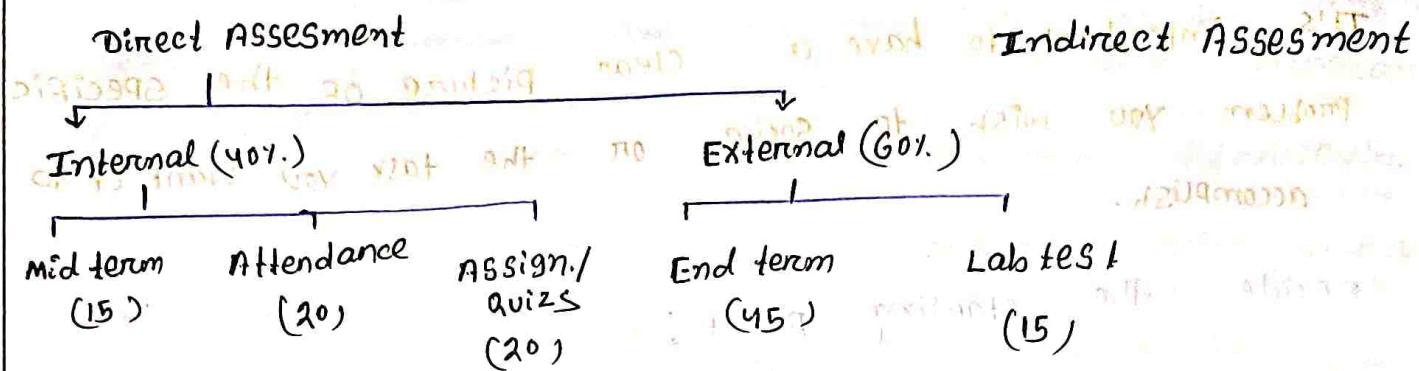


COURSE ASSESSMENT



- | | | |
|------|--------------------|---|
| CH-1 | $\overline{C_0}_2$ | → Introduction, correctness, time & space comp. |
| CH-2 | $\overline{C_0}_2$ | → Sorting & searching |
| CH-3 | $\overline{C_0}_3$ | → Graph |
| CH-4 | $\overline{C_0}_4$ | → Greedy |
| CH-5 | $\overline{C_0}_5$ | → DAC |
| CH-6 | $\overline{C_0}_6$ | → DP |

ALGORITHM

01/10/2022

Finite no. of steps needed to solve a particular problem with correct / desired output / sol'n is called Algorithm.

ALGORITHM [ANALYZER]

- No syntax (only pseudo code) → Syntax is needed
 - Design Phase → Implementation phase
 - Natural language → Computer language
 - No H/W or S/W → Compiler is needed

PROGRAM [PROGRAMMER]

Syntax

STEPS TO WRITE AN ALGORITHM

1. Understand the problem with its outcome :
It's important to have a clear picture of the specific problem you wish to solve or the task you want it to accomplish.
2. Decide the starting point :
It is challenging to identify the start and the end point which are crucial to list the steps of any process. To determine the starting point, try to answer the following questions :
 what data is available?
 what is the location of the data?
 What are the constraints / rule to work with the available data?
 How are the values of the data related to each other?
3. Decide the end point :
As we identified the starting point we can decide the end point of the algorithm by finding answers to the following questions
 what are the changes coming in from start to end?
 what would be the additions or what would be removed?

4 Think and determine how each step would be achieved:
As we have the step by step outline, its up to us to think about coding of each step. The language we would use, the resources available identifying robust & efficient way to accomplish each step are some of the aspects we need to consider.

5. Review & algorithm:
As we complete writing the algorithm, it is equally important to evaluate it. The algorithm has been designed to achieve a certain goal so that we can start writing a program using it. Try to answer the

CHARACTERISTIC FEATURE OF AN ALGORITHM

INPUT

An algorithm has zero or more inputs, i.e. quantities which are given to it initially before the algorithm begins.

OUTPUT

An algorithm has one or more outputs i.e. quantities which have a specified relation to the inputs.

Finiteness

An algorithm must always terminate after a finite no. of steps.

4. **Definiteness:**
Each step of an algorithm must be precisely defined; the actions to be carried out must be rigorously determined and unambiguously specified for each case.

5. **Effectiveness:**
An algorithm is also generally expected to be effective. This means that all of the operations to be performed in an algorithm must be sufficiently basic that they can in principle be done exactly & in a finite length of time.

Algorithm Correctness

Analyze if the algorithm produces the desired output after a finite no. of operations.

Algorithm Efficiency

Estimate the amount of resources needed to execute the algorithm on a machine.

PSEUDO CODE:

Pseudo code is a term which is often used in programming and algorithm based fields. It is a methodology that allows the programmer to represent the implementation of an algorithm. Simply we can say that the cooked-up representation of an algorithm often at times, algorithms are represented with the help of pseudo codes as they can be interpreted by programmers no matter what their programming background or knowledge is. Pseudo code, as the name suggests, is a false code or a representation or code which can be understood by every layman with some school level programming knowledge.

