

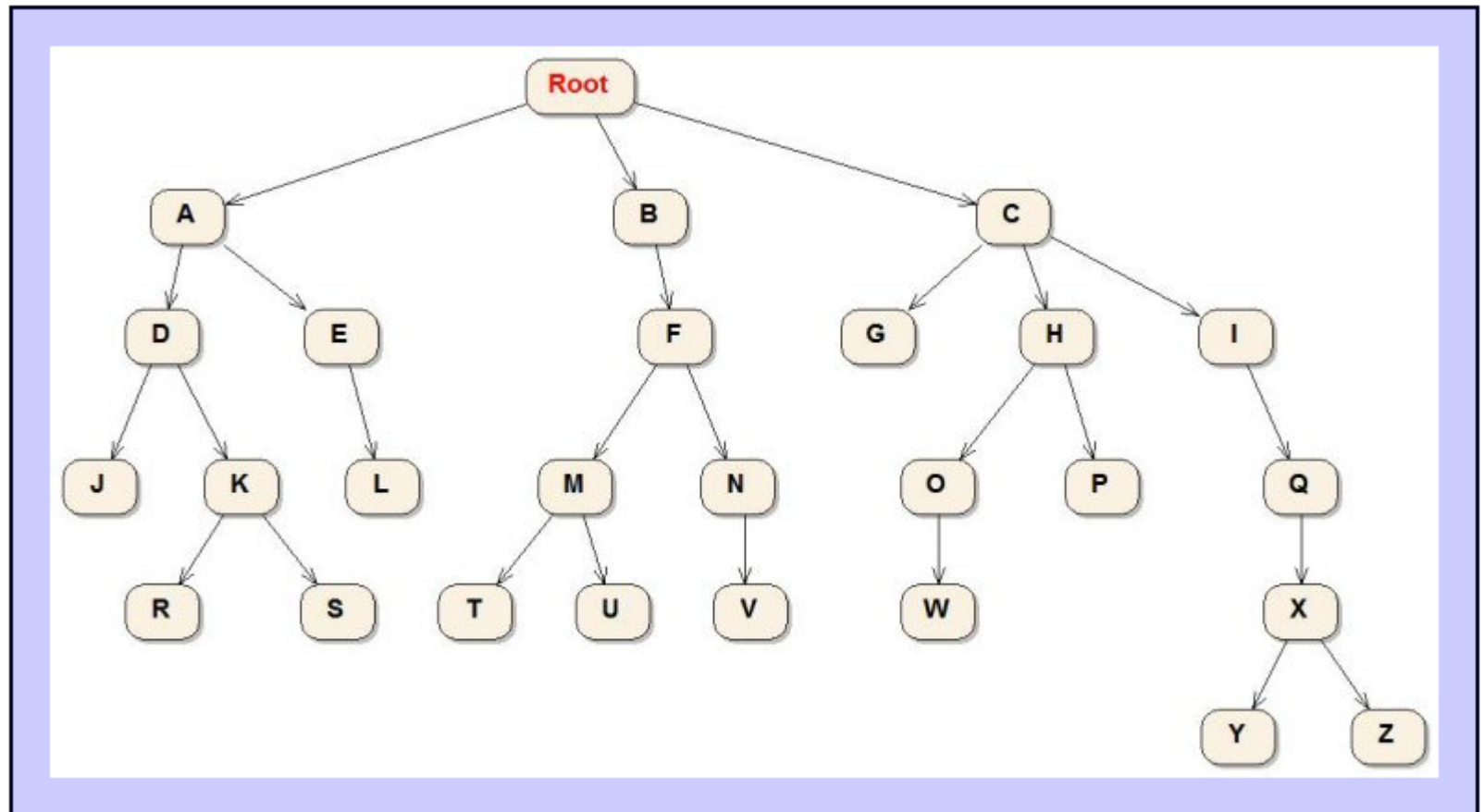
# Keynotes on Data Structure

Instructor: Shizhe Zhou

Course Code:00125401

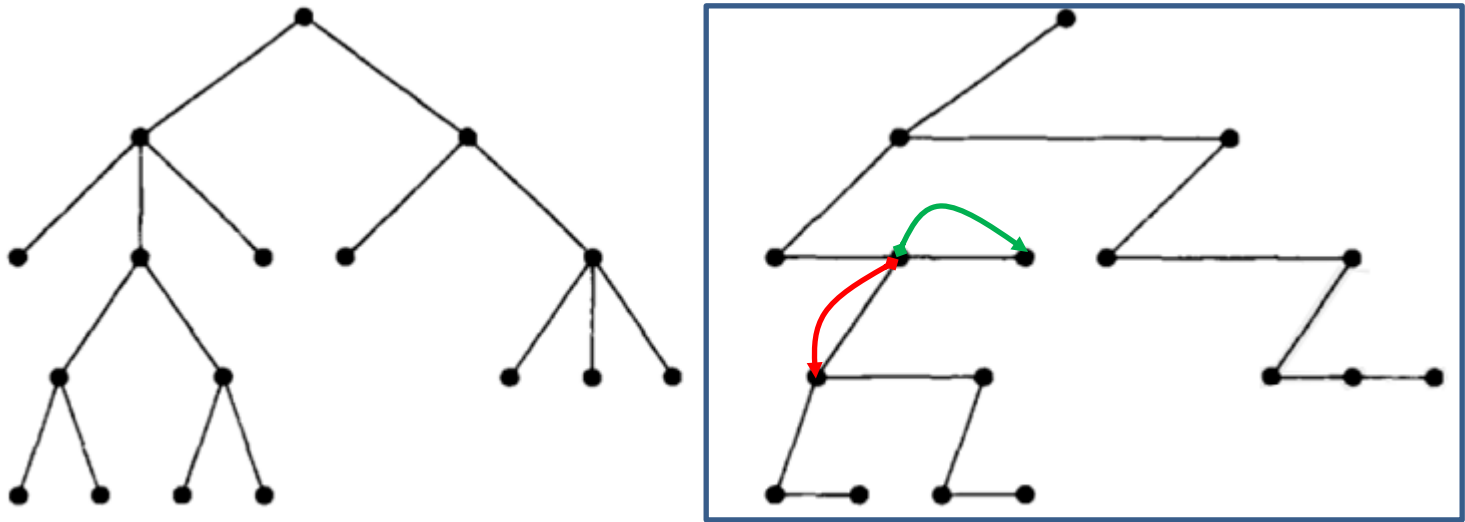
# tree

- Populate Alphabet



# Binary representation

NEED  
