## Cutoff for polymer pinning dynamics in the repulsive phase

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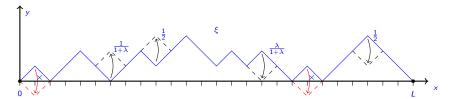


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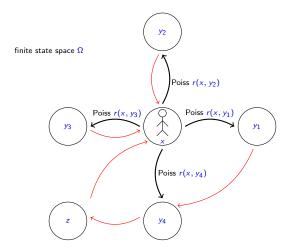
## Organization of the talk

- 1. Introduction to mixing for continuous-time Markov chains
  - Starting from 1980s
  - Aldous, Diaconis, etc.
- 2. Cutoff for polymer pinning dynamics in the repulsive phase



### Chapter 1

# Introduction to mixing for continuous-time Markov chains



### Setup

- Finite state space  $\Omega$ , elements  $x, y, z \cdots$
- Generator:  $\mathcal{L} = (r(x, y))_{x,y \in \Omega}$  is an  $\Omega \times \Omega$  matrix:
  - Off diagonal elements are nonnegative;
  - Every row sum is equal to zero.

Homeomorphism  $\mathcal{L}:\mathbb{R}^\Omega o \mathbb{R}^\Omega$  (for  $f \in \mathbb{R}^\Omega$ )

$$(\mathcal{L}f)(x) := \sum_{y \in \Omega} r(x,y) (f(y) - f(x)).$$

• Markov semi-group  $(P_t)_{t\geq 0}$ :

$$\begin{aligned} P_t &:= e^{t\mathcal{L}} = \sum_{k=0}^{\infty} \frac{(t\mathcal{L})^k}{k!}, \\ P_t(x, y) &\geq 0, \quad \sum_{y \in \Omega} P_t(x, y) = 1. \end{aligned}$$

#### Markov chain definition

The random process  $(X_t)_{t\geq 0}$  is a continuous-time Markov chain with generator  $\mathcal{L}$  and initial distribution  $\nu$  if it is càdlàg and

•

$$\forall x \in \Omega, \quad \mathbb{P}[X_0 = x] = \nu(x);$$

• Markov property: for  $0 \le t_1 < \cdots < t_n < s < s + t$ ,

$$\mathbb{P}[X_{s+t} = y | X_s = x; X_{t_k} = z_k, \forall k \le n] = \mathbb{P}[X_{s+t} = y | X_s = x] = P_t(x, y).$$

### Invariant probability measure

 $\bullet$   $\mu$  is an invariant probability measure if

$$(\forall t \geq 0, \ \mu P_t = \mu) \Leftrightarrow \mu \mathcal{L} = 0.$$

• Irreducible: for all  $x \neq y \in \Omega$ , there exists a path  $\Gamma_{xy} = (x, z_1, \cdots, z_{\ell-1}, y)$  with  $r(z_{k-1}, z_k) > 0$  for all  $1 \leq k \leq \ell(x, y)$ .

#### **Theorem**

If  $(\Omega, \mathcal{L})$  is irreducible, there exists a unique invariant probability measure  $\mu$ , and the distribution  $\mathbb{P}^{\nu}$  of  $(X_t)_{t\geq 0}$  with initial distribution  $\nu$  converges to  $\mu$ , i.e.

$$\lim_{t\to\infty}\sum_{y\in\Omega}\left|\mathbb{P}^{\nu}\left[X_t=y\right]-\mu(y)\right| = 0.$$

### Distance to equilibrium

• The total variation distance: two probability measures  $\alpha, \beta$  on  $\Omega$ ,

$$\|\alpha - \beta\|_{\text{TV}} := \sup_{A \subset \Omega} |\alpha(A) - \beta(A)|.$$

• The distance to equilibrium

$$d(t) := \max_{x \in \Omega} \|P_t(x, \cdot) - \mu\|_{\text{TV}}.$$

• Given  $\varepsilon \in (0,1)$ , the  $\varepsilon$ -mixing time

$$t_{\min}(\varepsilon) := \inf\{t \geq 0 : d(t) \leq \varepsilon\}$$
.

Notation:  $t_{\text{mix}} := t_{\text{mix}}(1/4)$ .

