Focus group no. 1, researcher group, Humanities-Historical, December 2019

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I1: Well, welcome everybody to our focus group. We should have been two people more but I think it is the flu season, so people called in sick. But I'm glad that you could make it. And we're really happy that you have taken the time to to come to this group. Ehm I think before we start it will be good if we sign the consent forms, so we have all the bureaucracy #00:05:38#

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12: We have the (unclear #00:05:40#) already #00:05:38#

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13 P1: Oh great because I obviously forgot mine #00:05:47#

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15 (papers are handed around) #00:05:58#

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17 I2: Do you want one without a signature? #00:05:58#

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P2: That would be good yes #00:06:03#

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21 I2: We can just make a #00:06:03#

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I1: Yeah we can do that during the break #00:06:26#

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(unclear #00:06:28#) #00:06:28#

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I1: And it's actually a quite new thing in [country] that we have to do it, in a written form, yeah, normally oral consent is enough here, and eh but it's a European project so we have to work with the same standards. And also when it comes to ethical approval of our projects, we also have to send in an application this time, which is also not normal for a project like this in [country]. But in other countries it is. But that's exactly what we are going to talk about in here. Before we start with the questions, I would like to say just a few words about our project, so you'll maybe know a little bit more about what it is we are doing. So our project is called Standard Operating Procedures for Research Integrity, SOPs4RI. It's a European project with 13 partners in 10 different countries, and it's led by project [...anonymized...]. And uhm yeah it's a Horizon 2020 project, on that special programme called Science with and for Society. And the overall goal of the whole thing is to promote excellent research and a responsible research and integrity culture across European research performing organizations and research funding organizations, and we are going to use these RPOs and RFOs, so we have this double aim. So by research performing organizations, we mean universities first and foremost, and with research funding organizations we also work with them. The background for our project is that the European Union in its next framework programme, Horizon Europe, which will take over in 2021, will make it mandatory for all organizations to take part in that, to have a research integrity promotion plan, and we are, in our project, working to eh to fill in content in these plans. So

to decide what topics should be covered in such a plan, and what tools can then be used to

promote research integrity within the different topics. So every organization has to make it clear in this plan how they will promote responsible research practices, how they will avoid (unclear #00:09:21#) practices. And we are building this tool box, which will be an online available toolbox that all European universities can use, and it's not that they are going to make exactly the same plan, but they will build their own plans, but we will define the topics that they will have to consider in such a plan, and we will provide the tools they can use for promoting research integrity. So this is what we are going to do, when we talk about the tools, we talk about two different kinds of standard operating procedures and guidelines. And to take guidelines first, here is an example of the guidelines. I think it is from COPE, COPE is the Committee on Publication Ethics, so a lot of the big journals are a part of this, they have made a lot of guidelines and SOPs, so this is just to give an example of what a guideline could look like. This is a guideline on how to handle authorship disputes, a guide for new researchers, who should be a co-author on the paper, who should just be in the acknowledgement and who shouldn't be mentioned at all. These things that can be tricky for all of us to handle. So that's an example of a guideline. We defined a guideline as a statement, or principles or issues to consider when performing a task. Something that aims to guide courses of action. Guidelines give directions that help users make decisions, and they may include checklists, things to consider when you're doing research. Then we look at a SOP, a Standard Operating Procedure, we then defined that as a...as a more detailed, a detailed written instruction that aims to achieve uniform actions step by step. SOPs proscribe specific actions, and they in this way liberate users from decision making, by ensuring that they follow a certain procedure, and they may come in the shape of a decision tree or -flow like this example here, which is from the Norwegian Clinical Research Infrastructure Network. And it's an example on how to handle data in clinical trials, and I know that this is far away from what you do, but it is also just an example to give an idea about, when you talk about SOPs, this could be a SOP, something more strict than guidelines. In our focus group study, which is part of this huge project, which has been going on for now a year, and will continue for three years, where we have so far identified topics in in, we have different work packages where we have a Delphi survey and interviews and a literature study to identify different topics, and we will now continue with these topics in a number of focus group interview, 32 overall. So this is the first, well they had one in [city] yesterday and one in [city] as well, and there will be 32 of them over all in Europe, where we are especially interested in learning how the different disciplines look at the topics we have identified so far and about the content of the tool box. So, this is why you are all from historical subjects, historical disciplines, here, and this is the perspective that we are going to talk about today. So practical issues, this will take between two and two and a half hours, and we will first start with some open questions, we have three topics that we would like to have your views on, before we then have a lunch break and then we have an exercise, where we're going to sort some more topics and then we end with, we would like to hear your feedback on how it has been to be part of this, and are there things we can do better in the next focus group. Focus group interview is also a different form of interview than what you normally encounter, so we won't ask as many questions as you're probably used to if you have done other interviews. We would like you to discuss things that we throw on the table, we will also take part in the conversation of course, I have background [... anonymized ...] So this is what we are going to do, everything here is of course confidential. We record it as you can see, and we will transcribe the interviews, which will be used in a completely anonymous form, and everything that comes out of the project outside the research group

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will be anonymized completely. So you can speak freely, and it is not that I think that this is particularly controversial, but you know, don't feel that you have to hold anything back. So that's it I think from me. Any question to this? To the set up? #00:15:39#

P1: Yeah, maybe you'll get into that, but I need, I would like to know more about WHY this responsible- eh no research integrity, yeah, so ehm what is behind it? Is it to make everything comparable? Is it a tool for competitive-, for competition? Or is it more to make European research comparable and equal or? #00:16:10#

I1: So, the sad background is that we have had a number of scandals, research integrity scandals, research misconduct cases, and we normally refer to them as FFPs, Fabrication Falsification and Plagiarism. These are the very severe forms of misconduct, but we also have a big grey area that we call QRPs, Questionable Research Practices, and they are sort of in between FFPs and responsible conduct of research. And we know that these, they take, they mean a lot more, very few people are involved in the heavy forms of misconduct, but a lot of us from time to time find ourselves in these other ones. So that's kind of the background, and we know that, that's also what they call a replication, reproducibility crisis in science right now, where many studies, especially in psychology, medicine, they haven't been able to reproduce them. So up to 70-80 percent of the studies that have been done couldn't be reproduced by other groups, which is of course a problem for us in science. So the crisis in science, that's how we refer to it, is the background of the whole project. And therefore the European Union has said, very wisely, "we need to do some things about research integrity". And they have a number of projects. [... anonymized ...]

I1: And that's also what we are going to talk about here today in what ways you could do your research better or with more integrity. That's the main focus. But departing from your practice, what it is you do when you do your research. Before we switch, maybe we should just take a little name round. I can start, I'm I1, and I work here at [... anonymized ...] #00:20:18#

P3: [...anonymized...],

I1: [...anonymized...], And then I was away from here for some years, and then I came back and have been here [... anonymized ...] And I work with issues related to research integrity, but now all my time is taken by this project only. And then I also have a little or do some work with social theory. #00:20:50#

131 P3: I'm P3, I'm from [...anonymized...],

I2: My name is [name] and I'm [...anonymized...]. #00:22:11#

P1: I'm P1, I'm [...anonymized...]. So I've been all over Europe basically. And I work sort of, well not sort of, I work [... anonymized ...] #00:22:46#

138 P2: [...anonymized...] #00:22:46#

P1: [...anonymized...] #00:22:52#

P2: Not at all. So I'm [...anonymized...]. #00:23:42#

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P3: Are you involved with [...anonymized...]? Haven't they just received an uhm #00:23:50#

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P2: No, I'm not, I mean I've heard about it, [... anonymized ...]#00:23:56#

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P3: Yes, but I think uhm [name], she she was the one who's PI on it? #00:24:04#

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150 P2: Yes, exactly, yes. #00:24:12#

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I2: So as I1 just mentioned, we are really interested in discussing with you, based in your practice, your fields of research [different historical fields], which kinds of guidelines do you see that could help you in your own roles, in terms of guidelines implemented or SOPs implemented at the university level. So we would like to ask you, when you think about your own research, your own work, do you think of any areas related to research integrity where you think it would beneficial to have more clear guidelines? If you have come across any issues or yeah maybe, as I1 mentioned earlier, you have to, when you work, collaborate with universities across Europe, how to collaborate when sometimes you don't have the same standards, or it could be in research ethics, research integrity, education and so on. So there is many topics, but #00:25:19#

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P1: So this is, has come from having worked in different countries, ehm I so, I think what is lacking, or I don't know whether it is lacking, but I feel the the sort of research integrity standards are different from country to country, and I'm sometimes quite disturbed by the strict standards in [country] where the plagiarizing standards are so high that I feel uhm that it's actually not possible in humanities, where when you work, let's say with a text like [... anonymized ...], a standard text, and you just look at one sentence, you can write seven articles about that one sentence, but of course, but sometimes in [nationality] cases that already counts as plagiarism. And that for example to me is just not feasible, because, you know, yes you can write seven completely original articles on this one sentence, but of course you always have to quote that same sentence, you of course always have to sort of, I don't know, describe the background in the same way. So on the other hand I think it is good to have, you know, quite high plagiarism standards, but to me that's seems, for the humanities, especially when we work very closely with texts, almost impossible to not plagiarize, you know. So that was one that really came out when I, when I read through the guidelines, we I got them presented I thought "oh my God" (laugh), now I'm probably plagiarizing, and I feel I don't. #00:26:59#

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I1: Can I ask you, do you use parts of the same text again or is it just that you quote the same places? #00:27:06#

