

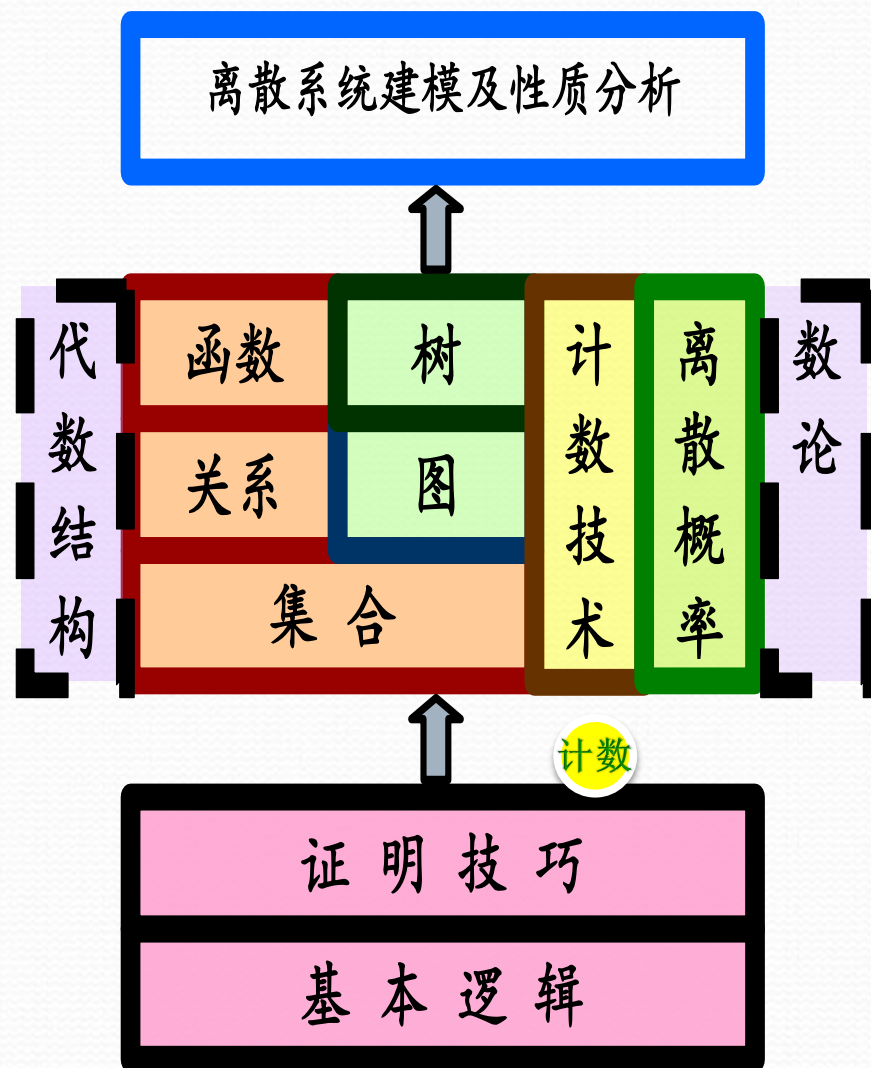
# 组合数学

Discrete Mathematics

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# 离散数学的知识图谱



应用

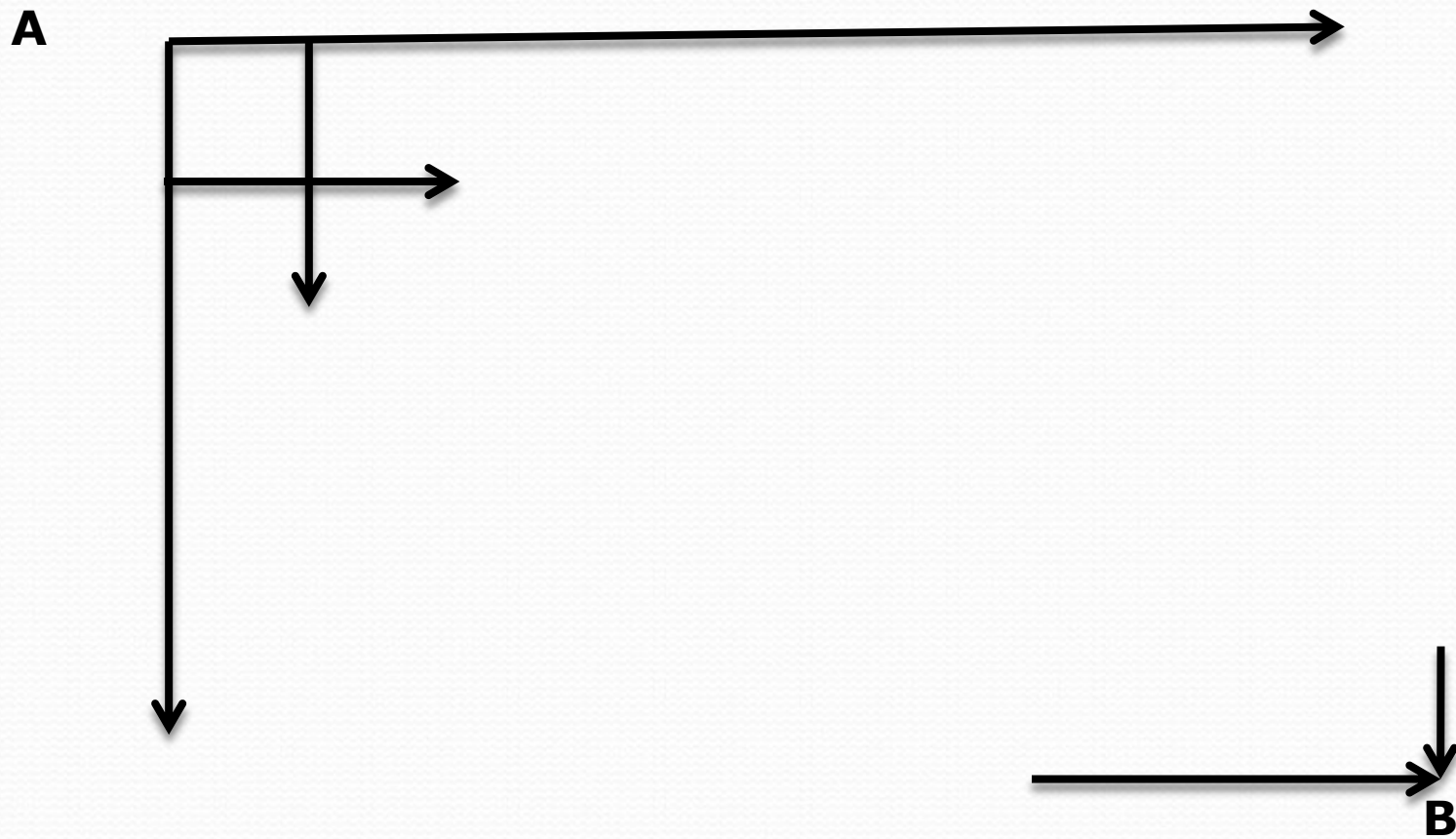
概念

工具

方法

基础

# 计数问题?





# 计数问题？

十进制数串中有偶数个**0**的数串个数。

。 。 。

# 关于计数问题，你能想到的什么？

排列、组合

容斥原理，鸽巢原理

递推关系，生成函数

Ramsey数(拉姆齐数)，Stirling数(斯特林数)

