

Multimodality Contd, Mutilinguality

CSE 5525: Foundations of Speech and Natural Language
Processing

<https://shocheen.github.io/courses/cse-5525-spring-2025>



THE OHIO STATE UNIVERSITY

Logistics

- Final project:
 - Mid-project report is due Today! No slip days.
 - Project presentations: April 16, 18.
 - Final project report due date: April 25.
- Guest lectures next week (Retrieval, Agents) – No quiz
 - One more quiz the week after (we will take top 1 out of 3).

Multimodality

LMs today can process more than just text



Best of 8

selfie view of the photographer, as she turns around to high five him



Best of 8

[AI or Not game. Is this image real or AI? Can you tell? Take the test](#)



Goals of Today's Lecture

Goal: Learn how some LLMs work with more than just text

- ⋮ Motivation for V&L models
- ⋮ Vision Transformer
- ⋮ Classification with Image+Text Input
- ⋮ Generation with Image+Text Input
- ⋮ Video Processing (briefly)
- ⋮ Speech Processing (briefly)

Vision Transformer (ViT)



[An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale](#)

[Tutorial 11: Vision Transformers](#)

Figure: <https://github.com/lucidrains/vit-pytorch/blob/main/images/vit.gif>

Vision Transformer (ViT)

We learned that pretraining helps!



[An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale](#)

[Tutorial 11: Vision Transformers](#)

Figure: <https://github.com/lucidrains/vit-pytorch/blob/main/images/vit.gif>

Why do we want to build multimodal models?

- Image understanding
- Image Generation
- Improve text understanding / generation?

Grounding text in Images

- ▶ How would you describe this image?



- ▶ What does the word “spoon” evoke?

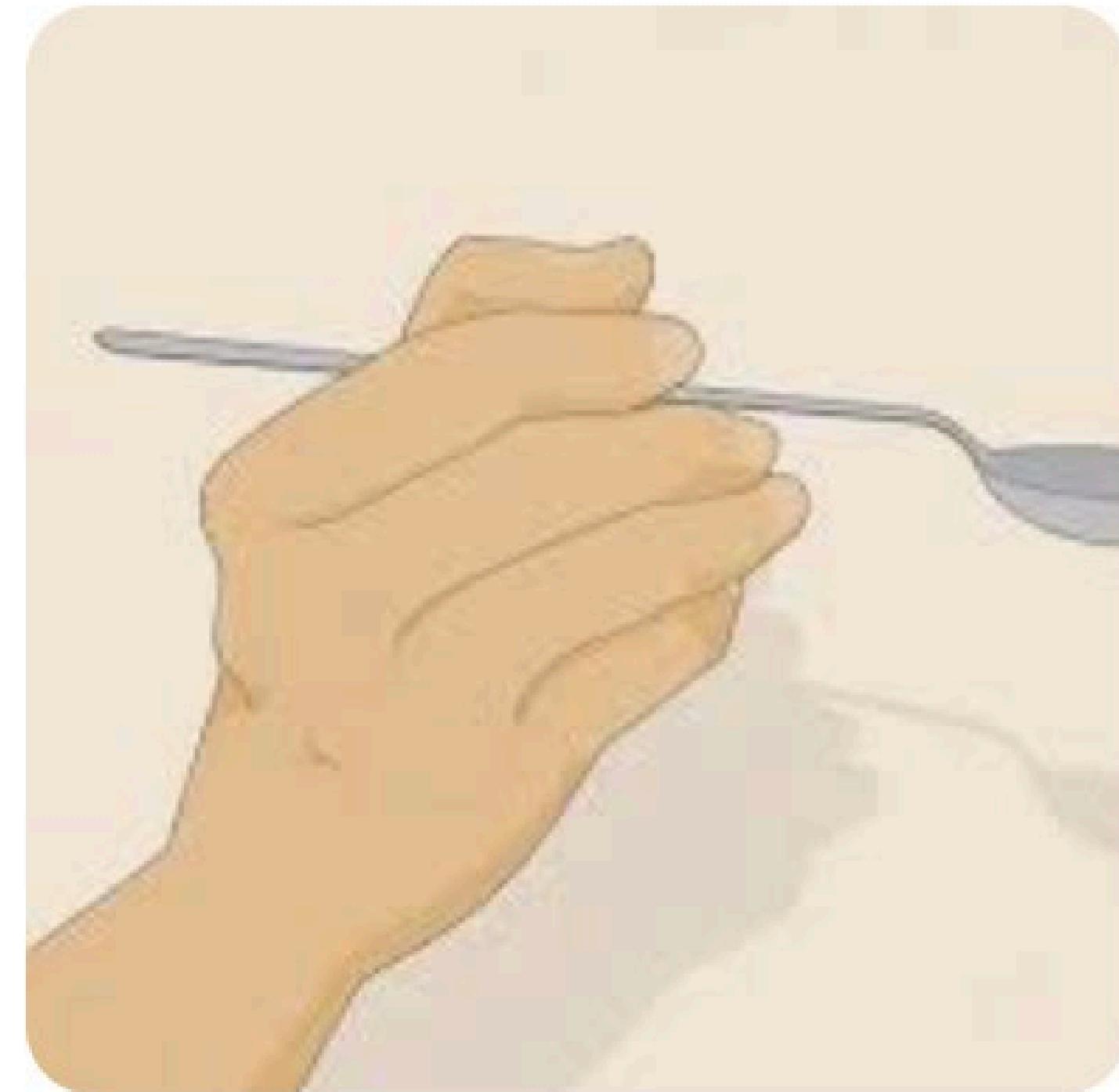
the girl is licking the spoon of batter

Grounding Spoon



Winco 0005-03 7
3/8" Dinner Spoon...

\$7.16



wikiHow

How to Hold a Spoon: 13 Steps (...)



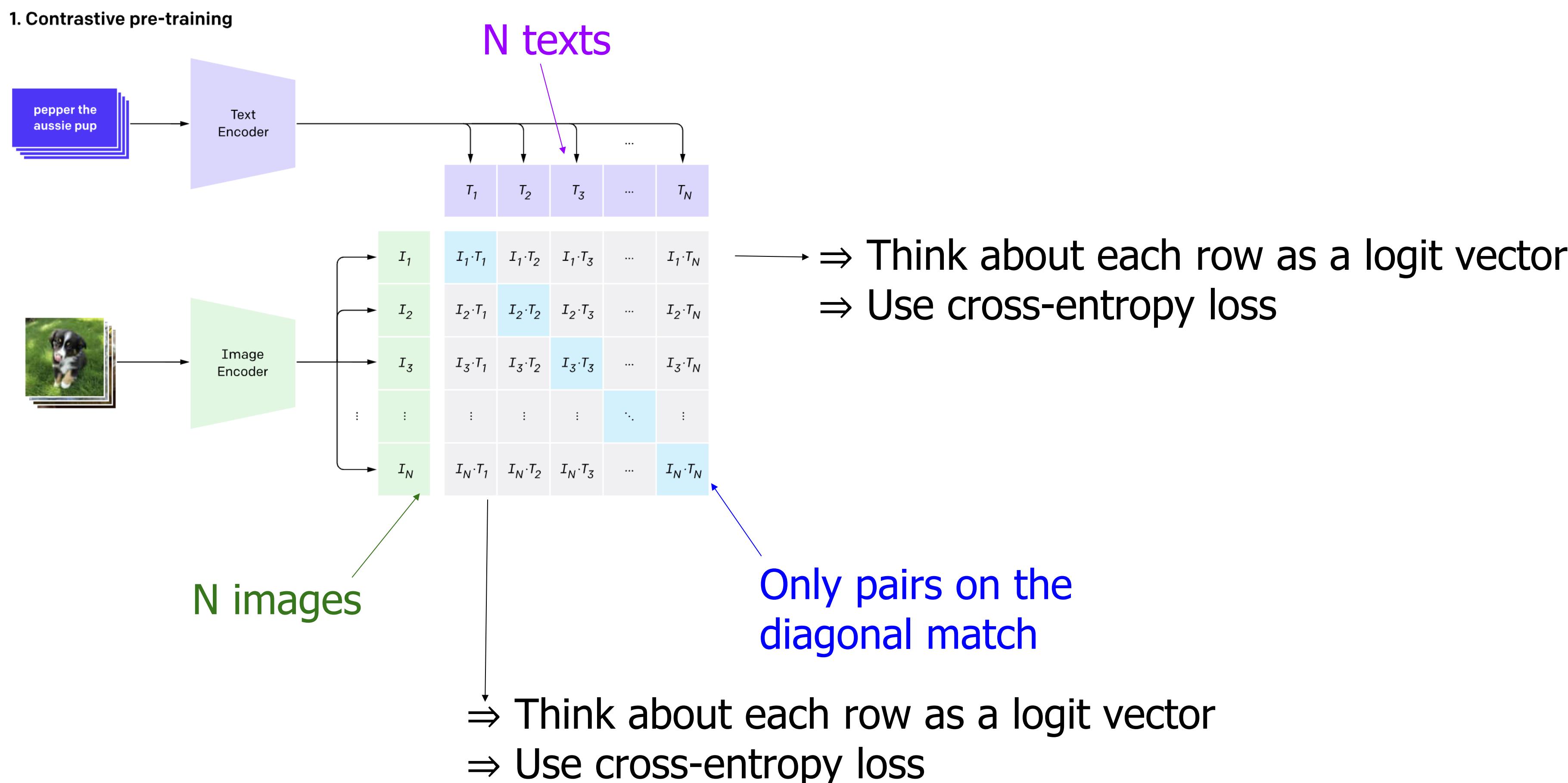
Indiegogo

Spoon that Elevates Taste ...

CLIP

[Radford et al., 2021]; [Conference presentation](#)

– Contrastive pretraining



CLIP

[Radford et al., 2021]; [Conference presentation](#)

– Contrastive pretraining pseudocode

```
# image_encoder - ResNet or Vision Transformer
# text_encoder - CBOW or Text Transformer
# I[n, h, w, c] - minibatch of aligned images
# T[n, l] - minibatch of aligned texts
# W_i[d_i, d_e] - learned proj of image to embed
# W_t[d_t, d_e] - learned proj of text to embed
# t - learned temperature parameter

# extract feature representations of each modality
I_f = image_encoder(I) #[n, d_i]
T_f = text_encoder(T) #[n, d_t]

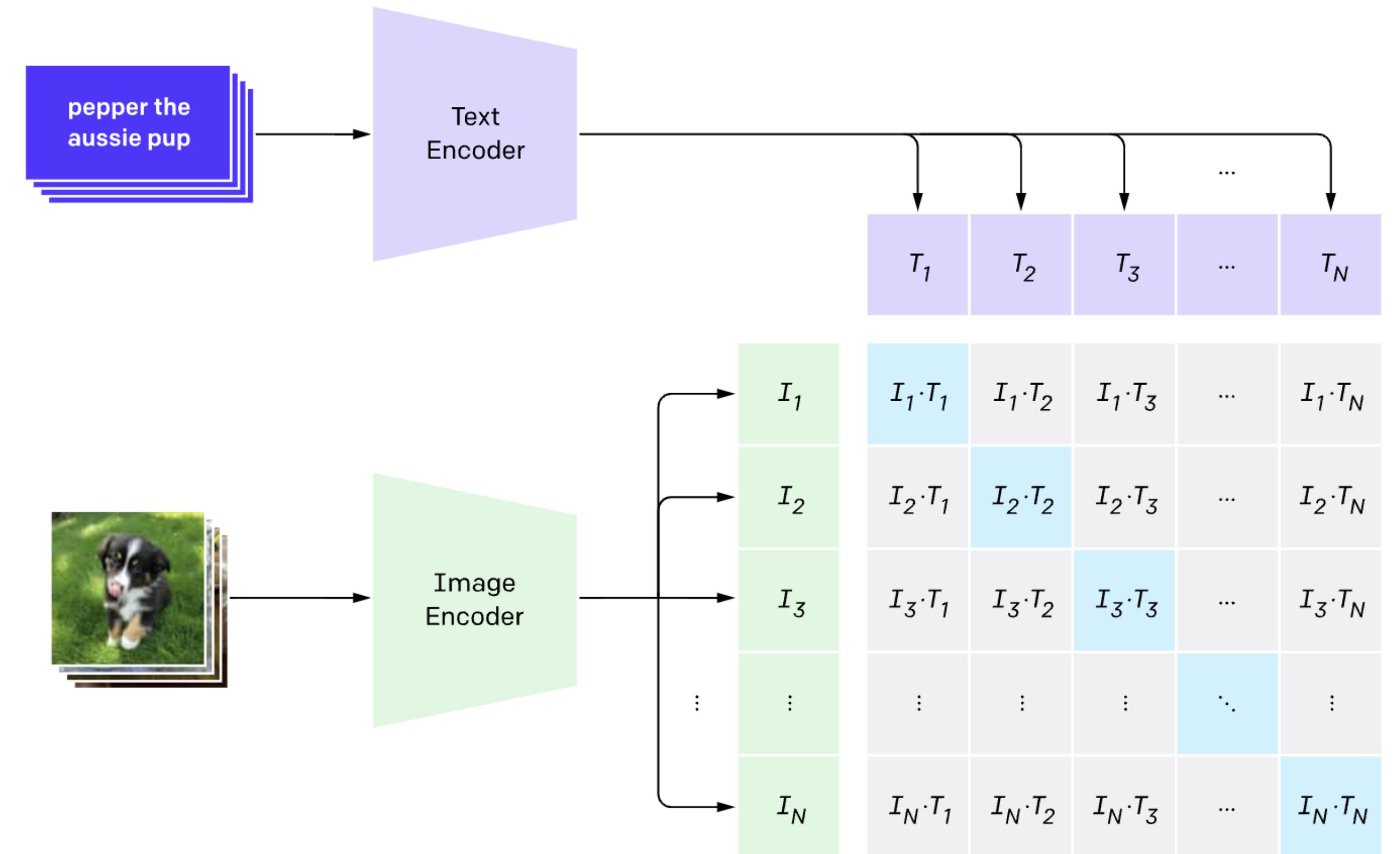
# joint multimodal embedding [n, d_e]
I_e = l2_normalize(np.dot(I_f, W_i), axis=1)
T_e = l2_normalize(np.dot(T_f, W_t), axis=1)

# scaled pairwise cosine similarities [n, n]
logits = np.dot(I_e, T_e.T) * np.exp(t)

# symmetric loss function
labels = np.arange(n)
loss_i = cross_entropy_loss(logits, labels, axis=0)
loss_t = cross_entropy_loss(logits, labels, axis=1)
loss = (loss_i + loss_t)/2
```

Figure 3. Numpy-like pseudocode for the core of an implementation of CLIP.