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P1: I quote the same places, but of course the thoughts that go, well you know, it's my brain, you know, so I might write sentences twice that I don't copy and paste, but it's ME, right, and they lead to different things, but I cannot say that I haven't used the same sentences in different #00:27:26#

I1: It's the same reading of the same place in [... anonymized ...] #00:27:25#

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P1: Exactly but with a different question for example, so there will always be sort of set ups that might be very similar, you know, the questions that you pose on the text will be different and so the result will be different. But some things, you have to explain them otherwise your article doesn't make sense, so you have to explain them in a similar way, and you know...And I'm sure I have sentences in uhm, the same sentences in various articles, without the articles being about the same stuff. #00:28:07#

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P2: [... anonymized ...]. So I didn't realize it was an issue in [country] that you were really not supposed to do that [reusing things you have written yourself in other texts], [... anonymized ...]. Uhm but as you say for anybody it is difficult, so the other day I was writing something myself and I had an old text and I was working on something new, and I thought "don't look at the old text, write a new text. This is brilliant what I've written" (all laugh) and I looked at the old text, and the ideas were in there as well, you know, so I don't think you can really avoid it. #00:29:17#

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P1: But I can tell you where I learned, so last year, it was at the research year in [city], and there we had this seminar about [nationality] research practices and uhm this guy from theology came and explained it to us, the [nationality], the case, but that was also like "oh", you know, he had the same thing, you know, he was a theologian and was working with the same biblical passage for the last 20 years, right. So but that's interesting, so that there might be a gap between sort of what's practice and what's, so what's rules and what's practice, right. #00:29:56#

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I2: You had a comment? #00:29:59#

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P3: Yeah, I think I'll, I think it's a very, very good question, uhm and I think it's something that...that we can spend days and days and days to discuss. Uhm I think my point of departure would probably be to also sort of think about the guidelines and the standards we have for natural sciences, technical sciences. Because, you mentioned it in your presentation I1, you said something about reproducibility and you could also bring attention to universalism, to communalism all these (unclear #00:30:39#) norms that we have from way back. And we do this and we teach this when we teach theory of science...uhm but I think, and we need to point to this, there's a very big difference between the humanities and the way that we do science and the way that natural sciences do it. Sometimes there are intersections, I think, for instance in your field, you might experience more intersections uhm also in the way you publish your work, archeology and anthropology, in the general term. But when you do stuff like, the things that I do and that you do, P1, very historical stuff where you read old texts, lots of old texts, and you interpret and you analyze it, there is something about the reproducibility that doesn't seem to work, because how do you reproduce an analysis? How does some other researcher in another country reproduce the exact same fact or scientific fact that I sort of analyzed my way into, sitting here in my office in 2019. Uhm but that makes it any less of a scientific fact I don't think so, but it points to the fact that there is something intrinsically different about the humanities than the natural sciences and that the guide we have for science integrity and science standards needs to be adjusted to that difference. #00:32:30#

I1: It's very interesting what you say about reproducibility in the humanities uhm I think, so, but what's then...so if if it can't be reproduced, and I think also that my experience is that's tricky, but what then should we do as researchers to make it transparent, open, what we have done? #00:33:01#

P2: Well, I'm actually, you're right to say a lot of archeological research is more like what goes on in the hard sciences, uhm but what I do actually has much more roots in the humanities. So I think I can relate more to what you're saying. Uhm but I would think the differences or one difference is, in the hard sciences you would have an experiment that nobody has seen, and you're giving a report of what you've done. Whereas it's harder the things, I think, that we write, the thought process is going down the paper, so the experiment, the closest thing we got to an experiment, is what's there on the page. So we don't necessarily need the reproducibility I wouldn't think, because you are talking people through your thought process. So I don't know if it's really that much of an issue. #00:33:52#

P1: Because it was asked how to make it open, but it is exactly that it IS open that's the whole idea of the humanities article or book, it is absolutely open. You have to, the whole thing is, you have to, you know, you have to be able to trace my thought. I have to make sure you understand what I'm thinking, and I that I have, you know, foot notes enough, proof in that way to show you that I'm right. So the whole process of the humanities' article is to make it, I mean it is open. So that, so I would absolutely agree with you, these are different levels uhm that relate to the natural sciences and the humanities that are not comparable probably. And, I mean, it touches onto something that we'll hear all over, and because of this European project and the way funding is allocated, humanities is always saying that it is so unfair or it is not, you know, because we are always held to standards that have nothing to do with OUR practice. So it's fantastic that you say "okay, we talk to humanities people, we talk to historians", but I think maybe that's one of the main issues that needs to be reflected in some sort of report that we can't have one tool box maybe. #00:35:10#

I1: That is also the idea that we make tools for different disciplines, so it's very important what you say here, it's very important, we are all ears (laugh) #00:35:21#

P2: But the other issue would be, for the other kind of archeology, there is no reproducibility because uhm a lot of it is excavation, you can only do that once. So I mean I have heard about people sort of (unclear #00:35:33#) but nobody can go back and excavate the site again, so that's a real problem. #00:35:39#

I1: How do you work with documentation then? How do you document, what you have done? #00:35:41#

P2: Well, I don't work I the field, I have done, but there are, I mean, there are standards you're supposed to maintain when you're working in the field, but you really have to take it on the trust that the person who has carried out the excavation has done that. So when, I mean, you mostly become aware about the excavation through the published reports, and

you've got no way of controlling what they've done in the field. So it can be an issue. #00:36:07#

P3: I agree with the openness perspective, that's a central issue. But perhaps it could be even on a more general level. What we do as historians on history related fields, we work with sources, sources of different kinds. And that's really what makes the science integrity, our approach to the sources. Because the interpretations of those sources, how, P1, you and I, interpret [... anonymized ...] texts could be different, it would probably be the same, but it could be different, but how do we come across the fact that those two answers are equally justifiable. And that has to, are the use of the sources, there's an openness to that description. And so there is a sort of methodology or lots of different methodologies, but it has to deal with how we approach the historical sources, what we in [nationality] terms called [local language] source criticism. #00:37:21#

P1: Where you critique the #00:37:22#

298 P3: Yeah #00:37:21#

P2: I suppose one way that is controlled is through the peer review process, but then we have the problem that unlike in the hard sciences, it's so subjective. So I mean, I have had very mean, unfair peer reviews, which have nothing to do with whether I've approached the sources or material in a, you know, in a respectable way, but it's just purely personal, so that's an issue of integrity I think in the humanities. #00:37:53#

P1: Exactly, that is so important because so uhm, I think on one hand it's very good to emphasize once again that in the humanities, it's totally okay to have five different results, you know, you want to have five different versions of the two, that's what it is all about and nobody is right, well of course I think I am right, but you can't, right, and that's what it is about do uhm. But I wanted to, sorry what did you just say? #00:38:29#

P2: The peer review #00:38:29#

P1: Yeah, the peer review and that's also so different from country to country. So you think peer review is great, I always thought it was great, because I was educated in [country], but then when I worked in [country], in [language]-speaking [country], then I got to know the [nationality] and [nationality] system, they don't like it for example. They think actually the articles get much better sort of care if we don't peer review, but if we just do a very intense editing process, and for them, in a way, the time that goes in an editing process in a [nationality] non-peer review journal might be actually sort of comparable with a peer review, but they all have the problem that they are journals that don't count as peer reviewed, so it doesn't count as much. I mean, I don't, I still don't know what I think about it, but I think again here you have a problem with different research cultures and standards in different countries, right. #00:39:30#

I1: Can I just ask, just to come back to the transparency thing, eh so you all agree that there should be transparency in your work, like in all other scientific work, but how can we then ensure that we have transparency in the historical subjects that you work with? So what is it

exactly that should be in there to create transparency? And if you think about the guidelines and the SOPs we talked about, could something like that, could you make guidelines or could you make a SOP that could capture what needs to be in there, what you need to do if you are a PhD student starting in the [field/subject] Department in your excellence centre and has his first article. So what should he do to make it transparent what he has done? #00:40:34#

P3: I think that there are actually already plenty of guidelines doing that, but the most fundamental part would be to ensure that your reader can follow your analysis of your source. So that would mean a very descriptive uhm analysis and references of your of the way that you handle the sources, and I'm being vague about it, because you can handle your sources in a multitude of ways. You can do it in a conceptual historian way, you can do it contextualized, you can do it as a discourse analysis and those different ways of analyzing sources would be different, but on the most general level, the reader should be able to follow precisely uhm this specific source how do you handle that, what do you look for, how do you dissect it, how do you, what parts do you omit for example, because you have to select in a large (unclear #00:41:48#) of something. You have to do selections, so what selections have you included, what periods? I think there are a lot of guidelines out there already doing this. So if you have to make sort of a general description uhm it would be sort of that it is absolutely necessary that your reader can follow you chain of thought, your way through your sources, much like you said before, like this is the experiment unfolding #00:42:30#

I2: Do you see this as a challenge when you review papers, or in your own, is it more (unclear #00:42:38#) #00:42:38#

P1: I personally, uhm no because, I mean because we train our students to reference secondary literature and primary literature. So, you know, as a historian when you are properly trained, you basically can't write a sentence without, like a footnote or you know at least three secondary works, right. So it's about referencing, but I wouldn't say, so when you have a contextual point that you make, sure you didn't come up with it, you can show where you can read about let's say Florence 19th century, right, and where you can read about that (unclear #00:43:13#) or something. So, but we train them, I wouldn't say, I mean I come a across that in sort of undergrad. essays that they don't reference properly, but when they are accepted to a PhD programme, I mean I don't, I rarely come across under-footnoted, under-referenced articles, right. People are so aware that they have to quote what is out there. #00:43:44#