POINTER!

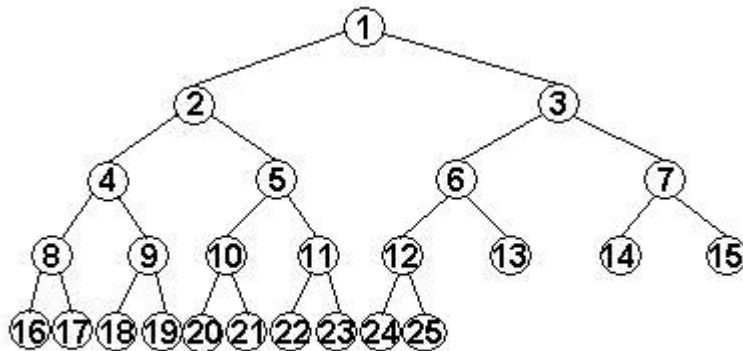


2 pointers for each node:

1<sup>st</sup> points to its first child;

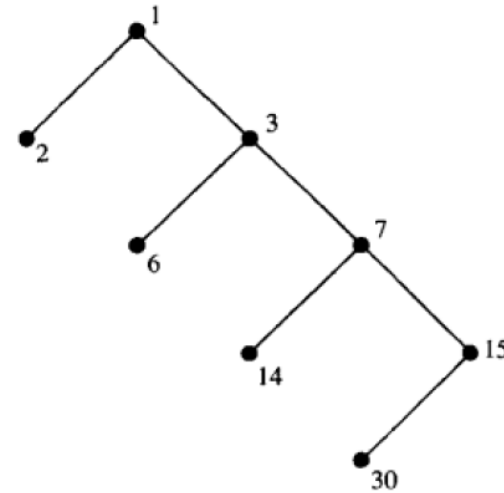
2<sup>nd</sup> points to its sibling(if any).