1. Contrastive pre-training

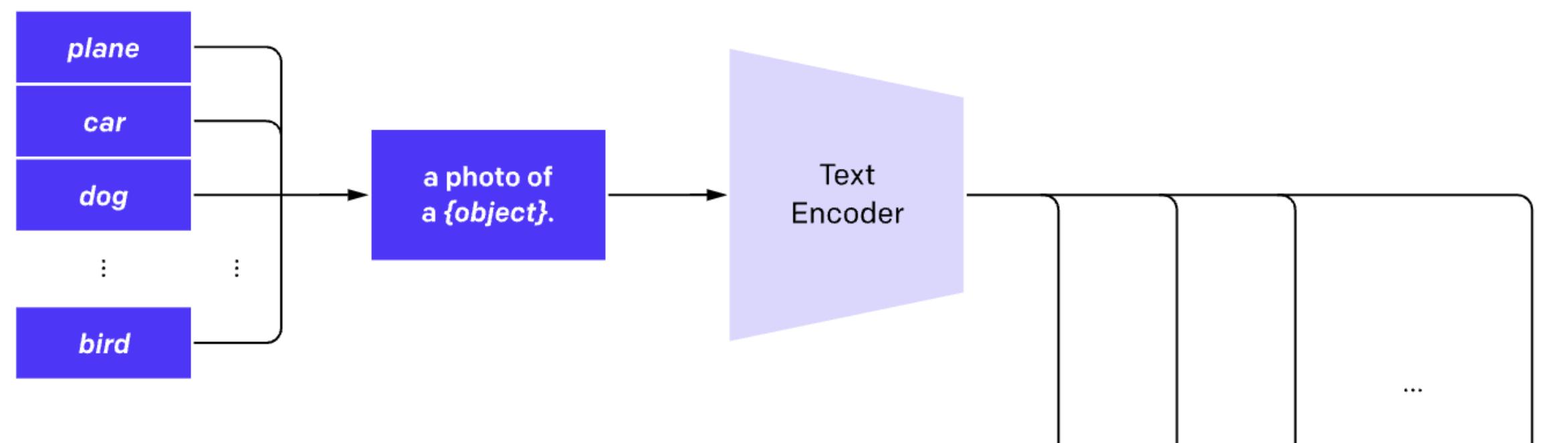


CLIP

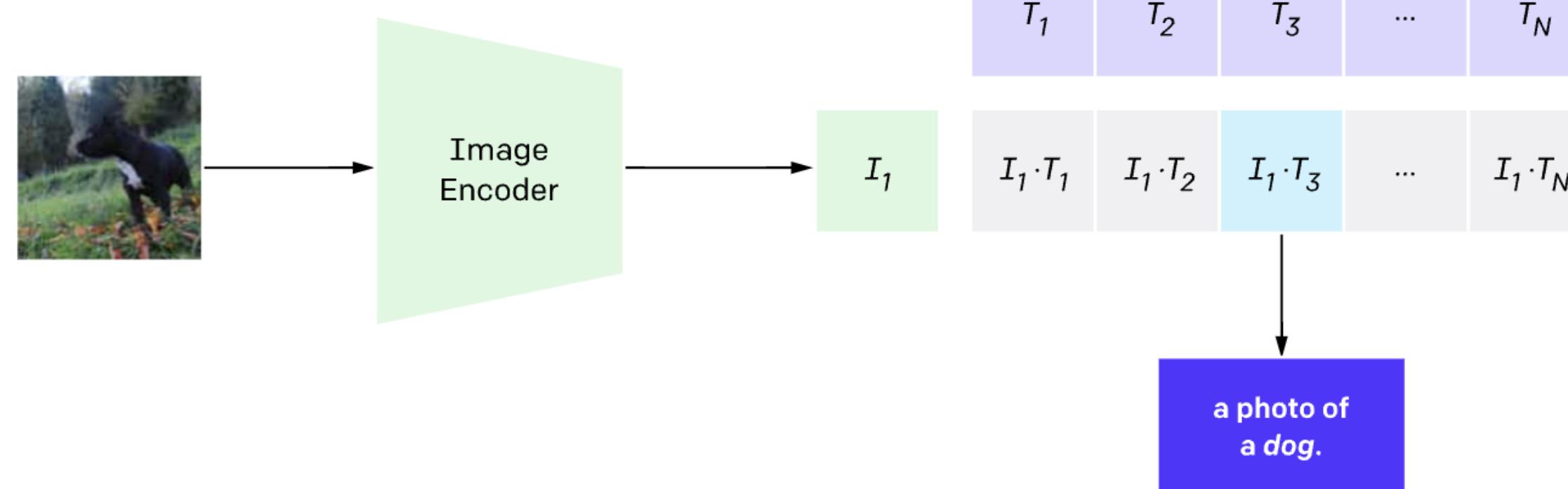
[Radford et al., 2021]; [Conference presentation](#)

– *Image classification*

2. Create dataset classifier from label text



3. Use for zero-shot prediction



CLIP

[[Radford et al., 2021](#)]; [Conference presentation](#)

Original repository, zero-shot prediction:

<https://github.com/openai/CLIP#zero-shot-prediction>

In  ecosystem:

https://huggingface.co/docs/transformers/model_doc/clip

Independently trained and larger CLIP:

https://github.com/mlfoundations/open_clip

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Goal: Learn how some LLMs that take more than just text

- ⋮ Motivation for V&L models
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- ⋮ **Classification with Image+Text Input**
- ⋮ Generation with Image+Text Input
- ⋮ Video Processing
- ⋮ Speech Processing

Multimodal Classification



Q: What endangered animal is featured on the truck?

- A: A bald eagle.
A: A sparrow.
A: A humming bird.
A: A raven.



Q: Where will the driver go if turning right?

- A: Onto 24 3/4 Rd.
A: Onto 25 3/4 Rd.
A: Onto 23 3/4 Rd.
A: Onto Main Street.



Q: When was the picture taken?

- A: During a wedding.
A: During a bar mitzvah.
A: During a funeral.
A: During a Sunday church service.



Q: Who is under the umbrella?

- A: Two women.
A: A child.
A: An old man.
A: A husband and a wife.



Q: Why was the hand of the woman over the left shoulder of the man?

- A: They were together and engaging in affection.
A: The woman was trying to get the man's attention.
A: The woman was trying to scare the man.
A: The woman was holding on to the man for balance.



Q: How many magnets are on the bottom of the fridge?

- A: 5.
A: 2.
A: 3.
A: 4.

An example of multimodal tasks



An example of multimodal tasks



Can you please pass the cow?

Task 1 Match the Caption + Cartoon

I'd kill for some cream cheese.
vs.
 Can you please pass the cow?

Task 2 Rank the Finalist

Welcome to Insomniacs Anonymous
vs.
 Can you please pass the cow?

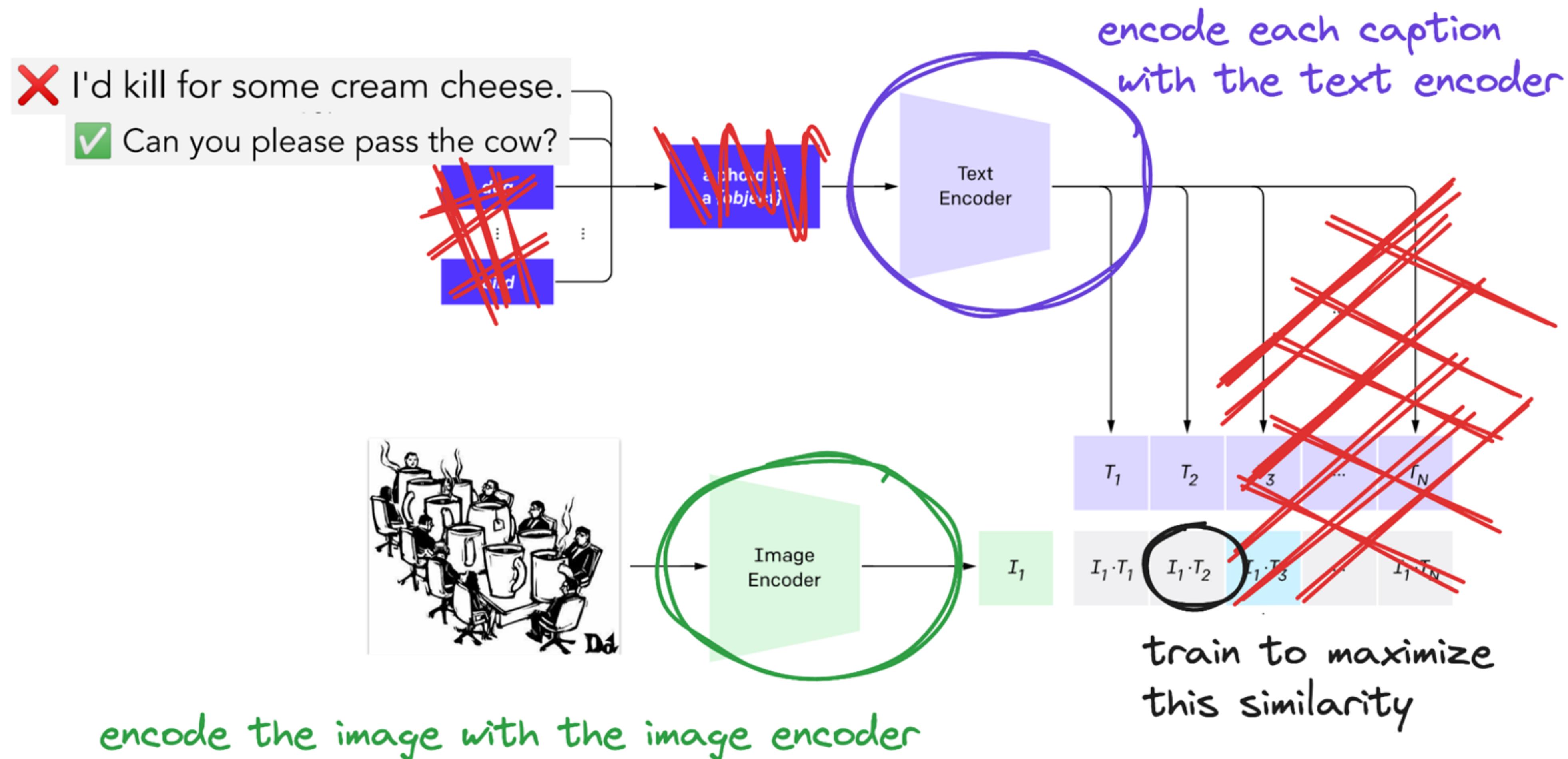
Task 3 Explanation Generation

Human-authored:
When drinking coffee or tea, people often add cream, and may ask others to pass it if it's on the other side of a table. But here, the mugs are huge, so instead of asking for a small cup of cream, they are asking for the entire cow, which is the appropriately-sized cream dispenser for these huge drinks.

From Pixels (OFA + T5-11B):
The joke is that the meeting participants are actually sitting on chairs made out of coffee mugs, which is an unlikely location for the discussion. Instead of asking for another mug of coffee, the person at the head of the table simply asks for "the cow", or a coffee machine.

From Description (5-shot GPT 3.5):
"Pass the cow" is an example of a non sequitur, something that looks like a logical thing to say, but doesn't make sense in context. The humor in this cartoon comes from the large size of the coffee mugs: they are so large that they resemble buckets rather than mugs, thus making the request to "pass the cow" almost reasonable.

Simple, yet strong baseline for vision-and-text classification



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- ⋮ Speech Processing

Not constrained to classification

User What is funny about this image? Describe it panel by panel.



Source: [hmmm \(Reddit\)](#)

GPT-4o: Not constrained to classification

What is funny about this image? Describ

GPT-4



Source: [hmmm \(Reddit\)](#)

The image shows a package for a "Lightning Cable" adapter with three panels.

Panel 1: A smartphone with a VGA connector (a large, blue, 15-pin connector typically used for computer monitors) plugged into its charging port.

Panel 2: The package for the "Lightning Cable" adapter with a picture of a VGA connector on it.

Panel 3: A close-up of the VGA connector with a small Lightning connector (used for charging iPhones and other Apple devices) at the end.

The humor in this image comes from the absurdity of plugging a large, outdated VGA connector into a small, modern smartphone charging port.

Source: [OpenAI Blog](#)

Four components of a simple and standard design of combining a language model with a vision encoder

Image encoder:

- ↓ *Image preprocessing*: Turn an image into a sequence of patches
- ↓ A *pretrained* Vision Transformer image encoder that first maps each of image patches into input embeddings, then transforms them using many self-attention and FF/MLP layers

Cross modal connector

- ↓ A connector that projects the vision embeddings (from e.g. final layer) to the language model's input dimension with an FFNN/MLP
- ↓ Initially randomly initialized

A *pretrained* decoder-only Transformer LLM

- ↓ Prepend projected vision embeddings to the token embeddings

LLaVA: Visual Instruction Tuning

<https://llava-vl.github.io/>

Strong pretrained vision and language models

- Vision encoder: CLIP-ViT-L/14
- Language model: LLaMA-2, etc.

Cross modal connector

- Linear projection

Tuning the model for following multimodal instructions

- Use image captions from available datasets
- Prompt text-only GPT-4 to generate (instruction, output) pairs
- 158K instructions

First tune only the projection, then tune the projection and LM

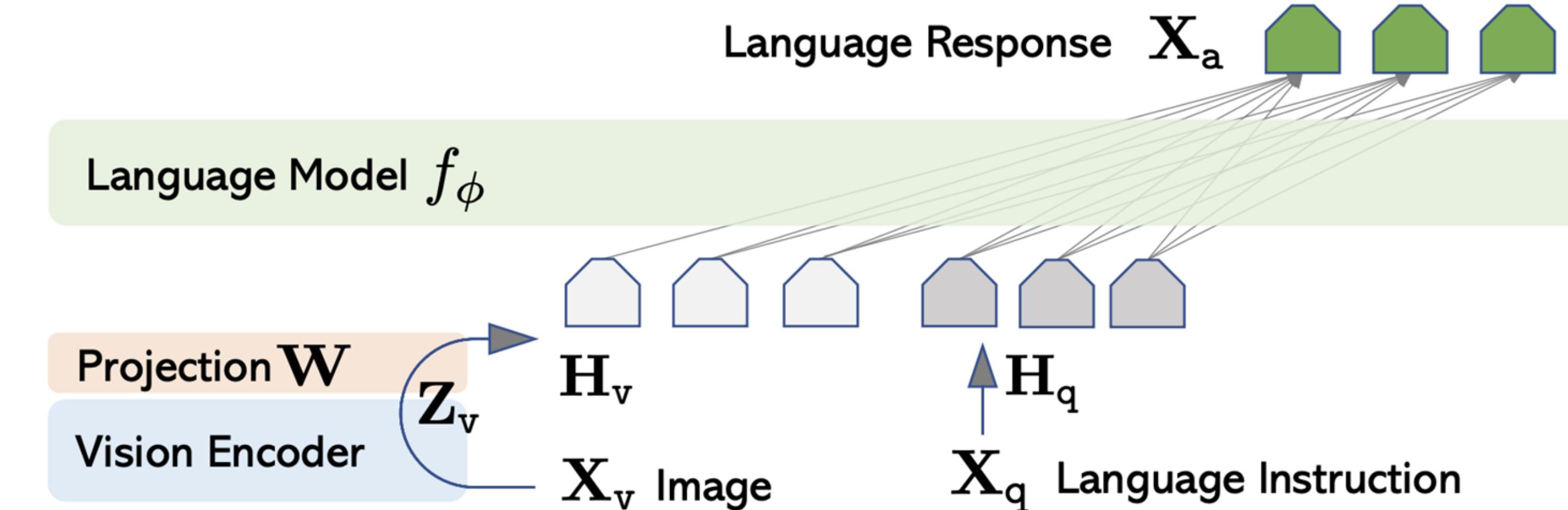


Figure 1: LLaVA network architecture.

