

# heap sort

算法 *Heapsort* ( $X, n$ )

输入:  $X$  (下标从 1 至  $n$  的数组)

输出:  $X$  (排序后的数组)

**begin**

*Build\_Heap* ( $X$ ); {见下文}

**for**  $i := n$  **downto** 2 **do**

$\text{swap}(A[1], A[i]);$

*Rearrange\_Heap* ( $i - 1$ )

}  $O(n \lg n)$

{基本等同于图 4.7 中的程序 *Remove\_Max\_from\_Heap*}

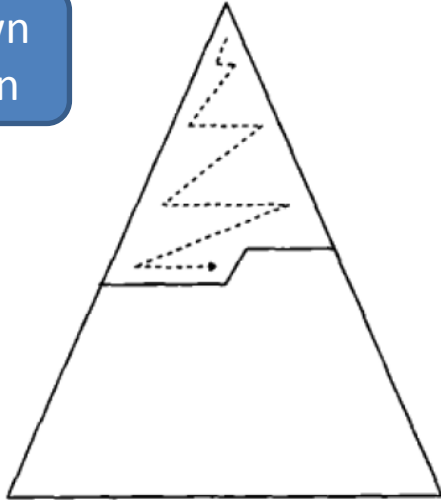
**end**

图 6.13 算法 *Heapsort*

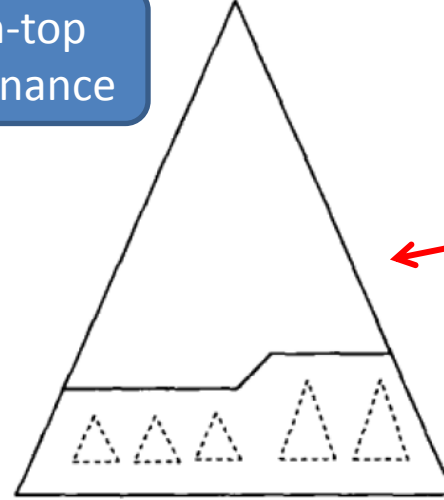
# Two ways to build heap

## substantial different performance !

Top-down  
insertion

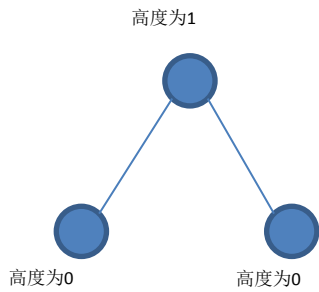


Down-top  
maintenance



Better  
performance!

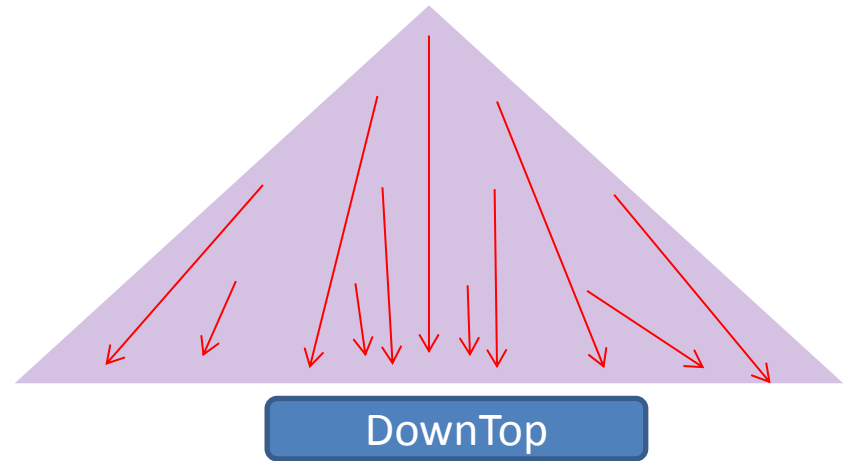
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
6	2	8	5	10	9	12	1	15	7	3	13	4	11	16	14
2	6	8	5	10	9	12	(14)	15	7	3	13	4	11	16	(1)
2	6	8	5	10	9	(16)	14	15	7	3	13	4	11	(12)	1
2	6	8	5	10	(13)	16	14	15	7	3	(9)	4	11	12	1
2	6	8	5	10	13	16	14	15	7	3	9	4	11	12	1
2	6	8	(15)	10	13	16	14	(5)	7	3	9	4	11	12	1
2	6	(16)	15	10	13	(12)	14	5	7	3	9	4	11	(8)	1
2	(15)	16	(14)	10	13	12	(6)	5	7	3	9	4	11	8	1
(16)	15	(13)	14	10	(9)	12	6	5	7	3	(2)	4	11	8	1



