

# Software Verification and Validation Laboratory

**svv.lu**



# SnT Centre for Security, Reliability and Trust

- Part of the University of Luxembourg
- Focused on industry-driven research and innovation
- 24 MEUR turnover in 2017 (70% competitive)
- Headcount >260, >45 nationalities
- Most highly-cited scientists in Luxembourg
- CS @ UL ranked 58 in the world
- 31 industry and public-service partners
- 60 industrial PhD candidates (60% of total)
- 50 Research Associates (60% of total)
- FNR Public-Private Partnership programs



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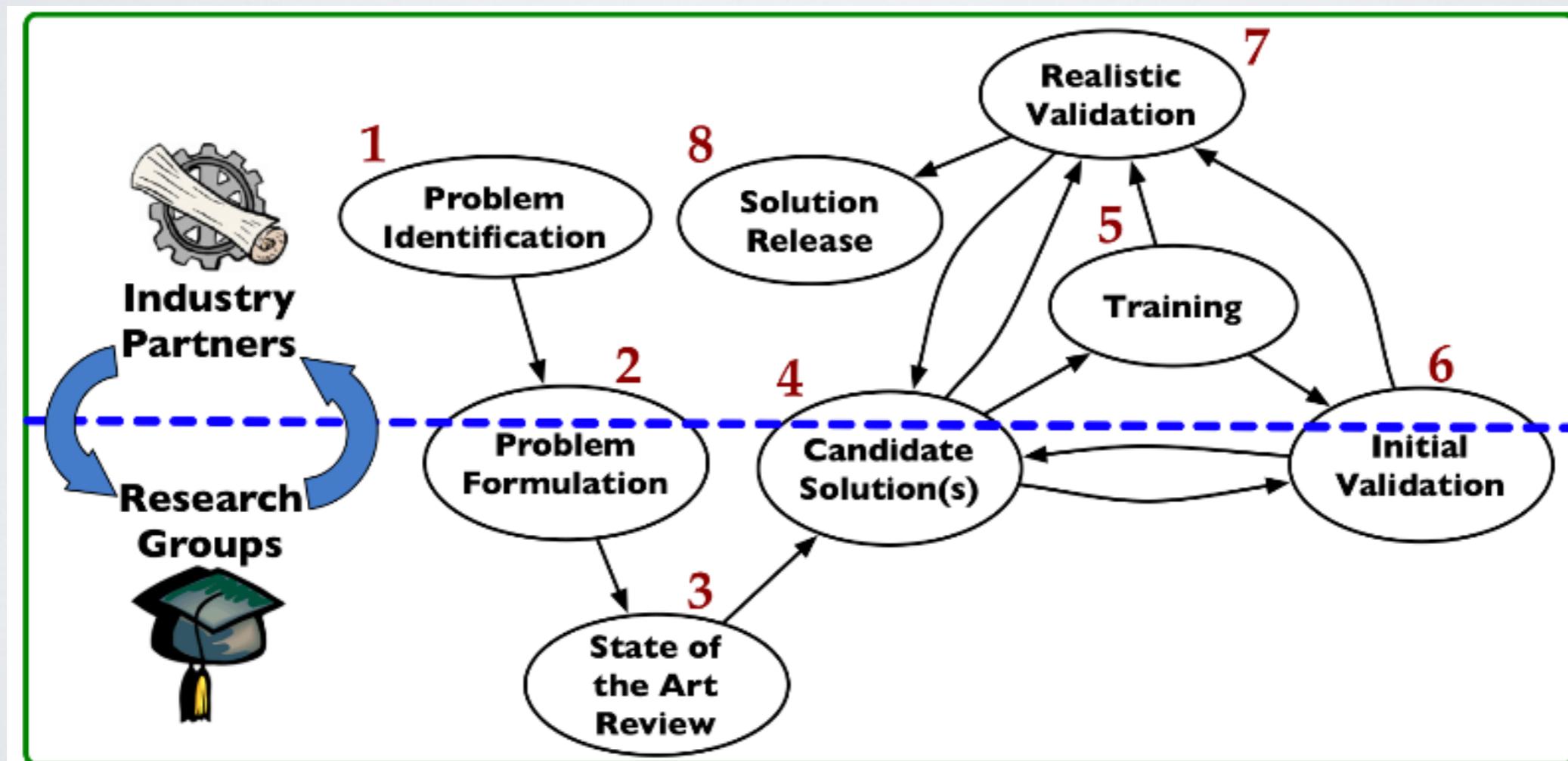
# SVV Lab Overview

- Established in 2012
- Requirements Engineering, Security Analysis, Design Verification, Automated Testing, Runtime Monitoring
- ~ 25 lab members
- Eight partnerships
- ERC Advanced Grant
- Budget 2016: ~2 Meuros



# Mode of Collaboration

- Strong emphasis on applied research, driven by needs
- Tight, large-scale industrial collaborations



# Meeting Objectives

- Discuss partnership on testing and verifying Simulink models (i.e., controllers, plant)
- Significant funding with ERC grant and co-funding with FNR (Luxembourg funding agency)
- Refine the objectives in current proposal
- Demo our existing tools

# Meeting Agenda

- March 2<sup>nd</sup>
  - 11:30am – 12:30pm Discussing ASTech models
  - 2pm – 3pm Meeting with Bjorn Ottersten, SnT Director
  - 3 pm – 5pm Technical presentations (Audi, SnT)
- March 3<sup>rd</sup>
  - 9am – 11am Technical presentation (CnTed) + demos
  - 11 am – 12pm Discussions (collaboration, partnership)
  - 2pm – 4pm Discussions (collaboration, partnership)

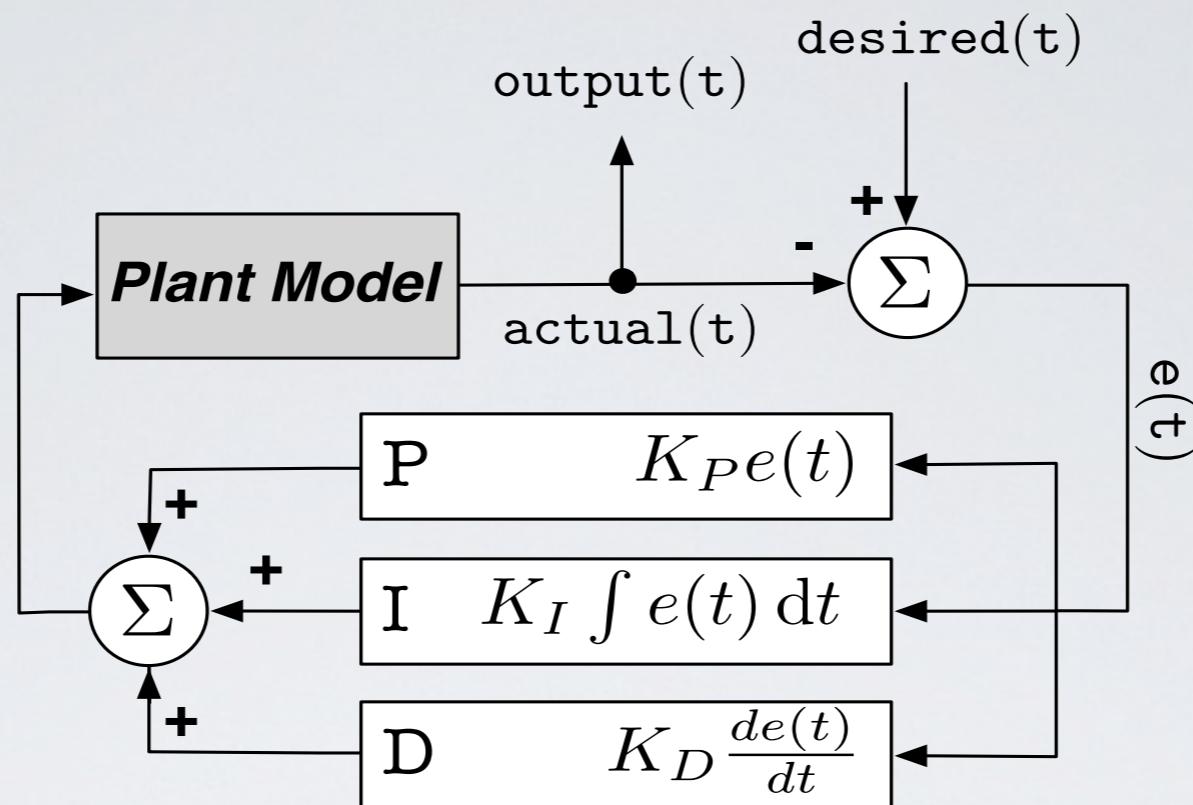
# ASTech Models

# SVV Technical Presentation

# Outline

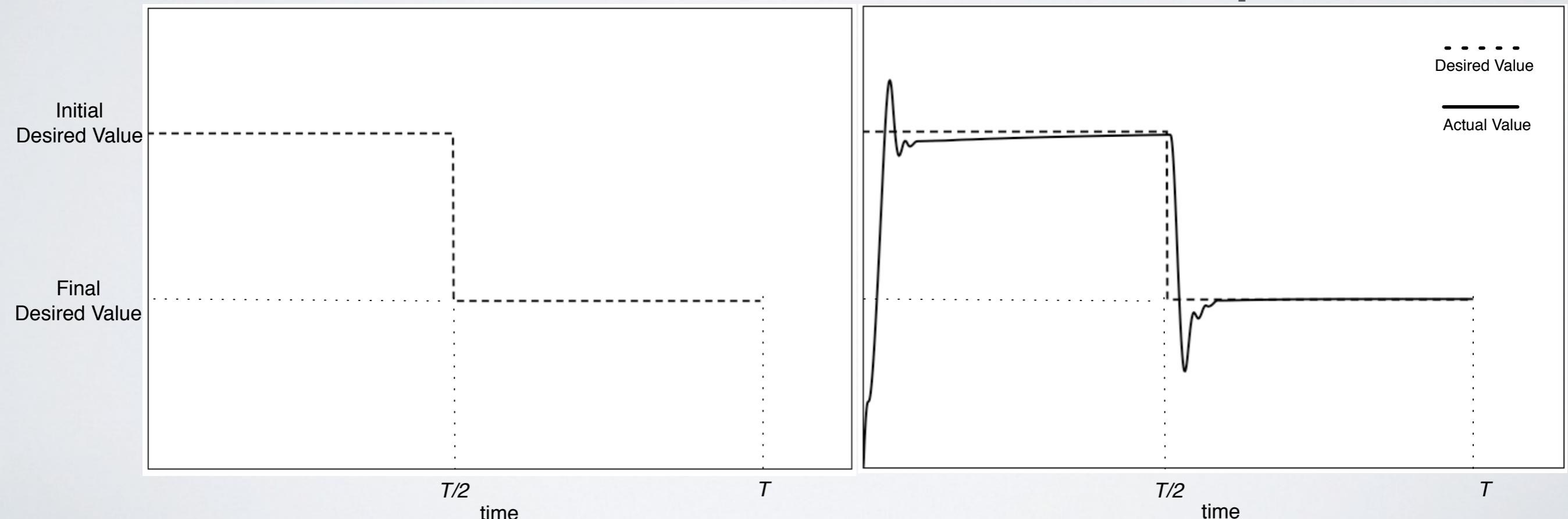
- **Introduction**
  - **Testing cyber-physical systems (ERC advanced grant)**
- **Automated testing of embedded software systems**
  - **Testing closed-loop controllers**
  - **Testing Simulink models**
  - **Fault Localization of Simulink models**
- **Other projects in the automotive domain**
- **Proposals for ASTech-SnT collaboration**

# Testing Closed Loop Controllers

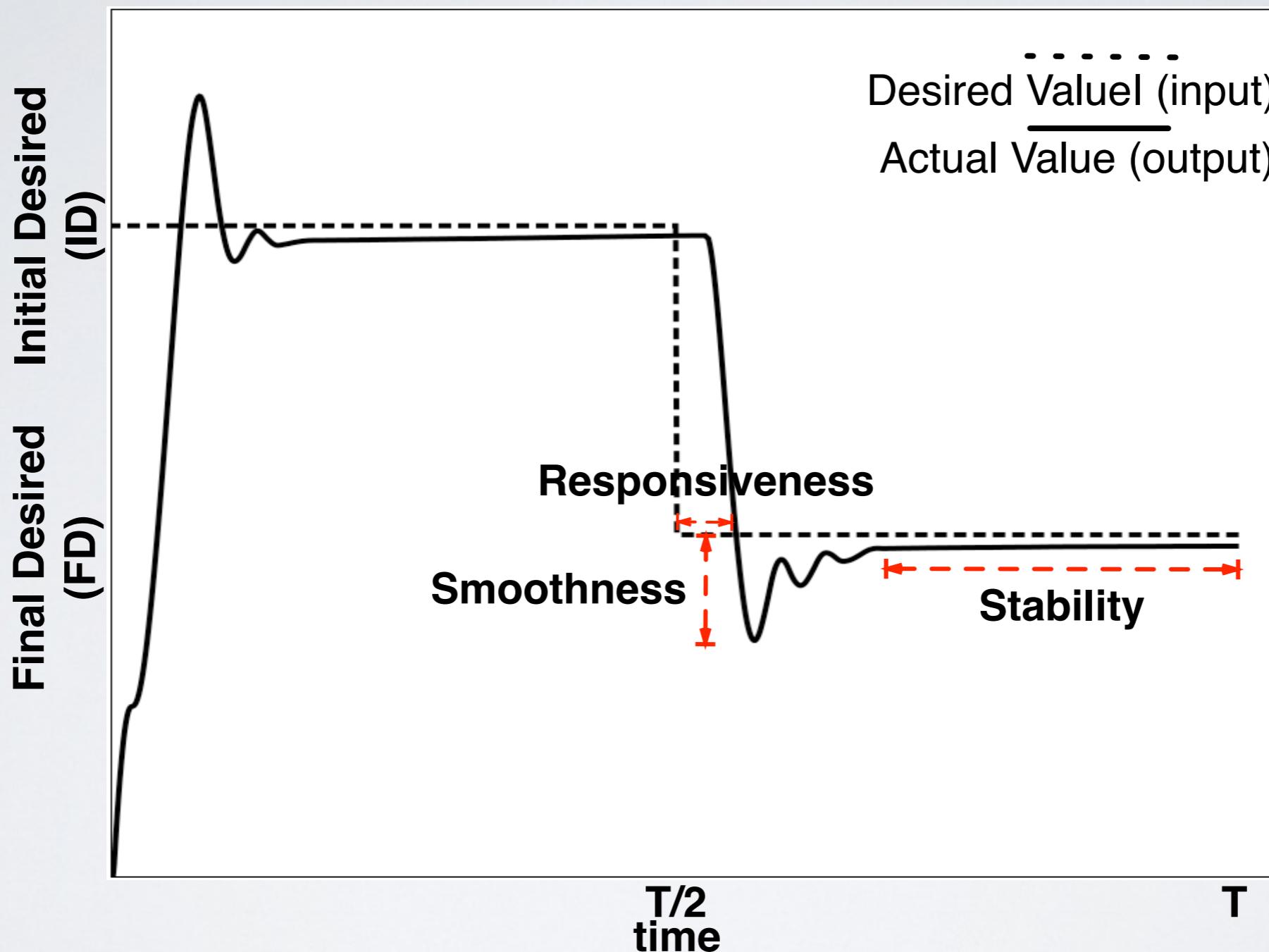


## Test Input

## Test Output



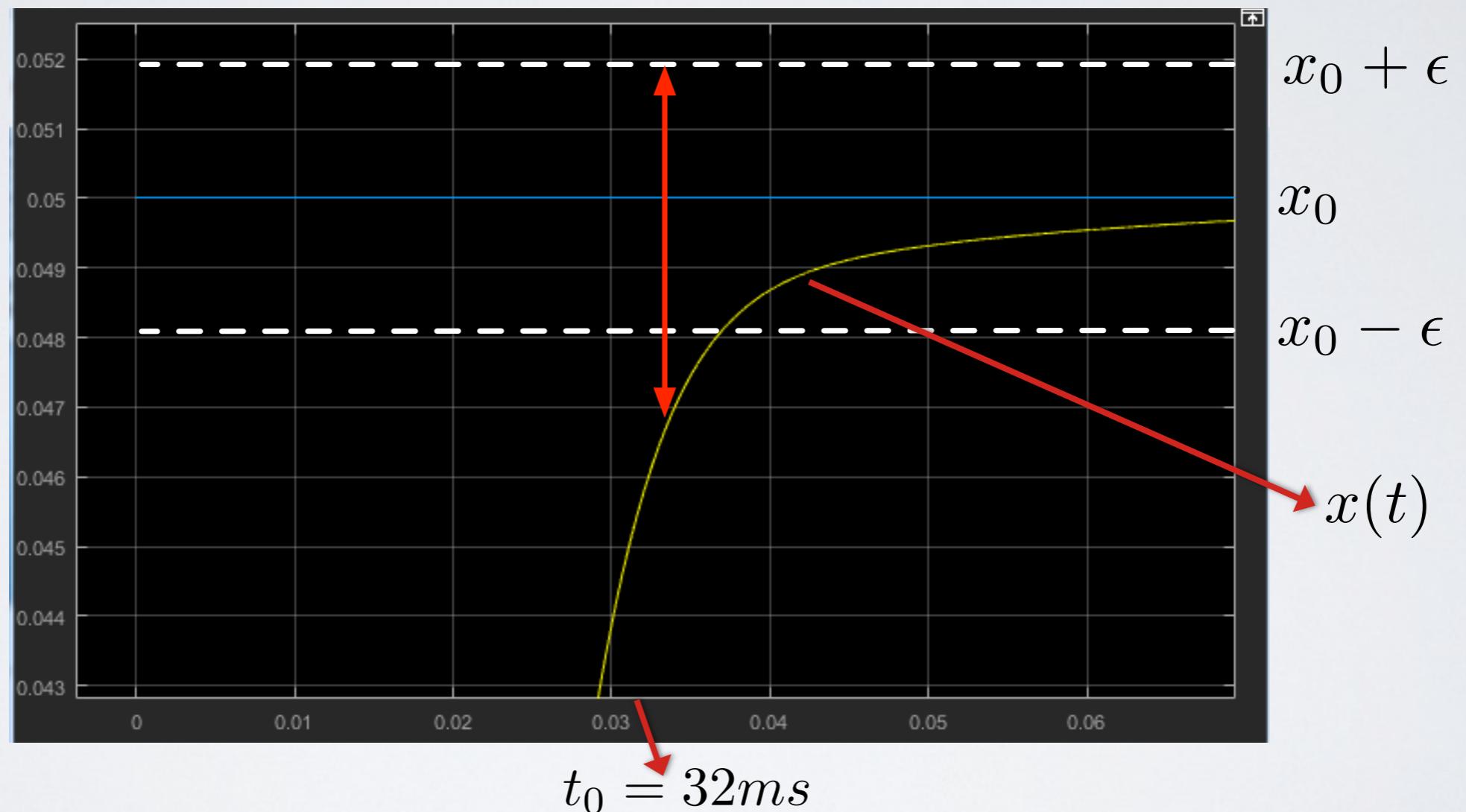
# Requirements and Test Objectives



We identify high risk behaviors by maximizing test objectives

# Requirements and Test Objectives

**Requirement:** As soon as braking is requested, the contact between caliper and disk should occur within 32ms

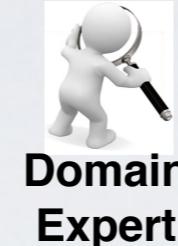
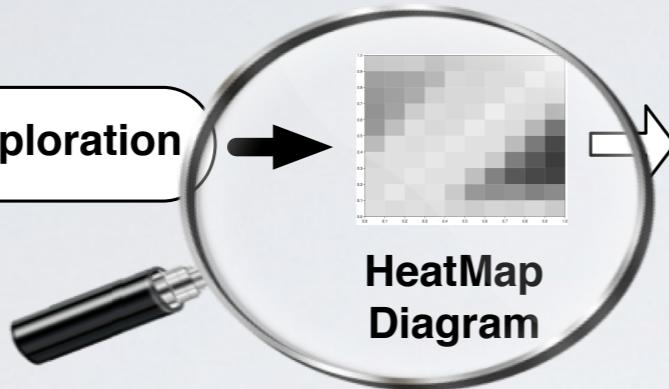


$$\text{Min}\{\text{Max}\{\text{Max}\{|x(t) - (x_0 + \epsilon)|, |x(t) - (x_0 - \epsilon)|\}\}\}_{t_0 \leq t \leq T}$$