ALGORITHM VS PSEUDO CODE

• Algorithm:

It's an organized logical sequence of the actions approach towards a particular problem. A programmer implements an algorithm to solve a problem. Algorithms are expressed using natural verbal but somewhat technical annotations.

• Pseudo code:

It's simply an implementation of an algorithm in the form of annotations and informative text written in plain eng. It has no syntax like any of the programming language and thus can't be compiled or interpreted by the same.

Other classifications

- Randomized Algorithm:

CLASSIFICATION BY IMPLEMENTATIONAL METHOD

- Recursion or Iteration

Ex: The Tower of Hanoi is implemented in a recursive fashion while Stock Span problem is implemented iteratively.

- Exact or Approximate

Ex:- For NP-Hard problems, Approximation algorithms are used as sorting algorithms are the exact algorithms.

- Series or Parallel or Distributed algorithm

- Classification by Design method

- Greedy method:-

Ex:- Fractional Knap Sack, Activity Selection

- Divide & Conquer

Ex:- Merge sort, Quick sort

- Dynamic programming

Ex:- 0-1 knapsack, subset-sum problem

ALGORITHMS CLASSIFICATION

• Linear Programming

Ex :- maximum flow or directed graph

• Other classifications

• Randomized Algorithms

Ex :- Randomized quick sort algorithm

• Classification by complexity :-

Ex :- Some algorithms take $O(n)$, while some take expo.

• Classification by research area

Branch & Bound Enumeration & Backtracking

Implementation

Recursion or Iteration

() serial
state Exact or

Approximation

Serial / Parallel
on distributed

Design

GA

Learned

State

Design

programming

DAL

Reduction

① P

STABLE MATCHING :

Initialize each person to be free
 while (some man is free & hasn't proposed to every women)

choose such a man m

w = 1st women on m's list
 yet proposed
 whom m has not

if (w is free)

assign m & w to be engaged.

else if (w prefers m to her fiance m')

assign m & w to be engaged, and m' to
 be free

else

w rejects m

end while

end

m

while ()

end while

Required output

Frank	Kate	Marry	Rhea	Jill
Denis	Marry	Jill	Rhea	Kate
mac	Kate	Rhea	Jill	Marry
Charles	Rhea	Marry	Kate	Jill
BOYS	High	Low		

Rhea	Frank	Mac	Denis	Charlie
Marry	Mac	Charlie	Denis	Frank
Kate	Denis	Mac	Charlie	Frank
Jill	Charlie	Denis	Frank	Mae

