#### ECS7001P - NEURAL NETWORKS AND NLP

School of Electronic Engineering and Computer Science, Queen Mary University of London, UK

# Assignment 1: Embeddings, Text Classification, And Machine Translation

SHIVANI GURUNG RAKESH: 210268155

#### A. Word Embeddings with Word2Vec

1. Preprocessing the training corpus

```
4 [['[', 'Sense', 'and', 'Sensibility', 'by', 'Jane',
'Austen', '1811', ']'], ['CHAPTER', '1'], ['But', ',',
'then', ',', 'if', 'Mrs', '.', 'Dashwood', 'should', 'live',
'fifteen', 'years', 'we', 'shall', 'be', 'completely',
'taken', 'in', '."'], ['"', 'Fifteen', 'years', '!']]

2 ['sense sensibility jane austen', 'mrs dashwood live
fifteen years shall completely taken']
```

#### 2. Creating the corpus vocabulary and preparing the dataset

```
Number of unique words: 10098

Sample word2idx: [('sense', 0), ('sensibility', 1),
('jane', 2), ('austen', 3), ('family', 4), ('dashwood', 5),
('long', 6), ('settled', 7), ('sussex', 8), ('estate', 9)]

Sample idx2word: [(0, 'sense'), (1, 'sensibility'), (2,
'jane'), (3, 'austen'), (4, 'family'), (5, 'dashwood'), (6,
'long'), (7, 'settled'), (8, 'sussex'), (9, 'estate')]

Sample sents as id: [[0, 1, 2, 3], [68, 5, 194, 592, 33, 593, 285, 594]]
```

### 3. Building the skip-gram neural network architecture

Model: "model"

Layer (type)	Output Shape	Param #	Connected to
input_1 (InputLayer)	[(None, 1)]	0	[]
input_2 (InputLayer)	[(None, 1)]	0	[]
target_embed_layer (Embedding)	(None, 1, 100)	1009800	['input_1[0][0]']
<pre>context_embed_layer (Embedding )</pre>	(None, 1, 100)	1009800	['input_2[0][0]']
reshape (Reshape)	(None, 100)	0	['target_embed_layer[0][0]']
reshape_1 (Reshape)	(None, 100)	0	['context_embed_layer[0][0]']
dot (Dot)	(None, 1)	0	['reshape[0][0]', 'reshape_1[0][0]']
dense (Dense)	(None, 1)	2	['dot[0][0]']

Total params: 2,019,602 Trainable params: 2,019,602 Non-trainable params: 0

Non-trainable params: 0

# 4. Training the models (and reading McCormick's tutorial)

a. What would the inputs and outputs to the model be?

The input would be a numeric/vector representation of textual data/word/string. The outcome is a single vector representation for each word that explains us how probable it is to be picked as the next word.

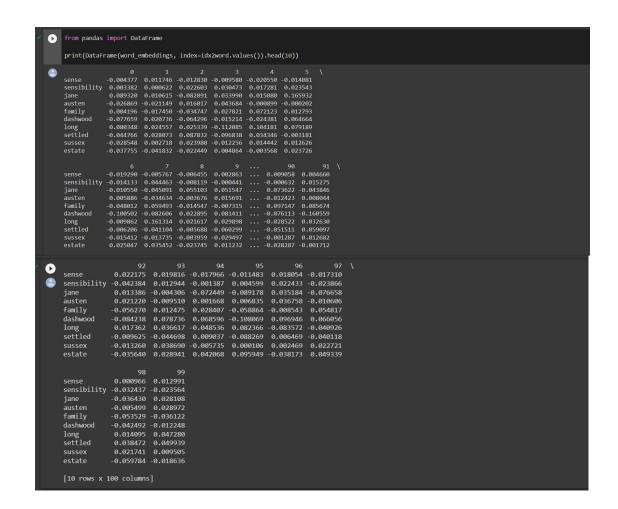
b. How would you use the Keras framework to create this architecture?

This layer takes as inputs a target word and a context word. The embedding in the preceding layer, as well as the embedding's change in the reshaping layer Finally, the dot product is taken into account.