## Markov chain sequence and cutoff

- A sequence of Markov chains  $(\Omega_n, \mathcal{L}_n, \mu_n)_{n \in \mathbb{N}}$  with  $\lim_{n \to \infty} |\Omega_n| = \infty$ :  $t_{\text{mix}}^{(n)}(\varepsilon)$ : the associated  $\varepsilon$ -mixing time.
  - Q: How does  $t_{\text{mix}}^{(n)}(\varepsilon)$  grow in terms of n and  $\varepsilon$  ?
- Precutoff:

$$\sup_{\varepsilon \in (0,\frac{1}{2})} \limsup_{n \to \infty} \frac{t_{\mathrm{mix}}^{(n)}(\varepsilon)}{t_{\mathrm{mix}}^{(n)}(1-\varepsilon)} < \infty \,.$$

• Cutoff: for all  $\epsilon \in (0,1)$ ,

$$\lim_{n \to \infty} \frac{t_{\mathrm{mix}}^{(n)}(\epsilon)}{t_{\mathrm{mix}}^{(n)}(1-\epsilon)} = 1 \cdot \Leftrightarrow \lim_{\substack{d_n(t) \\ \\ d_{\mathrm{max}}}} d_n\left(ct_{\mathrm{mix}}^{(n)}\right) = \begin{cases} 1 & \text{if } c < 1 \,, \\ 0 & \text{if } c > 1 \,. \end{cases}$$

## Spectral gap of reversible chain

• The detailed balance condition: if for all  $x, y \in \Omega$ 

$$\mu(x)r(x,y) = \mu(y)r(y,x)$$
. Then  $\mu \mathcal{L} = 0$ .

• Spectral gap: minimal nonzero eigenvalue of  $-\mathcal{L}$ 

$$\langle f, g \rangle_{\mu} := \sum_{x \in \Omega} \mu(x) f(x) g(x), \quad \operatorname{Var}_{\mu}(f) := \langle f, f \rangle_{\mu} - \langle f, \mathbf{1} \rangle_{\mu}^{2},$$

$$\operatorname{gap} := \inf_{\operatorname{Var}_{\mu}(f) > 0} \frac{-\langle f, \mathcal{L}f \rangle_{\mu}}{\operatorname{Var}_{\mu}(f)}.$$

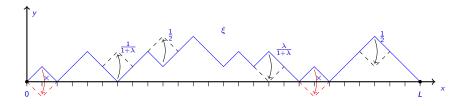
• Relaxation time:  $t_{\rm rel} := \frac{1}{\rm gap}$ .

Letting  $\mu_{\min} := \min_{x \in \Omega} \mu(x)$ , for  $\varepsilon \in (0,1)$  we have

$$egin{aligned} t_{
m rel} \log rac{1}{2arepsilon} & \leq t_{
m mix}(arepsilon) & \leq t_{
m rel} \log rac{1}{2arepsilon \mu_{
m min}} \,, \ & \lim_{t o \infty} rac{1}{t} \log d(t) \, = \, -{
m gap} \,. \end{aligned}$$

# Cutoff for polymer pinning dynamics in the repulsive phase

## The physical situation we are considering



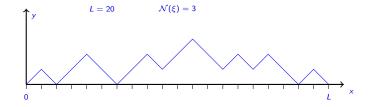
An interface is an element of  $(L \in 2\mathbb{N})$ 

$$\Omega_L:=\left\{\xi\in\mathbb{Z}_+^{\llbracket 0,\,L\rrbracket}:\;\xi(0)=\xi(L)=0\;\text{and}\;\forall\,x,\;|\xi(x)-\xi(x-1)|=1\right\}\,.$$

## The equilibrium measure

Given  $\xi \in \Omega_L$ ,

•  $\mathcal{N}(\xi) := \sum_{x=1}^{L-1} \mathbf{1}_{\{\xi(x)=0\}}$  (# contacts with x-axis).



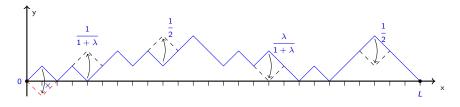
Given  $\lambda \geq 0$ , define  $\mu = \mu_L^{\lambda}$  the probability on  $\Omega_L$ :

$$\mu(\xi) = \frac{\lambda^{\mathcal{N}(\xi)}}{Z_L(\lambda)}, \quad Z_L(\lambda) := \sum_{\xi' \in \Omega_L} \lambda^{\mathcal{N}(\xi')}.$$

## Corner-flip/Heat Bath dynamics $(\eta_t)_{t\geq 0}$ on $\Omega_L$

Each coordinate is updated at rate one.

When an update at x occurs at time t,  $\eta_t$  is sampled according to the conditional equilibrium measure  $\mu_L^{\lambda}(\cdot \mid \eta_{t-}(y), y \neq x)$ .



The measure  $\mu$  satisfies the detailed balance condition, i.e.

$$\mu(\xi)r(\xi,\,\xi^{x}) = \mu(\xi^{x})r(\xi^{x},\,\xi).$$

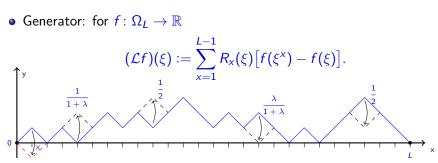
 $\mathbf{P}^{\xi}$ : the distribution of the Markov chain  $(\eta_t^{\xi})_{t\geq 0}$  starting from  $\xi$ .  $T_{\mathrm{mix}}^{L,\lambda}(\varepsilon)$ : associated  $\varepsilon$ -mixing time.

