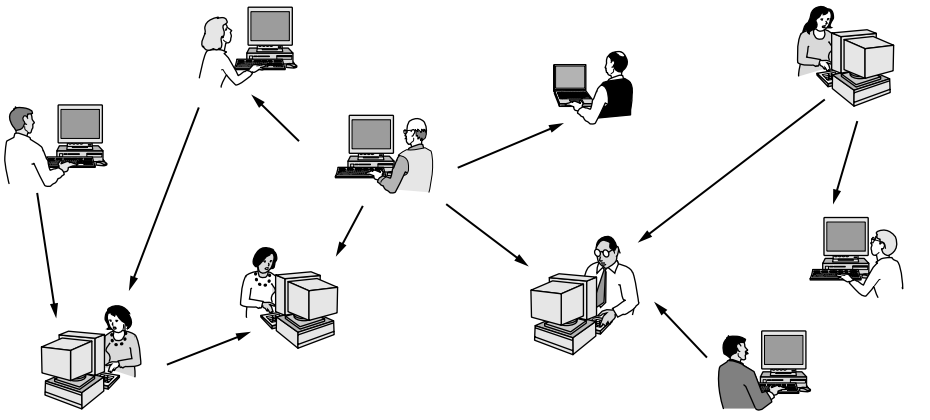

Figure 1-3. In a peer-to-peer system there are no fixed clients and servers.

Many peer-to-peer systems, such BitTorrent (Cohen, 2003), do not have any central database of content. Instead, each user maintains his own database locally and provides a list of other nearby people who are members of the system. A new user can then go to any existing member to see what he has and get the names of other members to inspect for more content and more names. This lookup process can be repeated indefinitely to build up a large local database of what is out there. It is an activity that would get tedious for people but computers excel at it.

Peer-to-peer communication is often used to share music and videos. It really hit the big time around 2000 with a music sharing service called Napster that was shut down after what was probably the biggest copyright infringement case in all of recorded history (Lam and Tan, 2001; and Macedonia, 2000). Legal applications for peer-to-peer communication also exist. These include fans sharing public domain music, families sharing photos and movies, and users downloading public software packages. In fact, one of the most popular Internet applications of all, email, is inherently peer-to-peer. This form of communication is likely to grow considerably in the future.

All of the above applications involve interactions between a person and a remote database full of information. The second broad category of network use is person-to-person communication, basically the 21st century's answer to the 19th century's telephone. E-mail is already used on a daily basis by millions of people all over the world and its use is growing rapidly. It already routinely contains audio and video as well as text and pictures. Smell may take a while.

Any teenager worth his or her salt is addicted to **instant messaging**. This facility, derived from the UNIX *talk* program in use since around 1970, allows two people to type messages at each other in real time. There are multi-person messaging services too, such as the **Twitter** service that lets people send short text messages called "tweets" to their circle of friends or other willing audiences.

The Internet can be used by applications to carry audio (e.g., Internet radio stations) and video (e.g., YouTube). Besides being a cheap way to call to distant friends, these applications can provide rich experiences such as telelearning, meaning attending 8 A.M. classes without the inconvenience of having to get out of bed first. In the long run, the use of networks to enhance human-to-human communication may prove more important than any of the others. It may become hugely important to people who are geographically challenged, giving them the same access to services as people living in the middle of a big city.

Between person-to-person communications and accessing information are **social network** applications. Here, the flow of information is driven by the relationships that people declare between each other. One of the most popular social networking sites is **Facebook**. It lets people update their personal profiles and shares the updates with other people who they have declared to be their friends. Other social networking applications can make introductions via friends of friends, send news messages to friends such as Twitter above, and much more.

Even more loosely, groups of people can work together to create content. A **wiki**, for example, is a collaborative Web site that the members of a community edit. The most famous wiki is the **Wikipedia**, an encyclopedia anyone can edit, but there are thousands of other wikis.

Our third category is electronic commerce in the broadest sense of the term. Home shopping is already popular and enables users to inspect the online catalogs of thousands of companies. Some of these catalogs are interactive, showing products from different viewpoints and in configurations that can be personalized.

After the customer buys a product electronically but cannot figure out how to use it, online technical support may be consulted.

Another area in which e-commerce is widely used is access to financial institutions. Many people already pay their bills, manage their bank accounts, and handle their investments electronically. This trend will surely continue as networks become more secure.

One area that virtually nobody foresaw is electronic flea markets (e-flea?). Online auctions of second-hand goods have become a massive industry. Unlike traditional e-commerce, which follows the client-server model, online auctions are peer-to-peer in the sense that consumers can act as both buyers and sellers.

Some of these forms of e-commerce have acquired cute little tags based on the fact that "to" and "2" are pronounced the same. The most popular ones are listed in Fig. 1-4.

Tag	Full name	Example
B2C	Business-to-consumer	Ordering books online
B2B	Business-to-business	Car manufacturer ordering tires from supplier
G2C	Government-to-consumer	Government distributing tax forms electronically
C2C	Consumer-to-consumer	Auctioning second-hand products online
P2P	Peer-to-peer	Music sharing

Figure 1-4. Some forms of e-commerce.

Our fourth category is entertainment. This has made huge strides in the home in recent years, with the distribution of music, radio and television programs, and movies over the Internet beginning to rival that of traditional mechanisms. Users can find, buy, and download MP3 songs and DVD-quality movies and add them to their personal collection. TV shows now reach many homes via **IPTV** (**IP TeleVision**) systems that are based on IP technology instead of cable TV or radio transmissions. Media streaming applications let users tune into Internet radio stations or watch recent episodes of their favorite TV shows. Naturally, all of this content can be moved around your house between different devices, displays and speakers, usually with a wireless network.

Soon, it may be possible to search for any movie or television program ever made, in any country, and have it displayed on your screen instantly. New films may become interactive, where the user is occasionally prompted for the story direction (should Macbeth murder Duncan or just bide his time?) with alternative scenarios provided for all cases. Live television may also become interactive, with the audience participating in quiz shows, choosing among contestants, and so on.

Another form of entertainment is game playing. Already we have multiperson real-time simulation games, like hide-and-seek in a virtual dungeon, and flight

simulators with the players on one team trying to shoot down the players on the opposing team. Virtual worlds provide a persistent setting in which thousands of users can experience a shared reality with three-dimensional graphics.

Our last category is **ubiquitous computing**, in which computing is embedded into everyday life, as in the vision of Mark Weiser (1991). Many homes are already wired with security systems that include door and window sensors, and there are many more sensors that can be folded in to a smart home monitor, such as energy consumption. Your electricity, gas and water meters could also report usage over the network. This would save money as there would be no need to send out meter readers. And your smoke detectors could call the fire department instead of making a big noise (which has little value if no one is home). As the cost of sensing and communication drops, more and more measurement and reporting will be done with networks.

Increasingly, consumer electronic devices are networked. For example, some high-end cameras already have a wireless network capability and use it to send photos to a nearby display for viewing. Professional sports photographers can also send their photos to their editors in real-time, first wirelessly to an access point then over the Internet. Devices such as televisions that plug into the wall can use **power-line networks** to send information throughout the house over the wires that carry electricity. It may not be very surprising to have these objects on the network, but objects that we do not think of as computers may sense and communicate information too. For example, your shower may record water usage, give you visual feedback while you lather up, and report to a home environmental monitoring application when you are done to help save on your water bill.

A technology called **RFID** (**Radio Frequency IDentification**) will push this idea even further in the future. RFID tags are passive (i.e., have no battery) chips the size of stamps and they can already be affixed to books, passports, pets, credit cards, and other items in the home and out. This lets RFID readers locate and communicate with the items over a distance of up to several meters, depending on the kind of RFID. Originally, RFID was commercialized to replace barcodes. It has not succeeded yet because barcodes are free and RFID tags cost a few cents. Of course, RFID tags offer much more and their price is rapidly declining. They may turn the real world into the Internet of things (ITU, 2005).

1.1.3 Mobile Users

Mobile computers, such as laptop and handheld computers, are one of the fastest-growing segments of the computer industry. Their sales have already overtaken those of desktop computers. Why would anyone want one? People on the go often want to use their mobile devices to read and send email, tweet, watch movies, download music, play games, or simply to surf the Web for information. They want to do all of the things they do at home and in the office. Naturally, they want to do them from anywhere on land, sea or in the air.

Connectivity to the Internet enables many of these mobile uses. Since having a wired connection is impossible in cars, boats, and airplanes, there is a lot of interest in wireless networks. Cellular networks operated by the telephone companies are one familiar kind of wireless network that blankets us with coverage for mobile phones. Wireless **hotspots** based on the 802.11 standard are another kind of wireless network for mobile computers. They have sprung up everywhere that people go, resulting in a patchwork of coverage at cafes, hotels, airports, schools, trains and planes. Anyone with a laptop computer and a wireless modem can just turn on their computer on and be connected to the Internet through the hotspot, as though the computer were plugged into a wired network.

Wireless networks are of great value to fleets of trucks, taxis, delivery vehicles, and repairpersons for keeping in contact with their home base. For example, in many cities, taxi drivers are independent businessmen, rather than being employees of a taxi company. In some of these cities, the taxis have a display the driver can see. When a customer calls up, a central dispatcher types in the pickup and destination points. This information is displayed on the drivers' displays and a beep sounds. The first driver to hit a button on the display gets the call.

Wireless networks are also important to the military. If you have to be able to fight a war anywhere on Earth at short notice, counting on using the local networking infrastructure is probably not a good idea. It is better to bring your own.

Although wireless networking and mobile computing are often related, they are not identical, as Fig. 1-5 shows. Here we see a distinction between **fixed wireless** and **mobile wireless** networks. Even notebook computers are sometimes wired. For example, if a traveler plugs a notebook computer into the wired network jack in a hotel room, he has mobility without a wireless network.

Wireless	Mobile	Typical applications
No	No	Desktop computers in offices
No	Yes	A notebook computer used in a hotel room
Yes	No	Networks in unwired buildings
Yes	Yes	Store inventory with a handheld computer

Figure 1-5. Combinations of wireless networks and mobile computing.

Conversely, some wireless computers are not mobile. In the home, and in offices or hotels that lack suitable cabling, it can be more convenient to connect desktop computers or media players wirelessly than to install wires. Installing a wireless network may require little more than buying a small box with some electronics in it, unpacking it, and plugging it in. This solution may be far cheaper than having workmen put in cable ducts to wire the building.

Finally, there are also true mobile, wireless applications, such as people walking around stores with a handheld computers recording inventory. At many busy

airports, car rental return clerks work in the parking lot with wireless mobile computers. They scan the barcodes or RFID chips of returning cars, and their mobile device, which has a built-in printer, calls the main computer, gets the rental information, and prints out the bill on the spot.

Perhaps the key driver of mobile, wireless applications is the mobile phone. **Text messaging** or **texting** is tremendously popular. It lets a mobile phone user type a short message that is then delivered by the cellular network to another mobile subscriber. Few people would have predicted ten years ago that having teenagers tediously typing short text messages on mobile phones would be an immense money maker for telephone companies. But texting (or **Short Message Service** as it is known outside the U.S.) is very profitable since it costs the carrier but a tiny fraction of one cent to relay a text message, a service for which they charge far more.

The long-awaited convergence of telephones and the Internet has finally arrived, and it will accelerate the growth of mobile applications. **Smart phones**, such as the popular iPhone, combine aspects of mobile phones and mobile computers. The (3G and 4G) cellular networks to which they connect can provide fast data services for using the Internet as well as handling phone calls. Many advanced phones connect to wireless hotspots too, and automatically switch between networks to choose the best option for the user.

Other consumer electronics devices can also use cellular and hotspot networks to stay connected to remote computers. Electronic book readers can download a newly purchased book or the next edition of a magazine or today's newspaper wherever they roam. Electronic picture frames can update their displays on cue with fresh images.

Since mobile phones know their locations, often because they are equipped with **GPS** (**Global Positioning System**) receivers, some services are intentionally location dependent. Mobile maps and directions are an obvious candidate as your GPS-enabled phone and car probably have a better idea of where you are than you do. So, too, are searches for a nearby bookstore or Chinese restaurant, or a local weather forecast. Other services may record location, such as annotating photos and videos with the place at which they were made. This annotation is known as "geo-tagging."

An area in which mobile phones are now starting to be used is **m-commerce** (**mobile-commerce**) (Senn, 2000). Short text messages from the mobile are used to authorize payments for food in vending machines, movie tickets, and other small items instead of cash and credit cards. The charge then appears on the mobile phone bill. When equipped with **NFC** (**Near Field Communication**) technology the mobile can act as an RFID smartcard and interact with a nearby reader for payment. The driving forces behind this phenomenon are the mobile device makers and network operators, who are trying hard to figure out how to get a piece of the e-commerce pie. From the store's point of view, this scheme may save them most of the credit card company's fee, which can be several percent.

Of course, this plan may backfire, since customers in a store might use the RFID or barcode readers on their mobile devices to check out competitors' prices before buying and use them to get a detailed report on where else an item can be purchased nearby and at what price.

One huge thing that m-commerce has going for it is that mobile phone users are accustomed to paying for everything (in contrast to Internet users, who expect everything to be free). If an Internet Web site charged a fee to allow its customers to pay by credit card, there would be an immense howling noise from the users. If, however, a mobile phone operator its customers to pay for items in a store by waving the phone at the cash register and then tacked on a fee for this convenience, it would probably be accepted as normal. Time will tell.