组合设计，组合优化，组合矩阵等

组合计数



# Chapter 13

## Counting 计数

2020/5/27

# § 13.1 The basics of counting <sup>(1)</sup>

## 13.1.1 Basic counting principles

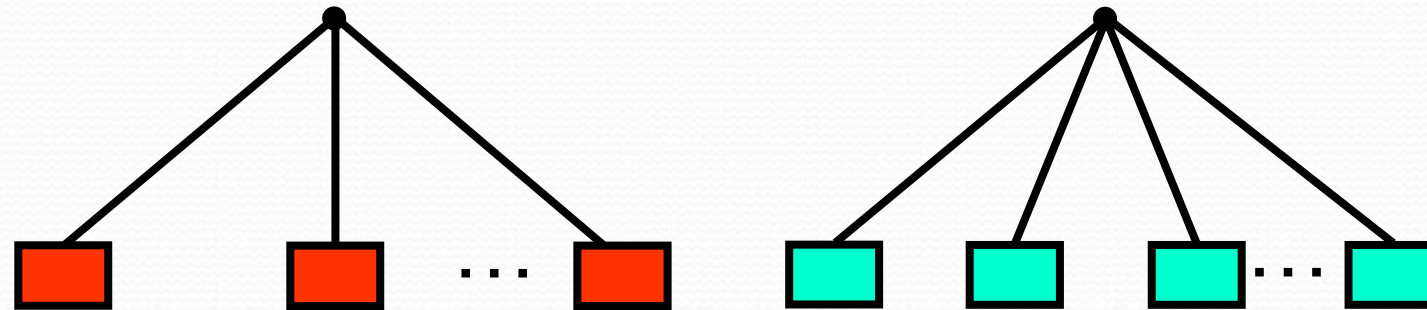
### (1) The product rule 乘法规则

#### **Definition:**

Suppose that a procedure can be broken down into a sequence of two tasks. If there are  $n_1$  ways to do the first task and  $n_2$  ways to do the second task after the first task has been done, then there are  $n_1 \times n_2$  ways to do the procedure.