# Implicit representation

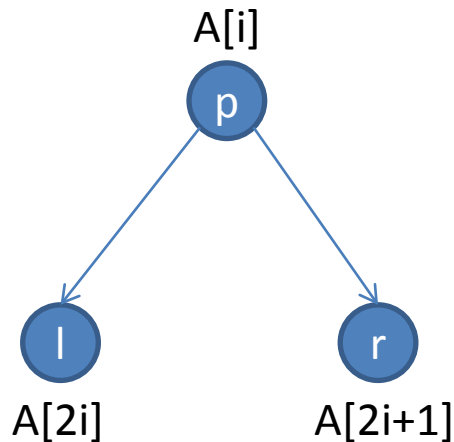


A Complete Binary Tree

12 internal nodes, 13 terminal nodes



NEED  
NO  
POINTER!



Linear storage in an array!



# heap

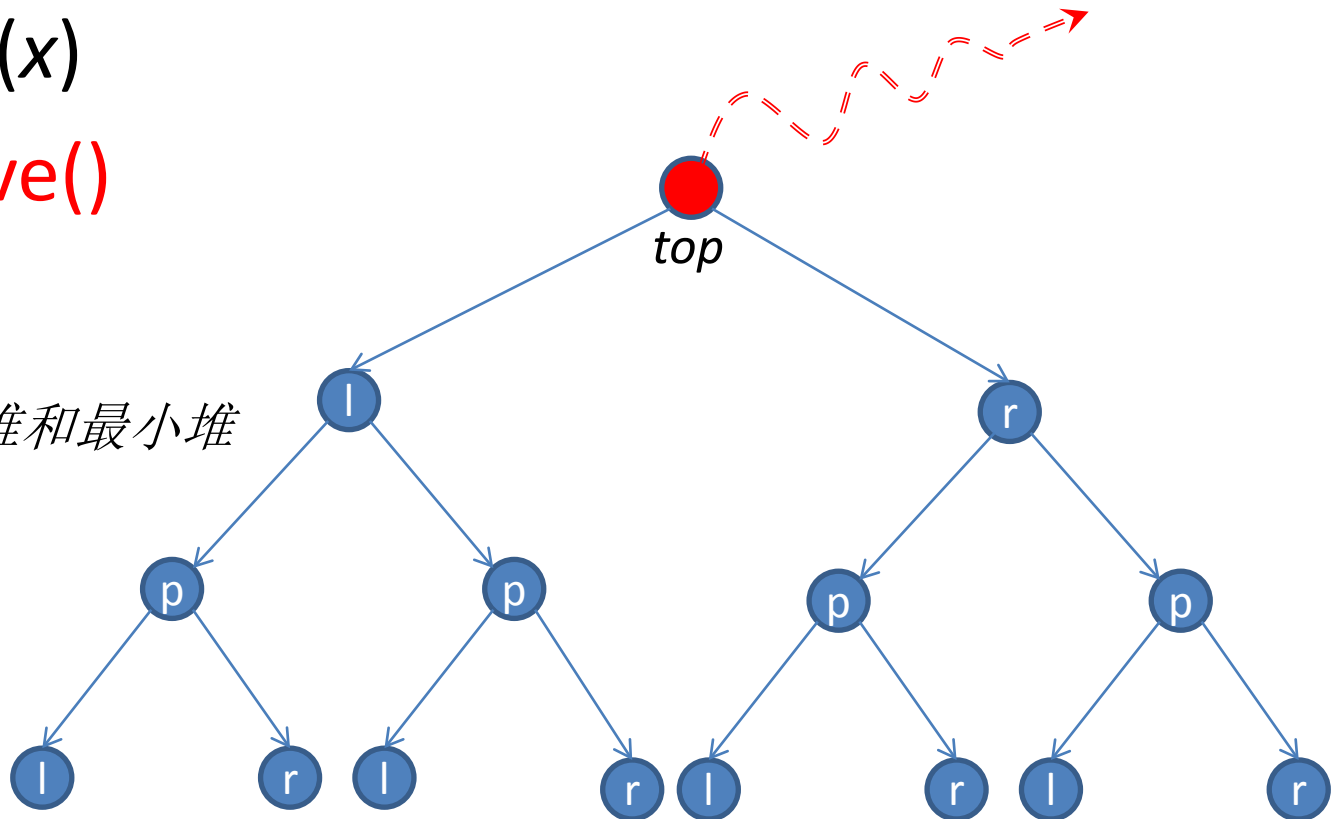
- Priority-queue

- insert(x)

- remove()

