

Efficiency

CSE 5525: Foundations of Speech and Natural Language
Processing

<https://shocheen.github.io/courses/cse-5525-spring-2025>



THE OHIO STATE UNIVERSITY

Logistics

- Final project:
 - Mid-project report is due March 28.
 - Project presentations: April 16, 18*
 - Final project report due date: Tentatively April 25.
- There will be a quiz every week (either or both days) starting next week.
 - Next week quiz: Multimodal LMs (reading announced on teams)
 - Mid-semester feedback: shared a Google form on teams.

(We know that) Training big models is expensive

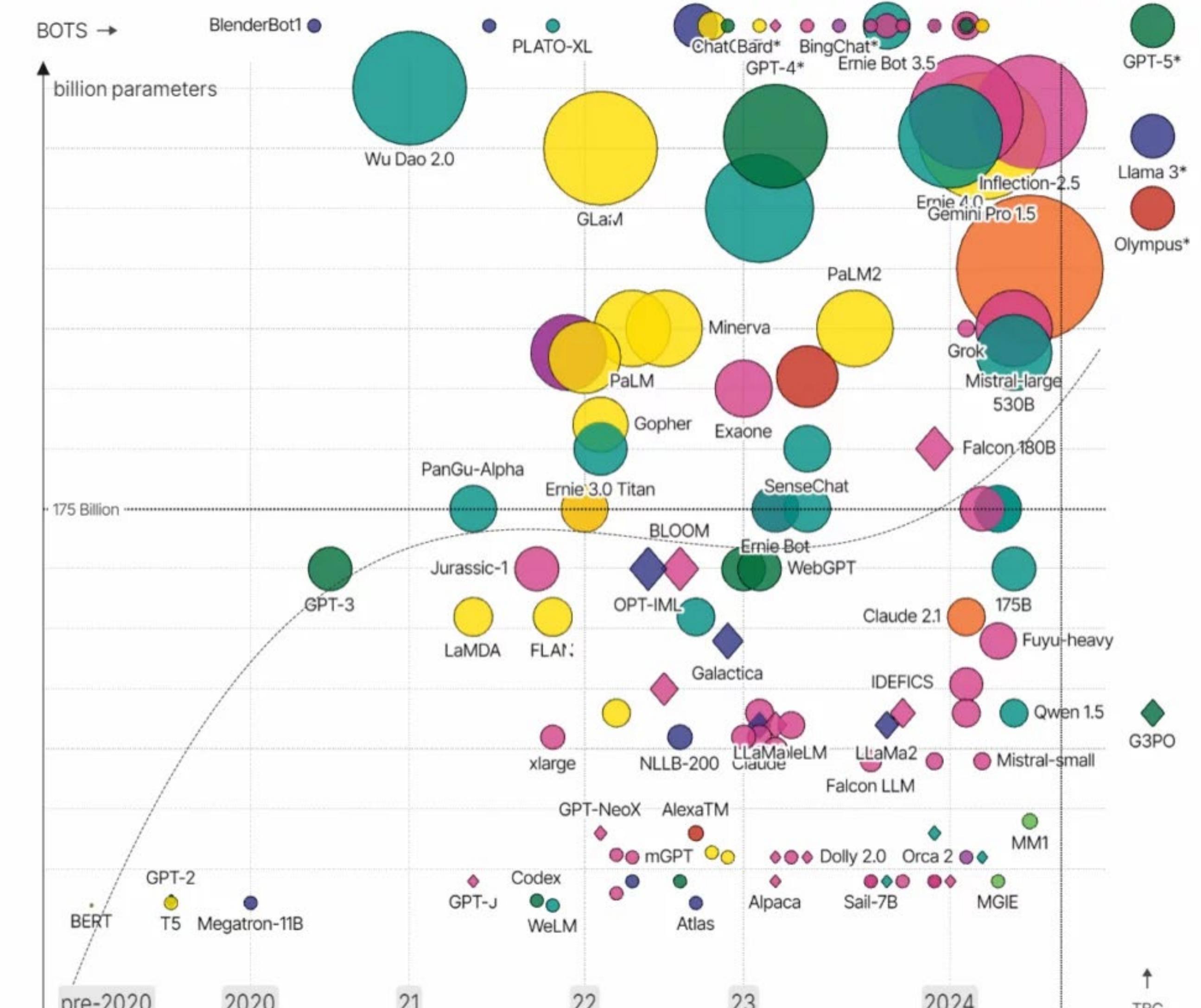
Table 1: We developed our models in five groups, based on parameter count and architecture: less than 1 billion, 1 billion, 7 billion, and 13 billion parameters, and our mixture-of-experts model with 1 billion active and 7 billion total parameters. We found that ~70% of our developmental environmental impact came from developing the 7B and 13B models, and the total impact was emissions equivalent to 2.1 tanker trucks' worth of gasoline, and equal to about 7 and a half years of water used by the average person in the United States.

	GPU Hours	Total MWh	# Runs	Carbon Emissions (tCO ₂ eq)	Equivalent to... (energy usage, 1 home, U.S.)	Water Consumption (kL)	Equivalent to... (water usage, 1 person)
<1B	29k	19	20	6	1 yr, 4 mo	24	3 mo
7B	269k	196	375	65	13 yrs, 6 mo	252	2 yrs, 7 mo
13B	191k	116	156	46	9 yrs, 7 mo	402	3 yrs, 7 mo
MoE	27k	19	35	6	1 yr, 4 mo	24	3 mo
Total	680k	459	813	159	33 yrs, 1 mo	843	7 yrs, 5 mo

But inference is even more expensive

More importantly, inference costs far exceed training costs when deploying a model at any reasonable scale. In fact, the costs to inference ChatGPT exceed the training costs on a weekly basis.

Models aren't getting much smaller



David McCandless, Tom Evans, Paul Barton
Information is Beautiful // UPDATED 20th Mar 24

source: news reports, [LifeArchitect.ai](#)
* = parameters undisclosed // see [the data](#)

MADE WITH *VIZsweet*

The rise and rise of AI-based Large Language Models (LLMs) like GPT4, LaMDA, LLaMa, PaLM and Jurassic-2.

Today's Topic

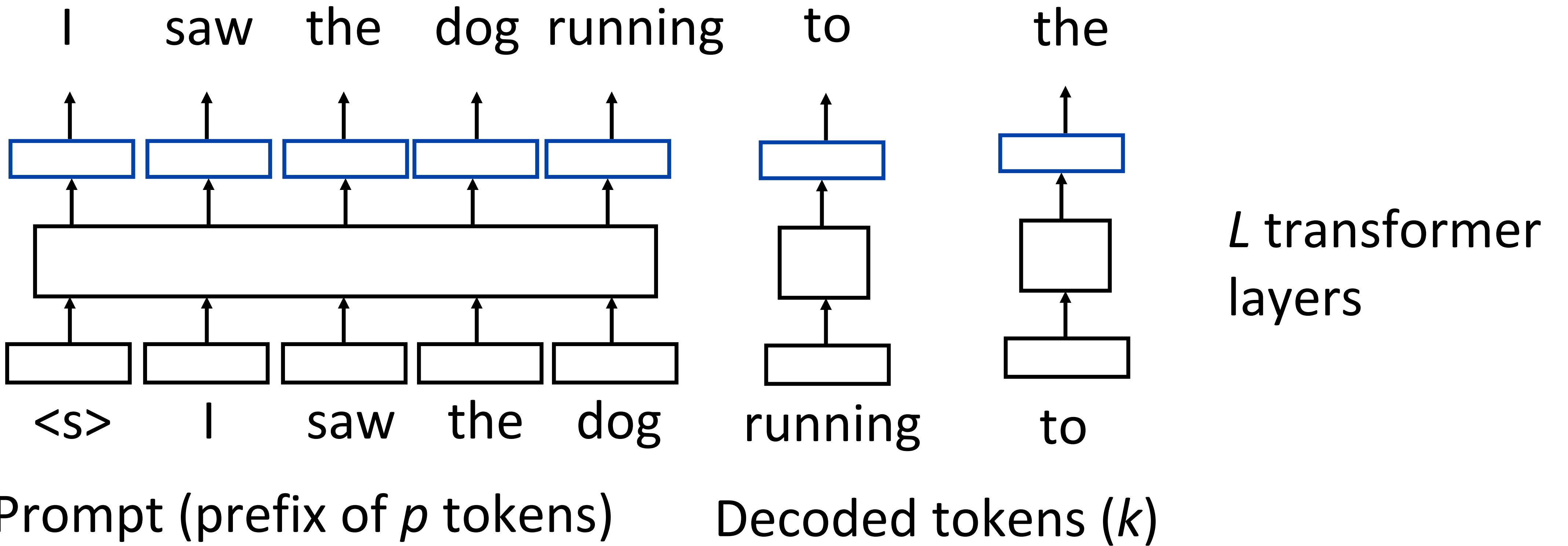
- **How can we cheaply, efficiently, and equitably deploy NLP systems without sacrificing performance?**

This Lecture

- ▶ Decoding optimizations: exact decoding, but faster
 - ▶ Speculative decoding
 - ▶ Medusa heads
 - ▶ Flash attention
- ▶ Model compression
 - ▶ Pruning LLMs
 - ▶ Distilling LLMs
- ▶ Parameter-efficient tuning
- ▶ LLM quantization

