# CS-618 Deep Learning Spring 2023



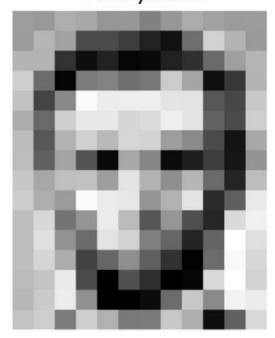
#### Lecture 4

#### Agenda

- The building blocks of CNNs
  - Understanding CNNs and feature hierarchies
  - Performing discrete convolutions
    - Discrete convolutions in one dimension
    - · Padding inputs to control the size of the output feature maps
    - Determining the size of the convolution output
    - Performing a discrete convolution in 2D
  - Subsampling layers
  - Putting everything together implementing a CNN
    - Working with multiple input or color channels
    - Regularizing an NN with dropout
  - Loss functions for classification
- Implementing a deep CNN using TensorFlow
  - The multilayer CNN architecture
  - Loading and preprocessing the data
  - Implementing a CNN using the TensorFlow Keras API
    - Configuring CNN layers in Keras
    - Constructing a CNN in Keras
  - Gender classification from face images using a CNN
    - Loading the CelebA dataset
    - Image transformation and data augmentation
    - Training a CNN gender classifier
- Summary

### What computers see: Images as Numbers

#### What you see



Input Image

What you both see

157	153	174	168	150	152	129	151	172	161	155	156
155	182	163	74	75	62	33	17	110	210	180	154
180	180	50	14	34	6	10	33	48	106	159	181
206	109	6	124	191	111	120	204	166	15	56	180
194	68	137	251	237	259	239	228	227	87	71	201
172	106	207	233	233	214	220	239	228	98	74	206
188	88	179	209	185	215	211	158	139	75	20	169
189	97	165	84	10	168	134	11	31	62	22	148
199	168	191	193	158	227	178	143	182	106	36	190
206	174	155	252	236	231	149	178	228	43	95	234
190	216	116	149	236	187	85	150	79	38	218	241
190	224	147	108	227	210	127	102	36	101	255	224
190	214	173	56	103	143	96	50	2	109	249	215
187	196	235	75	1	81	47	0	6	217	255	211
183	202	237	145	0	0	12	108	200	138	243	236
195	206	123	207	177	121	123	200	175	13	96	218

Input Image + values

What the computer "sees"

157	153	174	168	150	152	129	151	172	161	155	156
155	182	163	74	75	62	33	17	110	210	180	154
180	180	50	14	34	6	10	33	48	106	159	181
206	109	5	124	131	111	120	204	166	15	56	180
194	68	137	251	237	239	239	228	227	87	71	201
172	106	207	233	233	214	220	239	228	98	74	206
188	88	179	209	186	215	211	158	139	75	20	169
189	97	166	84	10	168	134	11	31	62	22	148
199	168	191	193	158	227	178	143	182	106	36	190
206	174	155	252	236	231	149	178	228	43	96	234
190	216	116	149	236	187	86	150	79	38	218	241
190	224	147	108	227	210	127	102	36	101	255	224
190	214	173	66	103	143	96	50	2	109	249	216
187	196	235	75	1	81	47	0	6	217	255	211
183	202	237	145	0	0	12	108	200	138	243	236
196	206	123	207	177	121	123	200	176	13	96	218

Pixel intensity values ("pix-el"=picture-element)

### How can computers recognize objects?



#### Convolutional Neural Networks (CNN)

- Convolution operations in one and two dimensions
- The building blocks of CNN architectures
- Implementing deep CNNs in TensorFlow
- Data augmentation techniques for improving the generalization performance
- Implementing a face image-based CNN classifier for predicting the gender of a person

#### CNN

Convolutional Neural Networks (CNNs) are a type of neural network that are commonly used for image recognition, classification, and other computer vision tasks. They are inspired by the structure and function of the visual cortex in the brain, which processes visual information by detecting patterns and edges in the input.

#### What does CNN consist of?

At a high level, a CNN consists of multiple layers, including convolutional layers, pooling layers, and fully connected layers. The input to the network is an image, which is represented as a matrix of pixel values. The first layer of the network is typically a convolutional layer, which applies a set of learnable filters to the input image to extract features such as edges, corners, and other visual patterns.

#### CNN process (1of 3)

The output of the convolutional layer is then passed through a pooling layer, which reduces the spatial size of the feature maps by taking the maximum, average, or other function of small groups of adjacent pixels. This helps to make the representation more robust to small shifts and variations in the input.

#### CNN process (2of 3)

The process of applying convolutional and pooling layers is typically repeated multiple times, with the number of filters and the size of the pooling window increasing in each layer to capture more complex and abstract features.

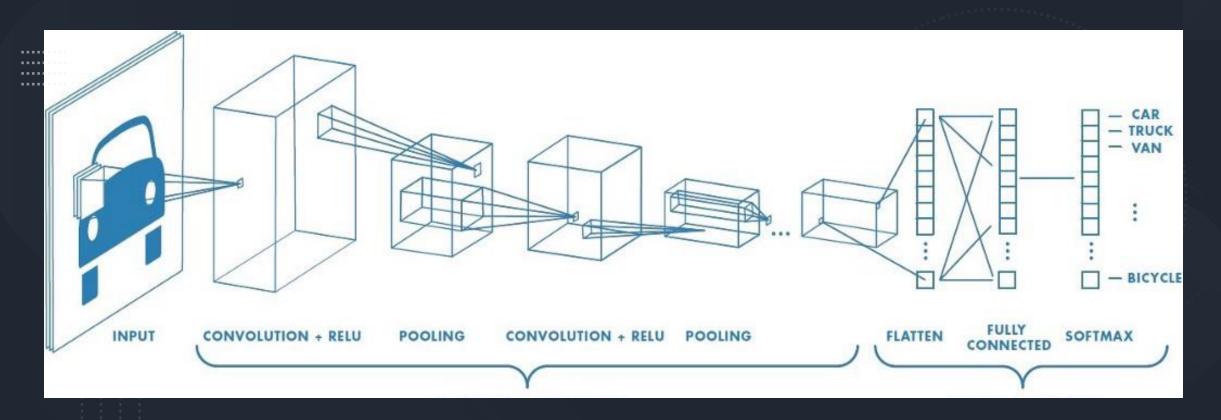
#### CNN process (3of 3)

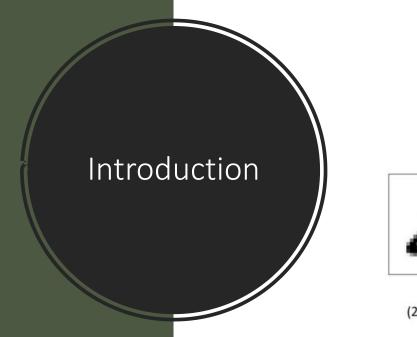
The final layer of the network is typically a fully connected layer, which takes the flattened output of the last convolutional/pooling layer and uses it to make a prediction about the input image. This can involve classifying the image into one of several categories, or assigning a score to each category based on how likely the image is to belong to that category.