No doubt the uses of mobile and wireless computers will grow rapidly in the future as the size of computers shrinks, probably in ways no one can now foresee. Let us take a quick look at some possibilities. **Sensor networks** are made up of nodes that gather and wirelessly relay information they sense about the state of the physical world. The nodes may be part of familiar items such as cars or phones, or they may be small separate devices. For example, your car might gather data on its location, speed, vibration, and fuel efficiency from its on-board diagnostic system and upload this information to a database (Hull et al., 2006). Those data can help find potholes, plan trips around congested roads, and tell you if you are a ''gas guzzler'' compared to other drivers on the same stretch of road.

Sensor networks are revolutionizing science by providing a wealth of data on behavior that could not previously be observed. One example is tracking the migration of individual zebras by placing a small sensor on each animal (Juang et al., 2002). Researchers have packed a wireless computer into a cube 1 mm on edge (Warneke et al., 2001). With mobile computers this small, even small birds, rodents, and insects can be tracked.

Even mundane uses, such as in parking meters, can be significant because they make use of data that were not previously available. Wireless parking meters can accept credit or debit card payments with instant verification over the wireless link. They can also report when they are in use over the wireless network. This would let drivers download a recent parking map to their car so they can find an available spot more easily. Of course, when a meter expires, it might also check for the presence of a car (by bouncing a signal off it) and report the expiration to parking enforcement. It has been estimated that city governments in the U.S. alone could collect an additional $10 billion this way (Harte et al., 2000).

Wearable computers are another promising application. Smart watches with radios have been part of our mental space since their appearance in the Dick Tracy comic strip in 1946; now you can buy them. Other such devices may be implanted, such as pacemakers and insulin pumps. Some of these can be controlled over a wireless network. This lets doctors test and reconfigure them more easily. It could also lead to some nasty problems if the devices are as insecure as the average PC and can be hacked easily (Halperin et al., 2008).

1.1.4 Social Issues

Computer networks, like the printing press 500 years ago, allow ordinary citizens to distribute and view content in ways that were not previously possible. But along with the good comes the bad, as this new-found freedom brings with it many unsolved social, political, and ethical issues. Let us just briefly mention a few of them; a thorough study would require a full book, at least.

Social networks, message boards, content sharing sites, and a host of other applications allow people to share their views with like-minded individuals. As long as the subjects are restricted to technical topics or hobbies like gardening, not too many problems will arise.

The trouble comes with topics that people actually care about, like politics, religion, or sex. Views that are publicly posted may be deeply offensive to some people. Worse yet, they may not be politically correct. Furthermore, opinions need not be limited to text; high-resolution color photographs and video clips are easily shared over computer networks. Some people take a live-and-let-live view, but others feel that posting certain material (e.g., verbal attacks on particular countries or religions, pornography, etc.) is simply unacceptable and that such content must be censored. Different countries have different and conflicting laws in this area. Thus, the debate rages.

In the past, people have sued network operators, claiming that they are responsible for the contents of what they carry, just as newspapers and magazines are. The inevitable response is that a network is like a telephone company or the post office and cannot be expected to police what its users say.

It should now come only as a slight surprise to learn that some network operators block content for their own reasons. Some users of peer-to-peer applications had their network service cut off because the network operators did not find it profitable to carry the large amounts of traffic sent by those applications. Those same operators would probably like to treat different companies differently. If you are a big company and pay well then you get good service, but if you are a small-time player, you get poor service. Opponents of this practice argue that peer-to-peer and other content should be treated in the same way because they are all just bits to the network. This argument for communications that are not differentiated by their content or source or who is providing the content is known as **network neutrality** (Wu, 2003). It is probably safe to say that this debate will go on for a while.

Many other parties are involved in the tussle over content. For instance, pirated music and movies fueled the massive growth of peer-to-peer networks, which did not please the copyright holders, who have threatened (and sometimes taken) legal action. There are now automated systems that search peer-to-peer networks and fire off warnings to network operators and users who are suspected of infringing copyright. In the United States, these warnings are known as **DMCA takedown notices** after the **Digital Millennium Copyright Act**. This

search is an arms' race because it is hard to reliably catch copyright infringement. Even your printer might be mistaken for a culprit (Piatek et al., 2008).

Computer networks make it very easy to communicate. They also make it easy for the people who run the network to snoop on the traffic. This sets up conflicts over issues such as employee rights versus employer rights. Many people read and write email at work. Many employers have claimed the right to read and possibly censor employee messages, including messages sent from a home computer outside working hours. Not all employees agree with this, especially the latter part.

Another conflict is centered around government versus citizen's rights. The FBI has installed systems at many Internet service providers to snoop on all incoming and outgoing email for nuggets of interest. One early system was originally called Carnivore, but bad publicity caused it to be renamed to the more innocent-sounding DCS1000 (Blaze and Bellovin, 2000; Sobel, 2001; and Zacks, 2001). The goal of such systems is to spy on millions of people in the hope of perhaps finding information about illegal activities. Unfortunately for the spies, the Fourth Amendment to the U.S. Constitution prohibits government searches without a search warrant, but the government often ignores it.

Of course, the government does not have a monopoly on threatening people's privacy. The private sector does its bit too by **profiling** users. For example, small files called **cookies** that Web browsers store on users' computers allow companies to track users' activities in cyberspace and may also allow credit card numbers, social security numbers, and other confidential information to leak all over the Internet (Berghel, 2001). Companies that provide Web-based services may maintain large amounts of personal information about their users that allows them to study user activities directly. For example, Google can read your email and show you advertisements based on your interests if you use its email service, **Gmail**.

A new twist with mobile devices is location privacy (Beresford and Stajano, 2003). As part of the process of providing service to your mobile device the network operators learn where you are at different times of day. This allows them to track your movements. They may know which nightclub you frequent and which medical center you visit.

Computer networks also offer the potential to increase privacy by sending anonymous messages. In some situations, this capability may be desirable. Beyond preventing companies from learning your habits, it provides, for example, a way for students, soldiers, employees, and citizens to blow the whistle on illegal behavior on the part of professors, officers, superiors, and politicians without fear of reprisals. On the other hand, in the United States and most other democracies, the law specifically permits an accused person the right to confront and challenge his accuser in court so anonymous accusations cannot be used as evidence.

The Internet makes it possible to find information quickly, but a great deal of it is ill considered, misleading, or downright wrong. That medical advice you

plucked from the Internet about the pain in your chest may have come from a Nobel Prize winner or from a high-school dropout.

Other information is frequently unwanted. Electronic junk mail (spam) has become a part of life because spammers have collected millions of email addresses and would-be marketers can cheaply send computer-generated messages to them. The resulting flood of spam rivals the flow messages from real people. Fortunately, filtering software is able to read and discard the spam generated by other computers, with lesser or greater degrees of success.

Still other content is intended for criminal behavior. Web pages and email messages containing active content (basically, programs or macros that execute on the receiver's machine) can contain viruses that take over your computer. They might be used to steal your bank account passwords, or to have your computer send spam as part of a **botnet** or pool of compromised machines.

Phishing messages masquerade as originating from a trustworthy party, for example, your bank, to try to trick you into revealing sensitive information, for example, credit card numbers. Identity theft is becoming a serious problem as thieves collect enough information about a victim to obtain credit cards and other documents in the victim's name.

It can be difficult to prevent computers from impersonating people on the Internet. This problem has led to the development of **CAPTCHAs**, in which a computer asks a person to solve a short recognition task, for example, typing in the letters shown in a distorted image, to show that they are human (von Ahn, 2001). This process is a variation on the famous Turing test in which a person asks questions over a network to judge whether the entity responding is human.

A lot of these problems could be solved if the computer industry took computer security seriously. If all messages were encrypted and authenticated, it would be harder to commit mischief. Such technology is well established and we will study it in detail in Chap. 8. The problem is that hardware and software vendors know that putting in security features costs money and their customers are not demanding such features. In addition, a substantial number of the problems are caused by buggy software, which occurs because vendors keep adding more and more features to their programs, which inevitably means more code and thus more bugs. A tax on new features might help, but that might be a tough sell in some quarters. A refund for defective software might be nice, except it would bankrupt the entire software industry in the first year.

Computer networks raise new legal problems when they interact with old laws. Electronic gambling provides an example. Computers have been simulating things for decades, so why not simulate slot machines, roulette wheels, blackjack dealers, and more gambling equipment? Well, because it is illegal in a lot of places. The trouble is, gambling is legal in a lot of other places (England, for example) and casino owners there have grasped the potential for Internet gambling. What happens if the gambler, the casino, and the server are all in different countries, with conflicting laws? Good question.

1.2 NETWORK HARDWARE

It is now time to turn our attention from the applications and social aspects of networking (the dessert) to the technical issues involved in network design (the spinach). There is no generally accepted taxonomy into which all computer networks fit, but two dimensions stand out as important: transmission technology and scale. We will now examine each of these in turn.

Broadly speaking, there are two types of transmission technology that are in widespread use: **broadcast** links and **point-to-point** links.

Point-to-point links connect individual pairs of machines. To go from the source to the destination on a network made up of point-to-point links, short messages, called **packets** in certain contexts, may have to first visit one or more intermediate machines. Often multiple routes, of different lengths, are possible, so finding good ones is important in point-to-point networks. Point-to-point transmission with exactly one sender and exactly one receiver is sometimes called **unicasting**.

In contrast, on a broadcast network, the communication channel is shared by all the machines on the network; packets sent by any machine are received by all the others. An address field within each packet specifies the intended recipient. Upon receiving a packet, a machine checks the address field. If the packet is intended for the receiving machine, that machine processes the packet; if the packet is intended for some other machine, it is just ignored.

A wireless network is a common example of a broadcast link, with communication shared over a coverage region that depends on the wireless channel and the transmitting machine. As an analogy, consider someone standing in a meeting room and shouting "Watson, come here. I want you." Although the packet may actually be received (heard) by many people, only Watson will respond; the others just ignore it.

Broadcast systems usually also allow the possibility of addressing a packet to *all* destinations by using a special code in the address field. When a packet with this code is transmitted, it is received and processed by every machine on the network. This mode of operation is called **broadcasting**. Some broadcast systems also support transmission to a subset of the machines, which known as **multicasting**.

An alternative criterion for classifying networks is by scale. Distance is important as a classification metric because different technologies are used at different scales.

In Fig. 1-6 we classify multiple processor systems by their rough physical size. At the top are the personal area networks, networks that are meant for one person. Beyond these come longer-range networks. These can be divided into local, metropolitan, and wide area networks, each with increasing scale. Finally, the connection of two or more networks is called an internetwork. The worldwide Internet is certainly the best-known (but not the only) example of an internetwork.

Soon we will have even larger internetworks with the **Interplanetary Internet** that connects networks across space (Burleigh et al., 2003).

Interprocessor distance	Processors located in same	Example
1 m	Square meter	Personal area network
10 m	Room	Local area network
100 m	Building	
1 km	Campus	
10 km	City	Metropolitan area network
100 km	Country	Wide area network
1000 km	Continent	
10,000 km	Planet	The Internet

Figure 1-6. Classification of interconnected processors by scale.

In this book we will be concerned with networks at all these scales. In the following sections, we give a brief introduction to network hardware by scale.

1.2.1 Personal Area Networks

PANs (**Personal Area Networks**) let devices communicate over the range of a person. A common example is a wireless network that connects a computer with its peripherals. Almost every computer has an attached monitor, keyboard, mouse, and printer. Without using wireless, this connection must be done with cables. So many new users have a hard time finding the right cables and plugging them into the right little holes (even though they are usually color coded) that most computer vendors offer the option of sending a technician to the user's home to do it. To help these users, some companies got together to design a short-range wireless network called **Bluetooth** to connect these components without wires. The idea is that if your devices have Bluetooth, then you need no cables. You just put them down, turn them on, and they work together. For many people, this ease of operation is a big plus.

In the simplest form, Bluetooth networks use the master-slave paradigm of Fig. 1-7. The system unit (the PC) is normally the master, talking to the mouse, keyboard, etc., as slaves. The master tells the slaves what addresses to use, when they can broadcast, how long they can transmit, what frequencies they can use, and so on.

Bluetooth can be used in other settings, too. It is often used to connect a headset to a mobile phone without cords and it can allow your digital music player

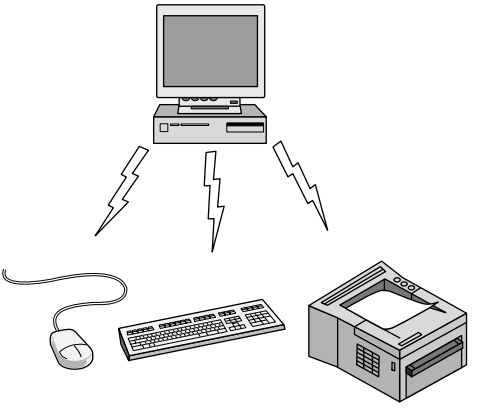

Figure 1-7. Bluetooth PAN configuration.

to connect to your car merely being brought within range. A completely different kind of PAN is formed when an embedded medical device such as a pacemaker, insulin pump, or hearing aid talks to a user-operated remote control. We will discuss Bluetooth in more detail in Chap. 4.

PANs can also be built with other technologies that communicate over short ranges, such as RFID on smartcards and library books. We will study RFID in Chap. 4.

1.2.2 Local Area Networks

The next step up is the **LAN** (**Local Area Network**). A LAN is a privately owned network that operates within and nearby a single building like a home, office or factory. LANs are widely used to connect personal computers and consumer electronics to let them share resources (e.g., printers) and exchange information. When LANs are used by companies, they are called **enterprise networks**.