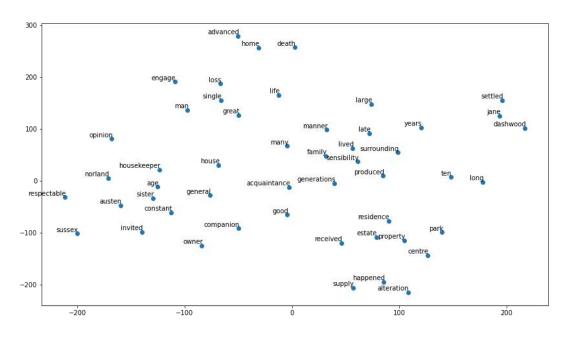
c. What are the reasons this training approach is considered inefficient?

This training approach is considered inefficient because Internally the terms provided here do not sufficiently represent semantic connections since they do not capture the contextual meaning. A huge dataset, such as the one created artificially for word2vec, is required.

# **5.** Getting the word embeddings



# 6. Exploring and visualizing your word embeddings using t-SNE



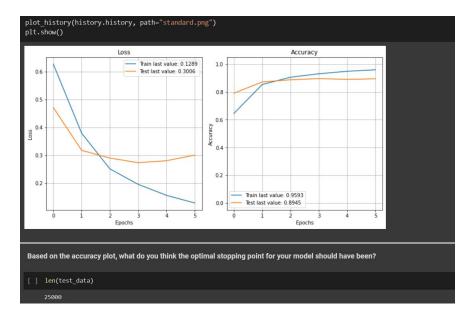
#### **B.** Using LSTMs for Text Classification

1. Section 2, reading the inputs for the LSTM

# 2. Building the model

```
model.summary()
Model: "model"
Layer (type)
                            Output Shape
                                                      Param #
input 1 (InputLayer)
                            [(None, 500)]
embed layer (Embedding)
                            (None, 500, 100)
                                                      1000000
lstm_1 (LSTM)
                            (None, 100)
                                                      80400
output_layer (Dense)
                            (None, 1)
                                                      101
Total params: 1,080,501
Trainable params: 1,080,501
Non-trainable params: 0
```

# 3. Section 4, training the model



# **4.** Evaluating the model on the test data

# **5.** Section 6, extracting the word embedding

```
Sanity Check

Print the shape of the word embeddings using the line of code below. It should return (VOCAB_SIZE, EMBED_SIZE)

[ ] print('Shape of word_embeddings:', word_embeddings.shape)

Shape of word_embeddings: (10000, 100)
```

#### **6.** Visualizing the reviews

#### print(' '.join(idx2word[idx] for idx in train\_data[0]))

<START> this film was just brilliant casting location scenery story direction everyone's really suited the part they played and you could just imagine being there robert <UNK> is an amazing actor and now the same being director <UNK> father came from the same scottish island as myself so i loved the fact there was a real connection with this film the witty remarks throughout the film were great it was just brilliant so much that i bought the film as soon as it was released for <UNK> and would recommend it to everyone to watch and the fly fishing was amazing really cried at the end it was so sad and you know what they say if you cry at a film it must have been good and this definitely was also <UNK> to the two little boy's that played the <UNK> of norman and paul they were just brilliant children are often left out of the <UNK> list i think because the stars that play them all grown up are such a big profile for the whole film but these children are amazing and should be praised for what they have done don't you think the whole story was so lovely because it was true and was someone's life after all that was shared with us all