P2: But even this is subjective, when I think of ancient history then there are passages of ancient authors, Homer, Herodotus or something which is so well known that you can, you can cite them, you can talk about them without going into very much detail about what they say. But if it's an obscurer text, then you've got to be much more upfront about what's in it, because you can't expect the reader to have that knowledge. So even there, I mean, it comes with the experience of reading, you know "well this is a text that everybody's discussing, I don't need to treat it in the same way as one which is obscurer. So I think that makes it difficult to arrive at fixed rules for how we deal with this stuff. #00:44:21#

12: But can you think of other areas where some fixed rules could ease your work or things you experience as a challenge, if you look more broadly in you field? In terms of students or PhDs? #00:44:36#

P2: It is also very important, I think, to acknowledge the works of your predecessors, and to be responsible in the way you cite the secondary literature. I'm not sure how you would formulate that, as a, as a rule #00:44:51#

I2: Citation practices #00:44:53#

386 P1: Yeah, citations practices #00:44:56#

I1: I guess where problems sometimes occur is when people read something, a text about [reference to specific war] or something about how to understand that battle and what happened, and then people start arguing that that wasn't really what happened, and so when when do you then know that this is actually an okay reading or an okay understanding? What does it take? I think that is kind of the problem. When do we have a, when can we say that this is a scientific, this is not just a private opinion about the war, this is actually a research based piece on the war. #00:45:45#

P2: And even if you're reading some piece of scholarship, have you understood the argument properly? I was talking to a scholar in Oxford, he said all the time people were citing his work, he said "I really like that, I really liked your argument there, but that's not quite what I was saying" (all laugh). #00:46:03#

P1: I would, I mean, as simple as proper responsible referencing, so you know whether, so in this case it would just be "author XY says the [reference to specific war] was about that, I show you that it was about this. And I show it with these and these primary sources, by looking at them in a new light", and if they make that argument so convincing that you can follow it, with proper references from secondary plus primary literature, that would be for us enough, you know. You can't just exclaim it, you can't just say this is like this, but if you show it to me with enough evidence, and the evidence is in the sources, in the primary and secondary sources properly quotes in footnotes or endnotes. I can't explain, this is sort of how we get to, this is how we can understand whether something is academic or scientific or just you know pop-literature or a newspaper article." #00:47:15#

P2: I think I'd also say that I don't experience generally that these things are a problem. I think that there are some recognized codes of practice that people work within. And when they don't, somebody quickly realizes and they're exposed and it happens so rarely, but you do encounter it. And it just isn't accepted. #00:47:35#

417 I2: So the peer review process will sort of capture this or? #00:47:41#

P3: Yeah, both the peer review, but also when you read a book or an article and you encounter a sentence or a phrase and you go "this can't be right", and if the references are there you are able to follow the argument "okay we go to this source, and we look for this direct point or argument and say "is this what is actually in here or would you interpret it in

a different manner?", then you can sort of build from there. So I think we also do the source criticism all the time when we read secondary literature. So we have, even though we have a peer review process when we submit articles, the peer review will continue with the scientific work way, way past that point. And that's how we know that it works, this reference system, because whenever the references are not there, we sort of cannot do anything with the material and we just have to exclude it. We cannot base that as a valid source of information. #00:48:51#

P1: But it is quite interesting, because, I mean, I also feel like it completely works, right. There's also some aspect of community shaming, like, you know who the scholars are and properly they are not part of the community anymore. But then what you just said in the beginning that seems to me maybe doesn't work always, right, about the person in you research community, who yeah...right, so yeah, I don't know, so in my practice and in my experience, I think it works very well, and I have nothing to complain, I would rather say "please don't give me more", you know, I can't have more rules, I think, because I think it works. But so #00:49:35#

P2: In ancient history, because we have such a limited body of evidence, and a lot of researchers usually go back old roads and do something a new way, rather than going into the archives starting something new. So scholarships growing and growing and growing, and it does happen that people only read the most recent articles and books. So there are cases where you'll find something maybe in the 80s and somebody cited a book from the 60s and that book was cited in the 90s, and everybody's only citing the book from the 90s. If you go back to the original book, you find well the author didn't quite say that or the evidence isn't as strong as they said. So maybe it could be a guideline to follow things back to the source. That's one thing I could think of. #00:50:21#

 I2: And you also mentioned a thing about plagiarism and you mentioned the culture of publication, also salami slicing perhaps, slicing of articles. So do you feel a lack of guidelines in terms of, would it be help if you had a sort of guideline saying that (unclear #00:50:37#) is supposed to be only so different in the humanities, or is that just something you interpret in your community or? #00:50:46#

P1: Well I feel, as we said earlier, the rules are there, you know, it's just then finding that they sometimes don't get upheld, or sometimes I feel they are too strict. I thought they were too strict, and you said they are sometimes not upheld, but in general I have to say, I think they are there. I don't think we need more. Maybe you can convince me otherwise, but so far #00:51:13#

I1: We have no, we have no opinion about whether we need more or less #00:51:14#

P1: But I never thought "oh, we really need better standards for the integrity of research or plagiarism, I mean, they are there, the standards are there, and the community is usually quite, not forgiving if somebody is plagiarizing, it's something, you know, it's not a cavalier's thing, it's. So that's what I mean, what you said earlier also, the shaming in the community is something that works, so you can't do it #00:51:48#

470 I2: A type of policing #00:51:48#

P1: Yeah, first through the peer review process and then later on through the community itself. #00:51:57#

P2: I would think that the main problem is that there is too much incentive, too much to be gained, by getting away with it. So people will get judged, when they apply for jobs or research funding, often not just on the quality of their work but on the quantity, and as long as that's going to be the case, people have got a reason to cut corners. #00:52:23#

I1: And do you feel people cut corners? #00:52:26#

482 P2: Uhm sometimes yes #00:52:27#

484 I1: Yes, you gave an example in the beginning also, and that's an example of reusing the 485 same idea or the same? #00:52:35#

P2: Yes, yes. And much more than that to be honest. That's one example of the things going on...And it sort of snowballs, because this person who's done that has an enormous list of publication gets invited to guest lectures here, there and everywhere, and can carry on recycling the same talks for new audiences, wins prizes, when people see they've got these prizes, we'll give them some more prizes, they've got some money, we'll give them some more money. And it really, well it works. And I don't know how many people within my field that do this, and it does worry me that we might be heading in that direction. If someone else wants to compete, they've got to play the game in the same way. And that, in ten years time, it will be much more of a problem. #00:53:22#

11: I just read that one of the heads of department in [university] #00:53:28#

P3: Yeah, I read that this morning #00:53:28#

I1: Yeah, you read that as well. It was, they are looking into his publications, apparently, he does a publication a week, so he has a new article or book out every week. So, and this has been going on for many years, and as he says, "what takes a PhD student six weeks to do, I can do in six hours", and he is in [... anonymised ...], so it's also a different ball game, but uhm I guess, as you say, this becomes the standard that all of us have to live up to, so yeah. #00:54:06#

P3: I read that this morning, and I think that is something that the humanities should actually embrace much more, the collective publications. Because we have not been accustomed to that, because the nature of our field is individual, and we interpret these sources on our own, and we lay it out there for the community to assess. Uhm, but that also comes with a disadvantage, because we are not able to produce as many publications as [name], who has 1400 something something publications, and I feel the same way, that he even mentions this in the article as well, sometimes the publications I get, is because some research group wants a scientific credibility for their work, and I need to review it, and I read it through, and I'll be the final author. We don't have the same guide as you mentioned

before I1, where we have specific allocations for the first author, the second author and the third and so so so, until the last author who's the head of department or something, we don't have a system like that. And when I do collective publications, we are just listed alphabetically, and it doesn't mean anything else than that, but when it's read into a broader scientific community, it gains much more significance in terms of the other authors that are listed. So I think it would be wise, if the humanities sort of brought their attention to these collective publications, because we do the same, we have research units, where we review each other's stuff and we make suggestions for articles, and I think it would be beneficial in the end, if we started to include our colleagues and they started including us on collective papers, but this means dramatic change of the entire (unclear #00:56:21#) to do that. Uhm but I think it's worth a thought. #00:56:26#

P2: Well, I think collaboration, collective papers that can only be a good thing. I wouldn't argue against that. But I think that, maybe it's old fashioned, but I think that anybody credited as being an author on a paper should have written something. And I've heard plenty of examples in in, you know, laboratory work, that isn't the case. So you have the director of the lab who is first author on all of the articles and hasn't written anything, uhm and that's another thing happening in my work of moment. Uhm and #00:56:59#

I1: So where people are on the papers even though they haven't #00:57:02#

P2: Haven't written anything. So padding in your CV with publications where other people have done the work, uhm but you are in charge of these people, I mean, as you say, if you review it, you discuss the ideas with them, you don't actually have to put words on paper, but you actually have to contribute something, as a minimum. But it becomes very hard to check, you know, you open up an enormous gray area there, where you can have directors of institutes putting their names on articles they haven't written, uhm and that worries me. #00:57:30#

P3: And I do agree completely that you have to contribute. But even if you put it like that, you have to contribute to uh the scientific work to attach your name to the publication, you can interpret that in as many ways as you want. Because reading it through and signing off "this is okay", that could for some people be a contribution and for others. So it probably needs to be more specific, like putting words down in paper, so then we are already on step away from the mental inclusion of perspectives. #00:58:16#