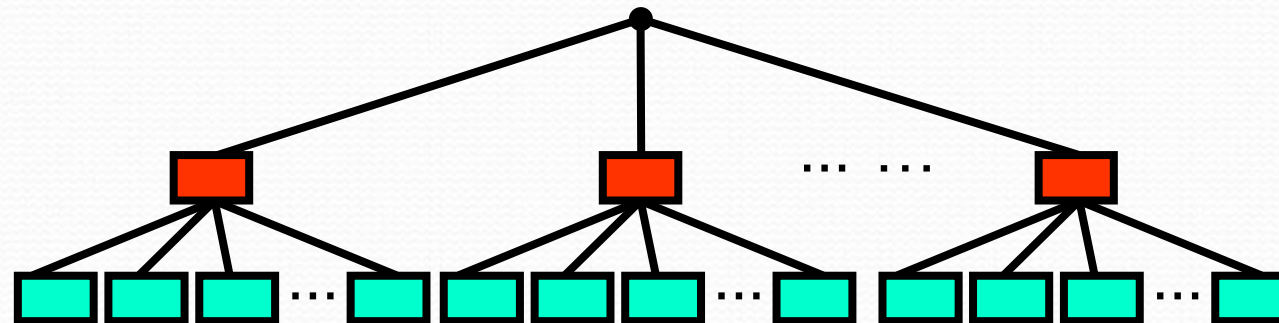


# § 13.1 The basics of counting <sup>(2)</sup>



$n_1$ : ways to do  $T_1$

$n_2$ : ways to do  $T_2$



$n_1 * n_2$ : ways to do the procedure



# Basic Counting Principles: The Product Rule

**Example:** How many bit strings of length seven are there? 7位二进制有多少不同的数?

**Solution:** Since each of the seven bits is either a 0 or a 1, the answer is  $2^7 = 128$ .

## § 13.1 The basics of counting

### 13.1.1 Basic counting principles

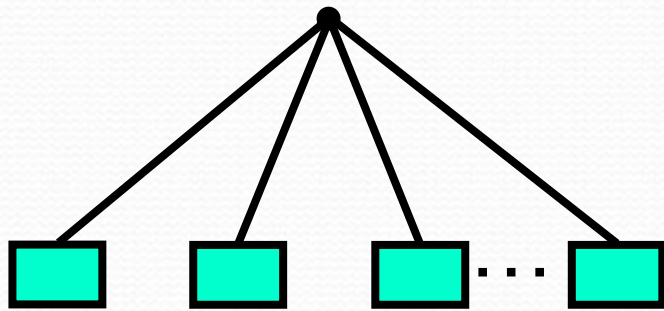
#### (2) The sum rule 加法规则

##### **Definition:**

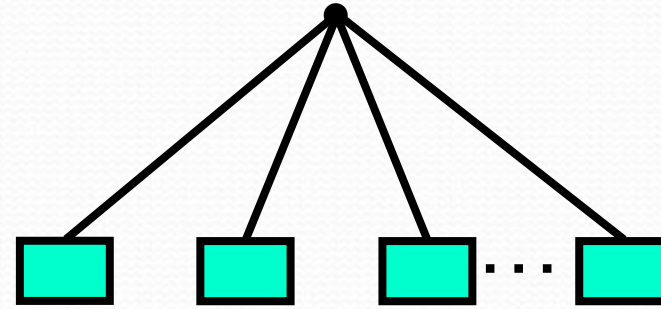
If a first task can be done in  $n_1$  ways and a second task in  $n_2$  ways, and if these tasks cannot be done at the same time, then there are  $n_1 + n_2$  ways to do one of these tasks.



# § 13.1 The basics of counting



$n_1$ : ways to do T1



$n_2$ : ways to do T2



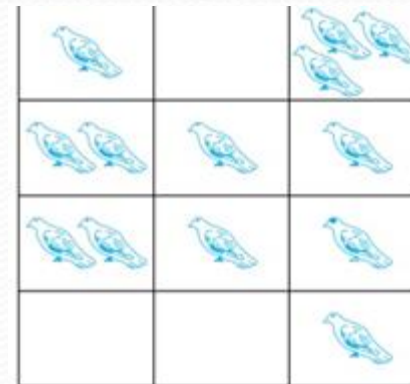
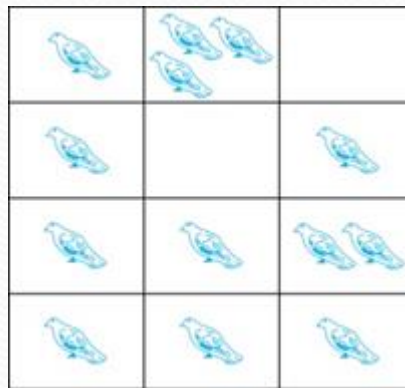
$n_1 + n_2$ : ways to do these tasks

# § 13.2 The Pigeonhole principle

## ( 鸽巢原理 )

### 13.2.1 Introduction

- If a flock of 13 pigeons roosts in a set of 12 pigeonholes, one of the pigeonholes must have more than 1 pigeon.
- 13只鸽子要入住12个鸽巢，每个鸽子要有一个鸽巢。





# The Pigeonhole Principle

**Pigeonhole Principle**（鸽巢原理）: If  $k$  is a positive integer and  $k + 1$  objects are placed into  $k$  boxes, then at least one box contains two or more objects.

把 $K+1$ 个物体放入 $K$ 个箱子中，至少有一个箱子中至少有两个物体.

# Pigeonhole Principle

**Example:** Among any group of 367 people, there must be at least two with the same birthday, because there are only 366 possible birthdays.



## § 13.2 The Pigeonhole principle

例:假如6人中任意2人都认识,或任意2人都不认识.  
证明在这6人组中或者3人彼此认识,或者3人互不认识.

解:设A是6人中的其中一人. 则其他5人与A认识的组成一组, 与A不认识的组成一组

根据鸽巢原理其中一组中至少有3人

假如该3人组是与A认识的, 如3人中有2人认识

则该2人与A组成3人认识组;如3人中没有2人认识, 即3人彼此互不认识.

## § 13.2 The Pigeonhole principle

假如该3人组是与A不认识的,如3人中有2人不认识,则该2人与A组成3人不认识组;如3人中没有2人不认识,即3人彼此互相认识.



