Posted: Sat.. 1/28/2023 Due: Sat., 2/4/2023, 11:59 AM (noon)

Note: for a dataset, **classification accuracy** is defined as number of correctly classified data points divided by the total number of data points.

- 1. For a 2-class nearest-means classifier (NMC) based on D features, and for given mean vectors  $\mu_1$ ,  $\mu_2$ :
  - (a) Give an algebraic expression for the decision boundary and for the decision rule. Simplify as much as possible. Is the classifier linear?
  - (b) If the classifier is linear, starting from the general expression for a 2-class discriminant function g(x) for a linear classifier, find an expression for the weights for the NMC in terms of the mean vectors  $\mu_1$ ,  $\mu_2$ .
  - (c) If the classifier is not linear, then write an expression for a nonlinear function g(x) with weight for coefficients of each term. Then find expressions for the weights of the NMC in terms of  $\mu_1$ ,  $\mu_2$ .

For parts (d)-(f), consider a C-class NMC based on D features, with C > 2.

(d) Consider the following decision rule:

$$x \in \Gamma_k$$
 iff  $k = \operatorname{argmax}_m\{g_m(x)\}$ 

Can you find expressions for the  $g_m(x)$ ,  $i = 1,2,\dots,C$ , such that this is the decision rule for a NMC? If so, give your expression for  $g_m(x)$ , and simplify it as much as possible.

**Hint:** when comparing  $g_m(x)$  only to each other (e.g.,  $g_i(x)$  to  $g_j(x)$ ), any additive term that doesn't depend on m, and that is common to  $g_m(x) \ \forall m$ , can be dropped from all  $g_m(x)$ .

- (e) Is  $g_m(x)$  linear? Justify your answer. If yes, give expressions for the weights for the NMC in terms of the mean vectors  $\mu_k$ .
- (f) Is multiclass NMC an example of the MVM multiclass method? Justify your answer.
- 2. Code up a *C*-class nearest-means classifier (NMC), for *C* classes and *D* features. Homework 1 ground rules on libraries you can use apply here also.

Tip: for plots in this problem, you may use plotDecBoundaries\_2.py.

- (a) Run it on the given dataset. There are C = 5 classes and D = 7 features. Report the classification accuracy on the training set and test set.
- (b) For visualization, run it again using only the following 2 features: X1 and X2. Plot in 2D feature space: the training data, decision boundaries, and decision regions for all the classes. Report the classification accuracy on the training set and test set, using only the 2 features. As a check, do the decision boundaries look consistent with the class means, given it's a NMC?
- (c) Repeat (b) using only the following features: X3 and X4.

- (d) Repeat (b) using only the following features: X3 and X7.
- (e) Of (b), (c), (d): which gives the best training accuracy? the best test accuracy? Does the use of all 7 features perform better than the pairs tried in (b), (c), (d)?
- (f) In the plots of (b), (c), (d): Do you see any indeterminate regions? Are all the decision regions convex?
- => Feel free to optionally explore other pairs of features to see the variety of decision boundaries, regions, and results obtainable. (No need to report on results.)
- 3. This problem uses the notation we used in Lecture 5, and m,  $m_0$ , and  $m_1$  are positive integers. For the following computational complexity:

$$p(m) = 10m - 50$$

(a) Is p(m) = O(m)?

If yes, prove your answer by letting a=1, and solve for what  $m_0$  we have  $m \ge p(m) \quad \forall m \ge m_0$ . If you need a larger a, then state what value of a will work. Find the smallest positive integer  $m_0$  for the value of a you used.

If no, justify why not.

(b) Is  $p(m) = \Omega(m)$ ?

If yes, prove your answer by letting b=1, and solve for what  $m_1$  we have  $m \le p(m) \quad \forall m \ge m_1$ . If you need a smaller b, then state what value of b will work. Find the smallest positive integer  $m_1$  for the value of b you used.

If no, justify why not.

(c) Is  $p(m) = \Theta(m)$ ?

Justify your answer.

- 4. This problem also uses the notation of Lecture 5, and here also all *m* are positive integers.
  - (a) Suppose we have a function p(m) that can be expressed as:

$$p(m) = p_1(m) + p_2(m) + p_3(m)$$

and we have:

$$p_k(m) = O(q_k(m)), \quad k = 1, 2, 3$$
 (i)

Prove that:

$$p(m) = O(q_1(m) + q_2(m) + q_3(m))$$
 (ii)

**Hints:** 

(i) If you find the problem statement unclear or confusing, try looking at the example in the appendix below.

- (ii) You can start the proof by applying the definition of big-O to (i) to get 3 sets of inequalities, then summing them. Can you get your new inequality to look like the definition of big-O?
- (b) Is a similar statement to (a) true for  $\Omega(..)$ ? (That is, if you replace each O(..) in part (a) with  $\Omega(..)$ , would the last equation be true?) Justify your answer.
- 5. Consider the following computational complexity, in which m is a positive integer:

$$p(m) = m^2 \log_2 m + 10 \left( \frac{2^m}{\log_2 m} \right) + 0.1 \left( 2^{(m-5)} \right)$$

- (a) Find the asymptotic upper bound for p(m), in simplest form (no unnecessary constants) but no looser than necessary.
  - **Hint:** p(m) is the sum of 3 terms. You can use the result of Problem 4.
- (b) Find the asymptotic lower bound for p(m), in simplest form (no unnecessary constants) but no looser than necessary.

## **Appendix - Example** (relates to Problem 4)

Suppose we want to find and prove the (tightest) asymptotic upper bound of p(m), with:

$$p(m) = 3m^3 + 100m^2 \log_2 m + 0.1(2^m)$$

Applying the definition directly to p(m) (especially to prove your bound, including finding  $m_0$ ) might be difficult. Instead, you could use the result of Problem 4a, to apply the big-O bound to each term independently:

$$3m^{3} = O(m^{3})$$

$$100m^{2} \log_{2} m = O(m^{2} \log m)$$

$$0.1(2^{m}) = O(2^{m})$$

Then using Problem 4a equation (ii), we can conclude:

$$3m^{3} + 100m^{2} \log_{2} m + 0.1(2^{m}) = O(m^{3} + m^{2} \log m + 2^{m})$$
$$= O(2^{m})$$