Wireless LANs are very popular these days, especially in homes, older office buildings, cafeterias, and other places where it is too much trouble to install cables. In these systems, every computer has a radio modem and an antenna that it uses to communicate with other computers. In most cases, each computer talks to a device in the ceiling as shown in Fig. 1-8(a). This device, called an **AP** (**Access Point**), **wireless router**, or **base station**, relays packets between the wireless computers and also between them and the Internet. Being the AP is like being the popular kid as school because everyone wants to talk to you. However, if other computers are close enough, they can communicate directly with one another in a peer-to-peer configuration.

There is a standard for wireless LANs called **IEEE 802.11**, popularly known as **WiFi**, which has become very widespread. It runs at speeds anywhere from 11

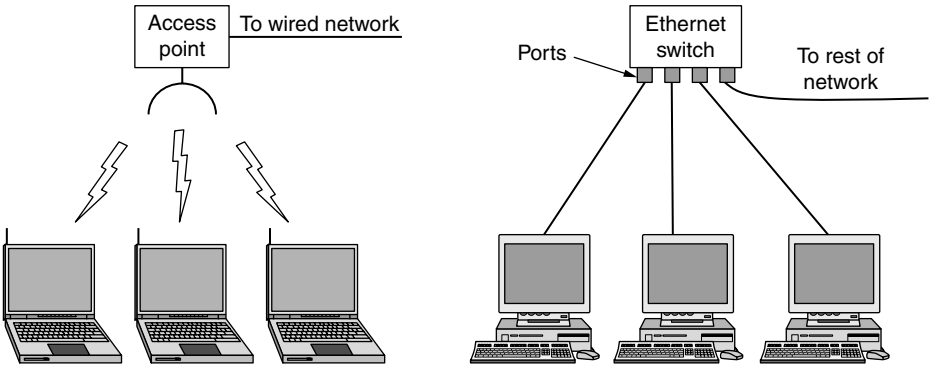

Figure 1-8. Wireless and wired LANs. (a) 802.11. (b) Switched Ethernet.

to hundreds of Mbps. (In this book we will adhere to tradition and measure line speeds in megabits/sec, where 1 Mbps is 1,000,000 bits/sec, and gigabits/sec, where 1 Gbps is 1,000,000,000 bits/sec.) We will discuss 802.11 in Chap. 4.

Wired LANs use a range of different transmission technologies. Most of them use copper wires, but some use optical fiber. LANs are restricted in size, which means that the worst-case transmission time is bounded and known in advance. Knowing these bounds helps with the task of designing network protocols. Typically, wired LANs run at speeds of 100 Mbps to 1 Gbps, have low delay (microseconds or nanoseconds), and make very few errors. Newer LANs can operate at up to 10 Gbps. Compared to wireless networks, wired LANs exceed them in all dimensions of performance. It is just easier to send signals over a wire or through a fiber than through the air.

The topology of many wired LANs is built from point-to-point links. IEEE 802.3, popularly called **Ethernet**, is, by far, the most common type of wired LAN. Fig. 1-8(b) shows a sample topology of **switched Ethernet**. Each computer speaks the Ethernet protocol and connects to a box called a **switch** with a point-to-point link. Hence the name. A switch has multiple **ports**, each of which can connect to one computer. The job of the switch is to relay packets between computers that are attached to it, using the address in each packet to determine which computer to send it to.

To build larger LANs, switches can be plugged into each other using their ports. What happens if you plug them together in a loop? Will the network still work? Luckily, the designers thought of this case. It is the job of the protocol to sort out what paths packets should travel to safely reach the intended computer. We will see how this works in Chap. 4.

It is also possible to divide one large physical LAN into two smaller logical LANs. You might wonder why this would be useful. Sometimes, the layout of the network equipment does not match the organization's structure. For example, the

engineering and finance departments of a company might have computers on the same physical LAN because they are in the same wing of the building but it might be easier to manage the system if engineering and finance logically each had its own network **Virtual LAN** or **VLAN**. In this design each port is tagged with a "color," say green for engineering and red for finance. The switch then forwards packets so that computers attached to the green ports are separated from the computers attached to the red ports. Broadcast packets sent on a red port, for example, will not be received on a green port, just as though there were two different LANs. We will cover VLANs at the end of Chap. 4.

There are other wired LAN topologies too. In fact, switched Ethernet is a modern version of the original Ethernet design that broadcast all the packets over a single linear cable. At most one machine could successfully transmit at a time, and a distributed arbitration mechanism was used to resolve conflicts. It used a simple algorithm: computers could transmit whenever the cable was idle. If two or more packets collided, each computer just waited a random time and tried later. We will call that version **classic Ethernet** for clarity, and as you suspected, you will learn about it in Chap. 4.

Both wireless and wired broadcast networks can be divided into static and dynamic designs, depending on how the channel is allocated. A typical static allocation would be to divide time into discrete intervals and use a round-robin algorithm, allowing each machine to broadcast only when its time slot comes up. Static allocation wastes channel capacity when a machine has nothing to say during its allocated slot, so most systems attempt to allocate the channel dynamically (i.e., on demand).

Dynamic allocation methods for a common channel are either centralized or decentralized. In the centralized channel allocation method, there is a single entity, for example, the base station in cellular networks, which determines who goes next. It might do this by accepting multiple packets and prioritizing them according to some internal algorithm. In the decentralized channel allocation method, there is no central entity; each machine must decide for itself whether to transmit. You might think that this approach would lead to chaos, but it does not. Later we will study many algorithms designed to bring order out of the potential chaos.

It is worth spending a little more time discussing LANs in the home. In the future, it is likely that every appliance in the home will be capable of communicating with every other appliance, and all of them will be accessible over the Internet. This development is likely to be one of those visionary concepts that nobody asked for (like TV remote controls or mobile phones), but once they arrived nobody can imagine how they lived without them.

Many devices are already capable of being networked. These include computers, entertainment devices such as TVs and DVDs, phones and other consumer electronics such as cameras, appliances like clock radios, and infrastructure like utility meters and thermostats. This trend will only continue. For instance, the average home probably has a dozen clocks (e.g., in appliances), all of which could

adjust to daylight savings time automatically if the clocks were on the Internet. Remote monitoring of the home is a likely winner, as many grown children would be willing to spend some money to help their aging parents live safely in their own homes.

While we could think of the home network as just another LAN, it is more likely to have different properties than other networks. First, the networked devices have to be very easy to install. Wireless routers are the most returned consumer electronic item. People buy one because they want a wireless network at home, find that it does not work "out of the box," and then return it rather than listen to elevator music while on hold on the technical helpline.

Second, the network and devices have to be foolproof in operation. Air conditioners used to have one knob with four settings: OFF, LOW, MEDIUM, and HIGH. Now they have 30-page manuals. Once they are networked, expect the chapter on security alone to be 30 pages. This is a problem because only computer users are accustomed to putting up with products that do not work; the car-, television-, and refrigerator-buying public is far less tolerant. They expect products to work 100% without the need to hire a geek.

Third, low price is essential for success. People will not pay a $50 premium for an Internet thermostat because few people regard monitoring their home temperature from work that important. For $5 extra, though, it might sell.

Fourth, it must be possible to start out with one or two devices and expand the reach of the network gradually. This means no format wars. Telling consumers to buy peripherals with IEEE 1394 (FireWire) interfaces and a few years later retracting that and saying USB 2.0 is the interface-of-the-month and then switching that to 802.11g—oops, no, make that 802.11n—I mean 802.16 (different wireless networks)—is going to make consumers very skittish. The network interface will have to remain stable for decades, like the television broadcasting standards.

Fifth, security and reliability will be very important. Losing a few files to an email virus is one thing; having a burglar disarm your security system from his mobile computer and then plunder your house is something quite different.

An interesting question is whether home networks will be wired or wireless. Convenience and cost favors wireless networking because there are no wires to fit, or worse, retrofit. Security favors wired networking because the radio waves that wireless networks use are quite good at going through walls. Not everyone is overjoyed at the thought of having the neighbors piggybacking on their Internet connection and reading their email. In Chap. 8 we will study how encryption can be used to provide security, but it is easier said than done with inexperienced users.

A third option that may be appealing is to reuse the networks that are already in the home. The obvious candidate is the electric wires that are installed throughout the house. **Power-line networks** let devices that plug into outlets broadcast information throughout the house. You have to plug in the TV anyway, and this way it can get Internet connectivity at the same time. The difficulty is

how to carry both power and data signals at the same time. Part of the answer is that they use different frequency bands.

In short, home LANs offer many opportunities and challenges. Most of the latter relate to the need for the networks to be easy to manage, dependable, and secure, especially in the hands of nontechnical users, as well as low cost.

1.2.3 Metropolitan Area Networks

A **MAN** (**Metropolitan Area Network**) covers a city. The best-known examples of MANs are the cable television networks available in many cities. These systems grew from earlier community antenna systems used in areas with poor over-the-air television reception. In those early systems, a large antenna was placed on top of a nearby hill and a signal was then piped to the subscribers' houses.

At first, these were locally designed, ad hoc systems. Then companies began jumping into the business, getting contracts from local governments to wire up entire cities. The next step was television programming and even entire channels designed for cable only. Often these channels were highly specialized, such as all news, all sports, all cooking, all gardening, and so on. But from their inception until the late 1990s, they were intended for television reception only.

When the Internet began attracting a mass audience, the cable TV network operators began to realize that with some changes to the system, they could provide two-way Internet service in unused parts of the spectrum. At that point, the cable TV system began to morph from simply a way to distribute television to a metropolitan area network. To a first approximation, a MAN might look something like the system shown in Fig. 1-9. In this figure we see both television signals and Internet being fed into the centralized **cable headend** for subsequent distribution to people's homes. We will come back to this subject in detail in Chap. 2.

Cable television is not the only MAN, though. Recent developments in high-speed wireless Internet access have resulted in another MAN, which has been standardized as IEEE 802.16 and is popularly known as **WiMAX**. We will look at it in Chap. 4.

1.2.4 Wide Area Networks

A **WAN** (**Wide Area Network**) spans a large geographical area, often a country or continent. We will begin our discussion with wired WANs, using the example of a company with branch offices in different cities.

The WAN in Fig. 1-10 is a network that connects offices in Perth, Melbourne, and Brisbane. Each of these offices contains computers intended for running user (i.e., application) programs. We will follow traditional usage and call these machines **hosts**. The rest of the network that connects these hosts is then called the

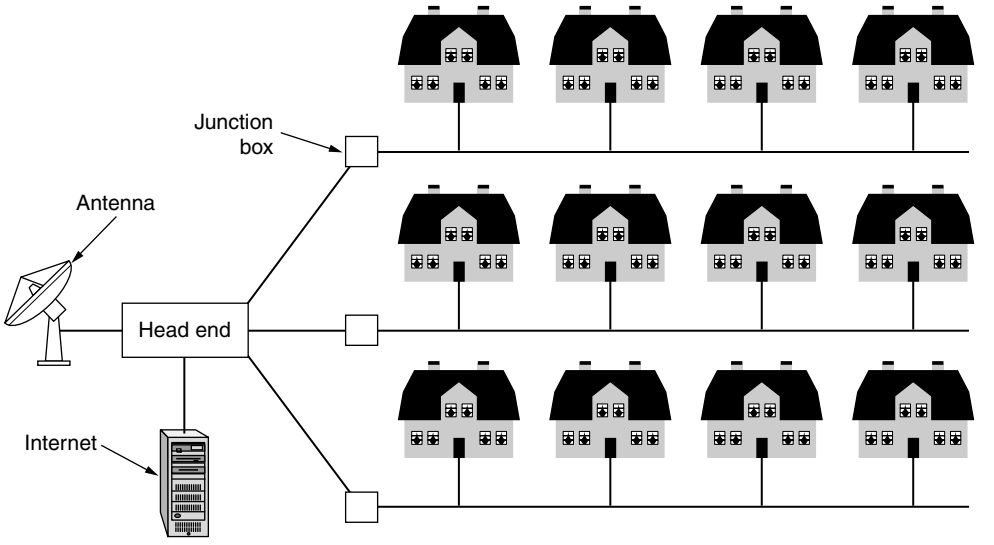

Figure 1-9. A metropolitan area network based on cable TV.

communication subnet, or just **subnet** for short. The job of the subnet is to carry messages from host to host, just as the telephone system carries words (really just sounds) from speaker to listener.

In most WANs, the subnet consists of two distinct components: transmission lines and switching elements. **Transmission lines** move bits between machines. They can be made of copper wire, optical fiber, or even radio links. Most companies do not have transmission lines lying about, so instead they lease the lines from a telecommunications company. **Switching elements**, or just **switches**, are specialized computers that connect two or more transmission lines. When data arrive on an incoming line, the switching element must choose an outgoing line on which to forward them. These switching computers have been called by various names in the past; the name **router** is now most commonly used. Unfortunately, some people pronounce it ''rooter'' while others have it rhyme with ''doubter.'' Determining the correct pronunciation will be left as an exercise for the reader. (Note: the perceived correct answer may depend on where you live.)

A short comment about the term ''subnet'' is in order here. Originally, its **only** meaning was the collection of routers and communication lines that moved packets from the source host to the destination host. Readers should be aware that it has acquired a second, more recent meaning in conjunction with network addressing. We will discuss that meaning in Chap. 5 and stick with the original meaning (a collection of lines and routers) until then.