# § 13.2 The Pigeonhole principle

## 13.2.2 The generalized Pigeonhole principle

定义：假设 $p, q$ 为正整数， $p, q \geq 2$ ，则存在最小正整数 $R(p, q)$ ，使得 $n \geq R(p, q)$ 时，或者有 $p$ 人是彼此相识，或者有 $q$ 人彼此不相识，称 $R(p, q)$ 为Ramsey数(拉姆齐数)。

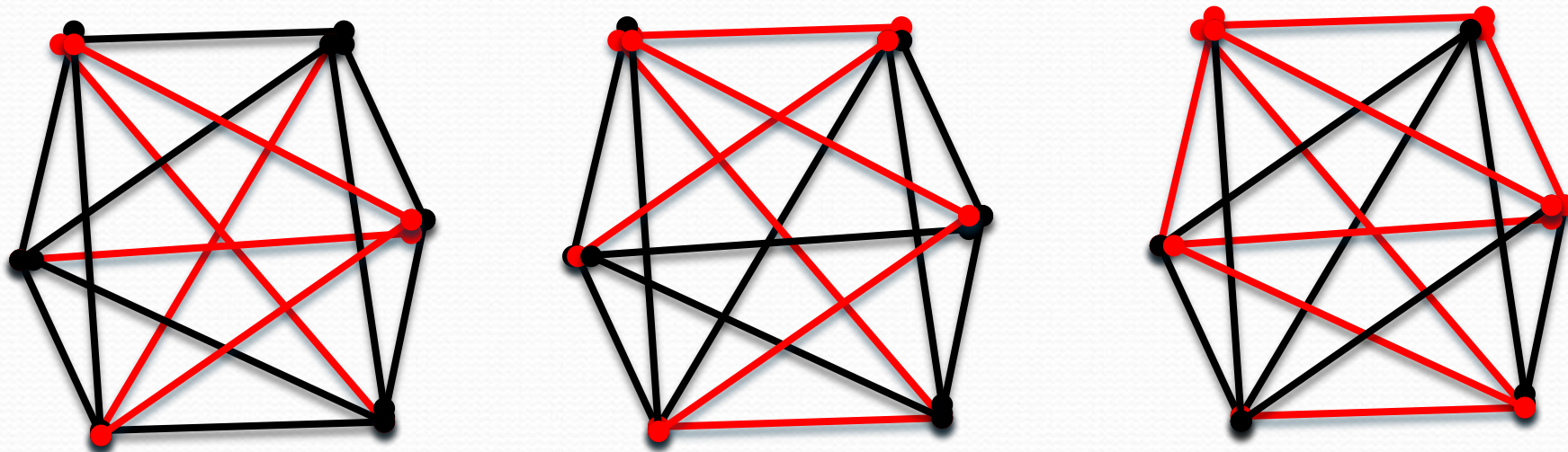
# Ramsey Numbers 拉姆齐数

$\begin{smallmatrix} q \\ p \end{smallmatrix}$	3	4	5	6	7	8	9	10	11	12	13	14	15
3	6	9	14	18	23	28	36	40 43	46 51	52 59	59 69	66 78	73 88
4		18	25 41	35 41	49 61	56 84	69 115	80 149	96 191	128 238	133 291	141 349	153 417
5			43 49	58 87	80 143	95 216	121 316	141 442	153	181	193	221	242
6				102 165	111 298	127 495	153 780	177 1171	253	262	278	292	374
7					205 540	216 1031	7 1713	7 2826	322	416	511		
8						282 1870	8 3583	316 6090			635		703
9							565 6588	580 12677					
10								798 23556					

$$R(p, q) = R(q, p)$$



## 拉姆齐数的应用图中边着色



$K_6$ 完全图,对他的边用红,黑两种颜色任意涂色,则必存在同色边的三角形。

## § 13.3 Permutations and Combinations (1)

### 13.13.1 Permutations 排列

#### (1) r-permutation

An ordered arrangement of  $r$  elements of a set is called an  $r$ -permutation. The number of  $r$ -permutations of a set with  $n$  elements is denoted by  $P(n, r)$ .

$$P(n, r) = \begin{cases} 1 & n \geq r = 0 \\ 0 & n < r \end{cases}$$



## § 13.3 Permutations and Combinations (2)

### 13.13.1 Permutations

#### (1) r-permutation

##### **Theorem:**

The number of r-permutations of a set with  $n$  distinct elements is

$$P(n,r) = n(n-1)(n-2)\dots(n-r+1).$$

**Proof:** Use the product rule. The first element can be chosen in  $n$  ways. The second in  $n - 1$  ways, and so on until there are  $(n - (r - 1))$  ways to choose the last element.