#### Generator

- $\xi^{x}$ : obtained by flipping the corner at coordinate x of the path  $\xi$ provided there is a corner at x and  $\xi^x \in \Omega_I$ .
- jump rate

$$R_{x}(\xi) := \begin{cases} \frac{1}{2} & \text{if } \xi(x-1) = \xi(x+1) > 1 \,, \\ \frac{\lambda}{1+\lambda} & \text{if } (\xi(x-1), \xi(x), \xi(x+1)) = (1, 2, 1) \,, \\ \frac{1}{1+\lambda} & \text{if } (\xi(x-1), \xi(x), \xi(x+1)) = (1, 0, 1) \,, \\ 0 & \text{otherwise} \,. \end{cases}$$

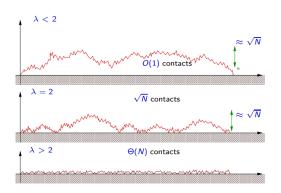
• Generator: for  $f: \Omega_I \to \mathbb{R}$ 



## Presentation of our results for the polymer pinning model

- (1) Properties of the model at equilibrium
- (2) Previous results for the polymer pinning dynamics
- (3) Our results for the polymer pinning dynamics in diffusive regime
- (4) Idea: Lower bound on the mixing time for  $\lambda \in [0,2)$
- (5) Idea: Upper bound on the mixing time for  $\lambda \in [0,1]$
- (6) Idea: Upper bound on the mixing time for  $\lambda \in (1,2)$  concerning the extremal initial conditions

## Equilibrium Properties [Fisher 1984]



A transition at  $\lambda=2$  between a pinned phase and an unpinned phase. This transition can be seen when looking at the free energy

$$\lim_{L\to\infty}\frac{1}{L}\log 2^{-L}Z_L(\lambda)=\log\left(\frac{\lambda}{2\sqrt{\lambda-1}}\right)\mathbf{1}_{\{\lambda>2\}}=:F(\lambda).$$

## Details about the partition function Asymptotic of the partition function

$$2^{-L}Z_L(\lambda) \sim C(\lambda) \times \begin{cases} L^{-3/2} & \text{if } \lambda \in [0,2), \\ L^{-1/2} & \text{if } \lambda = 2, \\ e^{LF(\lambda)} & \text{if } \lambda \in (2,\infty). \end{cases}$$

How to calculate it? No positive constraint state space:

$$\begin{split} \widetilde{\Omega}_L := \left\{ \xi \in \mathbb{Z}^{\llbracket 0, L \rrbracket} : \ \xi(0) = \xi(L) = 0 \ \text{and} \ \forall x \ , \ |\xi(x) - \xi(x-1)| = 1 \right\}. \\ \widetilde{Z}_L(\lambda) := \sum_{\xi \in \widetilde{\Omega}_L} \lambda^{\mathcal{N}(\xi)} \, , \qquad \widetilde{Z}_L(\lambda) = 2Z_L(2\lambda) \, . \end{split}$$

• Renewal process viewpoint (**P** : SRW  $\widetilde{\mathbf{P}}$  : renewal law)  $K(n) := \mathbf{P} (S_1 \neq 0, S_2 \neq 0, \cdots, S_{2n-1} \neq 0, S_{2n} = 0), \forall n \geq 1.$ 

$$\begin{split} \widetilde{K}(n) &:= \lambda e^{-2n\widetilde{F}(\lambda)} K(n) \,, \quad \widetilde{F}(\lambda) = \inf\{\lambda \geq 0 : \sum_{k \geq 1} \widetilde{K}(n) \leq 1\} \,. \\ \lambda e^{-L\widetilde{F}(\lambda)} 2^{-L} Z_L(\lambda) &= e^{-L\widetilde{F}(\lambda)} \sum_{k \geq 1} \sum_{\substack{(n_1, \dots, n_k) \\ \sum_{i=1}^k n_i = L/2}} \prod_{i=1}^k K(n_i) \lambda \end{split}$$

$$=\sum_{k=1}^{L/2}\sum_{\substack{(n_1,\ldots,n_k)\\\sum_{i=1}^k n_i=L/2}}\prod_{i=1}^k\widetilde{K}(n_i)=\widetilde{\mathbf{P}}\left(L\in\tau\right).$$

## Previous results: Polymer pinning dynamics [Caputo, Martinelli, Toninelli '08]:

• When  $\lambda \in [0,2)$ , gap  $\approx L^{-2}$  and there is a precutoff, i.e.

$$\frac{1 + o(1)}{2\pi^2} L^2 \log L \leq T_{\text{mix}}^{L,\lambda}(\epsilon) \leq \frac{6 + o(1)}{\pi^2} L^2 \log L.$$

• When  $\lambda = 2$ , gap  $\approx L^{-2}$ 

$$cL^2 \leq T_{\text{mix}}^{L,\lambda}(1/4) \leq \frac{6+o(1)}{\pi^2}L^2 \log L$$
.