Decoding Optimizations

Decoding Basics

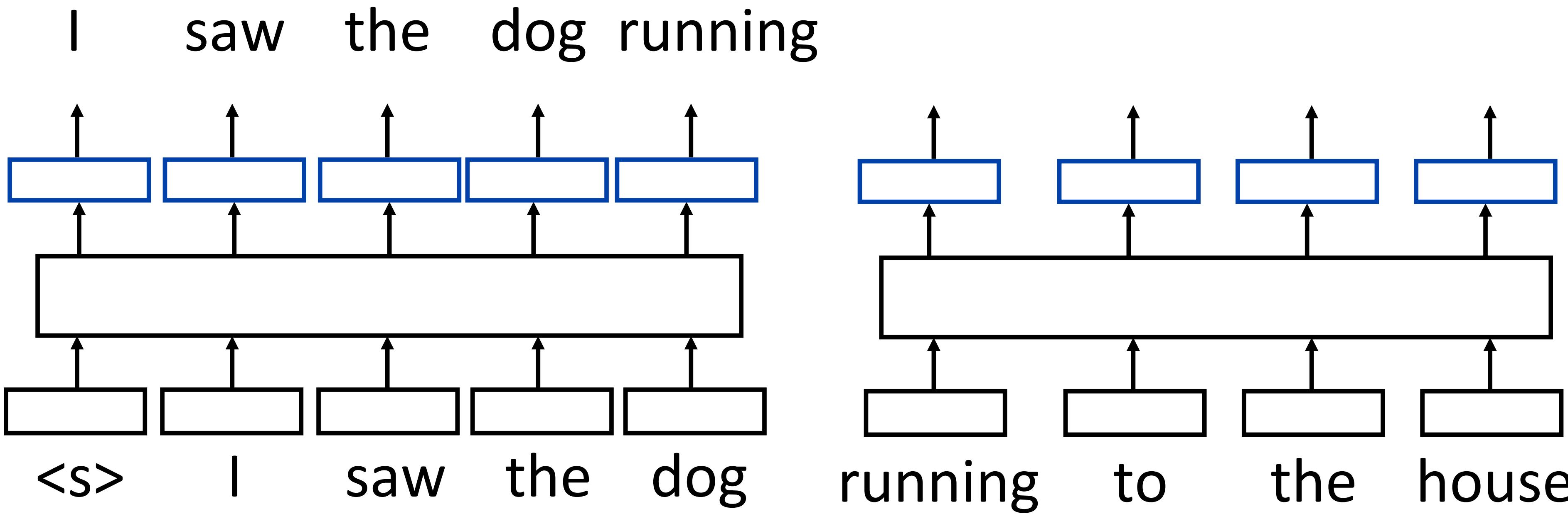


Operations for one decoder pass: $O(pL)$

Operations for k decoder passes: $O(pk^2L)$

Number of **layers** in decoder
(non-parallelizable): $O(kL)$

Speculative Decoding

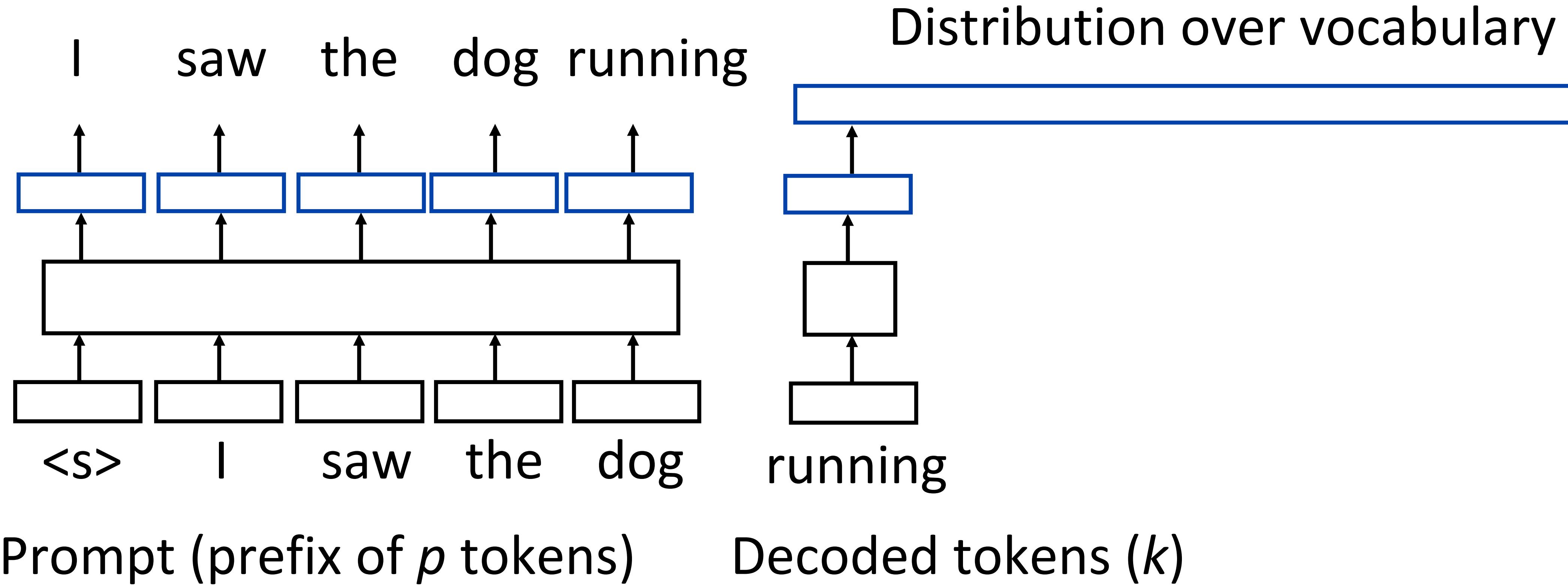


Prompt (prefix of p tokens)

Decoded tokens (k)

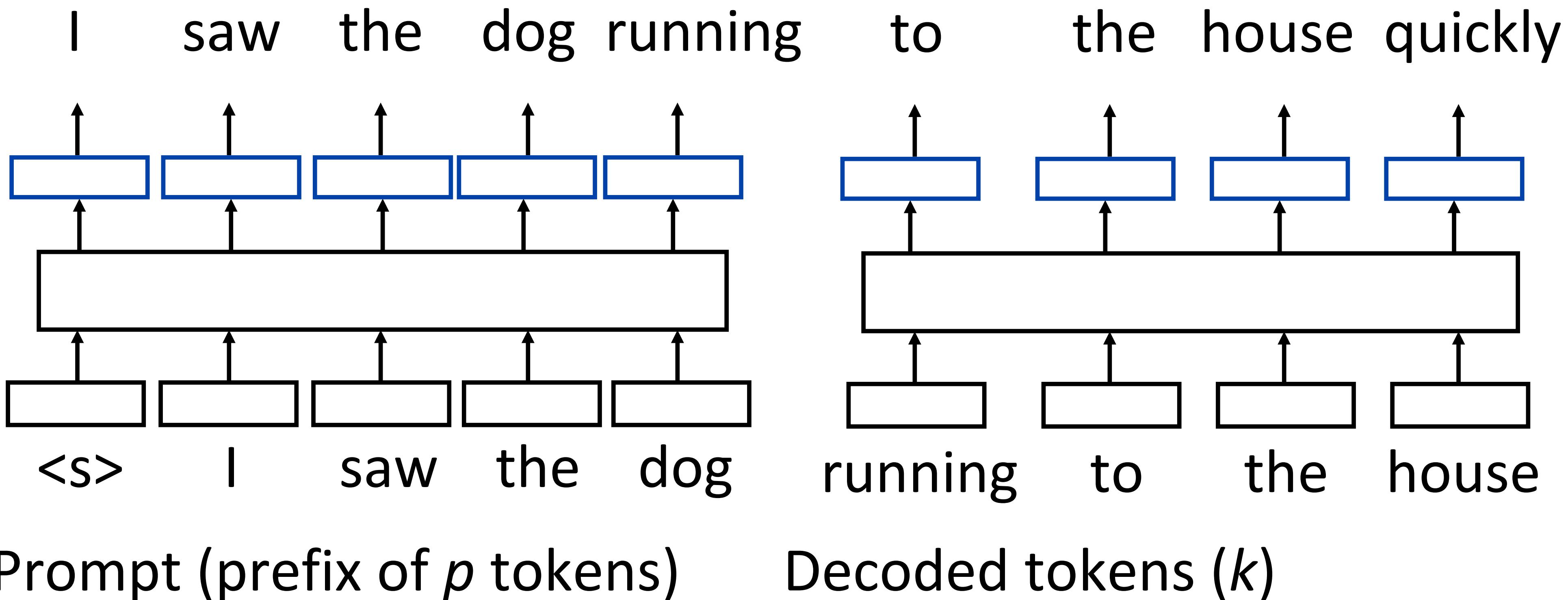
- ▶ Key idea a forward pass for several tokens at a time is $O(L)$ serial steps, since the tokens can be computed in parallel
- ▶ Can we predict many tokens with a weak model and then “check” them with a single forward pass?

Speculative Decoding



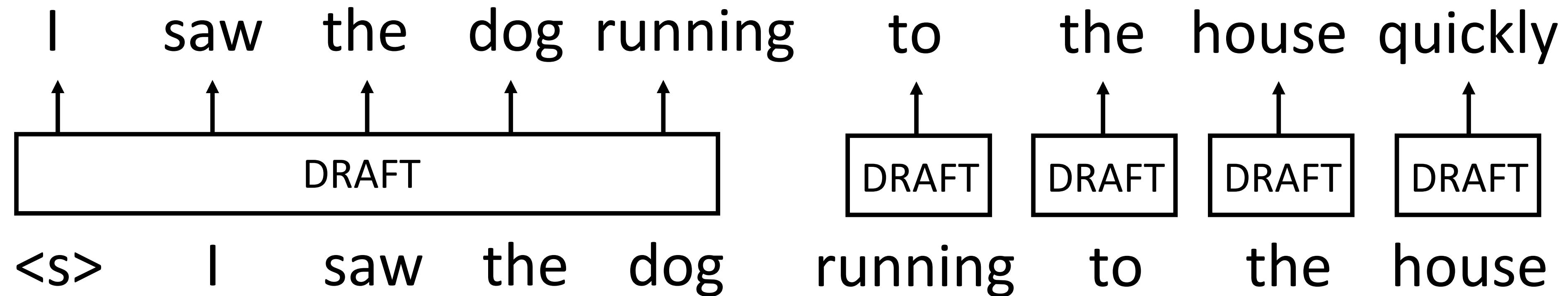
- ▶ When sampling, we need the whole distribution
- ▶ When doing greedy decoding, we only need to know what token was the max

Speculative Decoding

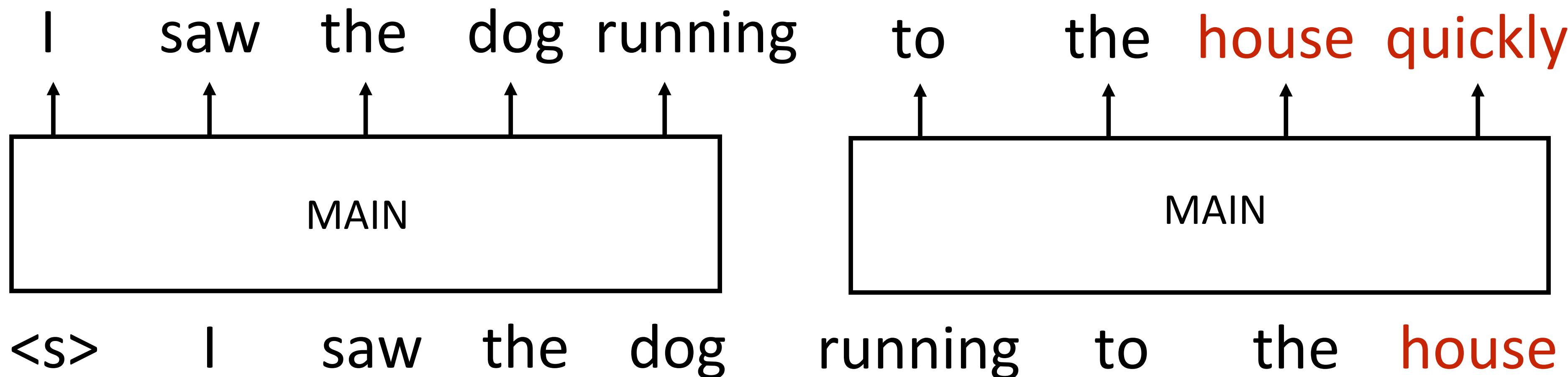


- ▶ We can use a small, cheap model to do inference, then check that “to”, “the”, “house”, “quickly” are really the best tokens from a bigger model

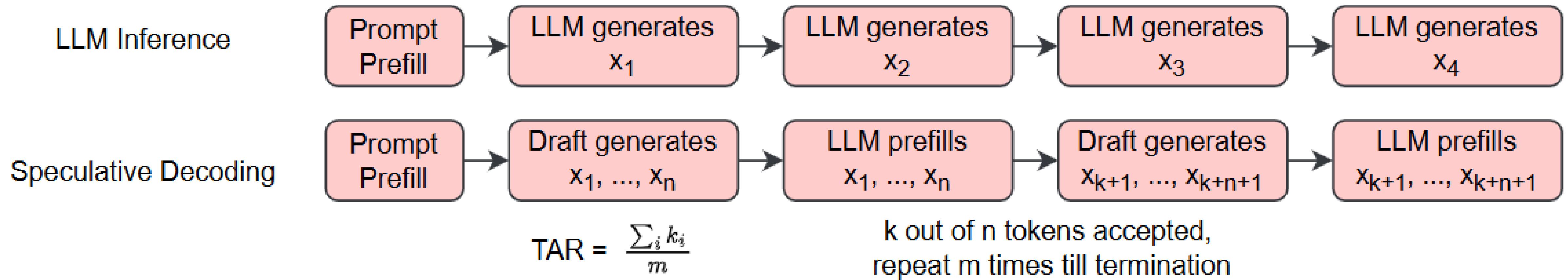
Speculative Decoding: Flow



- ▶ Produce decoded tokens one at a time from a fast draft model...