- Note that  $P(n,0) = 1$ , since there is only one way to order zero elements.

## § 13.3 Permutations and Combinations (2)

### 13.13.1 Permutations

#### (1) *r*-permutation

$$P(n, r) = n(n-1)(n-2)\dots(n-r+1) = \frac{n!}{(n-r)!}$$

$$P(n, n) = n(n-1)(n-2)\dots 2 \cdot 1 = n!$$



## § 13.3 Permutations and Combinations (5)

### 13.13.1 Permutations

(2) r-permutation with repetition 允许  
重复选取的排列

**Theorem:**

The number of r-permutations of a set of  $n$  distinct objects with repetition allowed is  $n^r$ .

## § 13.3 Permutations and Combinations (6)

### 13.13.2 Combinations 組合

#### (1) r-combination

An r-combination of elements of a set is an unordered selection of  $r$  elements from the set. Thus, an r-combination is simply a subset of the set with  $r$  elements.

The number of r-combination of a set with  $n$  distinct elements is denoted by  $C(n,r)$ .



## § 13.3 Permutations and Combinations (7)

### 13.13.2 Combinations

#### (1) *r*-combination

Note that  $C(n,r)$  is also denoted by  $\binom{n}{r}$  and is called a binomial coefficient.

$$C(n,r) = \begin{cases} 1 & n \geq r = 0 \\ 0 & n < r \end{cases}$$

## § 13.3 Permutations and Combinations

### 13.13.2 Combinations

#### (1) r-combination

##### **Theorem:**

The number of r-combinations of a set with n elements, where n is a nonnegative integer and r is an integer with  $0 \leq r \leq n$ , equals

$$C(n, r) = \binom{n}{r} = \frac{P(n, r)}{r!} = \frac{n!}{r!(n-r)!}$$



## § 13.3 Permutations and Combinations (

### 13.13.2 Combinations

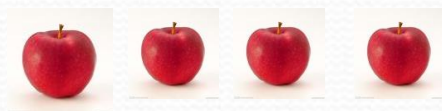
(2) Combinations with repetition 允许  
重复的组合

#### **Theorem 1:**

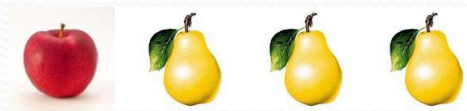
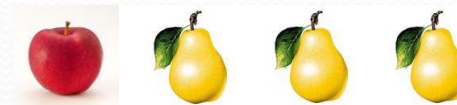
There are  **$C(n+r-1, r)$**   $r$ -combinations from a set with  $n$  elements when repetition of elements is allowed.



class A



class B



class C



class D



$15 = 3 + 6 + 3 + 3$  solutions



# Combinations with Repetition

**Theorem 2:** The number of  $r$ -combinations from a set with  $n$  elements when repetition of elements is allowed is

$$C(n + r - 1, r) = C(n + r - 1, n - 1).$$

**n**个元素（类物体）允许重复的**r**组合。

**XXXXX | XX | X**

**XX | XXX | XX**

**XXXXXXXX | | X**

**? ? ? ? ? ? ? ? ?**

**3**类物体允许重复的**7**组合。

$C(n + r - 1, r)$  选定物体  $C(3 + 7 - 1, 7)$

$C(n + r - 1, n - 1)$  选定“隔板”  $C(3 + 7 - 1, 3 - 1)$

## § 13.4 Binomial coefficients (1)

### 13.4.1 The binomial theorem

$$(x + y)^0 = 1$$

$$(x + y)^1 = x + y$$

$$(x + y)^2 = x^2 + 2xy + y^2$$

$$(x + y)^3 = x^3 + 3x^2y + 3xy^2 + y^3$$

$$(x + y)^4 = x^4 + 4x^3y + 6x^2y^2 + 4xy^3 + y^4$$

$$(x + y)^5 = x^5 + 5x^4y + 10x^3y^2 + 10x^2y^3 + 5xy^4 + y^5$$

$$(x + y)^6 = x^6 + 6x^5y + 15x^4y^2 + 20x^3y^3 + 15x^2y^4 + 6xy^5 + y^6$$

			1				
			1		1		
		1		2		1	
	1		3		3		1
	1	4		6		4	1
1	5	10		10	5	1	
1	6	15	20	15	6	1	