Step-I

Frank = Kate

Denis = Marry

Mac = Kate

Charlie = Rhea

Frank =

Denis =

Mac = Kate

Charlie = Rhea

Frank = Rhea

Denis = Marry

Mac = Kate

Charlie =

Step-4

Frank = Rhea

Denis =

Mac = Kate

Charlie = Marry

Step-5

Frank = Rhea

Denis = Jill

Mac = Kate

Charlie = Marry

H.W

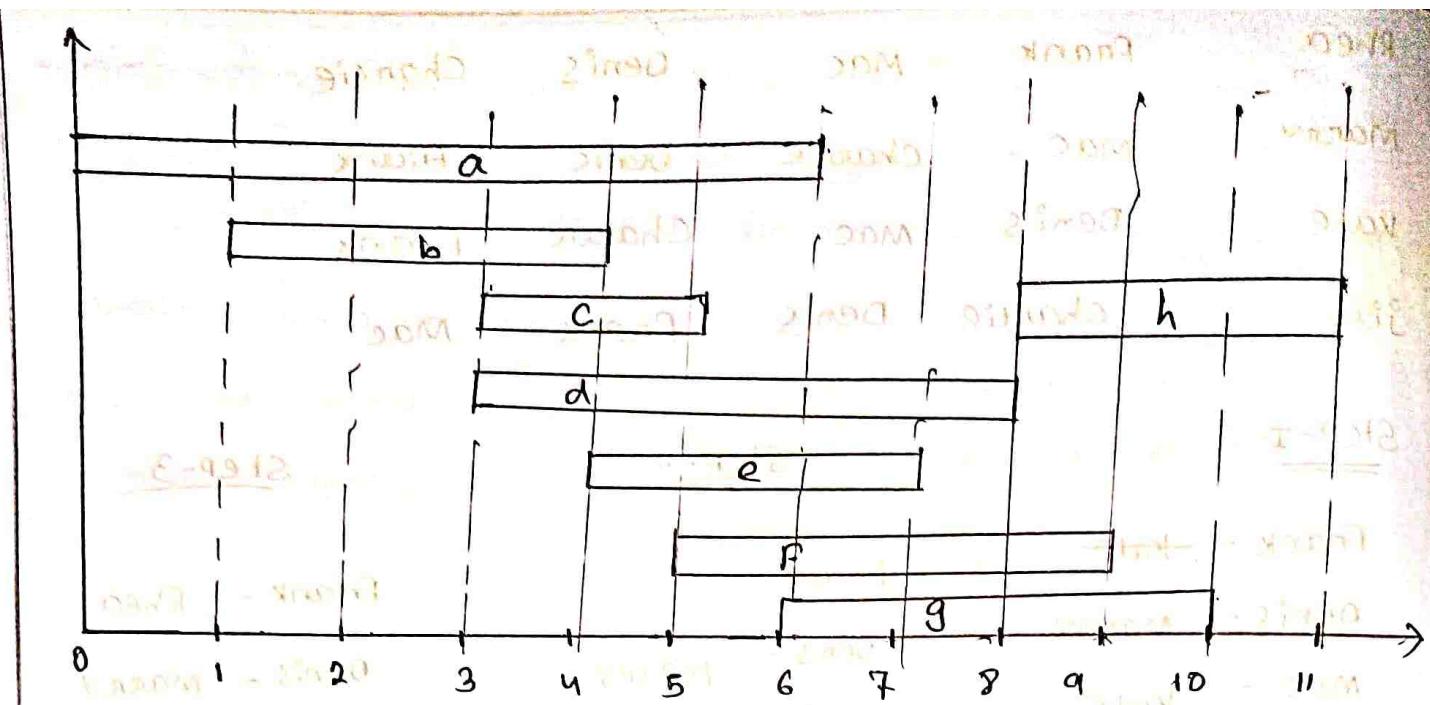
Using for loop implement this algorithm

Interval Scheduling

INPUT: Set of jobs with start times & finish times

Goal: Find maximum non-overlapping subset of mutually compatible jobs

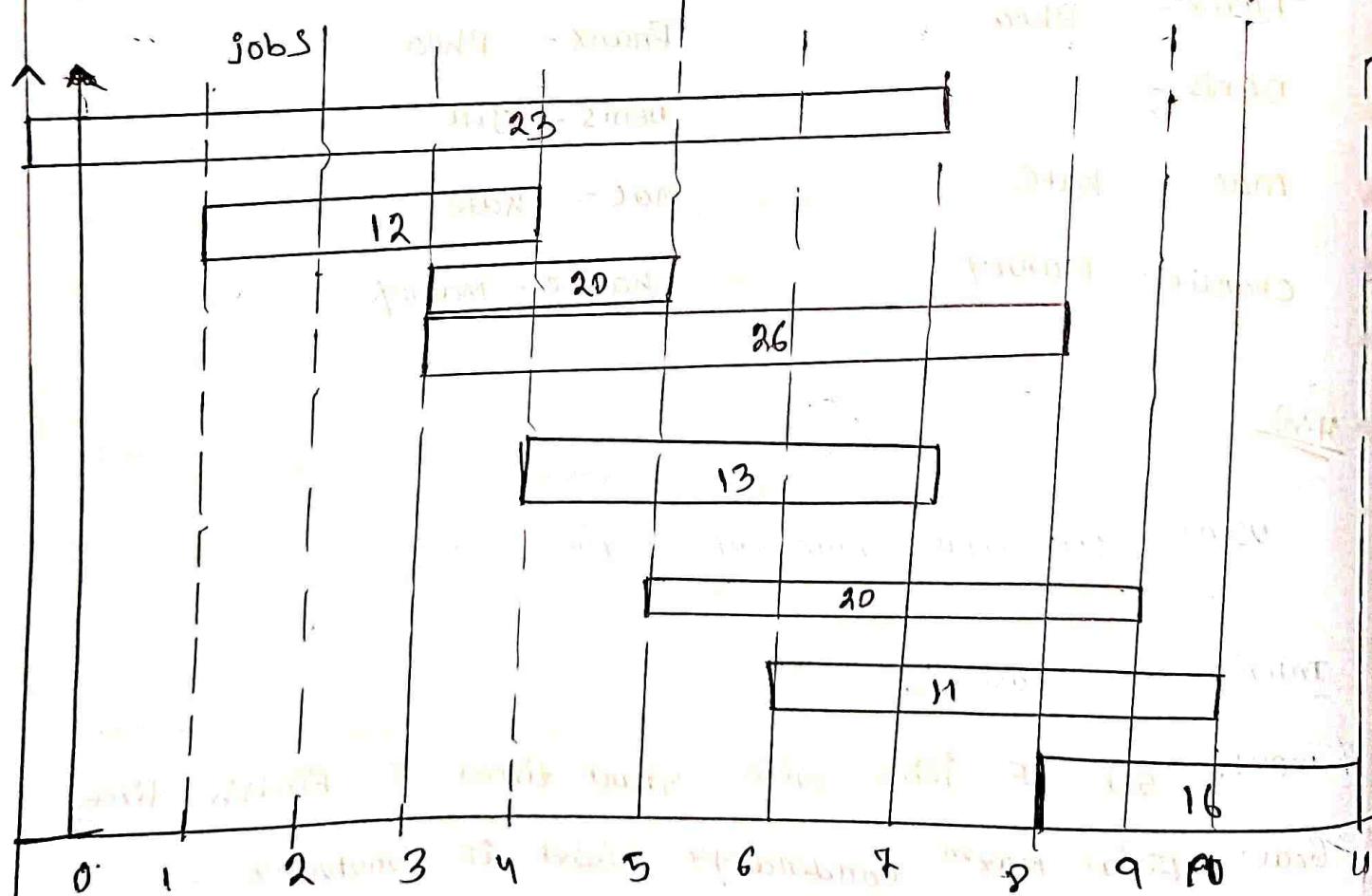
compatible jobs



Weight Interval Scheduling

input: set of jobs with start time, finish time & weights

Goal: find max^m weight subset of mutually compatible jobs



$$AM = \{16, 17\}$$

time

For (each person to be free); till all men are engaged

For (first man in the list; till last man in list)

w = 1st woman on m's list whom m has not yet

if (w is free) proposed

assign m & w to be engaged

else if (w prefers m to her fiance m')

assign m and w to be engaged, and m' to be free

else

w rejects m & going to have m

end fix

end for

ARTIFICIAL RESOURCES

① Time → also called natural resources

② Space.

Algorithm correctness

Partial correctness

Total correctness

Partial correctness

int i=1

int j, k;

for (i=1; i<n; i++)

{
 print(i);
}

loop invariant tool

Def:- IF the algorithm is receiving correct data on i/p
STOPS, then its result is correct.

Total correctness

Partial correctness + Stp property (termination property)

for (int i=1; i<n; i++)

 print i

If n=5 & we need to print 1 to 5 but we get
so, it's partial correctness