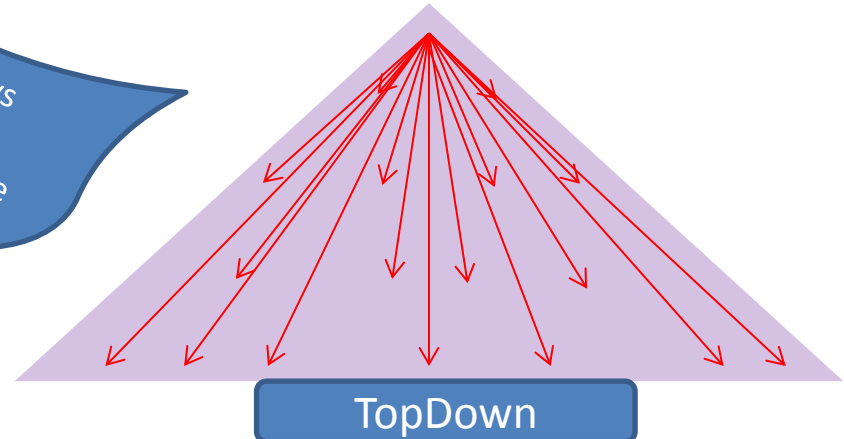
# What if Top-down

Swap次数超过自底而上法!  
Performance speed up ~ approx 50%.