- ▶ Confirm that the tokens are the max tokens from the slower main model.
Any “wrong” token invalidates the rest of the sequence



Speculative Decoding

Leviathan et al. (2023)

[START] japan : s benchmark bond n

[START] japan : s benchmark nikkei 22 ,75

[START] japan : s benchmark nikkei 225 index rose 22 ,76

[START] japan : s benchmark nikkei 225 index rose 226 : 69 7 points

[START] japan : s benchmark nikkei 225 index rose 226 . 69 points , or 0 1

[START] japan : s benchmark nikkei 225 index rose 226 . 69 points , or 1 : 5 percent , to 10 , 9859

- ▶ Can also adjust this to use sampling. Treat this as a proposal distribution $q(x)$ and may need to reject + resample (rejection sampling)

Speculative Decoding

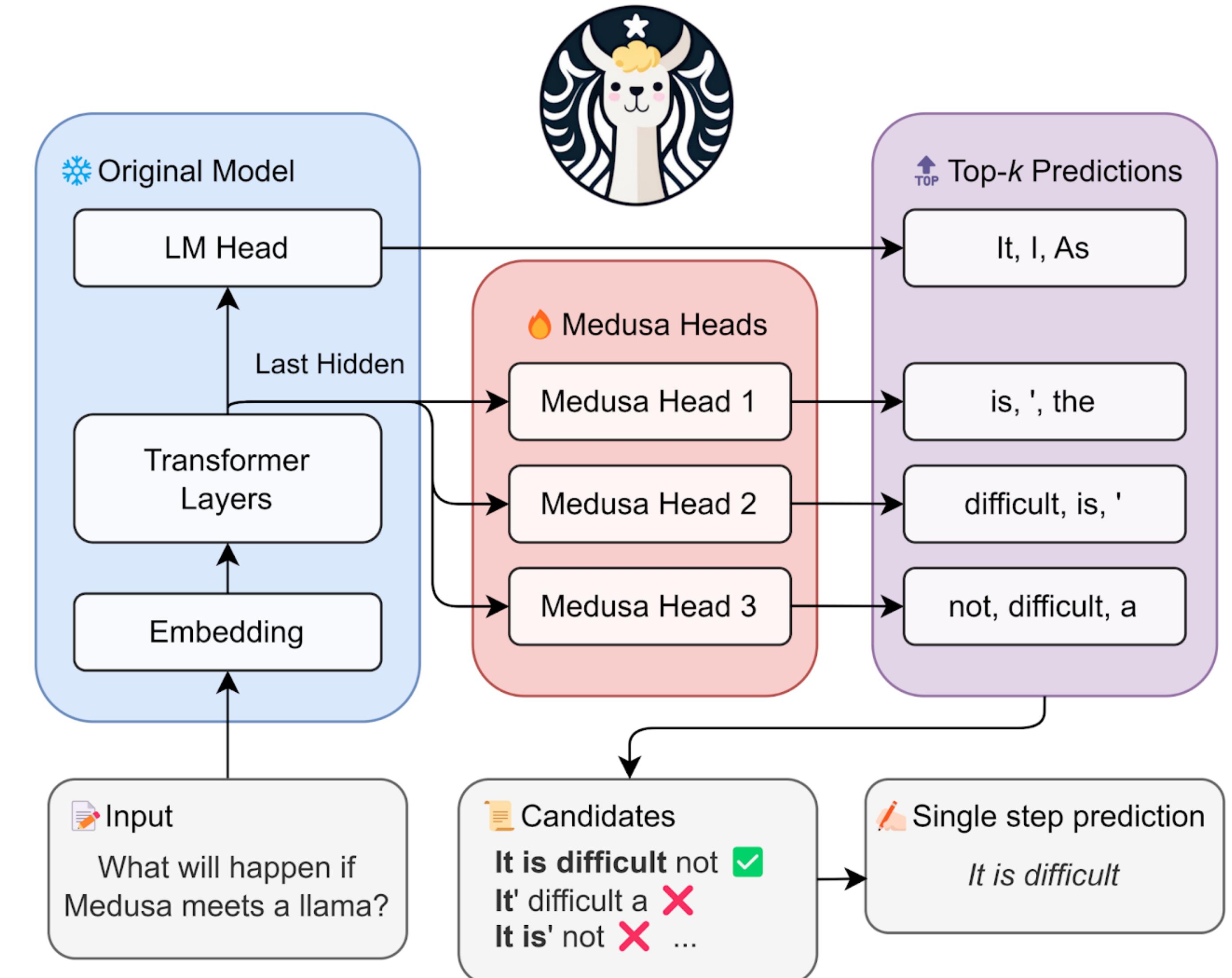
- ▶ Find the first index that was rejected by the sampling procedure, then resample from there

Inputs: $M_p, M_q, prefix$.

- ▷ Sample γ guesses $x_{1,\dots,\gamma}$ from M_q autoregressively.
for $i = 1$ **to** γ **do**
 $q_i(x) \leftarrow M_q(prefix + [x_1, \dots, x_{i-1}])$
 $x_i \sim q_i(x)$
end for
- ▷ Run M_p in parallel.
 $p_1(x), \dots, p_{\gamma+1}(x) \leftarrow M_p(prefix), \dots, M_p(prefix + [x_1, \dots, x_\gamma])$
- ▷ Determine the number of accepted guesses n .
 $r_1 \sim U(0, 1), \dots, r_\gamma \sim U(0, 1)$
 $n \leftarrow \min(\{i - 1 \mid 1 \leq i \leq \gamma, r_i > \frac{p_i(x)}{q_i(x)}\} \cup \{\gamma\})$
- ▷ Adjust the distribution from M_p if needed.
 $p'(x) \leftarrow p_{n+1}(x)$
if $n < \gamma$ **then**
 $p'(x) \leftarrow norm(max(0, p_{n+1}(x) - q_{n+1}(x)))$
end if
- ▷ Return one token from M_p , and n tokens from M_q .
 $t \sim p'(x)$
return $prefix + [x_1, \dots, x_n, t]$

Medusa Heads

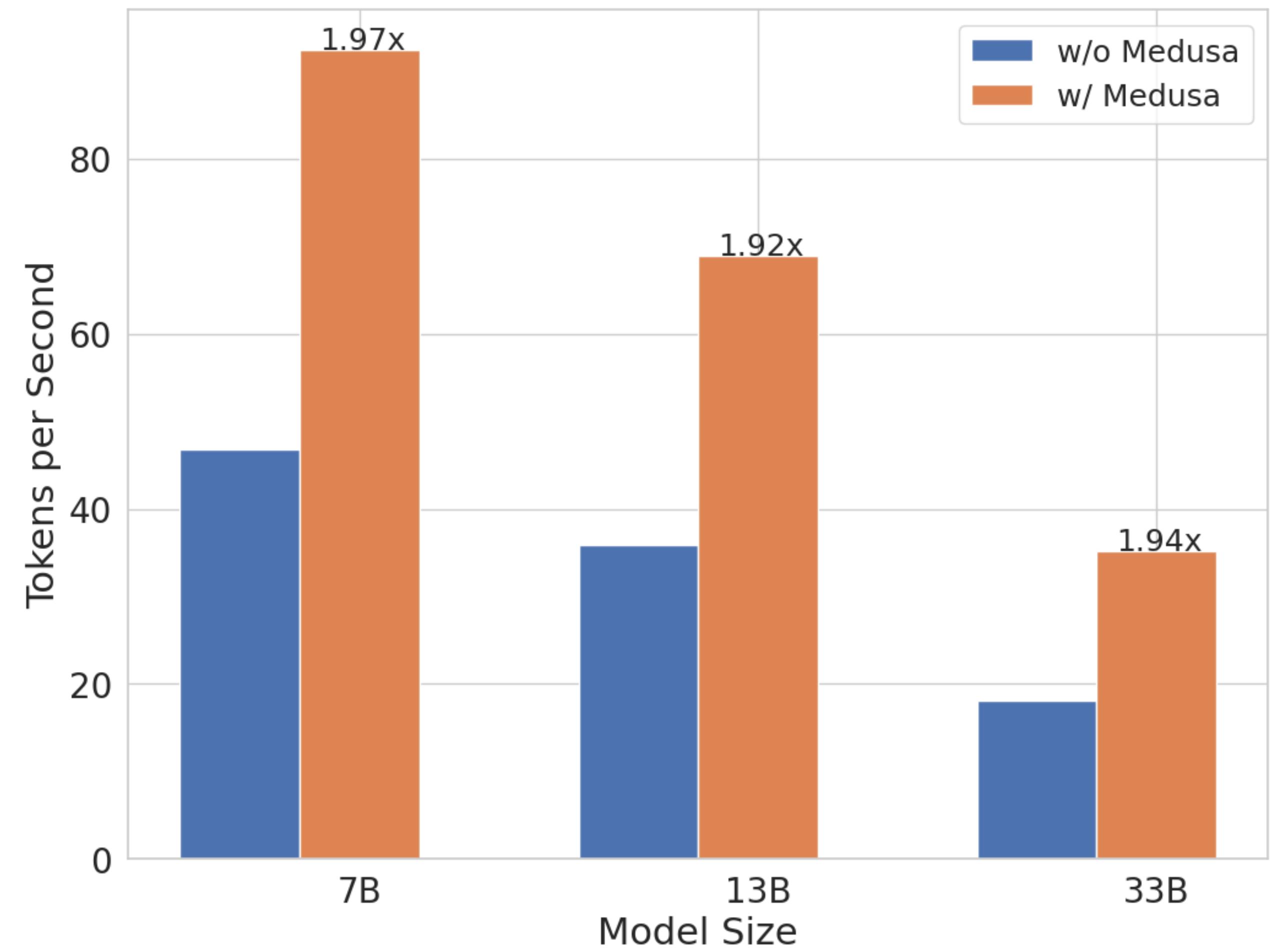
- ▶ The “draft model” consists of multiple prediction heads trained to predict the next k tokens



Medusa Heads

- ▶ Speedup with no loss in accuracy!

Speedup on different model sizes



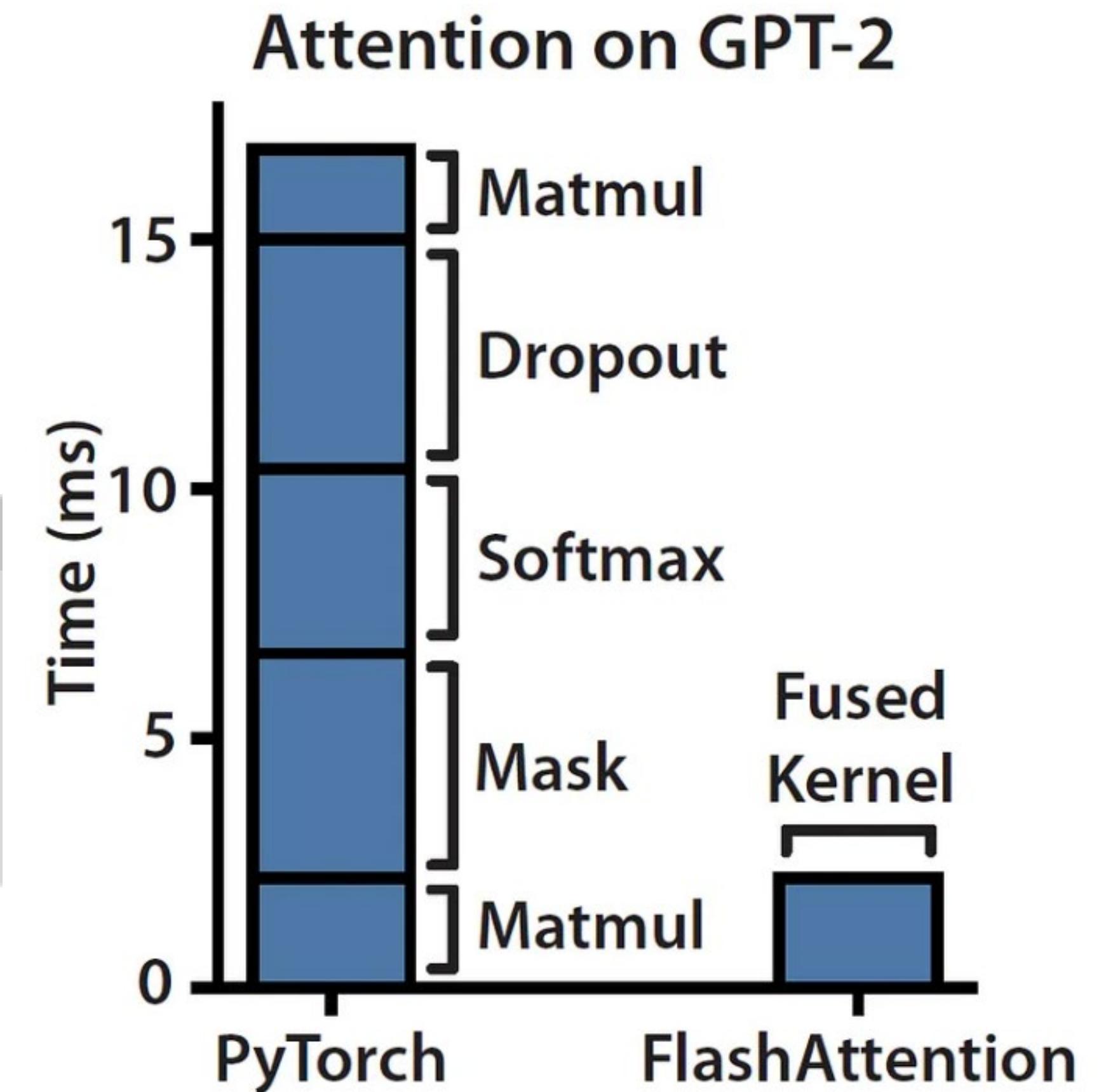
Other Decoding Improvements

- ▶ Most other approaches to speeding up require changing the model (making a faster Transformer) or making it smaller (distillation, pruning; discussed next)
- ▶ Batching parallelism: improve throughput by decoding many examples in parallel. (Does not help with latency, and it's a little bit harder to do in production if requests are coming in asynchronously)
- ▶ Low-level hardware optimizations?
 - ▶ Easy things like caching (KV cache: keys + values for context tokens are cached across multiple tokens)