If we use $i \leq n$ then it's total correctness

Proof by

- ① Counter example (indirect proof)
- ② Induction (direct proof)
- ③ Loop invariant.

Ans

counter example

- ① Prove or disprove $[x+y] = [x] + [y]$
- ② Prove or disprove $\forall x \forall y (xy \geq x)$

Ans ① $x = 1/2, y = 1/2$

$$[x+y] = [0.5 + 0.5] = [1] = 1$$

R.H.S

$$[x] + [y] = [0-5] + [05] = 1+1 = 2$$

L.H.S \neq R.H.S — This is disprove

2

$$\text{let } x = -1$$

$$y = 2$$

$$\text{L.H.S} = xy = -2 \neq -1$$

$\rightarrow xy \neq x$ (disprove)

Proof by induction

$$\text{① } \sum_{i=1}^n i = \frac{n(n+1)}{2} \Rightarrow 1+2+\dots+n = \frac{n(n+1)}{2}$$

Ans

Step 1: (Small i/p value)

$$n=1 : \frac{1(1+1)}{2} = \frac{2}{2} = 1$$

$\therefore n=1$ is true or $P(1)$ is true

Soln

Let us consider

$$P(n) : 1+2+3+\dots+n = \frac{n(n+1)}{2}$$

Step-1

For $n=1$

$$\text{L.H.S} = 1$$

$$\text{R.H.S} = \frac{1(1+1)}{2} = 1$$

So L.H.S = R.H.S Hence $P(n)$ is true for $n=1$

Step-2

Let us consider $P(n)$ be true for $n = k$

$$\Rightarrow 1+2+\dots+k = \frac{k(k+1)}{2}$$

Step:

$$\text{let } n = k+1$$

We need to prove $P(n)$ is true for $n = k+1$

$$\Rightarrow 1+2+3+\dots+k+(k+1) = \frac{(k+1)(k+2)}{2}$$

R.H.S

$$1+2+\dots+k+k+1$$

$$= 1+2+\dots+[(k+1)-1] + (k+1)$$

$$= (1+2+\dots+k) + (k+1)$$

$$= \frac{k(k+1)}{2} + (k+1)$$

$$= \frac{k(k+1) + 2k + 2}{2}$$

$$= \frac{k^2 + 3k + 2}{2}$$

$$= \frac{k^2 + k(2+1) + 2}{2} = \frac{k^2 + 2k + k + 2}{2}$$

$$= \frac{k(k+2) + 1(k+2)}{2} = \frac{(k+1)(k+2)}{2} = \text{R.H.S}$$

quiz - 1

Prove (n^2+n) is even for all integer value using Induction

Ans

Let $P(n)$ is n^2+n is even for all integer i.e. n^2+n is divisible by 2.