| Category | Model | VLM | | LLM Backbone | | Vision Encoder | |
|---------------------|---------------------------|---------------------|------------------------|---------------------|---------------------|---------------------|---------------------|
| | | Open Weights | Open Data + Code | Open Weights | Open Data + Code | Open Weights | Open Data + Code |
| Molmo | Molmo-72B | Open | Open | Open | Closed | Open | Closed |
| | Molmo-7B-D | Open | Open | Open | Closed | Open | Closed |
| | Molmo-7B-O | Open | Open | Open | Open | Open | Closed |
| | MolmoE-1B | Open | Open | Open | Open | Open | Closed |
| API Models | GPT-4o | Closed | Closed | Closed | Closed | Closed | Closed |
| | GPT-4V | Closed | Closed | Closed | Closed | Closed | Closed |
| | Gemini 1.5 Pro | Closed | Closed | Closed | Closed | Closed | Closed |
| | Gemini 1.5 Flash | Closed | Closed | Closed | Closed | Closed | Closed |
| Open Weights | Claude 3.5 Sonnet | Closed | Closed | Closed | Closed | Closed | Closed |
| | Claude 3 Opus | Closed | Closed | Closed | Closed | Closed | Closed |
| | Claude 3 Haiku | Closed | Closed | Closed | Closed | Closed | Closed |
| | Qwen VL2 72B | Open | Closed | Open | Closed | Open | Closed |
| Open Weights & Data | Qwen VL2 7B | Open | Closed | Open | Closed | Open | Closed |
| | Intern VL2 LLAMA 76B | Open | Closed | Open | Closed | Open | Closed |
| | Intern VL2 8B | Open | Closed | Open | Closed | Open | Closed |
| | Pixtral 12B | Open | Closed | Open | Closed | Open | Closed |
| Open Weights & Data | Phi3.5-Vision 4B | Open | Closed | Open | Closed | Open | Closed |
| | PaliGemma 3B | Open | Closed | Open | Closed | Open | Closed |
| | LLAVA OneVision 72B | Open | Distilled | Open | Closed | Open | Closed |
| | LLAVA OneVision 7B | Open | Distilled | Open | Closed | Open | Closed |
| Open Weights & Data | Cambrian-134B | Open | Distilled | Open | Closed | Open | Closed |
| | Cambrian-18B | Open | Distilled | Open | Closed | Open | Closed |
| | xGen - MM - Interleave 4B | Open | Distilled | Open | Closed | Open | Closed |
| | LLAVA-1.5 13B | Open | Open | Open | Closed | Open | Closed |
| Open Weights & Data | LLAVA-1.5 7B | Open | Open | Open | Closed | Open | Closed |

Molmo

[[Deitke et al., 2024](#)]

Image encoder: OpenAI's ViT-L/14 336px CLIP model

- It can be reproduced from scratch as shown by MetaCLIP, but is trained for high resolution images

Cross modal connector

- Linear projection

Language model: Fully open OLMo-7B-1024, fully open OLMoE-1B-7B, open-weight Qwen2 7B, or open-weight Qwen2 72B

Pretraining: Caption generation using the new PixMo-Cap dataset

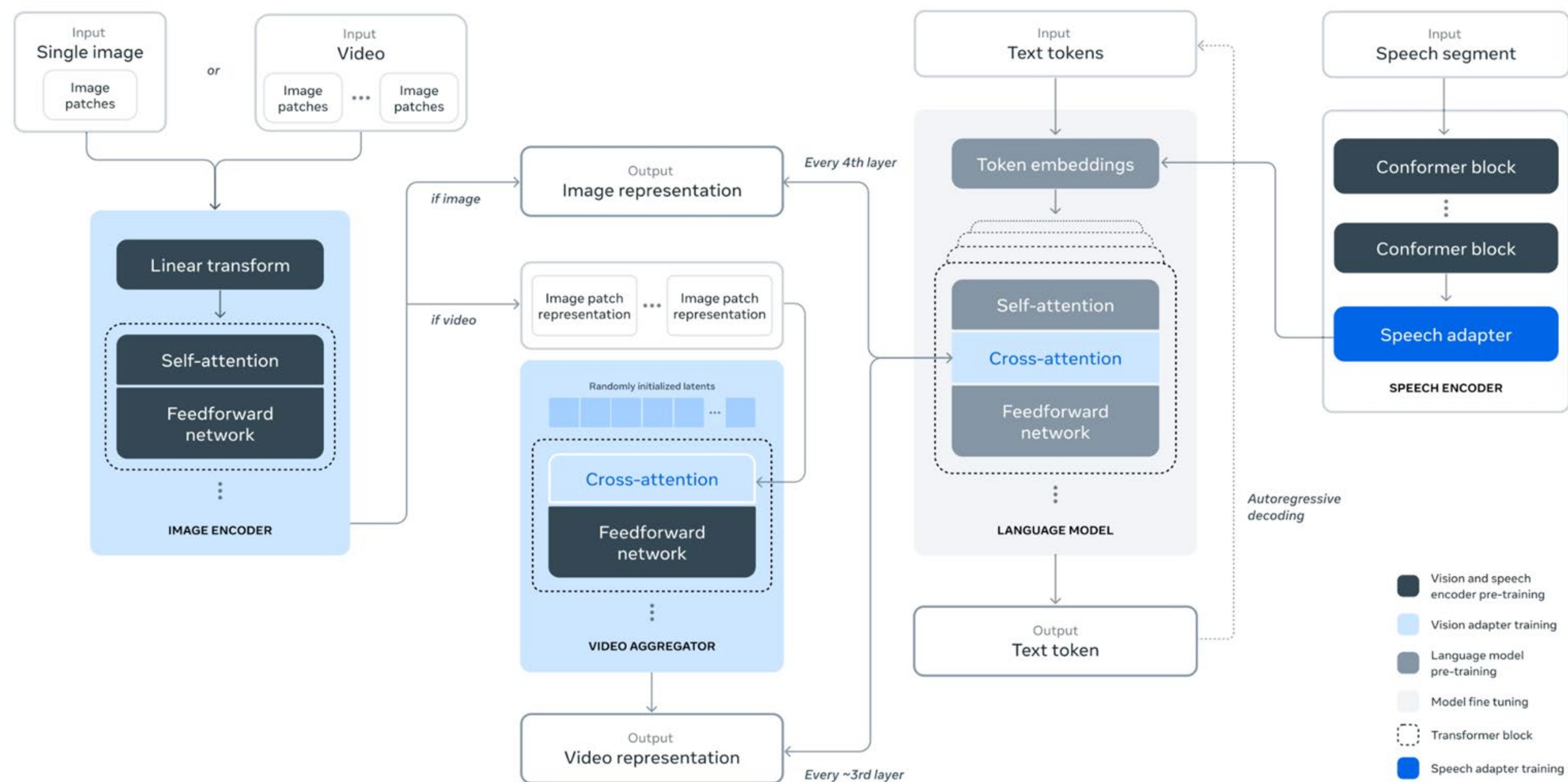
Instruction finetuning: PixMo-AskModelAnything, PixMo-Points, PixMo-CapQA, PixMo-Docs, PixMo-Clocks + Academic datasets

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- ⋮ **Video Processing**
- ⋮ Speech Processing

Llama 3.2



Llama 3.2 (cont.)

Image encoder:

- Vision Transformer pretrained from scratch
- 224 x 224 resolution; 14 x 14 patches
- The size of patch embeddings = 7680
- Features from the 4th, 8th, 16th, 24th and 31st layers are also provided in addition to the final layer features

Cross modal connector:

- Cross-attention
- Introduce substantial numbers of additional trainable parameters into the model:
for Llama 3 405B, the cross-attention layers have $\approx 100B$ parameters

Language model: Llama 3.1

Llama 3.2 – Video processing

Llama 3.2 takes as input up to 64 uniformly sampled frames from a full video

Each frame is processed by the image encoder

Temporal structure in videos through two components:

1. Encoded video frames are aggregated by a temporal aggregator which merges 32 consecutive frames into one
 - a. Temporal aggregator = Perceiver resampler [[Jaegle et al., 2021](#)]
2. Extra video cross attention layers are added before every 4th image cross attention layer

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- ⋮ **Speech Processing**

We didn't cover speech in class...

Analog signal

Goal: Raw wavefile \Rightarrow Sequences of log mel spectrum vectors

Raw wavefile contains info about changes in air pressure caused the specific way that air passes through the glottis [the middle region inside your voice box that contains your vocal cords] & out the oral or nasal cavities

The graph measures the amount of **compression** or **rarefaction** (uncompression) of the air molecules

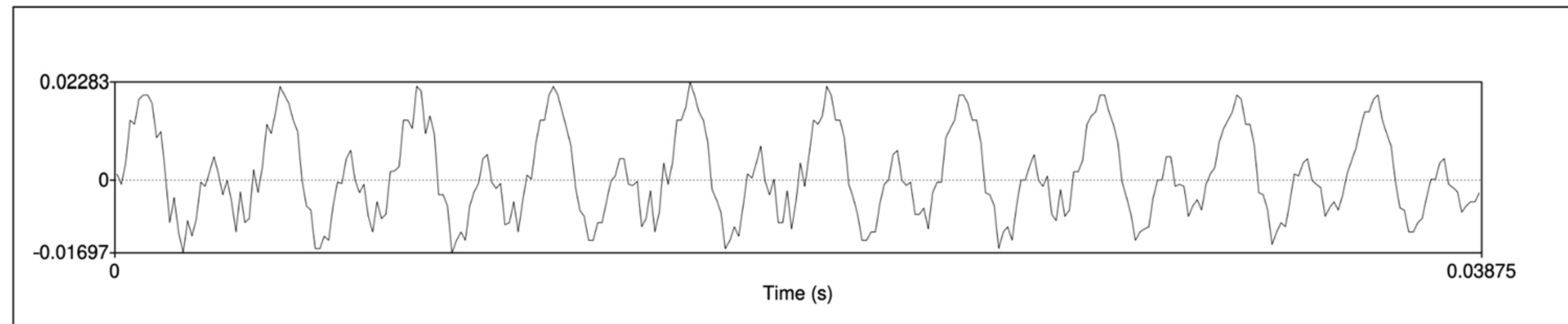


Figure 16.2 A waveform of an instance of the vowel [iy] (the last vowel in the word “baby”). The y-axis shows the level of air pressure above and below normal atmospheric pressure. The x-axis shows time. Notice that the wave repeats regularly.

Sampling and Quantization

Next steps: Transform a waveform, a 2D plot of air pressure changes (y-axis) over time (x-axis) into a sequence of 80-dimensional log Mel spectrum vectors

Sampling:

- Turn a waveform into a sequence of amplitude values [loudness] sampled at regular intervals (e.g., 16 kHz)
- Sampling rate: Number of samples/sec (e.g., 16 kHz for high-quality audio)
- Creates a 1D array of sampled amplitudes

Quantization:

- Digital systems work with discrete values rather than continuous ones
- Represents amplitudes as integers (e.g., 8-bit or 16-bit)
- Reduces continuous signal values into discrete levels

Windowing

Speech analyzed in small stationary windows

- Assumption: within small time windows, the properties of a speech signal (such as its frequency content) remain relatively constant

Key parameters:

- Window size (e.g., 25 ms): The duration of the analyzed segment
- Frame stride (e.g., 10 ms): The interval at which consecutive windows are started \Rightarrow overlapping analysis allowed

Window types:

- Rectangular: Abrupt cutoff at edges
- Hamming: Smooth tapering at edges

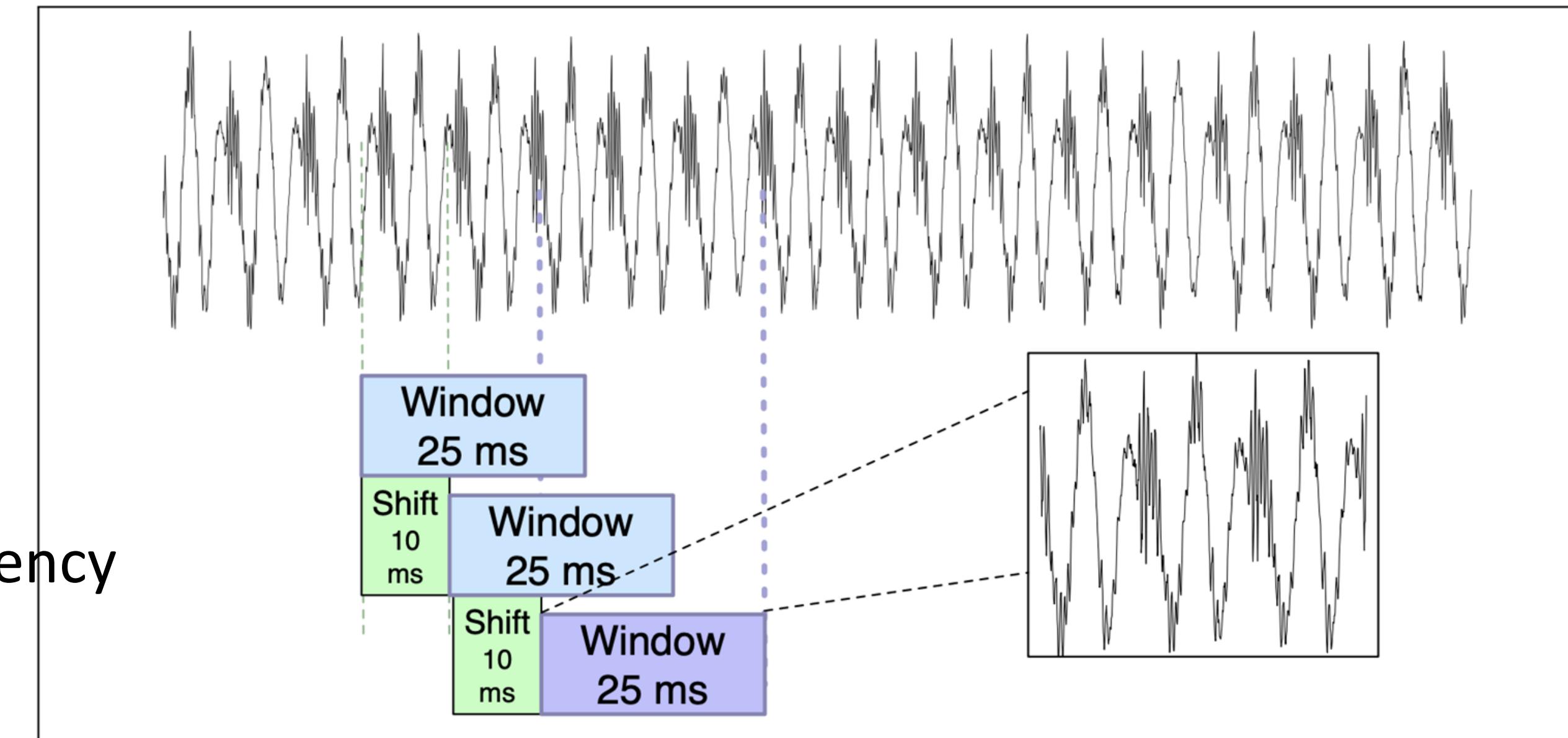


Figure 16.4 Windowing, showing a 25 ms rectangular window with a 10ms stride.