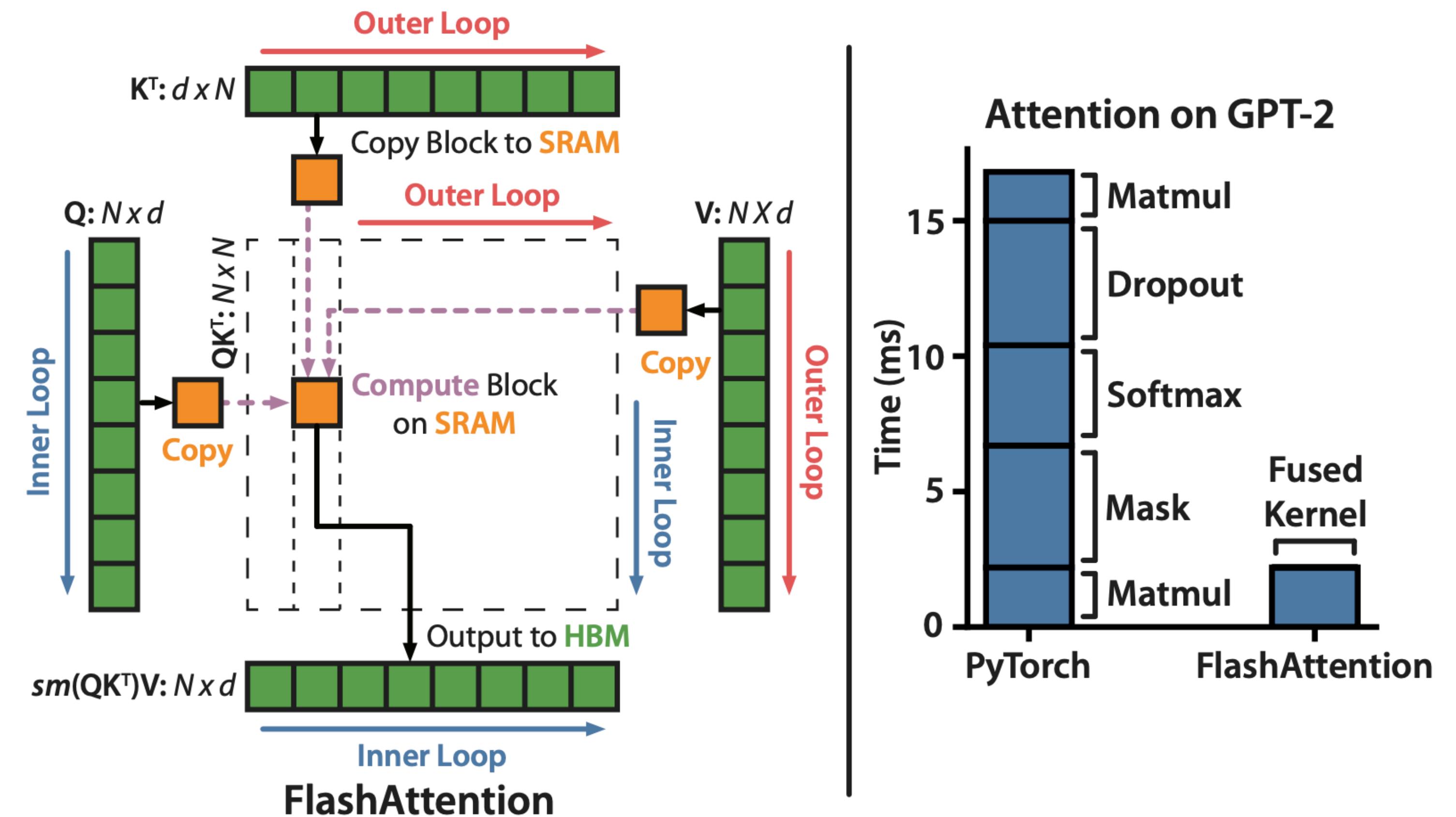
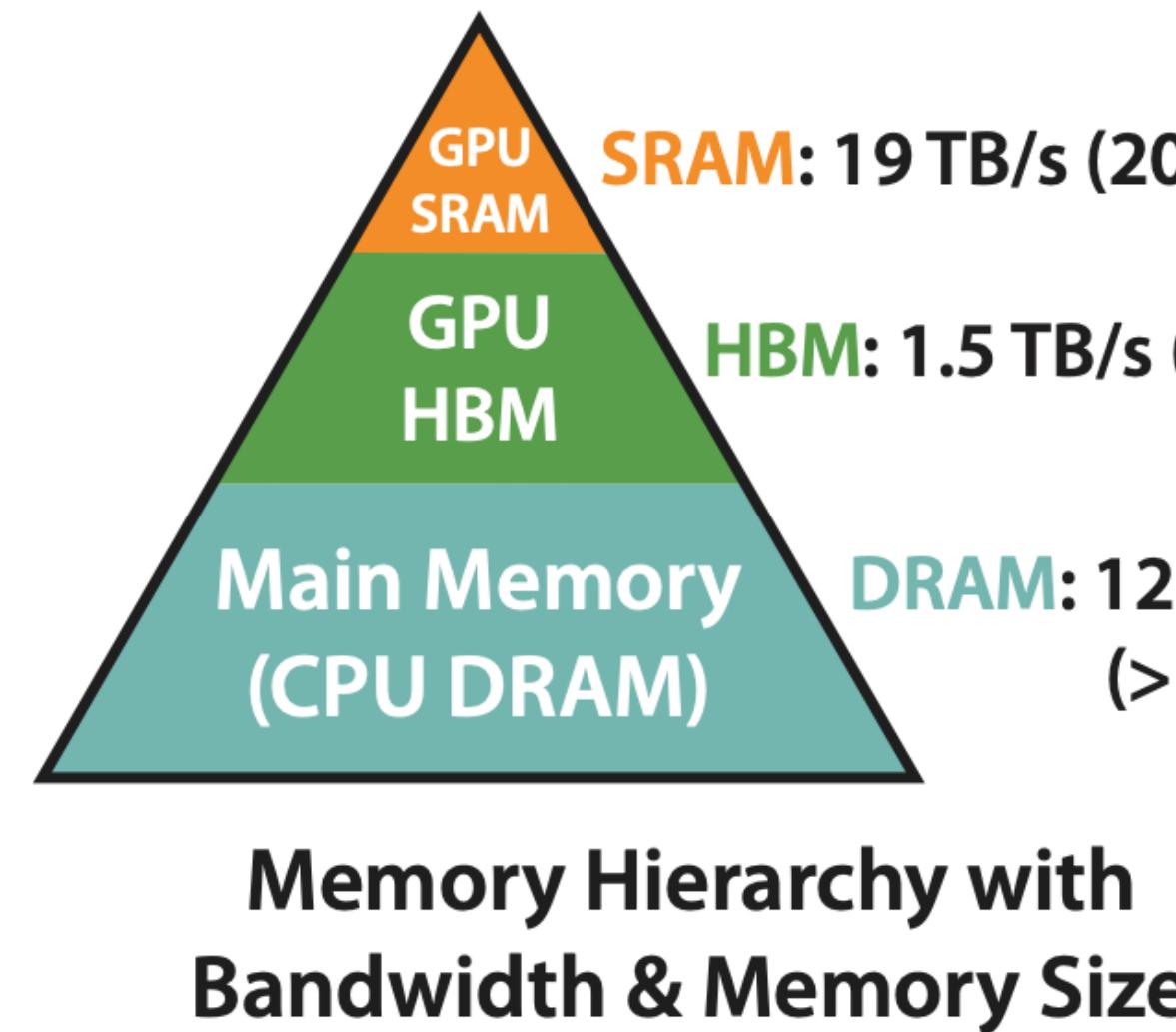
Flash Attention

$$\text{Attention}(Q, K, V) = \text{softmax} \left(\frac{QK^\top}{\sqrt{d_k}} \right) V$$

Operation	Cost	Bound
QK^\top	$\mathcal{O}(nmd_k)$	Compute-bound
Scaling $\div \sqrt{d_k}$	$\mathcal{O}(nm)$	Memory-bound
Softmax	$\mathcal{O}(nm)$	Memory-bound
$\text{softmax}(\dots)V$	$\mathcal{O}(nmd_v)$	Compute-bound



Flash Attention



- ▶ Does extra computation during attention, but avoids expensive reads/writes to GPU “high-bandwidth memory.” Recomputation is all in SRAM and is very fast
- ▶ Essentially: store a running sum for the softmax, compute values as needed

Flash Attention

Models	ListOps	Text	Retrieval	Image	Pathfinder	Avg	Speedup
Transformer	36.0	63.6	81.6	42.3	72.7	59.3	-
FLASHATTENTION	37.6	63.9	81.4	43.5	72.7	59.8	2.4x
Block-sparse FLASHATTENTION	37.0	63.0	81.3	43.6	73.3	59.6	2.8x
Linformer [84]	35.6	55.9	77.7	37.8	67.6	54.9	2.5x
Linear Attention [50]	38.8	63.2	80.7	42.6	72.5	59.6	2.3x
Performer [12]	36.8	63.6	82.2	42.1	69.9	58.9	1.8x
Local Attention [80]	36.1	60.2	76.7	40.6	66.6	56.0	1.7x
Reformer [51]	36.5	63.8	78.5	39.6	69.4	57.6	1.3x
Smyrf [19]	36.1	64.1	79.0	39.6	70.5	57.9	1.7x

- ▶ Gives a speedup for free — with no cost in accuracy (modulo numeric instability)
- ▶ Outperforms the speedup from many other approximate Transformer methods, which perform substantially worse

Model Compression

Model Compression

1. Quantization

- keep the model the same but reduce the number of bits

2. Pruning

- remove parts of a model while retaining performance

3. Distillation

- train a smaller model to imitate the bigger model

Why is this even possible?

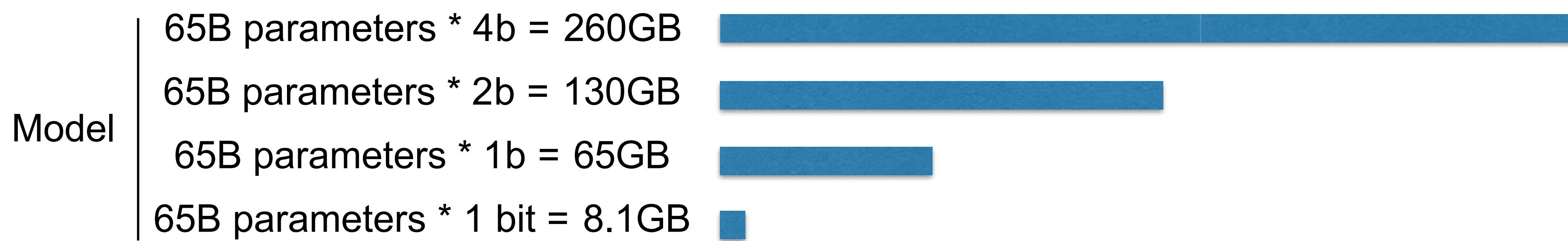
Overparameterized models are easier to optimize (Du and Lee 2018)

networks. For a k hidden node shallow network with quadratic activation and n training data points, we show as long as $k \geq \sqrt{2n}$, overparametrization enables local search algorithms to find a *globally* optimal solution for general smooth and convex loss functions. Further, de-

Quantization

Post-Training Quantization

- **Example:** Train a 65B-param model with whatever precision you like, then quantize the weights



Floating point numbers

.