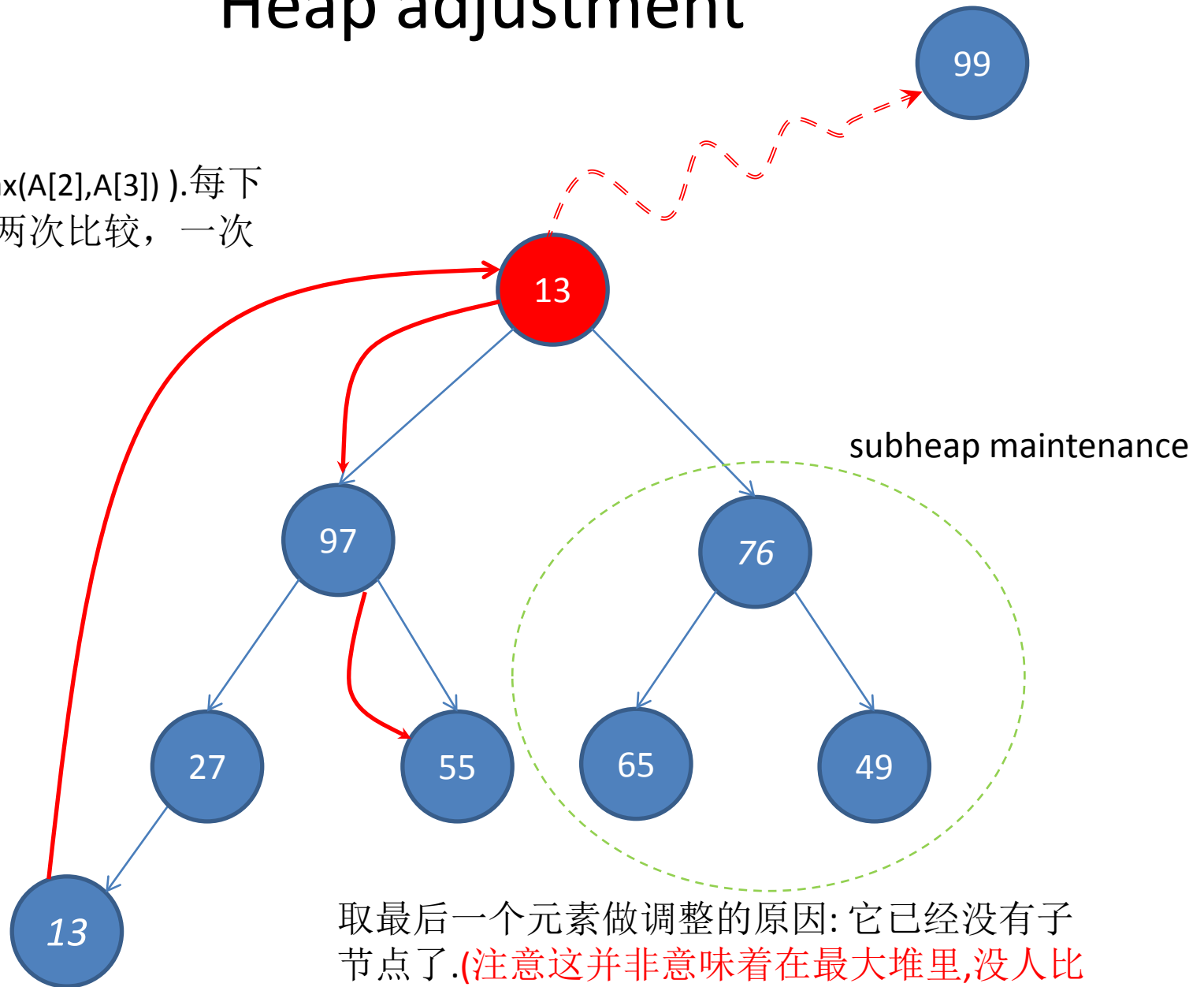
Every subtree of a heap is also a heap.