[[Jurafsky & Martin Section 16.2](#)]

Windowing results in a 2D array where each row corresponds to the samples in a window

Discrete Fourier Transform (DFT)

Next: Analyze the signal in the frequency domain rather than the time domain

A signal contains energy distributed across various frequencies

Spectral information: The breakdown of how much energy (or power) is present at each frequency band

Fast Fourier Transform (FFT): Efficient computation of DFT for signal analysis

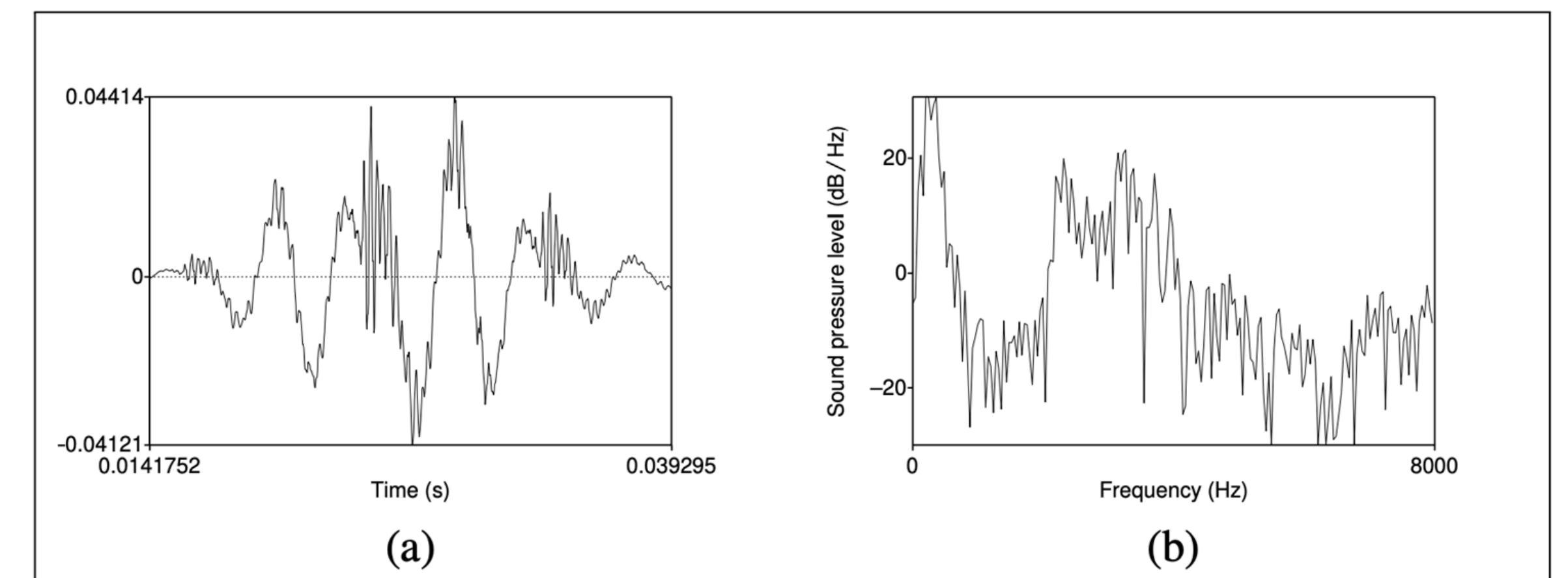


Figure 16.6 (a) A 25 ms Hamming-windowed portion of a signal from the vowel [iy] and (b) its spectrum computed by a DFT.

Mel Filter Bank

The results of the FFT tell us the energy at each frequency band

Human hearing is not equally sensitive at all frequency bands; it is less sensitive at higher frequencies

- This bias toward low frequencies helps human recognition, since information in low frequencies is crucial for distinguishing vowels or nasals, while information in high frequencies is less crucial for successful recognition

Mel is a unit of pitch [the degree of highness or lowness of a tone] \Rightarrow Convert frequency to Mel scale

$$mel(f) = 1127 \ln\left(1 + \frac{f}{700}\right)$$

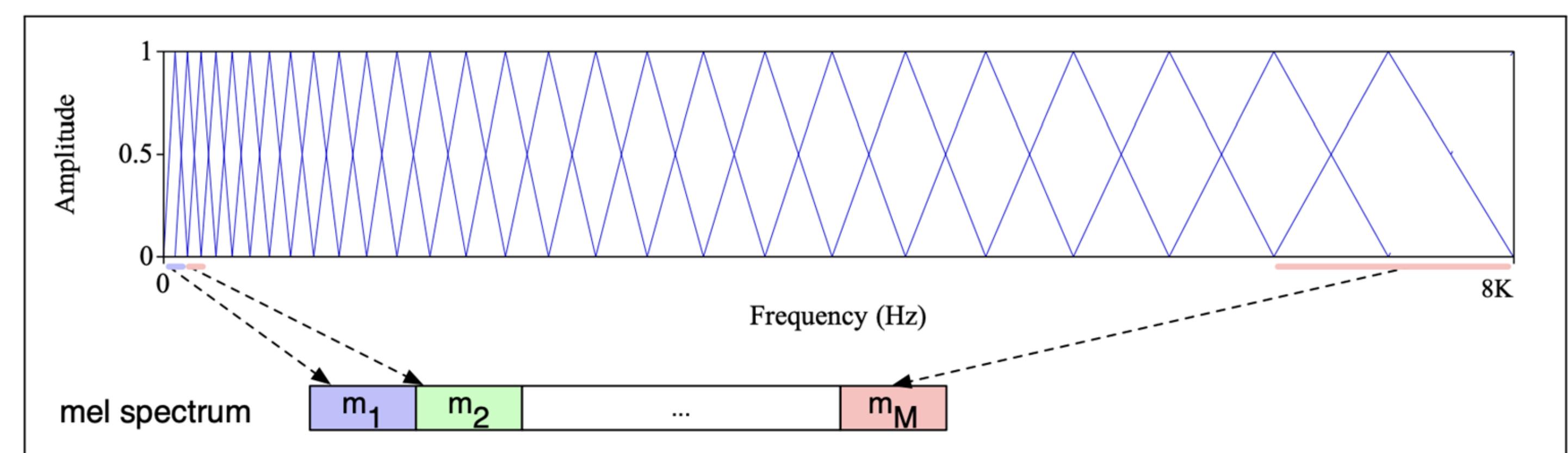


Figure 16.7 The mel filter bank (Davis and Mermelstein, 1980). Each triangular filter, spaced logarithmically along the mel scale, collects energy from a given frequency range.
[[Jurafsky & Martin Section 16.2](#)]

Log

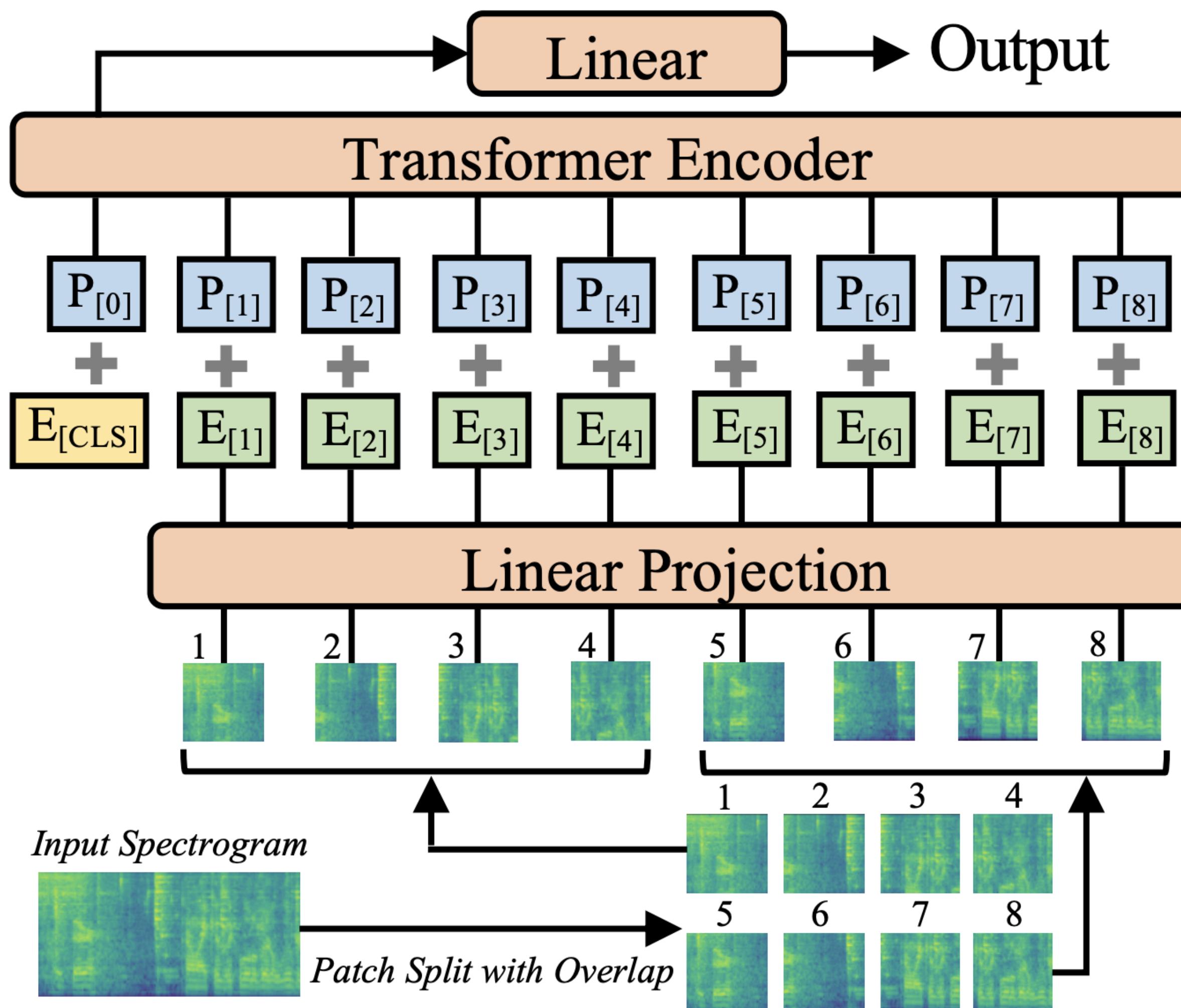
The human response to signal level is logarithmic: Humans are less sensitive to slight differences in amplitude at high amplitudes than at low amplitudes

- Take the log of each of the mel spectrum values!

Using a log also makes the feature estimates less sensitive to variations in input such as variations due to the speaker's mouth moving closer or further from the microphone

Audio Spectrogram Transformer

[[Gong et al., 2021](#)]



Qwen2-Audio [Chu et al., 2024]

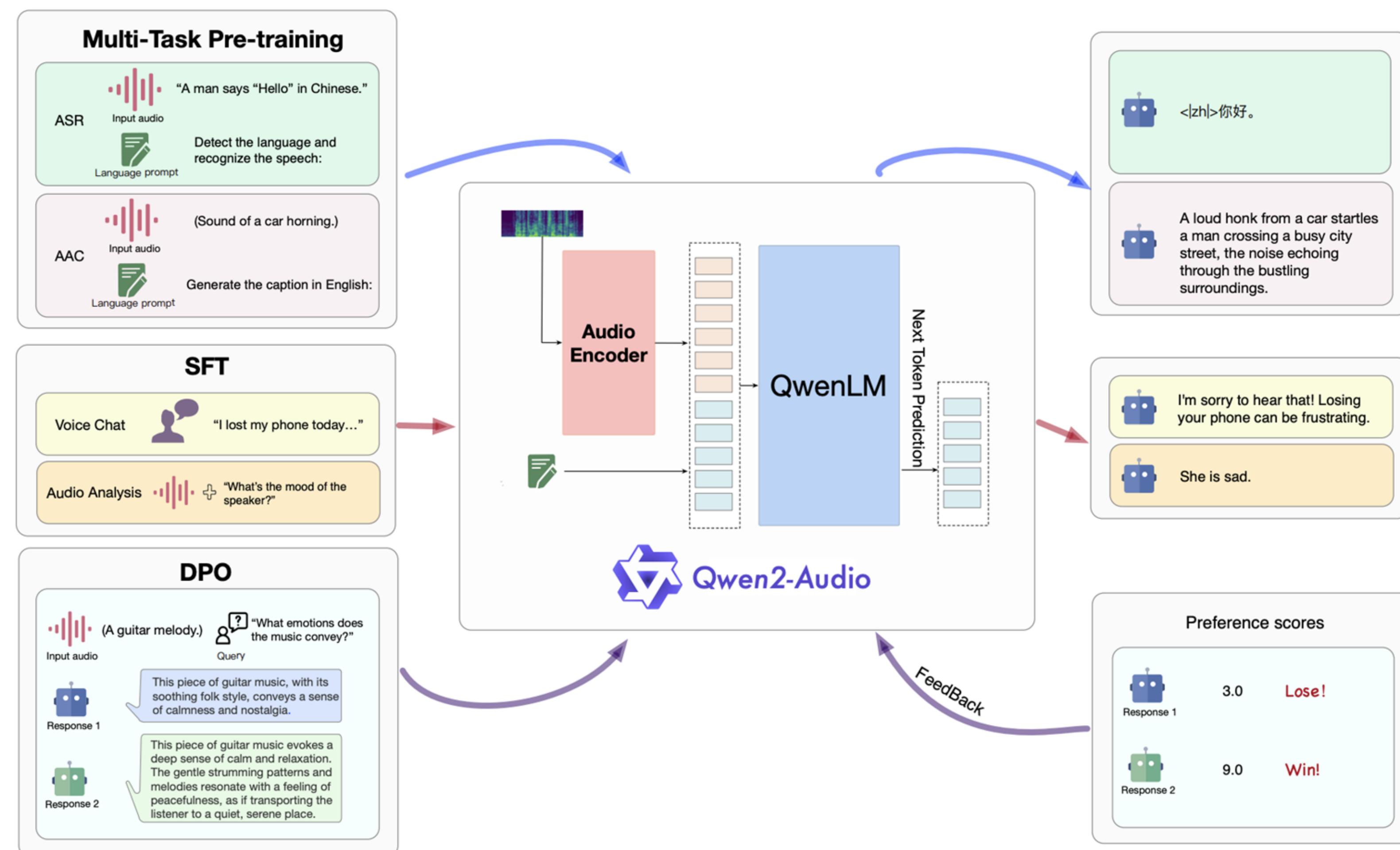


Figure 2: The overview of three-stage training process of Qwen2-Audio.