# 7. Visualizing the word embeddings

```
from pandas import DataFrame
print(DataFrame(word embeddings, index=idx2word.values()).head(10))
           0.021322 -0.016948 0.016021 -0.001831 0.003546 0.018424
woods
          -0.028856 0.000362 -0.005027 0.004653 0.011076 -0.019683
hanging
           -0.002695 0.018942 -0.016365 -0.008425
                                                    0.020334 -0.023362
woody
arranged
          -0.014585 -0.000727 -0.016299 -0.011049 0.006553 0.013894
           0.008422 0.010303 -0.001404 0.012576 0.019186 -0.013220
bringing
           0.011720 -0.005625 0.011642 -0.028148 0.012258 -0.014192
wooden
errors
           0.025149 -0.017638 0.017255 -0.006253 -0.015176 0.020370
dialogs
           -0.026621
                    0.008397 -0.018563 -0.003514 -0.014060 0.020323
           0.011181 0.007972 0.001516 0.006886 -0.004066 0.011590
uplifting -0.012604 -0.005350 -0.017992 -0.005206 -0.020141 0.019626
           0.016331 \ -0.010687 \ \ 0.009252 \ \ 0.009148 \ \ \dots \ -0.010355 \ \ 0.011949
           -0.023211 -0.002756 0.008896
                                          0.009968 ... 0.019486 -0.017137
hanging
           0.015943 -0.009274 0.007285
                                          0.009084
                                                         0.020612 -0.005199
          -0.023375 -0.009402 -0.018564 -0.021615
                                                         0.011507
bringing
          \hbox{-0.015699} \quad \hbox{0.002351} \quad \hbox{0.001682} \, \hbox{-0.003326} \quad \dots \quad \hbox{0.019078} \, \hbox{-0.006840}
wooden
           0.021057 -0.024747 -0.002334 -0.011708
                                                    ... -0.017786 0.016806
                                                    ... -0.000786 -0.013370
errors
           -0.013985 -0.004677 -0.005856
                                         0.010407
dialogs
           0.009236 0.013456 0.016642 0.008468
                                                         0.002678 -0.020391
kids
          -0.019377 -0.005905 0.027780 0.002733 ... 0.016922 0.006767
uplifting -0.002850 0.009913 0.015541 -0.012341
```

```
92 93 94 95 96 97 \
woods -0.001569 -0.015296 0.016905 -0.001719 -0.018573 -0.016062 \
hanging 0.017108 -0.015979 0.012520 0.012075 0.020443 -0.008092 \
woody -0.025347 -0.002880 0.006627 -0.005779 -0.005327 0.021822 \
arranged 0.003250 0.020580 -0.019248 -0.013976 0.009721 -0.017364 \
bringing -0.000961 0.005473 0.029043 -0.019207 0.028355 0.000540 \
wooden 0.016476 -0.018995 0.023646 0.005147 0.009694 0.003889 \
errors -0.015242 0.011041 -0.020507 0.014845 0.016741 -0.005231 \
dialogs -0.006387 -0.011488 -0.001304 0.000383 -0.012729 -0.006082 \
kids -0.002744 -0.019494 0.006983 -0.016619 0.022346 0.013522 \
uplifting -0.003392 0.004667 0.018703 -0.008488 0.023051 -0.018911 \

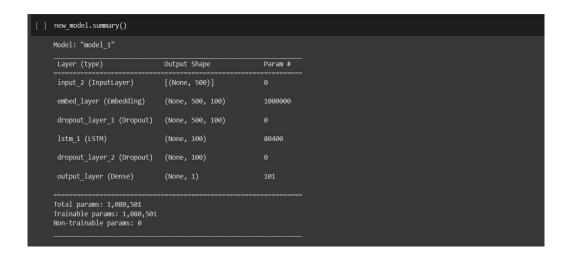
98 99 \
woods 0.003593 -0.006954 \
woody 0.018661 0.006990 \
arranged -0.022717 0.012371 \
bringing -0.001960 0.021795 \
wooden -0.005483 0.019206 \
errors -0.010556 -0.011302 \
dialogs 0.014426 -0.007965 \
kids 0.008722 0.010755 \
uplifting -0.018329 0.010686 \

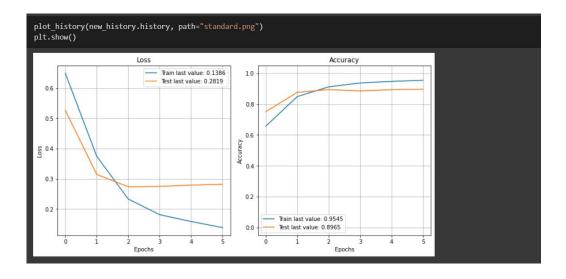
[10 rows x 100 columns]
```

#### 8.

#### Section 9

a. Create a new model that is a copy of model step 3. To this new model, add two dropout layers, one between the embedding layer and the LSTM layer and another between the LSTM layer and the output layer. Repeat steps 4 and 5 for this model. What do you observe?