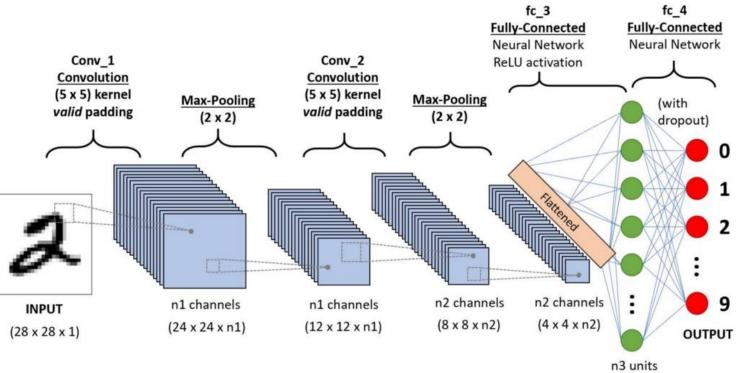
CNNs are trained using backpropagation, where the weights of the filters and fully connected layers are adjusted to minimize the difference between the predicted output and the actual output. This is typically done using a loss function such as cross-entropy or mean squared error, and an optimization algorithm such as stochastic gradient descent.

#### **Architecture of CNN**

- A typical CNN has 4 layers
  - Input layer
  - Convolution layer
  - Pooling layer
  - Fully connected layer

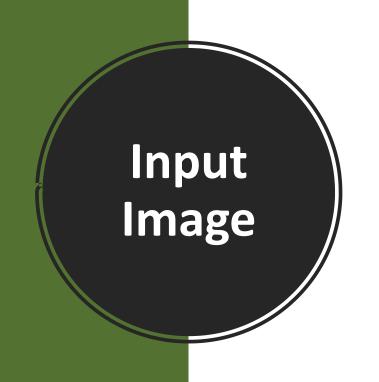


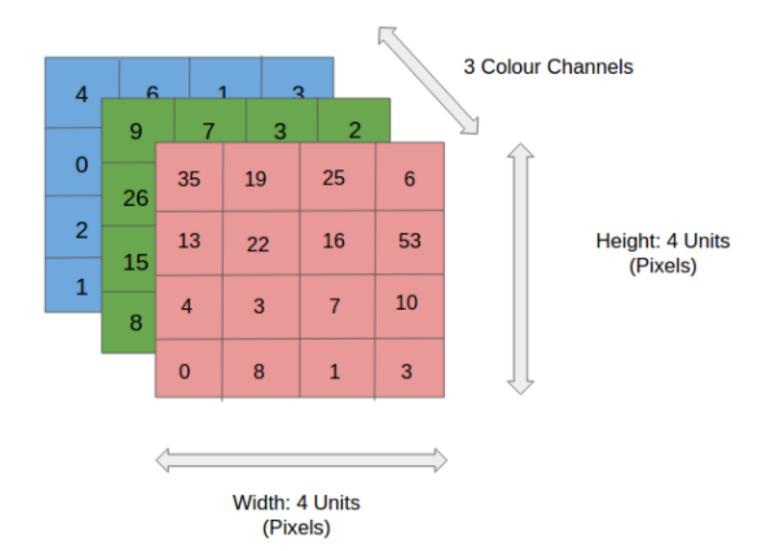




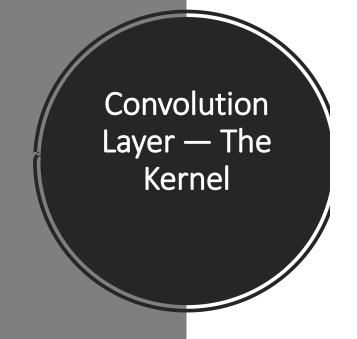
## A Convolutional Neural Network (ConvNet/CNN)

- is a Deep Learning algorithm that can take in an input image,
- assign importance (learnable weights and biases) to various aspects/objects in the image
- be able to differentiate one from the other.
- The pre-processing required in a ConvNet is much lower as compared to other classification algorithms.
- While in primitive methods filters are hand-engineered, with enough training
- ConvNets have the ability to learn these filters/characteristics.





Source: https://towardsdatascience.com/a-comprehensive-guide-to-convolutional-neural-networks-the-eli5-way-3bd2b1164a53



1,	1,0	<b>1</b> <sub>×1</sub>	0	0
<b>O</b> <sub>×0</sub>	1,	1,0	1	0
<b>O</b> <sub>×1</sub>	0,0	<b>1</b> <sub>×1</sub>	1	1
0	0	1	1	0
0	1	1	0	0

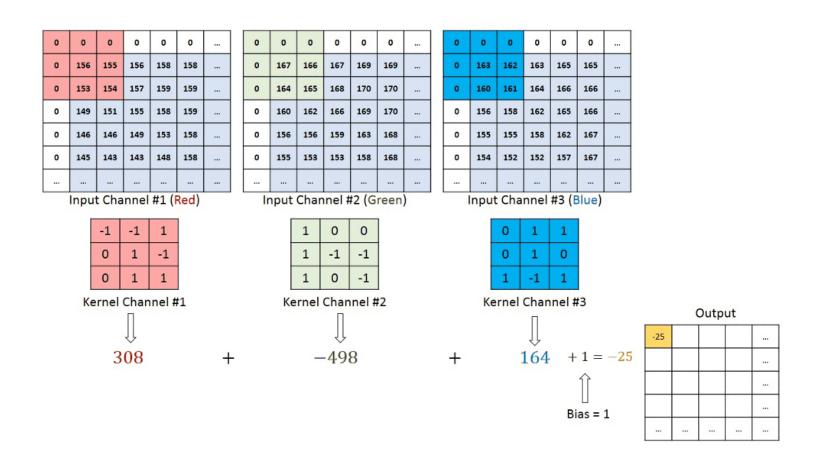
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#### **Image**

Convolved Feature

Image Dimensions = 5 (Height) x 5 (Breadth) x 1 (Number of channels, eg. RGB)

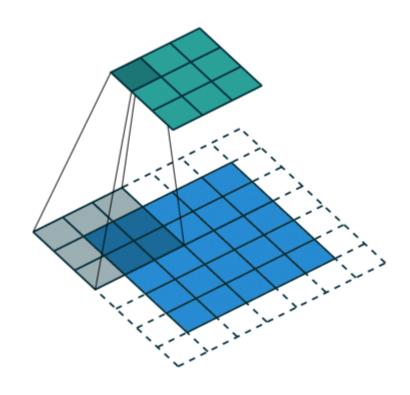
In the above demonstration, the green section resembles our **5x5x1 input image**, **I**. The element involved in the convolution operation in the first part of a Convolutional Layer is called the **Kernel/Filter**, **K**, represented in color yellow. We have selected **K** as a **3x3x1 matrix**.