# Continuous Controller Tester

Objective Functions based on Requirements + Controller-plant model

1. Exploration

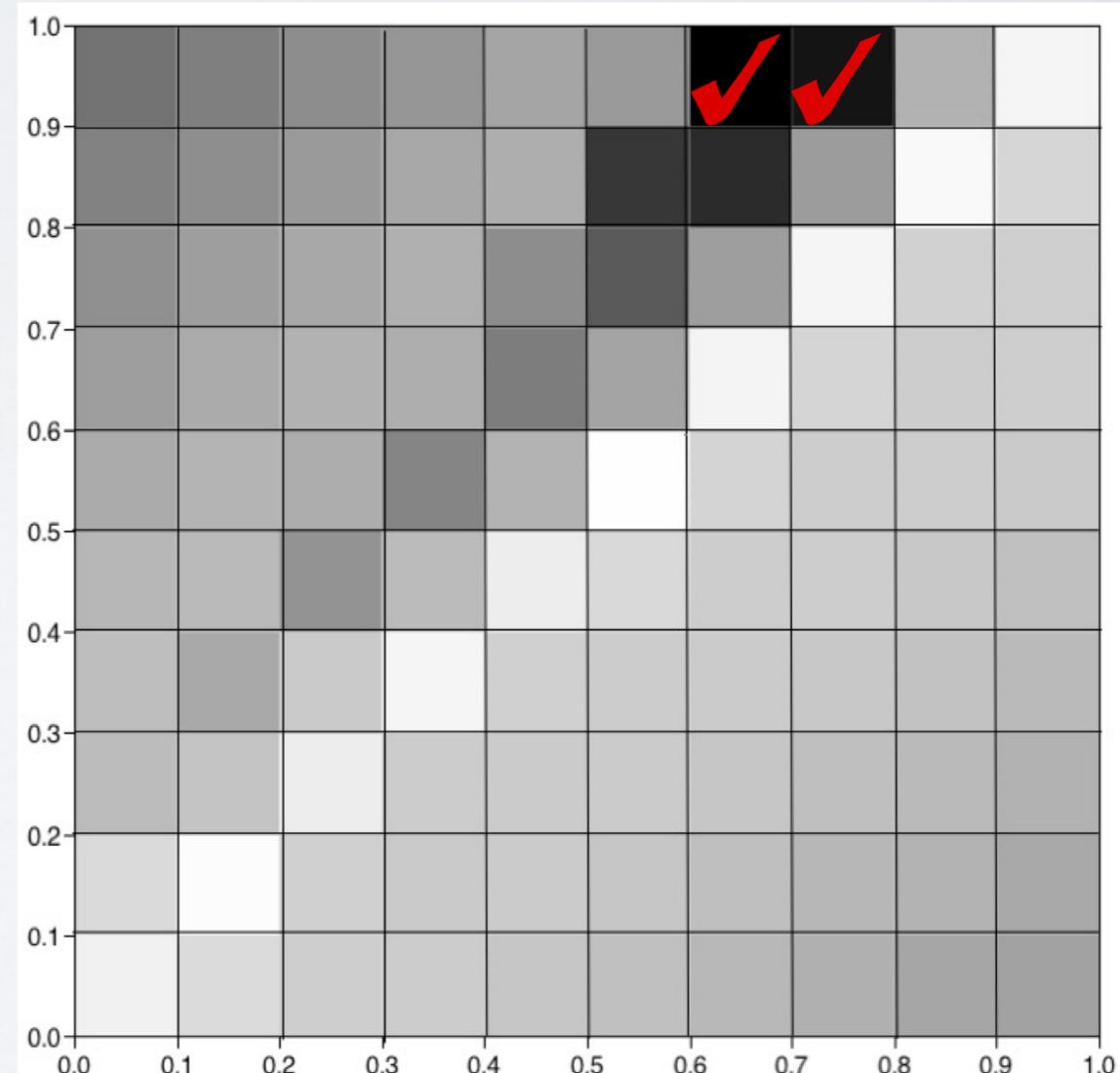
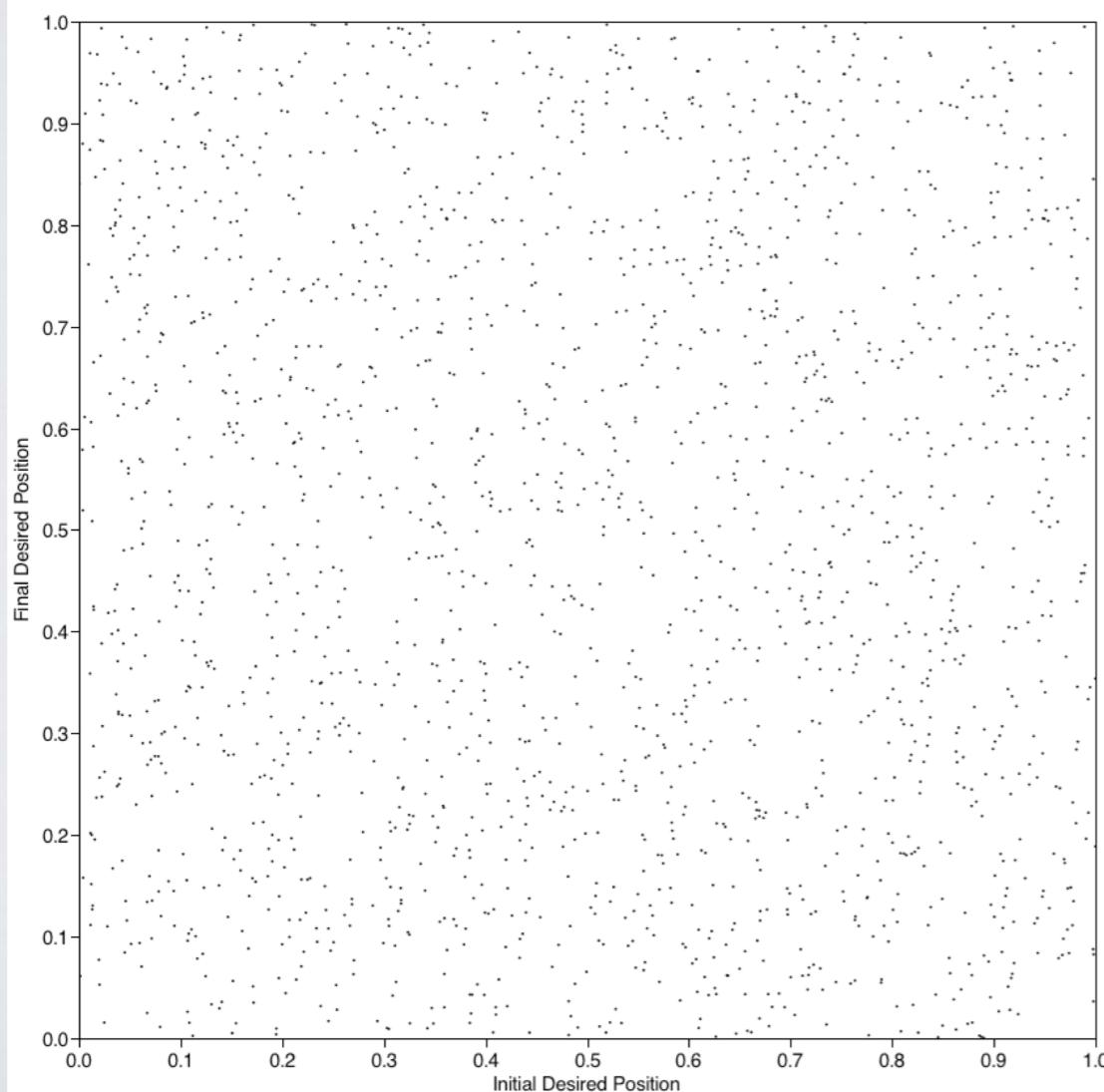