Step - 1

For $n=1$

$$n^2+n = 1+1=2 \text{ is divisible by 2}$$

$\Rightarrow P(1)$ is true

Step - 2

Let $P(n)$ is true for $n=k$

k^2+k is divisible by 2

$$\Rightarrow k^2+k = 2c \quad (c = \text{any const.})$$

Step - 3

We need to prove $P(k+1)$ is true

i.e. $(k+1)^2 + (k+1)$ is divisible by 2

$$= (k+1)^2 + (k+1) = 2c \quad \leftarrow \text{assumption}$$

$$= (k+1)^2 + k + 1$$

$$= k^2 + 2k + 1 + k + 1$$

$$= k^2 + k + 2k + 2$$

$$= (k^2 + k) + 2k + 2$$

$\therefore k^2 + k$ is divisible by 2

and $2k, 2$ also divisible by 2

so, $P(k+1)$ is true.

goln

Step-4

$$n = k+1$$

$$(k+1)^2 + k+1$$

$$\Rightarrow k^2 + 2k + 1 + k + 1$$

$$= k^2 + k + 2k + 2$$

$$= 2k + 2k + 2$$

$$= 2((k+1) \text{ mod } 2)$$

which is divisible by 2

loop invariant

> It holds 3 steps:

① initializing \rightarrow Before starting loop

② maintenance \rightarrow within the body of loop

③ termination \rightarrow Based on condition

quiz-2

using principle of mathematical induction, Prove that

$$n < 2^n \quad (\forall n \in \mathbb{N})$$

Ans -> Let $P(n)$ be the statement that $n < 2^n \quad (\forall n \in \mathbb{N})$

Step-1

For $n=1$

$$1 < 2^1$$

$\rightarrow P(1)$ is true.

Step-2

Let $P(n)$ is true for $n=k$

$$k < 2^k, \quad (\forall k \in \mathbb{N})$$

Step-3

We need to prove $P(k+1)$ is true

$$\text{i.e } k+1 < 2^{k+1}$$

$$\Rightarrow k+1 < 2^k \cdot 2$$

\therefore This is true because we assume that

$$k < 2^k, \quad \text{so } k+1 < 2^{k+1}$$

So, $P(k+1)$ is true

Hence proved that $n < 2^n \quad (\forall n \in \mathbb{N})$

SOL

Step-1

For $n=1$

$$\Rightarrow 1 < 2^1 \Rightarrow 1 < 2 \text{ (True)}$$

Step-2

$n=k$

$$k < 2^k \text{ (Assume this as true)}$$

Step-3-

$$n = k+1$$

$$(k+1) < 2$$

From Step-2

$$k < 2^k$$

$$\Rightarrow 2k < 2^k \cdot 2$$

$$\Rightarrow 2k < 2^{k+1} \quad (k+1 \leq 2k)$$

$$\Rightarrow (k+1) \leq 2k < 2^{k+1}$$

$$\Rightarrow (k+1) < 2^{k+1} \text{ (True)}$$

Hence we proved that

$$n < 2^n, \forall n \in \mathbb{N}, n \geq 1$$

Proof by loop invariant

Ex - Linear search

Linear search (A, v)

1. for $j=1$ to $A.length$,

2. if $A[j] \geq v$,

3. return j

4. return NIL

Loop invariant \rightarrow At the start or each iteration or the for loop on line 1, the subarray $A[1:j-1]$ doesn't contain the value v .

Initialization - prior to the first iteration, the array $A[1:j-1]$ is empty.

($j=1$). That (empty) subarray doesn't contain the value v .

Maintenance:

Line 2 checks whether $A[j]$ is the desired value (v). If it is, the algorithm will return j , thereby terminating and producing the correct behaviour (the index of value v is returned if v is permanent) or if $A[j] \neq v$ then the loop variant holds at the end of the loop (the subarray $A[1:j]$ doesn't contain the value v).

Termination

The for loop on line 2 terminates when $j > n$.
length (that is n) , because each iteration of a for loop increments j by 1, then $j=n+1$. The loop invariant states that the value is not present in the Subarray of $A[1:j-1]$ substituting $[n+1:j]$ for j ; we have $A[1:n]$. Therefore the value is not present in the original array A & the algorithm returns NIL.

H.W

Sum of n numbers

Input :- a non-negative Integer n .

Output :- the sum $1+2+3+\dots+n$

Sum $\rightarrow 0$

$i \leftarrow 1$

while $i \leq n$

Invariant. Sum = $1+2+\dots+(i-1)$

Sum \leftarrow Sum + i

$i \leftarrow i+1$

return sum

Initialization - The loop invariant holds initially.

Since $\text{sum} = 0$ & $i = 1$, at this point (the sum is zero).

Maintenance - Assuming the invariant holds before the i th iteration, it will be true also after this iteration since the loop adds i to the sum, & increments i by one.

Termination - When the loop is just about to terminate the invariant states that $\text{sum} = 1 + 2 + \dots + n$, just what's needed for the algorithm to be correct.

