# **Shivam Gupta**

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**EDUCATION** University of Texas at Austin (UT Austin)

Dec 2024 (Expected)

Ph.D. in Computer Science

University of Illinois at Urbana-Champaign (UIUC)

May 2018

B.S. in Computer Science, Minor in Mathematics

INTERESTS Larg

Large Language Models, Diffusion Models, Machine Learning, Statistics, related topics

### **EXPERIENCE** Google DeepMind

San Francisco, CA

Student Researcher

May 2024 – Present

- Working on leveraging bandit optimization techniques for training and fine-tuning Large Language Models (LLMs) using evaluation feedback.
- Built optimized data and evaluation pipeline from scratch for the Gemma series of models for experimentation using Python, JAX and TensorFlow. Reduced training and evaluation times from several hours for the reference implementation to ≤ 5 minutes using 100+ TPUs. Showed result for algorithmically computing data mixture to optimize evaluation. Working on extensive evaluation.
- Showed that naive gradient estimation is too noisy for convergence, and contributed to an improved gradient estimator based on importance scores.
- Showed that the system is poorly conditioned, and proposed use of adaptive conditioning schemes (Adagrad). Showed large performance boost empirically.
- Proposed approach for fine-grained sampling of training datasets to optimize evaluation metrics.

# **Massachusetts Institute of Technology**

Cambridge, MA

Visiting Student

Jun 2023 – Aug 2023

• Proved sharper rates for high-probability mean estimation. Resulted in paper at COLT 2024.

# University of California, Berkeley

Berkeley, CA

Visiting Student Researcher

Aug 2022 - May 2023

- Developed theory for diffusion models, and wrote experiments to improve understanding, resulting in a paper (in submission to NeurIPS 2024).
- Developed mean estimation algorithms, resulting in multiple papers at NeurIPS, ICML and COLT.

### Sigma Computing, Inc.

San Francisco, CA

Research Intern

May 2022 – Aug 2022

· Worked on designing and implementing anomaly detection algorithms for various datasets

### University of Wisconsin, Madison

Madison, WI

Research Intern

May 2020 – Aug 2020

- Studied gradient descent algorithms to robustly estimate the mean of a high-dimensional Gaussian
- Wrote experiments for robust sparse estimation in Python. Resulted in NeurIPS 2022 paper.

Jane Street New York, NY

Software Developer Intern

May 2016 – Aug 2016

- Wrote server code to employ state machine replication to send and receive data via RPCs
- Developed a market data parser in OCaml

Bloomberg L.P.

New York, NY

**R&D** Intern

May 2015 – Aug 2015

• Developed real-time system for Bloomberg Terminal to track messages between services in C++

### PAPERS 12. Uncovering the Bias in Diffusion-based Posterior Sampling Methods

Shivam Gupta, Brett Levac, Jon Tamir, Eric Price

In submission

### 11. Faster Diffusion-based Sampling with Randomized Midpoints: Sequential and Parallel

Shivam Gupta, Linda Cai, Sitan Chen

In submission

# 10. Improved Sample Complexity Bounds for Diffusion Model Training

Shivam Gupta, Aditya Parulekar, Eric Price, Zhiyang Xun Neural Information Processing Systems (NeurIPS) 2024

# 9. Diffusion Posterior Sampling is Computationally Intractable

Shivam Gupta, Ajil Jalal, Aditya Parulekar, Eric Price, Zhiyang Xun *International Conference on Machine Learning (ICML)* 2024

# 8. Beyond Catoni: Sharper Rates for Heavy-Tailed and Robust Mean Estimation

Shivam Gupta, Samuel B. Hopkins, Eric Price Conference on Learning Theory (COLT) 2024

# 7. Minimax-Optimal Location Estimation

Shivam Gupta, Jasper C.H. Lee, Eric Price, Paul Valiant Neural Information Processing Systems (NeurIPS) 2023

# 6. Finite-Sample Symmetric Mean Estimation with Fisher Information Rate

Shivam Gupta, Jasper C.H. Lee, Eric Price Conference on Learning Theory (COLT) 2023

# 5. High-dimensional Location Estimation via Norm Concentration for Subgamma Vectors

Shivam Gupta, Jasper C.H. Lee, Eric Price

International Conference on Machine Learning (ICML) 2023

# 4. Finite-Sample Maximum Likelihood Estimation of Location

Shivam Gupta, Jasper C.H. Lee, Eric Price, Paul Valiant Neural Information Processing Systems (NeurIPS) 2022

# 3. Outlier-Robust Sparse Estimation via Non-Convex Optimization

Yu Cheng, Ilias Diakonikolas, Rong Ge, Shivam Gupta, Daniel Kane, Mahdi Soltanolkotabi *Neural Information Processing Systems (NeurIPS) 2022* 