Multilinguality

Agenda

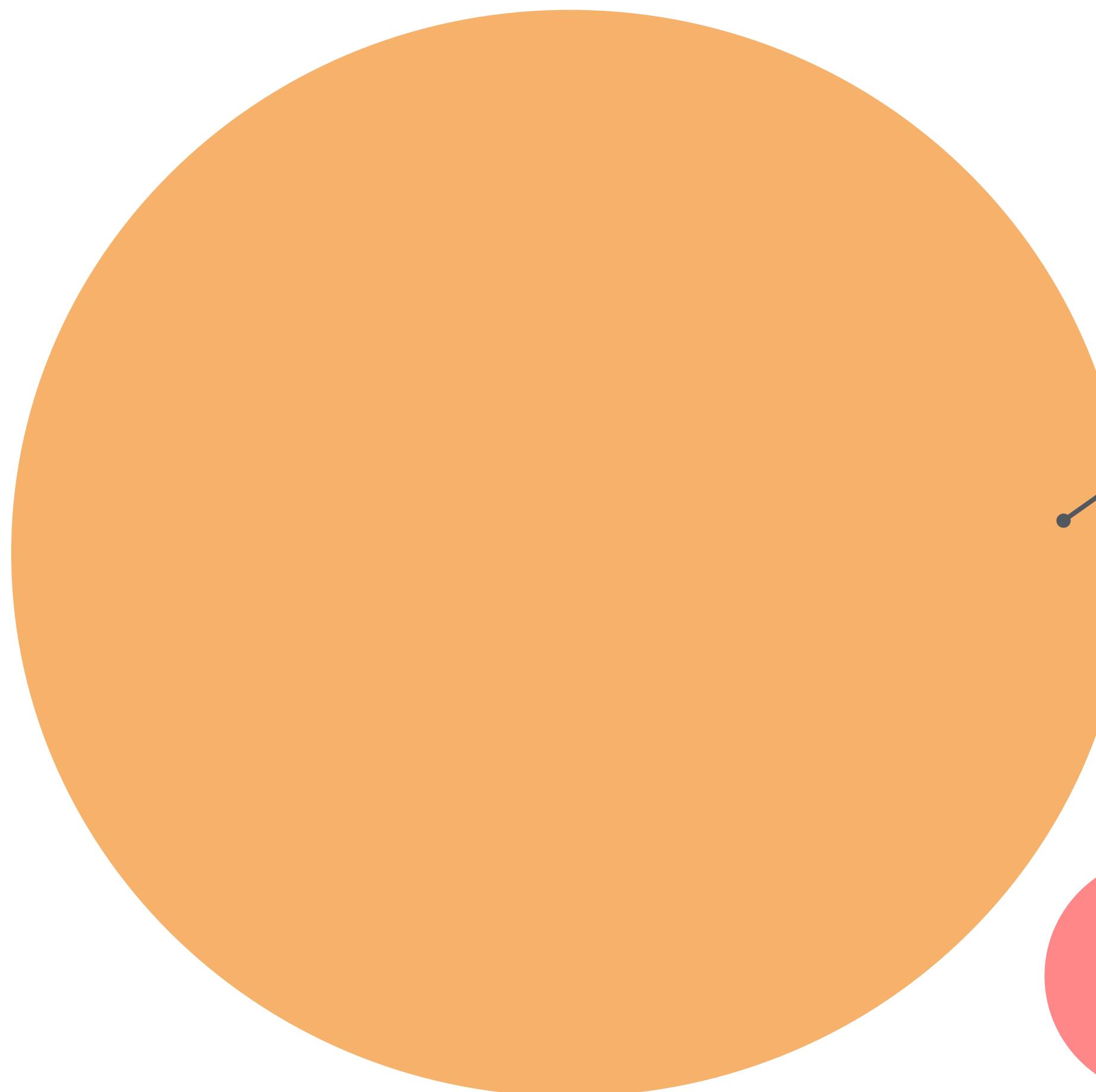
- I. Languages of the World and Linguistic Diversity
- II. Multilingual LLMs
 - A. Pre-training
 - B. Instruction Fine-tuning
 - C. Alignment
- III. Challenges
- IV. Other Directions

Languages of the World



7164 languages
in use !!! (as of 2022)

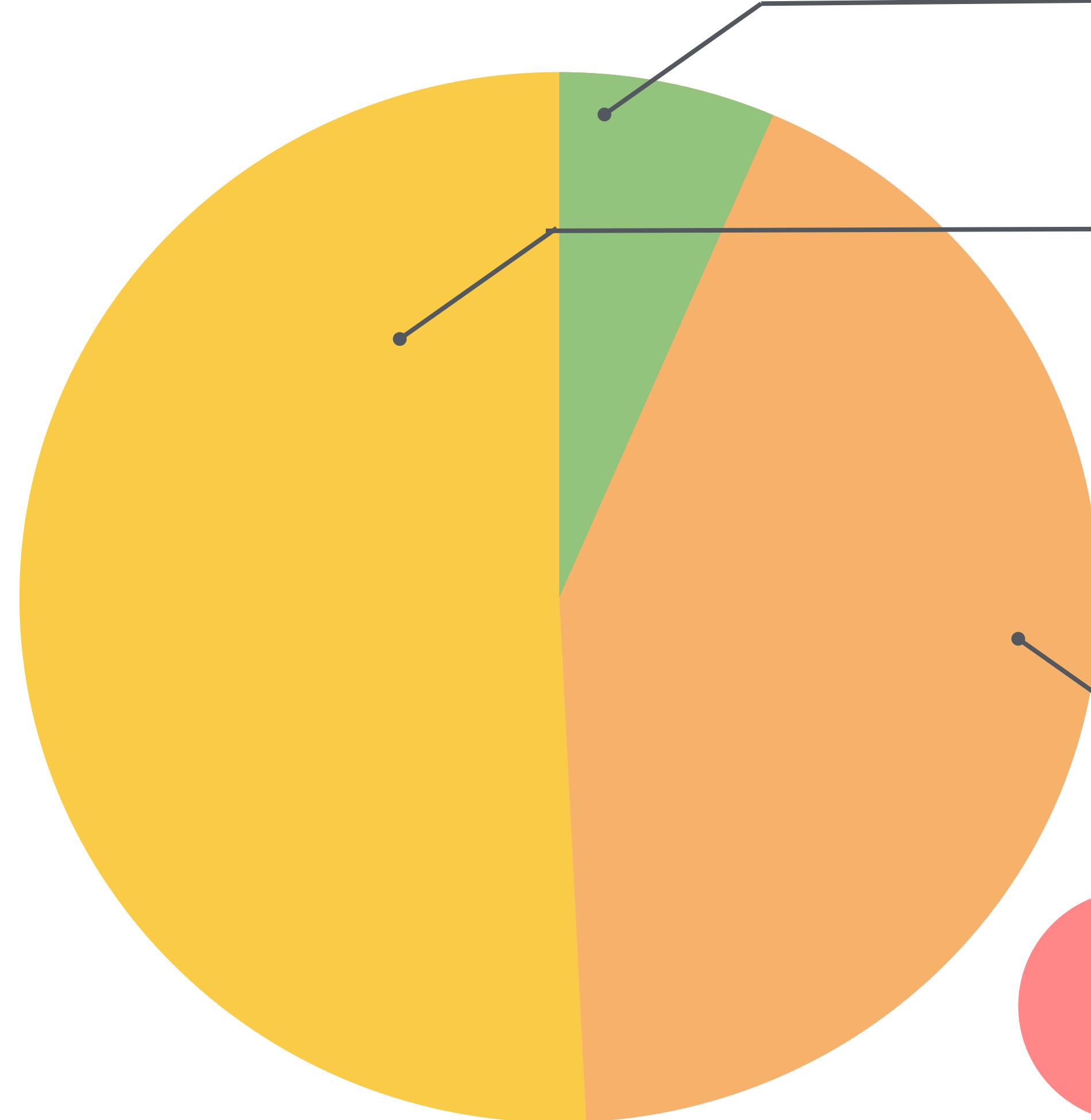
Languages by Vitality



~7100 living languages

451 extinct languages:
Languages no longer used; no one
identifies with the language

Languages by Vitality



492 **institutional** languages:

Sustained by institutions / governments

3593 **stable** languages:

Not sustained by formal institutions; norm at home for children to learn and use the language

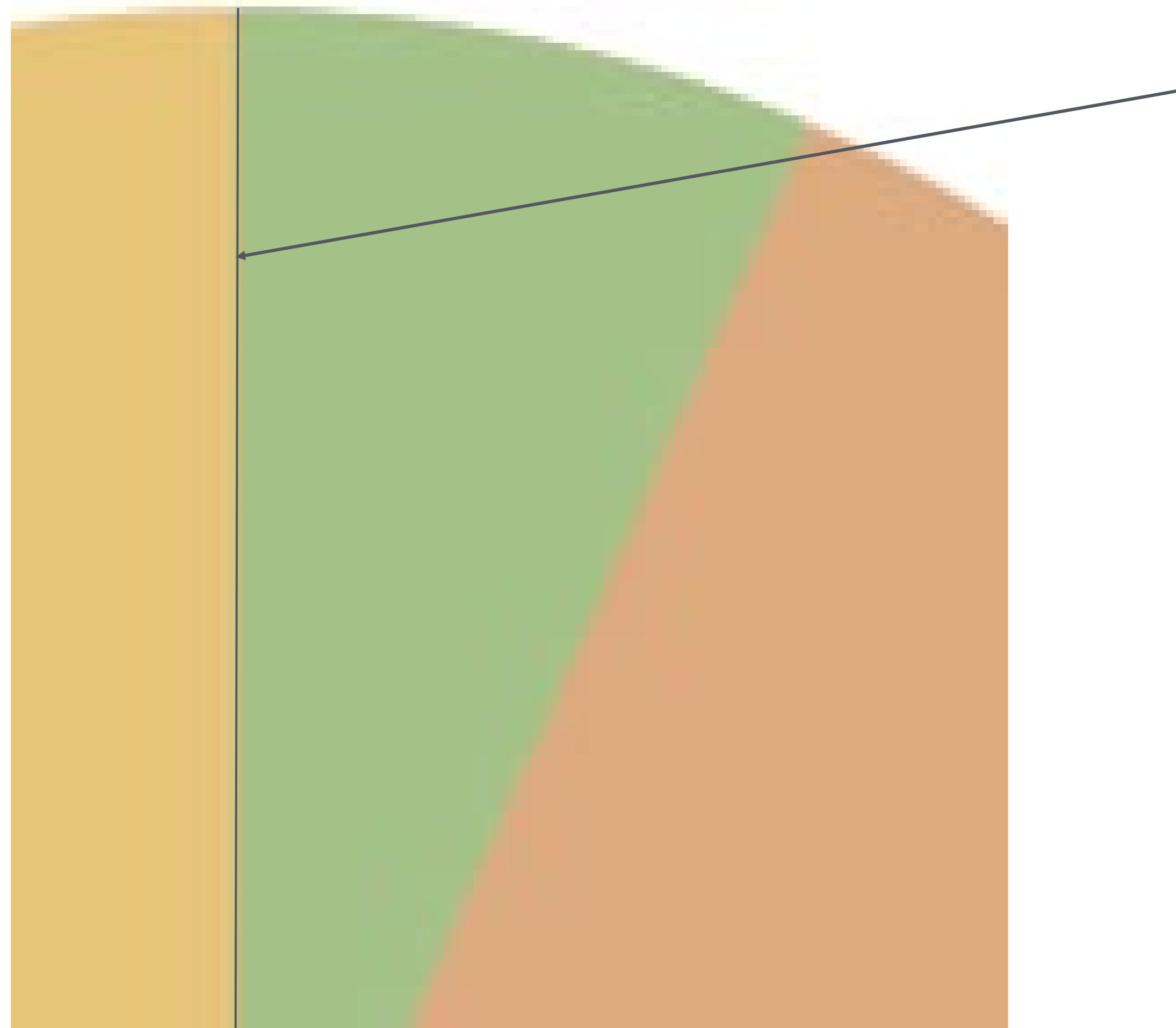
3072 **endangered** languages:

No longer the norm for children to learn and use the language

451 **extinct** languages:

Languages no longer used; no one identifies with the language

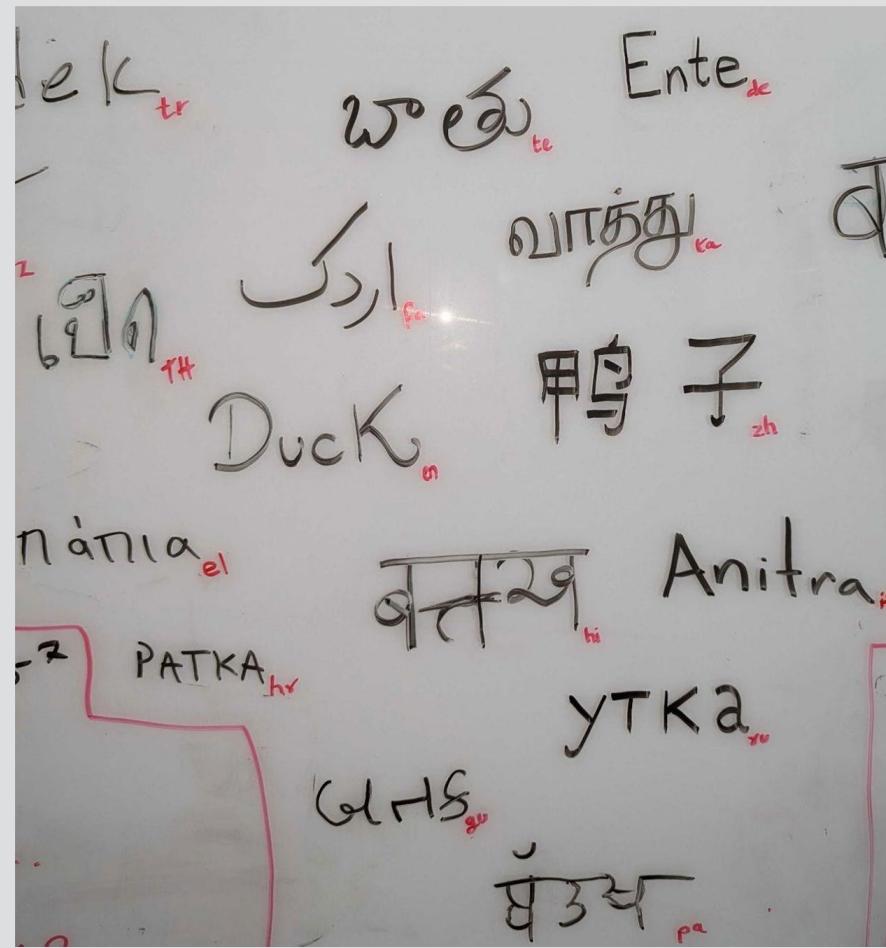
Languages by Vitality



That thin black line is English!

How do Languages Differ?

Scripts:



Word Order:

English: I met Jack.

Hindi : मैं जैक से मिला।

Filipino: Nakilala ko si Jack. (VSO order)

(SVO order)

(SOV order)

Semantic Variations:

dara : door (*Farsi*) vs burrow
(*Gujarati*)

śikśā: education (*Hindi*) vs
punishment (*Gujarati*)

And many more

Multilingual LLMs

Multilingual LLMs: Overview

- LLMs that support multiple languages
 - Parameters shared across languages
 - Trained on a large amount of multilingual data (**unlabeled & labeled**)
 - Often rely on **cross-lingual** transfer abilities across languages

Incidentally

Multilingual Models

Mistral 7B



Claude 3

Llama 2

Meta AI

Natively Multilingual Models

mT5



Okapi

MALA-500



Closed Data Models



Directions of Innovations in Multilingual LLMs

Data

- Methods to efficiently procure labeled & unlabeled data
 - Quality vs Quantity trade-off
 - Impact of data diversity
- Alignment data collection strategies

Infrastructure

- Breaking the curse of multilinguality (more on this if time permits)
- Extending LLMs to unseen languages
- Efficient tokenization for low-resource languages

We'll focus on the **Data** direction today!