Example : Insertion SortInsertion-Sort (A)1. For $j = 2$ to $A.length$ 2. $key = A[j]$ 3. Let $i = j - 1$
 // Insert $A[j]$ into the sorted sequence $A[1 \dots i]$.4. $i = j - 1$ 5. While $i > 0$ and $A[i] > key$ 6. $A[i+1] = A[i]$ 7. $i = i - 1$ 8. $A[i+1] = key$

At the start of each iteration of the for loop of lines

1-8, the subarray $A[1 \dots j-1]$ consists of the elements originally in $A[1 \dots j-1]$, but in sorted order.

Initialization: we start by showing the loop invariant holds before the first loop iteration, when $j = 2$.
 The subarray $A[1 \dots j-1]$, therefore, consists of just the single element $A[1]$. Which is the part the original element in $A[1]$. Moreover, the subarray is sorted (trivially, of course), which shows that the loop invariant holds prior to the first iteration of the loop,

Maintenance: Next, we tackle the second priority: showing that each iteration maintains the loop invariant. Informally, the body of the 'For' loop works by moving $A[j-1]$, $A[j-2]$, $A[j-3]$, and so one by one position to the right until it finds the proper position for $A[j]$ (line 4), at which point it inserts the value of $A[j]$ (line 8). The subarray $A[1 \dots j]$ then consists of the elements originally in $A[1 \dots j]$, but in sorted order. Incrementing j for the next iteration of the For loop then preserves the loop invariant.

Termination: Finally, we examine what happens when the loop terminates. The condition causing for loop to terminate is that $j > A.length = n$. Because each loop iteration increases j by 1, we must have $j = n+1$ at that time. Substituting $n+1$ for j in the wording of loop invariant, we have that the subarray $A[1 \dots n]$ consists of the elements originally in $A[1 \dots n]$, but in sorted order. Observing that the subarray $A[1 \dots n]$ is the entire array, we concluded that the entire array is sorted. Hence the algorithm is correct.

Example : Bubble Sort

BubbleSort (A)

1. For $i = 1$ to $A.length - 1$

2. For $j = A.length$ to $i + 1$

3. If $A[j] < A[j - 1]$

4. Swap ($A[j]$, $A[j - 1]$)

Invariant: At the start of each iteration of the For loop on line 1, the Subarray $A[1:i-1]$ is sorted.

Initialization: Prior to the first iteration, the array $A[1:i-1]$ is empty ($i=1$), that is (empty) Subarray is sorted by definition of Subarray.

Maintenance: Given the guarantee of the inner loop at the end of each iteration of the For loop at line 1, the value at $A[i]$ is the smallest value in the range $A[i:A.length]$. Since the values in $A[i:i]$ were sorted and were less than the value in all the values in the range $A[1:i]$, are sorted.

Termination: The for loop at line 1 ends when i equals $A.length$.
- i. Based on the maintenance proof, this means that all values in $A[1:A.length - 1]$ are sorted and less than the value at $A[length]$. So, by definition, the values in $A[1:A.length]$ are sorted.

Invariant: At the start of each iteration of the for loop on line 2, the value at location $A[j]$ is the smallest value in the subrange from $A[j:A.length]$.

Initialization: Prior to the first iteration, $j = A.length$. The subarray $A[j:A.length]$ contains a single value ($A[j]$) and the value at $A[j]$ is (trivially) the smallest value in the range from $A[j:A.length]$.

Maintenance: The if statement on line 3 compares the elements at $A[j]$ and $A[j-1]$, swapping $A[j]$ into $A[j-1]$ if it is the lower value and leaving them in place. If not, given the initial condition that the value in $A[j]$ was the smallest value in the range $A[j:A.length]$, this means the value in $A[j-1]$ is

now the smallest value in the range $A[j-1:A.length]$. This also means that every value in the subarray $A[j:A.length]$ is greater than the value at $A[j-1]$.

Termination 2: The for loop on line 2 terminates when $i \geq n+1$ and given the maintenance property, this means that the values at $A[i]$ (which is $A[i-1]$) will be the smallest value in the range $[A[1 : A.\text{range}] \cap A[1 : A.\text{range}]]$

Example : SQUARE Function : $\text{sq}(n)$

1. $S \leftarrow 0$

2. $i \leftarrow 0$

3. while $i < n$

4. $S \leftarrow S + i^2$

5. $i \leftarrow i + 1$

6. returns S

To prove: $S_i = n \times n$ for any $i = n$.

Basic Step: For $n=1$, $S = 0+1=1$

Induction Hypothesis: For an arbitrary value K , $S_K = K \times n$ and $i = K$ hold after going through the loop K times

Inductive Step: When the loop is entered $(K+1)$ th time

$S = K \times n$ and $i = K$ at the beginning OR the loop.

Inside the loop; $S_{K+1} \leftarrow K \times n + n$ and $i \leftarrow K + 1$

producing $S_{K+1} = (K+1) \times n$ and $i = K+1$. Thus

$S = K \times n$ and $i = K$ hold for any natural no. K .

