

# Topology of tropical moduli spaces of weighted stable curves in higher genus

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Joint with Siddarth Kannan, Stefano Serpente, Claudia Yun

Slides will be available at <http://www.shiyue.li>

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6. Euler characteristics of  $\Delta_{g,w}$ .
7. Future directions.



# History and Motivation

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# History

- Given  $g \geq 0, n \geq 1$  and  $w \in (\mathbb{Q} \cap (0, 1])^n$  satisfying

$$2g - 2 + \sum w_i > 0,$$

Hassett defined the Deligne-Mumford (DM) stack  $\overline{\mathcal{M}}_{g,w}$  as an alternate compactification of DM stack  $\mathcal{M}_{g,n}$  of  $n$ -marked smooth curves of genus  $g$  in [Has03].

The space  $\overline{\mathcal{M}}_{g,w}$  parametrizes  $n$ -marked curves of genus  $g$

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- In **[Uli15]**, **Ulirsch** gave that the boundary divisor  $\overline{\mathcal{M}}_{g,w} \setminus \mathcal{M}_{g,w}$  is a normal crossings divisor.

# Motivation

The **boundary complex**, or the dual complex of the boundary divisor  $\overline{\mathcal{M}}_{g,w} \setminus \mathcal{M}_{g,w}$  is identified with  $\Delta_{g,w}$ .

- Shown by **Harper in [Har17]**, simple homotopy types of the boundary complex is independent of the choice of compactification, for Deligne-Mumford stacks.

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- As a DM stack, the rational cohomology of  $\mathcal{M}_{g,w}$  carries a mixed Hodge structure, i.e. there is a weight filtration of the rational homology. The top graded piece of the weight filtration on  $\mathcal{M}_{g,w}$  is isomorphic to the reduced rational homology of the dual complex  $\Delta_{g,w}$ ; see work by **Deligne in [Del71]**.



**What is  $\Delta_{g,w}$**

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# The category $\Gamma_{g,w}$

Given  $g \geq 0$ ,  $n \geq 1$ , and a weight vector  $w \in (\mathbb{Q} \cap (0, 1])^n$ ,

## Definition

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3. ( **$w$ -stability**)  $m : [n] \rightarrow V(G)$  is a (marking) function such that for all  $v \in V(G)$

$$2h(v) + \text{val}(v) + \sum_{i \in m^{-1}(v)} w_i > 2;$$

### Example ( $g = 1$ )



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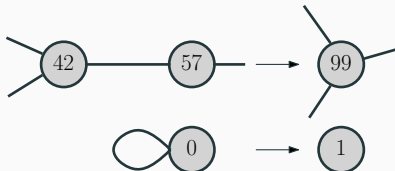
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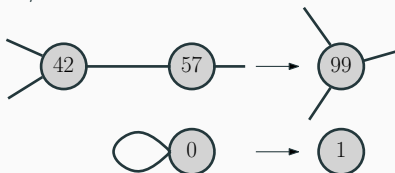
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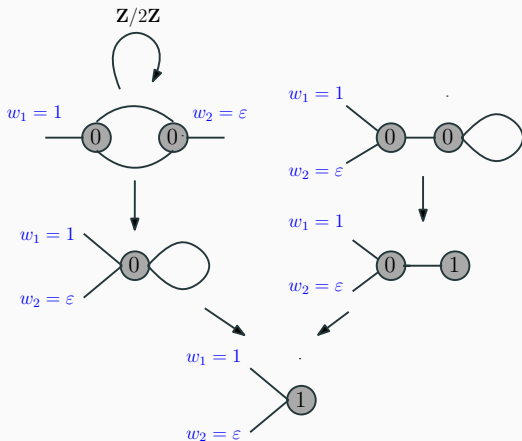
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2.2 graph isomorphisms that respect the vertex weights and markings.

## Example

Let  $0 < \varepsilon \ll 1$ ,  $g = 1$ ,  $w = (1, \varepsilon)$ .



**Figure 2:** The category  $\Gamma_{1,(1,\varepsilon)}$ , containing the category  $\Gamma_{1,(\varepsilon,\varepsilon)}$  as a subcategory.