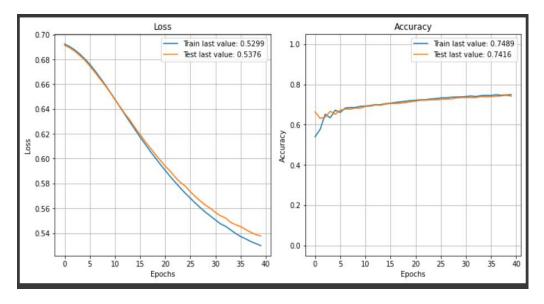


The graphs before and after we applied dropout to the model may be seen. Even after running the whole epochs, we can plainly observe that after adding the dropout, our validation loss does not rise. This demonstrates that our model is no longer over fitting. We can also see that after we introduced the dropouts, the validation accuracy has improved somewhat. We might be able to improve accuracy and reduce over fitting by increasing dropout rates even further.

# **C.** Comparing The Classification Models

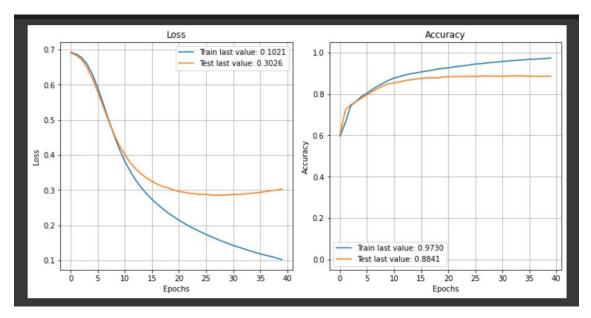
1. Build a neural network classifier using one-hot word vectors (Model 1), and train and evaluate it

```
Model: "model"
Layer (type)
                                Output Shape
                                                              Param #
 input_1 (InputLayer)
                                [(None, 256)]
 lambda (Lambda)
                                 (None, 256, 10000)
 global_average_pooling1d_ma
sked (GlobalAveragePooling1
                                 (None, 10000)
                                                              0
 DMasked)
 dense (Dense)
                                                              160016
 dense 1 (Dense)
Total params: 160,033
Trainable params: 160,033
```

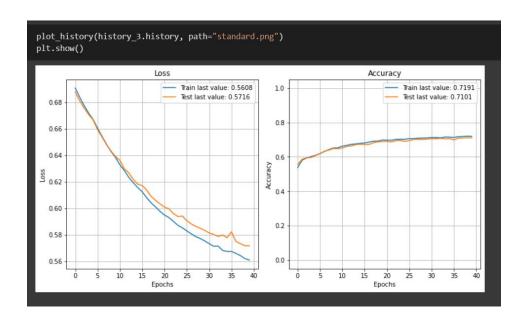


2. Modify your model to use a word embedding layer instead of one-hot vectors (Model 2), and to learn the values of these word embedding vectors along with the model

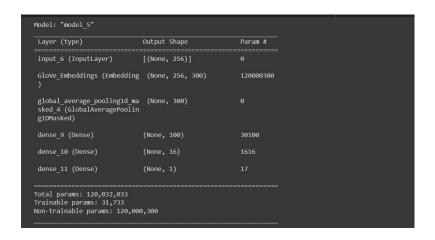
```
Model: "model 1"
                                Output Shape
Layer (type)
                                                             Param #
 input_2 (InputLayer)
                                [(None, 256)]
 embedding (Embedding)
                                (None, 256, 16)
                                                             160000
 global_average_pooling1d_ma (None, 16)
sked_1 (GlobalAveragePoolin
 g1DMasked)
 dense_2 (Dense)
                                (None, 16)
 dense_3 (Dense)
                                (None, 1)
Total params: 160,289
Trainable params: 160,289
Non-trainable params: 0
```



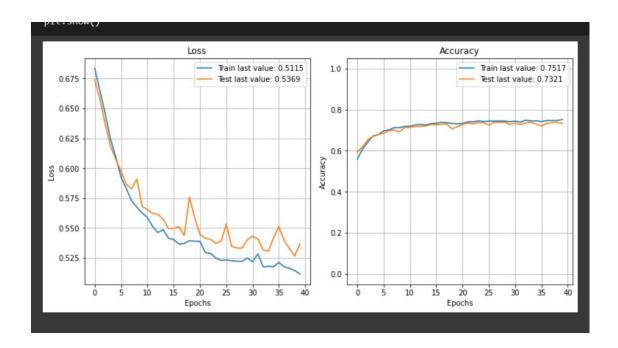
**3.** Adapt your model to load and use pre-trained word embeddings in- stead (Model 3); train and evaluate it and compare the effect of freez- ing and fine-tuning the embeddings



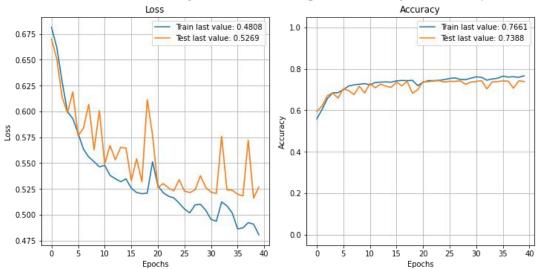
**4.** One way to improve the performance is to add another fully-connected layer to your network. Try this (Model 4) and see if it improves the performance. If not, what can you do to improve it?



