# **Shivam Gupta**

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**EDUCATION University of Texas at Austin (UT Austin)** Aug 2018 – Present

Ph.D. in Computer Science (Advisor: Eric Price)

University of Illinois at Urbana-Champaign (UIUC)

May 2018

B.S. in Computer Science, Minor in Mathematics

**INTERESTS** Diffusion Models, Machine Learning, Statistics, related topics

**EXPERIENCE** Google DeepMind

San Francisco, CA

Student Researcher

May 2024 - Present

• Working on leveraging meta-optimization techniques to fine-tune Large Language Models (LLMs)

**Massachusetts Institute of Technology** 

Cambridge, MA

Visiting Student

Jun 2023 – Aug 2023

• Worked on proving sharper rates for high-probability mean estimation

University of California, Berkeley

Berkeley, CA

Visiting Student Researcher

Aug 2022 – May 2023

• Developed theory for diffusion models, and wrote experiments to improve understanding

Developed new mean and location estimation algorithms

Sigma Computing, Inc.

San Francisco, CA

Research Intern

May 2022 – Aug 2022

· Worked on designing and implementing anomaly detection algorithms for various datasets

University of Wisconsin, Madison

Madison, WI

Research Intern

May 2020 – Aug 2020

• Studied gradient descent algorithms to robustly estimate the mean of a high-dimensional Gaussian

• Wrote experiments for outlier-robust sparse estimation in Python and Numpy

Jane Street New York, NY

Software Developer Intern

May 2016 – Aug 2016

• Wrote server code to employ state machine replication to send and receive data via RPCs

• Developed a market data parser in OCaml

Bloomberg L.P. New York, NY

R&D Intern May 2015 – Aug 2015

• Developed real-time system for Bloomberg Terminal to track messages between services in C++

# PAPERS 12. Uncovering the Bias in Diffusion-based Posterior Sampling Methods

Shivam Gupta, Brett Levac, Jon Tamir, Eric Price

In submission to NeurIPS 2024

# 11. Faster Provable Sampling for Diffusion via Randomized Midpoints: Sequential and Parallel Algorithms

Shivam Gupta, Linda Cai, Sitan Chen

In submission to NeurIPS 2024

### 10. Improved Sample Complexity Bounds for Diffusion Model Training

Shivam Gupta, Aditya Parulekar, Eric Price, Zhiyang Xun

In submission to NeurIPS 2024

#### 9. Diffusion Posterior Sampling is Computationally Intractable

Shivam Gupta, Ajil Jalal, Aditya Parulekar, Eric Price, Zhiyang Xun *International Conference on Machine Learning (ICML)* 2024

## 8. Beyond Catoni: Sharper Rates for Heavy-Tailed and Robust Mean Estimation

Shivam Gupta, Samuel B. Hopkins, Eric Price

Conference on Learning Theory (COLT) 2024

# 7. Minimax-Optimal Location Estimation

Shivam Gupta, Jasper C.H. Lee, Eric Price, Paul Valiant

Neural Information Processing Systems (NeurIPS) 2023

## 6. Finite-Sample Symmetric Mean Estimation with Fisher Information Rate

Shivam Gupta, Jasper C.H. Lee, Eric Price Conference on Learning Theory (COLT) 2023

# 5. High-dimensional Location Estimation via Norm Concentration for Subgamma Vectors

Shivam Gupta, Jasper C.H. Lee, Eric Price

International Conference on Machine Learning (ICML) 2023

# 4. Finite-Sample Maximum Likelihood Estimation of Location

Shivam Gupta, Jasper C.H. Lee, Eric Price, Paul Valiant *Neural Information Processing Systems (NeurIPS)* 2022

## 3. Outlier-Robust Sparse Estimation via Non-Convex Optimization

Yu Cheng, Ilias Diakonikolas, Rong Ge, Shivam Gupta, Daniel Kane, Mahdi Soltanolkotabi *Neural Information Processing Systems (NeurIPS)* 2022

## 2. Sharp Constants in Uniformity Testing via the Huber Statistic

Shivam Gupta, Eric Price

Conference on Learning Theory (COLT) 2022

# 1. Nash Equilibrium Computation in Resource Allocation Games

Shivam Gupta, Ruta Mehta

International Conference on Autonomous Agents and Multiagent Systems (AAMAS) 2018

#### OTHER EXPEDIENCE

## Research with Prof. Andreas Klockner (UIUC)

Aug 2014 - May 2017

- **EXPERIENCE** Developed and implemented mesh-refinement algorithms (in Python and using NumPy) to iteratively refine and coarsen meshes while preserving connectivity information.
  - Developed theory to explain the algorithms.