- 6
- 6 2
- 8 2 6
- 8 5 6 2
- 10 8 6 2 5
- ○ ○ ○ ○

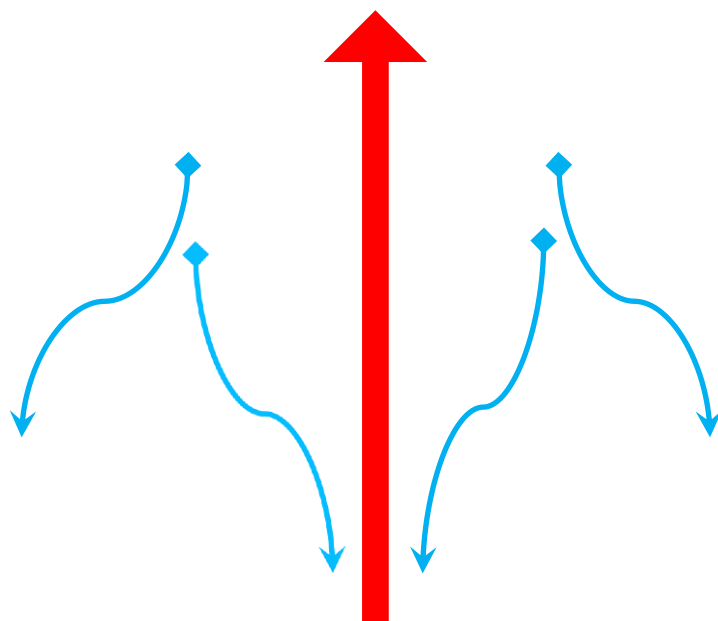


#arrows  
indicate  
#node to be  
adjusted



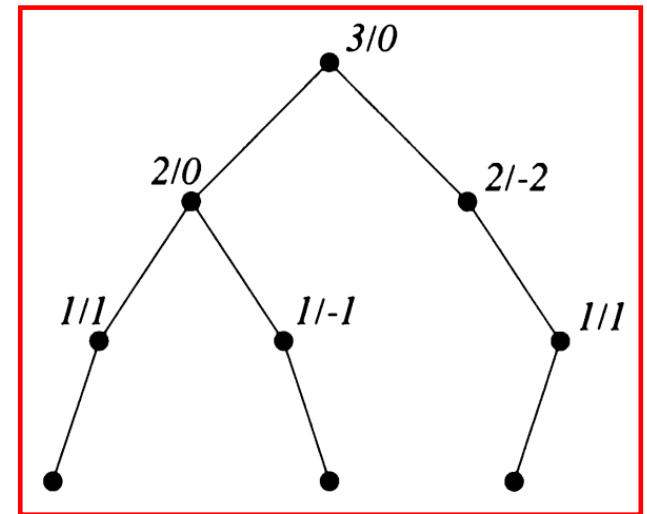
# Down-top maintenance

- 隐式建立完全二叉树, 将每个节点看做一个子堆的堆头.
- **倒序**逐个处理元素, 对其代表的子堆, 做**自上而下的维护**.



# Some Properties of Trees

- **Level** – The level of a node is defined by  $1 +$  the number of connections between the node and the root.
- **Height of tree** – The height of a tree is the **number of edges** on the longest downward path between the root and a leaf.
- **Height of node** – The height of a node is the **number of edges** on the longest downward path between that node and a leaf.
- **Depth** – The depth of a node is the **number of edges** from the node to the tree's root node.



本textbook可以此图为例！

# GPU parallel sorting

- [http://http.developer.nvidia.com/GPUGems3/gpugems3\\_ch39.html](http://http.developer.nvidia.com/GPUGems3/gpugems3_ch39.html)
- <https://research.nvidia.com/sites/default/files/publications/nvr-2008-003.pdf>
- [http://en.wikipedia.org/wiki/NVIDIA\\_GPU](http://en.wikipedia.org/wiki/NVIDIA_GPU)

# Max & Min

- Non-divide-and-conquer method is worse.
- Best complexity :  $3n/2$  . (step=3:  $5n/3$ , step=4:  $6n/4$ )

[So each time taking a pair out is the best.]

# K-th Min

问题 已知序列  $S = x_1, x_2, \dots, x_n$  以及整数  $k$ ,  $1 \leq k \leq n$ , 试查找  $S$  中第  $k$  小的数。

算法 *Selection* ( $X, n, k$ )

输入:  $X$  (下标从 1 至  $n$  的数组) 和  $k$  (某个整数)

输出:  $S$  (第  $k$  小的数; 数组  $X$  也做过变动)

*begin*

if ( $k < 1$ ) or ( $k > n$ ) then print "error"

else

$S := \text{Select}(1, n, k)$

*end*

*procedure Select* ( $\text{Left}, \text{Right}, k$ );

*begin*

if  $\text{Left} = \text{Right}$  then

$\text{Select} := \text{Left}$

else

$\text{Partition}(X, \text{Left}, \text{Right})$ ; {见图 6.9}

Let  $\text{Middle}$  be the output of  $\text{Partition}$ ;

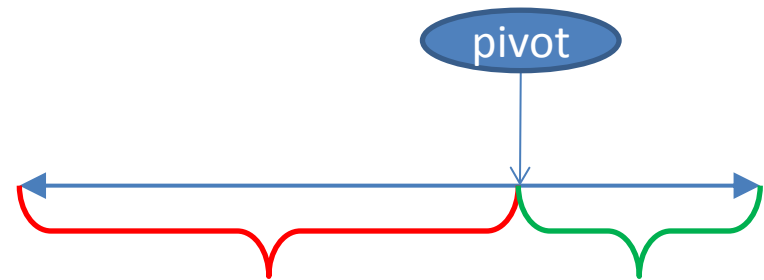
if  $\text{Middle} - \text{Left} + 1 \geq k$  then

$\text{Select}(\text{Left}, \text{Middle}, k)$

else

$\text{Select}(\text{Middle} + 1, \text{Right}, k - (\text{Middle} - \text{Left} + 1))$

*end*



错误!  $\text{Select} = X[\text{Left}]$

Selection  
常用于查找中数,  
why? Pivot is  
approaching the middle

图 6.16 算法 *Selection*



# Majority

令  $E$  是整数序列  $x_1, x_2, \dots, x_n$ 。  $E$  中  $x$  的重数 (multiplicity) 是  $x$  在  $E$  中出现的次数。如果某个数  $z$  的重数大于  $n/2$ , 则它就是  $E$  中的众数 (majority)。

- 众数必是中数; 若不是, 则众数不存在.
- 如果 `Selection(n/2)` 返回的中数不是众数, 则众数不存在!