Example : Factorial Function: FACT(n)

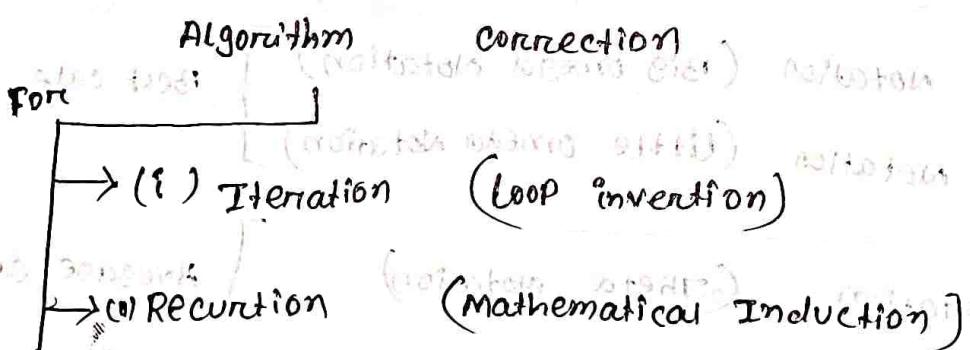
prove that algorithm $\text{Fac}(n)$ returns $n!$ for all non-negative integers $n \geq 0$. procedure $\text{Fac}(n)$: nonnegative integer
 $n \geq 0$ if ($n=0$) then return 1
 else return $n * \text{Fac}(n-1)$.

Basic Step: For $n=0$

The proof is trivial. $\text{Factorial}(0) = 1$.

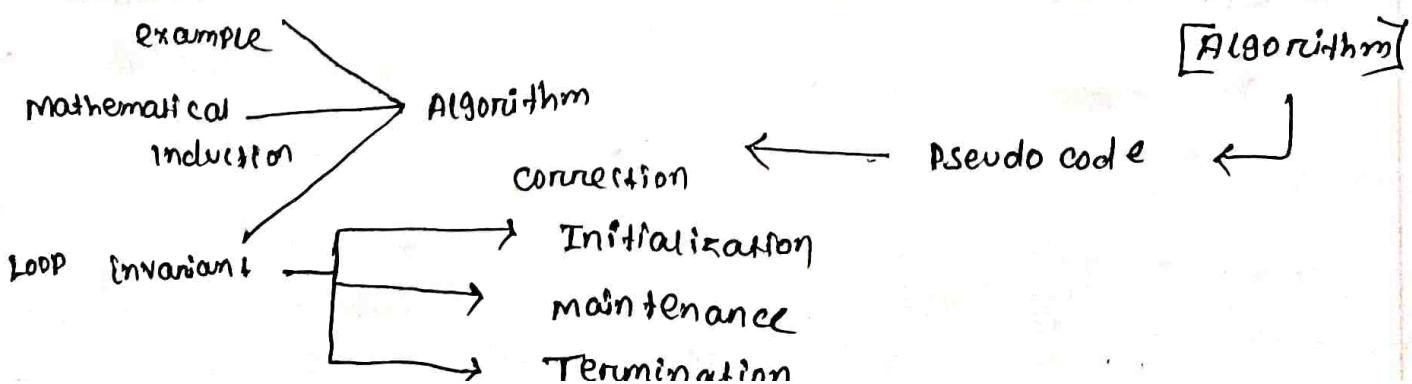
Inductive Hypothesis: $\text{Fac}(n)$ produces $k!$ for any $0 \leq k < n$
 Inductive Steps: For $n = k+1$
 $\text{Fac}(n)$ returns $(k+1) * \text{Fac}(k) = k+1 * k! = (k+1)!$

→



Till now: [We have finished]

Problem → Solution Steps must include '6' Features
 Counter



TIME AND SPACE COMPLEXITY

> Time Complexity

It is the time taken by the algorithm to execute each set of instructions. It is always better to select the most efficient algorithm when a simple problem can solve with different methods.

> Space Complexity

It is usually referred to as the amount of memory consumed by the algorithm. It is composed of two different spaces; Auxiliary space & Input space.

> ASYMPTOTIC NOTATION

- 1) Ω notation (Big Omega notation)
- 2) ω notation (Little omega notation)
- 3) Θ notation (Theta notation)
- 4) \mathcal{O} notation (Little Oh notation)
- 5) \mathcal{O} notation (Big Oh Notation)

(M)

Low

Best case

Average case

Worst case

High

(M)

Ω Notation (Big Omega Notation)

Lower Bound (At least)

The Ω notation denotes the lower bound of an algorithm i.e., the time taken by the algorithm can't be lower than this.