The WAN as we have described it looks similar to a large wired LAN, but there are some important differences that go beyond long wires. Usually in a WAN, the hosts and subnet are owned and operated by different people. In our

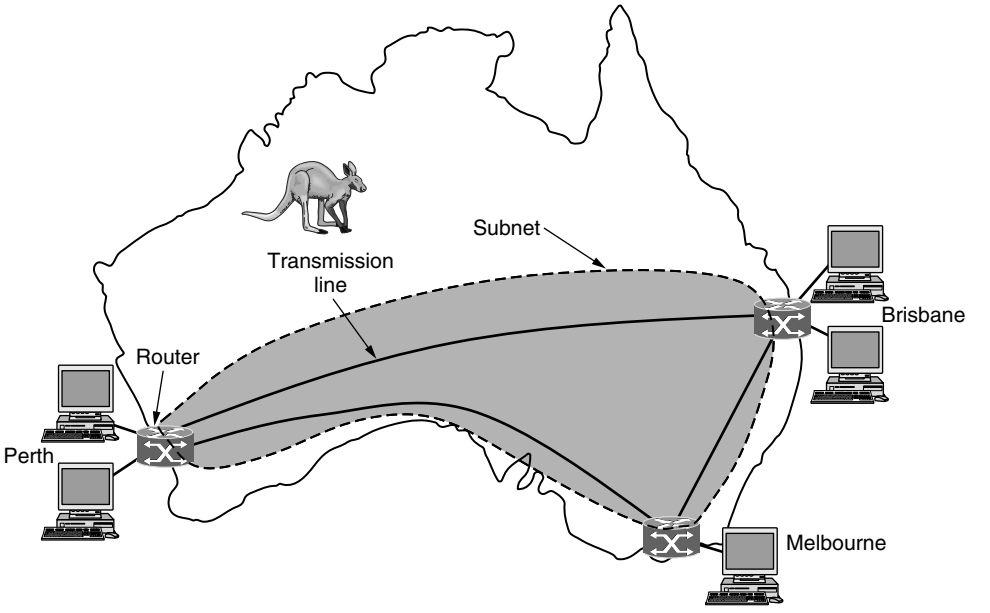

Figure 1-10. WAN that connects three branch offices in Australia.

example, the employees might be responsible for their own computers, while the company's IT department is in charge of the rest of the network. We will see clearer boundaries in the coming examples, in which the network provider or telephone company operates the subnet. Separation of the pure communication aspects of the network (the subnet) from the application aspects (the hosts) greatly simplifies the overall network design.

A second difference is that the routers will usually connect different kinds of networking technology. The networks inside the offices may be switched Ethernet, for example, while the long-distance transmission lines may be SONET links (which we will cover in Chap. 2). Some device needs to join them. The astute reader will notice that this goes beyond our definition of a network. This means that many WANs will in fact be **internetworks**, or composite networks that are made up of more than one network. We will have more to say about internetworks in the next section.

A final difference is in what is connected to the subnet. This could be individual computers, as was the case for connecting to LANs, or it could be entire LANs. This is how larger networks are built from smaller ones. As far as the subnet is concerned, it does the same job.

We are now in a position to look at two other varieties of WANs. First, rather than lease dedicated transmission lines, a company might connect its offices to the Internet This allows connections to be made between the offices as virtual links

that use the underlying capacity of the Internet. This arrangement, shown in Fig. 1-11, is called a **VPN** (**Virtual Private Network**). Compared to the dedicated arrangement, a VPN has the usual advantage of virtualization, which is that it provides flexible reuse of a resource (Internet connectivity). Consider how easy it is to add a fourth office to see this. A VPN also has the usual disadvantage of virtualization, which is a lack of control over the underlying resources. With a dedicated line, the capacity is clear. With a VPN your mileage may vary with your Internet service.

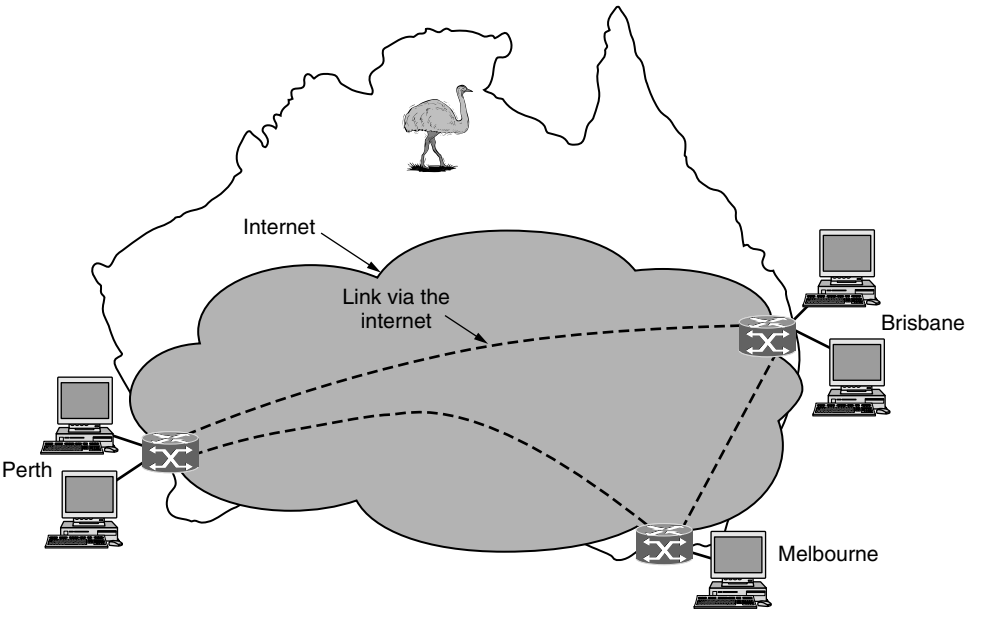

Figure 1-11. WAN using a virtual private network.

The second variation is that the subnet may be run by a different company. The subnet operator is known as a **network service provider** and the offices are its customers. This structure is shown in Fig. 1-12. The subnet operator will connect to other customers too, as long as they can pay and it can provide service. Since it would be a disappointing network service if the customers could only send packets to each other, the subnet operator will also connect to other networks that are part of the Internet. Such a subnet operator is called an **ISP** (**Internet Service Provider**) and the subnet is an **ISP network**. Its customers who connect to the ISP receive Internet service.

We can use the ISP network to preview some key issues that we will study in later chapters. In most WANs, the network contains many transmission lines, each connecting a pair of routers. If two routers that do not share a transmission line wish to communicate, they must do this indirectly, via other routers. There

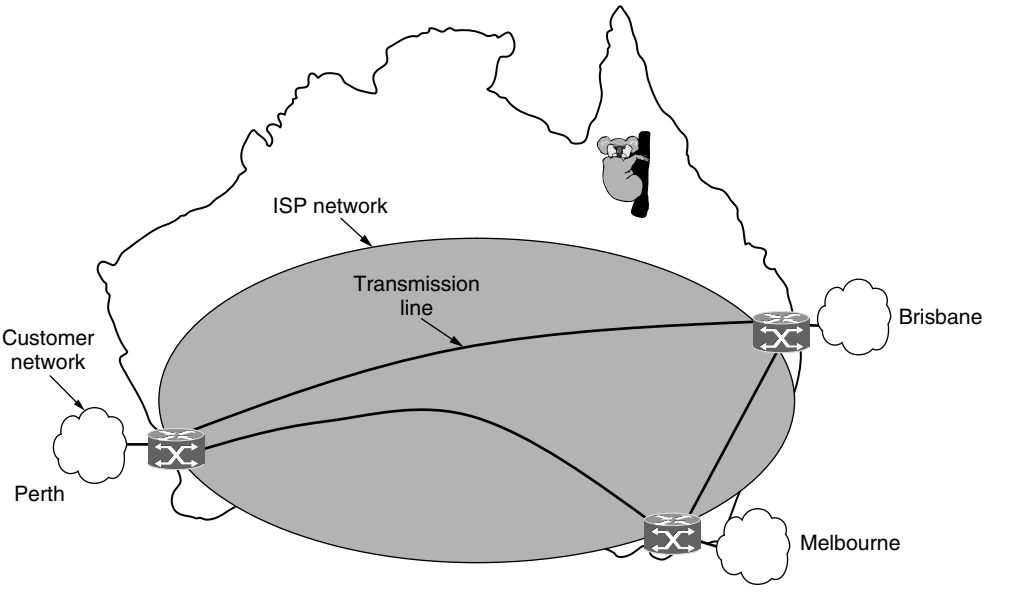

Figure 1-12. WAN using an ISP network.

may be many paths in the network that connect these two routers. How the network makes the decision as to which path to use is called the **routing algorithm**. Many such algorithms exist. How each router makes the decision as to where to send a packet next is called the **forwarding algorithm**. Many of them exist too. We will study some of both types in detail in Chap. 5.

Other kinds of WANs make heavy use of wireless technologies. In satellite systems, each computer on the ground has an antenna through which it can send data to and receive data from to a satellite in orbit. All computers can hear the output *from* the satellite, and in some cases they can also hear the upward transmissions of their fellow computers *to* the satellite as well. Satellite networks are inherently broadcast and are most useful when the broadcast property is important.

The cellular telephone network is another example of a WAN that uses wireless technology. This system has already gone through three generations and a fourth one is on the horizon. The first generation was analog and for voice only. The second generation was digital and for voice only. The third generation is digital and is for both voice and data. Each cellular base station covers a distance much larger than a wireless LAN, with a range measured in kilometers rather than tens of meters. The base stations are connected to each other by a backbone network that is usually wired. The data rates of cellular networks are often on the order of 1 Mbps, much smaller than a wireless LAN that can range up to on the order of 100 Mbps. We will have a lot to say about these networks in Chap. 2.

1.2.5 Internetworks

Many networks exist in the world, often with different hardware and software. People connected to one network often want to communicate with people attached to a different one. The fulfillment of this desire requires that different, and frequently incompatible, networks be connected. A collection of interconnected networks is called an **internetwork** or **internet**. These terms will be used in a generic sense, in contrast to the worldwide Internet (which is one specific internet), which we will always capitalize. The Internet uses ISP networks to connect enterprise networks, home networks, and many other networks. We will look at the Internet in great detail later in this book.

Subnets, networks, and internetworks are often confused. The term "subnet" makes the most sense in the context of a wide area network, where it refers to the collection of routers and communication lines owned by the network operator. As an analogy, the telephone system consists of telephone switching offices connected to one another by high-speed lines, and to houses and businesses by low-speed lines. These lines and equipment, owned and managed by the telephone company, form the subnet of the telephone system. The telephones themselves (the hosts in this analogy) are not part of the subnet.

A network is formed by the combination of a subnet and its hosts. However, the word "network" is often used in a loose sense as well. A subnet might be described as a network, as in the case of the "ISP network" of Fig. 1-12. An internetwork might also be described as a network, as in the case of the WAN in Fig. 1-10. We will follow similar practice, and if we are distinguishing a network from other arrangements, we will stick with our original definition of a collection of computers interconnected by a single technology.

Let us say more about what constitutes an internetwork. We know that an internet is formed when distinct networks are interconnected. In our view, connecting a LAN and a WAN or connecting two LANs is the usual way to form an internetwork, but there is little agreement in the industry over terminology in this area. There are two rules of thumb that are useful. First, if different organizations have paid to construct different parts of the network and each maintains its part, we have an internetwork rather than a single network. Second, if the underlying technology is different in different parts (e.g., broadcast versus point-to-point and wired versus wireless), we probably have an internetwork.

To go deeper, we need to talk about how two different networks can be connected. The general name for a machine that makes a connection between two or more networks and provides the necessary translation, both in terms of hardware and software, is a **gateway**. Gateways are distinguished by the layer at which they operate in the protocol hierarchy. We will have much more to say about layers and protocol hierarchies starting in the next section, but for now imagine that higher layers are more tied to applications, such as the Web, and lower layers are more tied to transmission links, such as Ethernet.

Since the benefit of forming an internet is to connect computers across networks, we do not want to use too low-level a gateway or we will be unable to make connections between different kinds of networks. We do not want to use too high-level a gateway either, or the connection will only work for particular applications. The level in the middle that is "just right" is often called the network layer, and a router is a gateway that switches packets at the network layer. We can now spot an internet by finding a network that has routers.

1.3 NETWORK SOFTWARE

The first computer networks were designed with the hardware as the main concern and the software as an afterthought. This strategy no longer works. Network software is now highly structured. In the following sections we examine the software structuring technique in some detail. The approach described here forms the keystone of the entire book and will occur repeatedly later on.

1.3.1 Protocol Hierarchies

To reduce their design complexity, most networks are organized as a stack of **layers** or **levels**, each one built upon the one below it. The number of layers, the name of each layer, the contents of each layer, and the function of each layer differ from network to network. The purpose of each layer is to offer certain services to the higher layers while shielding those layers from the details of how the offered services are actually implemented. In a sense, each layer is a kind of virtual machine, offering certain services to the layer above it.

This concept is actually a familiar one and is used throughout computer science, where it is variously known as information hiding, abstract data types, data encapsulation, and object-oriented programming. The fundamental idea is that a particular piece of software (or hardware) provides a service to its users but keeps the details of its internal state and algorithms hidden from them.

When layer *n* on one machine carries on a conversation with layer *n* on another machine, the rules and conventions used in this conversation are collectively known as the layer *n* protocol. Basically, a **protocol** is an agreement between the communicating parties on how communication is to proceed. As an analogy, when a woman is introduced to a man, she may choose to stick out her hand. He, in turn, may decide to either shake it or kiss it, depending, for example, on whether she is an American lawyer at a business meeting or a European princess at a formal ball. Violating the protocol will make communication more difficult, if not completely impossible.