Convolution operation on a MxNx3 image matrix with a 3x3x3 Kernel

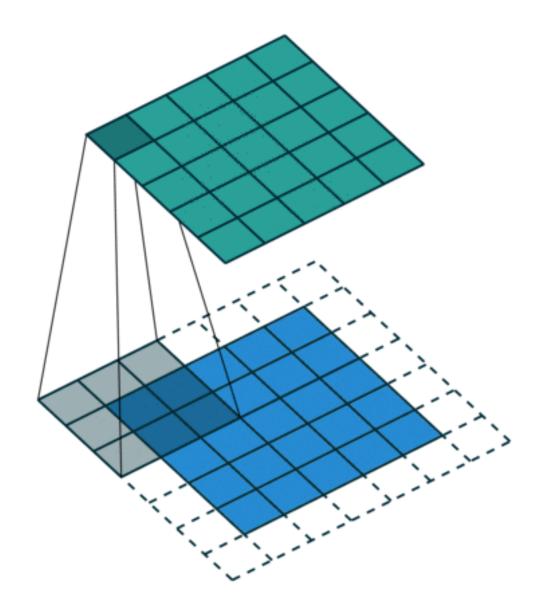
#### Convolution Operation with Stride Length = 2

the Kernel has the same depth as that of the input image. Matrix Multiplication is performed between Kn and In stack ([K1, I1]; [K2, I2]; [K3, I3]) and all the results are summed with the bias to give us a squashed one-depth channel Convoluted Feature Output.



#### Padding

- There are two types of results to the operation
  - one in which the convolved feature is reduced in dimensionality as compared to the input.
  - the other in which the dimensionality is either increased or remains the same.
  - This is done by applying Valid
     Padding in the case of the
     former, or Same Padding in the
     case of the latter.



#### Objective

- The objective of the Convolution Operation is to extract the high-level features such as edges, from the input image.
- ConvNets need not be limited to only one Convolutional Layer.
- Conventionally, the first ConvLayer is responsible for capturing the Low-Level features such as edges, color, gradient orientation, etc.
- With added layers, the architecture adapts to the High-Level features as well, giving us a network that has a wholesome understanding of images in the dataset, similar to how we would.

#### Input layer

- Example input a 28 pixel by 28 pixel grayscale image
  - Input is presented to network in 2D as 28 x 28 matrix
  - This makes capturing spatial relationships easier

#### Convolution layer

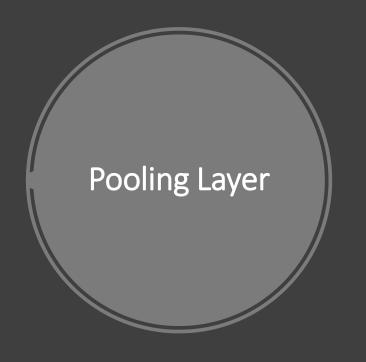
- Composed of multiple filters (kernels)
- Filters for 2D image are also 2D
- Suppose we have a 3 by 3 filter (9 values in total)
  - Values are randomly set to 0 or 1
- Convolution: placing 3 by 3 filter on the top left corner of image
  - Multiply filter values by pixel values, add the results
  - Move filter to right one pixel at a time, and repeat this process
  - When at top right corner, move filter down one pixel and repeat process
  - Process ends when we get to bottom right corner of image

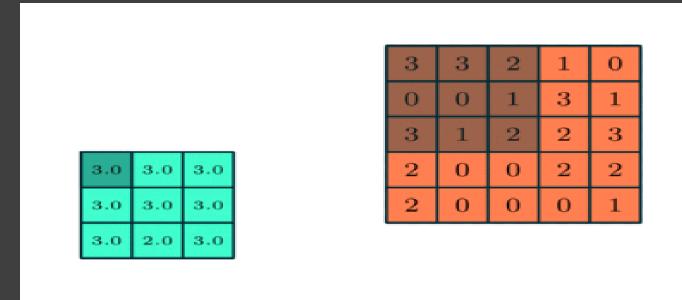
#### Pooling layer

- Pooling layer performs down sampling to reduce spatial dimensionality of input
- This decreases number of parameters
  - Reduces learning time/computation
  - Reduces likelihood of overfitting
- Most popular type is max pooling
  - Usually a 2 x 2 filter with a stride of 2
  - Returns maximum value as it slides over input data

#### Fully connected layer

- Last layer in a CNN
- Connect all nodes from previous layer to this fully connected layer
  - Which is responsible for classification of the image





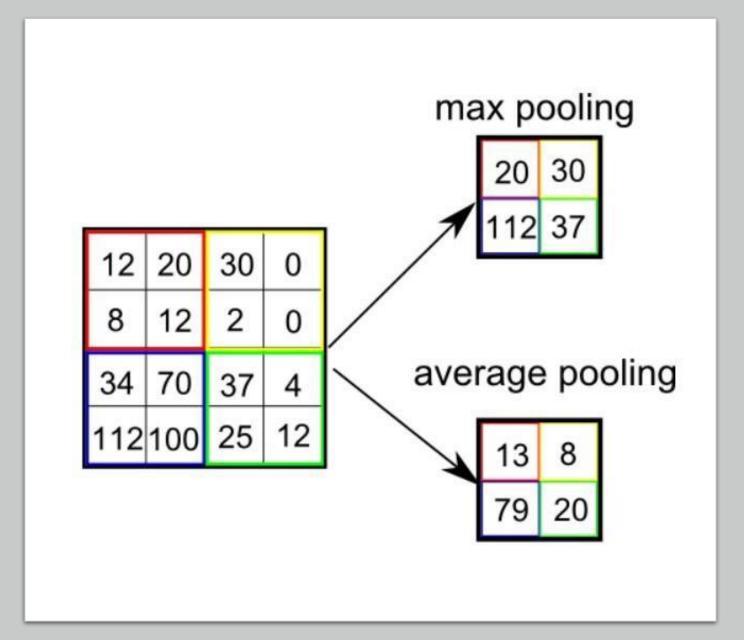
The Pooling layer is responsible for reducing the spatial size of the Convolved Feature.

This is to decrease the computational power required to process the data through dimensionality reduction.

it is useful for **extracting dominant features** which are rotational and positional invariant, thus maintaining the process of effectively training the model.