两种：最大堆和最小堆



# Heap adjustment

$\text{Max}(A[1], \max(A[2], A[3]))$ . 每下降一层，需两次比较，一次交换



取最后一个元素做调整的原因: 它已经没有子节点了.(注意这并非意味着在最大堆里,没人比他小了)

## Heap adjustment for 最大堆

算法 *Remove\_Max\_from\_Heap*( $A, n$ )

输入:  $A$  (用来表示堆的大小为  $n$  的数组)

输出: *Top\_of\_the\_Heap* (堆中最大的元素)、 $A$  (调整后的堆) 和  $n$  (调整后堆的大小; 若  $n = 0$ , 则堆为空)

**begin**

**if**  $n = 0$  **then** print "the heap is empty"

**else**

$Top\_of\_the\_Heap := A[1];$

$A[1] := A[n];$

$n = n - 1;$

$parent := 1;$

$child := 2;$

**while**  $child \leq n - 1$  **do**

**if**  $A[child] < A[child+1]$  **then**

$child := child + 1;$

**if**  $A[child] > A[parent]$  **then**

$swap(A[parent], A[child]);$

$parent := child;$

$child := 2 * child;$

**else**  $child := n$  {终止循环}

**end**

Max(left\_child, right\_child)

比较 $A[n]$ 与Max(left\_child, right\_child)

$2.5 \lfloor \log_2 n \rfloor$

堆排序适合较大的 $n$

图 4.7 算法 *Remove\_Max\_from\_Heap*

# insert(x) for 最大堆

算法 *Insert\_to\_Heap*( $A, n, x$ )

输入:  $A$  (用来表示堆的大小为  $n$  的数组) 以及  $x$  (某个数)

输出:  $A$  (调整后的堆) 以及  $n$  (调整后堆的大小)

**begin**

$n := n + 1$ ; {假设数组不会越界}

$A[n] := x$ ;

$child := n$ ;

$parent := n \div 2$ ;

**while**  $parent \geq 1$  **do**

**if**  $A[parent] < A[child]$  **then**

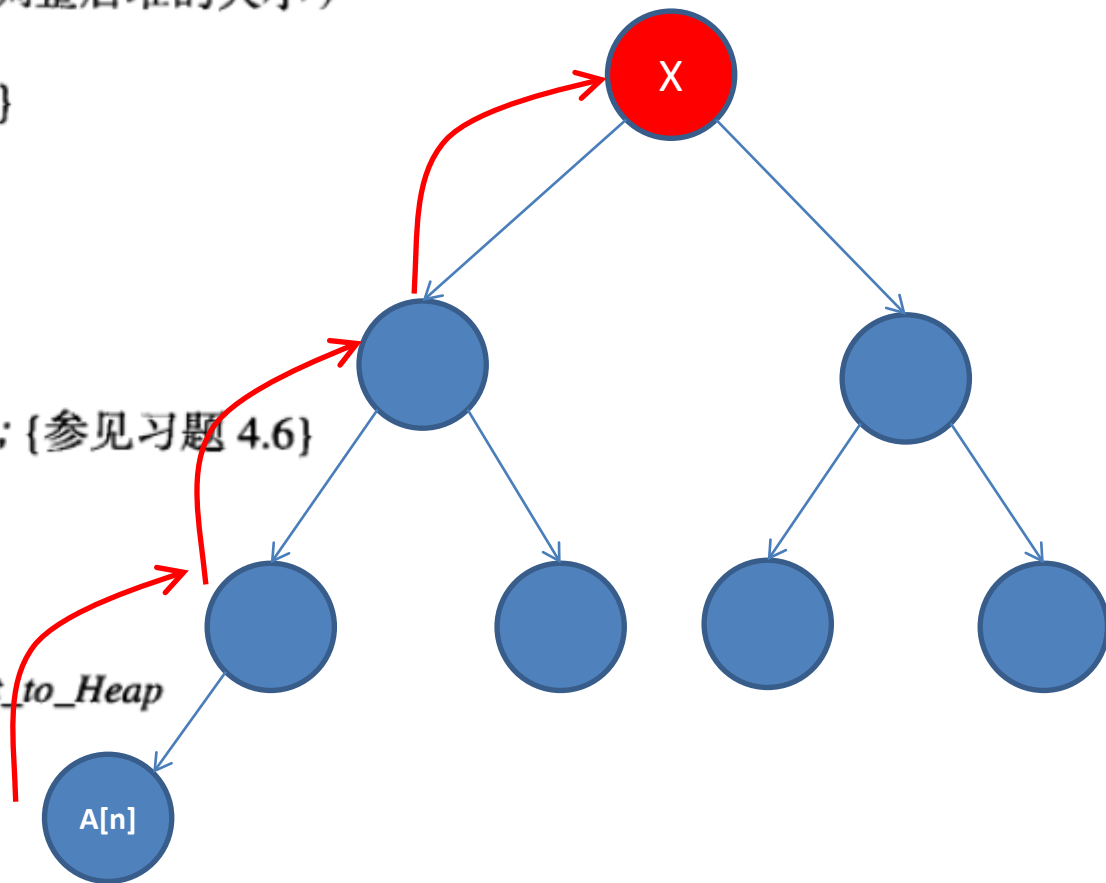
$swap(A[parent], A[child])$ ; {参见习题 4.6}