## Upgrade: abstract $w$ -stable genus- $g$ tropical curves

Given  $g \geq 0$ ,  $n \geq 1$  and weight vector  $(\mathbb{Q} \cap (0, 1])^n$ ,

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## Definition

The **volume** of  $(G, \ell)$  is

$$\text{vol}((G, \ell)) := \sum_{e \in E(G)} \ell(e).$$

# A functor $\Gamma_{g,w} \rightarrow \mathbf{Top}$

For each  $G$ , define

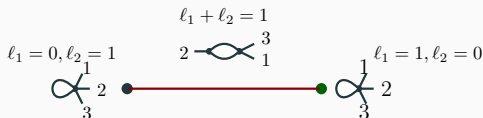
$$\Delta(G) := \left\{ \ell : E(G) \rightarrow \mathbb{R}_{\geq 0}, \sum_{e \in E(G)} \ell(e) = 1 \right\},$$

which can be identified with a  $(|E(G)| - 1)$ -simplex.

For each morphism  $f : G \rightarrow H$  in  $\Gamma_{g,w}$ , there is an induced morphism of topological spaces

$$f^* : \Delta(H) \rightarrow \Delta(G)$$

identifying  $\Delta(H)$  as a face of  $\Delta(G)$ .



**Figure 3:** The graph that is a loop is a face of the 1-simplex whose graph is the “fish”.



Then “ $\Delta$ ” gives a diagram  $\Gamma_{g,w}^{\text{op}} \rightarrow \text{Top}$ .

### Definition

The moduli space  $\Delta_{g,w}$  is the **colimit** of the diagram  $\Delta$ :

$$\Delta_{g,w} := \text{colim}_{G \in \text{Obj}(\Gamma_{g,w})} \Delta(G).$$

It parametrizes  $w$ -stable tropical curves of genus  $g$  with unit volume.

**Warning:** this is not in general a simplicial complex, but a “geometric realization of symmetric  $\Delta$ -complex” which morally speaking, allows half edges, folded 2-simplexes, etc..

## Motivation II: related work

Conventions:

- When  $w = (1, \dots, 1)$ , we call  $\Delta_{g,w}$  as  $\Delta_{g,n}$ .

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- When  $w$  has at least two 1 entries, *Cerbu et al.* derived the homotopy types of  $\Delta_{g,w}$ .

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- When  $w$  is *heavy/light* or has at least two 1's, Cerbu et al. showed that  $\Delta_{1,w}$  is homotopic to a wedge of spheres.

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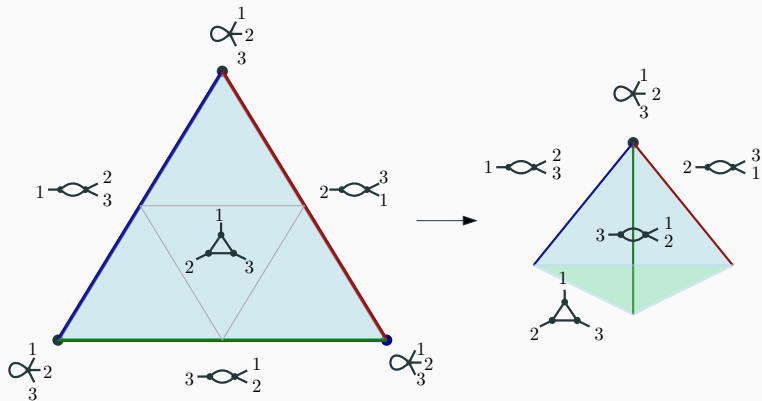
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- When  $w$  is *heavy/light* or has at least two 1's, Cerbu et al. showed that  $\Delta_{1,w}$  is homotopic to a wedge of spheres.
- For  $(g, n) \neq (0, 4), (0, 5)$ , Allcock-Corey-Payne showed that  $\Delta_{g,n}$  is simply connected.

Speculation:  $\Delta_{g,w}$ 's probably have trivial lower homotopy groups, for higher  $g$  and general  $w$ .

