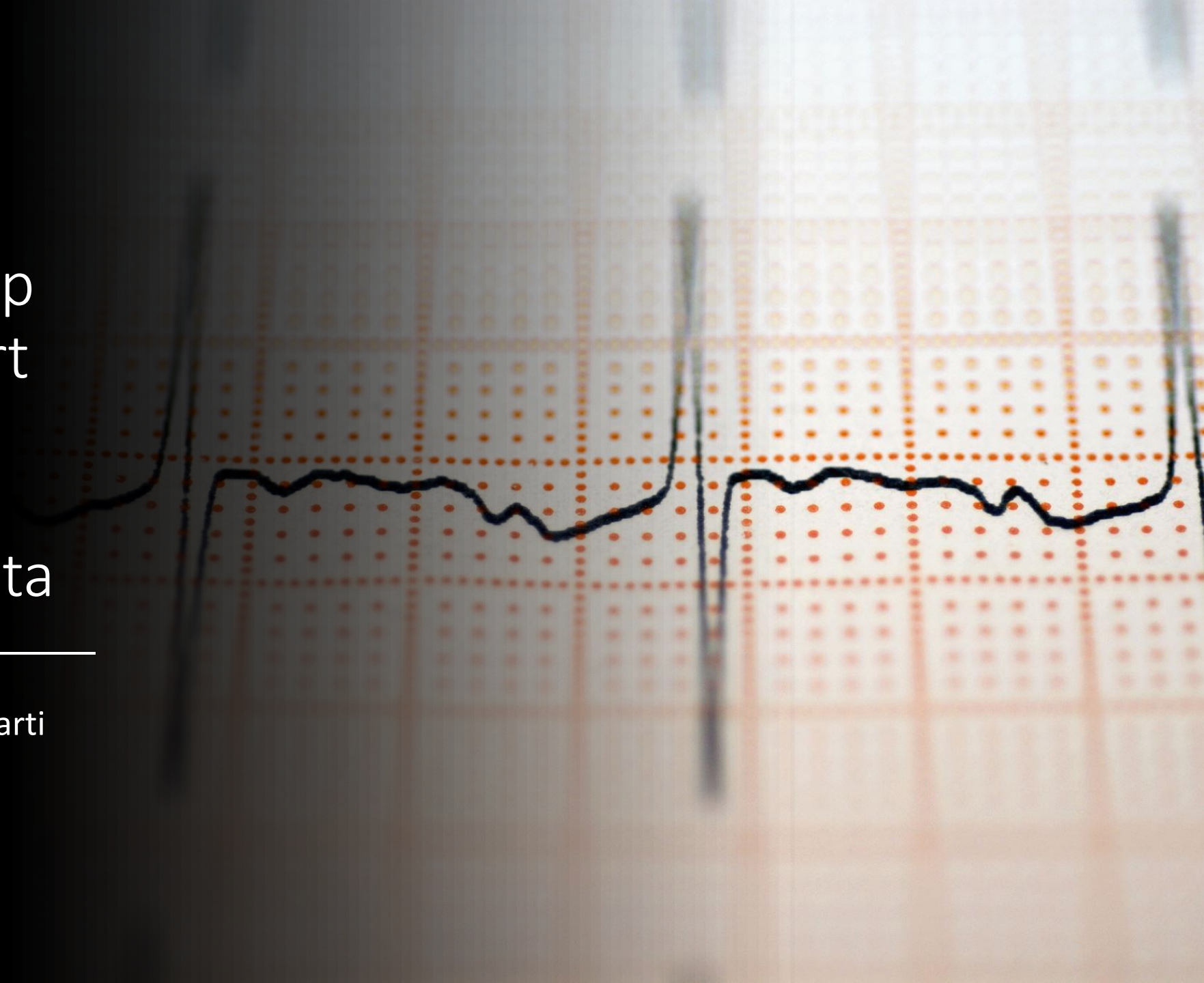


Reproducing Deep Learning for Heart Failure Onset Prediction Using Temporal EHR Data