List of Critical Regions

2. Single-State Search

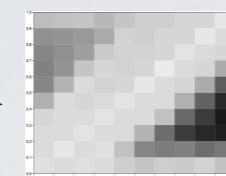
Worst-Case Scenarios

Initial Desired Value



Objective Functions based on Requirements + Controller-plant model

### 1. Exploration



HeatMap Diagram

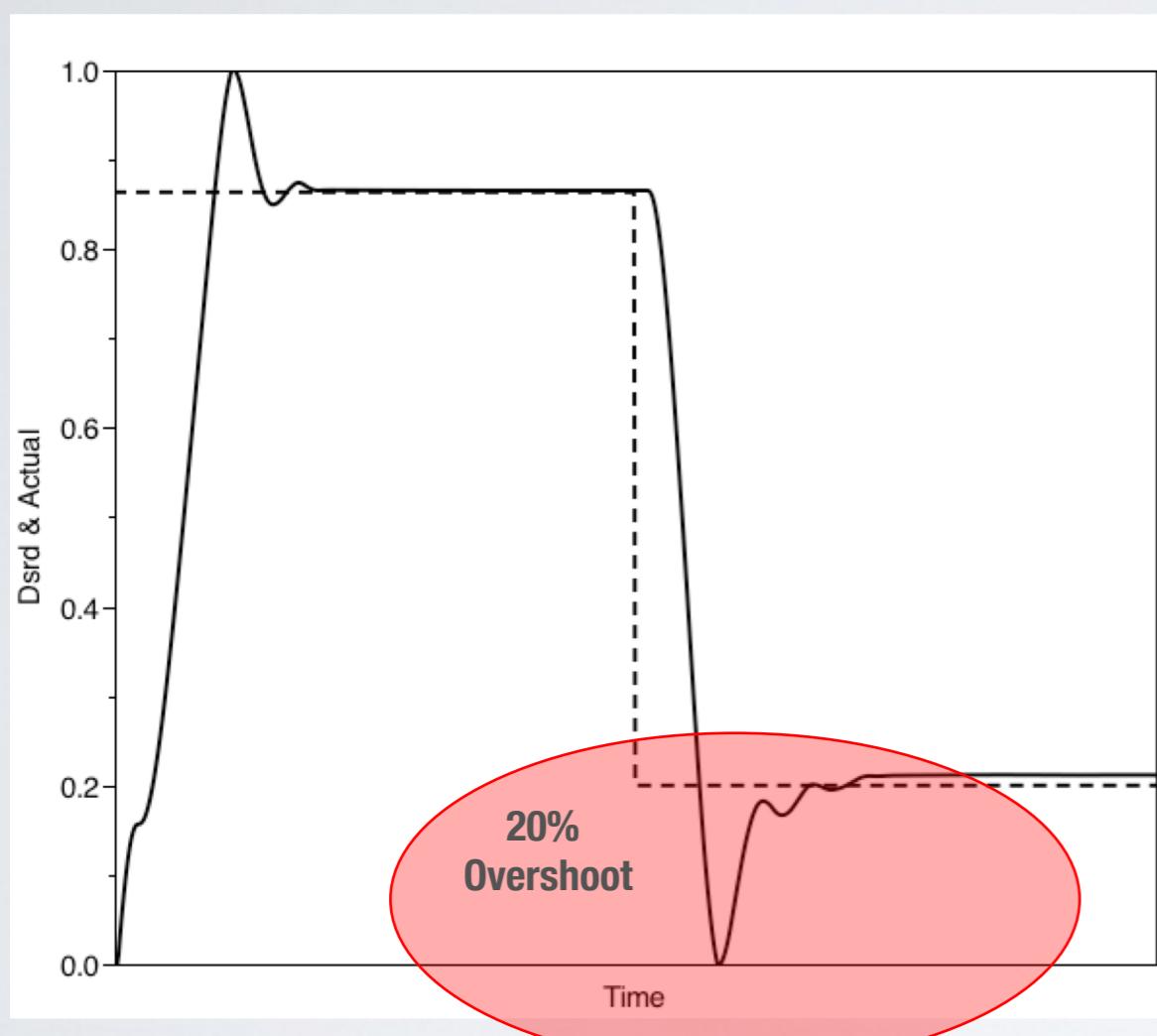


Domain Expert

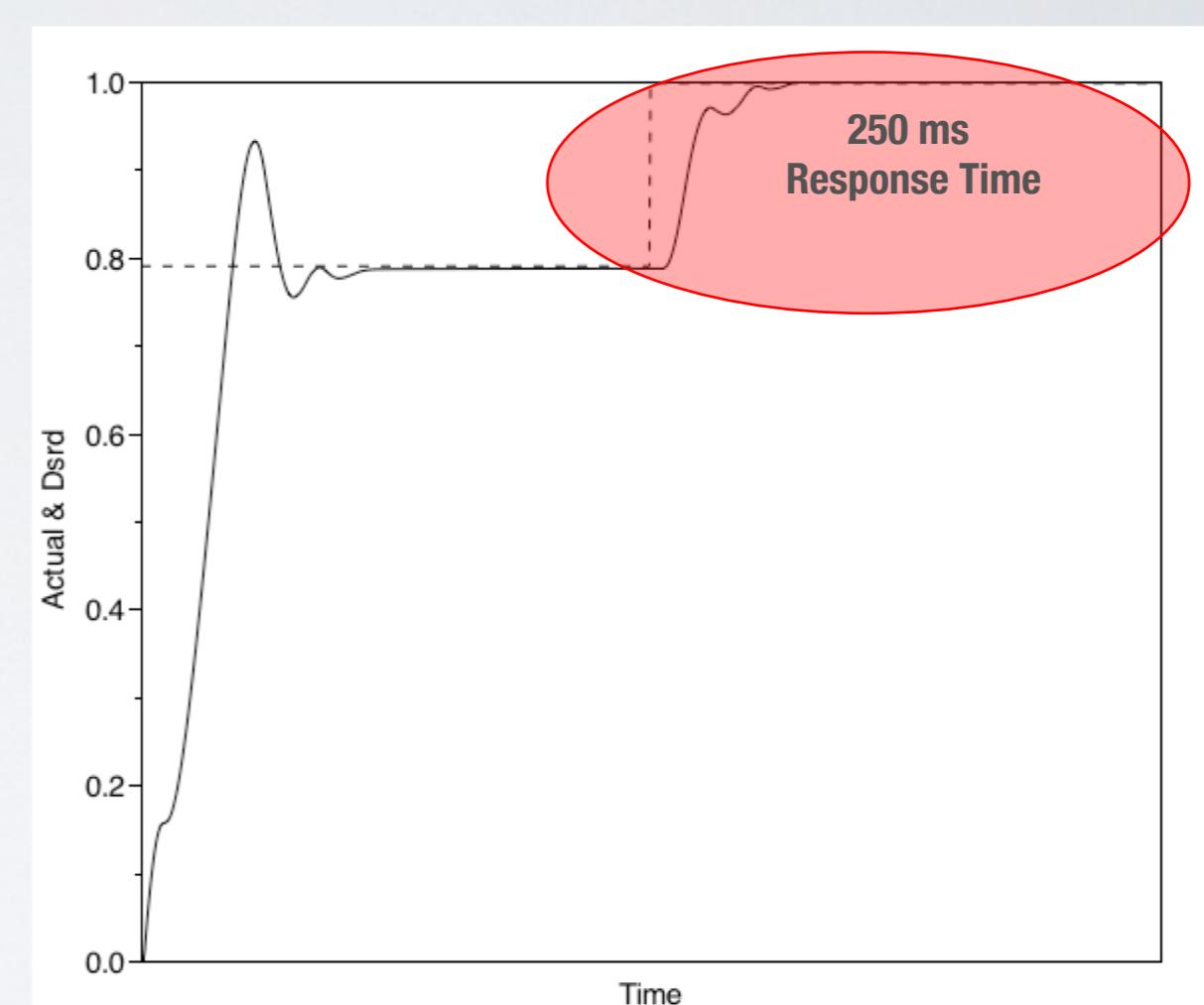
List of Critical Regions

### 2. Single-State Search

Worst-Case Scenarios

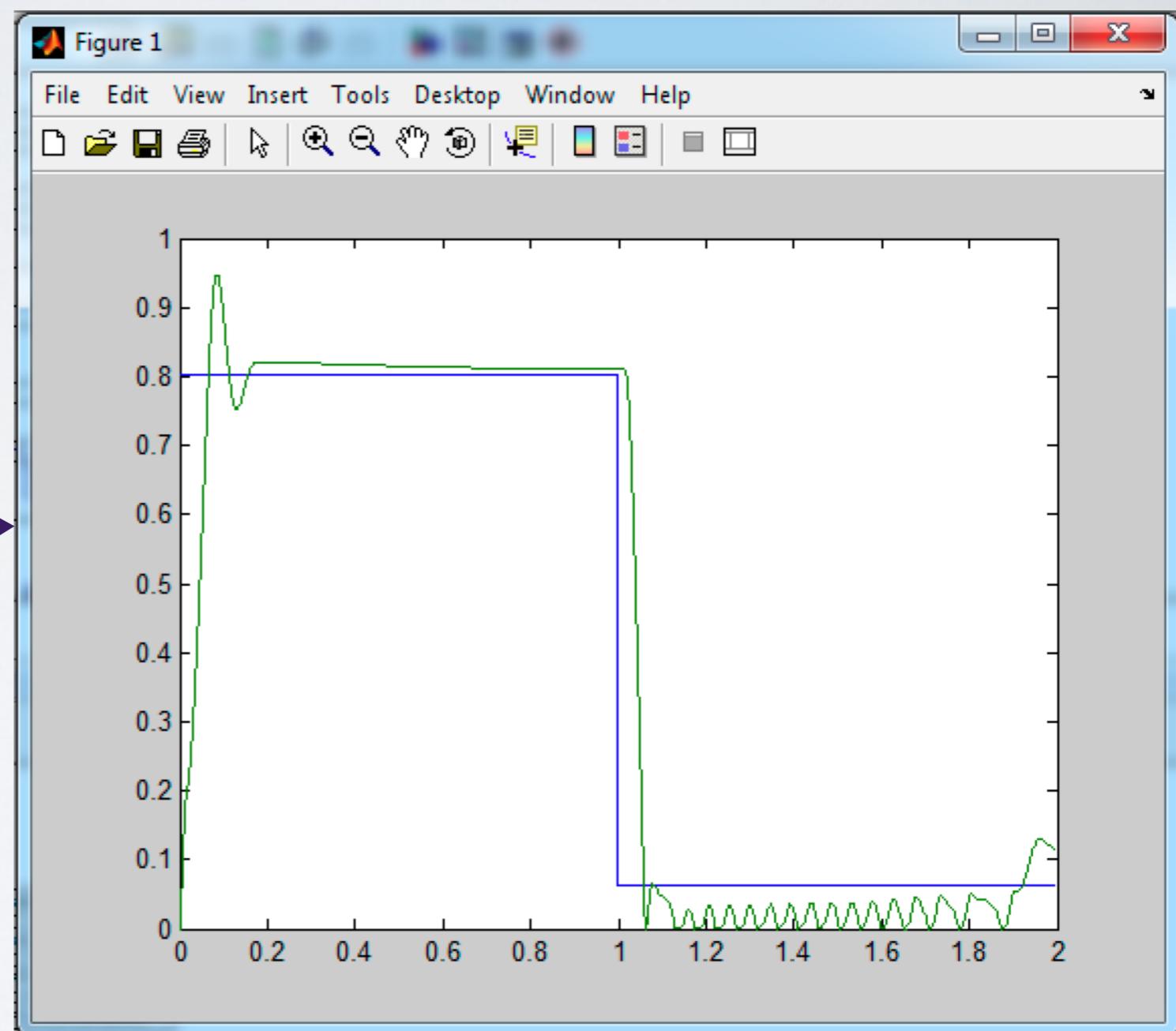
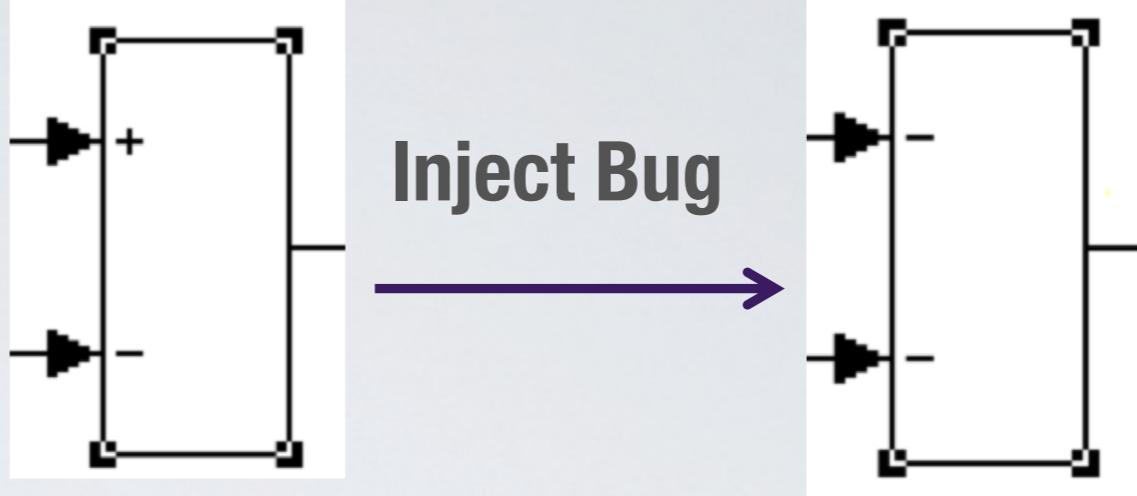
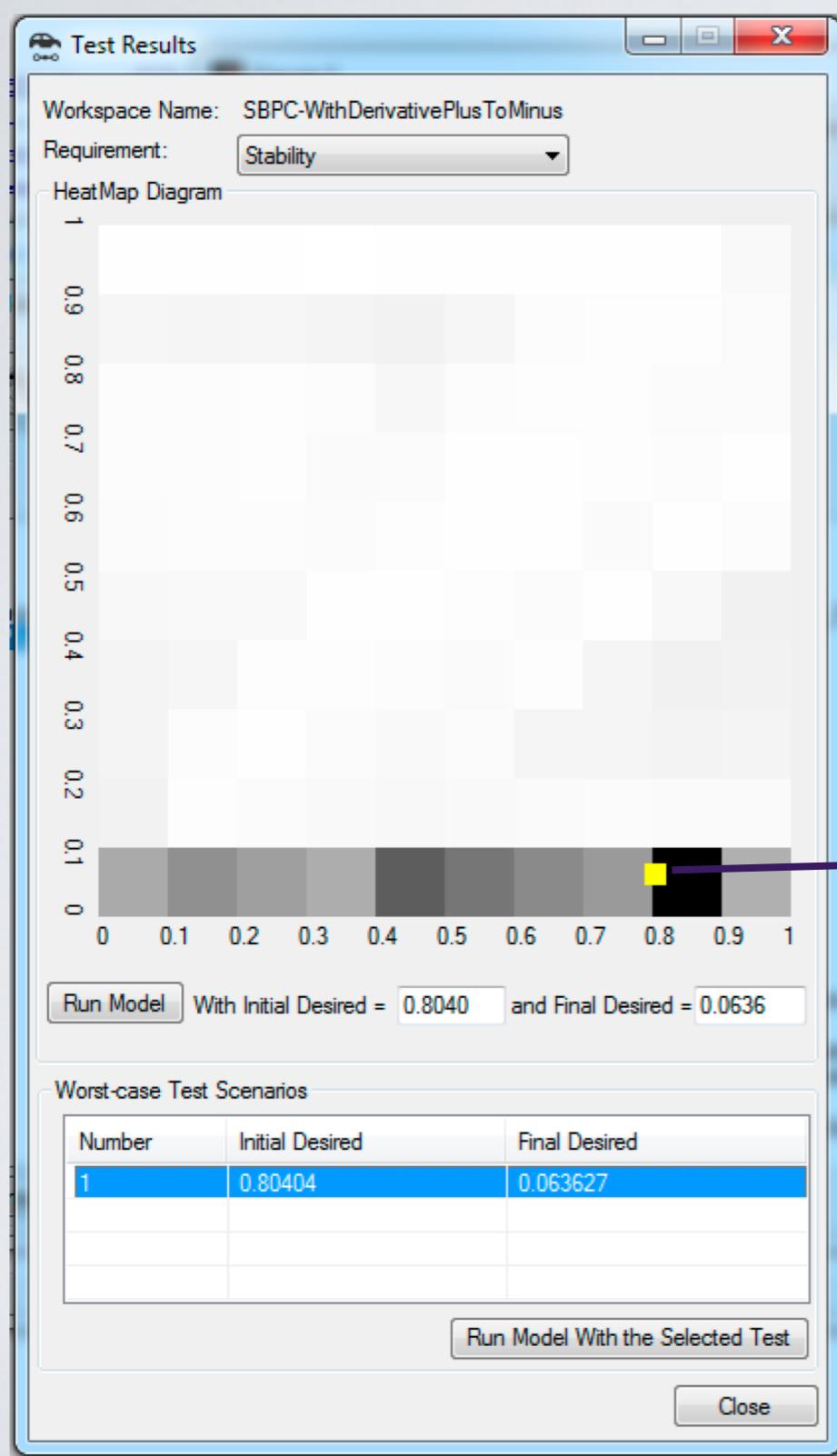


**Smoothness**



**Responsiveness**

# Finding Seeded Faults



# Summary

- We found several interesting test scenarios (worst cases) during Model-in-the-Loop (MiL) testing compared to what our partner had found so far
- These scenarios are also run at the Hardware-in-the-Loop (HiL) level, where testing is much more expensive:

MiL results -> test selection for HiL

# Testing Simulink Models (Closed Loop and Open Loop Controllers)

# Objectives

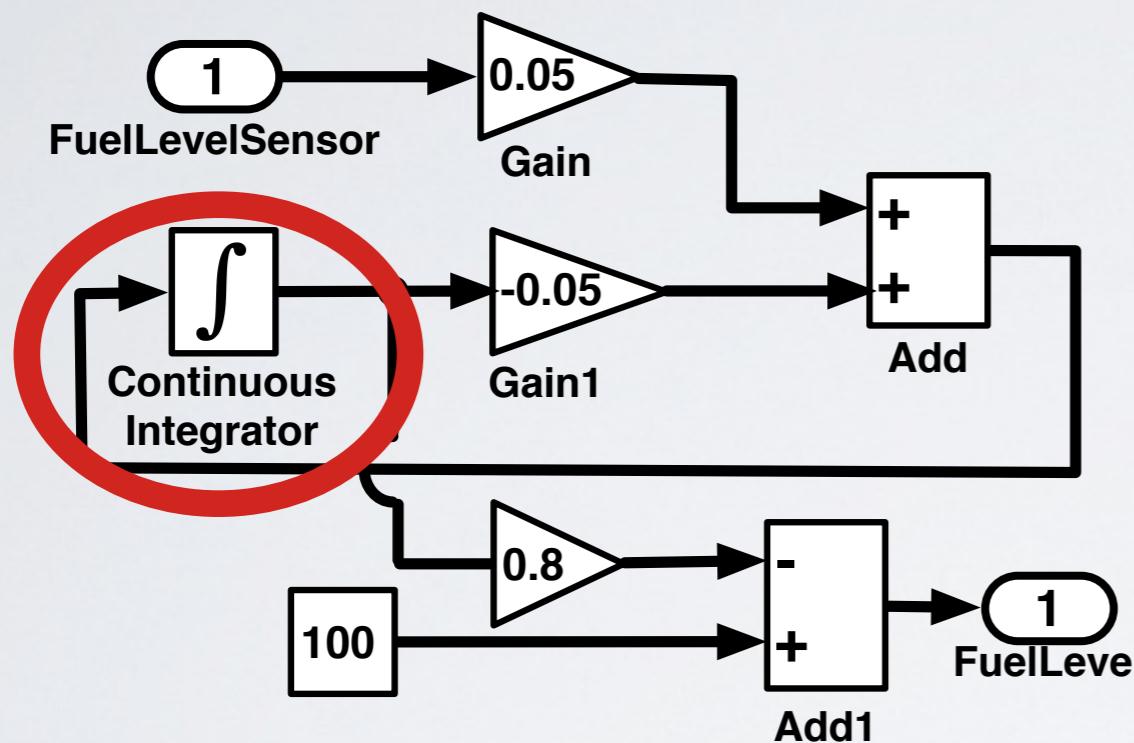
- Testing Simulink/Stateflow models in their entirety
- Without requiring plant models
- Without requiring automated test oracles

# Simulink Testing Challenge I

## Incompatibility

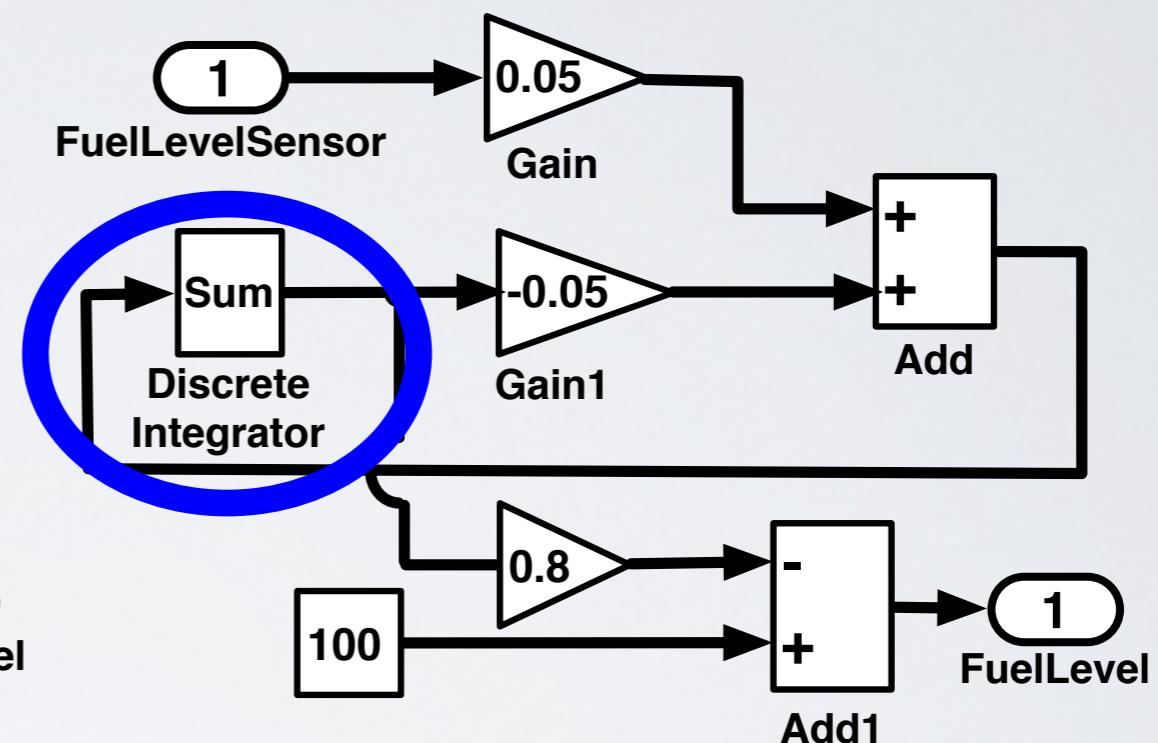
Existing testing techniques are not applicable to  
simulation models (with time-continuous behaviors)

# Incompatibility Challenge -- Example



Simulation Model

**Not Applicable**



Code Generation  
Model

**Applicable**

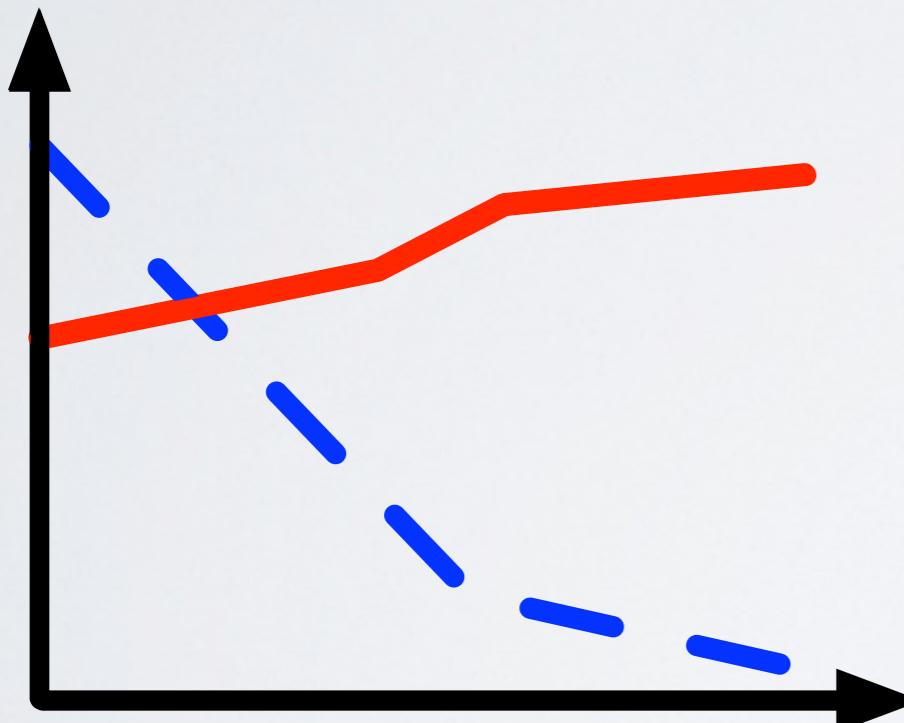
# Simulink Testing Challenge II

**Low Fault-Revealing Ability**

**Existing testing techniques make unrealistic assumptions about test oracles**

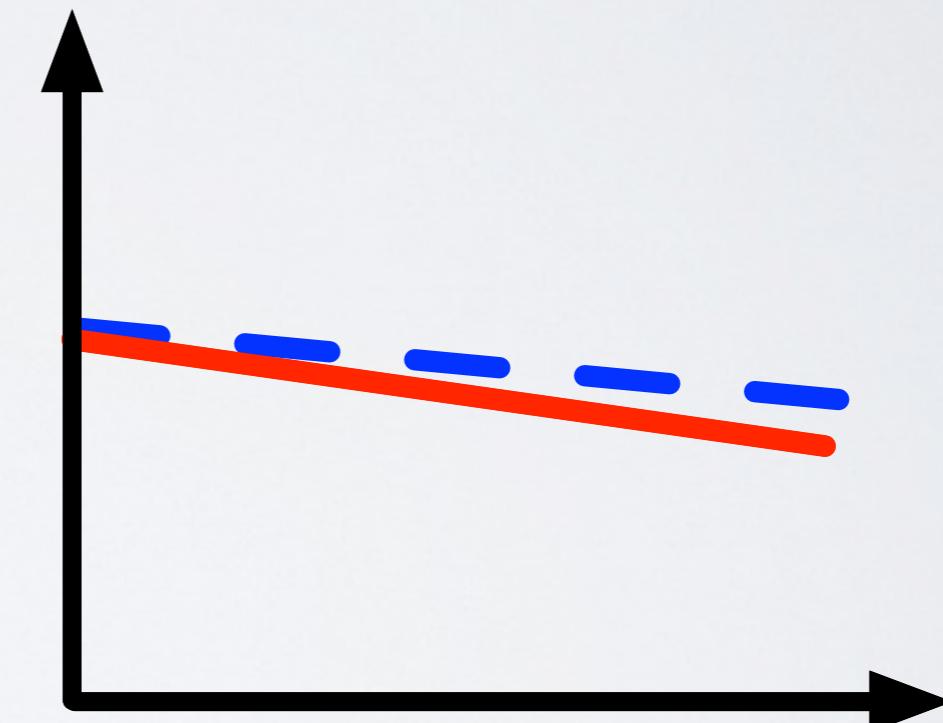
# Low Fault-Revealing Ability Example

Covers the fault **and**  
is Likely to reveal it



Faulty Model Output —————  
Correct Model Output - - - - -

Covers the fault **but**  
is very unlikely to reveal it



# Our Approach

**Search-based Test Generation Driven  
by Output-Diversity and Anti-Patterns**

# Search-Based Test Generation

## Search Procedure

$S \leftarrow$  Initial *Candidate Solution*

Repeat

$R \leftarrow$  *Tweak* ( $S$ )

if *Fitness* ( $R$ ) > *Fitness* ( $S$ )

