

## Homework 2

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May 2, 2018

Due 11:59PM May 2, 2018. **READ ALL DIRECTIONS VERY CAREFULLY!** Submit your tex files along with a generated PDF. You **MUST** put each problem on a separate page with 1a on the first page, for example 1a will be on page 1 and 1b will be on page 2 (this template is already setup for this). Try to keep each answer to one page. You **MUST** put your name and student ID in the provided author section above. **FAILURE TO DO SO MAY RESULT IN NO CREDIT!**

### Problem 1

For this problem you will consider following relation and set of FDs:

$R(A, B, C, D, E)$

$B \rightarrow C$

$BE \rightarrow D$

$DC \rightarrow E$

$DE \rightarrow A$

a. What are the keys of  $R$ ? (**Show your closures**)

$\{B\}^+ = \{B, C\}$

$\{A\}^+ = \{A\}$

$\{C\}^+ = \{C\}$

$\{D\}^+ = \{D\}$

$\{E\}^+ = \{E\}$

$\{AB\}^+ = \{A, B, C\}$

$\{AC\}^+ = \{A, C\}$

$\{AD\}^+ = \{A, D\}$

$\{AE\}^+ = \{A, E\}$

$\{BC\}^+ = \{B, D\}$

**Key:** $\{BD\}^+ = \{B, C, D, E, A\}$

**Key:** $\{BE\}^+ = \{B, C, D, E, A\}$

$\{CD\}^+ = \{C, D, E, A\}$

$\{CE\}^+ = \{C, E\}$

$\{DE\}^+ = \{D, E, A\}$

Hence from the above closure the Keys of the relation  $R$  is  $\{B, D\}$  and  $\{B, E\}$

- b. Show that the set of FDs is a minimal basis; if it is not a minimal basis find a minimal basis for the FDs.

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$$\{B\}^+ = \{B\}$$

$$\{B, E\}^+ = \{C, B, E\}$$

$$\{D, C\}^+ = \{D, C\}$$

$$\{D, E\}^+ = \{D, E\}$$

Try to remove the attributes from the functional dependencies and make sure if the RHS attribute can be reached.

From the relation  $BE \rightarrow D$

Try removing  $E\{B\}^+ = \{B, C\}$

Try removing  $B\{E\}^+ = \{E\}$

Similarly, From the relation  $DC \rightarrow E$

Try removing  $D\{C\}^+ = \{C\}$

Try removing  $C\{D\}^+ = \{D\}$

and also From the relation  $DE \rightarrow A$

Try removing  $D\{E\}^+ = \{E\}$

Try removing  $E\{D\}^+ = \{D\}$

Means the FD's is a minimal basis.

- c. What are all of the BCNF violations that follow from the FDs? (**Don't just consider the listed FDs, but all that follow.**)

**$R(A,B,C,D,E)$**

$B \rightarrow C$

$BE \rightarrow D$

$DC \rightarrow E$

$DE \rightarrow A$

**For the above set of the functional dependencies the FD's that violates are:**

$B \rightarrow C$

$DC \rightarrow E$

$DE \rightarrow A$

now we must also consider all the functional dependencies that follows those listed above.

**For FD  $B \rightarrow C$  find closure of the FD's that follows**

$\{AB\}^+ = \{A, B, C\}$  - **this is a violation**

$\{BE\}^+ = \{A, B, C, D, E\}$

$\{BD\}^+ = \{A, B, C, D, E\}$

**thus  $AB \rightarrow C$  FD also violates BCNF**

**For FD  $DC \rightarrow E$  find closure of the FD's that follows**

$\{ACD\}^+ = \{A, D, C, E\}$  - **this is a violation**

$\{BCD\}^+ = \{A, D, C, E, B\}$

**thus  $ACD \rightarrow E$  FD also violates BCNF**

**For FD  $DE \rightarrow A$  find closure of the FD's that follows**

$\{CDE\}^+ = \{A, D, C, E\}$  - **this is a violation**

$\{BCD\}^+ = \{A, D, C, E, B\}$

**thus  $CDE \rightarrow A$  FD also violates BCNF**

**All the functional dependencies with the violations are:**

$CDE \rightarrow A$

$ACD \rightarrow E$

$AB \rightarrow C$

$B \rightarrow C$

$DC \rightarrow E$

$DE \rightarrow A$

- d. Decompose  $R$  into BCNF. For each relation, list the keys, and make sure the set of FDs for each is a minimal basis.

