

## Math 55, Handout 21.

## INCLUSION-EXCLUSION.

**The Principle of Inclusion-Exclusion.** Let  $A_1, A_2, \dots, A_n$  be finite sets. Then

$$|A_1 \cup A_2 \cup \dots \cup A_n| = \sum_{1 \leq i \leq n} |A_i| - \sum_{1 \leq i < j \leq n} |A_i \cap A_j| + \sum_{1 \leq i < j < k \leq n} |A_i \cap A_j \cap A_k| + (-1)^{n+1} |A_1 \cap A_2 \cap \dots \cap A_n|$$

Q1. Find the cardinality of  $A_1 \cup A_2 \cup A_3$  if there are 100 elements in each set and

(a) the sets are pairwise disjoint:

$$A_1 \cap A_2 = \emptyset \quad A_1 \cap A_3 = \emptyset \quad A_2 \cap A_3 = \emptyset \Rightarrow A_1 \cap A_2 \cap A_3 = \emptyset$$

$$|A_1| + |A_2| + |A_3| - 0 - 0 - 0 + 0 = 100 + 100 + 100 = 300$$

(b) there are 50 common elements in each pair of sets and no elements in all three sets:

$$|A_1| + |A_2| + |A_3| - |A_1 \cap A_2| - |A_1 \cap A_3| - |A_2 \cap A_3| + |A_1 \cap A_2 \cap A_3|$$

$$= 100 + 100 + 100 - 50 - 50 - 50 + 0 = 300 - 150 = 150$$

Q2. Find the probability that when four numbers from 1 to 100, inclusive, are picked at random with no repetitions allowed, all are odd, all are divisible by 3, or all are divisible by 5.

Let  $A_1 =$  Set of all odd numbers  $\binom{50}{4}$

$A_2 =$  Set of all numbers divisible by 3 (multiples of 3)  $\lfloor \frac{100}{3} \rfloor = 33 \Rightarrow \binom{33}{4}$

$A_3 =$  Set of all numbers divisible by 5 (multiples of 5)  $\lfloor \frac{100}{5} \rfloor = 20 \Rightarrow \binom{20}{4}$

$S =$  Set of all 4 numbers picked at random from 1~100  $\binom{100}{4}$

$|A_1 \cap A_2| = \lceil \frac{33}{2} \rceil = 17 \quad \binom{17}{4}$   
round up b/c the first element is odd (3, 9, ...)

$|A_2 \cap A_3| = \lfloor \frac{100}{15} \rfloor = 6 \quad \binom{6}{4}$   
division rule (round down)

$|A_1 \cap A_3| = \lceil \frac{20}{2} \rceil = 10 \quad \binom{10}{4}$

$|A_1 \cap A_2 \cap A_3| = \lceil \frac{50}{15} \rceil = \frac{10}{3} \approx 3 \quad \binom{3}{4}$   
even/odd alternate, so half the elements divisible by 5 are odd

$$\frac{|A_1 \cup A_2 \cup A_3|}{|S|} = \frac{|A_1| + |A_2| + |A_3| - |A_1 \cap A_2| - |A_1 \cap A_3| - |A_2 \cap A_3| + |A_1 \cap A_2 \cap A_3|}{|S|} = \frac{\binom{50}{4} + \binom{33}{4} + \binom{20}{4} - \binom{17}{4} - \binom{10}{4} - \binom{6}{4} + \binom{3}{4}}{\binom{100}{4}} = 0.0697$$

## Alternative Form of Inclusion-Exclusion.

Let  $U$  be a universal finite set of cardinality  $N$ , and let  $A_i$  denote its subset of elements satisfying

Let  $N(A_{i_1}, A_{i_2}, \dots, A_{i_k})$  denote the number of elements of  $U$  satisfying properties  $P_{i_1}, P_{i_2}, \dots, P_{i_k}$ .

Let  $N(A'_{i_1}, A'_{i_2}, \dots, A'_{i_k})$  denote the number of elements of  $U$  satisfying none of the properties  $P_{i_1}, P_{i_2}, \dots, P_{i_k}$ .

Then  $N(P'_1 \dots P'_n) = N - \sum_{1 \leq i \leq n} N(P_i) + \sum_{1 \leq i < j \leq n} N(P_i P_j) - \sum_{1 \leq i < j < k \leq n} N(P_i P_j P_k) + \dots + (-1)^n N(P_1 P_2 \dots P_n)$

Q3. How many primes are there not exceeding 120?