P2: But would you be happy to have somebody included, as an author, on a paper if they spend five minutes glancing through it, saying "yeah that's okay"? #00:58:22#

P3: No I wouldn't. But I think it is worth while to the humanities, the historical disciplines to consider very seriously that we introduce the collective publication practice way more than we have been doing. And then it would be beneficial to the entire field. #00:58:47#

P2: I think if we're going to do that, that's where we are really going to need some kind of guidelines, because it's like opening a can of worms and #00:58:52#

P1: I mean I'm very conflicted about that, because I feel like this is what's going to happen,

but I think it's going to happen because we are all modelled now on exactly these lab situations, and I'm too old fashioned for that. I mean, I see, and I think there is something very great about writing together with a colleague, right, but I think it will actually make less publications, because if two people really work together and write something in the humanities, that must take longer, because it is a process of every sentence, you know. And so, you know, it's wonderful and I think we should do it in the humanities, but I feel what's going to happen, is my hunch, is more there's more machine of trying to publish more and doing it more in this thing, like the centre head looks through it and puts their name on it. So I have to say I'm very conflicted about that. And, you know, when I was, before sort of (unclear #00:59:53#) our standards work, actually now that you said about this pressure to publish, and people cutting corners, actually I know this as well. I just had, I was now in sort of my [nationality], history of political thought world, that is quite old fashioned, but I know this from various [nationality] research environments, and I think if we want, so that is actually my big research integrity problem is publish or perish in European academia, that it's really about just counting articles, and then sometimes the monograph just counts like one article, you know, but there's spent ten years on the monograph, and so you don't write that monograph anymore. And so, you know, ten years ago when I was a PhD student at [university], my supervisor said "oh don't publish anything, before you have written your PhD, please don't, it's", and now I can't tell my PhD students not to publish. I have to tell them, they have to publish, all though I thought it was wonderful that I had a space where I could just think about my PhD. And so, my, I really think that we are going into this...yeah we just, we try to make everything sort of similar, and everything is sort of modelled on, maybe even an imagined model of the lab, because I talk to scientists, at they said "well ten years ago or 20 years ago there were very, I mean, we also would work individually". That's also a very, apparently, new uh way of how natural sciences do work, but now it it it's a blueprint to get funding, you now, for the humanities, and I think that's the problem. And really, it would be so much nicer, if we were allowed to write ONE great article a year, you know, that would make an impact, but it's much better like to write three or four bits of not so great articles. And I mean, but I don't know, so my problem is with the counting. I think the counting, but I, don't ask me what I would substitute for that #01:02:01#

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P2: Well, I think that quality should always be more important than the quantity #01:02:04#

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P1: I agree, but how do you, you know, it's, of course that's how you do it, and if you have to review 400 proposals, you count, right. But I I I want something where the quality counts more than the quantity, but I, so I thinks that's what #01:02:21#

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I2: So these publications criteria are they sort of, do you know what is expected of you, in terms of how much to publish, where to publish or where are these demands coming from? #01:02:38#

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P1: Uhm #01:02:38#

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I2: Is there something written down, or is it something you know from your contract or where is it? #01:02:42#

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P1: No, but if you want to have a permanent job, you can't, unless you are an [city]- or [city]-

611 612	like prince or princess, (all laugh), it's just clear that no one will hire you without lots of publications. You just have to have it, so is the job market #01:02:58#
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614 615 616	I1: So as I hear you, you uhm, so you, it would be nice if universities had a responsible way of promoting people and hiring people that didn't look so much at the number of publications, but looked more into the quality of the single publications. #01:03:23#
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618 619	P2: I very much agree with that, because, I mean, I can think of plenty of scholars 20-30 years ago, whose books we're still eading. #01:03:31#
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621 622	P1: Exactly, yeah #01:03:31#
623	P2: And I can think of scholars today that are turning in article after article and people aren't
624 625	reading them now, they certainly won't be reading them in 10 or 20 years time. #01:03:40#
626 627	P1: No exactly #01:03:42#
628 629 630	P2: And it would be very hard for those people 30 years ago, they would get a big chock to see this #01:03:46#
631	P1: Yes, they would never (laughing). Like one of the most in my, you know, in [
632 633	anonymized author in the field], doesn't even have a PhD, I mean, right? So of course, I'm not saying we should move back to that, right. But, and it's just, it's still possible, you know,
634 635 636	people who get hired in [city] and [city] with one or two articles and nothing else, that's possible there, but I don't think it's possible in a European, in the other, in the European job market, you know. #01:04:18#
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638 639 640	P2: What about once you have a fixed job, I'm not in that situation, so I'm really conscious I need to publish to try to get a job. Do you have some people controlling how much you publish once you're there, established? #01:04:31#
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642 643	P3: There, I mean, there are institutional standards for how much you need #01:04:35#
644 645	P2: But are they really enforced or? #01:04:36#
646	P3: They do a three year review of your scientific publications, uhm to see if you meet the
647	standards. They don't do anything much of it uhm once you get reviewed, uhm and you are
648 649	only called into a meeting if you are below the standards. #01:04:55#
650 651	I1: What are the standards? #01:04:55#
652 653	P3: Two scientific publications a year #01:04:58#
654 655	P2: It's not that much, it's reasonable, it's fair #01:05:03#
656 657	I1: I mean they could be co-authored as well, couldn't they? They don't have to be single authored, do they? #01:05:07#

658 659 P3: Uhm, I think if all your publications are co-authored, they would uhm amount to less. But in our institute we have very very few that are below that #01:05:24# 660 661 662 P2: I mean that does seem a reasonable level, I mean, quite minimal really #01:05:29# 663 664 P3: Yeah, and I haven't heard of anybody ever who got fired on the basis of that, because then you might be doing some other stuff, uhm #01:05:37# 665 666 667 I1: And I guess a book would count more than #01:05:40# 668 669 P3: Yes #01:05:40# 670 671 P1: Well that's good (all laugh) #01:05:42# 672 673 I1: So you can still write your monograph (laugh) #01:05:46# 674 P2: I would think, well it seems pretty good here in [city] once you've got a fixed job, but it's 675 676 a real pressure for early career researchers to get on the ladder. #01:06:00# 677 678 I1: I think it is very different here from department to department as well. We have 679 completely different standards here as well. I think that would also be how people would 680 think about it here maybe, but uhm once you then apply for a professorship or whatever then there are other standards maybe, than those #01:06:25# 681 682 683 P3: And as P1 said before, it takes a really long time to do a good article in highly esteemed 684 journal. It takes a year to write a work like that. So it takes a long time, so I think two is actually, uhm that's fair. #01:06:44# 685 686 687 P1: But I will say in [nationality] academia it was definitely more, I mean definitely. I think if 688 you only published two things a year...I mean again these are not standards that are written down somewhere, but it's sort of, "do you want to get a job or not?", right. So the internal 689 pressure. So and yeah, it varies from country to country. #01:07:12# 690 691 692 12: But could you make it more transparent or? #01:07:19# 693 694 P1: But would that help anything? That is my question though. I feel like as soon as you 695 implement standards, things can get even worse sort of...uhm I mean I don't know, but I feel 696 like as soon as you put rules down, like it doesn't generally make things less complicated, it 697 usually make them more complicated. Uhm but of course transparency...would of course, well I don't know (some laugh), I just think "would that work in [nationality] academia?", 698 and I think, no people would still, well okay, you have to have two articles a year, but you 699 700 would still probably not get the job, unless you have published five or more a year. Right so

P2: Another thing I think it connects to uhm is uhm it doesn't only reward people who cut corners, it rewards people who work too hard to an unhealthy degree. So I mean nobody

#01:08:07#

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works within the 40 hours or whatever a week, but if people are gonna work 100 hours a week, they are gonna get more publications, but they're neglecting their family, their health...So it's you know, if you want to have more of a balance in your life it can be hard to compete with people like that, and it's not good for anybody. #01:08:36#

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P1: Exactly #01:08:37#

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I1: I2, do we have any more of these fixed things? #01:08:42#

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12: No I think we wanted to draw it into some more specific topics and we already discussed that transparency, and we would like to also discuss GDPR, that is very in right now at [university], because all research in Europe has to comply with these new rules, and they've been enforced in 2019, and and in a fairly strict way also in [country] and also here at

718 [university], and we were wondering whether you see some challenges in terms of fulfilling

719 these GDPR requirements? #01:09:20#

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721 I1: Yeah, exactly #01:09:20#

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12: GDPR, it could be consent forms, it could be the way you're handling your data, the way you store your data. #01:09:29#

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I1: General data protection regulations. #01:09:31#

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728 P2: Personal information #01:09:36#

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730 I2: Yeah personal information, that's the key aspect of it #01:09:41#

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P2: The actors I look at, they're all dead so (all laugh), I don't have any #01:09:46#

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734 I1: I guess your guys are really dead (all laugh), you know, the ancient Greeks and #01:09:51#

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737 I2:There is also a matter of documentation, I think, in your field and I guess that's where you would translate GDPR-rules, but you're not working with #01:10:00#

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P2: I still don't understand the rules to be honest. But it was talked about a lot at work when it was coming in, and people were getting quite worried because an archeological excavation is very collaborative for instance, so they end up with enormous data about the team of people who were working there, and they weren't sure what can we store, what can't we keep in store. Uhm so that doesn't really affect me too much, but that that was the