A Reproduction Study - Shiv Bharti





Importance of Early Detection



Heart failure affects millions worldwide



Early detection is crucial for effective treatment



EHRs provide a rich data source for predictive models

Objective of the Original Paper

- Explore the use of deep learning for modeling temporal relations among events in EHRs
- Compare the performance of RNN models to conventional methods for predicting heart failure onset

Scope of Reproducibility

- Re-implement the original study's methodology
- Validate results by comparing RNN models to conventional methods
- Focus on 12- and 18-month observation windows

Reproduction Results

- **12-month observation window**

- RNN AUC: 0.714
- Logistic Regression AUC: 0.788
- MLP AUC: 0.762
- SVM AUC: 0.850
- KNN AUC: 0.703

- **18-month observation window**

- RNN AUC: 0.784
- Logistic Regression AUC: 0.788
- MLP AUC: 0.754
- SVM AUC: 0.850
- KNN AUC: 0.703

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DL_HF_Prediction_Reproduction_Study_		HF_Prediction_GPU	✔ Completed	May 3, 2023 10:07 AM	53m 33s	Shiv Bharti	ml-compute-sb	dynamic_allowlist ...
DL_HF_Prediction_Reproduction_Study_		HF_prediction	✔ Completed	May 3, 2023 8:21 AM	1h 23m 42s	Shiv Bharti	ml-compute-sb	dynamic_allowlist ...

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Compute

The "Kubernetes clusters" tab is now where you can access previous versions of "inference clusters" (also known as "AKS clusters") and "attached Kubernetes" compute types along with any previously created compute targets using those types. [Learn more about Kubernetes clusters.](#)

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Name	☆	State	Idle shutdown	Applications	Size	Created on	Assigned to
sbharti2		⏸ Stopped	15 minutes	JupyterLab Jupyter VS Code (Desktop)	STANDARD_E4DS_V4	May 3, 2023 9:59 AM	Shiv Bharti
sbharti1		⏸ Stopped	--	JupyterLab Jupyter VS Code (Desktop)	STANDARD_DS12_V2	Mar 20, 2023 5:59 PM	Shiv Bharti

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hf_prediction_using_Fx

Edit in VS Code

Compute: sbharti2 - Stopped

No kernel connected

Viewing

Last saved a few seconds ago

```
1 import os
2 import numpy as np
3 import torch
4 import torch.nn as nn
5 import torch.optim as optim
6 from torch.utils.data import DataLoader, Dataset
7 from sklearn.preprocessing import LabelEncoder, StandardScaler
8 from sklearn.linear_model import LogisticRegression
9 from sklearn.neural_network import MLPClassifier
10 from sklearn.svm import SVC
11 from sklearn.neighbors import KNeighborsClassifier
12 from sklearn.metrics import roc_auc_score
13 from sklearn.model_selection import train_test_split
14 import random
15 import pickle
16
17 # Set seed
18 seed = 24
19 random.seed(seed)
20 np.random.seed(seed)
21 torch.manual_seed(seed)
22 os.environ["PYTHONHASHSEED"] = str(seed)
23
24 # Define data path
25 DATA_PATH = "adl://sbharti.azuredatalakestore.net/path/to/DL_HC_RNN-lib/data"
26
27 # Load data
28 pids = pickle.load(open(os.path.join(DATA_PATH, 'train/pids.pkl'), 'rb'))
29 vids = pickle.load(open(os.path.join(DATA_PATH, 'train/vids.pkl'), 'rb'))
30 hfs = pickle.load(open(os.path.join(DATA_PATH, 'train/hfs.pkl'), 'rb'))
31 seqs = pickle.load(open(os.path.join(DATA_PATH, 'train/seqs.pkl'), 'rb'))
32 types = pickle.load(open(os.path.join(DATA_PATH, 'train/types.pkl'), 'rb'))
33 rtypes = pickle.load(open(os.path.join(DATA_PATH, 'train/rtypes.pkl'), 'rb'))
34
35 input_size = len(types)
36
37 def pad_and_encode_sequence(sequence, max_visits, max_seq_length):
38     padded_sequence = np.zeros((max_visits, max_seq_length))
39     for i, visit in enumerate(sequence[:max_visits]):
```