$S \leftarrow R$

Until *maximum resources spent*

Return  $S$

Initial Test Suite

Slightly Modifying  
Each Test Input

Output-based Heuristics

# Output-Based Heuristics

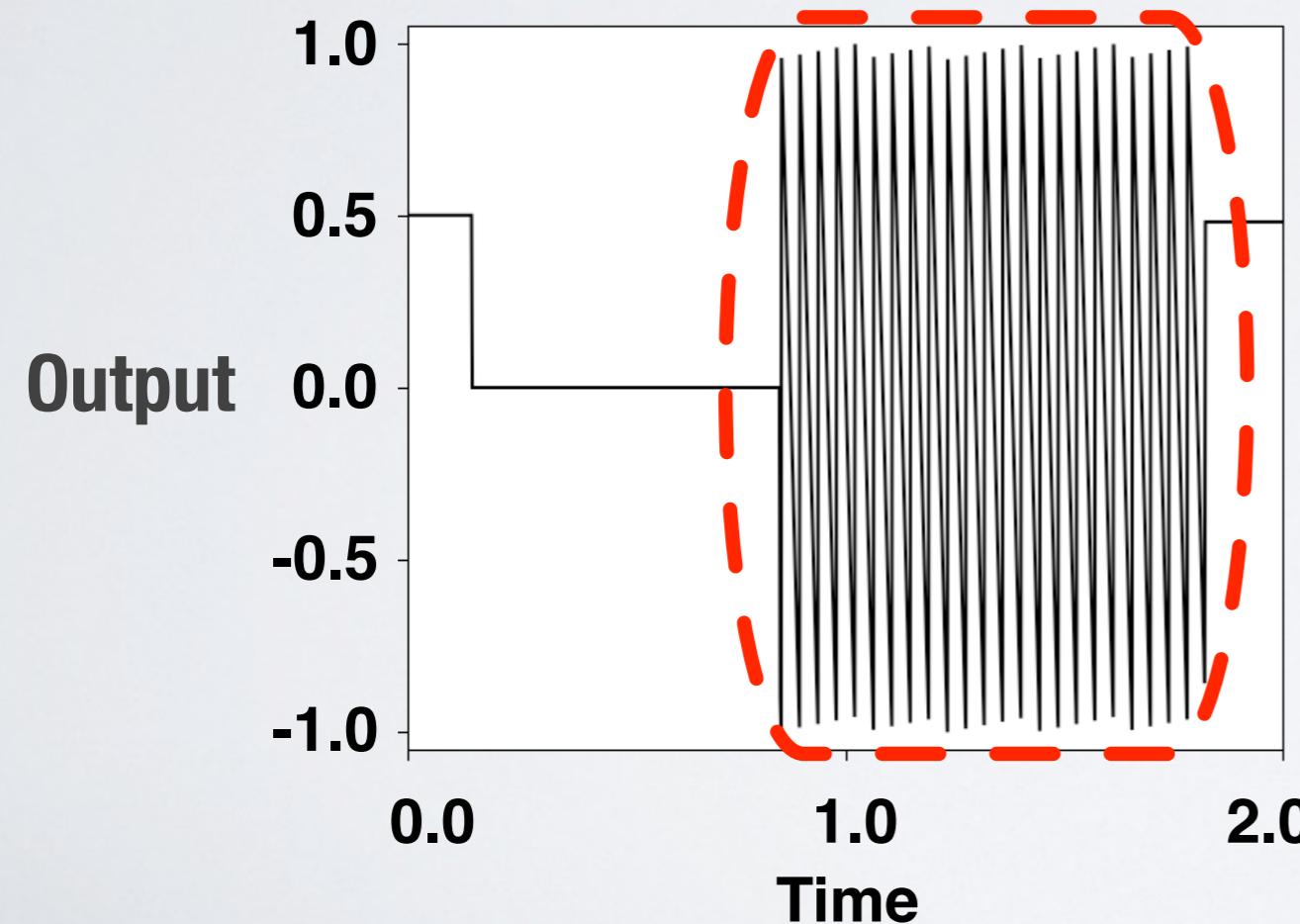
Failure Patterns

Output Diversity

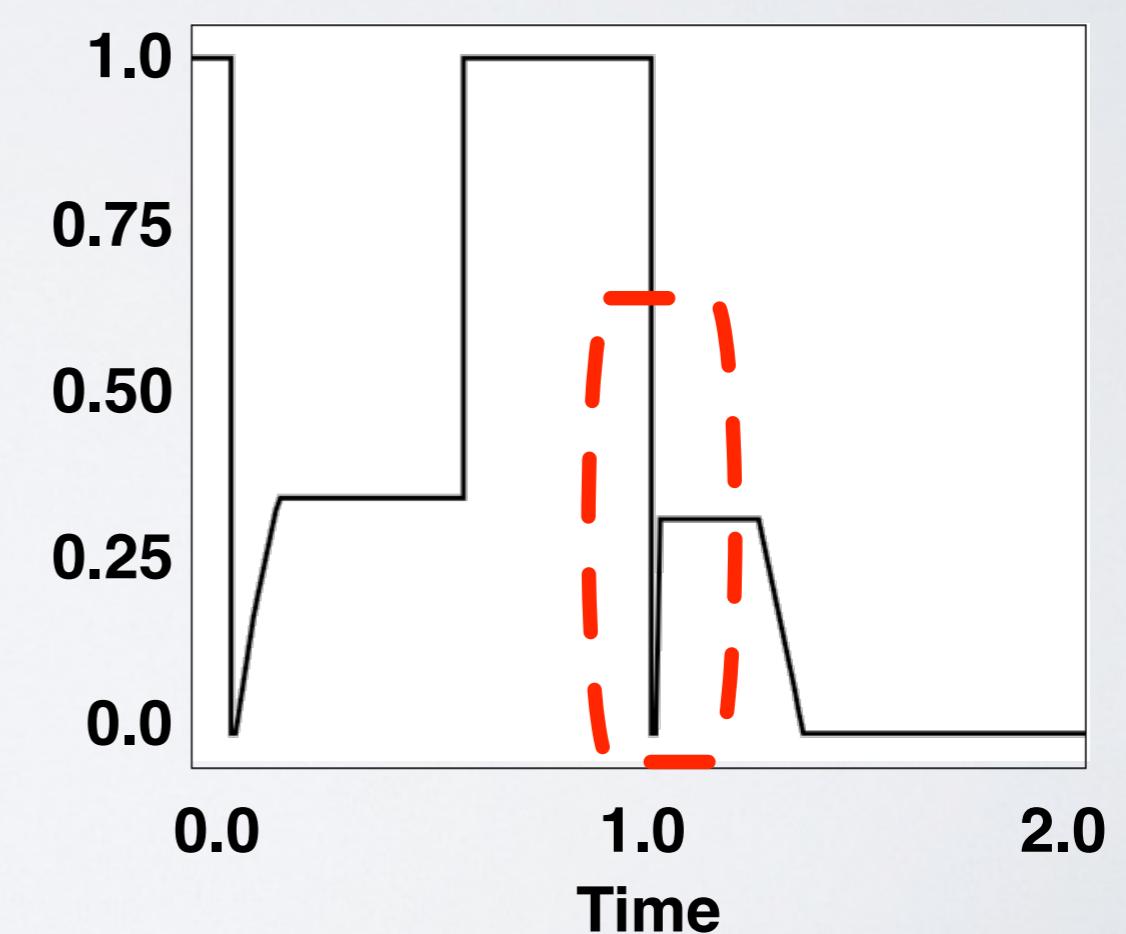
# Failure-based Test Generation

- Maximizing the likelihood of presence of specific failure patterns in output signals

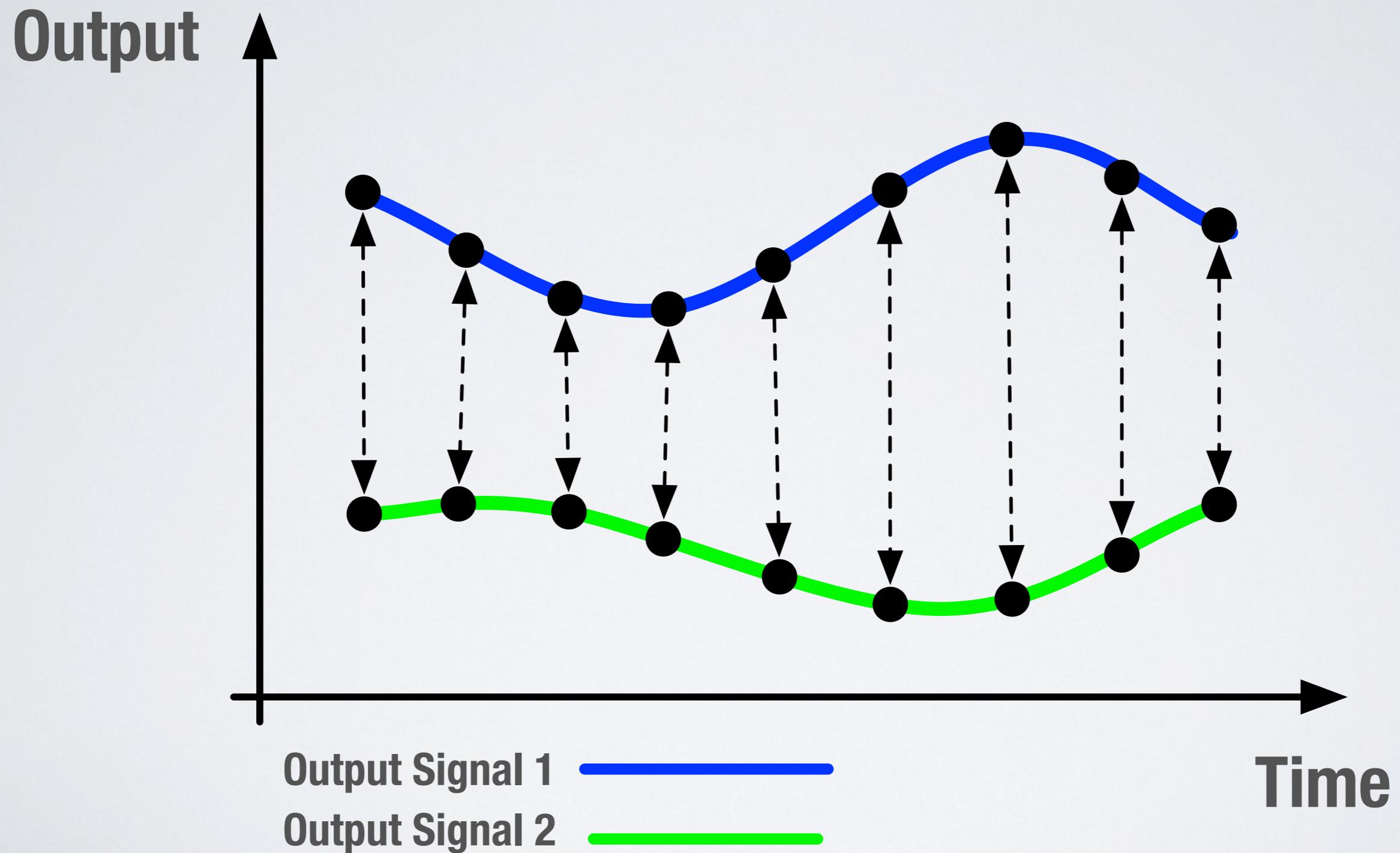
**Instability**



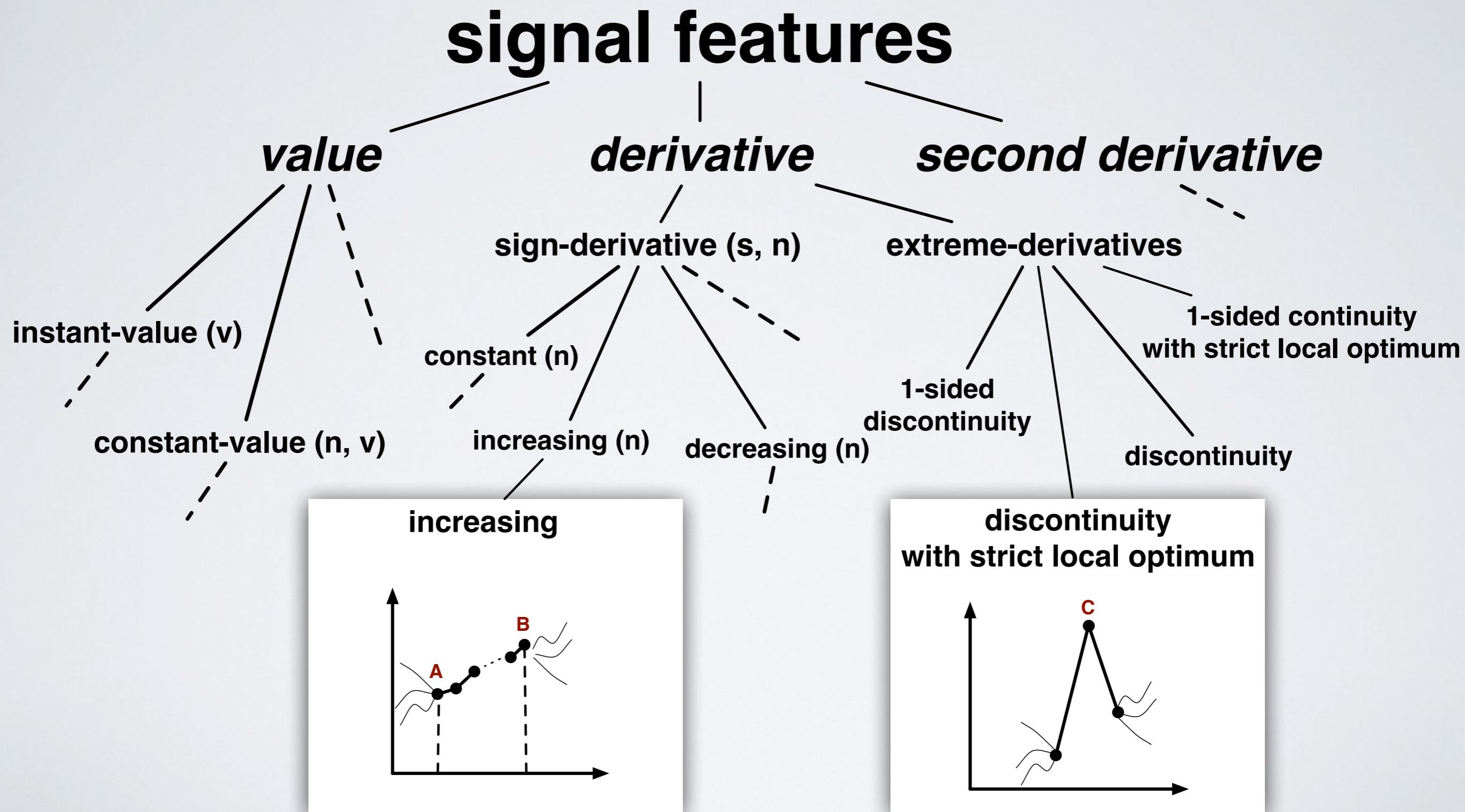
**Discontinuity**



# Output Diversity -- Vector-Based



# Output Diversity -- Feature-Based



# Evaluation

How does the **fault revealing ability** of our algorithm compare with that of **Simulink Design Verifier**?