**Relation is:**

$$B \rightarrow C$$

$$BE \rightarrow D$$

$$DC \rightarrow E$$

$$DE \rightarrow A$$

Based on the violators above we decompose the relation as

Considering FD  $B \rightarrow C$  for decomposition

**R1(B,C)**

$$B \rightarrow C$$

In Relation R1 B is a key i.e.  $\{B\}^+ = \{B, C\}$  means all attribute in relation R1 is attained

**R2(A,B,D,E)**

$$BE \rightarrow D$$

$$DE \rightarrow A$$

As In Relation R2 there is only 1 key {B,E} so we need to decompose the relation based on the functional dependency that violates.

**R3(A,D,E)**

$$DE \rightarrow A$$

In Relation R3 DE is a key i.e.  $\{DE\}^+ = \{D, E, A\}$  means all attribute in relation R3 is attained

**R4(B,D,E)**

$$BE \rightarrow D$$

In Relation R4 BE is a key i.e.  $\{BE\}^+ = \{B, E, D\}$  means all attribute in relation R4 is attained

After Decomposing R into BCNF the relations we obtained are R1,R3,R4

- e. What are all of the 3NF violations that follow from the FDs? (**Don't just consider the listed FDs, but all that follow.**)

**$R(A,B,C,D,E)$**

$B \rightarrow C$

$BE \rightarrow D$

$DC \rightarrow E$

$DE \rightarrow A$

**The functional dependencies which violates are:**

$B \rightarrow C$

$DE \rightarrow A$

and  $DC \rightarrow E$  is not a violator of 3NF as its RHS i.e. E is a prime attribute. {BE} is the key for the above relation

now other then these functional dependencies the functional dependencies that follows are:

**For FD  $B \rightarrow C$  find closure of the FD's that follows**

$\{AB\}^+ = \{A, B, C\}$  -> this is a violation

$\{BE\}^+ = \{A, B, C, D, E\}$

$\{BD\}^+ = \{A, B, C, D, E\}$

thus  $AB \rightarrow C$  FD also violates 3NF

**For FD  $DE \rightarrow A$  find closure of the FD's that follows**

$\{CDE\}^+ = \{A, D, C, E\}$  -> this is a violation

$\{BCD\}^+ = \{A, D, C, E, B\}$

thus  $CDE \rightarrow A$  FD also violates 3NF

**All the functional dependencies with the violations are:**

$CDE \rightarrow A$

$AB \rightarrow C$

$B \rightarrow C$

$DE \rightarrow A$

- f. Decompose  $R$  into 3NF. For each relation, list the keys, and make sure the set of FDs for each is a minimal basis.

As all the FD's dependencies for the relation  $R$  is a minimal basis

Now for decomposition all the Relation must include all FD's and also Relation having Super Key in it

As well as we can remove the relation if it is the subset of another relation

$R_1(B,C)$   $R_2(B,D,E)$   $R_3(D,C,E)$   $R_4(A,D,E)$

As no relation is a subset of another relation so we don't remove and no other relation will be added as  $R_2$  is the Super Key of the relation  $R$ .

g. What are all of the 4NF MVD violations that follow from the FDs?

