

Smartcab P-4 Report by Andrey Shkabko

Implement a Basic Driving Agent

*Observe what you see with the agent's behavior as it takes random actions. Does the **smartcab** eventually make it to the destination? Are there any other interesting observations to note?*

Smartcab eventually makes to destination even with a random choice of action. If agent reaches destination it gets 10 rewards, 0 if agent stays without moving, -1 if the Action is invalid.

As the agent is only exploring and not learning collecting many negative rewards along the way. Another thing to think about is what type of environment do we have here?

- deterministic
- stochastic

MLPs are not deterministic, but stochastic. In our case an Agent develops strategy by perusing random choices in the complex environment.

Would this matter in relation to the q-learning algorithm and/or parameters?

Yes, we have to choose important states and adjust parameters like learning rate and discount that would allow an Agent successfully reach the state within a deadline.

Inform the Driving Agent

*What states have you identified that are appropriate for modeling the **smartcab** and environment? Why do you believe each of these states to be appropriate for this problem?*

*How many states in total exist for the **smartcab** in this environment? Does this number seem reasonable given that the goal of Q-Learning is to learn and make informed decisions about each state? Why or why not?*

At each position the states considered to be the most important are: light (red, green), presence of oncoming vehicles, vehicles from left (None, left, right, forward) and 4 actions to the next waypoint (None, left, right, forward). Each of these states are important because otherwise the Agent will not be able to follow the rules (high bias) on the intersections as well as not able to learn proper actions from the knowledge of rewards for the possible next waypoints. All in all $2*4*4*4*4=512$ states

- *Thus why doesn't the agent need to keep track of the right traffic?*

It's an irrelevant parameter because there can not be interference from the dummy Agents which follows the right of way rule. If it's red for an Agent, it can still turn right (we do not consider U-turn), while right dummy can drive where he wants. If it's green then, dummy will wait and again no interference occurs.

- *What could be some potential issues if we were to include the deadline?*

Including the deadline will result in the amount of states = *deadline which will be a huge increase amount of states. Like that an Agent will need to explore and update too many states and in this case 100 trials will definitely not be able to update huge Q matrix.

Implement a Q-Learning Driving Agent

What changes do you notice in the agent's behavior when compared to the basic driving agent when random actions were always taken? Why is this behavior occurring?

With each trial the Agent learns by updating the Q matrix and following the maximum Q update strategy on each local waypoint. However, policy agent strategy should still be implemented.

Thus is the agent following the rules of the road? Going in circles at times? Getting stuck in local minima? What do the initial trials look like? Random exploration still? Does the agent learn to follow the best next_waypoint?

At starting point $Q=0$, so an Agent chooses random action, does not follow the rules and explore the states updating Q values which can be negative and positive and can be learned only after the Agent visited them. After some of the trials the Agent will find states with max possible Q according to updated matrix and choose this states more often eventually starting to behave better and better finally reaching final states more and more. But out of 100 trials it will finally make 17-27 arrivals to final states, which is not bad for an Agent without policy, but if amount of waypoints will be 480 and not 48 this number will be significantly lower.

Improve the Q-Learning Driving Agent

Report the different values for the parameters tuned in your basic implementation of Q-Learning. For which set of parameters does the agent perform best? How well does the final driving agent perform?

If I take the score metric as amount of trials the Agent reaches the final destination within the time, than it changes from 0% for the first trials and reaches about 99% for the trials starting from 100 for the best parameters.

Please note that #Trials is amount of trials calculated each time for a new experiment.

#Trials	Discount	Learning	Penalty_per_trial	Succesfull trials	#Trials	Discount	Learning	Penalty_per_trial	Succesfull trials
1	0.05	0.5	9.000000	1	1	0.5	0.5	10.000000	1
2	0.05	0.5	5.500000	2	2	0.5	0.5	5.000000	1
4	0.05	0.5	2.500000	3	4	0.5	0.5	2.750000	3
8	0.05	0.5	2.000000	8	8	0.5	0.5	4.875000	6
16	0.05	0.5	0.875000	16	16	0.5	0.5	4.437500	12
32	0.05	0.5	0.531250	31	32	0.5	0.5	5.125000	27
64	0.05	0.5	0.250000	64	64	0.5	0.5	5.312500	54
128	0.05	0.5	0.320312	127	128	0.5	0.5	0.710938	127
256	0.05	0.5	0.160156	255	256	0.5	0.5	1.332031	246
512	0.05	0.5	0.095703	510	512	0.5	0.5	0.585938	503
1024	0.05	0.5	0.064453	1024	1024	0.5	0.5	0.328125	1017
2048	0.05	0.5	0.037109	2041	2048	0.5	0.5	0.165039	2031
4096	0.05	0.5	0.020020	4089	4096	0.5	0.5	0.201172	4073