中数法:

```
Majority(X,n)
```

```
{
```

```
    int m = Selection(X, n, n/2);
```

```
    return CheckMajority(m);
```

```
}
```

## [观察]众数保留:

若  $x_i \neq x_j$ , 且两个数都被删除, 那么原来集合中的众数现在仍为新集合中的众数。

- voting:

算法 *Majority* ( $X, n$ )

输入:  $X$  (有  $n$  个正数的数组)

输出: *Majority* ( $X$  中的众数; 若不存在, 则输出-1)

**begin**

$C := X[1];$

$M := 1;$

{首次扫描: 删去除  $C$  以外的所有选票}

**for**  $i := 2$  **to**  $n$  **do**

**if**  $M = 0$  **then**

$C := X[i];$

$M := 1$

**else**

**if**  $C = X[i]$  **then**  $M := M + 1$

**else**  $M := M - 1;$

{第二次扫描: 检验  $C$  是否为众数}

**if**  $M = 0$  **then** *Majority*  $:= -1$

**else**

$Count := 0;$

**for**  $i := 1$  **to**  $n$  **do**

**if**  $X[i] = C$  **then**  $Count := Count + 1;$

**if**  $Count > n/2$  **then** *Majority*  $:= C$

**else** *Majority*  $:= -1$

**end**

Works for dominant voting  
(e.g. win over 60% votes)

# Compression!

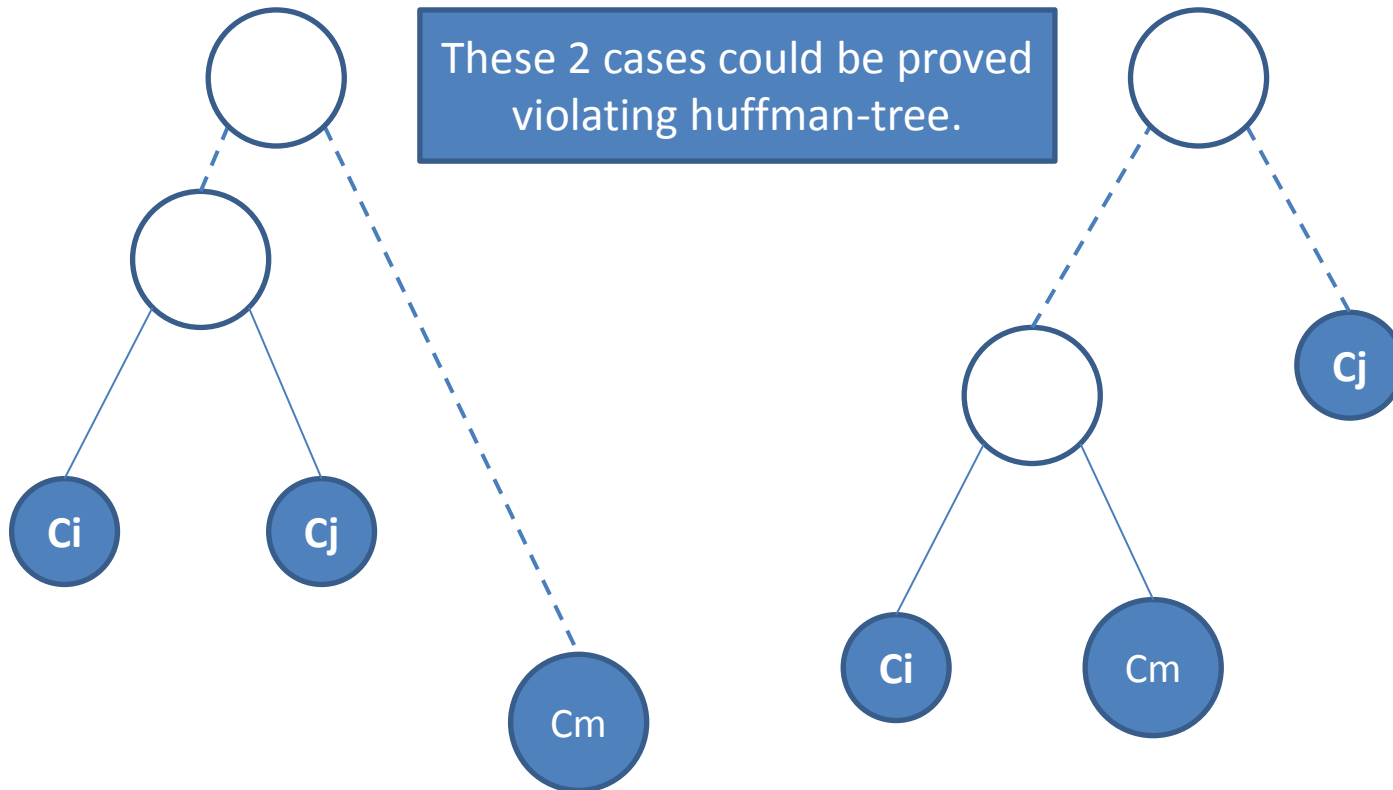
- Synonyms : source coding, bit-rate reducing
- Huffman-encoding for text bit-streaming
  - lossless data compression
  - variable length encoding.