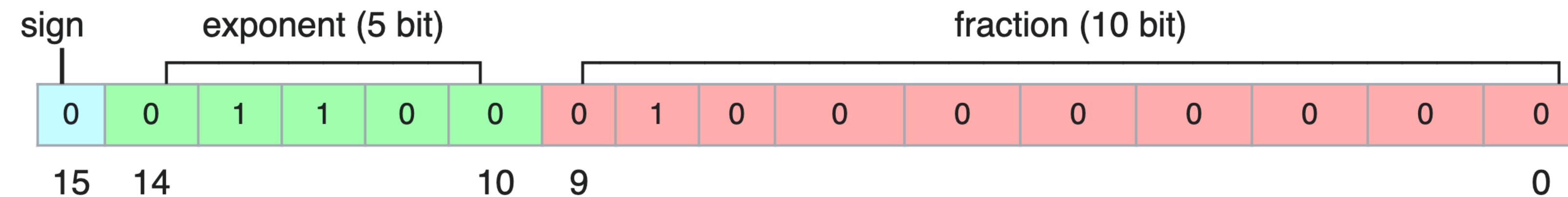
Floating point number is stored as $(-1)^s M 2^E$

- Sign bit s
- Fractional part $M = \text{frac}$
- Exponential part $E = \text{exp} - \text{bias}$

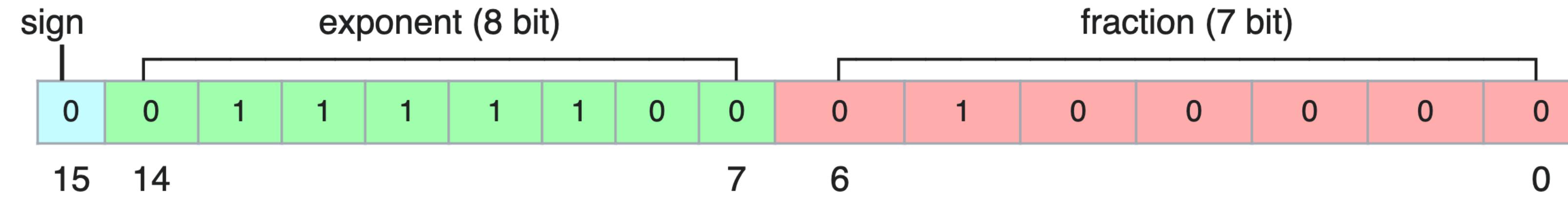


Reduced-precision floating point types

float16 (fp16)



bfloat16



Int8 quantization

- Absolute Maximum (absmax) quantization:

$$\mathbf{X}_{i8} = \left\lfloor \frac{127 \cdot \mathbf{X}_{f16}}{\max_{ij}(|\mathbf{X}_{f16_{ij}}|)} \right\rfloor$$

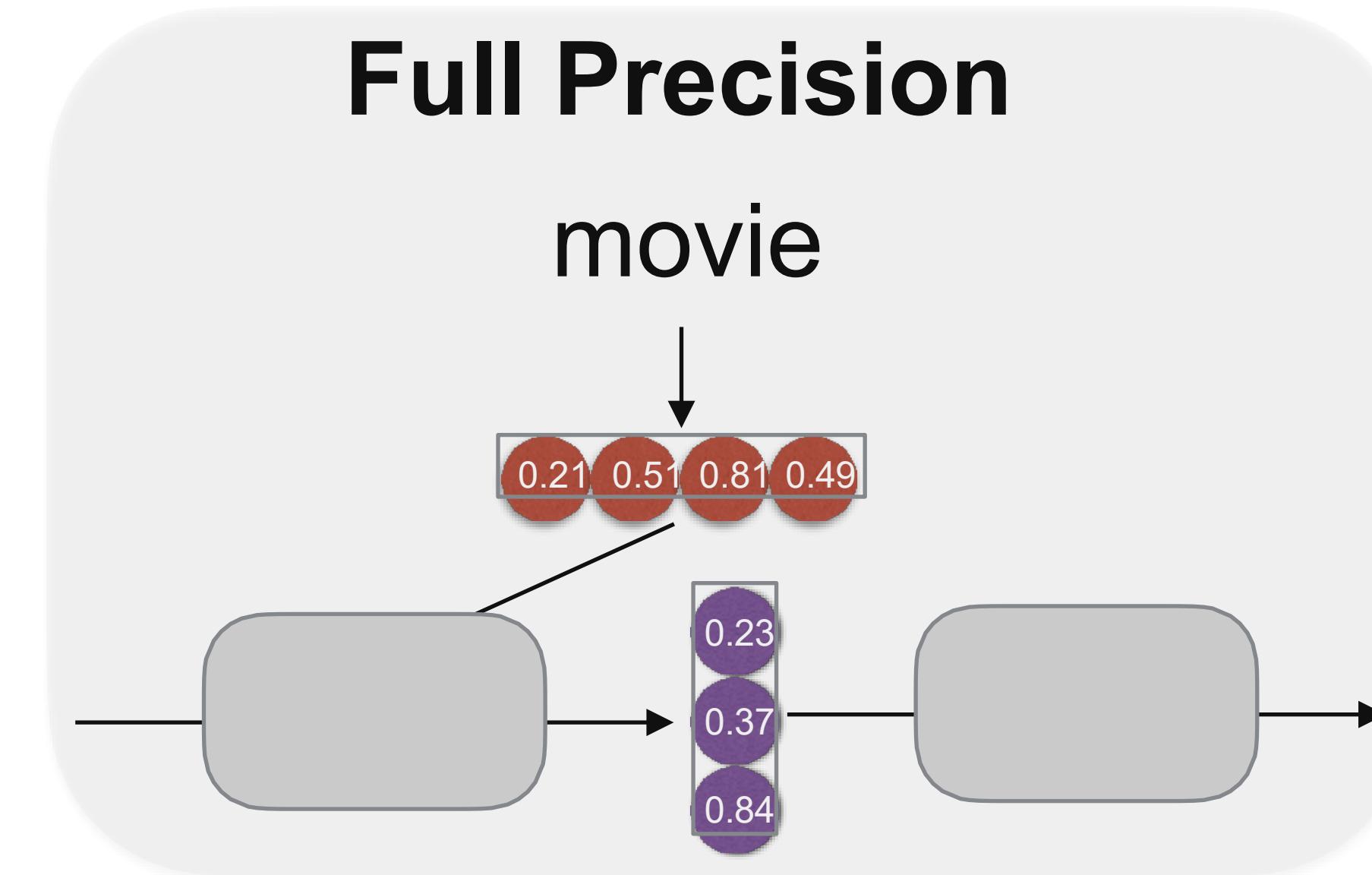
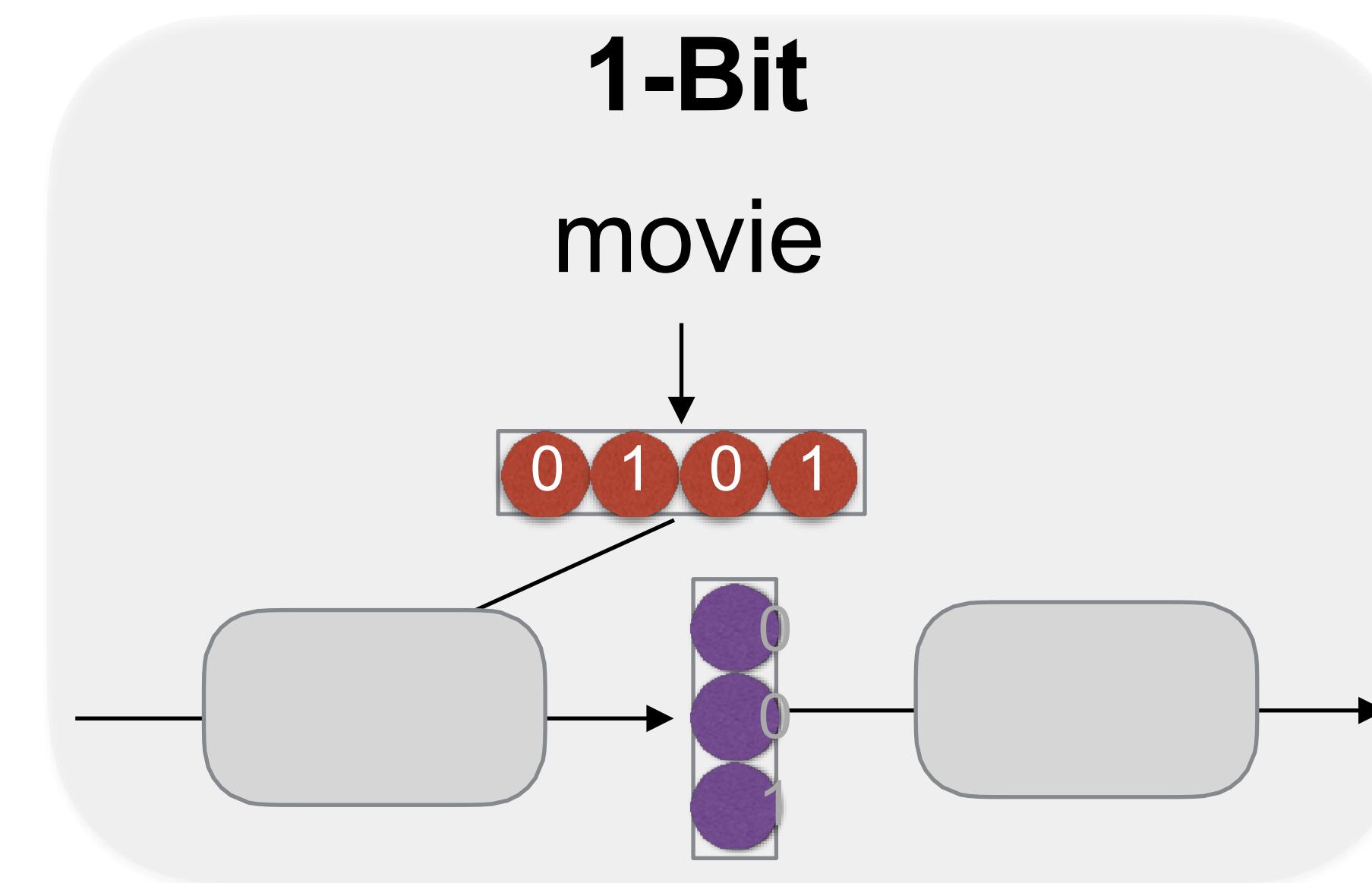
.

This scales inputs to [-127, 127]

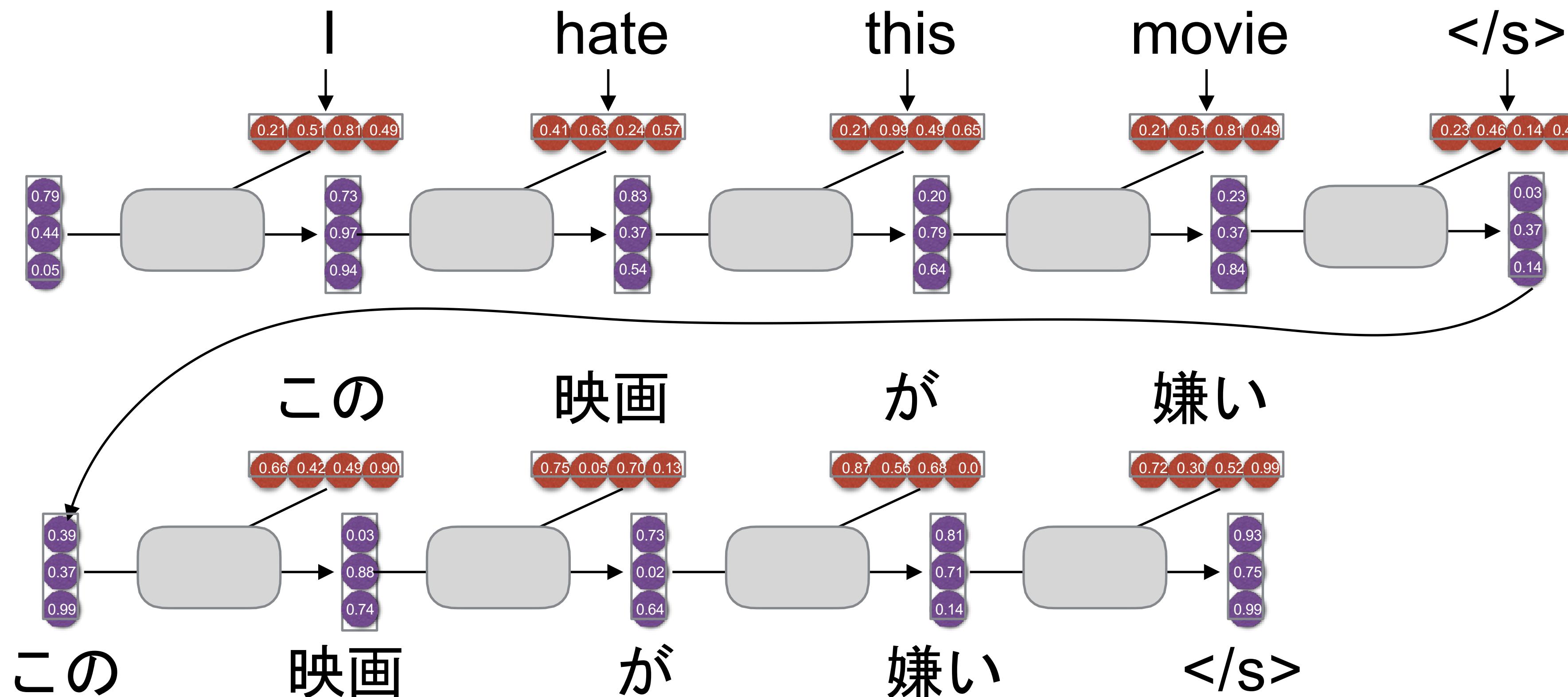
[0.5, 20, -0.0001, -.01, -0.1]