A five-layer network is illustrated in Fig. 1-13. The entities comprising the corresponding layers on different machines are called **peers**. The peers may be

software processes, hardware devices, or even human beings. In other words, it is the peers that communicate by using the protocol to talk to each other.

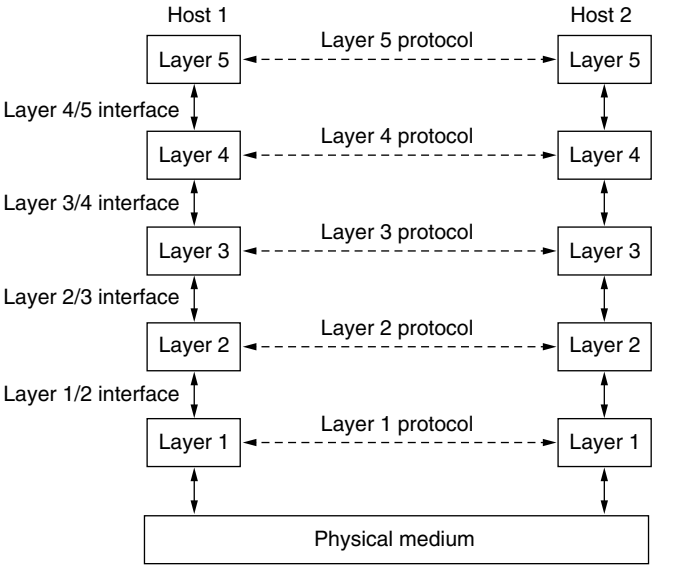

Figure 1-13. Layers, protocols, and interfaces.

In reality, no data are directly transferred from layer *n* on one machine to layer *n* on another machine. Instead, each layer passes data and control information to the layer immediately below it, until the lowest layer is reached. Below layer 1 is the **physical medium** through which actual communication occurs. In Fig. 1-13, virtual communication is shown by dotted lines and physical communication by solid lines.

Between each pair of adjacent layers is an **interface**. The interface defines which primitive operations and services the lower layer makes available to the upper one. When network designers decide how many layers to include in a network and what each one should do, one of the most important considerations is defining clean interfaces between the layers. Doing so, in turn, requires that each layer perform a specific collection of well-understood functions. In addition to minimizing the amount of information that must be passed between layers, clear-cut interfaces also make it simpler to replace one layer with a completely different protocol or implementation (e.g., replacing all the telephone lines by satellite channels) because all that is required of the new protocol or implementation is that it offer exactly the same set of services to its upstairs neighbor as the old one did. It is common that different hosts use different implementations of the same protocol (often written by different companies). In fact, the protocol itself can change in some layer without the layers above and below it even noticing.

A set of layers and protocols is called a **network architecture**. The specification of an architecture must contain enough information to allow an implementer to write the program or build the hardware for each layer so that it will correctly obey the appropriate protocol. Neither the details of the implementation nor the specification of the interfaces is part of the architecture because these are hidden away inside the machines and not visible from the outside. It is not even necessary that the interfaces on all machines in a network be the same, provided that each machine can correctly use all the protocols. A list of the protocols used by a certain system, one protocol per layer, is called a **protocol stack**. Network architectures, protocol stacks, and the protocols themselves are the principal subjects of this book.

An analogy may help explain the idea of multilayer communication. Imagine two philosophers (peer processes in layer 3), one of whom speaks Urdu and English and one of whom speaks Chinese and French. Since they have no common language, they each engage a translator (peer processes at layer 2), each of whom in turn contacts a secretary (peer processes in layer 1). Philosopher 1 wishes to convey his affection for *oryctolagus cuniculus* to his peer. To do so, he passes a message (in English) across the 2/3 interface to his translator, saying "I like rabbits," as illustrated in Fig. 1-14. The translators have agreed on a neutral language known to both of them, Dutch, so the message is converted to "Ik vind konijnen leuk." The choice of the language is the layer 2 protocol and is up to the layer 2 peer processes.

The translator then gives the message to a secretary for transmission, for example, by email (the layer 1 protocol). When the message arrives at the other secretary, it is passed to the local translator, who translates it into French and passes it across the 2/3 interface to the second philosopher. Note that each protocol is completely independent of the other ones as long as the interfaces are not changed. The translators can switch from Dutch to, say, Finnish, at will, provided that they both agree and neither changes his interface with either layer 1 or layer 3. Similarly, the secretaries can switch from email to telephone without disturbing (or even informing) the other layers. Each process may add some information intended only for its peer. This information is not passed up to the layer above.

Now consider a more technical example: how to provide communication to the top layer of the five-layer network in Fig. 1-15. A message, M, is produced by an application process running in layer 5 and given to layer 4 for transmission. Layer 4 puts a **header** in front of the message to identify the message and passes the result to layer 3. The header includes control information, such as addresses, to allow layer 4 on the destination machine to deliver the message. Other examples of control information used in some layers are sequence numbers (in case the lower layer does not preserve message order), sizes, and times.

In many networks, no limit is placed on the size of messages transmitted in the layer 4 protocol but there is nearly always a limit imposed by the layer 3 protocol. Consequently, layer 3 must break up the incoming messages into smaller

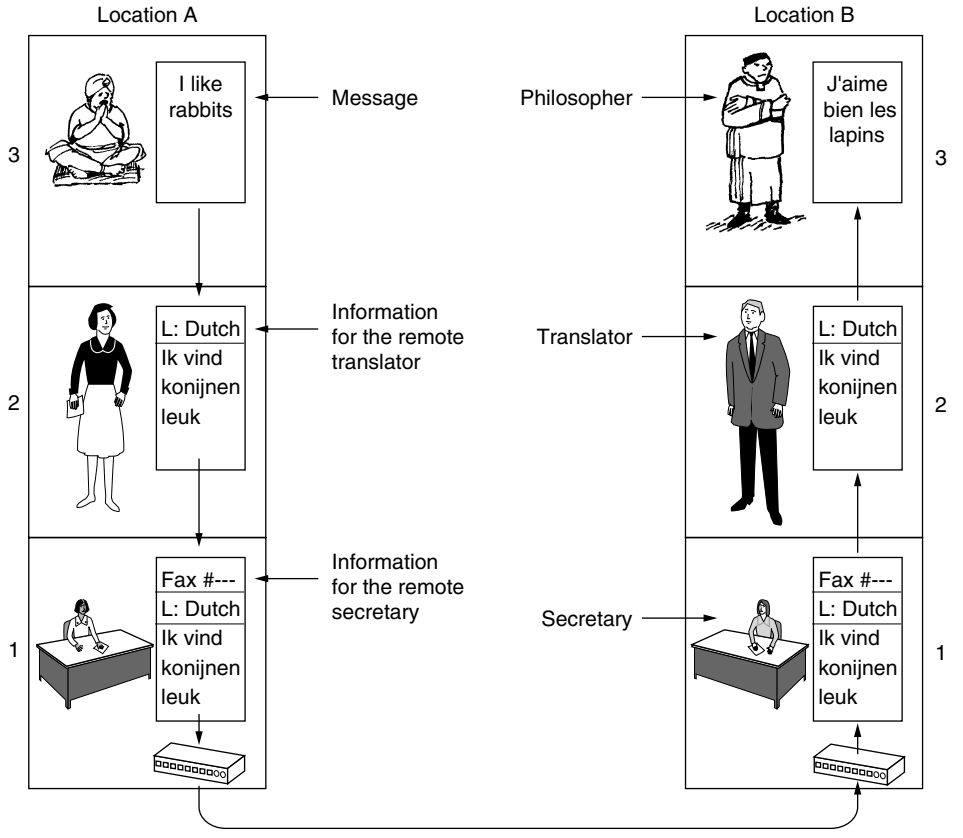

Figure 1-14. The philosopher-translator-secretary architecture.

units, packets, prepending a layer 3 header to each packet. In this example, M is split into two parts, M_1 and M_2, that will be transmitted separately.

Layer 3 decides which of the outgoing lines to use and passes the packets to layer 2. Layer 2 adds to each piece not only a header but also a trailer, and gives the resulting unit to layer 1 for physical transmission. At the receiving machine the message moves upward, from layer to layer, with headers being stripped off as it progresses. None of the headers for layers below n are passed up to layer n.

The important thing to understand about Fig. 1-15 is the relation between the virtual and actual communication and the difference between protocols and interfaces. The peer processes in layer 4, for example, conceptually think of their communication as being "horizontal," using the layer 4 protocol. Each one is likely to have procedures called something like *SendToOtherSide* and *GetFromOtherSide*, even though these procedures actually communicate with lower layers across the 3/4 interface, and not with the other side.

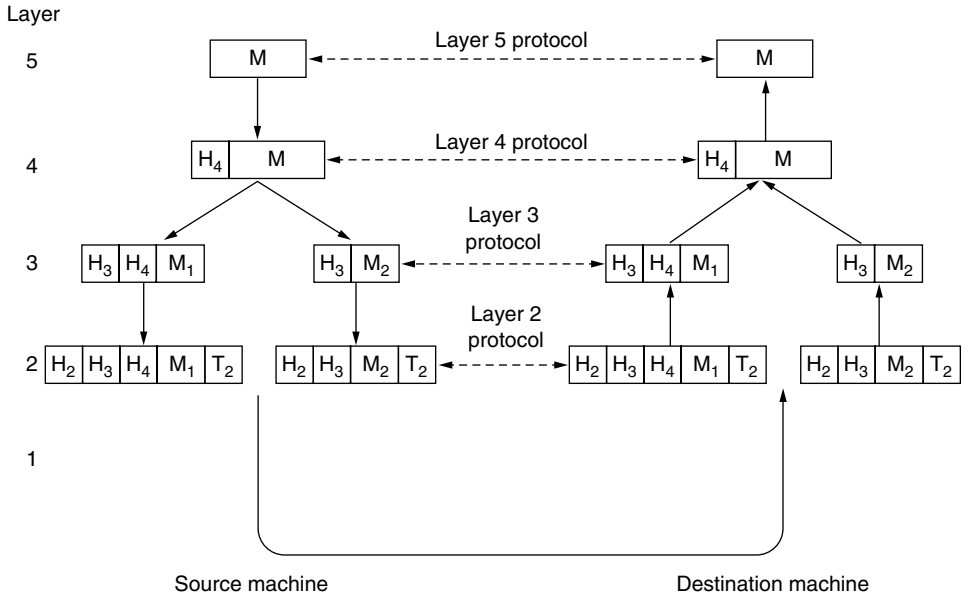

Figure 1-15. Example information flow supporting virtual communication in layer 5.

The peer process abstraction is crucial to all network design. Using it, the unmanageable task of designing the complete network can be broken into several smaller, manageable design problems, namely, the design of the individual layers.

Although Sec. 1.3 is called "Network Software," it is worth pointing out that the lower layers of a protocol hierarchy are frequently implemented in hardware or firmware. Nevertheless, complex protocol algorithms are involved, even if they are embedded (in whole or in part) in hardware.

1.3.2 Design Issues for the Layers

Some of the key design issues that occur in computer networks will come up in layer after layer. Below, we will briefly mention the more important ones.

Reliability is the design issue of making a network that operates correctly even though it is made up of a collection of components that are themselves unreliable. Think about the bits of a packet traveling through the network. There is a chance that some of these bits will be received damaged (inverted) due to fluke electrical noise, random wireless signals, hardware flaws, software bugs and so on. How is it possible that we find and fix these errors?

One mechanism for finding errors in received information uses codes for **error detection**. Information that is incorrectly received can then be retransmitted

until it is received correctly. More powerful codes allow for **error correction**, where the correct message is recovered from the possibly incorrect bits that were originally received. Both of these mechanisms work by adding redundant information. They are used at low layers, to protect packets sent over individual links, and high layers, to check that the right contents were received.

Another reliability issue is finding a working path through a network. Often there are multiple paths between a source and destination, and in a large network, there may be some links or routers that are broken. Suppose that the network is down in Germany. Packets sent from London to Rome via Germany will not get through, but we could instead send packets from London to Rome via Paris. The network should automatically make this decision. This topic is called **routing**.

A second design issue concerns the evolution of the network. Over time, networks grow larger and new designs emerge that need to be connected to the existing network. We have recently seen the key structuring mechanism used to support change by dividing the overall problem and hiding implementation details: **protocol layering**. There are many other strategies as well.

Since there are many computers on the network, every layer needs a mechanism for identifying the senders and receivers that are involved in a particular message. This mechanism is called **addressing** or **naming**, in the low and high layers, respectively.

An aspect of growth is that different network technologies often have different limitations. For example, not all communication channels preserve the order of messages sent on them, leading to solutions that number messages. Another example is differences in the maximum size of a message that the networks can transmit. This leads to mechanisms for disassembling, transmitting, and then reassembling messages. This overall topic is called **internetworking**.

When networks get large, new problems arise. Cities can have traffic jams, a shortage of telephone numbers, and it is easy to get lost. Not many people have these problems in their own neighborhood, but citywide they may be a big issue. Designs that continue to work well when the network gets large are said to be **scalable**.

A third design issue is resource allocation. Networks provide a service to hosts from their underlying resources, such as the capacity of transmission lines. To do this well, they need mechanisms that divide their resources so that one host does not interfere with another too much.

Many designs share network bandwidth dynamically, according to the short-term needs of hosts, rather than by giving each host a fixed fraction of the bandwidth that it may or may not use. This design is called **statistical multiplexing**, meaning sharing based on the statistics of demand. It can be applied at low layers for a single link, or at high layers for a network or even applications that use the network.