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I2: Okay and is that handled now, or is people still? #01:10:28#

main issue that was causing some worry. #01:10:25#

- P2: People don't talk about it anymore, I think it's, I don't know, I think people have started deleting emails more often, but generally carrying on pretty much as before and not
- 751 worrying too much, I think. #01:10:40#

I1: But do you think people know exactly what to do? #01:10:42#

P2: No, I don't think so, no. Because, well, we talked about it at meetings week after week after week, and people kept having questions, and the administrators were being sent to meetings to talk about it, and they would come back and say "well, we don't have answers to these questions, we don't really know it, it's really confusing", and then it sort of just fizzled out, so don't think that people understand it completely even know. #01:11:06#

P1: I just remembered in [country], uhm before I got this job here, I was thinking of applying for sort of a scholarship, like or a project in [country], and I remember there was a thing. There was this question about, how do you work with your data, how do you ensure data. And I asked "what do I write?", and a colleague of mine did a similar application and said "I only wrote in there, well 'I work with medieval, dead people'" (all laugh). So I mean, maybe the thing is more like, how can you translate what you need from us there, because for us it doesn't, you know, it doesn't make any, and I still haven't understood what it is about, right. #01:11:51#

P3: It's only a student counselling it applies, because for research, it doesn't apply for the things that I do. The counselling, well yeah I delete the emails, when we are having students with mental health issues or whatever, yeah. #01:12:12#

I1: But as I hear it, it would be nice with clear guidelines, or "what is GDPR?", "what does it mean for me working in this field?", and "what is it exactly I should do with my data, when I have data that's included, yeah". Well it's the same for us, we also, what do we do? We start deleting our old emails, and think like that, because it's basically about not having old data lying around #01:12:52#

P2: Well, I think I have colleagues who have taken the approach that they delete everything, but in my understanding, we don't need to do that. So if I get emails from a student saying "I have missed class because" and then they tell me something personal, I will delete that, but if they're emailing me saying "can I hand the essay in a week later?, you know, something practical, I don't delete that. So I don't know whether if I'm following the guidelines correctly, but (all laugh). #01:13:23#

787 I2: Maybe you should put that in #01:13:25#

789 I1: Yeah, we have it on tape now (all laugh) #01:13:27#

P1: Yeah exactly, guidelines also for the humanities, for all, for non-data-using #01:13:35#

793 I1: For fields that work with different data #01:13:38#

795 P1: Yes, exactly #01:13:41#

- P3: A guide for "when do you not, whenever you do not need to consider GDPR" (all laugh).
- 798 #01:13:53#

I1: Could it be something like the SOP there, so, you know, if you #01:13:58# P1: Yeah #01:13:58# I1: If you looked at that one we had before, what was that called #01:14:04# P3: The flow chart? #01:14:04# I1: Yeah yeah, I was thinking, I don't know what happened, it doesn't look good (laugh)... ...Uhm so if something like this. This is an example of a SOP. This was for the data when they did clinical trials. But you could imagine something similar here, so if you had all disciplines, from list, and then you could run through all of them, I mean, couldn't that be a relief for us, so "okay, I'm one of those people there, so what do I need to do?" #01:14:52# P1: Yes, that would be great. #01:14:50# P3: Yes, could actually be, then you could just go through the forms "I don't need to do that. I need to fill out this form" #01:15:00# I1: Exactly, and then you know you're doing what you're supposed to #01:15:09# P1: Absolutely, that would be fantastic #01:15:09# I1: So that's a concrete example #01:15:13# 12:Yeah, but we have one more topic, and I'm, we don't know if that's at all relevant for you, but it is a topic of independence from commercial influences, you know, when working with industrial, working with small and medium enterprises. We recently had a case here at the university, I'm sure you know, the [...anonymized...] scandal #01:15:38# P1: I don't #01:15:36# 12: Okay, but you don't need to know, but it's just, it's a sort of recent topic that came up (unclear #01:15:48#), but it's, is that something you experience in your research, collaboration with industry #01:15:56# P3: Not too many companies are interested in my (unclear #01:15:57#), but they should be (all laugh) #01:16:02# I1: I once read a book called [... anonymized ...] #01:16:06# P1: Yeah exactly, [... anonymized ...] for women, for manager. No so I personally don't work with a business, right. #01:16:18# I1: You don't either? We've had some projects in the [department] where we work with consultancy firms, but I don't know #01:16:26#

P3: Well, I've had students doing their master thesis with consultancy firms. And we even had discussions with our head of the institute regarding how much extra work we can do outside of the university as sort of a side job, and we have very wide possibilities. Basically we can do whatever, in terms of extra talks and, and to gain an extra income, even though it's one to one uhm, it's the same we say when we teach, or I don't know. #01:17:09# I1: So you're allowed to take on extra jobs #01:17:12# P3: Yes yes, and we can, and we specifically asked our head of institute "could we for instance set up a bureau that advertised with the public lectures in the fields that we know, and earn a living besides of our regular jobs?", and yes, we are absolutely allowed to do that. So we have very #01:17:40# 12: Do you have some clear standards for how to do that or? #01:17:43# P3: I don't think they are written down actually, but when we asked, and we asked at a department meeting or institutional meeting so #01:17:57# I2: Have you ever come across any challenges in terms of (unclear #01:18:02#) collaborating with industry or? #01:18:04# P3: No #01:18:07# I2:No issues #01:18:07# P3: None what so ever #01:18:06# I2: That's good #01:18:17# I1: No one interfering with [... anonymized ...] (all laugh) #01:18:17# P1: Exactly, maybe I should become a public lecturer (laugh) #01:18:23# P3: It doesn't pay that much #01:18:25# I1: It's not an issue in your field as well, is it? #01:18:27# P2: I was trying to think, if I could think of any examples, I don't have any experience of it at all, I suppose. The only thing that came to mind were colleagues who've taken part in documentaries, I mean that's, and they do have to be careful with the things they are saying. [... anonymized ...] #01:19:32# I1: It will come, it will come...But I think that is quite common, I did an interview for some [nationality] radio at some point, and she also said that was very good what I said, "but what I would really like you to say is this. Can you say that?" (all laugh), and I said "yes I can say that, but it's not true, so I'm not going to say it", "okay okay, I'll come back then", she said.

And the interview of course never got used, and I think it is not uncommon, and I didn't 893 894 think about it in commercial terms, but I guess when you work with a commercial uhm [... anonymized ...], then of course it's it's an example of the same. #01:20:19# 895 896 897 P2: They want to get something very different. #01:20:21# 898 899 I1: And you can be very flattered, "oh they talk to us about this, and we can reach a broader 900 audience", so I guess that's where we really have to be careful...but I don't know how to 901 handle it, but maybe with contracts or #01:20:32# 902 903 12: No but it's also tapping into when to publish your results, when to go out to the media, 904 when to communicate your results, do you need to wait to make it public? I think these 905 questions are also in the humanities, I think, or #01:20:55# 906 907 P2: You publish (unclear #01:20:55#) #01:20:57# 908 909 P1: And then it takes so long to publish anyway, yeah #01:21:02# 910 911 I2: But you don't go out into the media and tell about the results before #01:21:04# 912 913 P1: I'm sorry but nobody in this (unclear #01:21:08#) do (all laugh) #01:21:15# 914 915 916 P3: I actually had one in [newspaper] this weekend #01:21:18# 917 918 P1: Cool #01:21:16# 919 920 P3: On (unclear #01:21:19#), but I don't really think about, no, because it's so rare (laugh) 921 the news media wants to #01:21:31# 922 923 P2: I mean, as I said, my work is more rooted in the humanities, it has more to do with reinterpretations of things, and that's hard to get media attention for, but a lot of 924 925 archeological researchers digging up new stuff in the ground, and that's exiting, the TV channels will swoop in. I suppose then people will have to be careful at "what stage do I", 926 927 you know, "do I want to write it up first", and then get the attention, kind of, find out what 928 you want. Because if they get the attention it can bring them money, which they need, but 929 they don't want to release all information before they've studied it properly, so they have to be careful #01:22:06# 930 931 932 I1: Could they also risk not being able to publish it if they have brought it at the TV channels before? #01:22:10# 933 934 935 P2: Yeah, I mean, potentially, because once it's out there, somebody else could write about it, and so then they might have said everything that's interesting to say before you get it 936 937 down on paper. So there is that. #01:22:29# 938

P1: I mean for me, the problem, but it has nothing to do with business, but it's just, uhm

there's this new thing that you do pre-circulated papers all the time, which I think, which I don't do anymore, because I you know, well of course you say "please don't, you know, please do not cite without permission", but you don't whether you're not being plagiarized. I mean, I wouldn't, and then it's problematic then to prove it and so on, so that's the only thing where I think...but everybody decided, but this is, I think there's a lot of sort of unpublished work circling around, and I'm not sure whether people understand sort of that's a bit dangerous #01:23:18#

P2: Well, I heard a story about a friend's girlfriend, they're both in [name of historical field], and she'd given a talk, she'd presented some of her new research which then later showed up uncredited in a publication of a more senior colleague. So that kind of thing happens. #01:23:37#

P1: There are cases yes, and it's very hard to prove, very hard. #01:23:41#

11: So it's the ideas that are then stolen or, yeah #01:23:45#

957 P1: Particular viewpoints, particular #01:23:48#

959 I1: Takes on something #01:23:48#

P1: Yeah, exactly, yeah. Connections that everybody has done previously. I mean, you always have that of course, when you talk in front of a large audience, you know, you can't control it, but I think it is even worse with the pre-circulated papers. Because to get plagiarized when you speak, I mean, people must make, like, really impressive notes, take impressive note, but if you give them a script as well, with footnotes and so on, you know. Yeah I find that quite dangerous. #01:24:21#