$child := parent$ ;

$parent := parent \div 2$ ;

**else**  $parent := 0$  {终止循环}

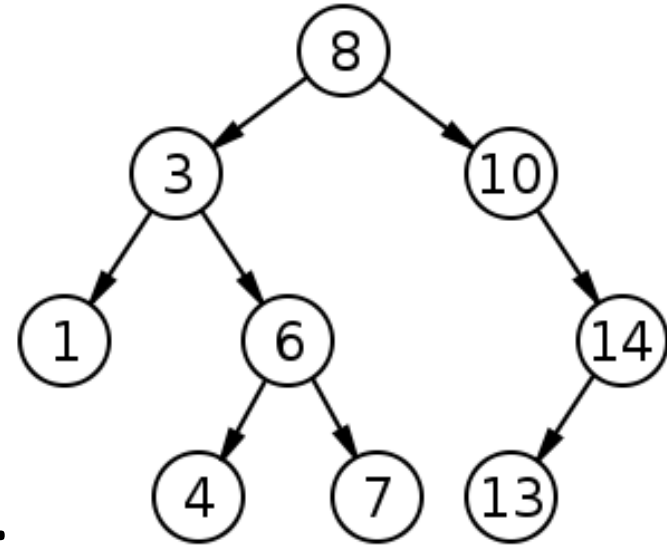
图 4.8 算法 *Insert\_to\_Heap*





# BST: Binary Search Tree

- 左小右大.
- 左右子树都是BST树.
- 无重节点.
- 表示的是record,存储的是key.
- 支持快速Sorting和Searching.
- Base DS for set, multisets, associative arrays



# BST search

- Simple recursive compare

**算法** *BST\_Search* (*root*, *x*)

**输入:** *root* (指向二叉搜索树根节点的指针) 以及 *x* (某个数)

**输出:** *node* (指向含有关键字 *x* 的节点的指针, 如果上述节点不存在, 则指向 *nil*)

**begin**

**if** *root* = *nil* or *root*^.*key* = *x* **then** *node* := *root*

    {*root*^是 *root* 的指针所指向的记录}

**else**

**if** *x* < *root*^.*key* **then** *BST\_Search*(*root*^.*left*, *x*)

**else** *BST\_Search*(*root*^.*right*, *x*)

**end**

图 4.9 算法 *BST\_Search*

# BST insert

- Always inserted on as **leaf** node.

算法 *BST\_Insert* (*root*, *x*)

输入: *root* (指向二叉搜索树根节点的指针) 以及 *x* (某个数)

输出: 通过插入由指针 *child* 指向的、关键字为 *x* 的节点而被改变了的树。

如果已有节点关键字为 *x*, 那么 *child* = *nil*

**begin**

**if** *root* = *nil* **then**

create a new node pointed to by *child*;

*root* := *child* ;

*root*^.key := *x*

**else**

*node* := *root* ;

*child* := *root* ; {初始化 *child* 使其不为 *nil* }

**while** *node* ≠ *nil* and *child* ≠ *nil* **do**

**if** *node*^.key = *x* **then** *child* := *nil*

**else**

*parent* := *node* ;

**if** *x* < *node*^.key **then** *node* := *node*^.left

**else** *node* := *node*^.right ;

**if** *child* ≠ *nil* **then**

create a new node pointed to by *child*;

*child*^.key := *x* ;

*child*^.left := *nil* ; *child*^.right := *nil* ;