• When  $\lambda > 2$ , gap  $\leq cL^{-1}$ 

$$T_{\mathrm{mix}}^{L,\lambda}(1/4) \geq cL^2$$
,

where c is independent of  $\lambda$ .

• When  $\lambda = \infty$ ,

$$T_{\text{mix}}^{L,\lambda}(1/4) \leq L^2$$
.

[Lacoin '14] identified the constant in the mixing (hitting) time when  $\lambda = \infty$  for smooth initial profile.

#### Our main result: cutoff

Understand the pattern of relaxation to equilibrium, and in particular identify the mixing time.

$$T_{\mathrm{mix}}^{L,\lambda}(\varepsilon) := \inf \left\{ t \ : \ \forall \, \xi \in \Omega_{N}, \ \left\| \mathbf{P}_{t}^{\xi} - \mu \right\|_{\mathrm{TV}} \leq \varepsilon \right\}.$$

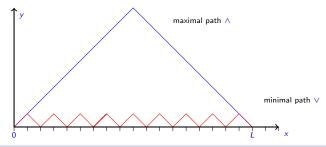
### Theorem (Y, '21 (cutoff))

When  $\lambda \in [0,1]$ , for all  $\epsilon \in (0,1)$  we have

$$\lim_{L \to \infty} \frac{\pi^2 T_{\mathrm{mix}}^{L,\lambda}(\varepsilon)}{L^2 \log L} = 1.$$

## Our main result: partial cutoff

$$\breve{T}_{\mathrm{mix}}^{L,\lambda}(\varepsilon) := \inf \left\{ t \ : \max( \left\| \mathbf{P}_t^{\wedge} - \boldsymbol{\mu} \right\|_{\mathrm{TV}}, \left\| \mathbf{P}_t^{\vee} - \boldsymbol{\mu} \right\|_{\mathrm{TV}} ) \leq \varepsilon \right\}.$$



Theorem (Y, '21 (Partial cutoff))

If  $\lambda \in (1,2)$ , for all  $\epsilon \in (0,1)$  we have

$$\lim_{L \to \infty} \frac{\pi^2 \, \breve{T}_{\mathrm{mix}}^{L,\lambda}(\varepsilon)}{L^2 \log L} = 1.$$

## Polymer pinning dynamics

Idea: Lower bound on the mixing time for  $\lambda \in [0,2)$ 

## Idea for the lower bound when $\lambda \in [0,2)$

A weighted area function  $\Phi \colon \Omega_L \to \mathbb{R}$  [introduced by Wilson '04]:

$$\Phi(\xi) := \sum_{x=1}^{L-1} \xi(x) \sin\left(\frac{\pi x}{L}\right).$$

• Under equilibrium  $\mu$ ,  $\Phi$  is at most of order  $L^{3/2}$  since

$$\sup_{\lambda \geq 0, \, L \in 2\mathbb{N}} \sup_{x \in \llbracket 1, L-1 \rrbracket} \mu_L^{\lambda} \bigg( \frac{(\xi(x))^k}{L^{k/2}} \bigg) < \infty.$$

- For the dynamics  $(\eta_t^{\wedge})_{t\geq 0}$  starting from the highest path  $\wedge$ ,  $\Phi$  is initially of order  $L^2$ ;
- To show the time required by  $\Phi(\eta_t^\wedge)$  to become of order  $L^{3/2}$  is at least  $(1-o(1))\frac{1}{\pi^2}L^2\log L$ , we estimate the mean  $\mathbf{E}\left[\Phi(\eta_t^\wedge)\right]$  and its fluctuation by building a Dynkin's martingale and controlling the martingale bracket.

Idea for Lower bound when  $\lambda \in [0,2)$  [ $\kappa_L := 1 - \cos{(\pi/L)}$ ]

• [Caputo, Martinelli, Toninelli]

$$\mathbb{E}[\Phi(\eta_{t_0}^{\wedge})] \geq \Phi(\eta_0^{\wedge})e^{-\kappa_L t_0} - c(\lambda)L^{3/2} \geq 2C_{\varepsilon}L^{3/2}.$$

Notation:  $t_0 := \frac{1}{\pi^2} L^2 \log L - C_{\varepsilon} L^2 (C_{\varepsilon} \gg 1.)$ 

• Build a Dynkin's martingale:  $F(t,\xi) = \exp(\kappa_L(t-t_0))\Phi(\xi)$ 

$$egin{aligned} M_t &:= F(t,\eta_t^\wedge) - F(0,\eta_0^\wedge) - \int_0^t (\partial_s + \mathcal{L}) F(s,\eta_s^\wedge) \mathrm{d}s \,. \ \\ &(\partial_t + \mathcal{L}) F(t,\eta_t^\wedge) = e^{\kappa_L (t-t_0)} \Psi(\eta_t^\wedge) \,, \end{aligned}$$

$$\Psi(\xi) := \sum_{x=1}^{L-1} \sin\left(\frac{\pi x}{L}\right) \left[ \mathbf{1}_{\{\xi(x-1)=\xi(x-1)=0\}} - \left(\frac{\lambda-1}{\lambda+1}\right) \mathbf{1}_{\{\xi(x-1)=\xi(x+1)=1\}} \right].$$