968 I2: Do you see a tendency that they are sort of a culture of closing in? That you're afraid of 969 sharing your ideas publicly? #01:24:34#

P1: Yeah, I'm not afraid of sharing my ideas, I don't mind the talking and I present new research, but I think uhm the, because, I think because so many people are under so much pressure uhm you see it when you, I mean, I hear, I know so many of these stories. And I myself think that I was plagiarized a couple of times, and I just don't do this anymore. Not the, the presentation of my new research of course, but not the pre-circulated paper where you can read it and copy and paste it and #01:25:04#

P2: So if you're at a conference where they ask, and you say "I'm not going to", do they accept that? #01:25:08#

981 P1: Yeah #01:25:08#

983 P2: Okay #01:25:13#

P3: I was really surprised when I became [... anonymized ...], one of the things that I do is that I read and comment, review on research applications. And one of the things that struck me was how hesitant my own colleagues were in giving the research proposals for the
applications, because they were so protective of it. [... anonymized ...] I cannot share that
which is given to me in confidentiality to anyone, but still knowing that, there's some
"please, please do not share this, and I don't know if I'm sending it to you because
somebody might know what I'm applying for", and so even in this very collegial
environment, people are very protective of their work and their applications for future
funding. So there is that, I agree with you that there is something about this. #01:26:24#

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I1: Has this to do with originality as well, that it has to be original, the ideas that you bring to the table and not just something that you've done before? #01:26:36#

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998 P3: Yes #01:26:36#

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1000 P1: Yes #01:26:36#

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1002 I1: And your originality is lost if somebody else #01:26:40#

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P3: Yes and also because it's a small community. You're competing with your colleagues, so even though you're collaborating, you're also competing and you're applying for the same funding, and you know that there are even less than 10 percent's chance of success. This new [university] structure is also not doing anything good to the humanities for instance #01:27:08#

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1010 I1: What do you mean by that? #01:27:06#

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P3: They re-structured their entire funding programme, so it's even harder for the smaller research projects to get funding. And especially the humanities, because they focused a lot of their publication strategies towards the natural sciences. So for instance, we cannot apply for the university publication house, [university press] #01:27:34#

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1017 I1: Yeah, [university press] #01:27:34#

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P3: Yeah, the university press for funding for publications because that has all, all of that has been channeled into the natural sciences, so that's a huge problem. And we're discussing that tomorrow. #01:28:02#

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I1: Thank you very much, let's have a break and something to eat, before we then transfer to out little exercise #01:28:11#

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1026 [BREAK]

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11: So now we have a little exercise for you, because we talked about a few topics right now, so what we did in the first year project is that we went out to a lot of different experts within the field and within research integrity and then they came up with a lot of topics that they felt were topics we should cover. But what we don't know is, are these topics at all relevant for you guys, so therefore we would like you to, I've just given you a, so you have some here. So what I would like you to do now, is to put them on or sort them into these

- three groups, so some are very important for research integrity within your fields, some are somewhat important, and some are not important or minimally important. And then yeah debate it among the three of you, what do you think about the multiple topics. #01:48:59#
- P2: Do you mean that they are important as, to us, or important in sort of wider practice as things are now? #01:49:10#
- 1041 I1: As support for you within your field, so for example we talked about data management, 1042 GDPR, before, and so is that important for you? Well it's not really important, but it might be 1043 important anyway to have rules or guidelines? #01:49:27#
- P2: Is is the question "IS it important?", now as things stand, or "SHOULD it be important?" #01:49:38#
- 1048 I1: Is it important for you, would it be beneficial for you to have SOPs or guidelines within these topics, so you just, P3, you can start maybe? #01:49:51#
- P3: Well, we can start with this one, I don't know how to phrase it, because I really think that data management is very important, but not GDPR. But handling your data, whatever it is, is very, but if you mean specifically the GDPR, I would place it here for our fields, also in terms of what we discussed before. But data management should be very important, in terms of source handling, so yeah that would be my take on that. #01:50:31#
- 1057 P1: Absolutely #01:50:31#

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1059 P2: Yes, I agree (all laugh) #01:50:32# 1060

I1: It's very easy #01:50:40#

- P3: Then I have "publication and communication, authorship and open science", which, I think, also in effect of what we've discussed today, I would place as a very important, as one of the things that should be integrate to our field #01:51:00#
- P1: Open science, is that like open access or what is open science? There's authorship open science, I mean, I just, so open access is now, of course, super important, so I agree, but I just want to know open sciences I specifically? #01:51:17#
- 1071 I2: It's pretty much the same, but there is a shift in the paradigm, earlier we spoke about
 1072 open access and now it's not only that I, things are available that we also shared before in
 1073 terms of open science that you can see, it's just not only about that you can get access to
 1074 publications but also that you can share data prior to. And I think it's really interesting in in
 1075 in relation to what you've just discussed in terms of, you know, keeping it close and being
 1076 afraid of sharing, so that sort of goes against this whole new paradigm of open science, and
 1077 that's quite interesting. #01:51:56#
- 1079 P3: But that's only up till the point where you get the funding or publish your, then 1080 #01:52:01#

1081 1082 P1: Once you publish, no absolutely, that's super important, the sharing of your unpublished that is a problem #01:52:12# 1083 1084 1085 P2: It's important to get credit for what you've done. #01:52:16# 1086 1087 P1: Exactly. And it's really also about time so, I don't want to be in the position where I have to quote somebody who've stolen my ideas (all laugh) #01:52:23# 1088 1089 1090 I2: Well, that's fair enough #01:52:24# 1091 P3: Well, the last one is "education and training in research integrity", uhm...which I think, I 1092 1093 think it's very important that we know this...I was shifting between should it be somewhat 1094 important because it easy to put a lot of stickers on this one, uhm...but of course it's equally 1095 important as this one, and all historical researchers should know about the content of 1096 research integrity. How do we produce scientific results, how do we know that our field is 1097 scientifically trustworthy, so yeah #01:53:18# 1098 1099 P1: Completely agree, it's just we've said earlier, yeah we don't need any more rules 1100 because it has always been so important to make this clear to our students on the lowest level. #01:53:30# 1101 1102 1103 P2: Well, I think students, yes, you drum into them right from the beginning, "don't plagiarize" and that kind of thing. But maybe it would be good if there were more 1104 1105 opportunities as you progress to sit around and think about these issues, because this is the 1106 first time I've done that explicitly. As a PhD student you just assume that you know what you 1107 should be doing, and there aren't, well for me at least there have never been opportunities 1108 to consciously reflect on integrity #01:54:06# 1109 1110 I1: so follow up courses are, for senior academics as well? #01:54:04# 1111 P2: Yeah, but I now here in [country], PhD students all follow lots of PhD courses and I know 1112 that one which they are supposed to follow is a compulsory in ethics. So maybe that 1113 provision is here in [country], so maybe it's not, nothing else is needed, but it wasn't, I did 1114 my PhD in the [country], and we had nothing like it there. #01:54:29# 1115 1116 1117 P3: And after next year, it will be obligatory for all at the university to have new courses on 1118 research integrity. #01:54:37# 1119 1120 P2: Okay, well that sounds good, I think #01:54:37# 1121 P3: Are you doing that, by the way, is that you doing that? #01:54:42# 1122 1123 I1: We have a course for PhD students in business and social sciences #01:54:49# 1124 1125 1126 P3: [... anonymized ...] #01:54:59#

1128 I1: I think it's the [...anonymized...] scandal that triggered this. #01:55:02#

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1130 [... anonymized ...]

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1132 P3: [...anonymized...] #01:56:52#

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1134 I1: [...anonymized...] #01:57:05#

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1136 P2: Quite dramatic #01:57:03#

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1138 I1: Yeah it was. Without really being a big scandal, it wasn't like anybody had done, you know, fabricated data or anything, it was just #01:57:17#

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1141 I2: A lack of transparency #01:57:17#

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1143 I1: It was a lack of transparency and also, where are the boundaries between the funders 1144 and the ones doing the research. Yeah, P1? #01:57:33#

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P1: Yeah, so I have "independence from commercial influences, academy, industry, collaborations". I mean, we've #01:57:41#

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1149 I1: Talked a little bit about it, yeah #01:57:41#

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P1: Even though I said earlier, it doesn't really apply, I still think it is very important, because I still think we have to make sure, we remain independent if, you know, academia gets more and more new liberalized, so I can totally imagine a world in 50 years where you cannot do [... anonymized ...] research without, you know, having funding from a Coca Cola company,

1155 you know, so I still think that's very important...Then "responsible supervision and

- mentoring", I definitely think that it's very important that we constantly, also constantly talk about it, constantly do best practice, sort of exchange this. I found that in my old job we did
- it very informally, but very very regularly and it helped so much. It holds up the quality. So I
- think that it's very very important that we have good sense that responsibility is key in,
- when you supervise, when you mentor and when you have best practice ideas and

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P3: I agree #01:58:53#

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1165 P1: And then this "managing competition and publication pressure", I'm sorry it's also very 1166 important, because it comes, this managing competition and publication pressure, it comes 1167 to what we said earlier, it is really managing the pressure, is really big. Uhm I mean yeah, I'm 1168 a bit surprised that it is on here because I felt it was, yeah, sort of that usually we these guidelines that you have to publish two or three or four uhm articles or whatever, and this is 1169 managing that competition and managing publication pressure, and I think that this is really 1170 what it is about now. I mean as we said, like in the younger generation, we publish so much, 1171 1172 and ten years before nobody would have done that, and like when you're quite junior. So 1173 yes and that has to be managed and there has be DONE something about that #01:59:52#