**if** *x* < *parent*^.key **then** *parent*^.left := *child*

**else** *parent*^.right := *child*

**end**

Leaf's children == *nil*,  
stops the while

图 4.10 算法 *BST\_Insert*

# Sort

- Insertion, then traverse *in-order*

# BST delete

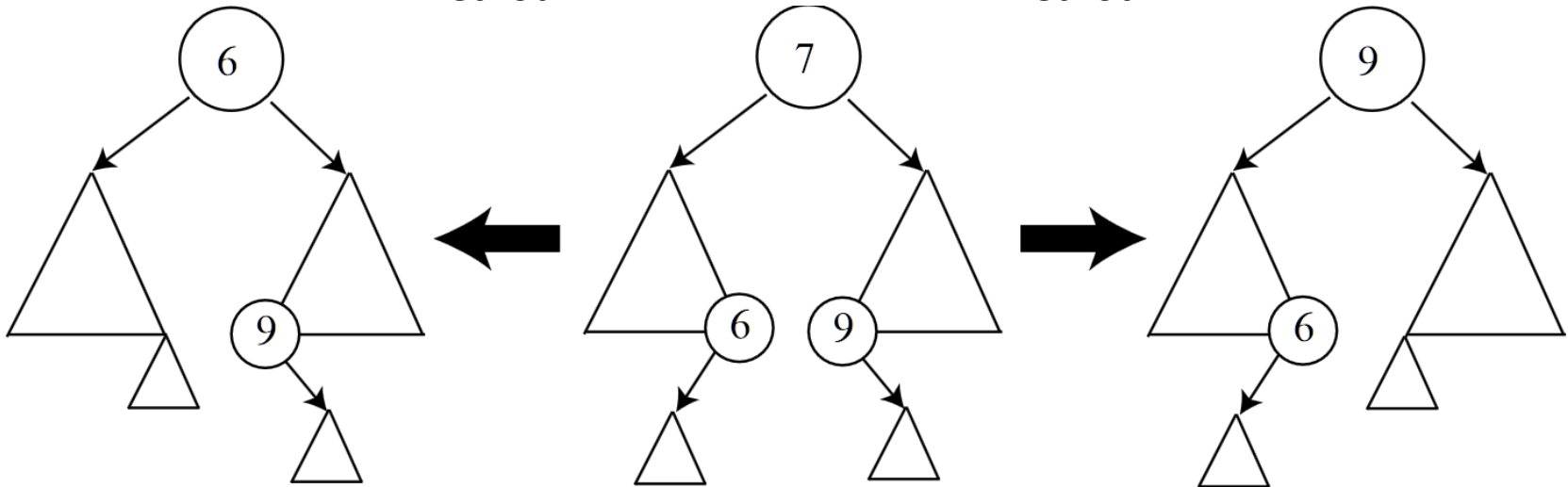
Say delete node \*p

- A. p has no children
  - simple deletion
- B. p has only one children
  - simple deletion and concatenation
- C. p has two children
  - two methods

# C: p has 2 children

Method 1

Method 2



:Arbitrary size sub-tree, whose leftmost(9) and rightmost(6) are shown here.

We need pop a node larger than whole left subtree,  
or  
Pop a node smaller than whole right subtree

算法 *BST\_Delete* (*root*, *x*)

输入: *root* (指向二叉搜索树根节点的指针) 以及 *x* (某个数)

输出: 如果存在关键字为 *x* 的节点, 则将其删除从而改变这棵树

{假设永远不会删除根节点, 且任意两个节点都不相同。}

**begin**

*node* := *root* ;

**while** *node* ≠ nil and *node*^.key ≠ *x* **do**

*parent* := *node* ;

**if** *x* < *node*^.key **then** *node* := *node*^.left

**else** *node* := *node*^.right ;

**if** *node* = nil **then** print("x is not in the tree") ; **halt** ;

**if** *node* ≠ *root* **then**

**if** *node*^.left = nil **then**

**if** *x* ≤ *parent*^.key **then**

*parent*^.left := *node*^.right

**else** *parent*^.right := *node*^.right

**else if** *node*^.right = nil **then**

**if** *x* ≤ *parent*^.key **then**

*parent*^.left := *node*^.left

**else** *parent*^.right := *node*^.left

**else** {两个子节点的情况}

*node1* := *node*^.left ;

*parent1* := *node* ;

**while** *node1*^.right ≠ nil **do**

*parent1* := *node1* ;

*node1* := *node1*^.right ;

        {下面开始做真正的删除}

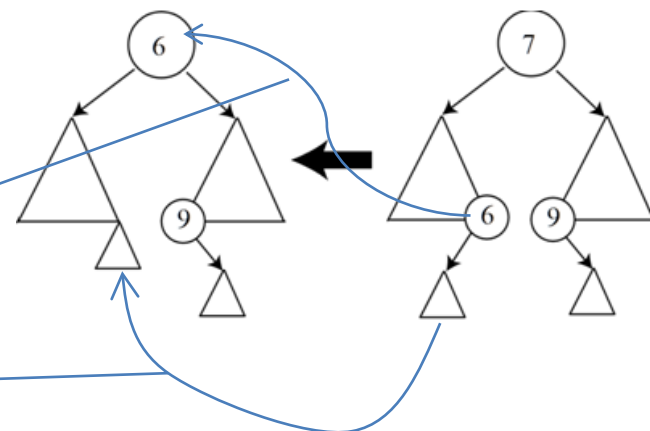
*parent1*^.right := *node1*^.left ;

