# 14. Critical Sections and Semaphores

SOA, Deemed to be University ITER, Bhubanewar

### Book(s)

#### Text Book(s)



Kay A. Robbins, & Steve Robbins

### **Unix**<sup>TM</sup> Systems Programming

Communications, concurrency, and Treads
Pearson Education

#### Reference Book(s)



Brain W. Kernighan, & Rob Pike

## The Unix Programming Environment

### Introduction

Programs that manage shared resources must execute portions of code called **critical sections** in a mutually exclusive manner.

POSIX semaphores allow **processes** and threads to synchronize their actions.

POSIX semaphores come in two forms: **named semaphores** and **unnamed semaphores**.

### **Semaphores**

- A semaphore is an integer whose value is never allowed to fall below zero.
- Two operations can be performed on semaphores:
  - Increment the semaphore value by one (sem\_post)
  - Decrement the semaphore value by one (sem\_wait)
- If the value of a semaphore is currently zero, then a **sem\_wait()** operation will block until the value becomes greater than zero.
- Other names for wait are down, P and lock.
- Other names for signal are up, V, unlock and post.

### **POSIX:SEM Unnamed Semaphores**

- A POSIX:SEM semaphore is a variable of type **sem\_t** with associated atomic operations for initializing, incrementing and decrementing its value.
- The difference between unnamed and named semaphores is analogous to the difference between ordinary pipes and named pipes (FIFOs).
- The following code segment declares a semaphore variable called sem.

```
#include <semaphore.h>
sem_t sem;
```

### **Unnamed Semaphore Initalization**

```
#include <semaphore.h>
int sem_init(sem_t *sem, int pshared, unsigned value);
```

- (1) If successful, sem\_init initializes sem. sem\_init() returns 0 on success.
- (2) If unsuccessful, sem\_init returns -1 and sets errno.

### The sem\_init Parameters

- POSIX:SEM semaphores must be initialized before they are used.
- The **sem\_init** function initializes the unnamed semaphore referenced by **sem** to **value**. The **value** parameter cannot be negative.
- pshared: = 0: means the semaphore can be used only by threads of the
   process that initializes the semaphore.
- - fork(): simply forking a child after creating the semaphore does not provide access for the child. The child receives a copy of the semaphore, not the actual semaphore.

### **Unnamed Semaphore getvalue**

```
#include <semaphore.h>
int sem_getvalue(sem_t *sem, int *sval);
```

- (1) If successful, sem\_getvalue returns 0.
- (2) If unsuccessful, sem\_getvalue returns -1 and sets errno.

### **Unnamed Semaphore Wait Operation**

```
#include <semaphore.h>
int sem_wait(sem_t *sem);
int sem_trywait(sem_t *sem);
```

- (1) If successful, these functions return 0.
- (2) If unsuccessful, these functions return -1 and set errno.

### Unnamed Semaphore Signal Operation

```
#include <semaphore.h>
int sem_post(sem_t *sem);
```

- (1) If successful, sem\_post returns 0.
- (2) If unsuccessful, sem\_post returns -1 and sets errno.

### Unnamed Semaphore Signal Operation

The **sem\_destroy** function destroys a previously initialized unnamed semaphore referenced by the **sem** parameter.

```
#include <semaphore.h>
int sem_destroy(sem_t *sem);
```

- (1) If successful, sem\_destroy returns 0.
- (2) If unsuccessful, sem\_destroy returns -1 and sets errno.

### **POSIX:SEM Named Semaphores**

### Introduction

POSIX:SEM named semaphores can synchronize processes that do not share memory.

Named semaphores have a name, a user ID, a group ID and permissions just as files do.

A named semaphore is identified by a name of the form /somename. that is, a null-terminated string of characters (up to 251) consisting of an initial slash, followed by one or more characters, none of which are slashes.

### **Creating and Opening Named Semaphores**

- (1) If successful, the sem\_open function returns the address of the semaphore.
- (2) If unsuccessful, sem\_open returns SEM\_FAILED and sets errno.

### Parameters of sem\_open

- The name parameter is a string that identifies the semaphore by name.
- The oflag parameter determines whether the semaphore is created or just accessed by the function.
  - The oflag parameter is either 0, O\_CREAT, or O\_CREAT | O\_EXECL.
  - If the O\_CREAT is specified, the sem\_open requires two more parameters: a mode parameter of type mode\_t giving the permissions and a value parameter of type unsigned giving the initial value of the semaphore.
  - If both the O\_CREAT and O\_EXCL bits of oflag are set, the sem\_open returns an error if the semaphore already exists.
  - If the semaphore already exists and <code>O\_CREAT</code> is set but <code>O\_EXCL</code> is not set, the semaphore ignores <code>O\_CREAT</code> and the additional parameters.

### Named Semaphore getvalue

```
#include <semaphore.h>
int sem_getvalue(sem_t *sem, int *sval);
```

- (1) If successful, sem\_getvalue returns 0.
- (2) If unsuccessful, sem\_getvalue returns -1 and sets errno.

### Named Semaphore Wait Operation

```
#include <semaphore.h>
int sem_wait(sem_t *sem);
int sem_trywait(sem_t *sem);
```

- (1) If successful, these functions return 0.
- (2) If unsuccessful, these functions return -1 and set errno.

### Named Semaphore Signal Operation

```
#include <semaphore.h>
int sem_post(sem_t *sem);
```

- (1) If successful, sem\_post returns 0.
- (2) If unsuccessful, sem\_post returns -1 and sets errno.

### **Closing Named Semaphore**

```
#include <semaphore.h>
int sem_close(sem_t *sem);
```

- (1) If successful, sem\_close returns 0.
- (2) If unsuccessful, sem\_close returns -1 and sets errno

### **Unlinking Named Semaphore**

```
#include <semaphore.h>
int sem_unlink(const char *name);
```

- (1) If successful, sem\_unlink returns 0.
- (2) If unsuccessful, sem\_unlink returns -1 and sets errno.

### DOS Mid-Sem Question, Feb-2019

Two concurrent processes **P** and **Q** are accessing their critical sections by using boolean variables **S** and **T** as follows;

```
proces P

while(true)
{
  //Entery section ?

print(1);
print(1);

// Exit section ?
}
```

```
while(true)
{
//Entery section ?

print(0);
print(0);
// Exit section ?
}
```

Complete the entry section and exit section of process **P** and **Q** with suitable semaphore operations using the two boolean semaphores **S** and **T**. Also suggest the initial values of **S** and **T**, such that the execution of the processes will print the sequence 00110011......