- Maximum entry is 20
- $\text{round}(127/20 * [0.5, 20, -0.0001, -.01, -0.1]) \rightarrow [3, 127, 0, 0, -1]$

Extreme Example: Binarized Neural Networks



Extreme Example: Binarized Neural Networks



Extreme Example: Binarized Neural Networks

Full Precision

hate

0.41	0.63	0.24	0.57
------	------	------	------

this

0.21	0.99	0.49	0.65
------	------	------	------

movie

0.21	0.51	0.81	0.49
------	------	------	------

1-Bit

movie

0	1	0	1
---	---	---	---

movie

0	1	0	1
---	---	---	---

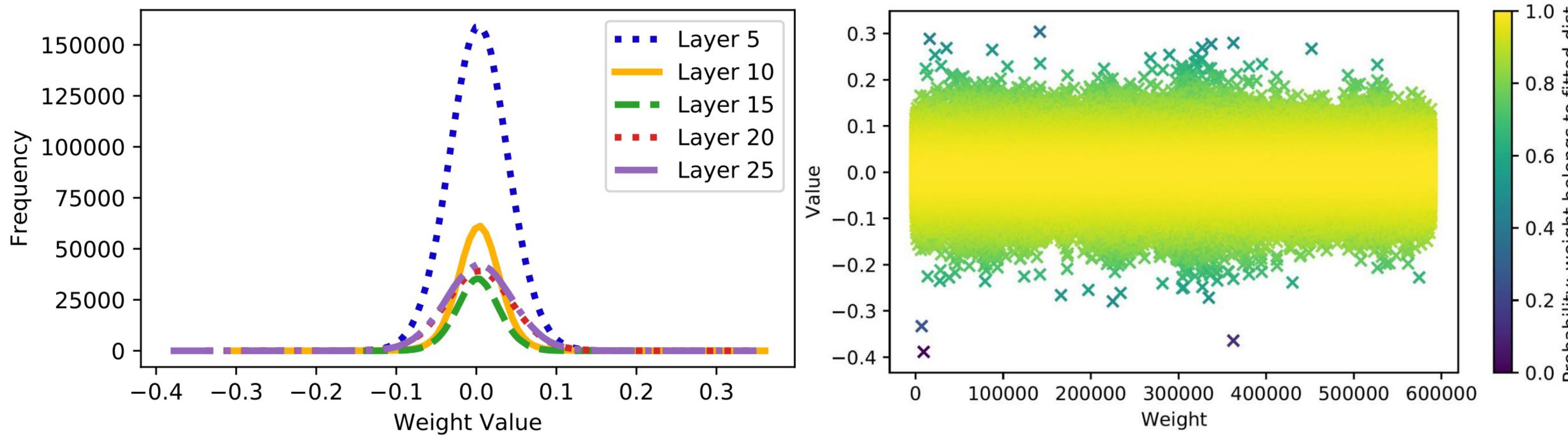
movie

0	1	0	1
---	---	---	---

Model-Aware Quantization: GOBO

(Zadeh et al. 2020)

- BERT weights in each layer tend to lie on a Gaussian
 - Only small fraction of weights in each layer are in the tails of the distribution

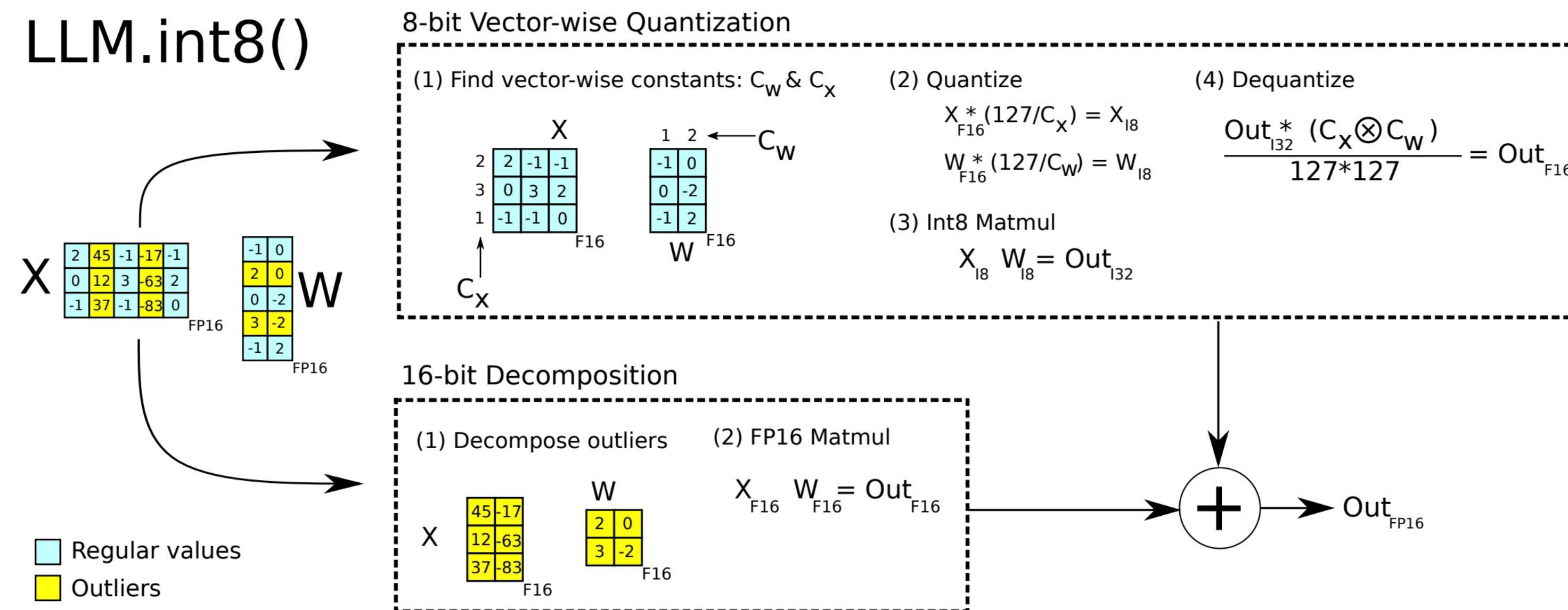


- Quantize the 99.9% of weights in the body of the distribution into 8 buckets
 - Do not quantize the remaining 0.01%

Model-Aware Quantization: LLM.int8

(Dettmers et al. 2022)

- Problem with prev approach: quantizing each layer uniformly
 - 95% of params in Transformer LLMs are matrix multiplication



- Quantization overhead slows down <6.7B models, but enables inference of 175B models on single GPUs (in half the time)

Hardware Concerns

(Shen et al. 2019)

- Not all data types (e.g. “Int3”) are supported by most hardware
- PyTorch only supports certain data types (e.g. no support for Int4)

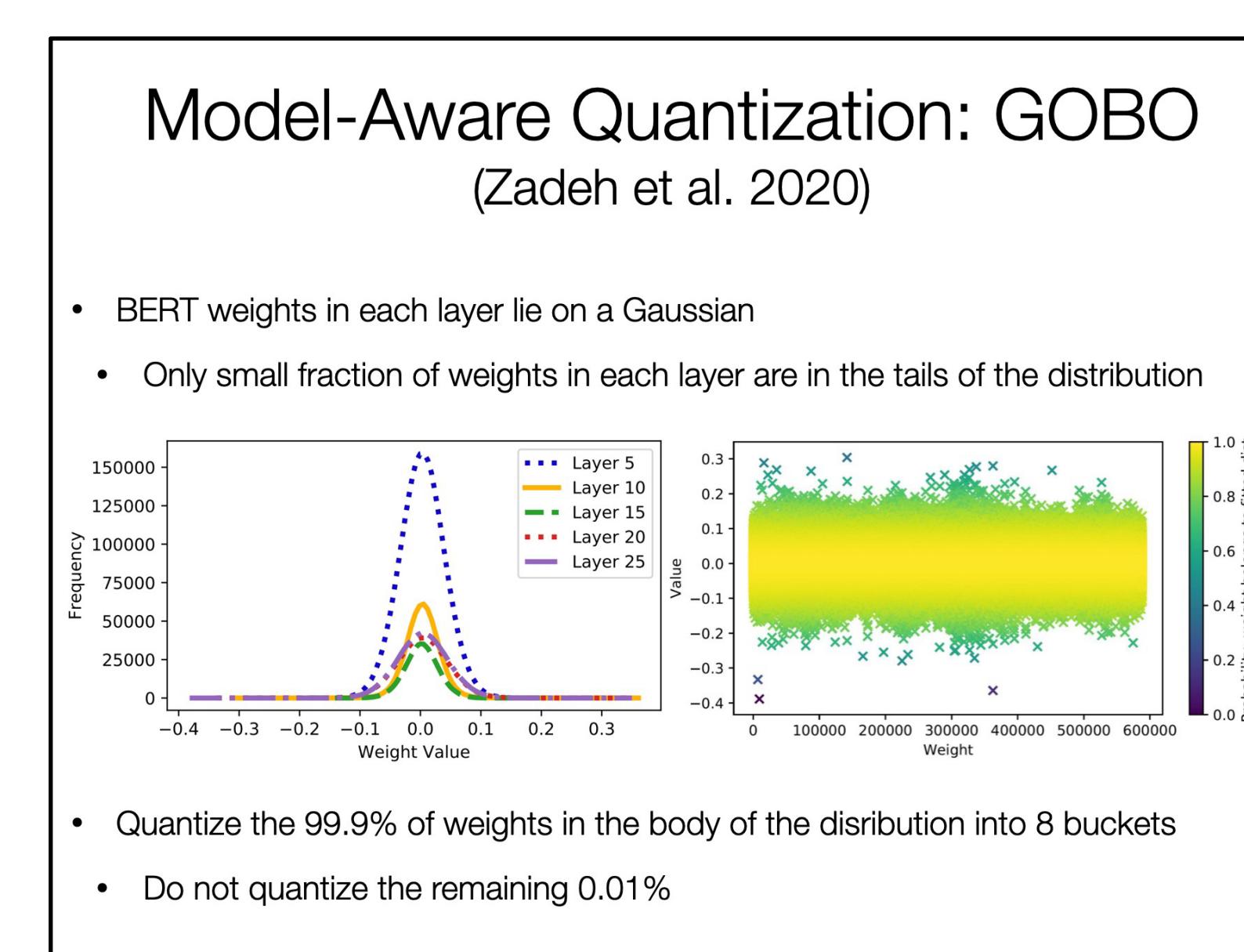
PyTorch Docs > Quantization

	Static Quantization	Dynamic Quantization
nn.Linear	Y	Y
nn.Conv1d/2d/3d	Y	N
nn.LSTM	Y (through custom modules)	Y
nn.GRU	N	Y
nn.RNNCell	N	Y
nn.GRUCell	N	Y
nn.LSTMCell	N	Y
nn.EmbeddingBag	Y (activations are in fp32)	Y
nn.Embedding	Y	Y
nn.MultiheadAttention	Y (through custom modules)	Not supported
Activations	Broadly supported	Un-changed, computations stay in fp32

Hardware Concerns

(Shen et al. 2019)

- Not all data types (e.g. “Int3”) are supported by most hardware
- PyTorch only supports certain data types (e.g. no support for Int4)
- Some quantization methods require writing bespoke hardware accelerators



Quantization-Aware Training

Binarized Neural Networks

(Courbariaux et al. 2016)

- Weights are -1 or 1 everywhere
 - Activations are also binary
- Defined stochastically: choose 0 with probability $\sigma(x)$ and 1 with probability $1 - \sigma(x)$
 - Backprop is also discretized

Binarized Neural Networks

(Courbariaux et al. 2016)