$\sqrt{120} = 10.95...$  Primes not exceeding 10.95... are 2, 3, 5, 7. Let  $P_1$  be the property that an integer is divisible by 2

$P_2$  be the property that an integer is divisible by 3,  $P_3$  be the property that an integer is divisible by 5

$P_4$  be the property that an integer is divisible by 7. # of primes not exceeding 120:  $4 + N(P'_1 P'_2 P'_3 P'_4)$  s.t.  $4 + N(P'_1 P'_2 P'_3 P'_4) =$

$$4 + 119 - N(P_1) - N(P_2) - N(P_3) - N(P_4) + N(P_1 P_2) + N(P_1 P_3) + N(P_1 P_4) + N(P_2 P_3) + N(P_2 P_4) + N(P_3 P_4) - N(P_1 P_2 P_3) - N(P_1 P_2 P_4) - N(P_1 P_3 P_4) - N(P_2 P_3 P_4) + N(P_1 P_2 P_3 P_4) = 4 + 119 - \left\lfloor \frac{120}{2} \right\rfloor - \left\lfloor \frac{120}{3} \right\rfloor - \left\lfloor \frac{120}{5} \right\rfloor - \left\lfloor \frac{120}{7} \right\rfloor + \left\lfloor \frac{120}{2 \cdot 3} \right\rfloor + \left\lfloor \frac{120}{2 \cdot 5} \right\rfloor + \left\lfloor \frac{120}{2 \cdot 7} \right\rfloor + \left\lfloor \frac{120}{3 \cdot 5} \right\rfloor + \left\lfloor \frac{120}{3 \cdot 7} \right\rfloor + \left\lfloor \frac{120}{5 \cdot 7} \right\rfloor - \left\lfloor \frac{120}{2 \cdot 3 \cdot 5} \right\rfloor - \left\lfloor \frac{120}{2 \cdot 3 \cdot 7} \right\rfloor - \left\lfloor \frac{120}{2 \cdot 5 \cdot 7} \right\rfloor - \left\lfloor \frac{120}{3 \cdot 5 \cdot 7} \right\rfloor + \left\lfloor \frac{120}{2 \cdot 3 \cdot 5 \cdot 7} \right\rfloor = 4 + 119 - 60 - 40 - 24 - 17 + 20 + 12 + 8 + 8 + 5 + 3 - 4 - 2 - 1 - 1 + 0 = 30. \text{ So there are 30 primes not exceeding 120.}$$

Q4. How many onto functions are there from a set with 5 elements to a set with 3 elements?

Suppose that the elements in the codomain are  $b_1, b_2, b_3$ . Let  $P_1, P_2, P_3$  be the properties that  $b_1, b_2, b_3$  are not in the range of the function.  $N(P'_1 P'_2 P'_3) = N - (N(P_1) + N(P_2) + N(P_3)) + (N(P_1 P_2) + N(P_1 P_3) + N(P_2 P_3)) - N(P_1 P_2 P_3)$ .  $N = 3^5$  (total # of functions from a set w/ 5 elements to one w/ 3 elements.  $N(P_i) = 2^5$  (# of functions that do not have  $b_i$  in their range.  $N(P_i P_j) = 1^5 = 1$ .  $N(P_1 P_2 P_3) = 0$  since this term is the # of functions that have none of  $b_1, b_2, b_3$  in their range

$$\Rightarrow N(P'_1 P'_2 P'_3) = 3^5 - 3 \cdot 2^5 + 3 \cdot 1^5 = 150$$

**Theorem.** Let  $n, m \in \mathbb{N}$  with  $m \geq n$ . Then there are

$$n^m - C(n, 1)(n-1)^m + C(n, 2)(n-2)^m - \dots + (-1)^{n-1} C(n, n-1) \cdot 1^m$$

onto functions from a set with  $m$  element to a set with  $n$  elements.

## DERANGEMENTS.

A **derangement** is a permutation of objects that

leaves no object in its original position.

Q5. What is the probability that none of the 10 employees receives the correct hat if a hatcheck person hands their hats back randomly?

$$\frac{D_{10}}{10!} = 1 - \frac{1}{1!} + \frac{1}{2!} - \frac{1}{3!} + \dots + \frac{1}{10!}$$

$$\Rightarrow D_{10} = 10! \left( 1 - \frac{1}{1!} + \frac{1}{2!} - \frac{1}{3!} + \dots + \frac{1}{10!} \right) \\ = 0.368$$

**The number of derangements.** The number of derangements of a set with  $n$  elements is

$$D_n = n! \left[ 1 - \frac{1}{1!} + \frac{1}{2!} - \frac{1}{3!} + \dots + (-1)^n \frac{1}{n!} \right]$$