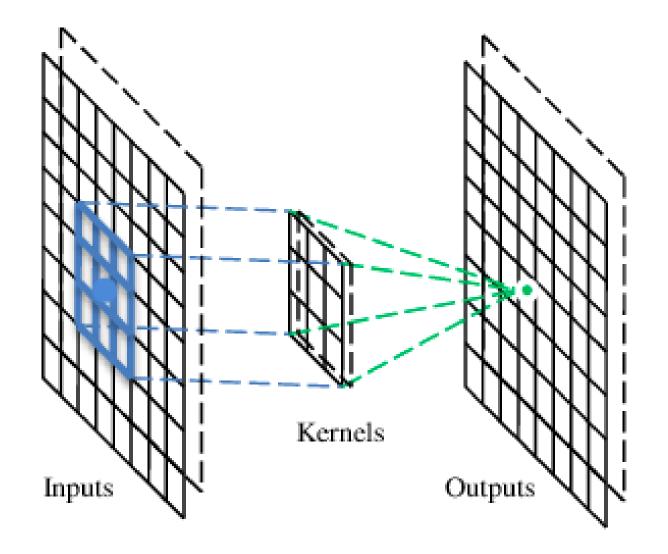
## Types of Pooling

- There are two types of Pooling: Max Pooling and Average Pooling.
- Max Pooling returns
   the maximum value from the
   portion of the image covered
   by the Kernel.
- On the other hand, Average
   Pooling returns the average
   of all the values from the
   portion of the image covered
   by the Kernel.



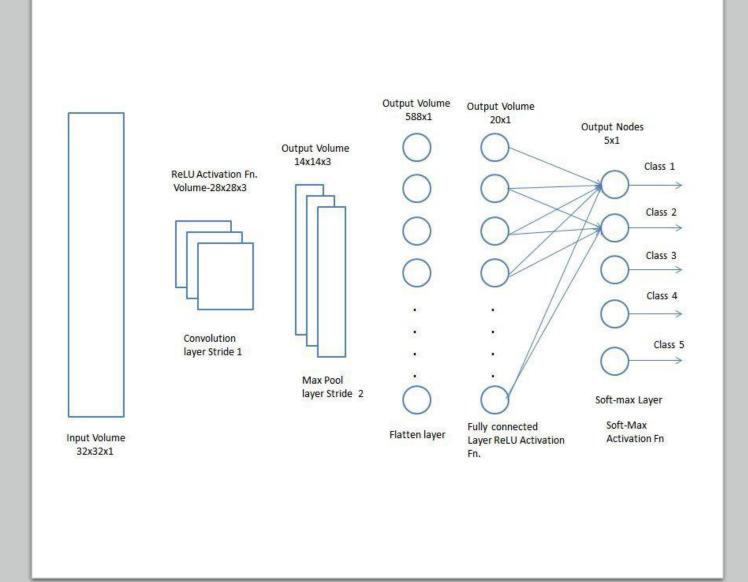
## A convolutional layer

 A CNN is a neural network with some convolutional layers (and some other layers). A convolutional layer has a number of filters that does convolutional operation.

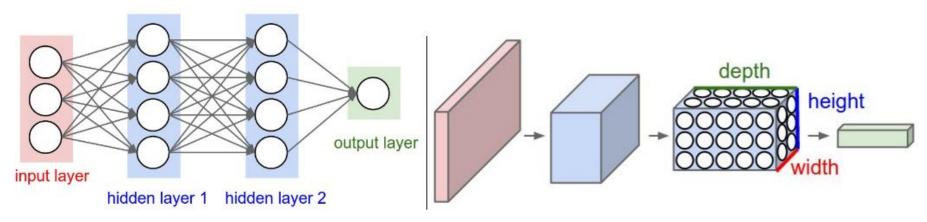


#### Classification — Fully Connected Layer (FC Layer)

- input image converted a suitable form for our Multi-Level Perceptron
- we flatten the image into a column vector.
- The flattened output is fed to a feed-forward neural network and backpropagation is applied to every iteration of training.
- Over a series of epochs, the model is able to distinguish between dominating and certain low-level features in images and classify them using the **Softmax** Classification technique.



#### Dense neural network and Convolutional neural network

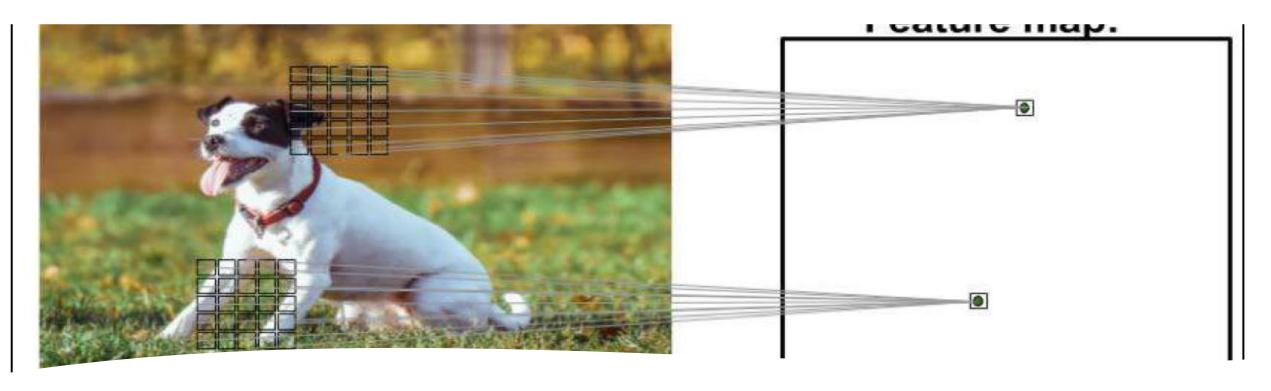


Left: A regular 3-layer Neural Network. Right: A ConvNet arranges its neurons in three dimensions (width, height, depth), as visualized in one of the layers. Every layer of a ConvNet transforms the 3D input volume to a 3D output volume of neuron activations. In this example, the red input layer holds the image, so its width and height would be the dimensions of the image, and the depth would be 3 (Red, Green, Blue channels).

#### building blocks of CNNs

CNNs are a family of models that were originally inspired by how the visual cortex of the human brain works when recognizing objects. The development of CNNs goes back to the 1990s, when Yann LeCun and his colleagues proposed a novel NN architecture for classifying handwritten digits from images (Handwritten Digit Recognition with a Back-Propagation Network, Y. LeCun, and others, 1989, published at the Neural Information Processing Systems (NeurIPS) conference).