Each transition can change  $M_t$  in absolute value by at most  $2e^{\kappa_L(t-t_0)}$ 

$$\partial_t \langle M. \rangle_t \leq \sum_{r=1}^{L-1} 4e^{2\kappa_L(t-t_0)} \leq 4Le^{2\kappa_L(t-t_0)}.$$

Idea for Lower bound when  $\lambda \in [0,2)$ 

$$\mathbb{E}[M_{t_0}^2] = \mathbb{E}[\langle M. \rangle_{t_0}] \leq \int_0^{t_0} 4Le^{2\kappa_L(t-t_0)} dt \leq \frac{8L^3}{\pi^2}.$$

$$\bullet \ \Psi(\xi) = \sum_{x=1}^{L-1} \sin\left(\frac{\pi x}{L}\right) \left[ \mathbf{1}_{\{\xi_{x-1} = \xi_{x-1} = 0\}} - \left(\frac{\lambda-1}{\lambda+1}\right) \mathbf{1}_{\{\xi_{x-1} = \xi_{x+1} = 1\}} \right].$$

$$B(t) := \int_0^t e^{\kappa_L(s-t_0)} \Psi(\eta_s^{\wedge}) ds.$$

$$\mathbb{E}[|B(t_0)|] \leq \mathbb{E}\left[ \int_0^{t_0} e^{\kappa_L(t-t_0)} |\Psi(\eta_t^{\wedge})| dt \right]$$

$$\leq C(\lambda) \kappa_L^{-1} \sum_{x=1}^{L-1} \sin\left(\frac{\pi x}{L}\right) \frac{L^{3/2}}{x^{3/2}(L-x)^{3/2}} \leq C(\lambda) L^{3/2}.$$

$$\mathbb{P}\left[ |\Phi(\eta_{t_0}^{\wedge}) - \mathbb{E}[\Phi(\eta_{t_0}^{\wedge})]| \geq C_\varepsilon L^{3/2} \right] = \mathbb{P}\left[ |M_{t_0} + B(t_0) - \mathbb{E}[B(t_0)]| \geq C_\varepsilon L^{3/2} \right]$$

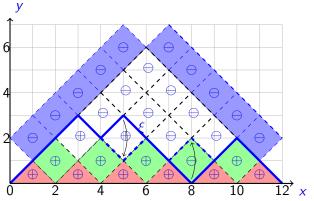
$$\leq \mathbb{P}\left[ |M_{t_0}| \geq \frac{1}{3} C_\varepsilon L^{3/2} \right] + \mathbb{P}\left[ |B(t_0)| \geq \frac{1}{3} C_\varepsilon L^{3/2} \right]$$

$$\leq \frac{9\mathbb{E}[M_{t_0}^2]}{C^2 L^3} + \frac{3\mathbb{E}[|B(t_0)|]}{C L^{3/2}} \leq \varepsilon \text{ if } C_\varepsilon \text{ is sufficiently large.}$$

## Polymer pinning dynamics

Idea: Upper bound on the mixing time for  $\lambda \in [0,1]$ 

A graphical construction preserves the monotonicity.



- Partial order " $\leq$ " on  $\Omega_L$ :  $(\xi \leq \xi') \Leftrightarrow (\forall x \in [0, L], \xi(x) \leq \xi'(x))$ .
- The graphical construction preserves monotonicity

$$\xi \leq \xi' \Rightarrow \eta_t^{\xi} \leq \eta_t^{\xi'}, \quad \forall \ t \geq 0.$$

$$\eta_t^{\vee} \leq \eta_t^{\xi} \leq \eta_t^{\wedge}, \quad \forall \ t \geq 0, \forall \ \xi \in \Omega_L.$$

Reduce the problem to estimate the coalescing times

$$\| \mathbf{P}_t^{\xi} - \mathbf{P}_t^{\mu} \|_{\mathrm{TV}} \; \leq \; \sum_{\xi' \in \Omega_L} \mu(\xi') \| \mathbf{P}_t^{\xi} - \mathbf{P}_t^{\xi'} \|_{\mathrm{TV}} \; \leq \; \max_{\xi' \in \Omega_L} \| \mathbf{P}_t^{\xi} - \mathbf{P}_t^{\xi'} \|_{\mathrm{TV}} \,,$$

$$\|\mathbf{P}_t^{\xi} - \mathbf{P}_t^{\xi'}\|_{\mathrm{TV}} \leq \mathbb{P}[\eta_t^{\xi} \neq \eta_t^{\xi'}] \leq \mathbb{P}[\eta_t^{\wedge} \neq \eta_t^{\vee}].$$

Coalescing times

$$\begin{split} \widetilde{\tau} &:= \inf \left\{ t > 0 \ : \ \eta_t^\wedge = \eta_t^\vee \right\} \ , \\ \tau' &:= \inf \left\{ t > 0 \ : \ \eta_t^\vee = \eta_t^\mu \right\} \ , \\ \tau &:= \inf \left\{ t > 0 \ : \ \eta_t^\wedge = \eta_t^\mu \right\} \ , \\ \widetilde{\tau} &= \max \left( \tau, \tau' \right) \ . \end{split}$$

It is more practical to deal with  $\tau'$ ,  $\tau$  than  $\tilde{\tau}$ .