Languages of the World via the Data Lens

“The Left-Behinds”

Impossible effort required to lift them into digital space

#Langs: 2191

E.g.: Warlpiri, Gaelic, Gondi

#Speakers: 1.2B

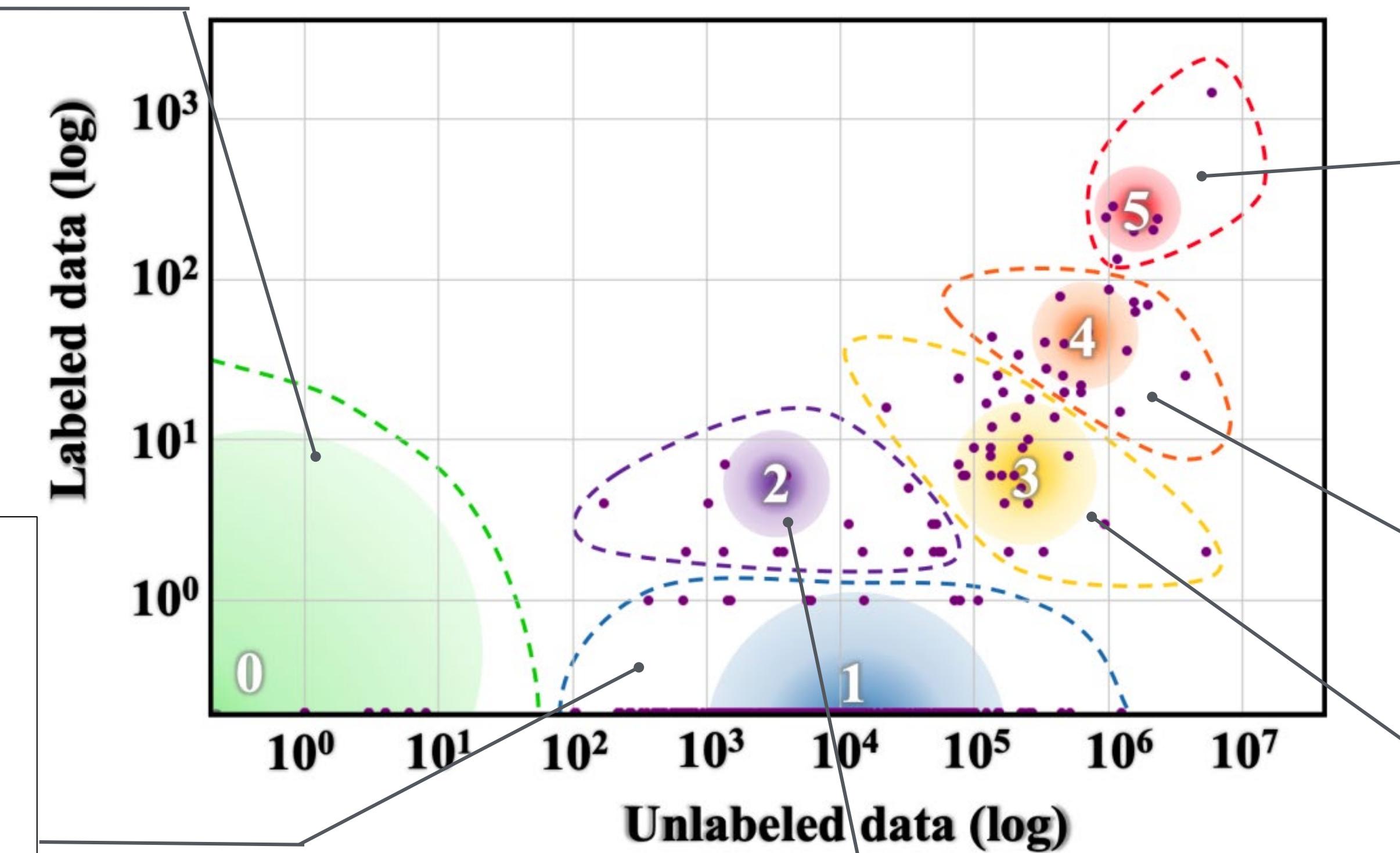
“The Scraping-Bys”

Need solid, organized movement that increases awareness

#Langs: 222

E.g.: Nepali, Gujarati, Armenian

#Speakers: 30M



“The Hopefuls”

languages still Āght on with their gasping breath

#Langs: 19 ; E.g.: Marathi, Irish, Yoruba

#Speakers: 5.7M

“The Winners”

the quintessential rich?resource languages

#Langs: 7

E.g.: English, German, French

#Speakers: 2.5B

“The Underdogs”

dedicated NLP communities conducting research on these languages

#Langs: 18

E.g.: Russian, Dutch, Korean

#Speakers: 2.2B

“The Rising Stars”

let down by insufficient efforts in labeled data collection

#Langs: 28

E.g.: Hebrew, Ukrainian, Urdu

#Speakers: 1.8B

The Multilingual LLM Pipeline

Data

Unlabeled Multilingual Corpus
e.g.:(<*natural_language*>)

Labeled Instruction Pair Dataset
e.g.:(<*prompt[completion]*>)

Alignment Dataset
e.g.:(<*prompt[completion', completion?*>)

Model

Pre-trained LLM

Supervised/Instructi on Fine-tuned LLM

Preference Aligned LLM

Pre-training Phase

Instruction Fine- tuning Phase

Preference Tuning Phase

Language Modeling (LM) Objective

LM Objective

e.g. : PPO, DPO, etc.



Multilingual Pre-training

Multilingual Pre-training: mC4

- **Multilingual C4 (mC4)^[1] [6.6B pages, 6.3T tokens]**
 - **C4:** Colossal Clean Crawled Corpus^[2]
 - . Cleaned version of the Common Crawl's snapshot of the internet (April 2019)
 - . Filtered for pages predominantly English as per a language detector
 - Use 71 snapshots of Common Crawl
 - Supports **101 languages** (with 6 languages in two scripts)
 - . Identified using the *cld3* language detector
 - Other filters: length, deduplication, profanity, etc.
 - Models trained on mC4: mT5, mTo, Aya-101

[1] [mT5: A Massively Multilingual Pre-trained Text-to-Text Transformer](#) (Xue et al., NAACL 2021)

[2] [Exploring the limits of transfer learning with a unified text-to-text transformer](#). (Raffel et al., JMLR 2020)

* - <https://pypi.org/project/langdetect/> (Only pages with a probability 99% or higher of being English were considered)

\$ - <https://github.com/google/cld3> (Pages with a language confidence of below 70% were discarded)

Multilingual Pre-training: mC4

- Multilingual C4 (mC4)^[1] [6.6B pages, 6.3T tokens]
 - C4: Colossal Clean Crawled Corpus^[2]
 - Cleaned version of the Common Crawl's snapshot of the internet

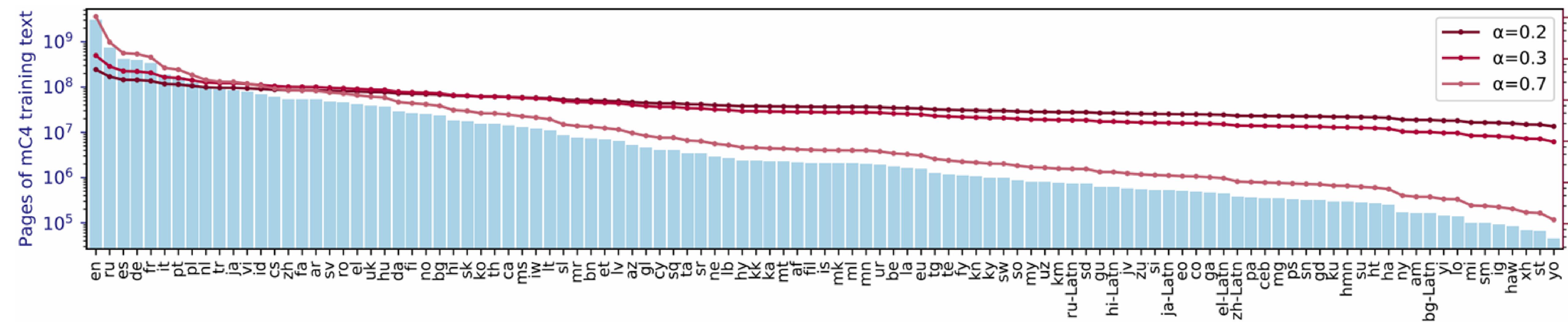


Figure 1: Page counts per language in mC4 (left axis) from [mT5: A Massively Multilingual Pre-trained Text-to-Text Transformer](#) (Xue et al., NAACL 2021)

Sampling affects model performance*:

- If low-resource languages are highly sampled too often, the model may overfit
- If high-resource languages are not trained on enough, the model will underfit

[1] Explor

[2] mT5: A

* - <https://arxiv.org/pdf/2106.09682.pdf>

\$ - <https://arxiv.org/pdf/2106.09682.pdf>

Multilingual Pre-training: Glot500-c

- **Glot500-c^[1] [1.5B sentences, 600 GB]**
 - Subset of **Glot2000-c** that covers 2266 languages:
 - Diverse data sources: religious texts, news articles, scientific papers, etc.
 - Several filters:
 - Chunk-level filters^{\$}
 - Corpus-level filters
 - Set of **511 languages*** with > 30k chunks
- Models trained on Glot500-c: Glot500-m, MALA-500

SF1 Character repetition. If the ratio of repeated characters is too high, it is likely that the sentence has not enough textual content.

SF2 Word repetition. A high ratio of repeated words indicates non-useful repetitive content.

SF3 Special characters. Sentences with a high ratio of special characters are likely to be crawling artifacts or computer code.

SF4 Insufficient number of words. Since training language models requires enough context, very small chunks of text are not useful.

SF5 Deduplication. If two sentences are identical after eliminating punctuation and white space, one is removed.

[1] [Glot500: Scaling Multilingual Corpora and Language Models to 500 Languages](#) (Imani et al., ACL 2023)

* - They cover 30 scripts. They also count a distinct language-script pair as a separate pair

\$ - The chunk-level filters are taken from BigScience's ROOTS Corpus ([The BigScience ROOTS Corpus: A 1.6TB Composite Multilingual Dataset](#) (Laurençon et al., NeurIPS 2022)). This was used to train models like BLOOM, BLOOMZ, etc.

Multilingual Pre-training: Glot500-c

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 - Diverse data sources: religious texts, news articles, scientific papers, etc.
 - Several filters:
 - Chunk-level filters
 - Corpus-level filters
 - Set of **511 languages*** with > 30k chunks
- Models trained on Glot500-c: Glot500-m, MALA-500

Corpus-level filters detect if the corpus of a language-script is noisy; e.g., the corpus is in another language or consists of non-meaningful content such as tabular data. We employ filters CF1 and CF2.

CF1 In case of **mismatch between language and script**, the corpus is removed; e.g., Chinese written in Arabic is unlikely to be Chinese.

CF2 Perplexity mismatch. For each language-script L1, we find its closest language-script L2: the language-script with the lowest perplexity divergence (§3.3). If L1 and L2 are not in the same typological family, we check L1/L2 manually and take appropriate action such as removing the corpus (e.g., if it is actually English) or correcting the ISO code assigned to the corpus.

[1] [Glot500: Scaling Multilingual Corpora and Language Models to 500 Languages](#) (Imani et al., ACL 2023)

* - They cover 30 scripts. They also count a distinct language-script pair as a separate pair

Multilingual Instruction

Fine-tuning

Template-based

| Input | Output | | |
|---------------------------------------|----------|--|----------------------|
| Jim, I had a lot of fun at dinner ... | Not spam | | Instruction template |
| Congratulations! You just won ... | Spam | Prompt | Completion |
| ... | | Jim, I had a lot of fun at dinner ... Indicate if this mail is spam or not. This mail is | not a spam |

Template-based

- Convert existing multilingual datasets to prompt-completion pairs
- Instructions can be English or multilingual
- Easy to scale
- **Low** in diversity
- Datasets:
 - **Supernatural Instructions^[1]:** 76 task types, 55 languages, English instructions
 - **xP3 and xP3mt^[2]:** 16 task types, 46 languages
 - . **xP3** has English instructions while **xP3mt** is its machine-translated version

[1] [Super-NaturalInstructions: Generalization via Declarative Instructions on 1600+ NLP Tasks](#) (Wang et al., EMNLP 2022) (largely collected via class-sourcing and public invitation)

[2] [Crosslingual generalization through multitask finetuning](#) (Muennighoff et al., ACL 2023) (xP3mt translated using Google Translate API)

Do Translated Instructions over English Ones Help?

| Task | Prompt | Average accuracy | | | |
|-------------|--------|------------------|--------------|--------------|--------------|
| | | BLOOMZ | BLOOMZ-MT | mT0-13B | mT0-13B-MT |
| XNLI | EN | 52.99 | 49.01 | 48.24 | 51.29 |
| | MT | 37.56 | 41.16 | 39.31 | 41.66 |
| | HT | 40.4 | 43.88 | 44.95 | 46.87 |
| XCOPA | EN | 72.52 | 73.24 | 81.4 | 80.36 |
| | MT | 70.04 | 71.84 | 81.16 | 79.64 |
| XStoryCloze | EN | 81.73 | 81.39 | 81.99 | 82.3 |
| | MT | 80.89 | 81.76 | 83.37 | 82.86 |
| XWinograd | EN | 60.07 | 59.15 | 70.49 | 73.24 |
| | MT | 58.48 | 60.14 | 66.89 | 72.33 |

Table 1: Comparison between EN (English), MT (machine-translated) and HT (human-translated) prompts for 176B BLOOMZ and 13B mT0 models finetuned on either only English or English and machine-translated multilingual prompts (-MT).

Table from [Crosslingual generalization through multitask finetuning](#) (Muennighoff et al., ACL 2023)

Trained on xP3
(English-only)

Trained on xP3mt

Translated instructions
usually result in
improved performance

Template-based

- Convert existing multilingual datasets to prompt-completion pairs
- Instructions can be English or multilingual
- Easy to scale
- **Low** in diversity
 - Datasets:
 - **Supernatural Instructions^[1]:** 55 languages, 76 task types, English instructions
 - **xP3 and xP3mt^[2]:** 46 languages, 13 task types
 - . xP3 has English instructions while xP3mt is its machine-translated version
 - **xP3x^[3]:** xP3 extended to 277 languages, 16 task types
 - . Pruned through a human-auditing process
 - **Aya Collection^[4]:** 74 languages, 14 task types, Human-written multilingual instructions and more ...

[1] [Super-NaturalInstructions: Generalization via Declarative Instructions on 1600+ NLP Tasks](#) (Wang et al., EMNLP 2022) (largely collected via class-sourcing and public invitation)

[2] [Crosslingual generalization through multitask finetuning](#) (Muennighoff et al., ACL 2023) (xP3mt translated using Google Translate API)

[3] [Aya Model: An Instruction Finetuned Open-Access Multilingual Language Model](#) (Üstün et al., ACL 2024)

[4] [Aya Dataset: An Open-Access Collection for Multilingual Instruction Tuning](#) (Singh et al., ACL 2024)

Translation-based

- Templates lack diversity
- Translate diverse English instructions into other languages
 - Popular machine translation models^[1,2] to the rescue!
- **Bottleneck?**
 - Translation quality in lower resourced languages
 - Introduction of translation artefacts known as *translationese*
- Datasets:
 - **Aya Collection**^[3]: 101 languages, 19 datasets
 - . Diverse sources: xP3, Flan Collection, Dolly, etc.; Translated using NLLB^[1]
 - **ShareGPT-Command**^[4]: 93 languages
 - . ShareGPT: Synthetic English completions from Command for human prompts
 - . Translate prompt-completion pairs using NLLB

[1] [Google Translate API](#)

[2] [No language left behind: Scaling human-centered machine translation](#) (NLLB-Team.,2022)

[3] [Aya Dataset: An Open-Access Collection for Multilingual Instruction Tuning](#) (Singh et al., ACL 2024)

[4] [Aya Model: An Instruction Finetuned Open-Access Multilingual Language Model](#) (Üstün et al., ACL 2024)

Human Annotations

- **Gold** standard
- Expensive to collect
 - **Technological factors:** Support of languages on annotation platforms
 - **Sociological factors:**
 - . Access to language technology^[1]
 - . Dialectical and other biases^[2]
- Dataset:
 - **Aya Dataset^[3]:** 65 languages, 2k contributors across 110 countries
 - . Created a multi-platform Annotation platform - **Aya Annotation Platform**
 - . Instances human annotated, re-annotated & feedback curated
 - . Implement leaderboarding via **Aya Score** to boost quality

[1] [Harnessing the Power of Artificial Intelligence to Vitalize Endangered Indigenous Languages: Technologies and Experiences](#) (Pinhanez et al., 2024)

[2] [A Survey of Corpora for Germanic Low-Resource Languages and Dialects](#) (Blaschke et al., NoDaLiDa 2023)

[3] [Aya Dataset: An Open-Access Collection for Multilingual Instruction Tuning](#) (Singh et al., ACL 2024)

Which Approach is the Best?

| Weighting name | HUMAN ANNOT. | TEMPLATE | | | TRANSLATION | |
|--------------------|----------------|------------------|------|--------------------|---------------------|----------------------|
| | Aya Dataset | Aya Templates | xP3x | Data Provenance | Aya Translations | ShareGPT- Command |
| Human Annot. Heavy | 25 | 4 | 20 | 6 | 30 | 15 |
| Translation Heavy | 10 | 1.5 | 15 | 3.5 | 47.5 | 22.5 |
| Template Heavy | 20 | 10 | 30 | 10 | 20 | 10 |

| Model | Base Model | IFT Mixture | Held out tasks (Accuracy %) | | | | |
|--------------------------|------------|-------------|-----------------------------|-------------|-------------|-------------|-------------|
| | | | XCOPA | XNLI | XSC | XWG | Avg |
| 46 LANGUAGES | | | | | | | |
| mT0 | mT5 13B | xP3 | 75.6 | 55.3 | 87.2 | 73.6 | 72.9 |
| BLOOMZ | BLOOM 176B | xP3 | 64.3 | 52.0 | 82.6 | 63.3 | 65.5 |
| 52 LANGUAGES | | | | | | | |
| BACTRIAN-X 13B | Llama 13B | Bactrian-X | 52.4 | 34.5 | 51.8 | 50.5 | 47.3 |
| 101 LANGUAGES | | | | | | | |
| mT0x | mT5 13B | xP3x | 71.7 | 45.9 | 85.1 | 60.6 | 65.8 |
| Aya (human-anno-heavy) | mT5 13B | All Mixture | 76.5 | 59.2 | 89.3 | 70.6 | 73.9 |
| Aya (template-heavy) | mT5 13B | All Mixture | 77.3 | 58.3 | 91.2 | 73.7 | 75.1 |
| *Aya (translation-heavy) | mT5 13B | All Mixture | 76.7 | 58.3 | 90.0 | 70.7 | 73.9 |

Table 5: Results for held-out task evaluation. Results are averaged across all splits of XCOPA, XNLI, XStoryCloze, and XWinoGrad. *Aya (translation-heavy) is used as the final Aya model. See § 5.6 for detailed analysis.