- Training and validation loss plot after adding one dense layer

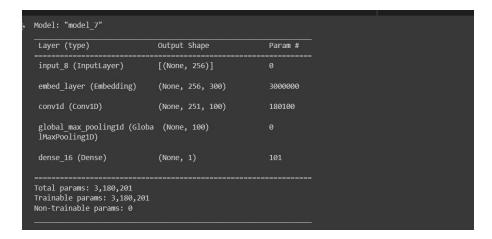


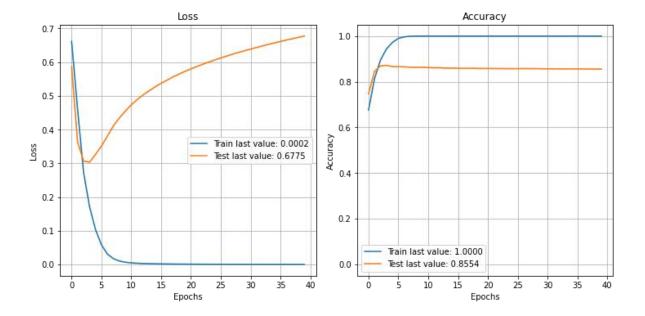
- Training and validation loss plot after adding two dense layer



As layers are added, the number of weights in the network increases, lowering the model's complexity. Without a large training set, an increasingly huge network is prone to overfit, lowering accuracy on test data. The two plots show that test accuracy is decreasing while train accuracy is increasing, signalling that our model is overfitting. We can improve performance by adding dropouts, adjusting hyperparameters, and even simplifying the model.

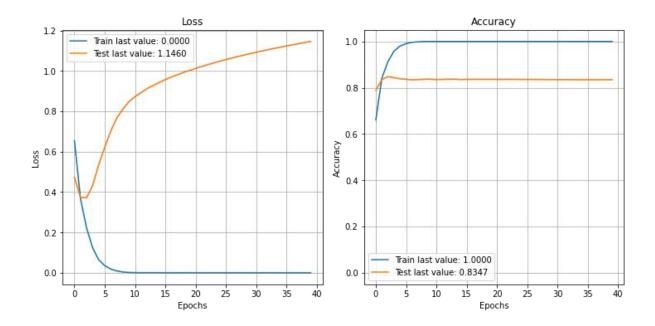
- **5.** Build a CNN classifier (Model 5), and train and evaluate it. Then try adding extra convolutional layers, and conduct training and evaluation.
  - one convolutional layer





# - Extra convolutional layer

```
Model: "model_8"
                                 Output Shape
Layer (type)
                                                              Param #
 input_9 (InputLayer)
                                 [(None, 256)]
 embed_layer (Embedding)
                                 (None, 256, 300)
                                                              3000000
 conv1d_1 (Conv1D)
                                 (None, 251, 100)
                                                              180100
 conv1d_2 (Conv1D)
                                                              60100
 global_max_pooling1d_1 (Glo (None, 100)
balMaxPooling1D)
 dense_17 (Dense)
                                 (None, 1)
Total params: 3,240,301
Trainable params: 3,240,301
Non-trainable params: 0
```



The validation accuracy has decreased and the validation loss has increased as our model has began to overfit. The model's complexity rises as the layered complexity rises, which is presumably why it's overfit.

#### D. A Real Text Classification Task

- 1. Preprocess the data, to adapt the models from Parts C Done in Jupiter notebook
- 2. Adapt your models without pre-trained word embeddings in Part C to this task

```
Model: "model"
Layer (type)
                              Output Shape
                                                         Param #
 input 1 (InputLayer)
                              [(None, 128)]
                                                         0
embedding (Embedding)
                              (None, 128, 100)
                                                         789800
 global_average_pooling1d (G (None, 100)
                                                         0
 lobalAveragePooling1D)
 dense (Dense)
                              (None, 16)
                                                         1616
dense_1 (Dense)
                              (None, 3)
                                                         51
Total params: 791,467
Trainable params: 791,467
Non-trainable params: 0
```

The accuracy of the validation data was 55.9%. The accuracy of the test data was 58.6%.