# Simulink Design Verifier (SLDV)

Simulink Design Verifier



- **Underlying Technique:** Model Checking and SAT solvers
- **Test objective:** Testing is guided by structural coverage

# Our Approach vs. SLDV

- Our approach outperformed SLDV in revealing faults

Faults	1	2	3	4	5	6	7	8	9	10	11
SLDV	✗	✗	✗	✗	✗	✗	✗	✗	✗	✗	✗
Our Approach	20	16	20			20	14	17		20	
Faults	12	13	14	15	16	17	18	19	20	21	22
SLDV	✗	✗	✗	✗	✗	✓	✗	✗	✗	✗	✗
Our Approach		14		20	20	20	20	20	20	15	15

✗ SLDV could not find the fault  
✓ SLDV found the fault

# The number of fault-revealing runs of our algorithm (out of 20)

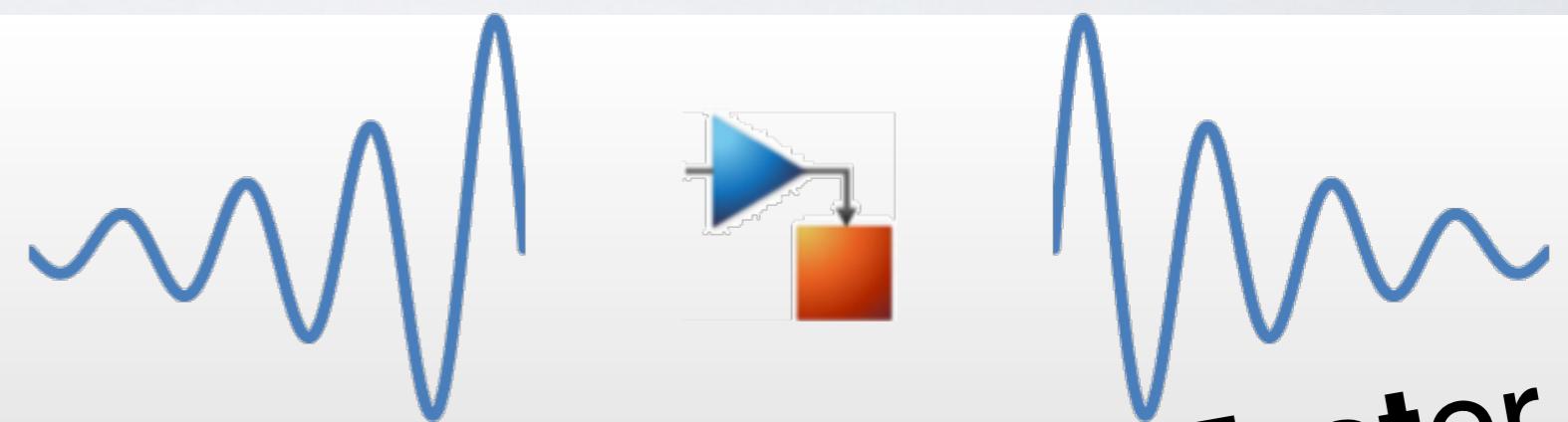
# SimCoTest Tool

<https://sites.google.com/site/simcotesttool/>

<https://sites.google.com/site/cocotesttool/>



SimCoTest



# Summary

- We evaluated SimCoTest on **seven representative Delphi Simulink models and one model from Bosch research lab**
- Hands-on tutorial to ten Delphi engineers:
  - “SimCoTest is useful for early stages of controller design to identify and detect design flaws.”
- We found some issues in Delphi models
- We plan to follow further development of SimCoTest and its commercialization

# Tool Demos

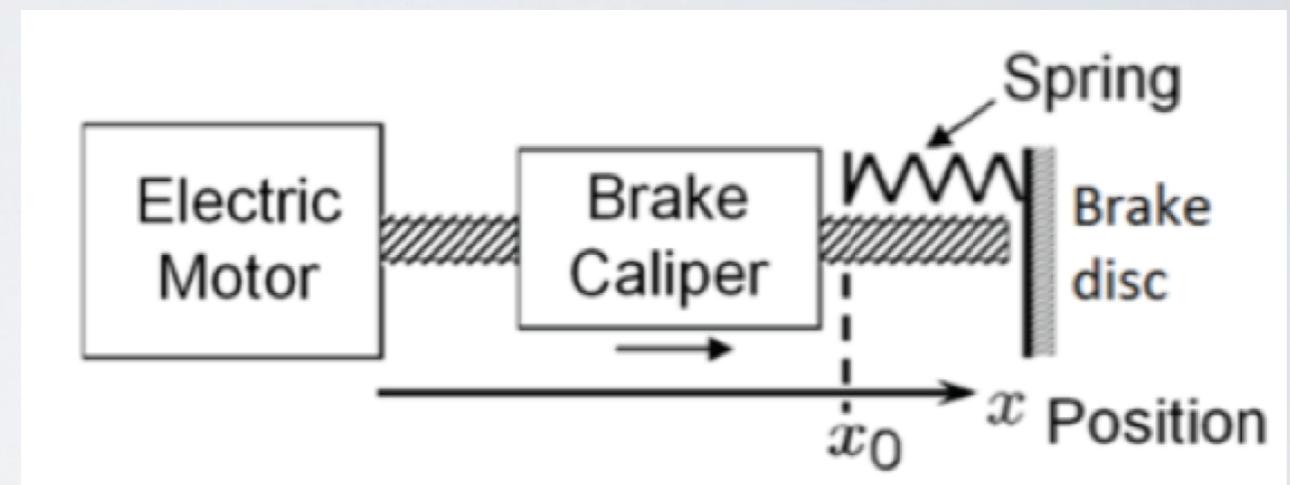
# Case Study

- Electro-Mechanical Braking (EMB)

- A public-domain model developed by the Bosch Research lab (<http://cps-vo.org/node/20289>)

- EMB Simulink model

- Consists of a physical plant model, a PID controller and a state-flow
- Is simulated by a variable-step solver
- Contains float variables and float computation



# Demo of SimCoTest

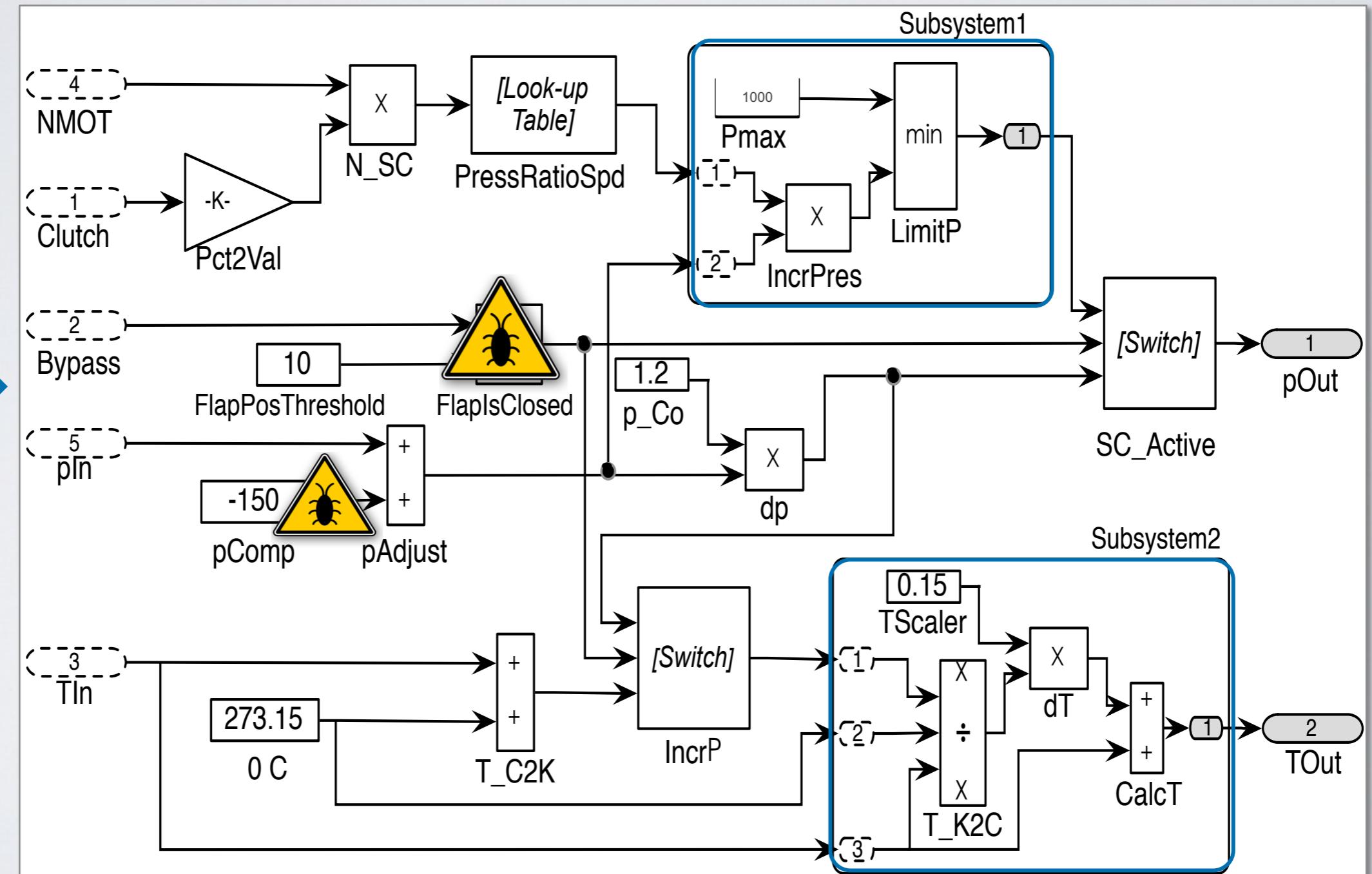
- SimCoTest is able to identify the following error patterns in EMB output
  - Oscillation (marginal stability)
  - Discontinuity
  - Growth to infinity (instability and not marginally stable)

# Fault Localization in Simulink Models

# Fault Localization

## A Simulink model

A test suite



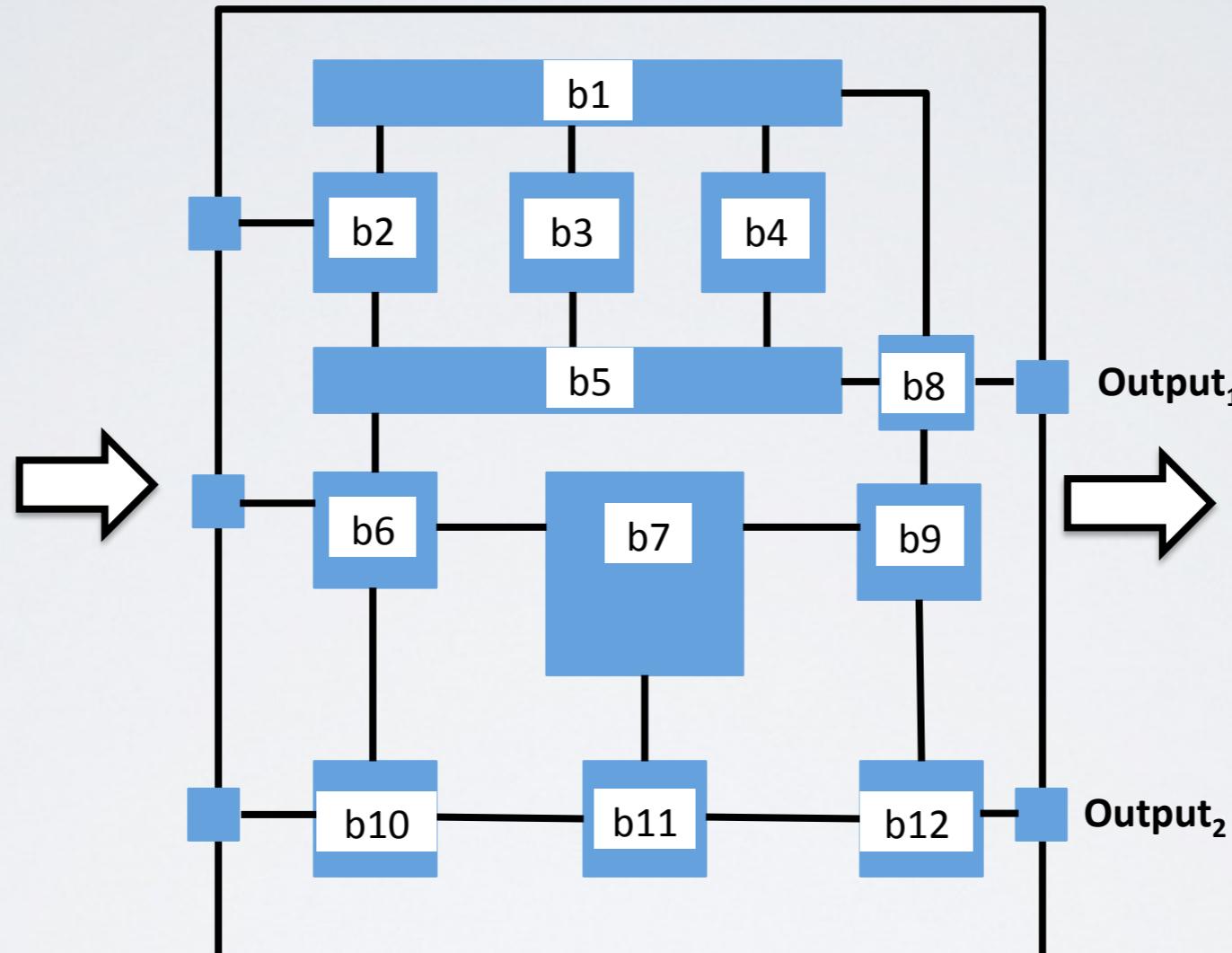
# Statistical Debugging

Test suite

t1

t2

t3



For O<sub>2</sub> :

b1	b2	b3	b4	b5	b6	b7	b8	b9	b10	b11	b12
0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0

Not executed  
Executed, pas  
s  
Executed, fail

# Statistical Debugging

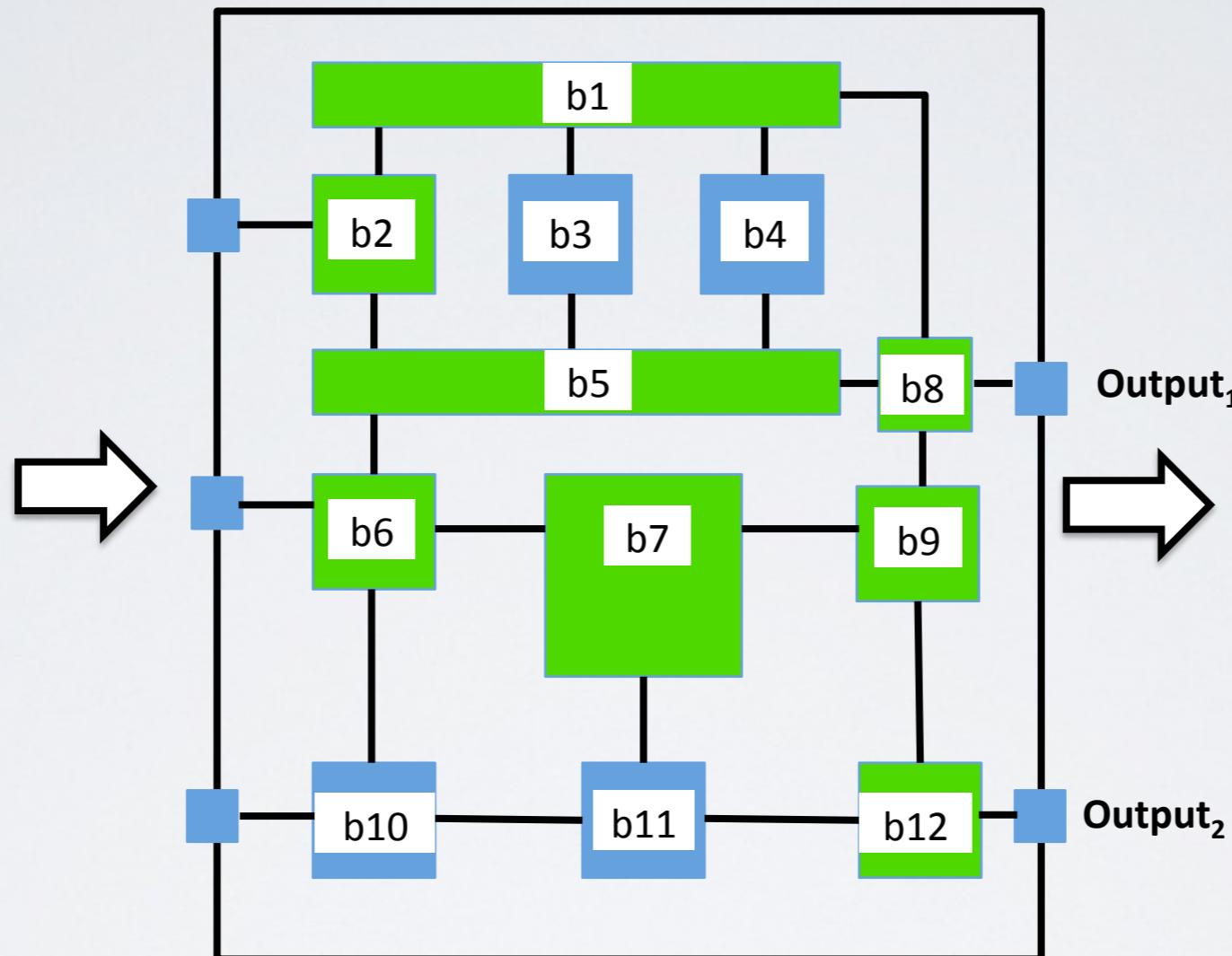
Test Suite

Status

t1

t2

t3



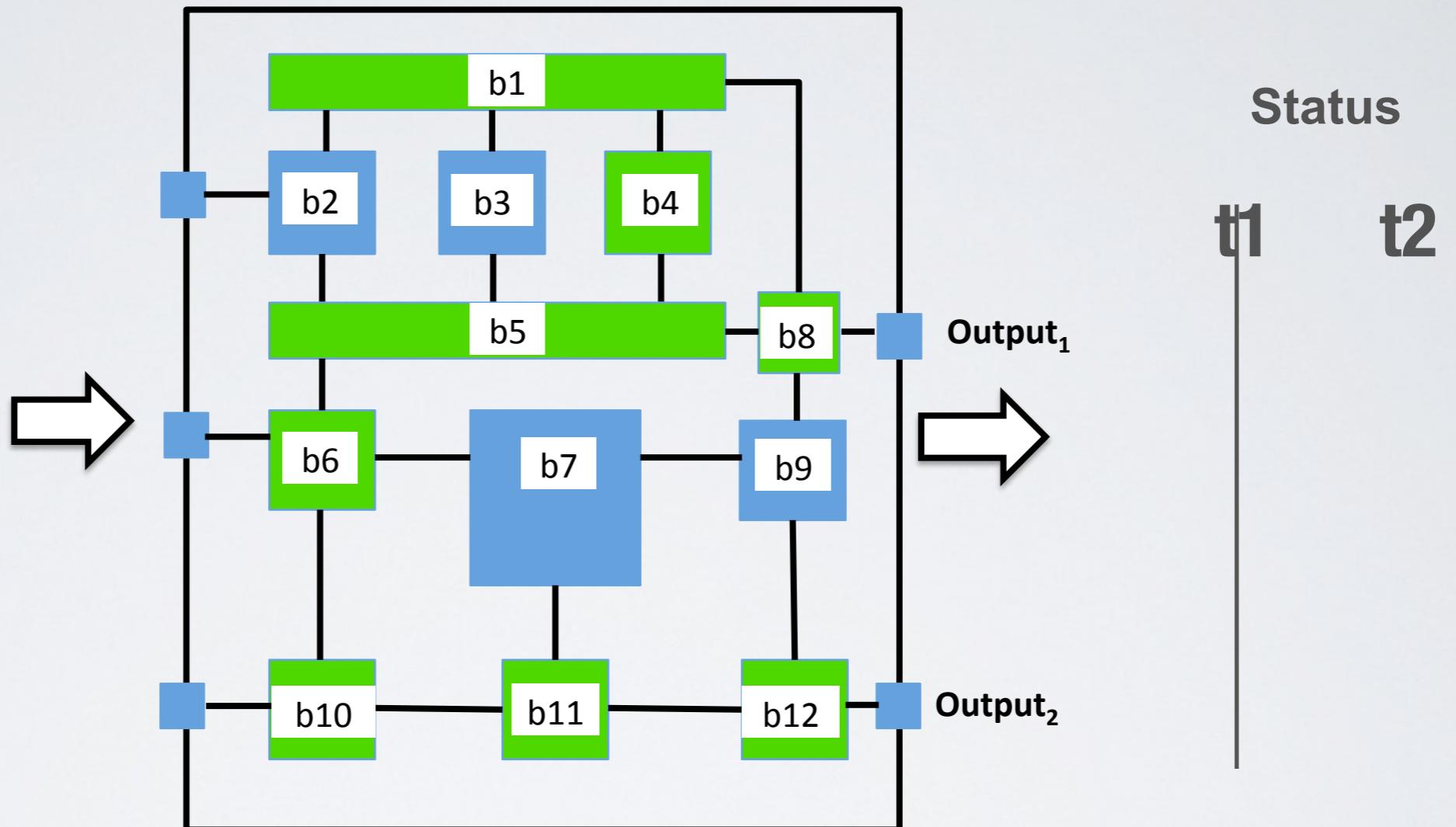
For O<sub>2</sub> :

b1	b2	b3	b4	b5	b6	b7	b8	b9	b10	b11	b12
1	1	0	0	1	1	1	1	1	0	0	1
0	0	0	0	0	0	0	0	0	0	0	0

