

## Math 55, Homework 12.

**Prob 1.** Prove the principle of inclusion-exclusion using mathematical induction.

formula is true for  $n=2$

$$|A_1 \cup A_2| = |A_1| + |A_2| - |A_1 \cap A_2|$$

true for  $(n-1)$

$$\left| \bigcup_{i=1}^{n-1} A_i \right| = \sum_{k=1}^{n-1} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n-1} (-1)^{k+1} \left| \bigcap_{j=1}^k A_{i_j} \right|$$

let's test:  $n$  sets

$$B_n = \bigcup_{i=1}^{n-1} A_i$$

$$\left| \bigcup_{i=1}^n A_i \right| = |B_n \cup A_n| = |B_n| + |A_n| - |B_n \cap A_n|$$

$$\text{whereas } |B_n \cap A_n| = |(A_1 \cup A_2 \cup \dots \cup A_{n-1}) \cap A_n| = \left| \bigcup_{i=1}^{n-1} (A_i \cap A_n) \right|$$

$$|B_n \cap A_n| = \sum_{k=1}^{n-1} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n-1} (-1)^{k+1} \left| \bigcap_{j=1}^k (A_{i_j} \cap A_n) \right|$$

then substitute

$$\left| \bigcup_{i=1}^n A_i \right| = \sum_{k=1}^{n-1} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n-1} (-1)^{k+1} \left| \bigcap_{j=1}^k A_{i_j} \right| + |A_n| - \sum_{k=1}^{n-1} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n-1} (-1)^{k+1} \left| \bigcap_{j=1}^k (A_{i_j} \cap A_n) \right|$$

Final result

$$\left| \bigcup_{i=1}^n A_i \right| = \sum_{k=1}^n \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} (-1)^{k+1} \left| \bigcap_{j=1}^k A_{i_j} \right|$$

**Prob 2.** How many permutations of the 26 letters of the English alphabet do not contain any of the strings fish, rat or bird?

Formulas:

$$\left. \begin{array}{l} \text{no rep.: } P(n, r) = \frac{n!}{(n-r)!} \\ \text{rep.: } n^r \end{array} \right\} \text{permutation} \quad \left. \begin{array}{l} \text{No rep.: } C(n, r) = \binom{n}{r} = \frac{n!}{r!(n-r)!} \\ \text{rep.: } C(n+r-1, r) = \frac{(n+r-1)!}{r!(n-1)!} \end{array} \right\} \text{combinations}$$

Principle of Inclusion-exclusion

$$|A_1 \cup A_2 \cup \dots \cup A_n| = \sum_{1 \leq i \leq n} |A_i| - \sum_{1 \leq i < j \leq n} |A_i \cap A_j| + \sum_{1 \leq i < j < k \leq n} |A_i \cap A_j \cap A_k| - \dots + (-1)^{n+1} |A_1 \cap A_2 \cap \dots \cap A_n|$$

Legend:

$U$ : perm. of alphabet (26 letters) =  $26!$

$A_1$ : strings with "fish"

$A_2$ : strings with "rat"

$A_3$ : strings with "bird"

"fish"

$$|A_1| = P(23, 23) = \frac{23!}{(23-23)!} = 23!$$

"rat"

$$|A_2| = P(24, 24) = \frac{24!}{(24-24)!} = 24!$$

"bird"

$$|A_3| = P(23, 23) = \frac{23!}{(23-23)!} = 23!$$

Both "fish" and "bird"

$$|A_1 \cap A_3| = 0$$

Both "rat" and "bird"

$$|A_2 \cap A_3| = 0$$

All can't happen at the same time

$$|A_1 \cap A_2 \cap A_3| = 0$$

Principle of inclusion-exclusion

$$\begin{aligned} |A_1 \cup A_2 \cup A_3| &= 23! + 24! + 23! - 21! - 0 - 0 + 0 \\ &= 23! + 24! + 23 - 21! \end{aligned}$$

$$|(A_1 \cup A_2 \cup A_3)^c| = 26! - 23! - 24! - 23! + 21!$$

There are  $(26! - 23! - 24! - 23! + 21!)$  permutations not containing the strings "fish", "rat", "bird"