# 2. Sharp Constants in Uniformity Testing via the Huber Statistic

Shivam Gupta, Eric Price

Conference on Learning Theory (COLT) 2022

# 1. Nash Equilibrium Computation in Resource Allocation Games

Shivam Gupta, Ruta Mehta

International Conference on Autonomous Agents and Multiagent Systems (AAMAS) 2018

# OTHER EXPERIENCE

# Research with Prof. Andreas Klockner (UIUC)

Aug 2014 - May 2017

**EXPERIENCE** • Developed and implemented mesh-refinement algorithms (in Python and using NumPy) to iteratively refine and coarsen meshes while preserving connectivity information.

# **Eventifier - Software Engineer Intern**

May 2014–Jul 2014

Developed system to stream Twitter data related to particular topics and classify them using NLP.
 Freelance Programming
 May 2014—Aug 2014

Developed Android app for scholarship database company

### **Game Development**

Jan 2013-Aug 2014

- Developed a game engine in C++ and SDL with entity management and rendering functionality
- Developed several game prototypes using the engine
- Initiated organization of Global Game Jam in 2013 for the first time in India (still occurring annually as of 2024)

# SELECTED AWARDS

- COLT 2024 Travel Award
- C.W. Gear Outstanding Undergraduate Student Award 2018
- Conference Travel Grant 2018 (for travel to AAMAS)
- Horace and Kate King Wu International Undergraduate Scholarship 2018
- Illinois Engineering Achievement Scholarship 2017
- NTT Data, Inc., Scholarship 2015, 2016
- Franz Hohn and J.P. Nash Scholarship 2015 (for research in scientific computing)
- Perfect score in the Indian National Olympiad in Informatics, and selected as one of 26 students in India to attend the International Olympiad in Informatics training camp 2014
- ACM ICPC Mid-Central Regional: Team placed 6<sup>th</sup> in 2017, 4<sup>th</sup> in 2016
- Represented India in SEARCC Software Competition 2013, Colombo, Sri Lanka, and placed 3<sup>rd</sup>

- Placed 2<sup>nd</sup> in Dropbox Open programming contest 2015 at UIUC
- Won Bloomberg CodeCon Challenge and invited to CppCon 2015 in Bellevue, Washington
- Won 3Red Trading Tech Challenge in 2015 and 2016 and invited to Chicago

### **SKILLS**

- Languages: Python, C/C++, Java, OCaml, Haskell, JavaScript
- Software and Libraries: PyTorch, JAX, TensorFlow, NumPy, SciPy, Mathematica, IATEX

### **SERVICE**

- Program Committee: ALT 2025, AAAI 2025
- Reviewing: SODA 2021, 2024; NeurIPS 2023, 2024; ITCS 2024; ALT 2024; ICLR 2024, 2025; ICML 2024, TF2M@ICML 2024

### **TALKS**

- Beyond Catoni: Sharper Rates for Heavy-Tailed and Robust Mean Estimation July 2024 Conference on Learning Theory 2024 (Online)
- Sample-Efficient Training for Diffusion
  IFML Workshop on Generative AI, UT Austin

n **Data** Octobor 2023

November 2023

- A Finite-Sample Theory for Mean Estimation with Fisher Information Rate October 2023 MIT Algorithms and Complexity Seminar
- A Finite-Sample Theory for Mean Estimation with Fisher Information Rate October 2023 CMU Theory Lunch
- Finite-Sample Symmetric Mean Estimation with Fisher Information Rate
  Conference on Learning Theory 2023, Bangalore, India
- **High-dimensional Estimation via Norm Concentration for Subgamma Vectors**International Conference on Machine Learning 2023 (Online)
- Sharp Constants in Uniformity Testing via the Huber Statistic

  ML Tea, UT Austin

  July 2022

### **TEACHING**

# At UT Austin:

- Teaching Assistant, Sublinear Algorithms (CS 395T)
   Teaching Assistant, Introduction to Algorithms (CS 331)
   Teaching Assistant, Machine Learning (CS 395T)
   Summer 2019, Fall 2019
- Teaching Assistant, Elements of Data Analytics (CS 329E)
   Teaching Assistant, Elements of Computer Programming (CS 303E)
   Fall 2018

### At UIUC:

Course Assistant, Introduction to Algorithms (CS 374)
 Grader, Algorithms II (CS 473)
 Spring 2018

# RELEVANT COURSES

Probability and Stochastic Processes, Learning Theory, Coding Theory, Theoretical Statistics, Randomized Algorithms, Markov Chains and Mixing Time, Approximation Algorithms, Combinatorial Mathematics, Numerical Linear Algebra, Wireless Networking