### Example (Haven't shown up above)

Let  $0 < \varepsilon \ll 1$ ,  $g = 1$  and  $w = (\varepsilon, \varepsilon, \varepsilon)$ .



**Figure 4:** The space  $\Delta_{1,(\varepsilon,\varepsilon,\varepsilon)}$  is homotopy equivalent to  $S^2$ .

# The main theorems

## **Theorem (Kannan-L.-Serpente-Yun)**

*For any  $g, n \geq 1$ , and  $w \in (\mathbb{Q} \cap (0, 1])^n$ , the space  $\Delta_{g,w}$  is simply-connected.*

Recall  $\Delta_{g,n}$  is simply-connected for  $(g, n) \neq (0, 4), (0, 5)$  by Allcock-Corey-Payne.

# Proof: a double induction

Double induction on

$$\ell(w) := \text{length of } w$$

and

$$j(w) := \#\{w_i : w_i < 1\}.$$

## Example

Let  $\varepsilon < 1/3$ .

For  $w = (1, \varepsilon, \varepsilon)$ ,  $\ell(w) = 3$ ,  $j(w) = 2$ .

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Base case:  $\ell(w) = 1, j(w) = 0$ , Allcock-Corey-Payne showed  $\Delta_{g,1}$  is s.c.

Inductive step:

(1) For each  $w$ , reorder  $w$  s.t.  $w_1 < 1$  and define  $\bar{w} = (1, w_2, w_3, \dots)$ .

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## Example

For  $w = (\varepsilon, \varepsilon, \varepsilon)$ ,  $\bar{w} = (1, \varepsilon, \varepsilon)$ .

Notice that  $\Delta_{g,\bar{w}}$  contains  $\Delta_{g,w}$  as a subcomplex.

# Crux of induction: study a subcomplex

## Example

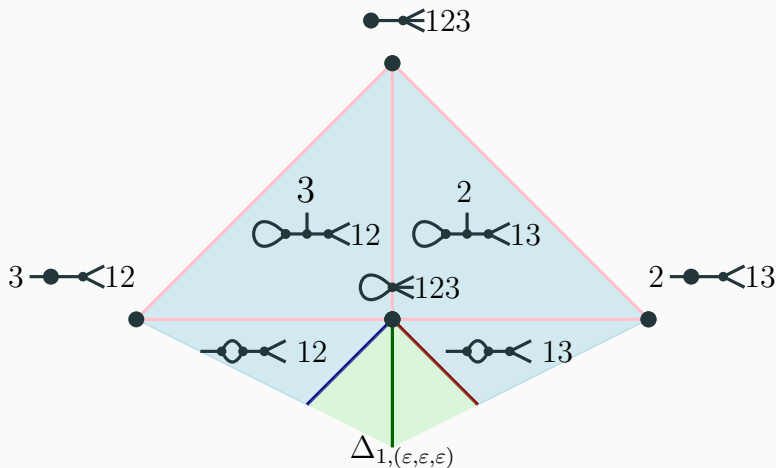


Figure 5:  $\Delta_{1,(1,\epsilon,\epsilon)} \subset \Delta_{1,\epsilon,\epsilon}$

## Crux of induction: study a subcomplex

(2) Define the subcomplex

$$\Sigma_{g,w} = \overline{\Delta_{g,\bar{w}} \setminus \Delta_{g,w}} \subset \Delta_{g,\bar{w}}.$$

(3) Decompose  $\Sigma_{g,w}$ .

(i) Define

$$K(w) := \{S \subseteq [n] : \sum_{i \in S} w_i \leq 1\}$$

### Example

$$K((\varepsilon, \varepsilon, \varepsilon)) = \{1, 2, 3, 12, 23, 13, 123\}.$$

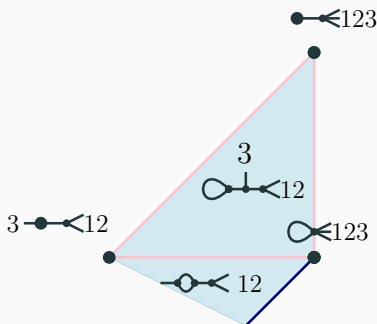
$$K((1, \varepsilon, \varepsilon)) = \{2, 3, 23\}.$$



## Crux of induction: study a subcomplex

(ii) For a  $S \subseteq [n]$ , define  $\Delta_{g,\bar{w}}(S)$  to be the subcomplex in  $\Delta_{g,\bar{w}}$  representing tropical curves with a vertex supporting (at least) markings indexed by  $S$ .