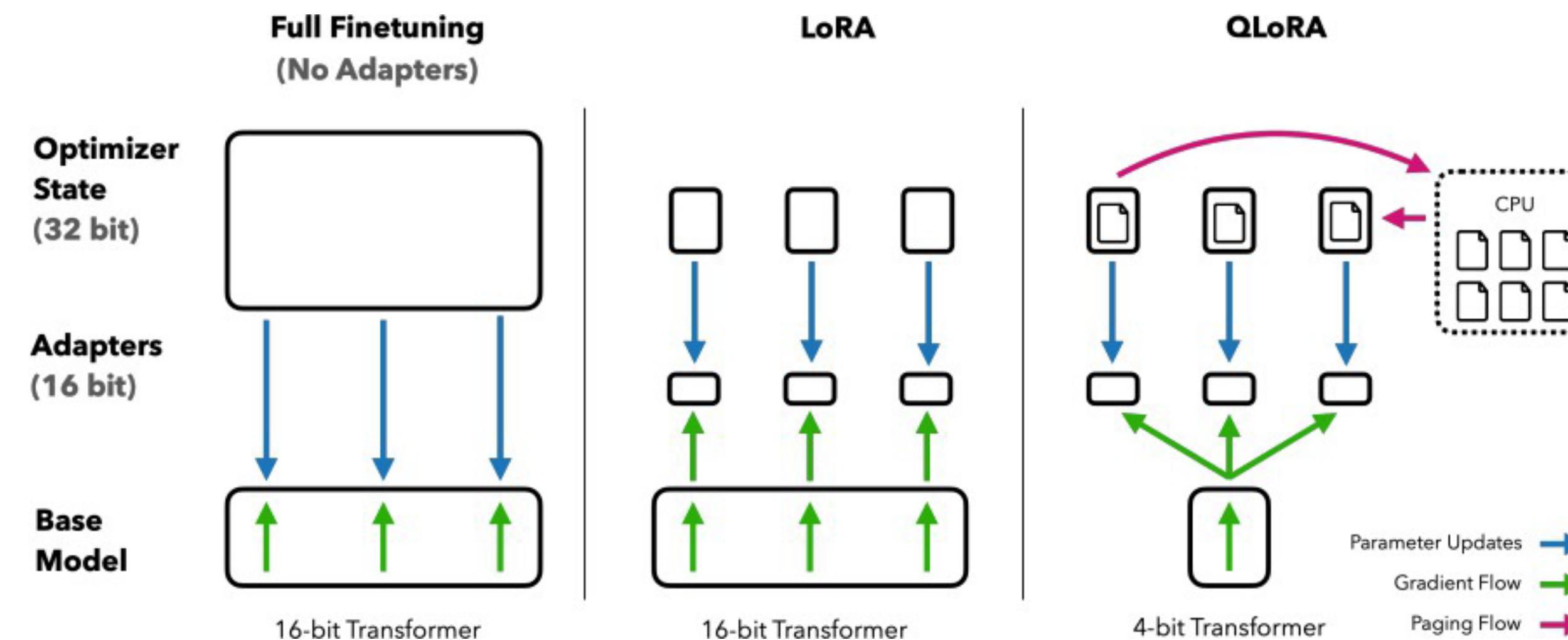
Data set	MNIST	SVHN	CIFAR-10
Binarized activations+weights, during training and test			
BNN (Torch7)	1.40%	2.53%	10.15%
BNN (Theano)	0.96%	2.80%	11.40%
Committee Machines' Array (Baldassi et al., 2015)	1.35%	-	-
Binarized weights, during training and test			
BinaryConnect (Courbariaux et al., 2015)	$1.29 \pm 0.08\%$	2.30%	9.90%
Binarized activations+weights, during test			
EBP (Cheng et al., 2015)	$2.2 \pm 0.1\%$	-	-
Bitwise DNNs (Kim & Smaragdis, 2016)	1.33%	-	-
No binarization (standard results)			
Maxout Networks (Goodfellow et al.)	0.94%	2.47%	11.68%
Network in Network (Lin et al.)	-	2.35%	10.41%
Gated pooling (Lee et al., 2015)	-	1.69%	7.62%

Q-LORA

(Dettmers et al. 2023)

Further compress memory requirements for training by

- 4-bit quantization of the model (please see the class on LoRA)
- Use of GPU memory paging to prevent OOM



- Can train a 65B model on a 48GB GPU!

Pruning

Pruning

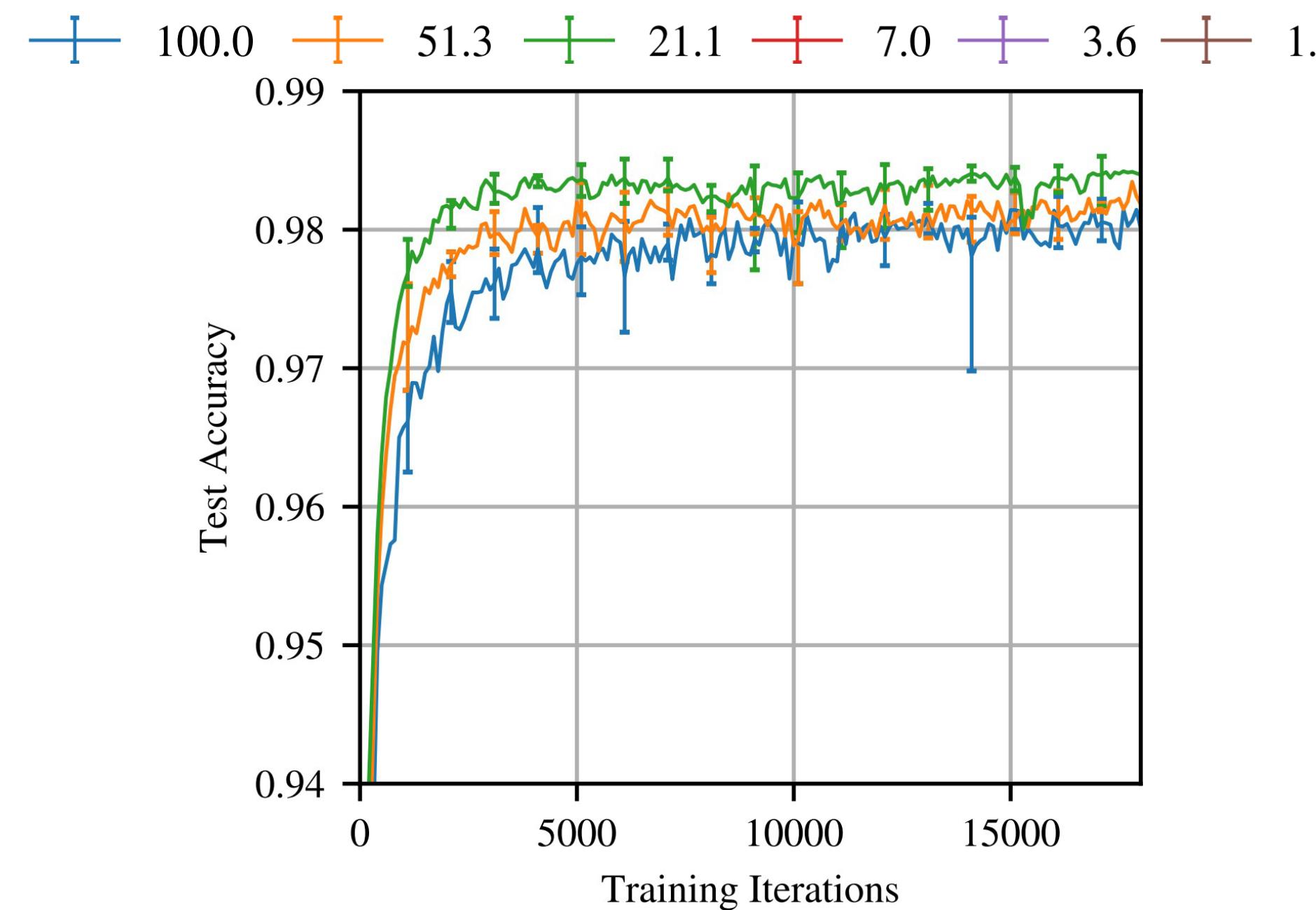
- Remove parameters from the model after training

Pruning vs Quantization

- **Quantization:** no parameters are changed*, up to k bits of *precision*
- **Pruning:** a number of parameters are set to zero, the rest are unchanged

Lottery Ticket Hypothesis

Within a randomly initialized dense neural network, there exists a small subnetwork (a "winning ticket") that, when trained in isolation with the same initialization, can match or even outperform the original network.



Model Compression

Approaches to Compression

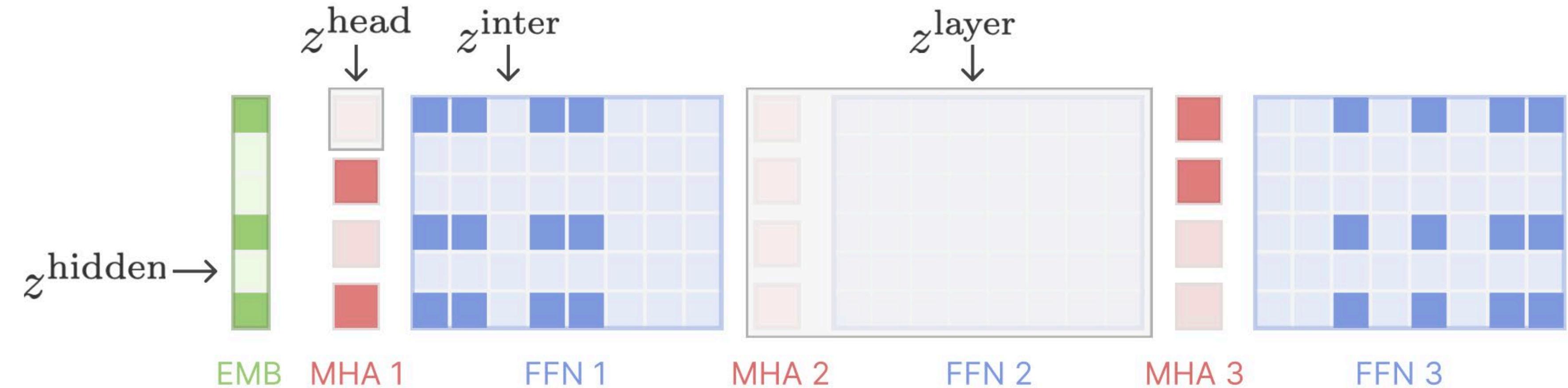
- ▶ Pruning: can we reduce the number of neurons in the model?
 - ▶ Basic idea: remove low-magnitude weights
- ▶ Issue: sparse matrices are not fast, matrix multiplication is very fast on GPUs so you don't save any time!

Approaches to Compression

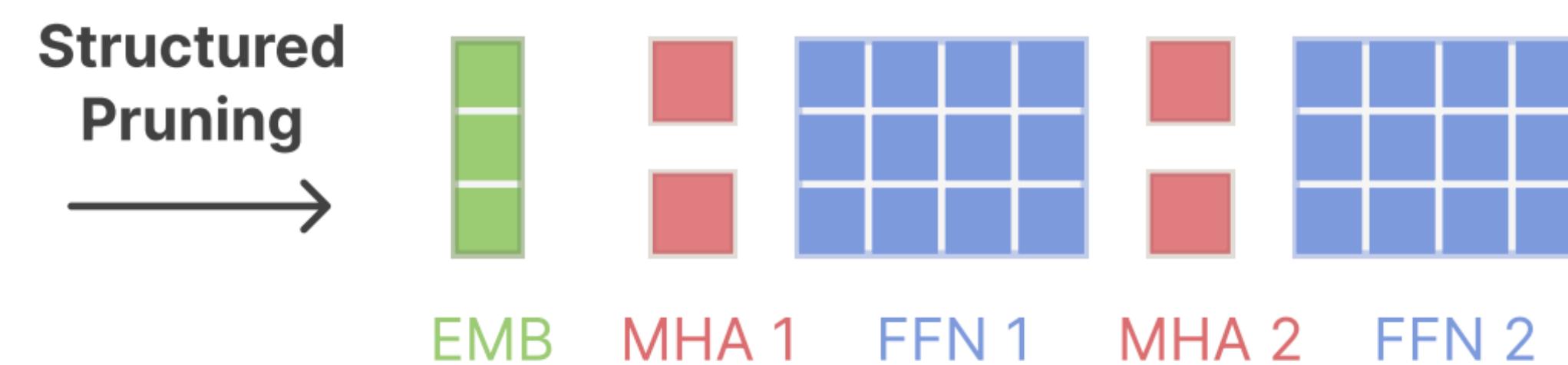
- ▶ Pruning: can we reduce the number of neurons in the model?
 - ▶ ~~Basic idea: remove low-magnitude weights~~
- ▶ Instead, we want some kind of structured pruning. What does this look like?
- ▶ Still a challenge: if different layers have different sizes, your GPU utilization may go down

Sheared Llama

- ▶ Idea 1:
targeted
structured
pruning



- ▶ Parameterization and regularization encourage sparsity, even though the z's are continuous



- ▶ Idea 2: continue training the model in its pruned state

Target Model
 $L_{\mathcal{T}} = 2, d_{\mathcal{T}} = 3, H_{\mathcal{T}} = 2, m_{\mathcal{T}} = 4$

Mengzhou Xia et al. (2023)