I1: Well, good #01:59:54# 1175 1176 P1: I don't know what this is? #01:59:55# 1177 1178 1179 I1: This is also for you, it was just because there wasn't an equal number #01:59:57# 1180 1181 P1: I suppose "transparency supporting a responsible research process", now okay yes it is important but we also know, we, I mean, we feel it's now implemented, but it's still very 1182 important, we can't put it in somewhat important can we. We have to put it, yes, right? 1183 1184 #02:00:15# 1185 1186 P3: Yeah, we do #02:00:14# 1187 1188 P1: We do #02:00:22# 1189 P2: Also a very important thing. Uhm so I've got "research collaboration" and then "RPO's", 1190 but I'm not quite sure what an RPO is? #02:00:30# 1191 1192 1193 I1: Research performing organization, like a university #02:00:36# 1194 1195 I2: But also at a university, you can have centers or so forth that do research #02:00:44# 1196 1197 P2: Well, I guess I would say somewhat important, because we need something in (all 1198 laugh). No because I mean, I think that it's uhm...you can't say that it's not important at all, it 1199 seems that it's worth happening, but I don't think that all research that we do has to be 1200 collaborating between institutions and external bodies so. Uhm so that's why I would place 1201 it there #02:01:13# 1202 1203 I1: And you also said that you experienced, both of you, different standards from country to 1204 country, you've all done things in between borders and countries, so you would have 1205 experienced that standards might be different? #02:01:23# 1206 1207 P2: Yeah #02:01:23# 1208 1209 I2: But would you like a greater standardization among countries or what? #02:01:29# 1210 1211 P2: I wouldn't want it to be enforced, but maybe uhm...more awareness of those 1212 differences, so that we are not necessarily judging each other by the standards which we are 1213 used to #02:01:41# 1214 1215 P1: And it's such a good point, because I feel that everybody thinks we're so European or international, but when you DO work with, like go from country to the other, you realize 1216 that the country you are in has no idea about the other countries, right. They THINK that 1217 they have an idea, but they absolutely don't, they just, they operate with these things, oh 1218 1219 yeah, just throwing the UK or throwing American and UK practices together, thinking 1220 everything works like, you know, basically people think that all works like the country that 1221 you're in now, but with a different language. And then you then just say "no, it's completely

- different the way teach, the way we supervise, the way, sort of the way we do publications, 1222
- 1223 what are the publication strategies, everything is different", and that sort of what's behind
- this European framework now, and we have that lingua franca, and so people yeah, so the 1224
- 1225 awareness is the main thing. I think it's cool to have different, of course it's great to have
- 1226 these different cultures, but it's also, sometimes, I don't know whether you feel it, but I
- sometimes think that it is so infuriating to say "no, no, no it is different", you know, there 1227
- 1228 are these, yeah, so the awareness is super important #02:02:56#

1230 P2: I mean I would never have had any idea of what you told us about the peer review, the 1231 way it's done in [country] #02:03:02#

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1233 P1: Yes, exactly #02:03:05#

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1235 I1: That was a new one, yeah #02:03:07#

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P1: Yes, and the [nationality] are like, I mean they don't understand, they think it's sort of a new pa-, like a part, sort of a weird thing that [nationality] and American people do. Like they don't have the same, you know, they don't think it's like the best thing ever #02:03:25#

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- 1241 I1: A sort of really funny one, just a little one here, was Einstein, when he sent in one of his
- 1242 papers to a journal, and then they sent it out for review and then when he found out that it
- 1243 was sent out for review, he immediately wrote them and said "my paper wasn't intended for
- 1244 anybody else than you to read and evaluate, and therefore I will retract my paper and I'll
- publish it somewhere else" (all laugh), so that was his take on peer review (all laugh) 1245
- 1246 #02:04:05#

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1248 P1: Einstein and me #02:04:08#

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1250 I1: Yeah, but sometimes we think of peer review as some really ancient tradition, but it's 1251 relatively new as well. And especially the universal character it has now #02:04:20#

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1253 P1: And a funny thing is, when the [nationality] then DO it, like I know who the peer 1254 reviewer is. I mean it happened to me as well, a peer reviewer came to me and said "yeah yeah, I've read your article, it was really good" (all laugh), it's so funny #02:04:33#

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1257 P2: Something else I had not thought of at all until recently, if you are asked in a peer review 1258 to do things, you just do it. Uhm but somebody, [... anonymized ...] with some quite high 1259 standing academic in [country], got into a discussion because somebody else, more junior, 1260 said "well I'm not gonna do it, if I'm not being paid for it". And he said "well this is just an

1261 accepted part of our work, and", but he got quite more of a backlash, a lot of junior people

1262 said "well, no I think we should be paid, if we're going to be doing this". #02:05:01#

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1264 P1: [... anonymized ...], right? #02:05:01#

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1266 P2: [.... Anonymized ...], yes, oh you saw that, yes exactly. #02:05:14#

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1268 I1: Do you have? #02:05:14#

- 1270 P2: Well these two seem quite close, similar to me, so "research ethics" "regulatory
- procedures" and even the "breaches of research integrity", uhm so I'm inclined I think to put
- them in somewhat important, just because I don't think that in my experience it crops up
- that often, that any procedures are needed, uhm or there are breaches of research integrity.
- 1274 Uhm I think it's far more likely to happen in the natural sciences, where you can fake lab
- results or something, you might need someone checking on what's going on. As you say
- where, I I think what we do is a bit more transparent with showing, you know, we take
- people through the sources that we are using and so on. But at the same time, as I've said,
- there are things going on for me here where I work that worry me. And there's nothing at all
- in place to stop that happening. And I think that there are people higher up in the university
- that know what, pretty much, what is going on. But they have got no incentive to do
- anything about it, because it's bringing in a lot of money. Uhm all of my colleagues are on
- long term contracts, they've got the promise of extensions dangled in front of them, so they
- won't speak out, and so if there was something in place, uhm to to #02:06:36#

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- 1285 I1: That's part of dealing with it, a whistleblower, it should be a whistleblower arrangement
- 1286 #02:06:43#

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1288 P2: Yes, that's what, but nobody will do that, because they've got #02:06:46#

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- 1290 I1: You know, but if you had like, you could imagine that you had uhm a set up like, so you,
- you could, where you could protect the whistleblower, but you could also protect the
- person being accused of course. #02:07:04#

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1294 P1: Can we put it in very important? #02:07:06#

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1296 I1: It is you who decide #02:07:08#

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1298 P1: Yeah, can we put it nevertheless in very important? #02:07:10#

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P2: Yeah no, it is something which is very very important to me, and it's been bothering me a lot, so I'm fine with that. It's just that I thought #02:07:17#

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1303 P1: Yeah, no, I'm sorry but I find now, because I have a very similar, [... anonymized ...]

1304 #02:08:10#

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1306 I1: They should be uhm the best of the best right? #02:08:08#

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P1: Yeah, [... anonymized ...] this whole whistleblowing process is very hard and didn't know, would that mean your end in [nationality] academia and so on. So so yes #02:08:59#

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1311 I1: Maybe it's the other one you want to, it's the one "dealing with breaches of research integrity", that's the way to blow off things #02:09:06#

- P3: The fact that it's so rare that we actually, within our field, experience these breaches so
- that would be a reason to have it here, but the research ethics is very important. I think it is

- important for a number of reasons and one of these somewhat overlooked, I think
- sometimes we forget, is the ethics connected to the temporal, uhm temporary positions
- that sort of mask all of the lack of ethics within academia, which with some better
- regulatory procedures might be uhm sort of uhm helped, that if the EU projects need to
- follow regulatory procedures regarding research ethics, that also speaks to temporary
- positions and how to approach the fact that some people might act in different ways or
- abstain from doing or speaking up or something, we might get better research, we might get
- a better working environment, we might get healthier people. #02:10:31#

1325 P1: Yeah #02:10:31#

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- 1327 I1: We have a proud tradition of [...anonymized...]. So could be something like that. And then you could have some neutral person you could go to, if you had worries like the ones you
- 1329 were talking about #02:10:53#

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1331 P2: Yeah, I find it very hard to imagine what it would be like in practice #02:10:56#

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1333 P1: Exactly #02:10:56#

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1335 P2: And I think people would still be very hesitant to come forward #02:11:02#

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1337 P1: People are, yeah #02:11:02#

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P2: And I'm sure if I had a permanent position now, then I would be a lot braver to speak out about a lot of things which are bothering me...[...anonymized ...] #02:11:52#

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- 1342 I1: Yeah, no, everything here is confidential...Yeah okay, that was uhm, do you have
- anything you want to add to these things? Or are there any things where you think "why
- haven't they thought about that? That's the most important thing for us or also an
- 1345 important thing". #02:12:14#

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- 1347 P2: Not something that uhm I have ever experienced, but you hear about it a lot, uhm
- relationships in the workplace and particular between sort of senior and junior colleagues,
- supervises the PhD students and so on. Uhm as I said, luckily I've never come across it, but I
- 1350 think that's #02:12:33#

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1352 I1: Do you mean like love relationships? #02:12:36#

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P2: Yeah, people in positions of power abusing that power also in personal life. #02:12:42#

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1356 I1: Yeah, so uhm what shall we call that...yeah #02:12:49#

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1358 P2: Yeah, and I think that it's common enough that it needs to be addressed #02:12:53#

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1360 I1: You could have rules yeah #02:12:54#

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P1: How is that actually handled in [nationality] academia? I don't know #02:13:01#

I1: I don't think it's illegal, I think it's adult people and as long as you don't abuse or force anyone, I mean, people are allowed to fall in love of course and uhm or to have affairs or whatever, but I know from, I talked to somebody from the research integrity office at a [nationality] university, and she told me that she quite often comes across cases where people get divorced. So, they are working in the same university and then they end up in a nasty divorce or something, and then they start shooting at each other with research integrity issues (some laugh). But seriously that was a big part of her work, that what actually to handle these things. They start accusing each other of cheating, yeah. So yeah, so if people have relationships, it can be tricky to handle them. And I know some, I think some companies have rules where wife and husband are not allowed to work at the same place.