### Example



**Figure 6:** For  $w = (\varepsilon, \varepsilon, \varepsilon)$ ,  $\bar{w} = (1, \varepsilon, \varepsilon)$ ,  $S = \{12\}$ , then  $\Delta_{1,\bar{w}}(S)$  is as above.

(iii) Decompose  $\Sigma_{g,w}$  as

$$\Sigma_{g,w} = \overline{\Delta_{g,\bar{w}} \setminus \Delta_{g,w}} = \bigcup_{S \in K(w) \setminus K(\bar{w})} \Delta_{g,\bar{w}}(S).$$

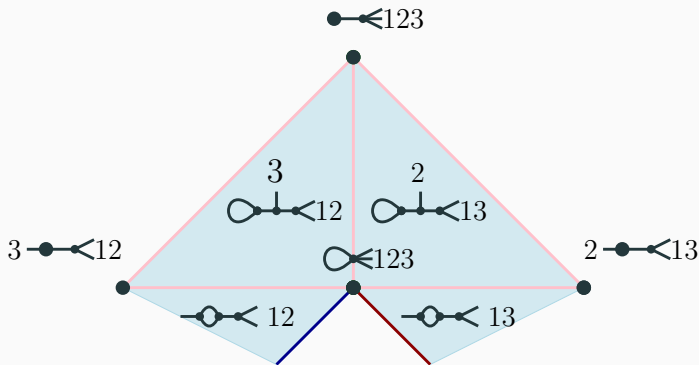
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Recall: for  $w = (\varepsilon, \varepsilon, \varepsilon)$  and  $\bar{w} = (1, \varepsilon, \varepsilon)$ ,

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$$K((1, \varepsilon, \varepsilon)) = \{2, 3, 23\},$$

$$\text{Thus } \Sigma_{1,w} = \Delta_{1,\bar{w}}(1) \cup \Delta_{1,\bar{w}}(2) \cup \Delta_{1,\bar{w}}(12) \cup \Delta_{1,\bar{w}}(13) \cup \Delta_{1,\bar{w}}(123).$$



**Figure 7:** Visualizing the decomposition of  $\Sigma_{1,(\varepsilon,\varepsilon,\varepsilon)}$  in pink/light blue.

## Crux of induction: study each sub-subcomplex

(4) Each  $\Delta_{g, \bar{w}}(S)$  is simply-connected.

(i) Define  $w^S$  as the weight vector removing weights indexed by  $S$  and appending

$$\min\left(\sum_{i \in S} w_i, 1\right).$$

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- $S = \{1, 3\}$ ,  $w^S = \left(\frac{2}{3}, 1, \frac{3}{4}\right)$ ;
- $T = \{2, 3\}$ ,  $w^T = \left(\frac{1}{4}, 1, 1\right)$ .

## Crux of induction: study each sub-subcomplex $\Delta_{g,\bar{w}}(S)$

(ii) Prove a technical lemma: If  $\sum_{i \in S} \bar{w}_i \leq 1$ , then

$$\Delta_{g,\bar{w}}(S) = \Delta_{g,\bar{w}^S};$$

Otherwise

$$\Delta_{g,\bar{w}}(S) \cong \text{Cone}(\Delta_{g,\bar{w}^S}).$$

**Example (continued with old friends  $w = (\varepsilon, \varepsilon, \varepsilon)$  and  $\bar{w} = (1, \varepsilon, \varepsilon)$ )**