**Firstly taking into account the functional dependencies that violates BCNF are:**

$B \rightarrow C$   
 $DC \rightarrow E$   
 $DE \rightarrow A$   
 $AB \rightarrow C$   
 $ACD \rightarrow E$   
 $CDE \rightarrow A$

**Now For these FD's Corrospounding MVD's are:**

**These are the MVD voilations that follow from the FD's.**

**Step 1:**

**Apply promotion to the FD's that violates BCNF**

$B \twoheadrightarrow C$   
 $DC \twoheadrightarrow E$   
 $DE \twoheadrightarrow A$   
 $AB \twoheadrightarrow C$   
 $ACD \twoheadrightarrow E$   
 $CDE \twoheadrightarrow A$

**Step 2:**

**Apply Complement**

$B \twoheadrightarrow ADE$   
 $DC \twoheadrightarrow AB$   
 $DE \twoheadrightarrow BC$   
 $AB \twoheadrightarrow DE$   
 $ACD \twoheadrightarrow B$   
 $CDE \twoheadrightarrow B$

**Above are all the MVD voilations that follow from the FDs**

- h. Decompose  $R$  into 4NF. For each relation, list the keys, FDs and MVDs.

**R1(B,C)**

$B \rightarrow C$

$B \twoheadrightarrow C$

here in the above relation B is a key

**R2(A,B,D,E)**

$BE \rightarrow D$

$DE \rightarrow A$

$BE \twoheadrightarrow D$

$DE \twoheadrightarrow A$

$BE \twoheadrightarrow A$

$DE \twoheadrightarrow B$

here key is BE as its closure gives all the attributes present in relation R2

As from the relation R2 we have violation for all other FD's except BE is we will decompose R2

**R3(A,D,E)**

$DE \rightarrow A$

$DE \twoheadrightarrow A$

Here DE is the key for relation R3.

**R4(E,D,B)**

$BE \rightarrow D$

$BE \twoheadrightarrow D$

Here BE is the key for relation R3.

The relations after decomposition are as follows:

R1(B,C) R3(A,D,E) R4(E,D,B)



- i. If you project the relation  $R$  onto  $S(A, B, C)$  what nontrivial FDs and MVDs hold in  $S$ ?  
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**The functional dependencies are:**

$$B \rightarrow C$$

$$BE \rightarrow D$$

$$DC \rightarrow E$$

$$DE \rightarrow A$$

**Closure of the relation  $R$  onto  $S(A, B, C)$**

$$\{B\}^+ = \{B, C\}$$

$$\{A\}^+ = \{A\}$$

$$\{C\}^+ = \{C\}$$

$$\{A, B\}^+ = \{B, C, A\}$$

$$\{A, C\}^+ = \{A, C\}$$

$$\{B, C\}^+ = \{B, C\}$$

**The new FD's which are produced by the relation are:**

$$AB \rightarrow C$$

$$AB \twoheadrightarrow C$$

## Problem 2

For this problem you will consider following relation and set of FDs:

$Courses(C, T, I, H, R, S, G)$

$CT \rightarrow I$

$TIH \rightarrow R$

$THR \rightarrow C$

$THS \rightarrow R$

$CTS \rightarrow G$

Where  $C, T, I, H, R, S$ , and  $G$  are the course, term, instructor, hour, room, student, and grade respectively.

- a. Use the chase test to prove/disprove that  $CTH \rightarrow G$  holds in Courses.

$C$	$T$	$I$	$H$	$R$	$S$	$G$
$c$	$t$	$i_1$	$h$	$r_1$	$s_1$	$g$
$c$	$t$	$i_2$	$h$	$r_2$	$s_2$	$g_2$

**Apply  $CT \rightarrow I$  FD we get:**

$C$	$T$	$I$	$H$	$R$	$S$	$G$
$c$	$t$	$i_1$	$h$	$r_1$	$s_1$	$g$
$c$	$t$	$i_1$	$h$	$r_2$	$s_2$	$g_2$

**Apply  $TIH \rightarrow R$  FD we get:**

$C$	$T$	$I$	$H$	$R$	$S$	$G$
$c$	$t$	$i_1$	$h$	$r_1$	$s_1$	$g$
$c$	$t$	$i_1$	$h$	$r_1$	$s_2$	$g_2$

**Thus Chase test fails to prove that the dependency  $CTH \rightarrow G$  holds in Courses**

- b. Use the chase test to prove/disprove that  $CTH \rightarrow R$  holds in Courses.