12: I think it is tricky to make a SOPs for that one (laugh) #02:14:30#

I1: "If you fall in love, then go there" (all laugh) #02:14:32#

P1: But maybe it's in general about exploitative relationships, right. That you, it goes more in our ethics thing. I find, because it's about any exploitative relationships, so where power structures are involved, right. Because I think, it's not, I don't know, I personally don't think it's such a big problem, but I have a problem with the power, I don't know, when it's quite clear that sort of so different levels are involved, you know, and sort of exploitation is going on #02:15:06#

I1: I guess there are many famous cases where supervisors and PhD students have ended up being married, but also yeah...okay thank you very much for this, I think we are coming to the feedback now. #02:15:28#

I2:The last one is adding new topics #02:15:30#

1393 I1: Yeah, I think we covered that #02:15:30#

But uhm yeah #02:14:25#

I2: Yes, I think you covered that. Yeah, so if you have time, we just wanted talk to you about how it was to participate and yes before that we of course want to thank you for your participation, because that is key to the project that we have some excellent focus groups, so without it we wouldn't have any data. So this is our data for this project. So what will happen now, I1 also mentioned it earlier, we will transcribe the interviews, and of course they will be completely anonymous and we are going to report to the European Commission next year and our report with be out in fall next year. So as soon as it will be official and published of course you will receive the report, so you can see how your results have fit into this process and also if some academic papers are coming out of course you will receive them, but as you know it can be (somebody says something that is inaudible and all start to laugh), you will be mentioned in the acknowledgements #02:16:34#

1407 I1: We will acknowledge you anonymously (laugh) #02:16:38#

1409 I2: But of course as you now it can take a few years before it's out. But we will, so this is our

first focus group, so we would just like to ask you a few more questions than you normally 1410 do in the debriefing. So we would like to ask you, how did you experience the introduction, 1411 you know I1's slides. Was it clear? Did you have enough information about what was going 1412 1413 to happen? #02:17:13# 1414 P2: Yeah absolutely #02:17:16# 1415 1416 1417 12: Perfect. And also we discussed some overall uhm different kinds of research integrity 1418 topics that maybe, that we felt maybe would be relevant also draw into some specific topics, 1419 and did you feel that the questions I asked were clear, understandable in terms of, because 1420 it can be a bit, like to ask these questions, uhm because it sort of falls in between your own practices and also some very abstract SOPs. #02:17:51# 1421 1422 1423 P3: Maybe I didn't read the material as thorough as I should have, but it could be a good 1424 thing to give them in advance. Maybe they are in there, your questions to sort of make us 1425 prepare a little bit, so we know that these are, but I think worked well, I understood what 1426 you said. #02:18:06# 1427 1428 12: Okay, that's good, but a good point. And what about the exercise? #02:18:10# 1429 1430 P1: Good #02:18:13# 1431 1432 P2: Yes, that was very fine #02:18:12# 1433 1434 12: Good...Do you think that we could sort of facilitate the focus group better? Is there 1435 something that could work better in terms of questions or the discussion or? #02:18:30# 1436 1437 P1: I mean, I totally enjoyed it much more than I thought I would. Uhm but I just would at 1438 the beginning maybe, cause you are so in the topic, so I asked this question to clarify and 1439 that was then, set it up so much, so I think that in your presentation at the beginning, saying 1440 "yeah we do this because there is a crisis in" #02:18:51# 1441 1442 I2: That's a really good point #02:18:51# 1443 1444 P1: Because I think because for you it's clear, but for me it wasn't. And the other thing is, 1445 also because I'm so bad with this, but I'm always so confused with all the abbreviations, so 1446 especially the RIPP that you sort of, I don't, maybe more often say the whole thing. Because 1447 it then became all so clear and so interesting, but I think I was a bit confused #02:19:19# 1448 1449 I1: Yeah I completely understand that. It took me half a year to (all laugh) #02:19:22# 1450 1451 P1: Yeah but now you're so in it. But now obviously you work with it every day #02:19:29# 1452 1453 I1: That's a good point. #02:19:31#

12: That's a really good point, yeah. It becomes sort of silent knowledge, you just take it for

granted that everybody knows #02:19:36#

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1458 1459	I1: Yeah it does. And we even used it in one of the cards as well, "research collaboration among RPOs", it's easy to write it out. #02:19:49#
1460	
1461	I2: And just the last question in terms of the form of consent process, was that okay? You
1462	still need our signature, and you'll get that. #02:19:53#
1463	
1464	P2: well, not necessarily no, but I do still have a question about, because you say you are
1465	going to make everything anonymous, and I'm just wondering how far you go in that,
1466	because for instance if someone is hearing this [anonymized] #02:20:14#
1467	
1468	I1: We are definitely going, then we might not include that at all, so if we are in doubt we'll
1469	leave it out. So that's, so we will be very, what do you say, thorough, when we go through
1470	this. #02:20:35#
1471	
1472	I2: And the transcription will only be read by the research crew. #02:20:39#
1473	14. The the west according to the control of the co
1474	I1: That's not exactly true actually, because as we also in the consent form, we will actually
1475	because of this open science, and because of not wasting data, we will make it publicly
1476 1477	available somewhere down the line. but there we will make absolutely sure that there are no identifiers what so ever. #02:21:01#
1477 1478	no identifiers what so ever. #02.21.01#
1479	I2: But when we work with data, it will only be #02:21:04#
1480	12. But when we work with duta, it will only be not.21.0411
1481	I1: So maybe we could also, I don't exactly how we will do, but they won't know that this
1482	group took place in [country] for example, and so yeah #02:21:15#
1483	
1484	P2: We'll wait in a slight (unclear #02:21:16#) position (all laugh) #02:21:19#
1485	
1486	I1: Well you will have by the time, it will be two years down the line, and of course that is
1487	very important because we know some of this can be sensitive. #02:21:31#
1488	
1489	I2:And we take this very seriously. #02:21:37#
1490	11. Dut the also for remainding up here we it is really important M/or that it? #02.21.40#
1491	I1: But thanks for reminding us, because it is really important. Was that it? #02:21:46#
1492	12: I think that was it jupless you have any other comments? #02:21:47#
1493 1494	I2: I think that was it, unless you have any other comments? #02:21:47#
1494	P2: I have one question, how did you decide on asking us? #02:21:49#
1496	1 2. Thave one question, now did you decide on asking as: #02.21.45#
1497	I1: So we had a, so first of all you should be from historical topics or disciplines and then we
1498	should have both people who had a permanent position and people who still who don't
1499	have a permanent positions, and we should have women and men, we should have three
1500	different disciplines. And we would have had that if we were all here. And then there were a
1501	number of other criteria, so and then we basically went to the web pages and invited
1502	people. And I have to say that it was easier this time than when we last did our interviews.
1503	Then we had to send out 8, up to 8 invitations, to get one participant. Here it was much less,

1504 1505	reasons. So we're very happy that you took the time to come, it's very relevant information
1506	we got out of this. And you've helped to also clarify things, but also to see even more that of
1507	course there are differences between the disciplines and we need to take that seriously.
1508	#02:23:15#
1509	#02.23.13#
1510	P1: I think for me that is the most important thing in the whole, you know, European
1511	project, to really to give more space to the humanities. I mean, not more space, but make
1512	sure that it remains its own space, history and rules and norms. #02:23:36#
1513	sure that it remains its own space, motor, and railes and norms. Mozizoison
1514	I1: Thanks a lot. We have a little thing for you. There are strict acts rules, so we are only
1515	allowed to give you a little present, because otherwise we would have to report it to the tax
1516	authorities, but this is so small that there are. #02:23:56#
1517	
1518	12: We have an extra consent form, that you can fill out. Because we need to have this, and
1519	you also need to get one back. #02:24:16#
1520	
1521	P1: Thank you, that was actually lots of fun #02:24:17#
1522	
1523	P2: Yeah, and really interesting as well. #02:24:19#
1524	
1525	I1: Thank you, that's something we also sometimes experience that people, that we don't
1526	talk much about these things in our daily work, but sometimes it can be nice to. I thought
1527	we had a good discussion #02:24:31#
1528	
1529	I2: Yeah, it was really good. #02:24:36#
1530	
1531	P1: But I think for us it is also important that we are going to write these applications, so I
1532	mean that was why I was quite keen, you know, because we have to understand what's, you
1533	know #02:24:48#
1534	
1535	I1: Yeah, what does it exactly mean when they say this. But this will first and foremost the
1536	organizations that have to pay attention to this #02:09:04#
1537	
1538	
1539	