When  $S = 23$ ,  $\bar{w}^S = (1, \varepsilon)$ ,

$$\Delta_{1,\bar{w}}(23) = \Delta_{1,(1,\varepsilon)} \cong \Delta_{1,2} \text{.s.c. by I.H.};$$

when  $S = 12$  or  $13$ ,  $\bar{w}^S = (1, 1)$ ,

$$\Delta_{1,\bar{w}}(13) \cong \text{Cone}(\Delta_{1,(1,1)}) \text{.s.c..}$$

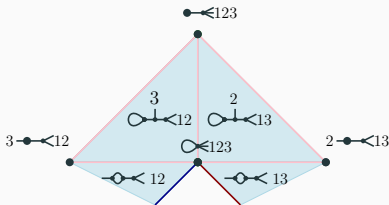
## Crux of induction: study each sub-subcomplex $\Delta_{g,\bar{w}}(S)$

(iii) Seifert-van Kampen revisited: Let  $X$  be a path-connected CW-complex, and suppose that  $X = \cup_{i=1}^N U_i$  where each  $U_i$  is a simply connected CW-subcomplex. Suppose further that for any  $1 \leq i_1, \dots, i_k \leq N$ , the intersection  $\cap_{j=1}^k U_{i_j}$  is simply connected. Then  $X$  is simply connected.

For any  $S_1, \dots, S_N \in K(w) \setminus K(\bar{w})$ , we have  $1 \in \cap_{i=1}^N S_i$ ,

$$\bigcap_{i=1}^N \Delta_{g,\bar{w}}(S_i) = \Delta_{g,\bar{w}}\left(\bigcup_{i=1}^N S_i\right), \text{ s.c. for same reasons before}$$

Therefore,  $\Sigma_{g,w}$  is simply connected.





$$\begin{array}{ccc} \mathbf{o} = \pi_1(\Delta_{g,w} \cap \Sigma_{g,w}) & \longrightarrow & \pi_1(\Sigma_{g,w}) = \mathbf{o} \\ \downarrow & & \downarrow \\ \pi_1(\Delta_{g,w}) & \longrightarrow & \text{by I.H. } \pi_1(\Delta_{g,\bar{w}}) = \mathbf{o}. \end{array}$$

**Figure 8:** Use van Kampen.

# Euler characteristics computation

For a heavy/light weight vector  $w = (1^{(n)}, \varepsilon^{(m)})$  where

- $m > 0$ ,

we have the following computation results for  $\chi(\Delta_{g,w})$  for some  $(n, m)$ .

$g = 0$	$m = 1$	$m = 2$	$m = 3$	$m = 4$
$n = 2$	-	2	0	2
$n = 3$	3	-3	9	-15
$n = 4$	-5	19	-53	163
$n = 5$	25	-95	385	-1535

$g = 1$	$m = 1$	$m = 2$	$m = 3$	$m = 4$
$n = 2$	2	-1	5	-7
$n = 3$	-2	10	-26	82
$n = 4$	13	-47	193	-767
$n = 5$	-59	301	-1499	7501

$g = 2$	$m = 1$	$m = 2$	$m = 3$	$m = 4$
$n = 3$	3	-7	33	-127
$n = 4$	-9	51	-249	1251
$n = 5$	61	-359	2161	-12959
$n = 6$	-419	2941	-20579	144061

$g = 3$	$m = 1$	$m = 2$	$m = 3$	$m = 4$
$n = 4$	1	1	1	1
$n = 5$	1	1	1	1
$n = 6$	1	1	1	1
$n = 7$	1	1	1	1

# Euler characteristics computation

For a heavy/light weight vector  $w = (1^{(n)}, \varepsilon^{(m)})$  where

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# Euler characteristics computation

For a heavy/light weight vector  $w = (1^{(n)}, \varepsilon^{(m)})$  where

- $m > 0$ ,
- $0 < \varepsilon < 1/m$ ;
- $n \geq g + 1$ ,

we have the following computation results for  $\chi(\Delta_{g,w})$  for some  $(n, m)$ .

$g = 0$	$m = 1$	$m = 2$	$m = 3$	$m = 4$
$n = 2$	-	2	0	2
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# Euler characteristics computation

A partition  $P_1 \sqcup \cdots \sqcup P_r$  of  $[n]$  is **w-admissible** if for all  $1 \leq j \leq r$ ,

$$\sum_{i \in P_j} w_i \leq 1.$$

Let  $N_{r,w}$  denote the number of  $w$ -admissible  $[n]$ -partitions with  $r$  parts.