**Prob 3.** Use a combinatorial argument to show that the sequence  $\{D_n\}$ , where  $D_n$  denotes the number of derangements of  $n$  objects, satisfies the recurrence relation

$$D_n = (n-1)(D_{n-1} + D_{n-2}).$$

suppose  $S = \{a_1, a_2, \dots, a_{n-1}\}$  is an  $(n-1)$  element set. If we include a new element  $a_n$  to the set then we see the cases

**case 1:** when  $a_n$  and  $a_i \in S$  for some  $1 \leq i \leq n-1$ . swap their places. can be done for all  $i = 1, 2, \dots, n-1$

Therefore the total number of derangements obtained in this way is  $(n-1)D_{n-2}$

**case 2:** when  $a_n$  does not swap with any  $a_i$ 's &  $a_j$  ( $1 \leq j \leq n-1$ ) goes to the  $n^{\text{th}}$  place after derangement while  $a_n$  might go to the first  $(n-1)$  place but not the  $j^{\text{th}}$  place.  
The total number of derangements is  $(n-1)D_{n-1}$

If you combine both cases you get  $D_n = (n-1)(D_{n-1} + D_{n-2})$

**Prob 4. Euler's totient function**  $\phi(n)$  counts the positive integers up to  $n$  that are relatively prime to  $n$ . Use the principle of inclusion-exclusion to derive a formula for  $\phi(n)$  when the prime factorization of  $n$  is

$$n = p_1^{\alpha_1} p_2^{\alpha_2} \cdots p_m^{\alpha_m}.$$

let  $A_i = \{x \in [n] : p_i \text{ divides } x\}$

if  $S \subseteq [m]$ , let  $A_S = \{x \in [n] : p_i, \text{ for } i \in S, \text{ divides } x\}$

$$\phi(n) = \left| \bigcap_{i=1}^m A_i^c \right| = \left| \bigcup_{i=1}^m A_i^c \right| = n - \left| \bigcup_{i=1}^m A_i \right|$$

$$\phi(n) = n - \left| \bigcup_{i=1}^m A_i \right| = n - \sum_{\emptyset \neq S \subseteq [m]} (-1)^{|S|+1} |A_S|$$

$$n - \sum_{\emptyset \neq S \subseteq [m]} (-1)^{|S|+1} \frac{n}{\prod_{i \in S} p_i} = n \left( 1 - \sum_{\emptyset \neq S \subseteq [m]} (-1)^{|S|+1} \frac{1}{\prod_{i \in S} p_i} \right)$$

$$\longrightarrow n \left( 1 - \frac{1}{p_1} \right) \left( 1 - \frac{1}{p_2} \right) \cdots \left( 1 - \frac{1}{p_m} \right) = \phi(n)$$

↗ a path exists between every pair of vertices

**Prob 5.** (a) Show that every connected graph with  $n$  vertices has at least  $n - 1$  edges

Proof by Induction:

let  $P(n)$  be "Every connected graph with  $n$  vertices has at least  $n-1$  edges"

Base step:  $n=1$

A connected graph cannot have any edges

so  $P(1)$  is true  $\Rightarrow n-1=1-1=0$

Inductive step:  $k-1$  edges

since  $P(k)$  is true then the connected graph has at least  $k-1$  edges. Therefore,  $V_{k+1}$  needs to be connected to at least 1 vertex. Which means that the connected graphs,  $(G$  and  $G_k)$   $G$  contains 1 more edge than  $G_k$ .  $\Rightarrow k-1+1=k$  edges

so  $P(k+1)$  is true

(b) If a connected graph with  $n$  vertices has exactly  $n - 1$  edges, what kind of graph is it?

This graph is a tree

Base step:  $n=2$

$|V|=2$   $|E|=1$ . This shows a tree because  $e_1$  is associated with  $(v_1, v_2)$

Inductive step:  $G(n+1, n)$

for every new  $V_i$  there is a new  $e_k$  connecting to  $V \in V$ . So if the graphs are connected, there is a new  $e_k$  at  $V_i$  and ends at  $V \in V$ . from the  $G(n+1, n)$