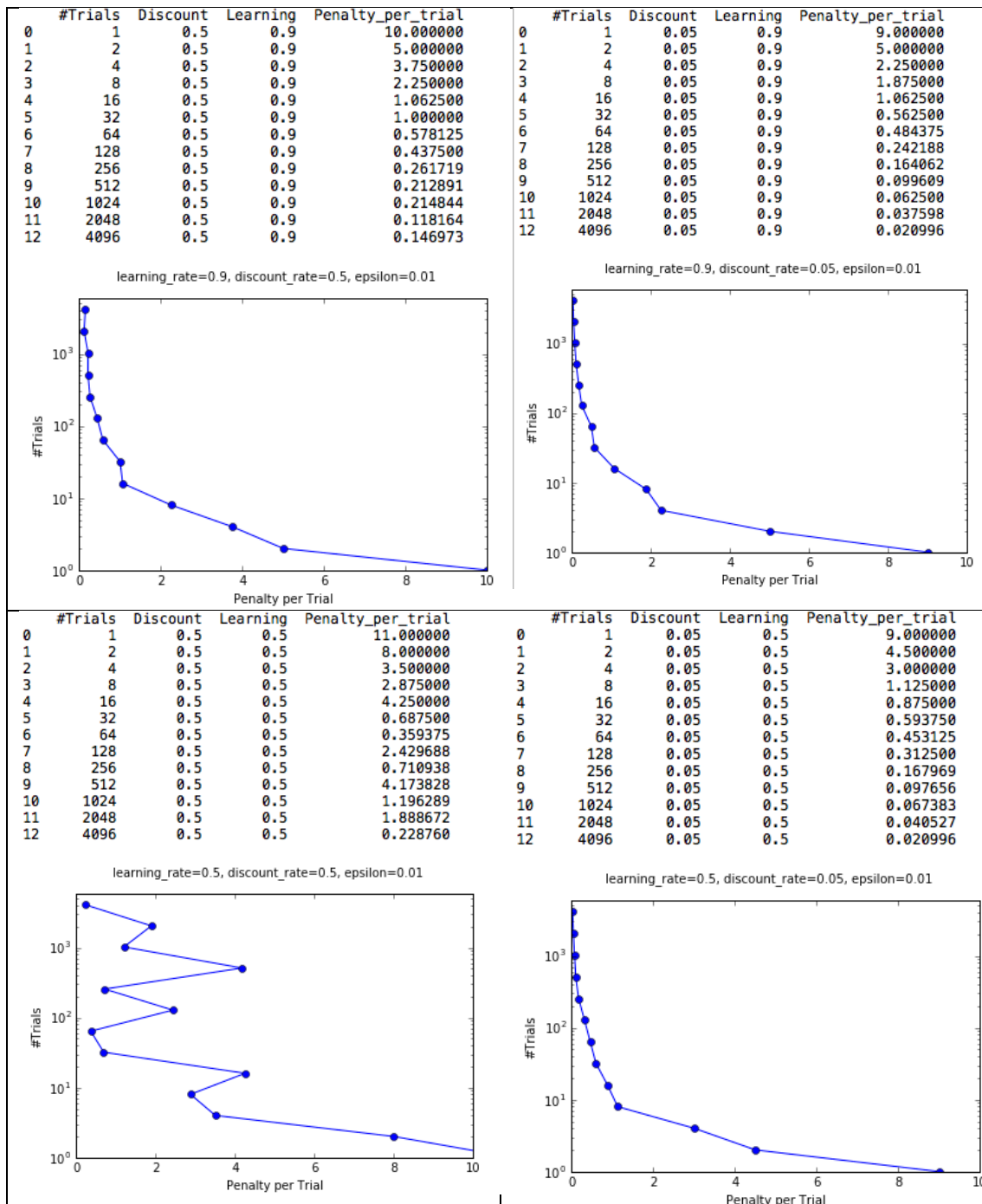
#Trials	Discount	Learning	Penalty_per_trial	Succesfull trials	#Trials	Discount	Learning	Penalty_per_trial	Succesfull trials
1	0.05	0.9	6.000000	1	1	0.5	0.9	10.000000	1
2	0.05	0.9	5.000000	2	2	0.5	0.9	3.500000	2
4	0.05	0.9	4.000000	3	4	0.5	0.9	5.000000	3
8	0.05	0.9	1.625000	8	8	0.5	0.9	1.500000	8
16	0.05	0.9	0.937500	15	16	0.5	0.9	0.937500	15
32	0.05	0.9	0.375000	31	32	0.5	0.9	0.906250	31
64	0.05	0.9	0.390625	63	64	0.5	0.9	0.500000	62
128	0.05	0.9	0.281250	128	128	0.5	0.9	0.406250	124
256	0.05	0.9	0.191406	255	256	0.5	0.9	0.312500	252
512	0.05	0.9	0.121094	511	512	0.5	0.9	0.294922	505
1024	0.05	0.9	0.058594	1023	1024	0.5	0.9	0.205078	1019
2048	0.05	0.9	0.037598	2043	2048	0.5	0.9	0.088379	2039
4096	0.05	0.9	0.022949	4088	4096	0.5	0.9	0.057373	4083