An allocation problem that occurs at every level is how to keep a fast sender from swamping a slow receiver with data. Feedback from the receiver to the

sender is often used. This subject is called **flow control**. Sometimes the problem is that the network is oversubscribed because too many computers want to send too much traffic, and the network cannot deliver it all. This overloading of the network is called **congestion**. One strategy is for each computer to reduce its demand when it experiences congestion. It, too, can be used in all layers.

It is interesting to observe that the network has more resources to offer than simply bandwidth. For uses such as carrying live video, the timeliness of delivery matters a great deal. Most networks must provide service to applications that want this **real-time** delivery at the same time that they provide service to applications that want high throughput. **Quality of service** is the name given to mechanisms that reconcile these competing demands.

The last major design issue is to secure the network by defending it against different kinds of threats. One of the threats we have mentioned previously is that of eavesdropping on communications. Mechanisms that provide **confidentiality** defend against this threat, and they are used in multiple layers. Mechanisms for **authentication** prevent someone from impersonating someone else. They might be used to tell fake banking Web sites from the real one, or to let the cellular network check that a call is really coming from your phone so that you will pay the bill. Other mechanisms for **integrity** prevent surreptitious changes to messages, such as altering "debit my account $10" to "debit my account $1000." All of these designs are based on cryptography, which we shall study in Chap. 8.

1.3.3 Connection-Oriented Versus Connectionless Service

Layers can offer two different types of service to the layers above them: connection-oriented and connectionless. In this section we will look at these two types and examine the differences between them.

Connection-oriented service is modeled after the telephone system. To talk to someone, you pick up the phone, dial the number, talk, and then hang up. Similarly, to use a connection-oriented network service, the service user first establishes a connection, uses the connection, and then releases the connection. The essential aspect of a connection is that it acts like a tube: the sender pushes objects (bits) in at one end, and the receiver takes them out at the other end. In most cases the order is preserved so that the bits arrive in the order they were sent.

In some cases when a connection is established, the sender, receiver, and subnet conduct a **negotiation** about the parameters to be used, such as maximum message size, quality of service required, and other issues. Typically, one side makes a proposal and the other side can accept it, reject it, or make a counterproposal. A **circuit** is another name for a connection with associated resources, such as a fixed bandwidth. This dates from the telephone network in which a circuit was a path over copper wire that carried a phone conversation.

In contrast to connection-oriented service, **connectionless** service is modeled after the postal system. Each message (letter) carries the full destination address,

and each one is routed through the intermediate nodes inside the system independent of all the subsequent messages. There are different names for messages in different contexts; a **packet** is a message at the network layer. When the intermediate nodes receive a message in full before sending it on to the next node, this is called **store-and-forward switching**. The alternative, in which the onward transmission of a message at a node starts before it is completely received by the node, is called **cut-through switching**. Normally, when two messages are sent to the same destination, the first one sent will be the first one to arrive. However, it is possible that the first one sent can be delayed so that the second one arrives first.

Each kind of service can further be characterized by its reliability. Some services are reliable in the sense that they never lose data. Usually, a reliable service is implemented by having the receiver acknowledge the receipt of each message so the sender is sure that it arrived. The acknowledgement process introduces overhead and delays, which are often worth it but are sometimes undesirable.

A typical situation in which a reliable connection-oriented service is appropriate is file transfer. The owner of the file wants to be sure that all the bits arrive correctly and in the same order they were sent. Very few file transfer customers would prefer a service that occasionally scrambles or loses a few bits, even if it is much faster.

Reliable connection-oriented service has two minor variations: message sequences and byte streams. In the former variant, the message boundaries are preserved. When two 1024-byte messages are sent, they arrive as two distinct 1024-byte messages, never as one 2048-byte message. In the latter, the connection is simply a stream of bytes, with no message boundaries. When 2048 bytes arrive at the receiver, there is no way to tell if they were sent as one 2048-byte message, two 1024-byte messages, or 2048 1-byte messages. If the pages of a book are sent over a network to a phototypesetter as separate messages, it might be important to preserve the message boundaries. On the other hand, to download a DVD movie, a byte stream from the server to the user's computer is all that is needed. Message boundaries within the movie are not relevant.

For some applications, the transit delays introduced by acknowledgements are unacceptable. One such application is digitized voice traffic for **voice over IP**. It is less disruptive for telephone users to hear a bit of noise on the line from time to time than to experience a delay waiting for acknowledgements. Similarly, when transmitting a video conference, having a few pixels wrong is no problem, but having the image jerk along as the flow stops and starts to correct errors is irritating.

Not all applications require connections. For example, spammers send electronic junk-mail to many recipients. The spammer probably does not want to go to the trouble of setting up and later tearing down a connection to a recipient just to send them one item. Nor is 100 percent reliable delivery essential, especially if it costs more. All that is needed is a way to send a single message that has a high

probability of arrival, but no guarantee. Unreliable (meaning not acknowledged) connectionless service is often called **datagram** service, in analogy with telegram service, which also does not return an acknowledgement to the sender. Despite it being unreliable, it is the dominant form in most networks for reasons that will become clear later

In other situations, the convenience of not having to establish a connection to send one message is desired, but reliability is essential. The **acknowledged datagram** service can be provided for these applications. It is like sending a registered letter and requesting a return receipt. When the receipt comes back, the sender is absolutely sure that the letter was delivered to the intended party and not lost along the way. Text messaging on mobile phones is an example.

Still another service is the **request-reply** service. In this service the sender transmits a single datagram containing a request; the reply contains the answer. Request-reply is commonly used to implement communication in the client-server model: the client issues a request and the server responds to it. For example, a mobile phone client might send a query to a map server to retrieve the map data for the current location. Figure 1-16 summarizes the types of services discussed above.

	Service	Example
Connection-oriented	Reliable message stream	Sequence of pages
	Reliable byte stream	Movie download
	Unreliable connection	Voice over IP
Connection-less	Unreliable datagram	Electronic junk mail
	Acknowledged datagram	Text messaging
	Request-reply	Database query

Figure 1-16. Six different types of service.

The concept of using unreliable communication may be confusing at first. After all, why would anyone actually prefer unreliable communication to reliable communication? First of all, reliable communication (in our sense, that is, acknowledged) may not be available in a given layer. For example, Ethernet does not provide reliable communication. Packets can occasionally be damaged in transit. It is up to higher protocol levels to recover from this problem. In particular, many reliable services are built on top of an unreliable datagram service. Second, the delays inherent in providing a reliable service may be unacceptable, especially in real-time applications such as multimedia. For these reasons, both reliable and unreliable communication coexist.

1.3.4 Service Primitives

A service is formally specified by a set of **primitives** (operations) available to user processes to access the service. These primitives tell the service to perform some action or report on an action taken by a peer entity. If the protocol stack is located in the operating system, as it often is, the primitives are normally system calls. These calls cause a trap to kernel mode, which then turns control of the machine over to the operating system to send the necessary packets.

The set of primitives available depends on the nature of the service being provided. The primitives for connection-oriented service are different from those of connectionless service. As a minimal example of the service primitives that might provide a reliable byte stream, consider the primitives listed in Fig. 1-17. They will be familiar to fans of the Berkeley socket interface, as the primitives are a simplified version of that interface.

Primitive	Meaning
LISTEN	Block waiting for an incoming connection
CONNECT	Establish a connection with a waiting peer
ACCEPT	Accept an incoming connection from a peer
RECEIVE	Block waiting for an incoming message
SEND	Send a message to the peer
DISCONNECT	Terminate a connection

Figure 1-17. Six service primitives that provide a simple connection-oriented service.

These primitives might be used for a request-reply interaction in a client-server environment. To illustrate how, We sketch a simple protocol that implements the service using acknowledged datagrams.

First, the server executes LISTEN to indicate that it is prepared to accept incoming connections. A common way to implement LISTEN is to make it a blocking system call. After executing the primitive, the server process is blocked until a request for connection appears.

Next, the client process executes CONNECT to establish a connection with the server. The CONNECT call needs to specify who to connect to, so it might have a parameter giving the server's address. The operating system then typically sends a packet to the peer asking it to connect, as shown by (1) in Fig. 1-18. The client process is suspended until there is a response.

When the packet arrives at the server, the operating system sees that the packet is requesting a connection. It checks to see if there is a listener, and if so it unblocks the listener. The server process can then establish the connection with the ACCEPT call. This sends a response (2) back to the client process to accept the

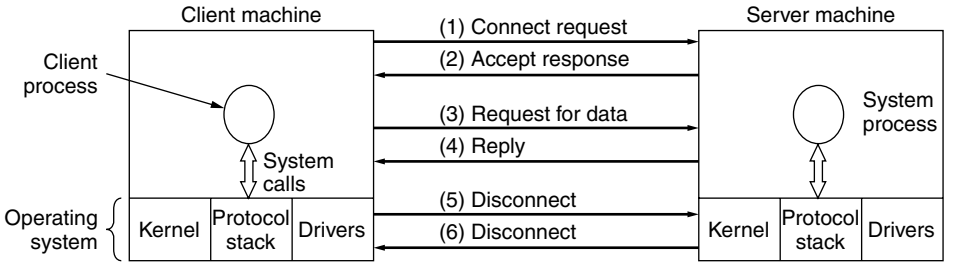

Figure 1-18. A simple client-server interaction using acknowledged datagrams.

connection. The arrival of this response then releases the client. At this point the client and server are both running and they have a connection established.

The obvious analogy between this protocol and real life is a customer (client) calling a company's customer service manager. At the start of the day, the service manager sits next to his telephone in case it rings. Later, a client places a call. When the manager picks up the phone, the connection is established.

The next step is for the server to execute RECEIVE to prepare to accept the first request. Normally, the server does this immediately upon being released from the LISTEN, before the acknowledgement can get back to the client. The RECEIVE call blocks the server.

Then the client executes SEND to transmit its request (3) followed by the execution of RECEIVE to get the reply. The arrival of the request packet at the server machine unblocks the server so it can handle the request. After it has done the work, the server uses SEND to return the answer to the client (4). The arrival of this packet unblocks the client, which can now inspect the answer. If the client has additional requests, it can make them now.

When the client is done, it executes DISCONNECT to terminate the connection (5). Usually, an initial DISCONNECT is a blocking call, suspending the client and sending a packet to the server saying that the connection is no longer needed. When the server gets the packet, it also issues a DISCONNECT of its own, acknowledging the client and releasing the connection (6). When the server's packet gets back to the client machine, the client process is released and the connection is broken. In a nutshell, this is how connection-oriented communication works.

Of course, life is not so simple. Many things can go wrong here. The timing can be wrong (e.g., the CONNECT is done before the LISTEN), packets can get lost, and much more. We will look at these issues in great detail later, but for the moment, Fig. 1-18 briefly summarizes how client-server communication might work with acknowledged datagrams so that we can ignore lost packets.

Given that six packets are required to complete this protocol, one might wonder why a connectionless protocol is not used instead. The answer is that in a perfect world it could be, in which case only two packets would be needed: one

for the request and one for the reply. However, in the face of large messages in either direction (e.g., a megabyte file), transmission errors, and lost packets, the situation changes. If the reply consisted of hundreds of packets, some of which could be lost during transmission, how would the client know if some pieces were missing? How would the client know whether the last packet actually received was really the last packet sent? Suppose the client wanted a second file. How could it tell packet 1 from the second file from a lost packet 1 from the first file that suddenly found its way to the client? In short, in the real world, a simple request-reply protocol over an unreliable network is often inadequate. In Chap. 3 we will study a variety of protocols in detail that overcome these and other problems. For the moment, suffice it to say that having a reliable, ordered byte stream between processes is sometimes very convenient.

1.3.5 The Relationship of Services to Protocols

Services and protocols are distinct concepts. This distinction is so important that we emphasize it again here. A *service* is a set of primitives (operations) that a layer provides to the layer above it. The service defines what operations the layer is prepared to perform on behalf of its users, but it says nothing at all about how these operations are implemented. A service relates to an interface between two layers, with the lower layer being the service provider and the upper layer being the service user.

A *protocol*, in contrast, is a set of rules governing the format and meaning of the packets, or messages that are exchanged by the peer entities within a layer. Entities use protocols to implement their service definitions. They are free to change their protocols at will, provided they do not change the service visible to their users. In this way, the service and the protocol are completely decoupled. This is a key concept that any network designer should understand well.

To repeat this crucial point, services relate to the interfaces between layers, as illustrated in Fig. 1-19. In contrast, protocols relate to the packets sent between peer entities on different machines. It is very important not to confuse the two concepts.

An analogy with programming languages is worth making. A service is like an abstract data type or an object in an object-oriented language. It defines operations that can be performed on an object but does not specify how these operations are implemented. In contrast, a protocol relates to the *implementation* of the service and as such is not visible to the user of the service.

Many older protocols did not distinguish the service from the protocol. In effect, a typical layer might have had a service primitive SEND PACKET with the user providing a pointer to a fully assembled packet. This arrangement meant that all changes to the protocol were immediately visible to the users. Most network designers now regard such a design as a serious blunder.

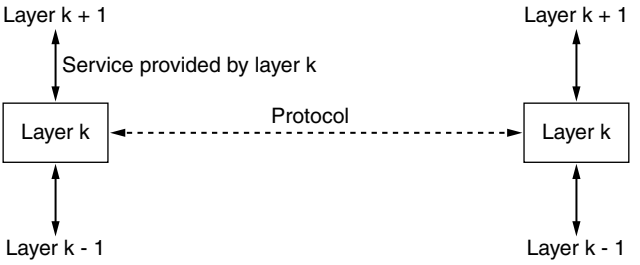

Figure 1-19. The relationship between a service and a protocol.