Not executed  
Executed, pass  
s  
Executed, fail

# Statistical Debugging

Test Suite



$t3$

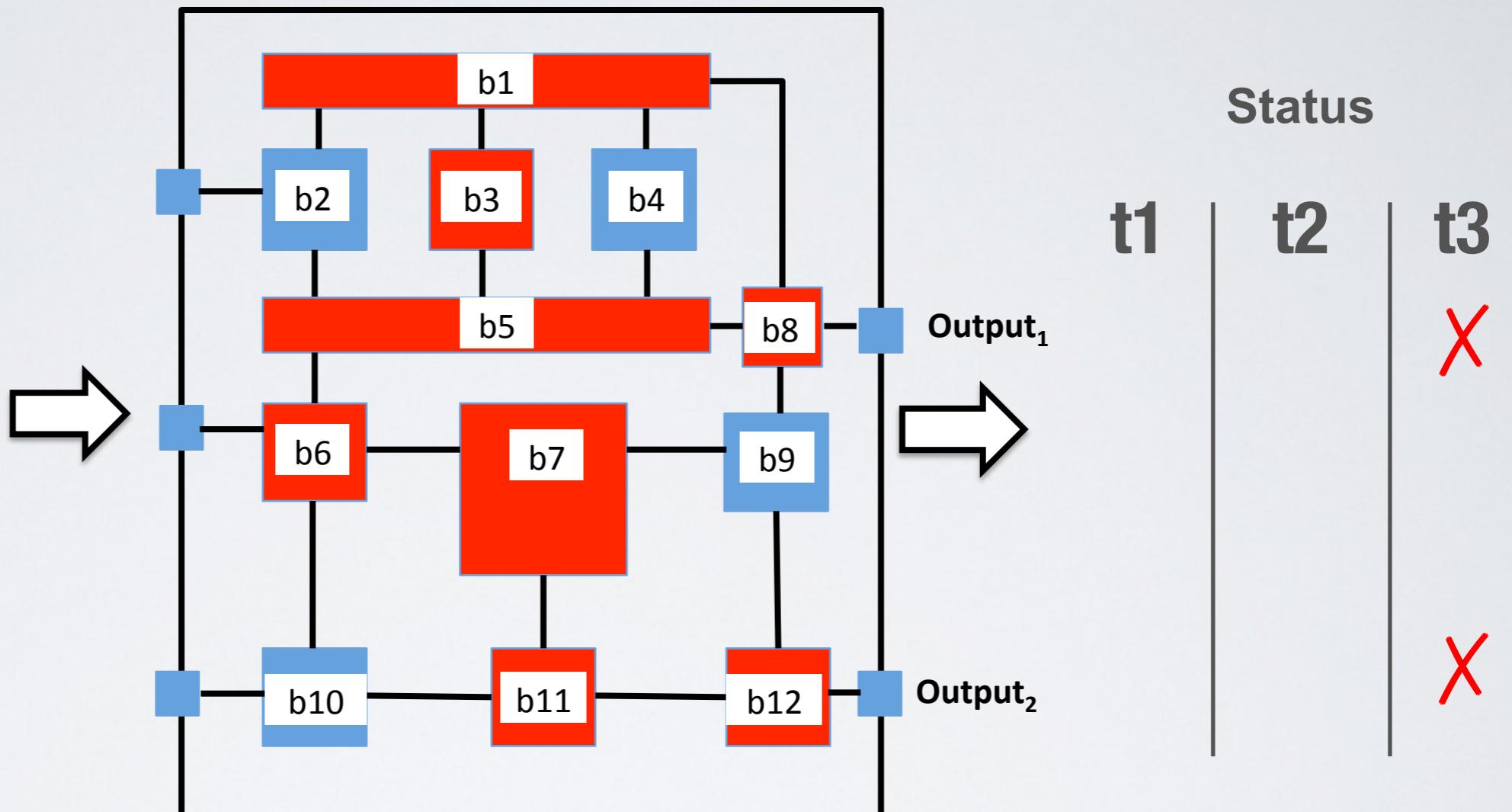
For  $O_2$  :

b1	b2	b3	b4	b5	b6	b7	b8	b9	b10	b11	b12
2	1	0	1	2	2	1	2	1	1	1	2
0	0	0	0	0	0	0	0	0	0	0	0

█ Not executed  
█ Executed, **pas**  
s  
█ Executed, **fail**

# Statistical Debugging

Test Suite



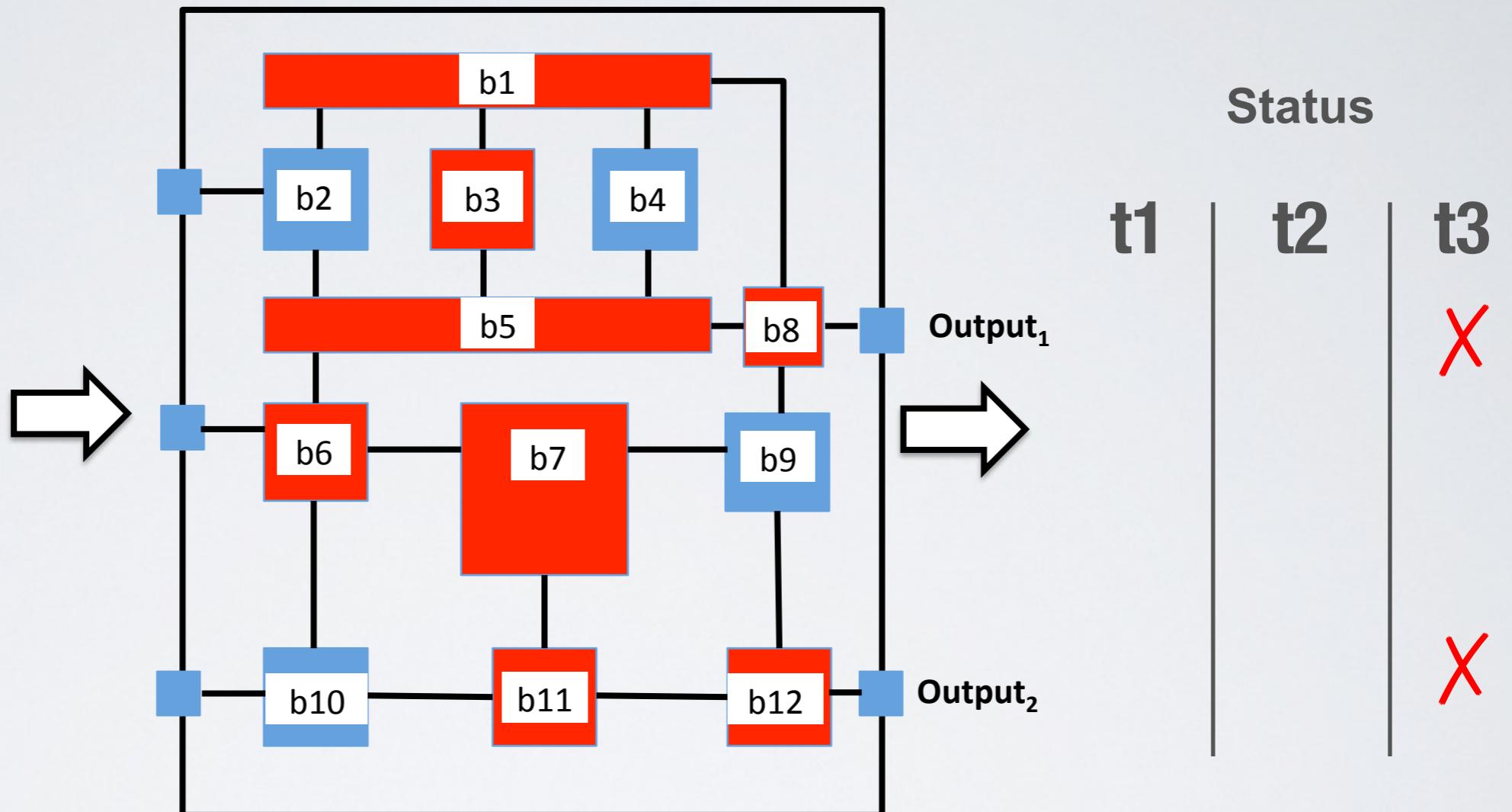
For O<sub>2</sub> :

b1	b2	b3	b4	b5	b6	b7	b8	b9	b10	b11	b12
2	1	0	1	2	2	1	2	1	1	1	2
1	0	1	0	1	1	1	1	0	0	1	1

Not executed  
 Executed, pas  
 s  
 Executed, fail

# Statistical Debugging

Test Suite



Status

t1

t2

t3

X

X

For O<sub>2</sub> :

b1	b2	b3	b4	b5	b6	b7	b8	b9	b10	b11	b12	
2	1	0	1	2	2	1	2	1	1	1	2	
1	0	1	0	1	1	1	1	0	0	1	1	

Not executed  
 Executed, pas  
 Executed, fail

# Ranking

- Simulink blocks are ranked according to likelihood of causing output failures
- Engineers inspect Simulink blocks using the generated ranking to identify faulty block(s)

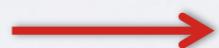
Block	Score
b3	1.0
b7	0.6667
b11	0.6667
b1	0.5
b5	0.5
b6	0.5
b8	0.5
b12	0.5
b2	0.0
b4	0.0
b9	0.0
b10	0.0

# Results

- We have developed, **SimFL**, a tool for automated fault localization of Simulink models
- Our tool is able to help localize multiple faults in Simulink models
- SimFL has been applied to three large Simulink models with 400 to 800 blocks containing two to five faults
- Using SimFL engineers need to inspect less than 3% of the model blocks on average to localize faults

# Statistical Debugging: Drawbacks

- Faulty blocks may not be ranked high
- Many blocks may have the same score
- Engineers may have to inspect many blocks until they find the faulty block(s)



Ranking	Score
b6	1
b2	0.5
b3	0.5
b5	0.5
b7	0.5
b8	0.5
b9	0.5
b10	0.5
b12	0.5
b13	0.5
b14	0.5
b1	0
b4	0
b11	0

# Performance Improvement

- Engineers may fail to find fault(s) after inspecting the top rank blocks
- Our improvements:
  - Extending the test suites with test cases targeted at revealing test cases
  - Identifying heuristics to help engineers know situations where statistical ranking cannot be further refined/improved

# Tool Demo

# ASTech-SnT collaboration

# Topics

- Stability analysis of nonlinear systems
- Analysis of performance and simulation (execution) time of Simulink models

# Stability Analysis

- Identifying the parts of the input space of a Simulink model that may lead to violation of system stability requirements
- Identifying root causes of erroneous/unstable behaviors
- Investigating whether the problematic input regions can be reduced by automatic parameter tuning

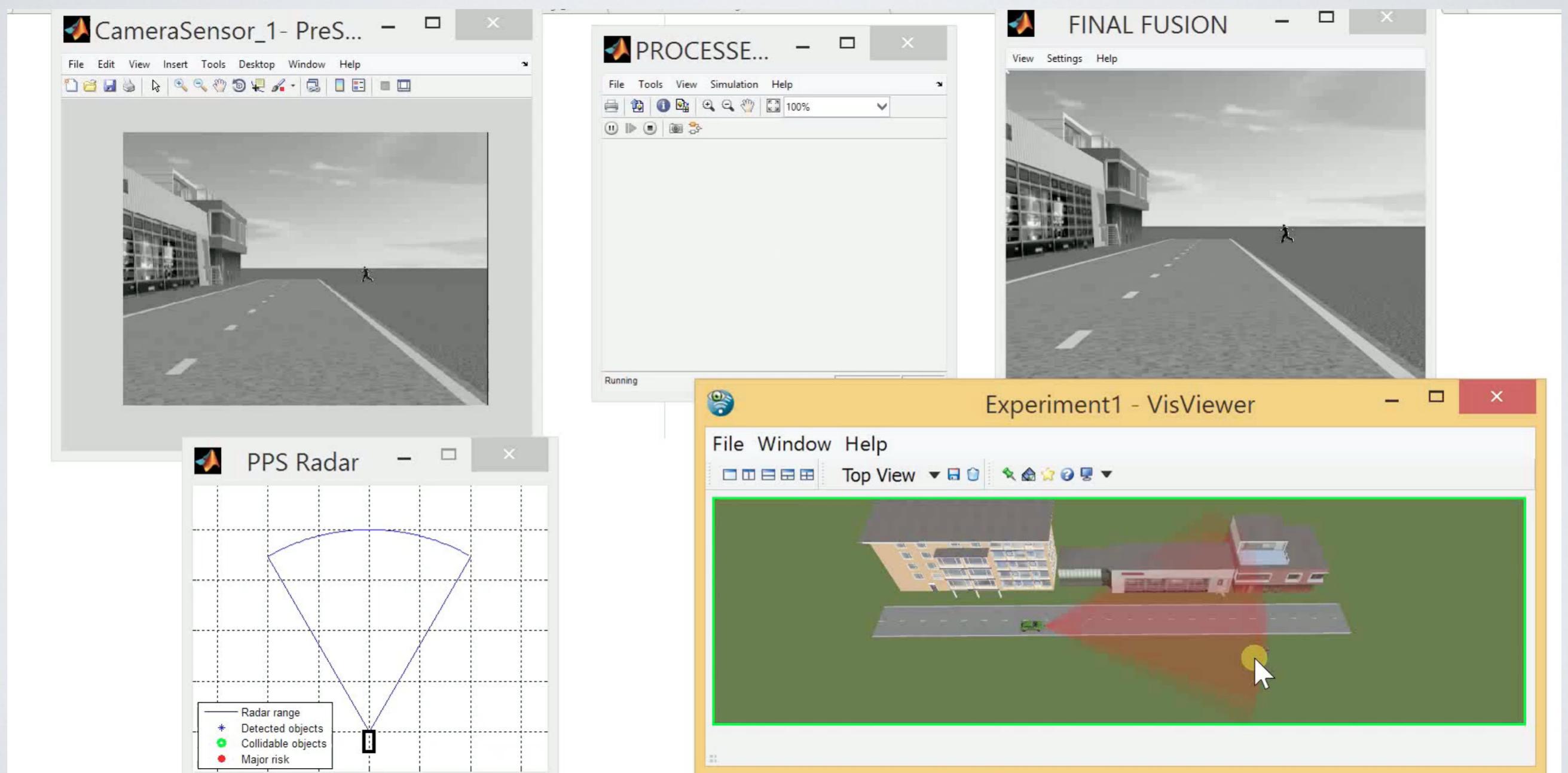
# **Analysis of Performance and Simulation Time**

- Identifying inputs, configurations, or blocks that are responsible for simulation slowdown
- Severe performance degradations after changes in models (regression performance analysis)
- Proposing ways to improve the simulation performance

# Automated Testing and Verification of Cyber-Physical Systems

**Software Verification and Validation (SVV) Lab**  
**Interdisciplinary Centre for Security, Reliability and Trust (SnT)**  
**University of Luxembourg**

# Testing via Physics-based Simulation



# Testing via Simulation (Limitations)

- Simulation scenarios are generated **manually**
- There are **many** simulation scenarios
- **No guidance** as to which scenarios should be selected to test the system

# Our Approach

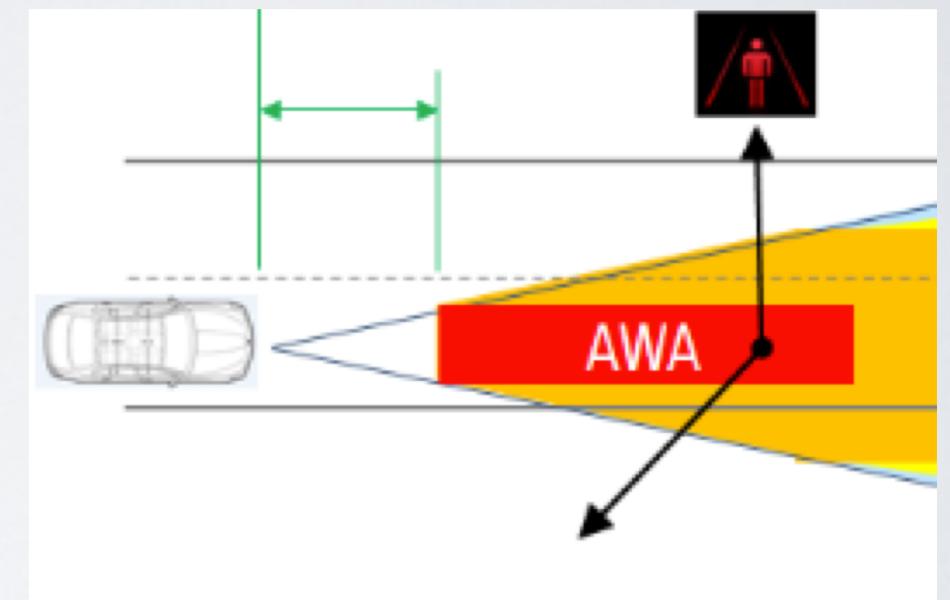
- Automated testing of complex ADAS via physics-based executable models of these systems and their environments

Challenges	Our solution
Test input is large	<b>Metaheuristic search to focus testing on worst case/critical behaviors</b>
Simulation takes time	<b>Surrogate models to predict the simulation outcome without running simulations</b>

# PeVi Requirement

**The PeVi system shall detect any pedestrian located in the Acute Warning Area (AWA) of a vehicle**

- Test objectives **critical aspects**:
  - Time-To-Collision (TTC) is small
  - The pedestrian is located near the car
  - The pedestrian is at the boundary of the AWA