• An area function  $\overline{\Phi} \colon \Omega_L \to [0, \infty)$  given by

$$\overline{\Phi}(\xi) := \sum_{x=1}^{L-1} \xi(x) \overline{\cos}_{\beta}(x) \quad \overline{\cos}_{\beta}(x) := \cos(\beta(x - L/2)/L)$$

where  $\beta < \pi$  and  $\beta$  is chosen sufficiently close to  $\pi$ .

$$\begin{split} \xi &\leq \xi' \quad \Rightarrow \quad \overline{\Phi}(\xi) \leq \overline{\Phi}(\xi') \,, \\ \delta_{\mathsf{min}} &:= \min_{\xi \leq \xi', \, \xi \neq \xi'} \left( \overline{\Phi}(\xi') - \overline{\Phi}(\xi) \right) = 2 \cos \left( \frac{\beta (L/2 - 1)}{L} \right) \geq \frac{1}{2} (\pi - \beta) \,. \end{split}$$

• The area function between the paths  $A_t := \delta_{\min}^{-1} \left[ \overline{\Phi}(\eta^{\wedge}) - \overline{\Phi}(\eta^{\mu}) \right]$ 

$$A_t = 0 \Leftrightarrow t \geq \tau$$
.

 $A_t - A_0 - \int_0^t \mathcal{L} A_s \mathrm{d}s$  is a Dynkin martingale  $A_t$  is a supermartingale when  $\lambda \in [0, 1]$ .

•  $(f_x(\xi) := \xi_x, \ \mathcal{L}\xi_x := (\mathcal{L}f_x)(\xi), \ (\Delta \xi)_x := \frac{1}{2}(\xi_{x-1} + \xi_{x+1}) - \xi_x.)$ 

$$\mathcal{L}\xi_{x} = (\Delta\xi)_{x} + \mathbf{1}_{\{\xi_{x-1} = \xi_{x+1} = 0\}} + \left(\frac{1-\lambda}{1+\lambda}\right)\mathbf{1}_{\{\xi_{x-1} = \xi_{x+1} = 1\}}.$$

For  $\lambda \in [0,1]$ , if  $\xi \leq \xi'$ ,

$$\mathcal{L}\xi_{\mathsf{x}} - (\Delta\xi)_{\mathsf{x}} > \mathcal{L}\xi'_{\mathsf{x}} - (\Delta\xi')_{\mathsf{x}}, \quad \forall \, \mathsf{x} \in \llbracket 1, L - 1 \rrbracket.$$

$$\sum_{x=1}^{L-1} \overline{\cos}(x) \left( (\Delta \xi')_x - (\Delta \xi)_x \right) = -\left( 1 - \cos\left( \beta/L \right) \right) \sum_{x=1}^{L-1} \overline{\cos}(x) \left( \xi'_x - \xi_x \right).$$

$$(\mathcal{L}\overline{\Phi})(\xi') - (\mathcal{L}\overline{\Phi})(\xi) = \sum_{x=1}^{L-1} \overline{\cos}(x)((\Delta \xi')_{x} - (\Delta \xi)_{x} + \mathcal{L}\xi'_{x} - (\Delta \xi')_{x} - (\mathcal{L}\xi_{x} - (\Delta \xi)_{x})$$

$$\leq \sum_{x=1}^{L-1} \overline{\cos}(x) \Big( (\Delta \xi)'_{x} - (\Delta \xi)_{x} \Big)$$

$$= -(1 - \cos(\beta/L)) \sum_{x=1}^{L-1} \overline{\cos}(x)(\xi'_{x} - \xi_{x}),$$

$$\Rightarrow (A_{t})_{t} \text{ is a supermartingale.}$$

(1) The decay rate of 
$$\mathbb{E}[A_t]$$
 is at least  $1 - \cos(\beta/L)$ :

$$\begin{split} \frac{\mathrm{d}\mathbf{E}[A_t]}{\mathrm{d}t} &= \mathbf{E}\left[\mathcal{L}A_t\right] \leq \left[1 - \cos\left(\frac{\beta}{L}\right)\right]\mathbf{E}[A_t]\,,\\ t_{\delta/2} &:= \frac{1 + \delta/2}{\pi^2}L^2\log L\,,\quad A_{t_{\delta/2}} \ll L^{3/2}\,. \end{split}$$