## Example

For  $w = (1, 1, \frac{3}{4}, \frac{1}{2})$ , the partition  $\{1, 2\} \cup \{3, 4\}$  is not  $w$ -admissible but  $\{1\} \cup \{2\} \cup \{3\} \cup \{4\}$  is.

In particular,  $N_{r,w} = 0$  for all  $r \neq 4$  and  $N_{4,w} = 1$ .

Let  $B_g$  be the  $g$ -th Bernoulli numbers.

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Let  $B_g$  be the  $g$ -th Bernoulli numbers.

## Example

$$B_1 = \pm \frac{1}{2}, B_2 = \frac{1}{6}, B_3 = 0, B_4 = -\frac{1}{30} \dots$$

# A formula for Euler characteristics of $\Delta_{g,w}$

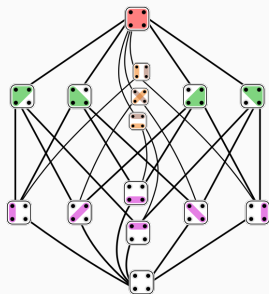
**Theorem (Kannan-L.-Serpente-Yun)**

$$\chi(\Delta_{g,w}) = 1 + \sum_{r=1}^n N_{r,w} (-1)^r \frac{(g+r-2)!}{g!} B_g.$$

## A corollary for heavy/light Hassett spaces

Let  $S(m, r)$  denote the number of  $r$ -partitions of  $[m]$  for  $m \geq 1$  and  $r \geq 0$ ; these are called **the Stirling numbers of the second kind**.

**Example** ( $m = 4$ )



**Figure 9:** The lattice showing  $r$  partitions of  $[4]$  for  $1 \leq r \leq 4$ . Source: wikipedia. In particular,  $S(4, 1) = 1$ ,  $S(4, 2) = 7$ ,  $S(4, 3) = 5$ ,  $S(4, 4) = 1$ .



### Corollary

Given a heavy/light weight vector  $w = (1^{(n)}, \varepsilon^{(m)})$  where  $n \geq g + 1$ ,  $m > 0$ , and  $0 < \varepsilon < 1/m$ ,

$$\chi(\Delta_{g,w}) = 1 + \sum_{r=1}^m \sum_{\ell=0}^g (-1)^{n+r+\ell} \frac{(g+n+r-2)!\ell!}{g!(\ell+1)} S(m, r) S(g, \ell).$$

# How to study Euler characteristics of $\Delta_{g,w}$

- Analyze the stratification of the coarse moduli scheme  $M_{g,w}$  of  $\mathcal{M}_{g,w}$ .

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# How to study Euler characteristics of $\Delta_{g,w}$

- Analyze the stratification of the coarse moduli scheme  $M_{g,w}$  of  $\mathcal{M}_{g,w}$ .
- Write  $[M_{g,w}]$  in the Grothedieck group of varieties as a decomposition into  $[M_{g,r}]$ .
- Then use results on top weight Euler characteristics of  $M_{g,r}$  by Chan-Faber-Galatius-Payne in [CFGP20].

## Future directions

1. Use Harvey's curve complexes or Hatcher's sphere systems to identify  $\Delta_{g,w}$  as a quotient complex by some group action (on-going with the same co-authors).

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2. What is the geometric significance of the simple connectivity of  $\Delta_{g,w}$  (as a dual complex of boundary divisor of  $\mathcal{M}_{g,w}$ )?
3. Study homotopy types of  $\Delta_{g,w}$  for higher genus and general  $w$ .

# Thank you!

Ask me questions.



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Karen Vogtmann.  
**Local structure of some  $\text{Out}(F_n)$ -complexes.**

# Decomposition of $\Sigma_{g,w}$



**Figure 10:** Underlying graphs in the interior of  $\Sigma_{g,w}$  (right) and in the boundary of  $\Sigma_{g,w}$  (left) and for some  $S \in K(w) \setminus K(\bar{w})$ .