## § 13.4 Binomial coefficients (2)

### 13.4.1 The binomial theorem

**The binomial theorem:** Let  $x$  and  $y$  be variables, and let  $n$  be a nonnegative integer. Then

$$(x + y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k$$

$$= \binom{n}{0} x^n + \binom{n}{1} x^{n-1} y + \binom{n}{2} x^{n-2} y^2 + \dots + \binom{n}{n-1} x y^{n-1} + \binom{n}{n} y^n$$

## § 13.4 Binomial coefficients

(3)

### 13.4.1 The binomial theorem

$$(x + y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k$$

$$C(n,r) = C(n,n-r).$$

$$(x + y)^n = \sum_{k=0}^n \binom{n}{n-k} x^k y^{n-k}$$

$$(x + y)^n = \sum_{k=0}^n \binom{n}{k} x^k y^{n-k}$$

$$(x + y)^n = \sum_{k=0}^n \binom{n}{n-k} x^{n-k} y^k$$



## § 13.4 Binomial coefficients

(4)

### 13.4.1 The binomial theorem

if  $y=1$  then

$$\begin{aligned}(x+1)^n &= \sum_{k=0}^n \binom{n}{k} x^{n-k} \\ &= \sum_{k=0}^n \binom{n}{k} x^k \\ &= \sum_{k=0}^n \binom{n}{n-k} x^k\end{aligned}$$

## § 13.4 Binomial coefficients (6)

### 13.4.2 Pascal's identity and triangle

**Pascal's identity:** Let  $n$  and  $k$  be positive integers with  $n \geq k$ . Then

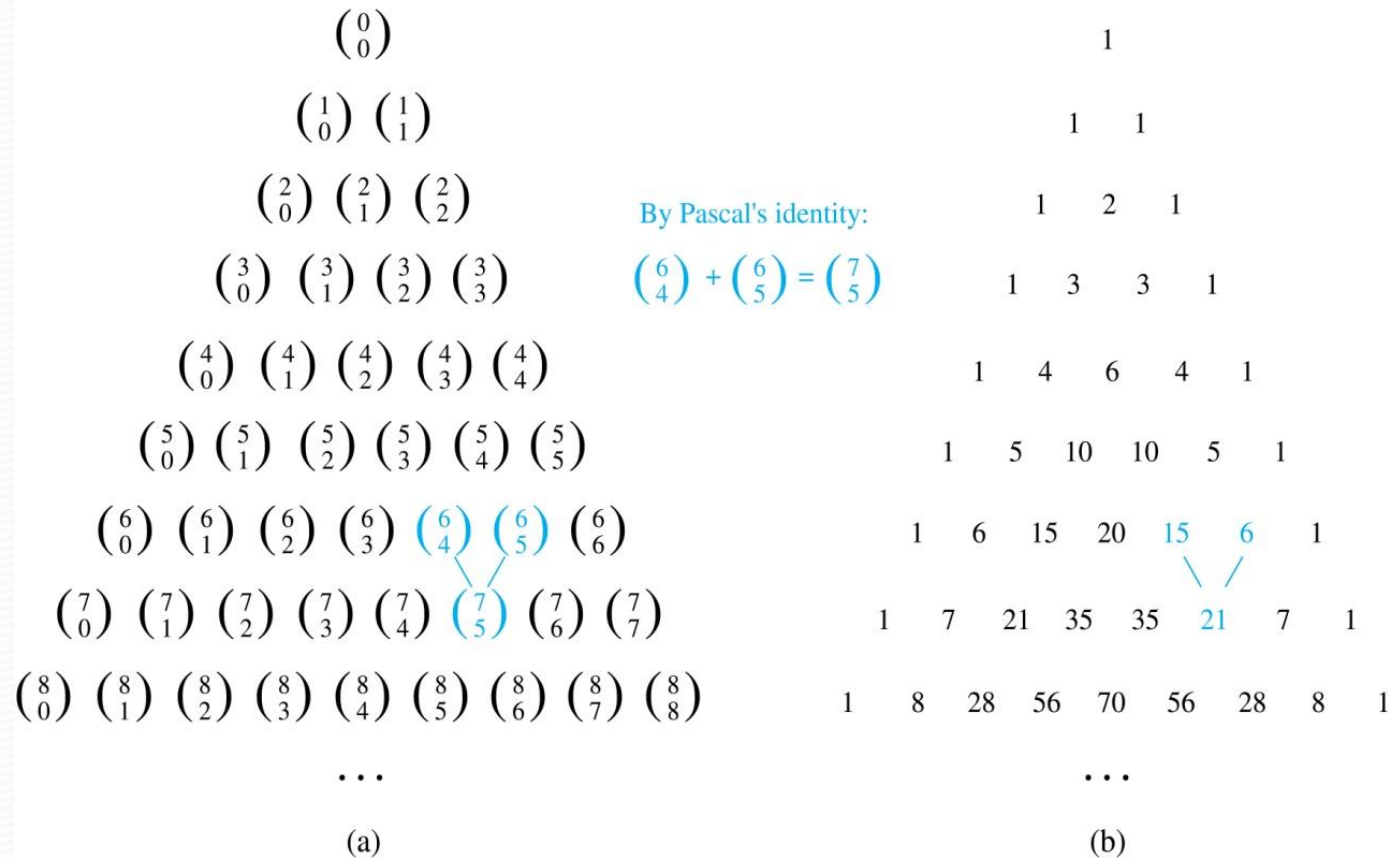
$$\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$$