(2) For  $t \geq t_{\delta/2}$ , applying the supermartingale approach [Labbé, Lacoin, '20] to show: it only takes an extra amount of time of order  $L^2$  for  $A_t$  to shrink from  $L^{3/2}$  to zero. Idea:

$$\eta>0$$
: sufficiently small,  $K:=\lceil 1/(2\eta)\rceil>1/(2\eta)$ . Define  $(\mathcal{T}_i)_{i=2}^K$  by

$$\mathcal{T}_2 := \inf \left\{ t \ge t_{\delta/2} : A_t \le L^{\frac{3}{2} - 2\eta} \right\} ,$$

$$\mathcal{T}_i := \inf \left\{ t \ge \mathcal{T}_{i-1} : A_t \le L^{\frac{3}{2} - i\eta} \right\} , \text{ for } i \in \llbracket 3, k \rrbracket .$$

$$\mathcal{T}_{\infty} := \max \left( \tau_1, t_{\delta/2} \right)$$

To show: 
$$(\Delta \mathcal{T}_i := \mathcal{T}_i - \mathcal{T}_{i-1} \text{ for } 3 \leq i \leq K)$$

$$\lim_{L o\infty}\mathbb{P}\left[\{\mathcal{T}_2=t_{\delta/2}\}\cap\left(\bigcap_{i=2}^K\{\Delta\mathcal{T}_i\leq 2^{-i}L^2\}
ight)\cap\{\mathcal{T}_\infty-\mathcal{T}_K\leq L^2\}
ight]=1\,.$$

• During the time interval  $[\mathcal{T}_{i-1}, \mathcal{T}_i]$  for  $3 \le i \le K$ , apply the surpermartingale approach ([Labbé, Lacoin '20]) to show w.h.p.

$$\langle A. \rangle_{\mathcal{T}_i} - \langle A. \rangle_{\mathcal{T}_{i-1}} \leq L^{3-2(i-1)\eta + \frac{1}{2}\eta},$$
  
 $\langle A. \rangle_{\mathcal{T}_{\infty}} - \langle A. \rangle_{\mathcal{T}_K} \leq L^2.$ 

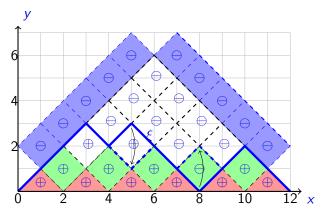
• Compare  $\mathcal{T}_{\infty} - \mathcal{T}_{\mathcal{K}}$  with  $\langle A. \rangle_{\mathcal{T}_{\infty}} - \langle A. \rangle_{\mathcal{T}_{\mathcal{K}}}$ . As  $\partial_t \langle A. \rangle \geq 1$  for all  $t < \mathcal{T}_{\infty}$ , we have

$$\mathcal{T}_{\infty} - \mathcal{T}_{K} \leq \int_{\mathcal{T}_{K}}^{\mathcal{T}_{\infty}} \partial_{t} \langle A. \rangle \mathrm{d}t = \langle A. \rangle_{\mathcal{T}_{\infty}} - \langle A. \rangle_{\mathcal{T}_{K}}.$$

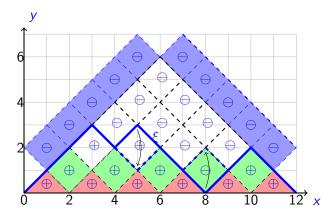
• For  $3 \leq i \leq K$ , to compare  $\langle A. \rangle_{\mathcal{T}_i} - \langle A. \rangle_{\mathcal{T}_{i-1}}$  with  $\mathcal{T}_i - \mathcal{T}_{i-1}$ , we provide a better lower bound on  $\partial_t \langle A. \rangle$  in terms of the highest point of  $\eta_t^{\wedge}$  and the maximal length of a monotone segment of  $\eta_t^{\mu}$ . We use induction method to show that  $\mathcal{T}_i - \mathcal{T}_{i-1} \leq 2^{-i}L^2$  for all  $i \in [\![ 3,K ]\!]$ , arguing by contradiction.

## Upper bound on the mixing time for $\lambda \in (1,2)$ concerning extremal initial conditions

• When  $\lambda \in (1,2)$ ,  $(A_t)_t$  is not a super-martingale due to the entropic repulsion of the hard wall.



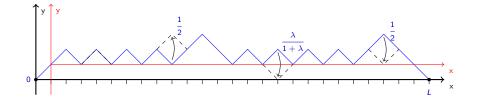
• Censoring: canceling any prescribed updates in any given spin positions and any chosen time intervals.