Does your agent get close to finding an optimal policy, i.e. reach the destination in the minimum possible time, and not incur any penalties? How would you describe an optimal policy for this problem?

I used 2 parameters(+epsilon=0.01) to roughly analyse the performance of the Agent. An important question is how good is the Agent with time. One of the metrics used to analyze is how good Agent does in a last 10 trials out of 100. Another one used in this report is to analyze the penalties (times the Agent receives negative rewards) over time. I used to increase amount of trials up to 4096 for each set of parameters: learning_rate and discount_rate. As can be seen from the graph the best parameters are with low discount rate and higher learning rate.

For choosing the correct policy which is in my case value iteration + greedy exploration/exploitation the amount of penalties are significantly decreased if I use special for of epsilon/exp(t) function which allow Agent to explore less and less as time passes. This lets the Agent to explore more at the beginning of the trial and less afterwards.

The penalty per trial should approach 0 as the Agent learns more and more. Some of the events have very low probability over 100 trials like when cars are encounter at the intersection. Such events require more trials to update Q matrix and follow right strategy.



However lastly for this section make sure you also mention which set of parameter values is most optimal? What is the best learning rate? What is the best discount factor? What are these "low discount rate and higher learning rate"?

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I calculated the values for the cases when agent is given 100 trials each 100 times (for better statistics) for the following set of parameters:
learning_rate = [0.1, 0.5, 0.9] discount_rate = [0.05, 0.5, 0.9]

As you can see from the table the best parameters in terms of success rate is 99.39 for Discount factor of 0.05 and learning rate of 0.1. However, as for the penalties rate, the best parameters are Discount 0.05 and Learning 0.5 and Discount 0.05 and Learning 0.9 with penalties of 0.3097.

In general its pretty amazing that independent of the Learning rate out of the 100 trials the Agent will have the same amount of accumulated negative rewards for the 0.5 or 0.9 learning rates.

To conclude, the best parameters are low discount rates with pretty much broad choice of the learning rates.

```
#Trials      100.0000
Discount      0.0500
Learning       0.1000
Penalty_per_trial  0.3118
Successfull trials  99.3900
Name: mean, dtype: float64
#Trials      100.0000
Discount      0.5000
Learning       0.1000
Penalty_per_trial  0.4338
Successfull trials  98.7000
Name: mean, dtype: float64
#Trials      100.0000
Discount      0.9000
Learning       0.1000
Penalty_per_trial  0.6883
Successfull trials  96.8300
Name: mean, dtype: float64
#Trials      100.0000
Discount      0.0500
Learning       0.5000
Penalty_per_trial  0.3097
Successfull trials  99.2900
Name: mean, dtype: float64
#Trials      100.0000
Discount      0.5000
Learning       0.5000
Penalty_per_trial  1.5542
Successfull trials  90.7100
Name: mean, dtype: float64
#Trials      100.0000
Discount      0.9000
Learning       0.5000
Penalty_per_trial  2.4418
Successfull trials  82.4500
Name: mean, dtype: float64
#Trials      100.0000
Discount      0.0500
Learning       0.9000
Penalty_per_trial  0.3097
Successfull trials  99.2000
Name: mean, dtype: float64
#Trials      100.0000
Discount      0.5000
Learning       0.9000
Penalty_per_trial  0.5489
Successfull trials  97.6200
Name: mean, dtype: float64
#Trials      100.0000
Discount      0.9000
Learning       0.9000
Penalty_per_trial  0.8136
Successfull trials  96.5300
```

please provide

- a description is provided of what an ideal or optimal policy would be.(i.e. perfect success rate? no penalties? few penalties? fastest route? etc...)
- Then please also mention if you believe that your agent is performing optimally/sub-optimally. As you actually have provided solid justification for any decision here with your penalty plots and brief discussion of the agents behavior. But just mention if your agent is optimal or not.

The optimal policy is firstly to obey the rules and collect as little of penalty rewards as possible. Normally, even with higher than 100 trials there are situations when penalties are collected but there are optimal parameters calculated which give the success rates of 99.39 and penalties of as little as 0.3097 per trial. Training the agent with more Trials results lower penalties proving the fact that the agent learns optimally.