- Aya-101 **outperforms** all other contemporary models (even BLOOMZ 176B)
- Template-heavy seems to be the best fine-tuning mixture

Which Approach is the Best?

| Weighting name | HUMAN ANNOT. | | TEMPLATE | | TRANSLATION | |
|--------------------|----------------|------------------|----------|--------------------|---------------------|----------------------|
| | Aya Dataset | Aya Templates | xP3x | Data Provenance | Aya Translations | ShareGPT- Command |
| Human Annot. Heavy | 25 | 4 | 20 | 6 | 30 | 15 |
| Translation Heavy | 10 | 1.5 | 15 | 3.5 | 47.5 | 22.5 |
| Template Heavy | 20 | 10 | 30 | 10 | 20 | 10 |

| Model | Base Model | IFT Mixture | Held out tasks (Accuracy %) | | | | |
|--------------------------|------------|-------------|-----------------------------|-------------|-------------|-------------|-------------|
| | | | XCOPA | XNLI | XSC | XWG | Avg |
| 46 LANGUAGES | | | | | | | |
| mT0 | mT5 13B | xP3 | 75.6 | 55.3 | 87.2 | 73.6 | 72.9 |
| BLOOMZ | BLOOM 176B | xP3 | 64.3 | 52.0 | 82.6 | 63.3 | 65.5 |
| 52 LANGUAGES | | | | | | | |
| BACTRIAN-X 13B | Llama 13B | Bactrian-X | 52.4 | 34.5 | 51.8 | 50.5 | 47.3 |
| 101 LANGUAGES | | | | | | | |
| mT0X | mT5 13B | xP3x | 71.7 | 45.9 | 85.1 | 60.6 | 65.8 |
| Aya (human-anno-heavy) | mT5 13B | All Mixture | 76.5 | 59.2 | 89.3 | 70.6 | 73.9 |
| Aya (template-heavy) | mT5 13B | All Mixture | 77.3 | 58.3 | 91.2 | 73.7 | 75.1 |
| ★Aya (translation-heavy) | mT5 13B | All Mixture | 76.7 | 58.3 | 90.0 | 70.7 | 73.9 |

Table 5: Results for held-out task evaluation. Results are averaged across all splits of XCOPA, XNLI, XStoryCloze, and XWinoGrad. ★Aya (translation-heavy) is used as the final Aya model. See § 5.6 for detailed analysis.

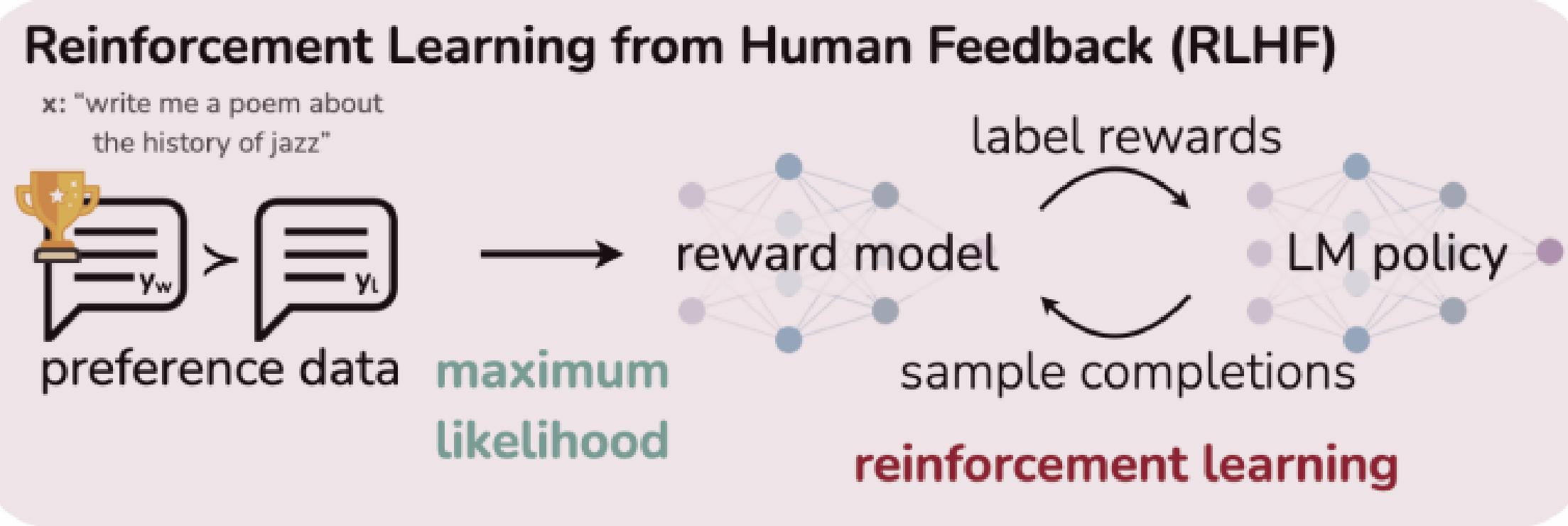
| Model | IFT Mixture | Generative Tasks | | |
|--------------------------|-------------|------------------|-------------|-------------|
| | | X→ En | En → X | |
| 101 LANGUAGES | | | | |
| MT0X | xP3x | 20.2 | 14.5 | 21.4 |
| Aya (human-anno-heavy) | All Mixture | 25.1 | 18.9 | 22.2 |
| Aya (templated-heavy) | All Mixture | 25.0 | 18.6 | 23.2 |
| ★Aya (translation-heavy) | All Mixture | 29.1 | 19.0 | 22.0 |
| | | | | 77.8 |

Table 7: Generative tasks' results for mT0x and Aya model variants based on different weighting ablations. Here the translation-heavy weighting has the highest spBleu score on Flores and the template-heavy weighting has the highest RougeLsum and F1 scores on XLSum and Tydiqa respectively. ★Aya (translation-heavy) is used as the final Aya model. See § 5.6 for detailed analysis.

Translation-heavy performs better on translation tasks; template-heavy is better on other generative tasks

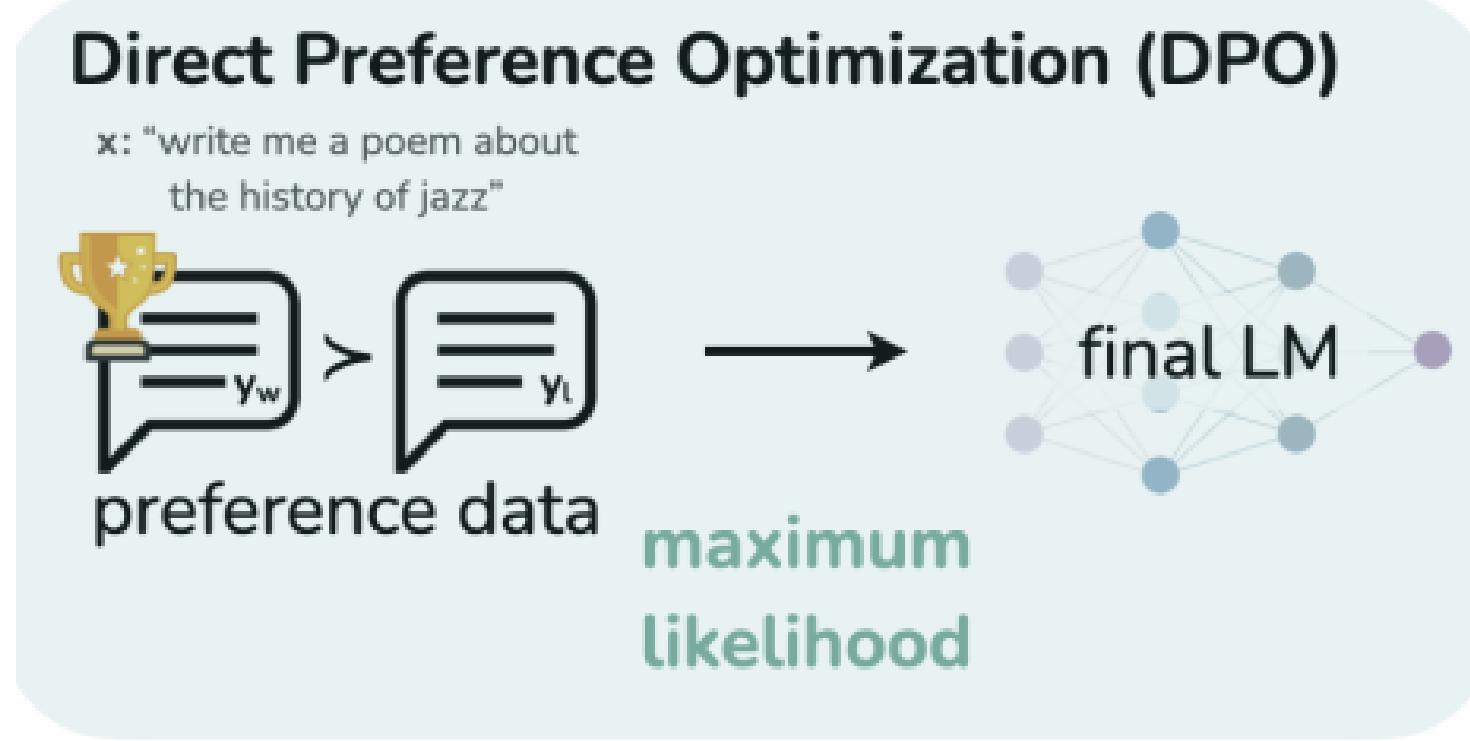
Multilingual Alignment

Online vs Offline Alignment Methods



$$\mathcal{L}_R(r_\phi, \mathcal{D}) = -\mathbb{E}_{(x, y_w, y_l) \sim \mathcal{D}} [\log \sigma(r_\phi(x, y_w) - r_\phi(x, y_l))]$$

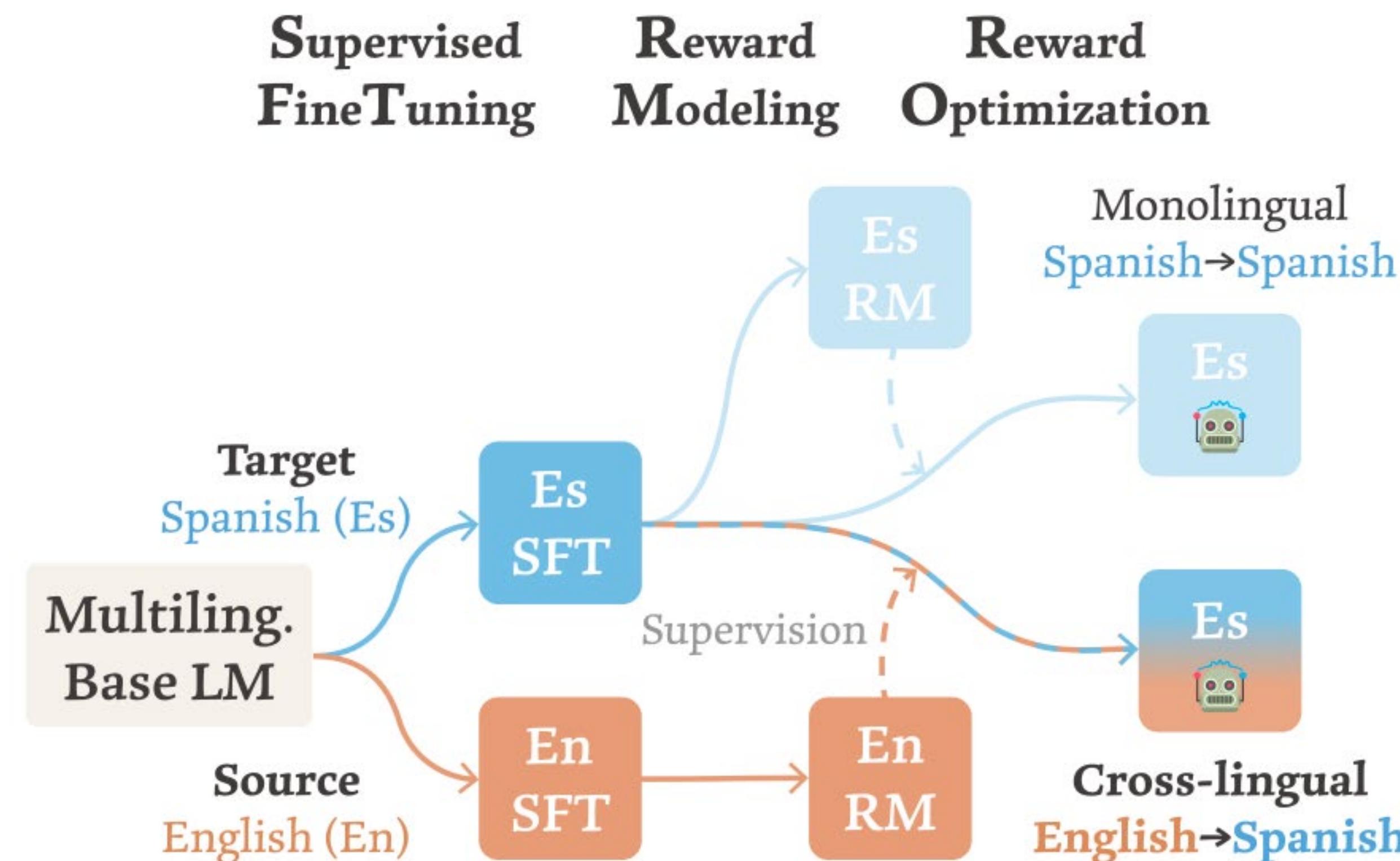
$$\max_{\pi_\theta} \mathbb{E}_{x \sim \mathcal{D}, y \sim \pi_\theta(y|x)} [r_\phi(x, y)] - \beta \mathbb{D}_{\text{KL}} [\pi_\theta(y | x) || \pi_{\text{ref}}(y | x)]$$