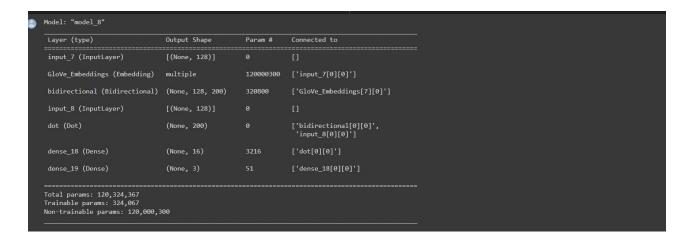
**3.** Adapt your models with pre-trained word embeddings in Part C to this task (Model 2); train and evaluate it

Neural bag of words using pre-trained word embeddings

**4.** Build and evaluate two more classifiers with multiple inputs (Model 3: separate inputs for text and aspect)

```
Model: "model 6"
Layer (type)
                                Output Shape
                                                                  Connected to
                                                      Param #
input_layer_6 (InputLayer)
                                [(None, 16)]
 input_layer_7 (InputLayer)
                                [(None, 128)]
                                                      GloVe_Embeddings (Embedding) multiple
global_average_pooling1d_4 (Gl (None, 300)
obalAveragePooling1D)
                                                                  ['GloVe_Embeddings[3][0]']
global_average_pooling1d_5 (Gl (None, 300) obalAveragePooling10)
                                                                  ['GloVe_Embeddings[4][0]']
                                                                  ['global_average_pooling1d_4[0][0
 dense_12 (Dense)
                               (None, 16)
                                                                  ['global_average_pooling1d_5[0][0
]']
 dense_13 (Dense)
                                (None, 16)
                                                      4816
                                                                  ['dense_12[0][0]',
'dense_13[0][0]']
 concatenate (Concatenate)
 dense_14 (Dense)
                                                                  ['concatenate[0][0]']
                                (None, 3)
Total params: 120,010,031
Non-trainable params: 120,000,300
```

5. Build and evaluate the classifier extracting information from LSTM (Model 4)



#### **E.** Neural Machine Translation

1. Implementing the encoder

We will begin by creating the embedding layers for the source and target. A random initialization will be used to start the embeddings, and they will be taught during training. The input dimensions will be the source and target dimensions. They will both be the same size for embedding and output. We will set "mask zero" to true because we wish to remove the padding. Next, dropouts will be added to the embeddings for both the source and destination. An estimation of the dropout rate has already been made.

The inputs will be sent to the embedding layers, who will then pass them on to the dropout layers. Finally, we'll create an LSTM layer to handle the output of the dropout layers. To get the outputs, set "return sequence" to true, and "return state" to true to access the LSTM's hidden states.

2. In this step we will be implementing the decoder

```
Task 2 decoder for inference

Start

"""

# Task 1 (a.) Get the decoded outputs

print('\n Putting together the decoder states')

# get the inititial states for the decoder, decoder_states

# decoder_states are the hidden and cell states from the training stage

decoder_states = [decoder_state_input_h, decoder_state_input_c]

# use decoder states as input to the decoder lstm to get the decoder outputs, h, and c for test time inference

decoder_outputs_test, decoder_state_output_h, decoder_state_output_c = decoder_lstm(target_words_embeddings,initial_state=decoder_states)

# Task 1 (b.) Add attention if attention

if self.use_attention:

decoder_attention = AttentionLayer()

decoder_outputs_test = decoder_attention([encoder_outputs_input,decoder_outputs_test])

# Task 1 (c.) pass the decoder_outputs_test (with or without attention) to the decoder dense layer

decoder_outputs_test = decoder_dense(decoder_outputs_test)
```

