# Image Classification: CNN vs Random Forest

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#### 1. Problem Statement

The Intel Image Classification dataset contains thousands of images of natural scenery around the world. The images are divided into 6 categories: buildings, forest, glacier, mountain, sea, and street. The goal of this project is to build a model that can accurately classify these images into their respective categories.

Image classification is an ideal way to learn about CNN-based models and understand how it can outperform traditional machine learning models like Random Forest. Hence, this project will compare the performance of a CNN, specifically the VGG16 model, with a Random Forest Model.

While, the CNN model is expected to outperform the Random Forest model, we want to understand the extent to which it does so. Not every problem requires a complex CNN model, and understanding when it can be used effectively is vital. Nevertheless, based on my dataset, I expect the CNN model to be significantly better than the Random Foreset Model. Also, Deep Learning is required to properly classify the images in the dataset.

Deep learning is needed for this project because the data and relationships are complex. The pixels in the image are not independent features; thus, the pixels hold key spatial information. CNN models derive and understand the spatial relationships of images and understand generalized patterns such that they can classify new images perfectly. The Random Forest model, on the other hand, would not understand the spatial relationships because it requires the pixels to be flattened into a single row and then fed into the model. Essentially, every pixel would be treated as an independent feature, for the scope of a picture that is not ideal. However, I chose the Random Forest model because it is one of the better simple models when it comes to classification tasks. Random Forests use multiple decision trees to determine the best class for the input data. However, it is prone to overfitting when dealing with images because it focuses a lot on the training set and not generalize well to new data. This is because every pixel is independent so the model doesn't learn that a certain set of pixels would reveal a certain class with high probability. For instance, a set of 100 pixels that represent the sky may not be understood by the Random Forest because every pixel is an independent feature.

Therefore, using a Deep learning model is an ideal approach. This report will delve into the specifics of the models and their performances.

#### 2. Data

The dataset contained roughly 24,000 images of natural scenery. However, I only used  $\sim 12,000$  images for the scope of this project. I develop code on a virtual server used by other students. When copying over the files, I was unable to copy all the images. To copy the images I used the scp command. Nevertheless,  $\sim 12,000$  images made for a solid sample set. The specific breakdowns of the train, test, and prediction sets are as follows:

Train: 7335 imagesTest: 3000 images

• Prediction: 1785 images

After copying the data, I ran an eda.py file to visualize the classes and the images.

The first step was to visualize the train and test class distributions.

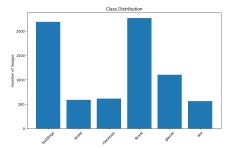


Figure 1: Train Class Distribution

This train class was imbalanced. The street, mountain, and sea classes had significantly fewer images than the other classes. The buildings and forest classes had the most images. This imbalance could lead to biased models. However, I chose not to address the imbalance issues because models need to be trained on a variety of sources. This imbalance could occur in the real world, albeit for a different situation, and the model must handle it and learn relationships.

The test class distribution is as follows:

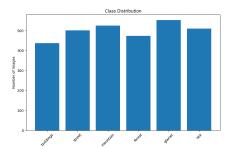


Figure 2: Test Class Distribution

The test class was not as imbalanced as the train class. The classes were more evenly distributed. While some classes had more than others, the difference was not large enough such that the model wouldn't have sufficient data to predict unseen data of a wide variety.

Next, I visualized the images of the train and test sets.



Figure 3: Train Images



Figure 4: Test Images

The images were of high quality and had similar sizes. Based on these sample images, the model should be able to understand where everything is located and not worry about areas being darker and unrecognizable.

The final step I took was to analyze the image sizes.

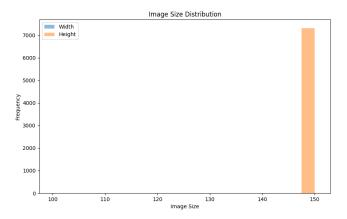


Figure 5: Train Image Sizes

Both, the train and test had the same image size distribution; thus, I am only including the Train distribution. All the images were 150x150. While this is a good size to train with, I augmented the images to a 256x256 size. When scaling an image to a larger size, the pictures can become more unclear and tougher to analyze. This is done purposefully to ensure that the model is trained on grainer data and can handle unclear images.

Finally, for the prediction data, the data is completely unlabeled and there are no class distributions. The images are of the same size as the train and test images. From this analysis of the prediction data, I will only use this to predict the model and not for other analysis. The goal of this dataset will be to see how the CNN model and Random Forest model perform on unseen, unlabeled data.