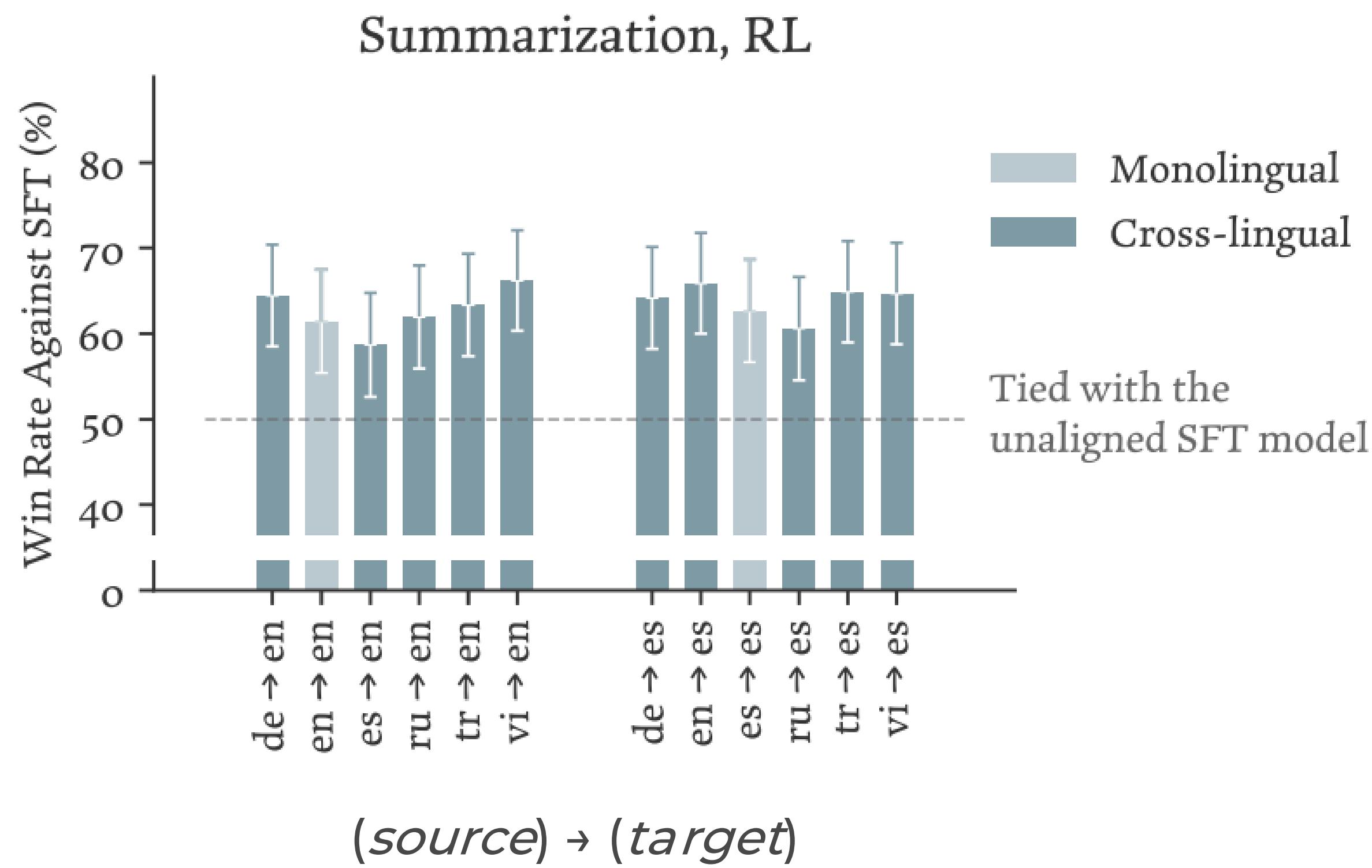
$$\mathcal{L}_{\text{DPO}}(\pi_\theta; \pi_{\text{ref}}) = -\mathbb{E}_{(x, y_w, y_l) \sim \mathcal{D}} \left[\log \sigma \left(\beta \log \frac{\pi_\theta(y_w | x)}{\pi_{\text{ref}}(y_w | x)} - \beta \log \frac{\pi_\theta(y_l | x)}{\pi_{\text{ref}}(y_l | x)} \right) \right]$$

Cross-lingual (X-Lingual) Alignment

- Reward model trained on preference data of language **X** (source)
- Applied to preference tune for language **Y** (target)



Cross-lingual Alignment: Does it Work?



- **Evaluation:** Head-to-head win-rates as judged by humans
- **Base SFT model:** mT5-XL
- **Optimization:** Online (PPO)

Cross-lingual alignment sometimes **outperforms** in-language alignment

Can't I Just Translate Source Preference Data

Cross-lingual

| Src \ Tgt | De | En | Es | Ru | Tr | Vi |
|-----------|------|------|------|------|------|------|
| De | 52.3 | 50.8 | 63.0 | 66.7 | 63.0 | 60.4 |
| En | 56.4 | 55.5 | 66.1 | 70.7 | 67.2 | 63.1 |
| Es | 51.9 | 51.2 | 62.4 | 66.0 | 64.4 | 57.5 |
| Ru | 48.1 | 46.5 | 59.2 | 63.6 | 59.0 | 56.3 |
| Tr | 53.3 | 52.9 | 62.6 | 66.6 | 60.4 | 59.0 |
| Vi | 46.5 | 48.2 | 60.0 | 65.6 | 62.1 | 58.0 |

Table 6: Cross-lingual alignment results using **best-of- n** with $n = 64$, for the **summarization** task, measured in win rate (%) against the target-language SFT model as judged by **PaLM-2-L** (Figure 4).

Translation > Cross-lingual

Translation

| Src \ Tgt | De | En | Es | Ru | Tr | Vi |
|-----------|------|------|------|------|------|------|
| De | — | 50.0 | 61.9 | 66.1 | 66.1 | 54.6 |
| En | 47.9 | — | 63.3 | 64.9 | 64.5 | 53.1 |
| Es | 50.6 | 52.9 | — | 64.1 | 64.5 | 59.0 |
| Ru | 47.4 | 51.2 | 60.3 | — | 63.3 | 57.7 |
| Tr | 50.6 | 52.5 | 61.8 | 65.6 | — | 50.8 |
| Vi | 42.0 | 50.8 | 59.1 | 64.4 | 63.6 | — |

Table 17: Alignment quality using RM trained by translating the source language data into the target language using **best-of- n** with $n = 64$, for the summarization task, measured in win rate (%) against the target-language SFT model as judged by PaLM-2-L (§5.1).

Can't say much!!

- English benefits from translation
- Russian (different script) doesn't transfer well

Cross-lingual Alignment with N languages?

- Cross-lingual works with a language (well mostly!!)
- What if we transfer from more source languages?
- Testbed with various preference mixtures^[1]:
 - **En-1**: English-only preference data (50k samples)
 - **ML-5**: 5 language set (en, vi, de, tr & pt) (50k samples, 10k per language)
 - **ML-23**: 23 language set (50k samples, ~2.2k per language)
 - **ML-23***: 23 language set (230k samples, 10k per language)
- For “ML” data:
 - Prompts translated from ShareGPT into 22 languages via NLLB
 - **Positive Response**: Generated multilingual responses to translated prompts via Command R+^[2]
 - **Negative Response**: Generate English response to English prompt via Command and translate
- Tested with offline and online alignment strategies

[1] [RLHF Can Speak Many Languages: Unlocking Multilingual Preference Optimization for LLMs](#) (Dang et al., 2024)

[2] [Command R+](#) (supports the 23 languages considered for the experiments)

Does Language Diversity help X-lingual Alignment?

- Simulated win-rates with a GPT-4-Turbo

| | | English | | | Average 23 Languages | | |
|------|--------|---------|-------|----------------|----------------------|-------|----------------|
| | | Win% | Loss% | $\Delta W-L\%$ | Win% | Loss% | $\Delta W-L\%$ |
| DPO | EN-1 | 52.0 | 33.5 | 18.5 | 43.3 | 40.6 | 2.7 |
| | ML-5 | 50.5 | 28.5 | 22.0 | 43.8 | 39.1 | 4.7 |
| | ML-23 | 44.5 | 36.5 | 8.0 | 47.0 | 37.1 | 9.9 |
| | ML-23* | 57.5 | 31.0 | 26.5 | 50.2 | 39.0 | 11.2 |
| RLOO | EN-1 | 47.5 | 38.5 | 9.0 | 46.4 | 38.9 | 7.5 |
| | ML-5 | 55.5 | 30.5 | 25.0 | 54.4 | 35.8 | 18.6 |
| | ML-23 | 53.0 | 37.0 | 16.0 | 54.0 | 38.0 | 16.0 |
| | ML-23* | 53.0 | 35.0 | 18.0 | 53.4 | 37.0 | 16.4 |

Not
always for
English

Almost
always on
average
across
multiple
languages

Table 3: Open-ended generation (Dolly) win-rates for DPO/RLOO preference optimized Aya models against the original Aya 23 8B on English (left) and averaged over 23 languages (right). We report average win-rates on 23 languages for multiple training data mixtures: EN-1 (English Only), ML-5 (5 Languages), and ML-23 (23 Languages). All the data mixtures consist of 50K total training examples with the exception of ML-23*, which includes 230K total training examples. We report results for the best checkpoint across 2 epochs.

Does More Preference Data Help?

- Simulated win-rates with a GPT-4-Turbo

Yes, it does!

| | | English | | | Average 23 Languages | | |
|------|--------|---------|-------|----------------|----------------------|-------|----------------|
| | | Win% | Loss% | $\Delta W-L\%$ | Win% | Loss% | $\Delta W-L\%$ |
| DPO | EN-1 | 52.0 | 33.5 | 18.5 | 43.3 | 40.6 | 2.7 |
| | ML-5 | 50.5 | 28.5 | 22.0 | 43.8 | 39.1 | 4.7 |
| | ML-23 | 44.5 | 36.5 | 8.0 | 47.0 | 37.1 | 9.9 |
| | ML-23* | 57.5 | 31.0 | 26.5 | 50.2 | 39.0 | 11.2 |
| RLOO | EN-1 | 47.5 | 38.5 | 9.0 | 46.4 | 38.9 | 7.5 |
| | ML-5 | 55.5 | 30.5 | 25.0 | 54.4 | 35.8 | 18.6 |
| | ML-23 | 53.0 | 37.0 | 16.0 | 54.0 | 38.0 | 16.0 |
| | ML-23* | 53.0 | 35.0 | 18.0 | 53.4 | 37.0 | 16.4 |

Table 3: Open-ended generation (Dolly) win-rates for DPO/RLOO preference optimized Aya models against the original Aya 23 8B on English (left) and averaged over 23 languages (right). We report average win-rates on 23 languages for multiple training data mixtures: EN-1 (English Only), ML-5 (5 Languages), and ML-23 (23 Languages). All the data mixtures consist of 50K total training examples with the exception of ML-23*, which includes 230K total training examples. We report results for the best checkpoint across 2 epochs.

What about Languages not in Preference Data?

| | | Avg. Unseen Langs. | | |
|------|------|--------------------|--------|----------------|
| | | Win % | Loss % | $\Delta W-L\%$ |
| EN-1 | DPO | 42.9 | 40.9 | 2.0 |
| | RLOO | 46.3 | 39.3 | 7.3 |
| ML-5 | DPO | 43.3 | 39.5 | 3.8 |
| | RLOO | 54.9 | 35.5 | 19.4 |

Table 4: Win-rates for the 22 and 18 languages that are not included in the training data (“unseen”) for EN-1 and ML-5 respectively. We observe cross-lingual transfer from preference optimization, with an increased degree of transfer enhanced by multilingual training.

Offline vs Online Alignment

| | | Average 23 Languages | | |
|------|--------|----------------------|-------|-------|
| | | Win% | Loss% | ΔW-L% |
| DPO | EN-1 | 43.3 | 40.6 | 2.7 |
| | ML-5 | 43.8 | 39.1 | 4.7 |
| | ML-23 | 47.0 | 37.1 | 9.9 |
| | ML-23* | 50.2 | 39.0 | 11.2 |
| RLOO | EN-1 | 46.4 | 38.9 | 7.5 |
| | ML-5 | 54.4 | 35.8 | 18.6 |
| | ML-23 | 54.0 | 38.0 | 16.0 |
| | ML-23* | 53.4 | 37.0 | 16.4 |

| | | Avg. Unseen Langs. | | |
|------|------|--------------------|--------|-------|
| | | Win % | Loss % | ΔW-L% |
| EN-1 | DPO | 42.9 | 40.9 | 2.0 |
| | RLOO | 46.3 | 39.3 | 7.3 |
| ML-5 | DPO | 43.3 | 39.5 | 3.8 |
| | RLOO | 54.9 | 35.5 | 19.4 |

Online method
is better!

Challenges

Challenges (The Ones that Made the Cut)

Curse of multilinguality^[1,2]

Packing more languages into a model decreases per language performance

Cost of Technology^[3]

- GPT* models are behind paid APIs; cost \propto input & generation tokens
- Poor tokenization in non-English languages → more tokens
- More tokens → more latency & money
- Efforts made but far from parity^[4,5]

Dialectal Biases^[6]

- Whose dialect matters the most?^[7,8]
- Whose English?^[9,10]

and many more

- [1] [Unsupervised Cross-lingual Representation Learning at Scale](#) (Conneau et al., ACL 2020)
- [2] [When Is Multilinguality a Curse? Language Modeling for 250 High- and Low-Resource Languages](#) (Chang et al., 2023))
- [3] [Do All Languages Cost the Same? Tokenization in the Era of Commercial Language Models](#) (Ahia et al., EMNLP 2023)
- [4] <https://cohere.com/blog/command-r-plus-microsoft-azure>
- [5] <https://openai.com/index/hello-qpt-4o/>
- [6] [A Survey of Corpora for Germanic Low-Resource Languages and Dialects](#) (Blaschke et al., NoDaLiDa 2023)
- [7] [Decolonizing NLP for “Low-resource Languages”](#) (Ògúnřémí et al., AI Frameworks Discussion of Abeba Birhane's "Algorithmic Injustice" and Social Impact Articles 2023)
- [8] [Which Humans?](#) (Atari et al., 2023)
- [9] [What to do about non-standard \(or non-canonical\) language in NLP](#) (Plank, KONVENS 2016)
- [10] [AI makes racist decisions based on dialect](#) (Science, 24 August 2024)

Other Directions

Other Interesting Directions

Multilingual Architectures

- Efficient solutions for the curse of multilinguality
- Adding some language-specific parameters
- E.g.: Adapters^[1], Cross-lingual expert models^[2]

Tokenization and Vocabulary

- Efficient tokenization methods to reduce costs and latency
- E.g.: Vocab budgeting^[6], allocation^[7]

Adapting to a New Language

- Increasing support of an **N** language multilingual model to **N+K** languages
- E.g.: Continued pretraining^[3], Adapters^[4], Efficient Initializations^[5]

Data Creation and Verification

- Methods for synthetic data generation^[8] and verification of labeled data^[9]

- [1] [MAD-X: An Adapter-Based Framework for Multi-Task Cross-Lingual Transfer](#) (Pfeiffer et al., EMNLP 2020)
- [2] [Breaking the Curse of Multilinguality with Cross-lingual Expert Language Models](#) (Blevins et al., 2024)
- [3] [How to Adapt Your Pretrained Multilingual Model to 1600 Languages](#) (Ebrahimi & Kann, ACL-IJCNLP 2021)
- [4] [BLOOM+1: Adding Language Support to BLOOM for Zero-Shot Prompting](#) (Yong et al., ACL 2023)
- [5] [OFA: A Framework of Initializing Unseen Subword Embeddings for Efficient Large-scale Multilingual Continued Pretraining](#) (Liu et al., Findings 2024)
- [6] [XLM-V: Overcoming the Vocabulary Bottleneck in Multilingual Masked Language Models](#) (Liang et al., EMNLP 2023)
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