$C$	$T$	$I$	$H$	$R$	$S$	$G$
$c$	$t$	$i_1$	$h$	$r_1$	$s_1$	$g$
$c$	$t$	$i_2$	$h$	$r_2$	$s_2$	$g_2$

**Apply  $CT \rightarrow I$  FD we get:**

$C$	$T$	$I$	$H$	$R$	$S$	$G$
$c$	$t$	$i_1$	$h$	$r_1$	$s_1$	$g$
$c$	$t$	$i_1$	$h$	$r_2$	$s_2$	$g_2$

**Apply  $TIH \rightarrow R$  FD we get:**

$C$	$T$	$I$	$H$	$R$	$S$	$G$
$c$	$t$	$i_1$	$h$	$r_1$	$s_1$	$g$
$c$	$t$	$i_1$	$h$	$r_1$	$s_2$	$g_2$

**Thus Chase test proved that the dependency  $CTH \rightarrow R$  holds in Courses**

- c. Use the chase test to prove/disprove that the proposed decomposition has a lossless join.

$R_1(C, T, I, H)$   
 $R_2(C, T, H, R, S)$   
 $R_3(C, T, S, G)$

**R1(C,T,I,H)**  
 $CT \rightarrow I$

**R2(C,T,H,R,S)**  
 $THR \rightarrow C$   
 $THS \rightarrow R$

**R3(C,T,S,G)**  
 $CTS \rightarrow G$

$C$	$T$	$I$	$H$	$R$	$S$	$G$
$c$	$t$	$i$	$h$	$r_1$	$s_1$	$g_1$
$c$	$t$	$i_2$	$h$	$r$	$s$	$g_2$
$c$	$t$	$i_3$	$h_3$	$r_3$	$s$	$g$

Apply  $CT \rightarrow I$  on relation R1 and R2 we get:

$C$	$T$	$I$	$H$	$R$	$S$	$G$
$c$	$t$	$i$	$h$	$r_1$	$s_1$	$g_1$
$c$	$t$	$i$	$h$	$r$	$s$	$g_2$
$c$	$t$	$i_3$	$h_3$	$r_3$	$s$	$g$

Apply  $THS \rightarrow R$  on relation R2 and R3 we get:

$C$	$T$	$I$	$H$	$R$	$S$	$G$
$c$	$t$	$i$	$h$	$r_1$	$s_1$	$g_1$
$c$	$t$	$i$	$h$	$r$	$s$	$g_2$
$c$	$t$	$i_3$	$h_3$	$r$	$s$	$g$

Apply  $CTS \rightarrow G$  on relation R2 and R3 we get:

$C$	$T$	$I$	$H$	$R$	$S$	$G$
$c$	$t$	$i$	$h$	$r_1$	$s_1$	$g_1$
$c$	$t$	$i$	$h$	$r$	$s$	$g$
$c$	$t$	$i_3$	$h_3$	$r$	$s$	$g$

Thus Chase test prove that proposed decomposition has lossless join

d. Decompose Courses into 3NF.

**Functional dependencies for the relation are:**

$CT \rightarrow I$

$TIH \rightarrow R$

$THS \rightarrow R$

$THR \rightarrow C$

$CTS \rightarrow G$

**for 3 NF decomposition we require:**

- 1.) Check to see if the FD's are in minimum basis
- 2.) Left side is a super key or right side has prime attribute
- 3.) Add all the FD's into the relation
- 4.) Add the relation into 3NF if the current relations does not have super key and remove if any relation is the subset of another relation