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Search

2:49 PM
5/5/2023

Results

```
print(f"Logistic Regression AUC: {aucs_12[1]:.3f}")
print(f"MLP AUC: {aucs_12[2]:.3f}")
print(f"SVM AUC: {aucs_12[3]:.3f}")
print(f"KNN AUC: {aucs_12[4]:.3f}")

aucs_18 = train_and_evaluate_models(train_seqs_18, test_seqs_18, train_hfs_18, test_hfs_18)
print("Results for 18-month observation window:")
print(f"RNN AUC: {aucs_18[0]:.3f}")
print(f"Logistic Regression AUC: {aucs_18[1]:.3f}")
print(f"MLP AUC: {aucs_18[2]:.3f}")
print(f"SVM AUC: {aucs_18[3]:.3f}")
print(f"KNN AUC: {aucs_18[4]:.3f}")
```

Results for 12-month observation window:

RNN AUC: 0.714

Logistic Regression AUC: 0.788

MLP AUC: 0.762

SVM AUC: 0.850

KNN AUC: 0.703

Results for 18-month observation window:

RNN AUC: 0.784

Logistic Regression AUC: 0.788

MLP AUC: 0.754

SVM AUC: 0.850

KNN AUC: 0.703

Challenges and Recommendations

Challenges:

- Obtaining access to EHR data
- Limited data availability

Recommendations:

- Data sharing (de-identified or synthetic)
- Clear documentation
- Open-source code
- Benchmarking on public datasets

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Additional UIUC Training - Conflicts of Interest in Research Involving Human Subjects - Biomedical (ID 22758)

Stage	Record ID	Passing Score	Your Score	Start Date	Completion Date	Expiration Date	Gradebook	Completion Record
Basic Course	55704866	80%	100%	04-May-2023	04-May-2023	04-May-2026	View	View-Print-S

Additional UIUC Training - Research and HIPAA Privacy Protections (ID 22755)

Stage	Record ID	Passing Score	Your Score	Start Date	Completion Date	Expiration Date	Gradebook	Completion Record
Basic Course	55706548	80%	100%	04-May-2023	04-May-2023	04-May-2026	View	View-Print-S

English

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Your application for PhysioNet training

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PhysioNet Automated System <noreply@physionet.org>

To: Bharti, Shiv Ratan



Thu 5/4/2023 2:29 PM

Dear Shiv Bharti,

We are pleased to say that your "CITI Data or Specimens Only Research" training was approved.

You are now able to access protected databases upon agreeing to the terms of usage. For example, you can access MIMIC-III by following the steps below:

- Go to the project page at [https://urldefense.com/v3/_https://physionet.org/content/mimiciii/_!!DZ3fjg!6bi2u0QltfrxNP T9VJqRm6kyJ6LU5H87I2I862_0-veduppppcvbehqk90ofPLTYF7hYDisjxYsHTbjpRNjl-g\\$](https://urldefense.com/v3/_https://physionet.org/content/mimiciii/_!!DZ3fjg!6bi2u0QltfrxNP T9VJqRm6kyJ6LU5H87I2I862_0-veduppppcvbehqk90ofPLTYF7hYDisjxYsHTbjpRNjl-g$)
- Find the "Files" section in the project description
- Click "Sign the data use agreement" to agree to the terms of usage for this dataset

Regards, The PhysioNet Team, MIT Laboratory for Computational Physiology Institute for Medical Engineering and Science, MIT, E25-505
77 Massachusetts Ave, Cambridge, MA 02139

MIMIC-III Clinical Database

Alistair Johnson , Tom Pollard , Roger Mark 

Published: Sept. 4, 2016. Version: 1.4

MIMIC-III available on the cloud *(Sept. 30, 2019, 2:28 p.m.)*

The MIMIC-III database is now available on two major cloud platforms: Google Cloud Platform (GCP) and Amazon Web Services (AWS). To access data on the cloud, simply add the relevant cloud identifier to your PhysioNet profile. Further instructions are available in the [MIMIC-III Cloud Access](#) section.