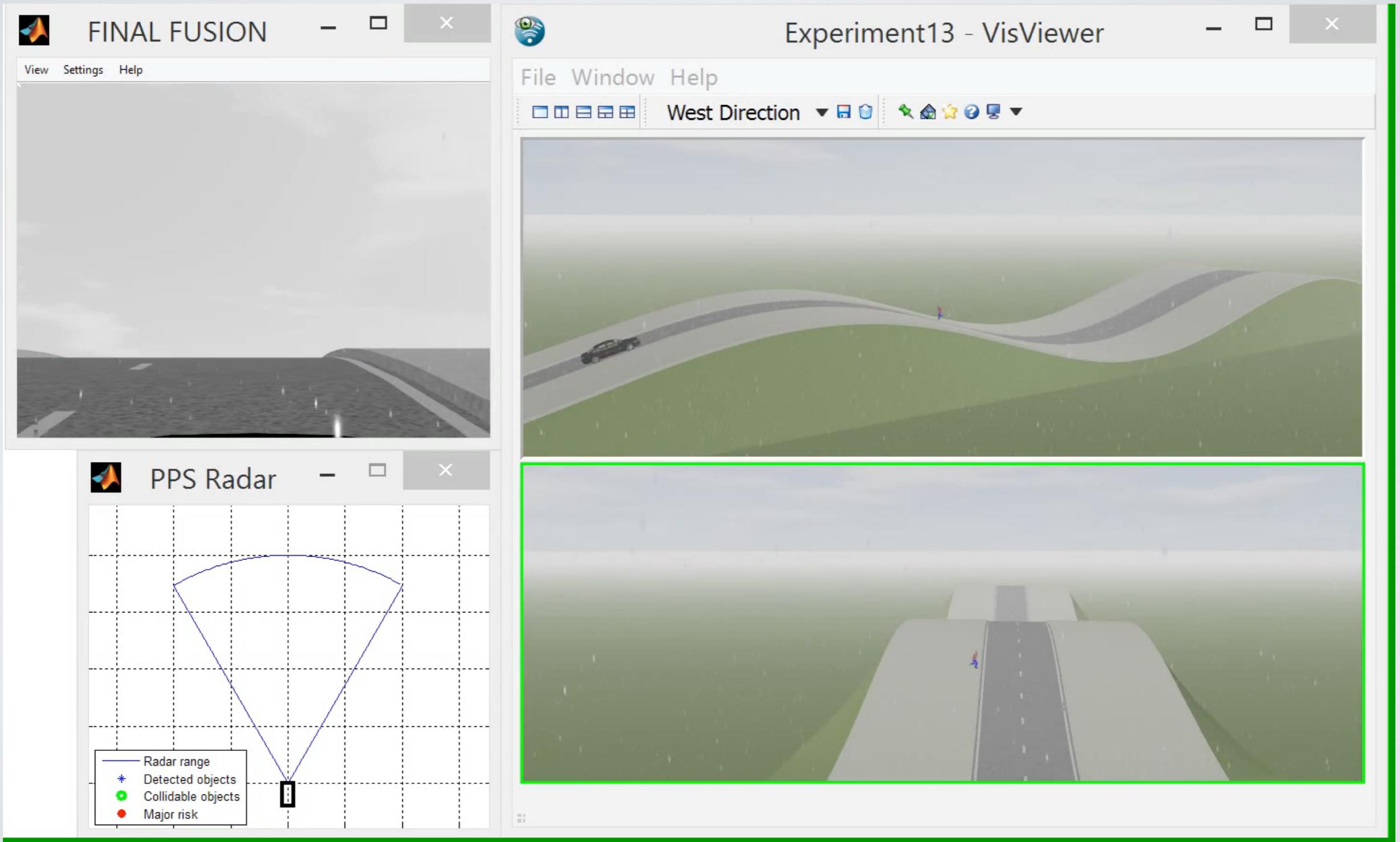
# Our Search-based Test Generation

- We use **multi-objective** search algorithm to generate test cases
- Three objectives: Minimum Distance to Car  $\min\{D(P/\text{Car})\}$ , Minimum Time To Collision  $\min\{\text{TTC}\}$ , and Minimum Distance to AWA  $\min\{D(P/\text{AWA})\}$
- Input a vector (car-speed, person-speed, person-position (x,y), person-orientation)
- Each search iteration calls simulation to compute objectives

# Evaluation

- Given the same execution time, our approach is able to produce higher quality solutions than baseline methods (Random Search)
- We provided engineers with **20 scenarios representing risky situations** (no detection in AWA, collision/no detection) by varying weather conditions, roadside objects and ramped/curved road
- Scenarios helped engineers **identify** several **critical behaviors** of PeVi that have not been previously identified by manual simulation
- The scenarios are available at:  
**<https://sites.google.com/site/testingpevi>**

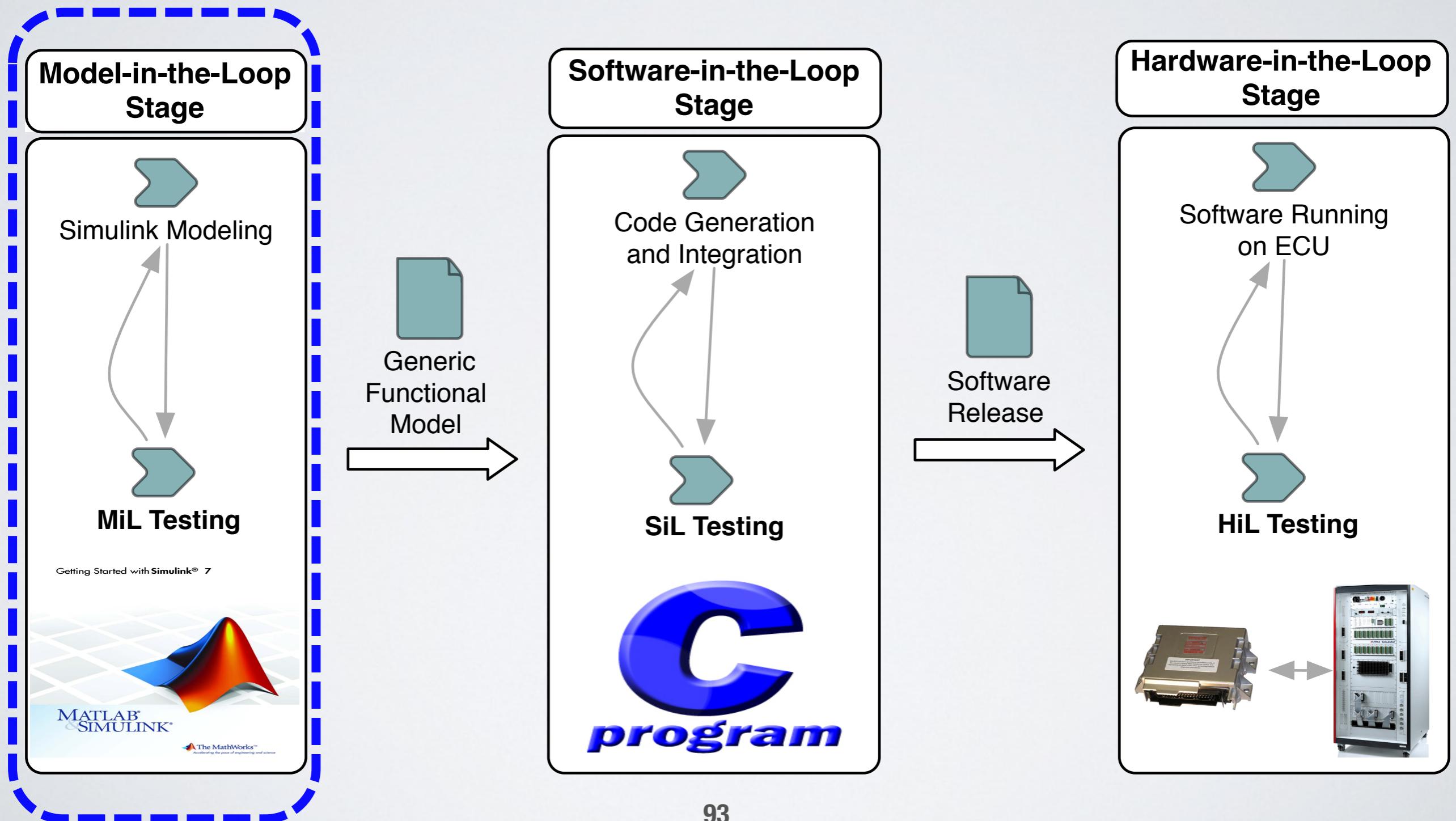
# An example critical scenario



# Conclusion

- An automated effective testing approach for ADAS
- Formulated the generation of critical test cases as a multi-objective search problem using the NSGA2 algorithm
- Improved the search performance, while maintaining the accuracy, using surrogate models based on neural networks
- Generated some critical scenarios: no detection in the AWA, or collision and no detection

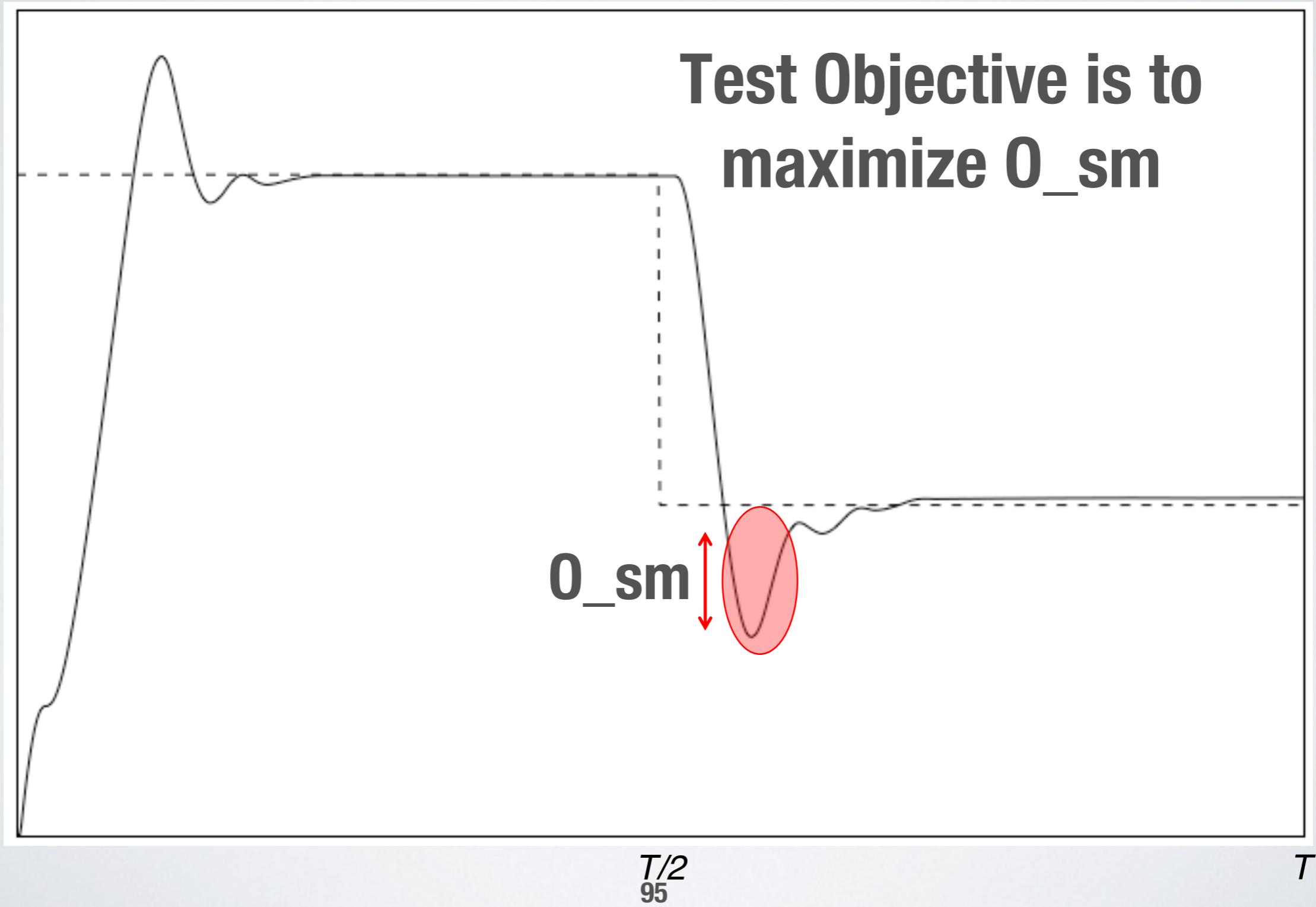
# Model-based development of control systems



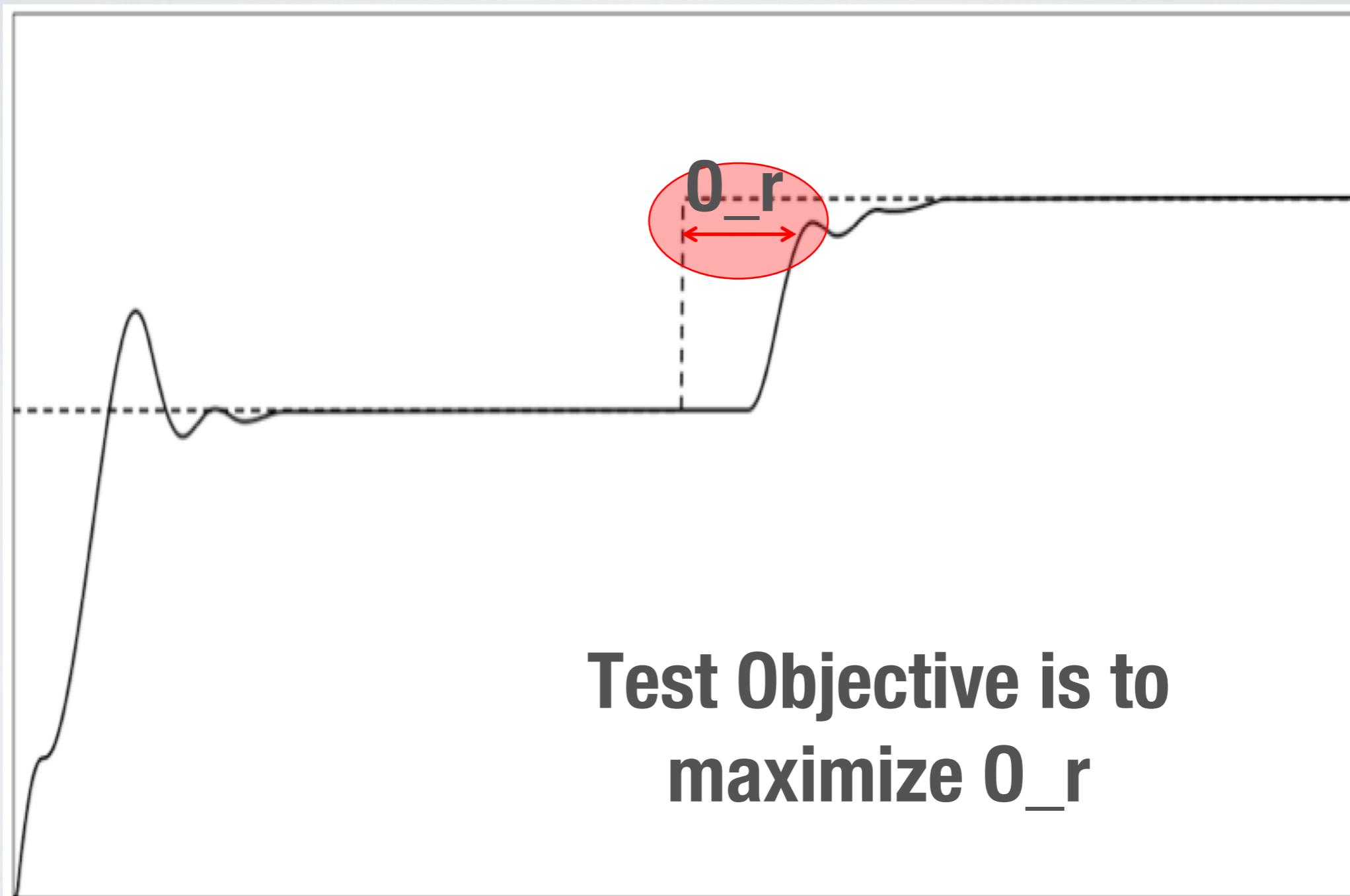
# Simulink Testing Challenges

- Complex models with several hundreds of blocks
- Mix of continuous and discrete behaviour
- Captures both hardware/physical components and software/algorithms
- Mix of fixed-point and floating point computations