General note: Time to calculate: about 3mins on a PC/Mac.

Code modifications in the environment.py

2 parameters are added to the Environment.__init__ learning_rate=None, discount_rate=None
line 34

```
self.learning_rate = learning_rate    lines 44,45  
self.discount_rate = discount_rate  
state.sucess = 0
```

and success counter is added self.sucess += 1 for state['location'] == state['destination'] line 222

NOTES:

Idea: effectively reach new destinations in the allotted time

1. Investigate the environment the agent operates in by constructing a very basic driving implementation
2. identify each possible state the agent can be in when considering such things as traffic lights and oncoming traffic at each intersection
3. implement a Q-Learning algorithm for the self-driving agent to guide the agent towards its destination within the allotted time
4. improve upon the Q-Learning algorithm to find the best configuration of learning and exploration factors to ensure the self-driving agent is reaching its destinations with consistently positive results

The smartcab operates in an ideal, grid-like city (similar to New York City), with roads going in the North-South and East-West directions.

- On a green light, a left turn is permitted if there is no oncoming traffic making a right turn or coming straight through the intersection.
- On a red light, a right turn is permitted if no oncoming traffic is approaching from your left through the intersection.
- The route is split at each intersection into waypoints, and its assumed that the smartcab, at any instant, is at some intersection
- Smartcab **can determine** the state of the *traffic light* for its direction of movement, and whether there is a *vehicle at the intersection* for each of the oncoming directions
- For each action, the smartcab may either idle at the intersection, or drive to the next intersection to the left, right, or ahead of it

- Each trip has a time to reach the destination which decreases for each action taken

Task 1. Get the **smartcab** to move around in the environment *without* optimal driving policy

The driving agent is given the following information at each intersection (set of possible actions (**None**, **'forward'**, **'left'**, **'right'**) at each intersection:

- The next waypoint location relative to its current location and heading.
- The state of the traffic light at the intersection and the presence of oncoming vehicles from other directions.
- The current time left from the allotted deadline.

Some of the Environment class variables

`valid_headings = [(1, 0), (0, -1), (-1, 0), (0, 1)] # ENWS`

Basically the heading car is in (1,0) – east

(0,-1) – north

(-1,0) – west

(0,1) – south

position.

`valid_actions = [None, 'forward', 'left', 'right']`

Action for the car to go.

`valid_inputs = {'light': TrafficLight.valid_states, 'oncoming': valid_actions, 'left': valid_actions, 'right': valid_actions}`

The inputs at each position. Note: oncoming left and right have valid actions as [None, 'forward', 'left', 'right']

`Environment.valid_inputs`

Out[79]: {'left': [None, 'forward', 'left', 'right'], 'light': [True, False], 'oncoming': [None, 'forward', 'left', 'right'], 'right': [None, 'forward', 'left', 'right']}

Instance variable for valid inputs

`TrafficLight.valid_states`

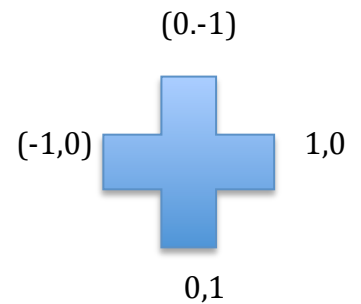
Out[80]: [True, False]

The light has 2 states

`start_heading = random.choice(self.valid_headings)`

one of the (1, 0), (0, -1), (-1, 0), (0, 1) directions

`heading = state['heading']` its one of the (1, 0), (0, -1), (-1, 0), (0, 1)



For heading(1,0)

heading[0]=1 and heading[1]=0

Be aware: If the heading to the East (1,0) for example, your car position is on the left of the crossroad and if you turn left you go to the North (0, 1)

If (x,y) if your current heading

For turning left:

$x' = y$

$y' = -x$

For turning right:

$x' = -y$

$y' = x$

The problem of headings is addressed here <https://discussions.udacity.com/t/headings-left-turn-and-right-turn/164468/4>

valid_actions = [None, 'forward', 'left', 'right']

valid_inputs = {'light': TrafficLight.valid_states, 'oncoming': valid_actions, 'left': valid_actions, 'right': valid_actions}

valid_headings = [(1, 0), (0, -1), (-1, 0), (0, 1)] # ENWS

There are 4 'actions' and 2 input 'light' states, 4 'oncoming' input states, 4 'left' states, 4 'direction' = $4 * 2 * 4 * 4 * 4 = 512$ states

The road network is

self.grid_size = (8, 6) # (cols, rows)

self.bounds = (1, 1, self.grid_size[0], self.grid_size[1])