**There are 2 FD's which does not violates 3NF i.e.**

$TIH \rightarrow R$

$THS \rightarrow R$

**All the FD's are minimal basis.**

**Key for the relation:**

$\{CT\}^+ = \{C, T, I\}$

**super key:**  $\{THS\}^+ = \{T, H, S, C, R, G, I\}$

$\{THR\}^+ = \{T, H, R, C, I, G\}$

$\{CTS\}^+ = \{C, T, S, G, I\}$

**R1(C,T,I)**

$CT \rightarrow I$

**R2(T,I,H,R)**

$TIH \rightarrow R$

**R3(T,H,S,R)**

$THS \rightarrow R$

**R4(T,H,R,C)**

$THR \rightarrow C$

**R5(C,T,S,G)**

$CTS \rightarrow G$

**So all the relations R1,R2,R3,R4,R5 are in 3NF.**

e. Which of your relations in 3NF are not in BCNF?

The relations which are in BCNF is just:

$THS \rightarrow R$

other then the above relation all other relations violates BCNF

4 relations that violates are:

$CT \rightarrow I$

$TIH \rightarrow R$

$THR \rightarrow C$

$CTS \rightarrow G$

now the relations are like:

**R1(C,T,I)**

$CT \rightarrow I$

No FD violates for this relation

**R2(C,T,H,R,S,G)**

$THR \rightarrow C$

$CTS \rightarrow G$

$THS \rightarrow R$

Two FD's violates for this relation i.e.

$THR \rightarrow C$

$CTS \rightarrow G$

Decompose R2 into relation R3 and R4

**R3(C,T,S,G)**

$CTS \rightarrow G$

No FD violates for this relation

**R4(C,T,S,H,R)**

$THR \rightarrow C$

$THS \rightarrow R$

1 FD's violates for this relation i.e.

$THR \rightarrow C$

Decompose R4 into relation R5 and R6

**R5(T,H,R,C)**

$THR \rightarrow C$

No FD violates for this relation

**R3(T,H,R,S)**

$THS \rightarrow R$

No FD violates for this relation

For BCNF R1,R3,R5,R6 holds.

The relation which is in 3NF but not in BCNF is R2(T,I,H,R)

$TIH \rightarrow R$

- f. Which of your relations in 3NF are not in 4NF?

**The below relation holds in 3NF:**

**R1(C,T,I)**

$CT \rightarrow I$

**R2(T,I,H,R)**

$TIH \rightarrow R$

**R3(T,H,S,R)**

$THS \rightarrow R$

**R4(T,H,R,C)**

$THR \rightarrow C$

**R5(C,T,S,G)**

$CTS \rightarrow G$

**4NF MVD's are :**

**R1(C,T,I):**

$CT \rightarrow I$

$CT \twoheadrightarrow I$

**R2(C,T,H,R,S,G):**

$CTS \rightarrow G$

$THS \rightarrow R$

$THR \rightarrow C$

$CTS \twoheadrightarrow G$

$THS \twoheadrightarrow R$

$THR \twoheadrightarrow C$

as THS is the key so other MVD's violates decompose R2

**R3(T,C,S,G):**

$CTS \rightarrow G$

**R4(T,C,S,H,R):**

$THS \twoheadrightarrow R$

$THR \twoheadrightarrow C$

$THS \rightarrow R$

$THR \rightarrow C$

decompose R4 to R5 and R6

**R5(T,H,R,C):**

$THR \twoheadrightarrow C$

**R6(T,H,R,S):**

$THS \twoheadrightarrow R$

So the 3NF relation not in 4NF is:

**R2(T,I,H,R),R3(T,H,S,R),R5(C,T,S,G)**

The relation which is in 3NF but not in 4NF is

**R2(T,I,H,R)**

$TIH \rightarrow R$

### Problem 3

Design a academic genealogy database with one entity set: Academics. An academic's academic parent is their doctoral advisor, their grandparent is their doctoral advisor's advisor, etc. There are at least two other signators on a disertation other than the doctoral advisor. The information to record about academics includes their birth name, date of birth, date of death (if deceased), field, dissertation title, institution, doctoral advisor, second signer, third signer and any academic children.