Sheared Llama

Model (#tokens for training)	Continued		LM	World Knowledge		Average
	LogiQA	BoolQ (32)	LAMBADA	NQ (32)	MMLU (5)	
LLaMA2-7B (2T) [†]	30.7	82.1	28.8	73.9	46.6	64.6
OPT-1.3B (300B) [†]	26.9	57.5	58.0	6.9	24.7	48.2
Pythia-1.4B (300B) [†]	27.3	57.4	61.6	6.2	25.7	48.9
Sheared-LLaMA-1.3B (50B)	26.9	64.0	61.0	9.6	25.7	51.0
OPT-2.7B (300B) [†]	26.0	63.4	63.6	10.1	25.9	51.4
Pythia-2.8B (300B) [†]	28.0	66.0	64.7	9.0	26.9	52.5
INCITE-Base-3B (800B)	27.7	65.9	65.3	14.9	27.0	54.7
Open-LLaMA-3B-v1 (1T)	28.4	70.0	65.4	18.6	27.0	55.1
Open-LLaMA-3B-v2 (1T) [†]	28.1	69.6	66.5	17.1	26.9	55.7
Sheared-LLaMA-2.7B (50B)	28.9	73.7	68.4	16.5	26.4	56.7

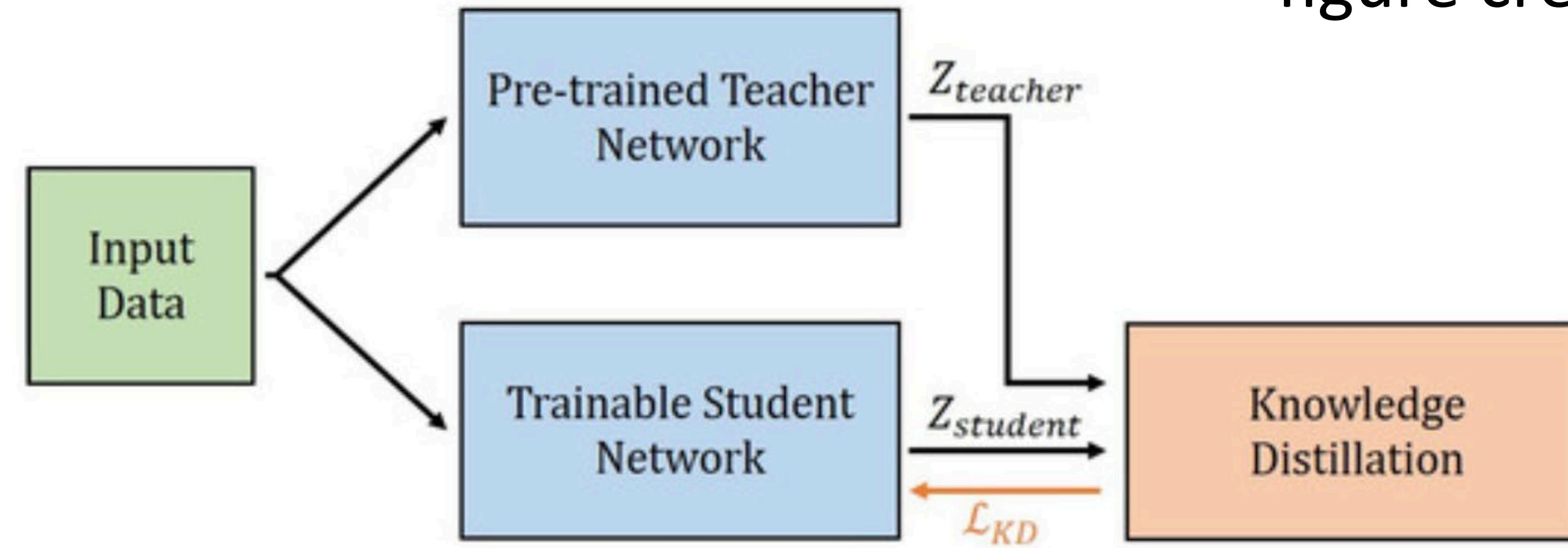
- ▶ (Slightly) better than models that were “organically” trained at these larger scales

Approaches to Compression

- ▶ Pruning: can we reduce the number of neurons in the model?
 - ▶ ~~Basic idea: remove low-magnitude weights~~
 - ▶ Instead, we want some kind of structured pruning. What does this look like?
- ▶ Knowledge distillation
 - ▶ Classic approach from Hinton et al.: train a *student* model to match distribution from *teacher*

DistilBERT

figure credit: Tianjian Li



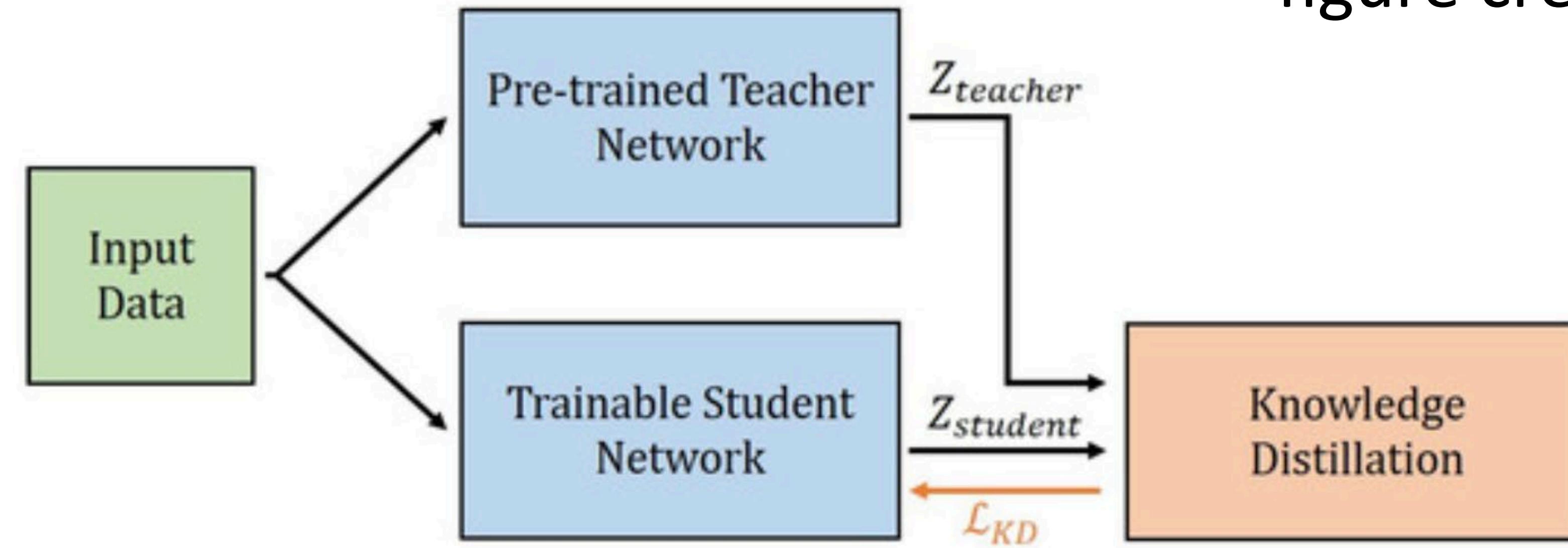
Suppose we have a classification model with output $P_{teacher}(y | x)$

Minimize $KL(P_{teacher} || P_{student})$ to bring student dist close to teacher

Note that this is not using labels — it uses the teacher to “pseudo-label” data, and we label an entire distribution, not just a top-one label

DistilBERT

figure credit: Tianjian Li



- ▶ Use a teacher model as a large neural network, such as BERT
- ▶ Make a small student model that is half the layers of BERT. Initialize with every other layer from the teacher

DistilBERT

Model	Score	CoLA	MNLI	MRPC	QNLI	QQP	RTE	SST-2	STS-B	WNLI
ELMo	68.7	44.1	68.6	76.6	71.1	86.2	53.4	91.5	70.4	56.3
BERT-base	79.5	56.3	86.7	88.6	91.8	89.6	69.3	92.7	89.0	53.5
DistilBERT	77.0	51.3	82.2	87.5	89.2	88.5	59.9	91.3	86.9	56.3

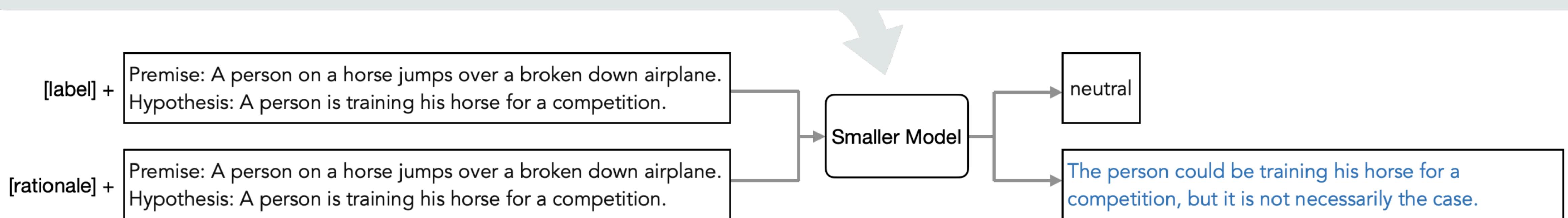
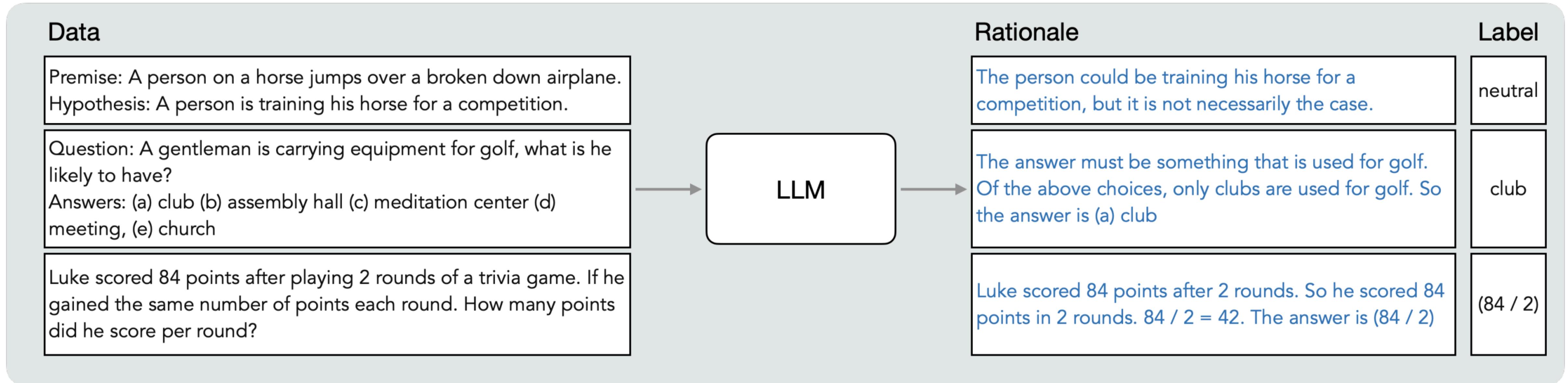
Table 2: **DistilBERT yields to comparable performance on downstream tasks.** Comparison on downstream tasks: IMDb (test accuracy) and SQuAD 1.1 (EM/F1 on dev set). D: with a second step of distillation during fine-tuning.

Model	IMDb (acc.)	SQuAD (EM/F1)
BERT-base	93.46	81.2/88.5
DistilBERT	92.82	77.7/85.8
DistilBERT (D)	-	79.1/86.9

Table 3: **DistilBERT is significantly smaller while being constantly faster.** Inference time of a full pass of GLUE task STS-B (sentiment analysis) on CPU with a batch size of 1.

Model	# param. (Millions)	Inf. time (seconds)
ELMo	180	895
BERT-base	110	668
DistilBERT	66	410

Other Distillation



- ▶ How to distill models for complex reasoning settings? Still an open problem!

Where is this going?

- ▶ **Better GPU programming:** as GPU performance starts to saturate, we'll probably see more algorithms tailored very specifically to the affordances of the hardware
- ▶ **Small models**, either distilled or trained from scratch: as LLMs gets better, we can do with ~7B scale what used to be only doable with ChatGPT (GPT-3.5)
- ▶ **Continued focus on faster inference:** faster inference can be highly impactful across all LLM applications

Takeaways

- ▶ Decoding optimizations: speculative decoding gives a fast way to exactly sample from a smaller model. Also techniques like Flash Attention
- ▶ Model compression and quantization: standard compression techniques, but adapted to work really well for GPUs
- ▶ Model optimizations to make models smaller: pruning, distillation