Source: python-machine-learning-and-deep-learning-with-python-scikit-learn-and-tensorflow-2



# Understanding CNNs and feature hierarchies

- it's common to consider CNN layers as feature extractors
- the early layers (those right after the input layer) extract **low-level features** from raw data, and the later layers (often **fully connected layers** like in a multilayer perceptron (MLP)) use these features to predict a continuous target value or class label.
- As you can see in the following image, a CNN computes **feature maps** from an input image, where each element comes from a local patch of pixels in the input image:

- Due to the outstanding performance of CNNs for image classification tasks, this particular type of feedforward NN gained a lot of attention and led to tremendous improvements in machine learning for computer vision.
- This local patch of pixels is referred to as the local receptive field.
- CNNs will usually perform very well on image-related tasks, and that's largely due to two important ideas:
- Sparse connectivity: A single element in the feature map is connected to only a small patch of pixels.
- Parameter-sharing: The same weights are used for different patches of the input image.
- CNNs are composed of several convolutional and subsampling layers that are followed by one or more fully connected layers at the end. The fully connected layers are essentially an MLP, where every input unit, i, is connected to every output unit, j, with weight w
- subsampling layers, commonly known as pooling layers, do not have any learnable parameters

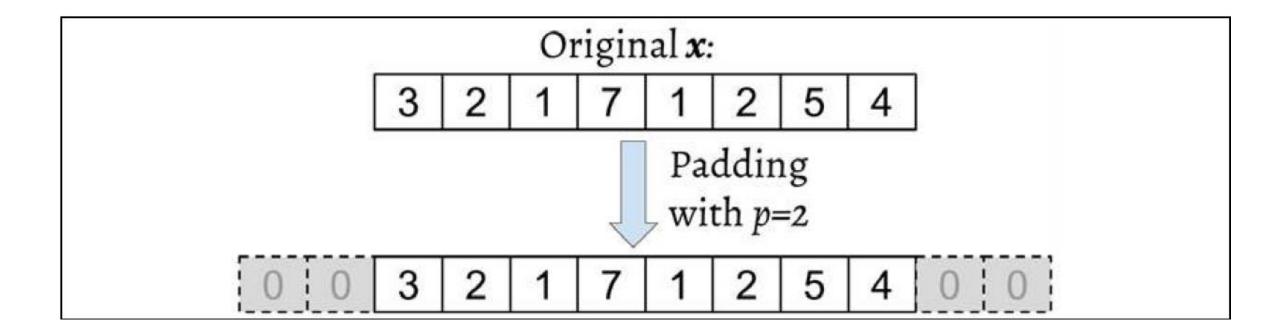
#### Performing discrete convolutions

- is a fundamental operation in a CNN.
- discuss some of the naive algorithms to compute convolutions of one-dimensional tensors (vectors) and two-dimensional tensors (matrices).
- A discrete convolution for two vectors, x and w, is denoted by yy = xx \* ww , in which vector x is our input (sometimes called signal) and w is called the filter or kernel.
- A discrete convolution is mathematically defined as follows:
- Therefore, to correctly compute the summation, it is assumed that **x** and **w** are filled with zeros.
- Since this is not useful in practical situations, **x** is padded only with a finite number of zeros.

$$\mathbf{y} = \mathbf{x} * \mathbf{w} \rightarrow y[i] = \sum_{k=-\infty}^{+\infty} x[i-k] w[k]$$

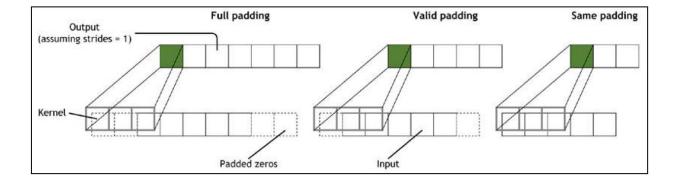
#### zero-padding

• the number of zeros padded on each side is denoted by p.



# Modes of padding

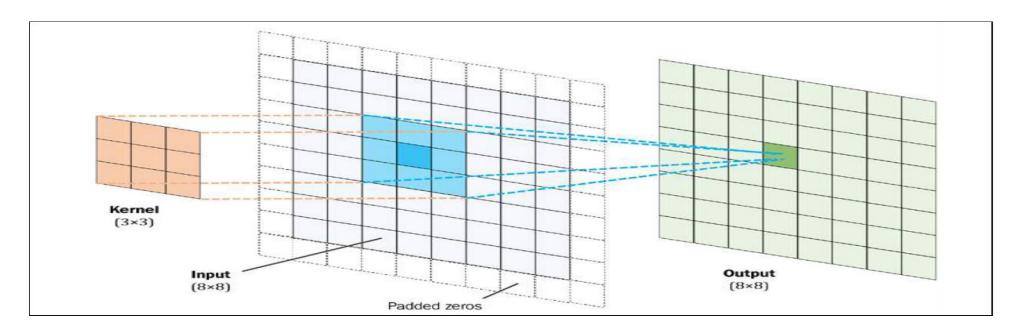
- In full mode, the padding parameter, p, is set to p = m - 1. Full padding increases the dimensions of the output; thus, it is rarely used in CNN architectures.
- Same padding is usually used to ensure that the output vector has the same size as the input vector, x. In this case, the padding parameter, p, is computed according to the filter size, along with the requirement that the input size and output size are the same.
- Finally, computing a convolution in the valid mode refers to the case where p = 0 (no padding)
- SAME: Expects padding to be such that the input and output is of same size (provided stride=1) (pad value = kernel size)
- VALID: Padding value is set to 0



# Performing a discrete convolution in 2D

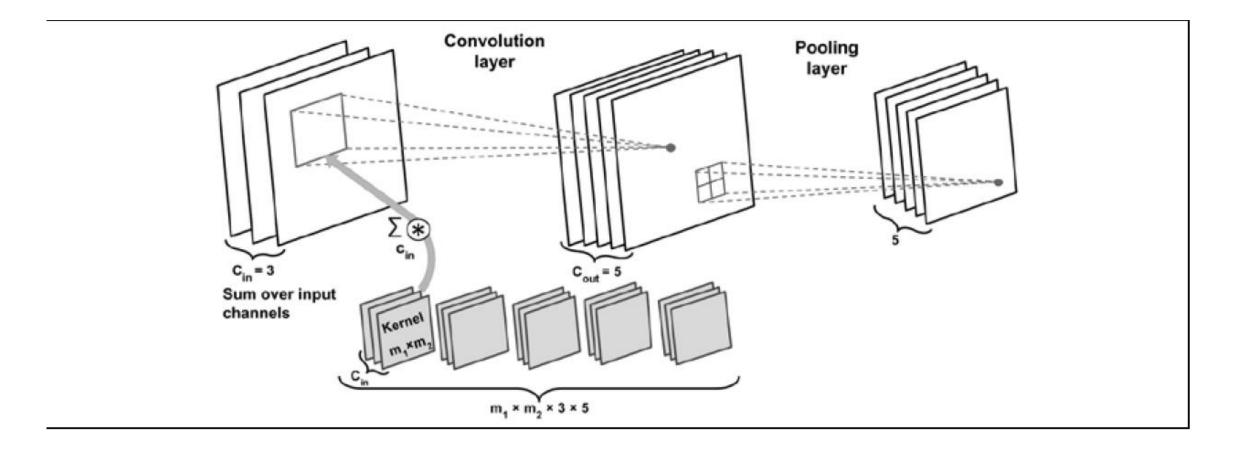
• This is defined mathematically as follows:

$$Y = X * W \rightarrow Y[i,j] = \sum_{k_1 = -\infty}^{+\infty} \sum_{k_2 = -\infty}^{+\infty} X[i - k_1, j - k_2] W[k_1, k_2]$$



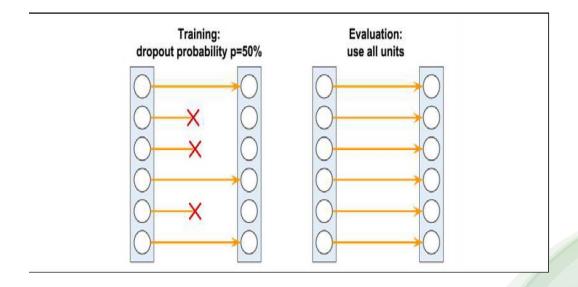
#### Working with multiple input or color channels

- An input to a convolutional layer may contain one or more 2D arrays or matrices with dimensions  $N1 \times N2$
- These  $N1 \times N2$  matrices are called *channels*.
- three-dimensional array  $XN1 \times N2 \times Ci$  where Ci is the number of input channels.
- If the image is colored and uses the RGB color mode, then Ci = 3
- if the image is in grayscale, then we have Ci = 1



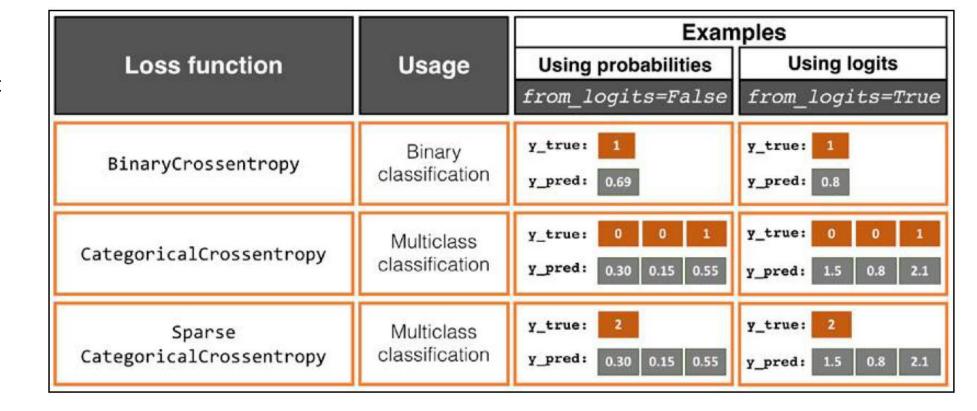
#### Regularizing an NN with dropout

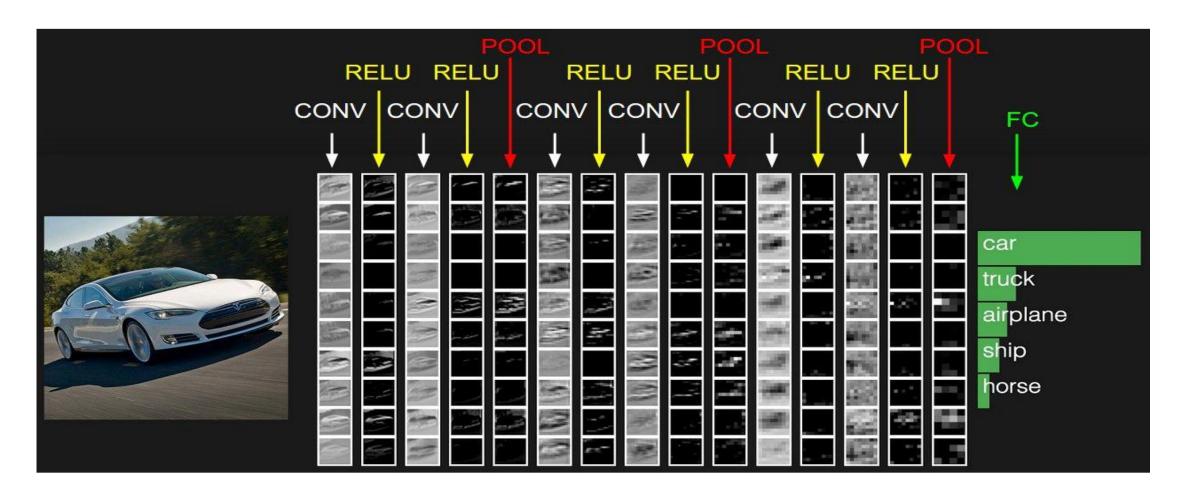
- dropout has emerged as a popular technique for regularizing (deep) NNs to avoid overfitting
- Dropout is usually applied to the hidden units of higher layers and works as follow:
- during the training phase of an NN, a fraction of the hidden units is randomly dropped at every iteration with probability pdrop



# Loss functions for classification

binary classification, multiclass with one-hot encoded ground truth labels, and multiclass with integer (sparse) labels.