# **Eventifier - Software Engineer Intern**

May 2014-Jul 2014

• Developed system to stream Twitter data related to particular topics and classify them as positive/negative using NLP.

# **Freelance Programming**

May 2014-Aug 2014

• Developed Android app for scholarship database company

### **Game Development**

Jan 2013-Aug 2014

- Developed a game engine in C++ and SDL with entity management and rendering functionality
- Developed several game prototypes using the engine
- Initiated organization of Global Game Jam in 2013 for the first time in India (still occurring annually as of 2024)

# SELECTED AWARDS

- C.W. Gear Outstanding Undergraduate Student Award 2018
- Conference Travel Grant 2018 (for travel to AAMAS)
- Horace and Kate King Wu International Undergraduate Scholarship 2018
- Illinois Engineering Achievement Scholarship 2017
- NTT Data, Inc., Scholarship 2015, 2016
- Franz Hohn and J.P. Nash Scholarship 2015 (for research in scientific computing)
- Perfect score in the Indian National Olympiad in Informatics, and selected as one of 26 students in India to attend the International Olympiad in Informatics training camp 2014
- ACM ICPC Mid-Central Regional: Team placed 6<sup>th</sup> in 2017, 4<sup>th</sup> in 2016
- Represented India in SEARCC Software Competition 2013, Colombo, Sri Lanka, and placed 3<sup>rd</sup>
- Placed 2<sup>nd</sup> in Dropbox Open programming contest 2015 at UIUC
- Won Bloomberg CodeCon Challenge and invited to CppCon 2015 in Bellevue, Washington
- Won 3Red Trading Tech Challenge in 2015 and 2016 and invited to Chicago

#### **SKILLS**

- Languages: C/C++, Python, Java, OCaml, Haskell, JavaScript
- Software and Libraries: NumPy, SciPy, PyTorch, Mathematica, LATEX

#### **REVIEWING**

SODA 2021, 2024; NeurIPS 2023, 2024; ITCS 2024; ALT 2024; ICLR 2024; ICML 2024, TF2M@ICML 2024;

#### **TALKS**

• Sample-Efficient Training for Diffusion
IFML Workshop on Generative AI, UT Austin

November 2023

- A Finite-Sample Theory for Mean Estimation with Fisher Information Rate October 2023 MIT Algorithms and Complexity Seminar
- A Finite-Sample Theory for Mean Estimation with Fisher Information Rate October 2023 CMU Theory Lunch
- Finite-Sample Symmetric Mean Estimation with Fisher Information Rate

  Conference on Learning Theory, Bangalore, India

### **TEACHING**

#### At UT Austin:

Teaching Assistant, Sublinear Algorithms (CS 395T)

Fall 2020 Spring 2019

- Teaching Assistant, Introduction to Algorithms (CS 331)
- Summer 2019, Fall 2019
- Teaching Assistant, Machine Learning (CS 395T)
  Teaching Assistant, Elements of Data Analytics (CS 329E)

Spring 2019

• Teaching Assistant, Elements of Computer Programming (CS 303E)

Fall 2018

#### At UIUC:

• Course Assistant, Introduction to Algorithms (CS 374)

Spring 2018

• Grader, Algorithms II (CS 473)

Spring 2018

# RELEVANT COURSES

Probability and Stochastic Processes, Learning Theory, Coding Theory, Theoretical Statistics, Randomized Algorithms, Markov Chains and Mixing Time, Approximation Algorithms, Combinatorial Mathematics, Numerical Linear Algebra, Wireless Networking