# Controller Properties: Smoothness

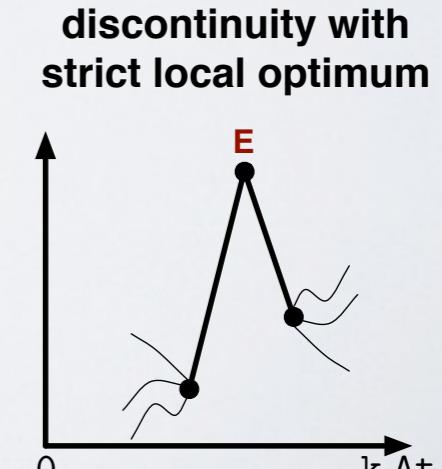
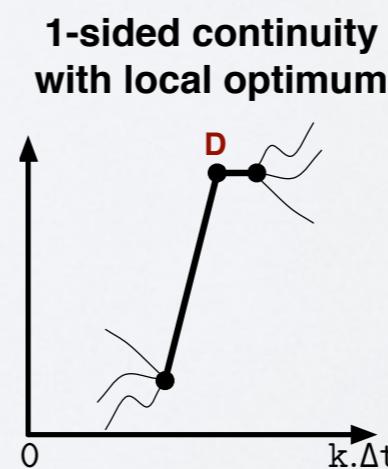
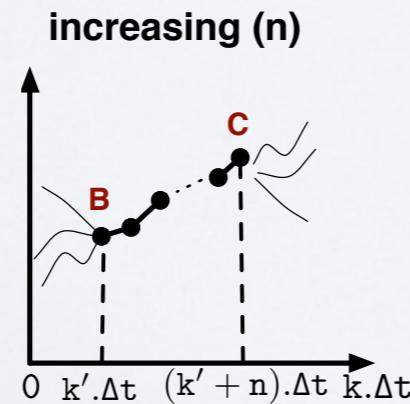
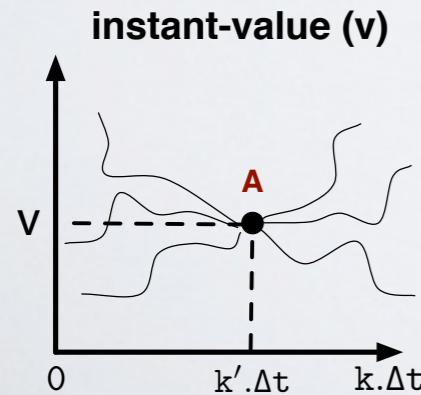
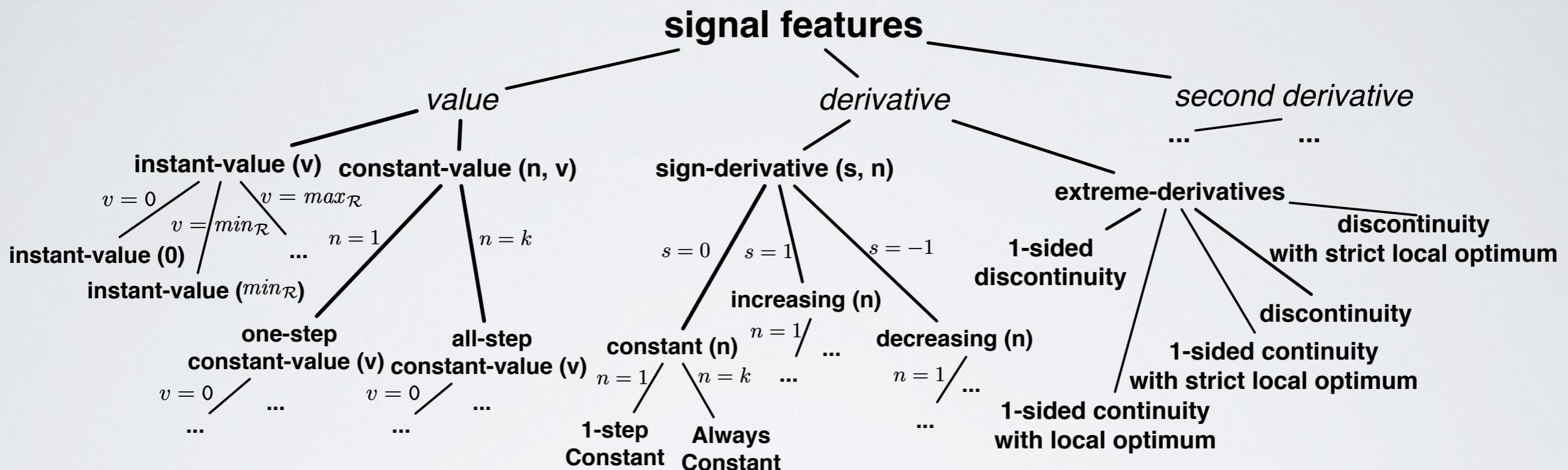


# Controller Properties: Responsiveness



# Signal Features Classification

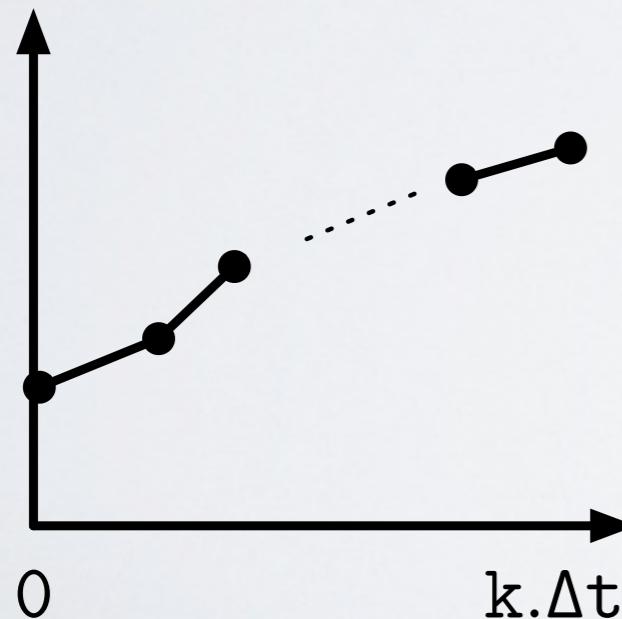
- We define a set of basic features characterizing distinguishable signal shapes



# Feature Functions

- For each feature  $f$  in our feature classification, we define a feature function  $F_f$
- For a signal  $sg$ , the value of  $F_f(sg)$  quantifies the similarity between shapes of the signal  $sg$  and the feature  $f$

**Always increasing**



$$F_f(sg) = \sum_{i=1}^K ((sg(i \cdot \Delta t) - sg((i-1) \cdot \Delta t))) - |sg(i \cdot \Delta t) - sg((i-1) \cdot \Delta t))|)$$

**The higher the value of  $F_f(sg)$ ,  
The more similar  $sg$  is to  $f$**

# Output Diversity

# Test Suite Generation Algorithm

## Test Suite Generation Algorithm

$P \leftarrow$  Initial number of segments

Best  $\leftarrow$  TS  $\leftarrow$  Initial test suite

Repeat

    TS  $\leftarrow$  **Tweak** (TS, P)

**Execute** (TS)

    If  $O(TS) > O(Best)$

        Best  $\leftarrow$  TS

    If (*Coverage has reached a plateau less than 100%*)

$P \leftarrow P + 1$

Until *maximum resources spent*

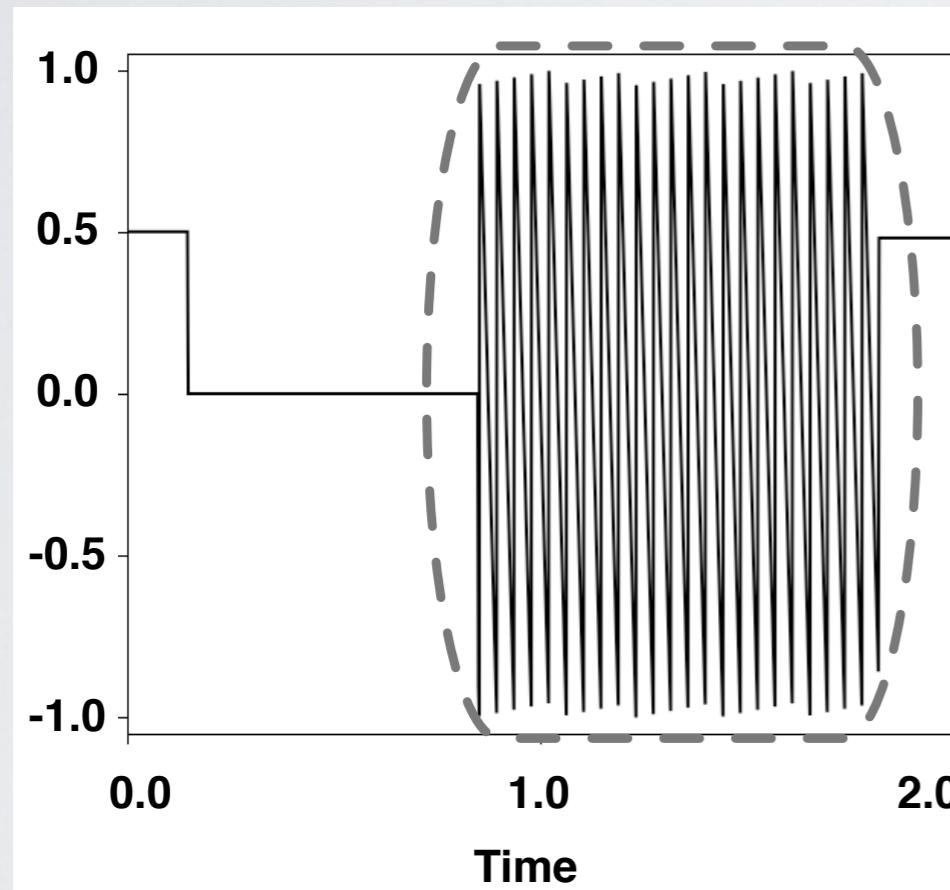
Return TS

$O$  is either  $O_v$  or  $O_f$

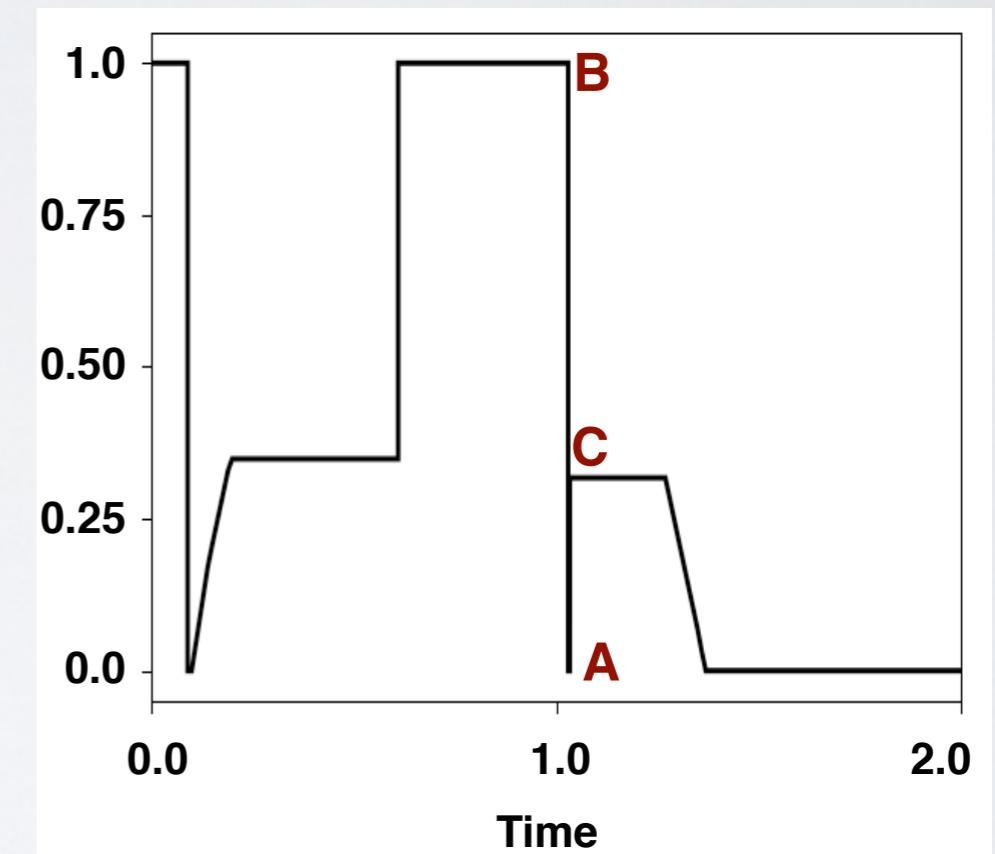
A whole test suite  
generation algorithm

# Failure patterns for Continuous Outputs

Instability



Discontinuity



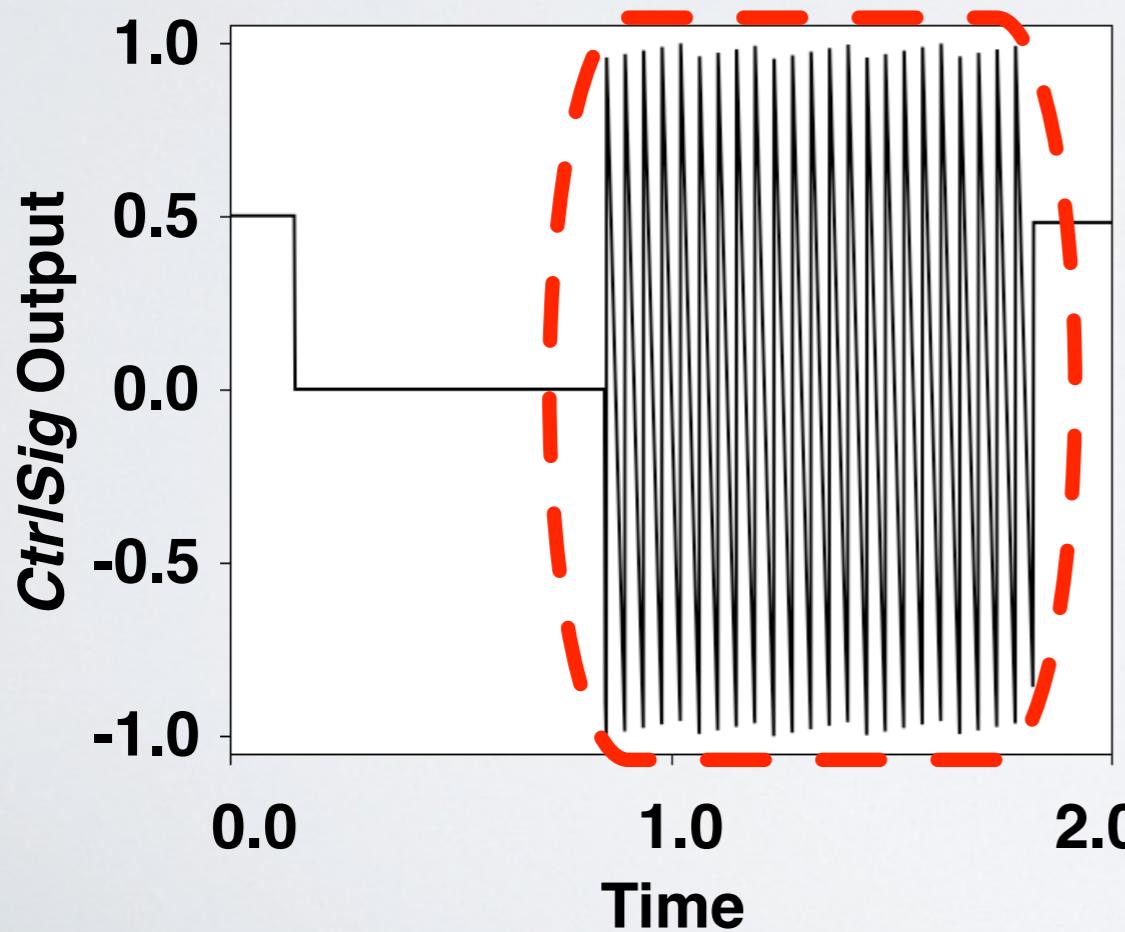
# **SimCoTest Maturity**

- Hands-on tutorial to ten Delphi engineers:
  - “SimCoTest is useful for early stages of controller design to identify and detect design flaws.”
- We are currently applying SimCoTest to a number of newly developed (cutting edge) Delphi Controller models to help engineers with testing/verification, and to better demonstrate practical usefulness of SimCoTest

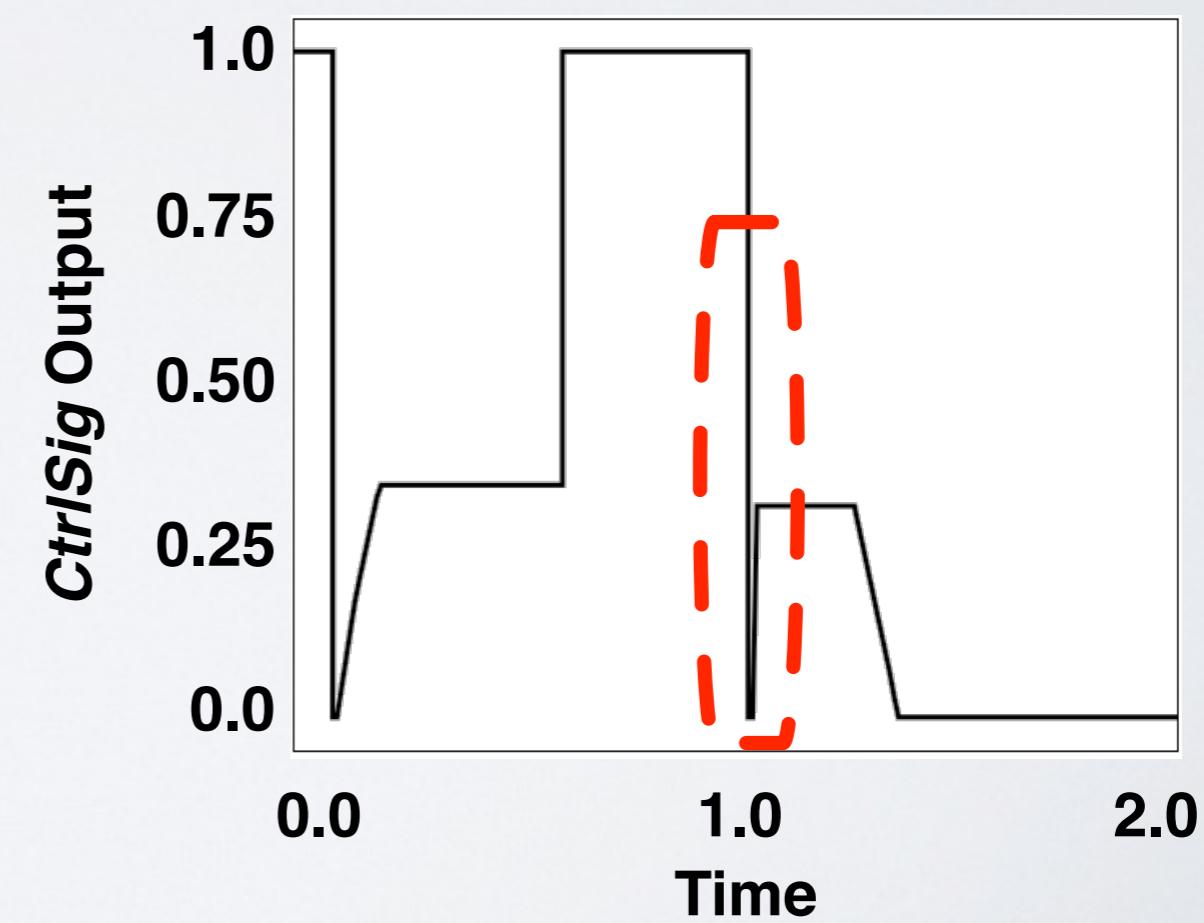
# Failure-based Test Generation

- Maximizing the likelihood of presence of specific failure patterns in output signals

**Instability**



**Discontinuity**



# Comparing SimCoTest with Simulink Design Verifier

Fault No.	1	2	3	4	5	6	7	8	9	10	11
#OurApproach	20	16	20	11	5	20	14	17	11	20	4
*SLDV	No										

Fault No.	12	13	14	15	16	17	18	19	20	21	22
#OurApproach	5	14	2	20	20	20	20	20	20	15	15
*SLDV	No	No	No	No	No	YES	No	No	No	No	No

# Number of Fault Revealing Runs of our algorithm (Out of 20)

\* Did SLDV reveal the fault? (Yes/No)

The only fault found by SLDV (fault 17), was also found by SimCoTest with very high probability