1.4 REFERENCE MODELS

Now that we have discussed layered networks in the abstract, it is time to look at some examples. We will discuss two important network architectures: the OSI reference model and the TCP/IP reference model. Although the *protocols* associated with the OSI model are not used any more, the *model* itself is actually quite general and still valid, and the features discussed at each layer are still very important. The TCP/IP model has the opposite properties: the model itself is not of much use but the protocols are widely used. For this reason we will look at both of them in detail. Also, sometimes you can learn more from failures than from successes.

1.4.1 The OSI Reference Model

The OSI model (minus the physical medium) is shown in Fig. 1-20. This model is based on a proposal developed by the International Standards Organization (ISO) as a first step toward international standardization of the protocols used in the various layers (Day and Zimmermann, 1983). It was revised in 1995 (Day, 1995). The model is called the ISO **OSI** (**Open Systems Interconnection**) Reference Model because it deals with connecting open systems—that is, systems that are open for communication with other systems. We will just call it the **OSI model** for short.

The OSI model has seven layers. The principles that were applied to arrive at the seven layers can be briefly summarized as follows:

1. A layer should be created where a different abstraction is needed.
2. Each layer should perform a well-defined function.
3. The function of each layer should be chosen with an eye toward defining internationally standardized protocols.

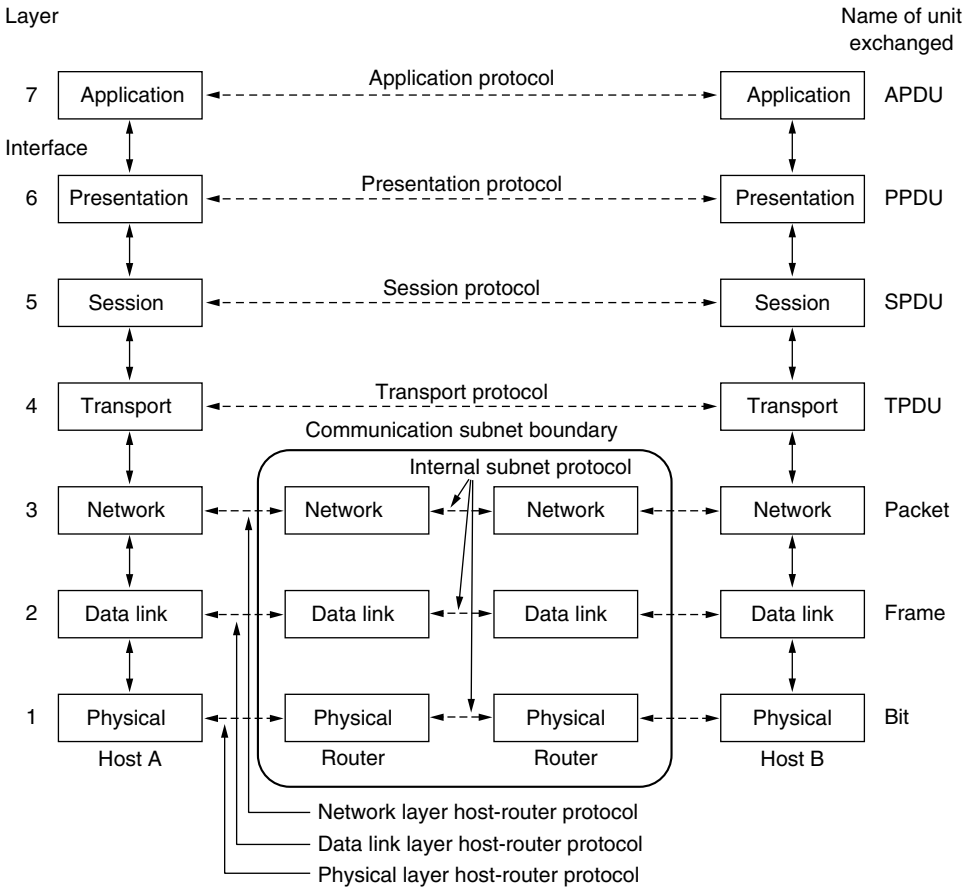

Figure 1-20. The OSI reference model.

4. The layer boundaries should be chosen to minimize the information flow across the interfaces.
5. The number of layers should be large enough that distinct functions need not be thrown together in the same layer out of necessity and small enough that the architecture does not become unwieldy.

Below we will discuss each layer of the model in turn, starting at the bottom layer. Note that the OSI model itself is not a network architecture because it does not specify the exact services and protocols to be used in each layer. It just tells what each layer should do. However, ISO has also produced standards for all the layers, although these are not part of the reference model itself. Each one has been published as a separate international standard. The *model* (in part) is widely used although the associated protocols have been long forgotten.

The Physical Layer

The **physical layer** is concerned with transmitting raw bits over a communication channel. The design issues have to do with making sure that when one side sends a 1 bit it is received by the other side as a 1 bit, not as a 0 bit. Typical questions here are what electrical signals should be used to represent a 1 and a 0, how many nanoseconds a bit lasts, whether transmission may proceed simultaneously in both directions, how the initial connection is established, how it is torn down when both sides are finished, how many pins the network connector has, and what each pin is used for. These design issues largely deal with mechanical, electrical, and timing interfaces, as well as the physical transmission medium, which lies below the physical layer.

The Data Link Layer

The main task of the **data link layer** is to transform a raw transmission facility into a line that appears free of undetected transmission errors. It does so by masking the real errors so the network layer does not see them. It accomplishes this task by having the sender break up the input data into **data frames** (typically a few hundred or a few thousand bytes) and transmit the frames sequentially. If the service is reliable, the receiver confirms correct receipt of each frame by sending back an **acknowledgement frame**.

Another issue that arises in the data link layer (and most of the higher layers as well) is how to keep a fast transmitter from drowning a slow receiver in data. Some traffic regulation mechanism may be needed to let the transmitter know when the receiver can accept more data.

Broadcast networks have an additional issue in the data link layer: how to control access to the shared channel. A special sublayer of the data link layer, the **medium access control** sublayer, deals with this problem.

The Network Layer

The **network layer** controls the operation of the subnet. A key design issue is determining how packets are routed from source to destination. Routes can be based on static tables that are "wired into" the network and rarely changed, or more often they can be updated automatically to avoid failed components. They can also be determined at the start of each conversation, for example, a terminal session, such as a login to a remote machine. Finally, they can be highly dynamic, being determined anew for each packet to reflect the current network load.

If too many packets are present in the subnet at the same time, they will get in one another's way, forming bottlenecks. Handling congestion is also a responsibility of the network layer, in conjunction with higher layers that adapt the load

they place on the network. More generally, the quality of service provided (delay, transit time, jitter, etc.) is also a network layer issue.

When a packet has to travel from one network to another to get to its destination, many problems can arise. The addressing used by the second network may be different from that used by the first one. The second one may not accept the packet at all because it is too large. The protocols may differ, and so on. It is up to the network layer to overcome all these problems to allow heterogeneous networks to be interconnected.

In broadcast networks, the routing problem is simple, so the network layer is often thin or even nonexistent.

The Transport Layer

The basic function of the **transport layer** is to accept data from above it, split it up into smaller units if need be, pass these to the network layer, and ensure that the pieces all arrive correctly at the other end. Furthermore, all this must be done efficiently and in a way that isolates the upper layers from the inevitable changes in the hardware technology over the course of time.

The transport layer also determines what type of service to provide to the session layer, and, ultimately, to the users of the network. The most popular type of transport connection is an error-free point-to-point channel that delivers messages or bytes in the order in which they were sent. However, other possible kinds of transport service exist, such as the transporting of isolated messages with no guarantee about the order of delivery, and the broadcasting of messages to multiple destinations. The type of service is determined when the connection is established. (As an aside, an error-free channel is completely impossible to achieve; what people really mean by this term is that the error rate is low enough to ignore in practice.)

The transport layer is a true end-to-end layer; it carries data all the way from the source to the destination. In other words, a program on the source machine carries on a conversation with a similar program on the destination machine, using the message headers and control messages. In the lower layers, each protocols is between a machine and its immediate neighbors, and not between the ultimate source and destination machines, which may be separated by many routers. The difference between layers 1 through 3, which are chained, and layers 4 through 7, which are end-to-end, is illustrated in Fig. 1-20.

The Session Layer

The session layer allows users on different machines to establish **sessions** between them. Sessions offer various services, including **dialog control** (keeping track of whose turn it is to transmit), **token management** (preventing two parties from attempting the same critical operation simultaneously), and **synchronization**

(checkpointing long transmissions to allow them to pick up from where they left off in the event of a crash and subsequent recovery).

The Presentation Layer

Unlike the lower layers, which are mostly concerned with moving bits around, the **presentation layer** is concerned with the syntax and semantics of the information transmitted. In order to make it possible for computers with different internal data representations to communicate, the data structures to be exchanged can be defined in an abstract way, along with a standard encoding to be used "on the wire." The presentation layer manages these abstract data structures and allows higher-level data structures (e.g., banking records) to be defined and exchanged.

The Application Layer

The **application layer** contains a variety of protocols that are commonly needed by users. One widely used application protocol is **HTTP** (**HyperText Transfer Protocol**), which is the basis for the World Wide Web. When a browser wants a Web page, it sends the name of the page it wants to the server hosting the page using HTTP. The server then sends the page back. Other application protocols are used for file transfer, electronic mail, and network news.

1.4.2 The TCP/IP Reference Model

Let us now turn from the OSI reference model to the reference model used in the grandparent of all wide area computer networks, the ARPANET, and its successor, the worldwide Internet. Although we will give a brief history of the ARPANET later, it is useful to mention a few key aspects of it now. The ARPANET was a research network sponsored by the DoD (U.S. Department of Defense). It eventually connected hundreds of universities and government installations, using leased telephone lines. When satellite and radio networks were added later, the existing protocols had trouble interworking with them, so a new reference architecture was needed. Thus, from nearly the beginning, the ability to connect multiple networks in a seamless way was one of the major design goals. This architecture later became known as the **TCP/IP Reference Model**, after its two primary protocols. It was first described by Cerf and Kahn (1974), and later refined and defined as a standard in the Internet community (Braden, 1989). The design philosophy behind the model is discussed by Clark (1988).

Given the DoD's worry that some of its precious hosts, routers, and internetwork gateways might get blown to pieces at a moment's notice by an attack from the Soviet Union, another major goal was that the network be able to survive loss of subnet hardware, without existing conversations being broken off. In other

words, the DoD wanted connections to remain intact as long as the source and destination machines were functioning, even if some of the machines or transmission lines in between were suddenly put out of operation. Furthermore, since applications with divergent requirements were envisioned, ranging from transferring files to real-time speech transmission, a flexible architecture was needed.

The Link Layer

All these requirements led to the choice of a packet-switching network based on a connectionless layer that runs across different networks. The lowest layer in the model, the **link layer** describes what links such as serial lines and classic Ethernet must do to meet the needs of this connectionless internet layer. It is not really a layer at all, in the normal sense of the term, but rather an interface between hosts and transmission links. Early material on the TCP/IP model has little to say about it.

The Internet Layer

The **internet layer** is the linchpin that holds the whole architecture together. It is shown in Fig. 1-21 as corresponding roughly to the OSI network layer. Its job is to permit hosts to inject packets into any network and have them travel independently to the destination (potentially on a different network). They may even arrive in a completely different order than they were sent, in which case it is the job of higher layers to rearrange them, if in-order delivery is desired. Note that "internet" is used here in a generic sense, even though this layer is present in the Internet.

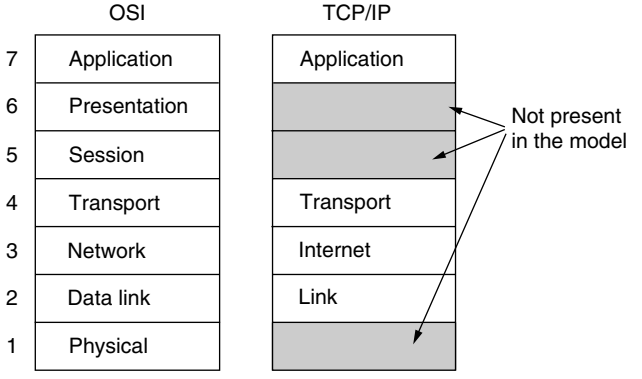

Figure 1-21. The TCP/IP reference model.

The analogy here is with the (snail) mail system. A person can drop a sequence of international letters into a mailbox in one country, and with a little luck,

most of them will be delivered to the correct address in the destination country. The letters will probably travel through one or more international mail gateways along the way, but this is transparent to the users. Furthermore, that each country (i.e., each network) has its own stamps, preferred envelope sizes, and delivery rules is hidden from the users.

The internet layer defines an official packet format and protocol called **IP** (**Internet Protocol**), plus a companion protocol called **ICMP** (**Internet Control Message Protocol**) that helps it function. The job of the internet layer is to deliver IP packets where they are supposed to go. Packet routing is clearly a major issue here, as is congestion (though IP has not proven effective at avoiding congestion).

The Transport Layer

The layer above the internet layer in the TCP/IP model is now usually called the **transport layer**. It is designed to allow peer entities on the source and destination hosts to carry on a conversation, just as in the OSI transport layer. Two end-to-end transport protocols have been defined here. The first one, **TCP** (**Transmission Control Protocol**), is a reliable connection-oriented protocol that allows a byte stream originating on one machine to be delivered without error on any other machine in the internet. It segments the incoming byte stream into discrete messages and passes each one on to the internet layer. At the destination, the receiving TCP process reassembles the received messages into the output stream. TCP also handles flow control to make sure a fast sender cannot swamp a slow receiver with more messages than it can handle.