CONV: Convolutional kernel layer

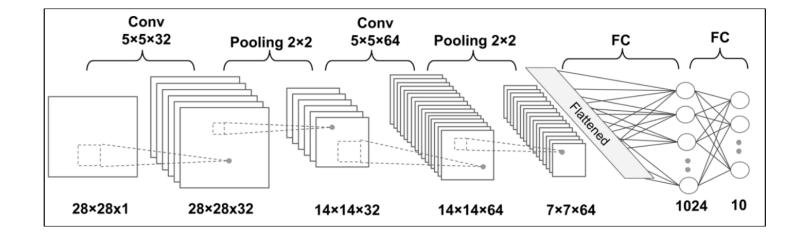
**RELU: Activation function** 

POOL: Dimension reduction layer

FC: Fully connection layer

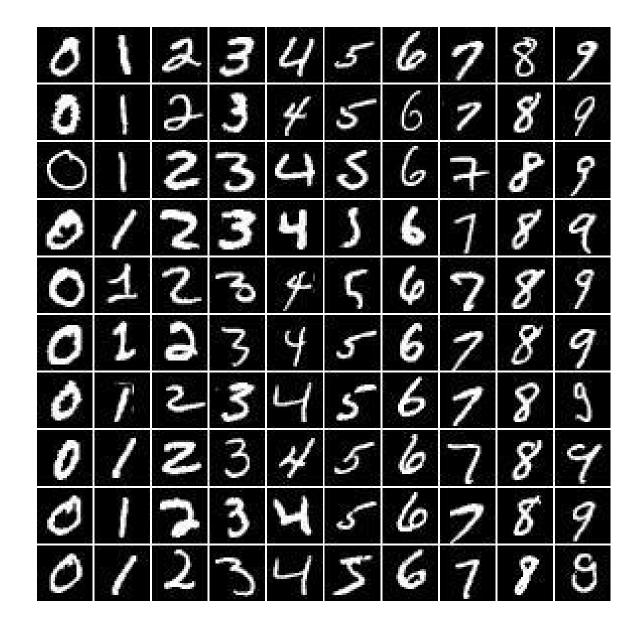
# Implementing a deep CNN using TensorFlow

- The inputs are 28 × 28 grayscale images
- The input data goes through two convolutional layers that have a kernel size of 5 × 5.
- The first convolution has 32 output feature maps, and the second one has 64 output feature maps.
- Each convolution layer is followed by a subsampling layer in the form of a max-pooling operation, *PP*2×2.
- Then a fully connected layer passes the output to a second fully connected layer, which acts as the final softmax output layer.



#### MNIST dataset

- The MNIST database of handwritten digits,
- available from this page,
- has a training set of 60,000 examples, and a test set of 10,000 examples.
- It is a subset of a larger set available from NIST.
- The digits have been size-normalized and centered in a fixed-size image.



The dimensions of the tensors in each layer are as follows:

- Input: [batchsize × 28 × 28 × 1]
- Conv\_1: [batchsize × 28 × 28 × 32]
- Pooling\_1: [batchsize × 14 × 14 × 32]
- Conv\_2: [batchsize × 14 × 14 × 64]
- Pooling\_2: [batchsize × 7 × 7 × 64]
- FC\_1: [batchsize × 1024]
- FC\_2 and softmax layer: [batchsize × 10]

#### Loading and preprocessing the data

- pip install tensorflow\_datasets
- import tensorflow\_datasets as tfds
- import pandas as pd

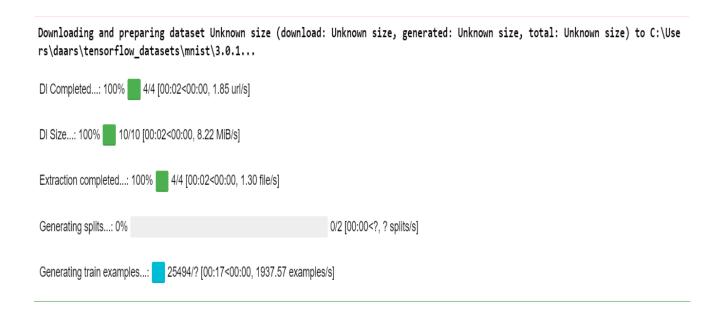
- import matplotlib.pyplot as plt
- %matplotlib inline

#### Loading the dataset

```
## MNIST dataset
```

```
mnist_bldr = tfds.builder('mnist')
mnist_bldr.download_and_prepar
e()
datasets =
mnist_bldr.as_dataset(shuffle_file
s=False)
print(datasets.keys())
mnist_train_orig, mnist_test_orig
= datasets['train'], datasets['test']
```

# The MNIST dataset comes with a pre-specified training and test dataset partitioning scheme



#### Define parameters

- An example of this behavior where the number of labels in the validation datasets changes due to reshuffling of the train/validation splits.
- This can cause *false* performance estimation of the model, since the train/validation datasets are indeed mixed.)
- We can split the train/validation datasets as follows:

BUFFER\_SIZE = 10000 BATCH\_SIZE = 64 NUM\_EPOCHS = 20

#### Train the model

```
mnist_train = mnist_train_orig.map(
     lambda item: (tf.cast(item['image'], tf.float32)/255.0,
            tf.cast(item['label'], tf.int32)))
  mnist test = mnist test orig.map(
     lambda item: (tf.cast(item['image'], tf.float32)/255.0,
            tf.cast(item['label'], tf.int32)))
tf.random.set_seed(1)
  mnist_train = mnist_train.shuffle(buffer_size=BUFFER_SIZE,
                     reshuffle each iteration=False)
  mnist_valid = mnist_train.take(10000).batch(BATCH_SIZE)
mnist_train = mnist_train.skip(10000).batch(BATCH_SIZE)
```

# Implementing a CNN using the TensorFlow Keras API

- Configuring CNN layers in Keras
  - Conv2D: tf.keras.layers.Conv2D
    - filters
    - kernel\_size
    - strides
    - padding
  - MaxPool2D: tf.keras.layers.MaxPool2D
    - pool\_size
    - strides
    - padding
  - Dropout tf.keras.layers.Dropout2D
    - rate

#### **Constructing a CNN in Keras**

```
    model = tf.keras.Sequential()

   model.add(tf.keras.layers.Conv2D(
     filters=32, kernel_size=(5, 5),
     strides=(1, 1), padding='same',
     data_format='channels_last',
     name='conv 1', activation='relu'))
   model.add(tf.keras.layers.MaxPool2D(
      pool_size=(2, 2), name='pool_1'))
   model.add(tf.keras.layers.Conv2D(
     filters=64, kernel_size=(5, 5),
     strides=(1, 1), padding='same',
     name='conv_2', activation='relu'))
   model.add(tf.keras.layers.MaxPool2D(pool_size=(2, 2), name='pool_2'))
```

# Model shaping

model.compute\_output\_shape(input\_shape=(16, 28, 28, 1))

```
model.compute_output_shape(input_shape=(16, 28, 28, 1))
TensorShape([16, 7, 7, 64])
```

#### Model add

```
model.add(tf.keras.layers.Flatten())
model.compute_output_shape(input_shape=(16, 28, 28, 1))
```

```
model.add(tf.keras.layers.Flatten())
model.compute_output_shape(input_shape=(16, 28, 28, 1))
TensorShape([16, 3136])
```

#### dropout

```
    model.add(tf.keras.layers.Dense(

    units=1024, name='fc_1',
   activation='relu'))

    model.add(tf.keras.layers.Dropout(

    rate=0.5))

    model.add(tf.keras.layers.Dense(

   units=10, name='fc_2',
    activation='softmax'))
```