# - Decoder interface model summary

Putting together the decoder states

Layer (type)	Output Shape	Param #	Connected to
input_2 (InputLayer)	[(None, None)]	0	[]
embedding_1 (Embedding)	(None, None, 100)	250600	['input_2[0][0]']
dropout_1 (Dropout)	(None, None, 100)	0	['embedding_1[0][0]']
input_3 (InputLayer)	[(None, 200)]	ө	D.
input_4 (InputLayer)	[(None, 200)]	0	D
lstm_1 (LSTM)	[(None, None, 200), (None, 200), (None, 200)]	240800	['dropout_1[0][0]', 'input_3[0][0]', 'input_4[0][0]']
input_5 (InputLayer)	[(None, None, 200)]	0	נז
dense (Dense)	(None, None, 2506)	503706	['lstm_1[1][0]']

```
Decoder Inference Model summary
Model: "model_5"
Layer (type)
                                 Output Shape
                                                        Param #
                                                                    Connected to
input_7 (InputLayer)
                                 [(None, None)]
embedding_3 (Embedding)
                                                        250600
                                                                    ['input_7[0][0]']
dropout_3 (Dropout)
                                  (None, None, 100)
                                                                     ['embedding_3[0][0]']
input_8 (InputLayer)
                                  [(None, 200)]
                                 [(None, 200)]
input_9 (InputLayer)
input 10 (InputLayer)
                                 [(None, None, 200)] 0
1stm_3 (LSTM)
                                  [(None, None, 200), 240800
                                                                    ['dropout_3[0][0]',
                                  (None, 200),
(None, 200)]
                                                                     'input_8[0][0]',
'input_9[0][0]']
                                                                    ['input_10[0][0]',
'lstm_3[1][0]']
attention_layer_1 (AttentionLa (None, None, 400) 0
dense_1 (Dense)
                                 (None, None, 2506) 1004906
                                                                    ['attention_layer_1[0][0]']
Total params: 1,496,306
Trainable params: 1,496,306
Non-trainable params: 0
```

We use the decoder model to create the decoder states rather than the encoder states. We then feed the LSTM the list of encoder states. In the LSTM, the target embeddings are taken as input, and the starting state is used to build a decoder. Our LSTM produces three outputs, which are assigned to variable. The attention layer condition will be added next, which is the same as the one in encoder. The LSTM or attention layer output will be transmitted to the last dense layer, which will assign probability for the following token. This test set had a BLEU score of 5.06

#### 3. Adding attention

```
Task 3 attention

Start

"""

luong_score = tf.matmul(decoder_outputs, encoder_outputs, transpose_b=True)
alignment = tf.nn.softmax(luong_score, axis=2)
context = tf.matmul(K.expand_dims(alignment,axis=2), K.expand_dims(encoder_outputs,axis=1))
encoder_vector = K.squeeze(context,axis=2)

"""

End Task 3
"""
```

Layer (type)	Output Shape	Param #	Connected to
input_7 (InputLayer)	[(None, None)]	0	[]
embedding_3 (Embedding)	(None, None, 100)	250600	['input_7[0][0]']
dropout_3 (Dropout)	(None, None, 100)	е	['embedding_3[0][0]']
input_8 (InputLayer)	[(None, 200)]	0	[]
input_9 (InputLayer)	[(None, 200)]	0	[]
input_10 (InputLayer)	[(None, None, 200)]	0	[]
lstm_3 (LSTM)	[(None, None, 200), (None, 200), (None, 200)]	240800	['dropout_3[0][0]', 'input_8[0][0]', 'input_9[0][0]']
attention_layer_1 (AttentionLa yer)	(None, None, 400)	0	['input_10[0][0]', 'lstm_3[1][0]']
dense_1 (Dense)	(None, None, 2506)	1004906	['attention_layer_1[0][0]']

We'll now use the NMT's attention layer to boost our BLEU score. To begin, we must determine the "luong score," which is obtained by multiplying the decoder and encoder outputs in a matrix. We must transpose the "encoder outputs" since the shapes do not match the decoder output. Instead of transposing and multiplying, we used tensor flow's "matmul" function to combine the two steps.

Once the score has been computed, we should softmax the dimension with the size "max source sent len". We are doing this for axis 2. This modification is performed using softmax in TensorFlow.

The next step is to increase the dimension of "encoder output" and our softmax output so that we can multiply them element by element. Using the encoder vector, we multiplied the dimensions once they were enlarged. To get the same dimension as before, we must conduct a sum because multiplication updates the dimension. Our encoder vector is derived by summing the "max source sent len" values. This test set had a BLEU score of 15.33