# Huffman Tree(optimal B-tree)

- Degree( $v_i$ ) = 2 or 0 ;
- With lowest sum-weighted-path-length.

property:

If  $c_i, c_j$  has the lowest weights, they are at **the lowest level, sharing a parent node.**



# Huffman-encoding

算法 *Huffman\_Encoding* ( $S, f$ )

输入:  $S$  (字符串) 和  $f$  (字频数组)

输出:  $T$  ( $S$  的霍夫曼树)

**begin**

*insert all characters into a heap  $H$  according to their frequencies ;*

*while  $H$  is not empty do*

*if  $H$  contains only one character  $X$  then*

*make  $X$  the root of  $T$*

*else*

*pick two characters  $X$  and  $Y$  with lowest frequencies  
and delete them from  $H$  ;*

*replace  $X$  and  $Y$  with a new character  $Z$  whose frequency is  
the sum of the frequencies of  $X$  and  $Y$  ;*

*insert  $Z$  to  $H$  ;*

*make  $X$  and  $Y$  children of  $Z$  in  $T$  { $Z$  仍没有父节点}*

**end**

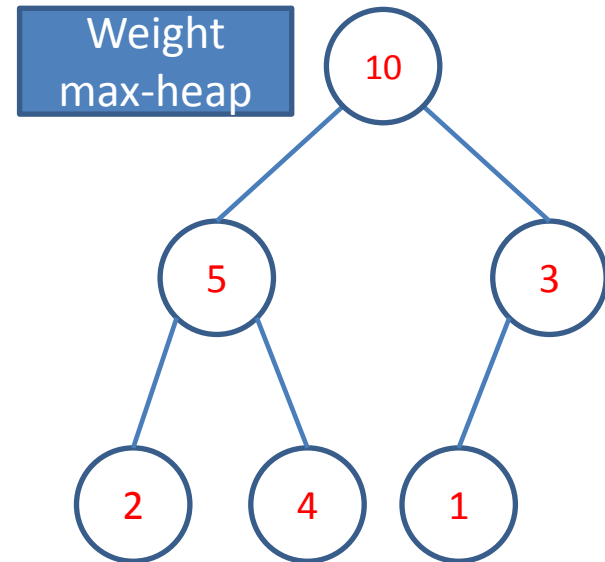


图 6.18 算法 *Huffman\_Encoding*

以正常方式minHeap建立编码树的流程图在书上106页红色图片

下一页是maxHeap的，推出的元素顺序没有错，但是这maxHeap压根没帮上忙！

*Weight  
max-heap*

char:	A	B	C	D	E	F
freq:	5	2	3	4	10	1