Show: based on SET and SUBSET



# Pascal's Triangle

The  $n$ th row in the triangle consists of the binomial coefficients  $\binom{n}{k}$ ,  $k = 0, 1, \dots, n$ .



## § 13.5 Generalized permutation and combinations (1)

### 13.5.1 combinations with repetition

1、  $n$ 个无区别的小球放入 $m$ 个有区别的箱子里的方案数？

相当于从 $m$ 类物体中允许重复的选取 $n$ 个物体的方案数：

$$C(m+n-1, n), C(m+n-1, m-1)$$

2、 生活中的什么情况下可以使用该模型。选男女代表等

3、  $(x+y+z)^4$ 展开式有多少项？

$$C(3+4-1, 4), C(3+4-1, 3-1)$$

那 $(x+y+z)^n$ 展开式有多少项？

$$C(3+n-1, 3-1)$$

4、  $x_1+x_2+x_3=11(n)$  其中 $x_1 \geq 0, x_2 \geq 0, x_3 \geq 0$  正整数解的个数？



## § 13.5 Generalized permutation (2) and combinations

### 13.5.2 Distributing objects into boxes

n个球	m个盒子	是否允许空盒	计数方案	备注
有区别	有区别	允许空盒	$m^n$	全排列
无区别	有区别	允许空盒	$C(m+n-1, n)$	m个有区别的元素,取n个作允许重复的组合
无区别	有区别	不允许空盒	$C(n-1, m-1)$	(1)选取m个球每盒一个 (2)n-m有区别的球放入m个有区别盒子中,允许某盒不放 $C(n-m+m-1, n-m)=C(n-1, m-1)$
无区别	无区别	允许空盒		一本书的6本复印件放入4个相同的箱子中
无区别	无区别	不允许空盒		n-m个无区别物体允许为空的放入无区分m盒子

# § 13.5 Generalized permutation (2) and combinations

## 13.5.2 Distributing objects into boxes

n个球	m个盒子	是否允许空盒	计数方案	备注
有区别	有区别	不允许空盒		映上函数的个数
有区别	无区别	允许空盒	(集合的划分)	4人分配完全相同的3间办公室
有区别	无区别	不允许空盒		Stirling数