Tutorials are available for [using MIMIC-III with BigQuery \(GCP\)](#) and [using MIMIC-III with Athena \(AWS\)](#).

When using this resource, please cite: [\(show more options\)](#)

Johnson, A., Pollard, T., & Mark, R. (2016). MIMIC-III Clinical Database (version 1.4). *PhysioNet*. <https://doi.org/10.13026/C2XW26>.

Additionally, please cite the original publication:

Johnson, A. E. W., Pollard, T. J., Shen, L., Lehman, L. H., Feng, M., Ghassemi, M., Moody, B., Szolovits, P., Celi, L. A., & Mark, R. G. (2016). MIMIC-III, a freely accessible critical care database. *Scientific Data*, 3, 160035.

Please include the standard citation for PhysioNet: [\(show more options\)](#)

Goldberger, A., Amaral, L., Glass, L., Hausdorff, J., Ivanov, P. C., Mark, R., ... & Stanley, H. E. (2000). PhysioBank, PhysioToolkit, and PhysioNet: Components of a new research resource for complex physiologic signals. *Circulation [Online]*. 101 (23), pp. e215–e220.

Abstract

MIMIC-III is a large, freely-available database comprising deidentified health-related data associated with over forty thousand patients who stayed in critical care units of the Beth Israel Deaconess Medical Center between 2001 and 2012. The database includes information such as demographics, vital sign measurements made at the bedside (~1 data point per hour), laboratory test results, procedures, medications, caregiver notes, imaging reports, and mortality (including post-hospital discharge).



































Files

Total uncompressed size: 6.2 GB.

Access the files

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- [Request access](#) to the files using the [Google Cloud Storage Browser](#). Login with a Google account is required.
- Access using [AWS Open Data repository](#)
- [Request access](#) to the data using AWS command line tools: `aws s3 sync s3://mimic-iii-physionet DESTINATION`
- [Request access](#) using Google BigQuery.
- Download the files using your terminal: `wget -r -N -c -np --user shivbharti --ask-password https://physionet.org/files/mimiciii/1.4/`

Folder Navigation: <base>

Name		Size	Modified
 ADMISSIONS.csv.gz		2.4 MB	2019-03-19
 CALLOUT.csv.gz		1.1 MB	2019-03-19
 CAREGIVERS.csv.gz		48.4 KB	2019-03-19
 CHARTEVENTS.csv.gz		4.0 GB	2019-03-19
 CPTEVENTS.csv.gz		4.7 MB	2019-03-19
 DATETIMEEVENTS.csv.gz		52.5 MB	2019-03-19
 DIAGNOSES_ICD.csv.gz		4.5 MB	2019-03-19
 DRGCODES.csv.gz		1.7 MB	2019-03-19
 D_CPT.csv.gz		3.9 KB	2019-03-19
 D_ICD_DIAGNOSES.csv.gz		278.3 KB	2019-03-19
 D_ICD_PROCEDURES.csv.gz		74.1 KB	2019-03-19
 D_ITEMS.csv.gz		183.5 KB	2019-03-19
 D_LABITEMS.csv.gz		11.2 KB	2019-03-19
 ICUSTAYS.csv.gz		1.9 MB	2019-03-19
 INPUTEVENTS_CV.csv.gz		402.6 MB	2019-03-19
 INPUTEVENTS_MV.csv.gz		143.9 MB	2019-03-19
 LABEVENTS.csv.gz		320.3 MB	2019-03-19

The left side of the slide features a background image of an ECG (heart rate) trace. The trace is a black line plotted on a light-colored grid with orange dots. The grid has a larger square pattern and a smaller dot pattern. The ECG line shows a regular rhythm with a prominent vertical spike in the center. A thin orange horizontal line is positioned across the middle of the slide, separating the header from the content.

Conclusion

- Reproduced the original study with some variations in results
- RNN models showed potential for heart failure prediction
- Challenges in data access and limited data availability