• Peres-Winkler inequality: for monotone spin systems, censoring delays mixing for dynamics starting with extremal initial condition. For any prescribed censoring scheme  $\mathcal{C}$ , for all  $\lambda \in [0,\infty)$ , all  $t \geq 0$  and  $\xi \in \{\wedge, \vee\}$ , we have

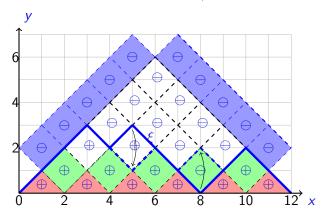
$$\|\mathbf{P}_{t}^{\xi} - \mu\|_{TV} \leq \|\mathbf{P}_{t}^{\xi,C} - \mu\|_{TV}$$
.

## Map the system with $\lambda=0$ to that with $\lambda=1$



## Idea for the upper bound concerning the maximal initial condition when $\lambda \in (1,2)$

• We censor updates in those spin positions colored green for  $t < t_{\delta/2}$ , and don't censor any update for  $t \ge t_{\delta/2}$ .



Therefore, the dynamics  $(\eta_t^{\wedge,\mathcal{C}})_{0 \leq t < t_{\delta/2}}$  does not touch the x-axis except at the two coordinates x = 0, L.

• By the cutoff theorem for  $\lambda=1$ , the distribution of  $\eta_{t_{\delta/2}}^{\wedge,\mathcal{C}}$  is close to  $\mu_I^0$  in total variation distance.

ullet 1) The Radon-Nikodym derivative of  $\mu_L^0$  with respect to  $\mu_L^\lambda$  is

bounded by a constant, by

2)

y a constant, by 
$$2^{-L}Z_L(\lambda) \sim C(\lambda) \times \begin{cases} L^{-3/2} & \text{if } \lambda \in [0,2), \\ L^{-1/2} & \text{if } \lambda = 2, \\ e^{LF(\lambda)} & \text{if } \lambda \in (2,\infty). \end{cases}$$
 
$$\mathrm{gap}_{L,\lambda} \geq \kappa_L \ = \ 1 - \cos\left(\frac{\pi}{L}\right) \ .$$

3) Combining Cauchy-Schwarz inequality and the reversibility of the Markov chain, for any probability distribution  $\nu$  on  $\Omega_L$ , [Caputo,

Lacoin, Martinelli, Simenhaus, Toninelli '12] proves that  $\|\nu P_t - \mu\|_{\mathrm{TV}} \, \leq \, \frac{1}{2} e^{-t \cdot \mathrm{gap}_{L,\lambda}} \sqrt{\mathrm{Var}_{\mu}(\rho)} \, ,$ 

where  $\rho := \frac{d\nu}{d\mu}$  and  $\operatorname{Var}_{\mu}(\rho) := \mu(\rho^2) - \mu(\rho)^2$ .

Therefore, the distribution of  $\eta_{t_{\delta/2}+C_cL^2}^{\wedge}$  is close to  $\mu$ .

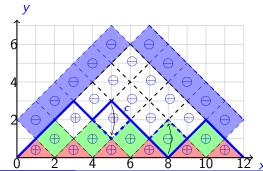
## Idea for the upper bound concerning the minimal initial condition when $\lambda \in (1,2)$

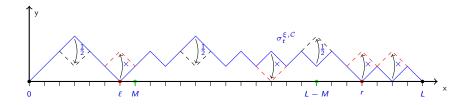
(i) Run the dynamics  $(\eta_t^{\vee})_{0 \le t \le S_0(L)}$  without censoring, where

$$s_0(L) := 10L^{16/9} \log L \ll L^2 \log L$$
.

W.h.p.  $\eta_{s_0(L)}^{\vee}$  does not touch the x-axis in the interval  $[\![M,L-M]\!]$  for some M sufficiently large.

(ii) In the time interval  $[s_0(L), s_0(L) + t_{\delta/2})$ , censor updates in those spin positions colored green.





- By the cutoff theorem for  $\lambda=1$ , roughly speaking, the distribution of  $\eta_{s_0(L)+t_{\delta/2}}^{\vee,\mathcal{C}}$  is close to  $\mu_L^0$  in total variation distance.
- Then we run the dynamics without censoring with an extra amount of time of order  $L^2$ , and apply

#### [CLMST '12]

$$\|\nu P_t - \mu\|_{\mathrm{TV}} \leq \frac{1}{2} e^{-t \cdot \mathrm{gap}_{L,\lambda}} \sqrt{\mathrm{Var}_{\mu}(\rho)},$$

where  $\rho := \frac{d\nu}{d\mu}$  and  $\operatorname{Var}_{\mu}(\rho) := \mu(\rho^2) - \mu(\rho)^2$ .

to conclude the proof.

### Open question

To understand the effect of entropic repulsion on the dynamics. In particular, to prove

For  $\lambda \in (1,2)$ , we have

$$T_{\min}^{L,\lambda}(\varepsilon) \leq \frac{1+o(1)}{\pi^2}L^2\log L.$$

## Thank you for your attention!