#### Build the model

- tf.random.set\_seed(1)
- model.build(input\_shape=(None, 28, 28, 1))

model.compute\_output\_shape(input\_shape=(16, 28, 28, 1))

# Model summary

model.summary()

Model: "Sequential"	Model:	"sequential"
---------------------	--------	--------------

Layer (type)	Output Shape	Param #
conv_1 (Conv2D)	(None, 28, 28, 32)	832
pool_1 (MaxPooling2D)	(None, 14, 14, 32)	0
conv_2 (Conv2D)	(None, 14, 14, 64)	51264
pool_2 (MaxPooling2D)	(None, 7, 7, 64)	0
flatten (Flatten)	(None, 3136)	0
fc_1 (Dense)	(None, 1024)	3212288
dropout (Dropout)	(None, 1024)	0
fc_2 (Dense)	(None, 10)	10250

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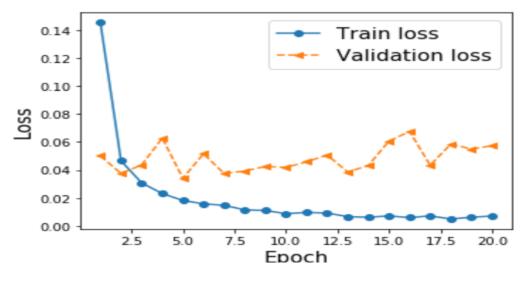
Total params: 3,274,634 Trainable params: 3,274,634 Non-trainable params: 0

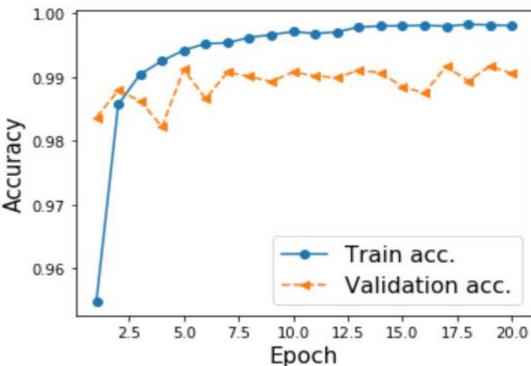
#### Compile the model

- model.compile(optimizer=tf.keras.optimizers.Adam(),
- loss=tf.keras.losses.SparseCategoricalCrossentropy(),
- metrics=['accuracy']) # same as
   `tf.keras.metrics.SparseCategoricalAccuracy(name='accuracy')`

- history = model.fit(mnist\_train, epochs=NUM\_EPOCHS,
- validation\_data=mnist\_valid,
- shuffle=True)

- hist = history.history
- x\_arr = np.arange(len(hist['loss'])) + 1
- fig = plt.figure(figsize=(12, 4))
- ax = fig.add\_subplot(1, 2, 1)
- ax.plot(x\_arr, hist['loss'], '-o', label='Train loss')
- ax.plot(x\_arr, hist['val\_loss'], '--<', label='Validation loss')</li>
- ax.set\_xlabel('Epoch', size=15)
- ax.set ylabel('Loss', size=15)
- ax.legend(fontsize=15)
- ax = fig.add\_subplot(1, 2, 2)
- ax.plot(x\_arr, hist['accuracy'], '-o', label='Train acc.')
- ax.plot(x\_arr, hist['val\_accuracy'], '--<', label='Validation acc.')</li>
- ax.legend(fontsize=15)
- ax.set\_xlabel('Epoch', size=15)
- ax.set\_ylabel('Accuracy', size=15)
- #plt.savefig('figures/15\_12.png', dpi=300)
- plt.show()

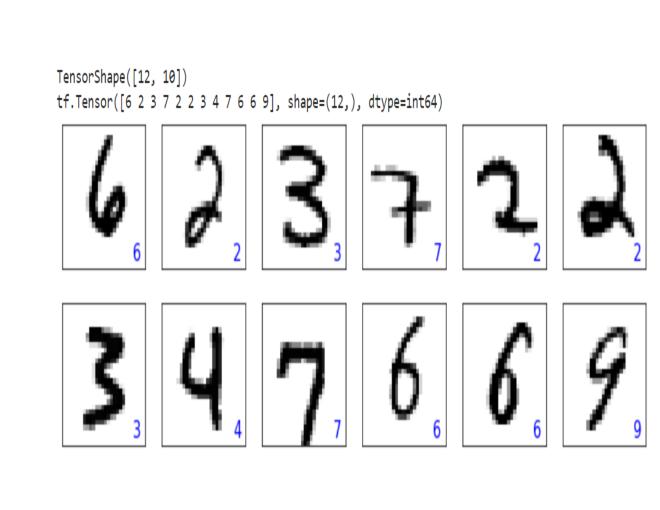




#### Testing

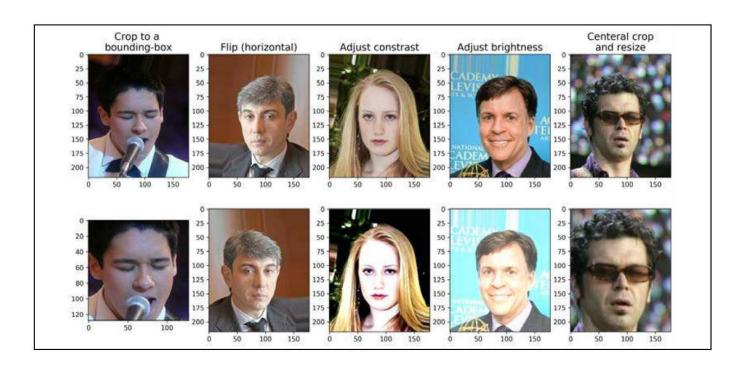
- test\_results = model.evaluate(mnist\_test.batch(20))
- print('\nTest Acc. {:.2f}%'.format(test\_results[1]\*100))

- batch\_test = next(iter(mnist\_test.batch(12)))
- preds = model(batch\_test[0])
- tf.print(preds.shape)
- preds = tf.argmax(preds, axis=1)
- print(preds)
- fig = plt.figure(figsize=(12, 4))
- for i in range(12):
- ax = fig.add\_subplot(2, 6, i+1)
- ax.set\_xticks([]); ax.set\_yticks([])
- img = batch\_test[0][i, :, :, 0]
- ax.imshow(img, cmap='gray\_r')
- ax.text(0.9, 0.1, '{}'.format(preds[i]),
- size=15, color='blue',
- horizontalalignment='center',
- verticalalignment='center',
- transform=ax.transAxes)
- #plt.savefig('figures/15\_13.png', dpi=300)
- plt.show()



# Gender classification from face images using a CNN

Loading the CelebA dataset



# Thank You