The second protocol in this layer, **UDP** (**User Datagram Protocol**), is an unreliable, connectionless protocol for applications that do not want TCP's sequencing or flow control and wish to provide their own. It is also widely used for one-shot, client-server-type request-reply queries and applications in which prompt delivery is more important than accurate delivery, such as transmitting speech or video. The relation of IP, TCP, and UDP is shown in Fig. 1-22. Since the model was developed, IP has been implemented on many other networks.

The Application Layer

The TCP/IP model does not have session or presentation layers. No need for them was perceived. Instead, applications simply include any session and presentation functions that they require. Experience with the OSI model has proven this view correct: these layers are of little use to most applications.

On top of the transport layer is the **application layer**. It contains all the higher-level protocols. The early ones included virtual terminal (TELNET), file transfer (FTP), and electronic mail (SMTP). Many other protocols have been added to these over the years. Some important ones that we will study, shown in Fig. 1-22,

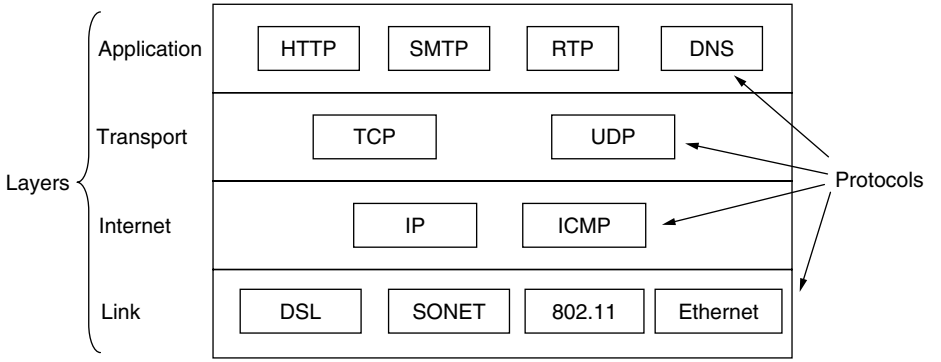

Figure 1-22. The TCP/IP model with some protocols we will study.

include the Domain Name System (DNS), for mapping host names onto their network addresses, HTTP, the protocol for fetching pages on the World Wide Web, and RTP, the protocol for delivering real-time media such as voice or movies.

1.4.3 The Model Used in This Book

As mentioned earlier, the strength of the OSI reference model is the *model* itself (minus the presentation and session layers), which has proven to be exceptionally useful for discussing computer networks. In contrast, the strength of the TCP/IP reference model is the *protocols*, which have been widely used for many years. Since computer scientists like to have their cake and eat it, too, we will use the hybrid model of Fig. 1-23 as the framework for this book.

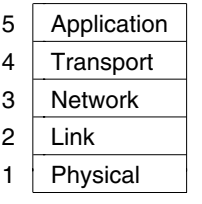

Figure 1-23. The reference model used in this book.

This model has five layers, running from the physical layer up through the link, network and transport layers to the application layer. The physical layer specifies how to transmit bits across different kinds of media as electrical (or other analog) signals. The link layer is concerned with how to send finite-length messages between directly connected computers with specified levels of reliability. Ethernet and 802.11 are examples of link layer protocols.

The network layer deals with how to combine multiple links into networks, and networks of networks, into internetworks so that we can send packets between distant computers. This includes the task of finding the path along which to send the packets. IP is the main example protocol we will study for this layer. The transport layer strengthens the delivery guarantees of the Network layer, usually with increased reliability, and provide delivery abstractions, such as a reliable byte stream, that match the needs of different applications. TCP is an important example of a transport layer protocol.

Finally, the application layer contains programs that make use of the network. Many, but not all, networked applications have user interfaces, such as a Web browser. Our concern, however, is with the portion of the program that uses the network. This is the HTTP protocol in the case of the Web browser. There are also important support programs in the application layer, such as the DNS, that are used by many applications.

Our chapter sequence is based on this model. In this way, we retain the value of the OSI model for understanding network architectures, but concentrate primarily on protocols that are important in practice, from TCP/IP and related protocols to newer ones such as 802.11, SONET, and Bluetooth.

1.4.4 A Comparison of the OSI and TCP/IP Reference Models

The OSI and TCP/IP reference models have much in common. Both are based on the concept of a stack of independent protocols. Also, the functionality of the layers is roughly similar. For example, in both models the layers up through and including the transport layer are there to provide an end-to-end, network-independent transport service to processes wishing to communicate. These layers form the transport provider. Again in both models, the layers above transport are application-oriented users of the transport service.

Despite these fundamental similarities, the two models also have many differences. In this section we will focus on the key differences between the two reference models. It is important to note that we are comparing the *reference models* here, not the corresponding *protocol stacks*. The protocols themselves will be discussed later. For an entire book comparing and contrasting TCP/IP and OSI, see Piscitello and Chapin (1993).

Three concepts are central to the OSI model:

1. Services.
2. Interfaces.
3. Protocols.

Probably the biggest contribution of the OSI model is that it makes the distinction between these three concepts explicit. Each layer performs some *services* for the

layer above it. The service definition tells what the layer does, not how entities above it access it or how the layer works. It defines the layer's semantics.

A layer's *interface* tells the processes above it how to access it. It specifies what the parameters are and what results to expect. It, too, says nothing about how the layer works inside.

Finally, the peer *protocols* used in a layer are the layer's own business. It can use any protocols it wants to, as long as it gets the job done (i.e., provides the offered services). It can also change them at will without affecting software in higher layers.

These ideas fit very nicely with modern ideas about object-oriented programming. An object, like a layer, has a set of methods (operations) that processes outside the object can invoke. The semantics of these methods define the set of services that the object offers. The methods' parameters and results form the object's interface. The code internal to the object is its protocol and is not visible or of any concern outside the object.

The TCP/IP model did not originally clearly distinguish between services, interfaces, and protocols, although people have tried to retrofit it after the fact to make it more OSI-like. For example, the only real services offered by the internet layer are SEND IP PACKET and RECEIVE IP PACKET. As a consequence, the protocols in the OSI model are better hidden than in the TCP/IP model and can be replaced relatively easily as the technology changes. Being able to make such changes transparently is one of the main purposes of having layered protocols in the first place.

The OSI reference model was devised *before* the corresponding protocols were invented. This ordering meant that the model was not biased toward one particular set of protocols, a fact that made it quite general. The downside of this ordering was that the designers did not have much experience with the subject and did not have a good idea of which functionality to put in which layer.

For example, the data link layer originally dealt only with point-to-point networks. When broadcast networks came around, a new sublayer had to be hacked into the model. Furthermore, when people started to build real networks using the OSI model and existing protocols, it was discovered that these networks did not match the required service specifications (wonder of wonders), so convergence sublayers had to be grafted onto the model to provide a place for papering over the differences. Finally, the committee originally expected that each country would have one network, run by the government and using the OSI protocols, so no thought was given to internetworking. To make a long story short, things did not turn out that way.

With TCP/IP the reverse was true: the protocols came first, and the model was really just a description of the existing protocols. There was no problem with the protocols fitting the model. They fit perfectly. The only trouble was that the *model* did not fit any other protocol stacks. Consequently, it was not especially useful for describing other, non-TCP/IP networks.

Turning from philosophical matters to more specific ones, an obvious difference between the two models is the number of layers: the OSI model has seven layers and the TCP/IP model has four. Both have (inter)network, transport, and application layers, but the other layers are different.

Another difference is in the area of connectionless versus connection-oriented communication. The OSI model supports both connectionless and connection-oriented communication in the network layer, but only connection-oriented communication in the transport layer, where it counts (because the transport service is visible to the users). The TCP/IP model supports only one mode in the network layer (connectionless) but both in the transport layer, giving the users a choice. This choice is especially important for simple request-response protocols.

1.4.5 A Critique of the OSI Model and Protocols

Neither the OSI model and its protocols nor the TCP/IP model and its protocols are perfect. Quite a bit of criticism can be, and has been, directed at both of them. In this section and the next one, we will look at some of these criticisms. We will begin with OSI and examine TCP/IP afterward.

At the time the second edition of this book was published (1989), it appeared to many experts in the field that the OSI model and its protocols were going to take over the world and push everything else out of their way. This did not happen. Why? A look back at some of the reasons may be useful. They can be summarized as:

1. Bad timing.
2. Bad technology.
3. Bad implementations.
4. Bad politics.

Bad Timing

First let us look at reason one: bad timing. The time at which a standard is established is absolutely critical to its success. David Clark of M.I.T. has a theory of standards that he calls the *apocalypse of the two elephants*, which is illustrated in Fig. 1-24.

This figure shows the amount of activity surrounding a new subject. When the subject is first discovered, there is a burst of research activity in the form of discussions, papers, and meetings. After a while this activity subsides, corporations discover the subject, and the billion-dollar wave of investment hits.

It is essential that the standards be written in the trough in between the two ''elephants.'' If they are written too early (before the research results are well

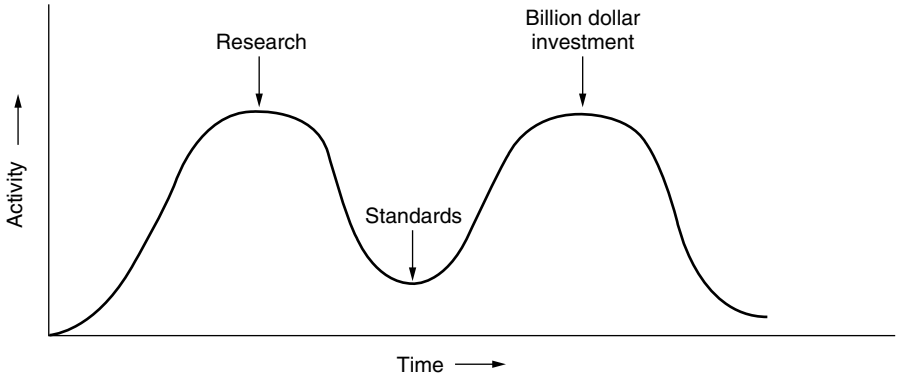

Figure 1-24. The apocalypse of the two elephants.

established), the subject may still be poorly understood; the result is a bad standard. If they are written too late, so many companies may have already made major investments in different ways of doing things that the standards are effectively ignored. If the interval between the two elephants is very short (because everyone is in a hurry to get started), the people developing the standards may get crushed.

It now appears that the standard OSI protocols got crushed. The competing TCP/IP protocols were already in widespread use by research universities by the time the OSI protocols appeared. While the billion-dollar wave of investment had not yet hit, the academic market was large enough that many vendors had begun cautiously offering TCP/IP products. When OSI came around, they did not want to support a second protocol stack until they were forced to, so there were no initial offerings. With every company waiting for every other company to go first, no company went first and OSI never happened.

Bad Technology

The second reason that OSI never caught on is that both the model and the protocols are flawed. The choice of seven layers was more political than technical, and two of the layers (session and presentation) are nearly empty, whereas two other ones (data link and network) are overfull.

The OSI model, along with its associated service definitions and protocols, is extraordinarily complex. When piled up, the printed standards occupy a significant fraction of a meter of paper. They are also difficult to implement and inefficient in operation. In this context, a riddle posed by Paul Mockapetris and cited by Rose (1993) comes to mind:

Q: What do you get when you cross a mobster with an international standard?
A: Someone who makes you an offer you can't understand.

In addition to being incomprehensible, another problem with OSI is that some functions, such as addressing, flow control, and error control, reappear again and again in each layer. Saltzer et al. (1984), for example, have pointed out that to be effective, error control must be done in the highest layer, so that repeating it over and over in each of the lower layers is often unnecessary and inefficient.

Bad Implementations

Given the enormous complexity of the model and the protocols, it will come as no surprise that the initial implementations were huge, unwieldy, and slow. Everyone who tried them got burned. It did not take long for people to associate "OSI" with "poor quality." Although the products improved in the course of time, the image stuck.

In contrast, one of the first implementations of TCP/IP was part of Berkeley UNIX and was quite good (not to mention, free). People began using it quickly, which led to a large user community, which led to improvements, which led to an even larger community. Here the spiral was upward instead of downward.

Bad Politics

On account of the initial implementation, many people, especially in academia, thought of TCP/IP as part of UNIX, and UNIX in the 1980s in academia was not unlike parenthood (then incorrectly called motherhood) and apple pie.

OSI, on the other hand, was widely thought to be the creature of the European telecommunication ministries, the European Community, and later the U.S. Government. This belief was only partly true, but the very idea of a bunch of government bureaucrats trying to shove a technically inferior standard down the throats of the poor researchers and programmers down in the trenches actually developing computer networks did not aid OSI's cause. Some people viewed this development in the same light as IBM announcing in the 1960s that PL/I was the language of the future, or the DoD correcting this later by announcing that it was actually Ada.

1.4.6 A Critique of the TCP/IP Reference Model

The TCP/IP model and protocols have their problems too. First, the model does not clearly distinguish the concepts of services, interfaces, and protocols. Good software engineering practice requires differentiating between the specification and the implementation, something that OSI does very carefully, but TCP/IP does not. Consequently, the TCP/IP model is not much of a guide for designing new networks using new technologies.

Second, the TCP/IP model is not at all general and is poorly suited to describing any protocol stack other than TCP/IP. Trying to use the TCP/IP model to describe Bluetooth, for example, is completely impossible.