Overall, the images are high quality and are a good size. While, there are imbalances within the train data, I will be keeping this imbalance to see how the models will handle it. The dataset is perfect for the scope of this project. If I didn't have issues with the copying, I envision some of the imbalances may have been slightly allieviated. Nevertheless, I'm content with with the dataset. After all the exploratory data analysis, the next step is to preprocess the data and proceed with the model building.

#### 3. Methods

This section will be split it into two subsections. The first will delve into data preprocessing and the second will delve into model building. This section aims to detail my steps to ensure I'm creating the best model possible and to be consistent between the two models.

#### 3.1 Data Preprocessing

On a high level, both models will resize the image to 256x256; however, there are slight differences within each one due to different operations available within the models.

#### 3.1.1 Random Forest Model

The Random Forest model's data preprocessing will be as follows:

- 1. Resize the image to 256x256
- 2. Flatten the image to a single row
- 3. Store the class label and flattened image into an array
- 4. Convert into np.array and split into Train and Test sets
- 5. Pickle the data to reduce randomness, leakage, and better protect the data

#### 3.1.2 CNN Model

The CNN model's data preprocessing will be as follows:

- 1. Use ImageDataGenerator to augment the images (rotation and flip were applied to the train and validation sets)
- 2. Resize the image to 256x256
- 3. Batch size is set to 64
- 4. Images are preprocessed using the preprocess input function from the VGG16 model

While the above 4 steps showcase the general steps there are more tweaks done within the preprocessing steps. The way the data is provided there are three directories (seg\_train, seg\_test, and seg\_pred). seg\_train and seg\_test are meant to be used for model training. The seg\_pred data is meant to be unlabeled data that is used to test how well the model predicts unseen data (i.e there is no class label; therefore, the we cannot derive specific metrics). I want to ensure that I can gather the model's metrics. Thus, I took the seg\_train data and ran a train test split to create a new validation set. Thus, the model will be trained on this new validation set and the remaining seg\_train data. This leaves the seg\_test set, which is labeled and unseen by the model, to assess the model's performance and gather metrics.

#### 3.2 Model Building

#### 3.2.1 Random Forest Model

The Random Forest model was built using the scikit library. Random Forests are a simple model that can be used for classification tasks and depend on multiple decision trees to determine the best class given the input data. The model was built using 10 estimators (i.e. 10

decision trees). The model was fitted with the seg\_train data and model performance was assessed with the seg\_test data. I also used the model to predict the seg\_pred data to see how well it will do on those pictures and produce some outputs that will be analyzed in the results section.

#### 3.2.2 CNN Model

The CNN model was built using the VGG16 model. VGG16 is a pre-trained model that was trained on the ImageNet dataset. The model was built using the Keras library. The layers of the model were frozen and I added a few layers to the model. The layers were as follows:

```
# Add custom layers
x = Flatten()(base_model.output)
x = Dense(1024, activation="relu")(x)
x = Dropout(0.5)(x)
x = BatchNormalization()(x)
x = Dense(512, activation="relu")(x)
x = BatchNormalization()(x)
x = Dense(256, activation="relu")(x)
x = BatchNormalization()(x)
output = Dense(num_classes, activation="softmax")(x)
```

The dense layers are activated using the ReLu function and the output layer is activated using the softmax function. Relu is used to ensure that the model can learn complex relationships and the softmax function is used to ensure that the model can output a probability distribution. The softmax activation is ideal for multi-class classification tasks because the probability distribution can be used to determine the best class (highest probability = best class).

There are 3 dense layers to ensure the model can learn about the complex relationships in the data. The dropout layer is added to prevent overfitting and ensure the model doesn't learn weird features that are specific to the training set. The batch normalization layers are added to ensure the outputs are normalized and smoothed out. This better improves the relationships learned and reduce overfitting.

The model was compiled using the Adam optimizer and the categorical crossentropy loss function. The model was trained using the new train and validation data that was derived from the seg\_test dataset. The model was trained for 10 epochs and the batch size was set to 64. Early Stopping was also used to prevent overfitting. The patience was set to 3 (i.e if the model's validation loss did not improve for 3 epochs, the model would stop training). The model's performance was assessed using the seg\_test data. The model was also used to predict the seg\_pred data to see how well it will do on those pictures and produce some outputs that will be analyzed in the results section.

- 4. Results
- 5. Discussion
- 6. Works Cited