*node*^.key := *node1*^.key

**end**

Method 1

找left subtree中最右下的节点(key最大者)



# complexity

- Search, insertion and deletion

| Time complexity<br>in big O notation |             |            |
|--------------------------------------|-------------|------------|
|                                      | Average     | Worst case |
| <b>Space</b>                         | $O(n)$      | $O(n)$     |
| <b>Search</b>                        | $O(\log n)$ | $O(n)$     |
| <b>Insert</b>                        | $O(\log n)$ | $O(n)$     |
| <b>Delete</b>                        | $O(\log n)$ | $O(n)$     |

Depth of the tree depends on the balance of the tree.  
Induction to **self-balancing tree**



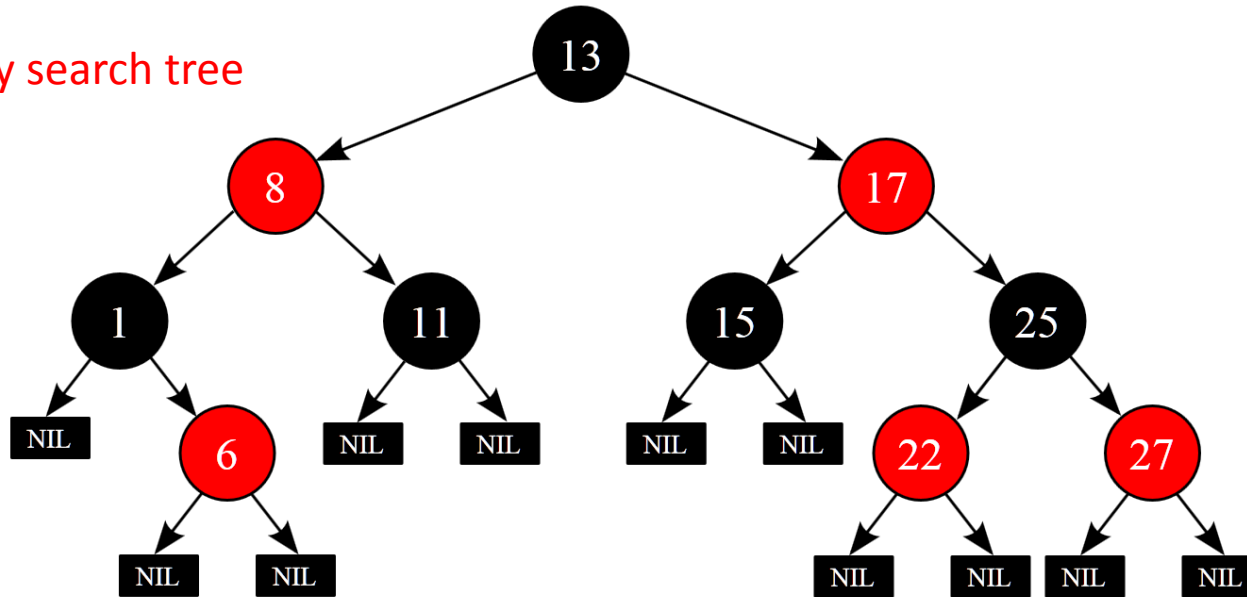
# AVL and Red-Black

- Self-balancing tree

| Time complexity<br>in big O notation |             |             |
|--------------------------------------|-------------|-------------|
|                                      | Average     | Worst case  |
| <b>Space</b>                         | $O(n)$      | $O(n)$      |
| <b>Search</b>                        | $O(\log n)$ | $O(\log n)$ |
| <b>Insert</b>                        | $O(\log n)$ | $O(\log n)$ |
| <b>Delete</b>                        | $O(\log n)$ | $O(\log n)$ |

# Red-Black tree for sorting(红黑树)

a type of **binary search tree**



Self-balancing tree algorithm: AVL and Red-Black tree; The key operation: rotating the node.