In other words, this is the fastest time in which the algorithm will return a result. It's the time taken by the algorithm when provided with the best-case input. So, if a function

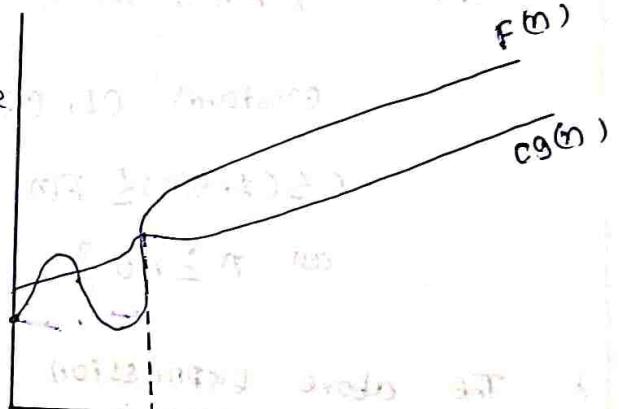
is $g(n)$, then the omega representation is shown as

$\Omega(g(n))$ and the relation is shown as:

$$\Omega(g(n)) = \{ F(n) : \text{there exist positive}$$

constants c and n_0 such that

$$0 \leq c \cdot g(n) \leq F(n) \text{ for all } n \geq n_0 \}$$



The above expression can be read

$$F(n) = \Omega(g(n))$$

as omega of $g(n)$ is defined as set of all the functions

$F(n)$ for which there exist some constant c and n_0 such

that $c \cdot g(n)$ is less than or equal to $F(n)$, for all n

greater than or equal to n_0

Θ NOTATION (Theta Notation)

(Exact time)

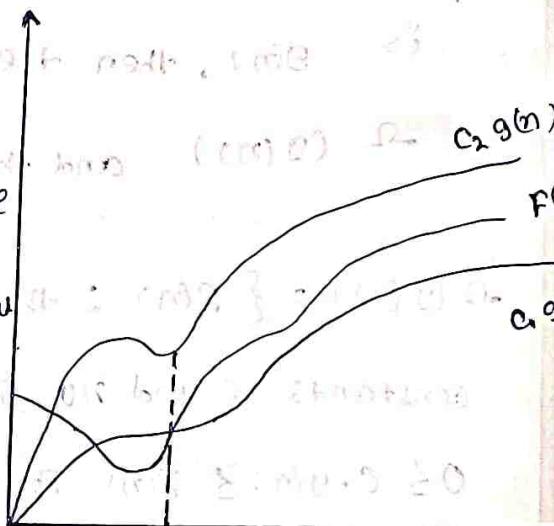
- The Θ Notation is used to find the average bound of an algorithm i.e., it defines an upper bound and a lower bound, and your algorithm will lie in between these levels, so, if the function is $\Theta(n)$, then the theta representation is shown as $\Theta(g(n))$ and the relation is shown as:

$$\Theta(g(n)) = \{f(n) : \text{there exist positive constants } c_1, c_2 \in \mathbb{R} \text{ such that } 0 \leq c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n) \text{ for all } n \geq n_0\}$$

The above expression can be read as theta of $g(n)$ is defined as set

$$f(n) = \Theta(g(n))$$

or all the functions $F(n)$ for which there exists some positive constants c_1, c_2 , and n_0 such that $c_1 \cdot g(n)$ is less than or equal to $F(n)$ and $F(n)$ is less than or equal to $c_2 \cdot g(n)$ for all n that is greater than or equal to n_0 .



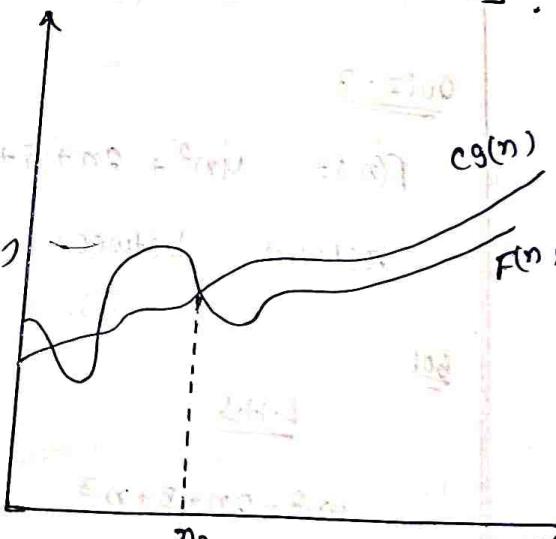
O NOTATIONS (Big O Notation)

[Upper Bound (At most)]

- The Big O notation defines the upper bound of any algorithm i.e., your algorithm can't take more time than this time. In other words, we can say that the Big O notation denotes the maximum time taken by an algorithm on the worst-case time complexity of an algorithm. So, big O notation is the most used notation for the time complexity of an algorithm. So, if a function is $g(n)$, then the big O representation of $g(n)$ is shown as $O(g(n))$ and the relation is shown as:

$O(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq c \cdot g(n) \text{ for all } n \geq n_0\}$

$$f(n) = O(g(n))$$



➤ The above expression can be read as Big O of $g(n)$ is defined as a set of functions $f(n)$, for which there exist some constants c and n_0 such that $f(n)$ is greater than or equal to 0 and $f(n)$ is smaller than or equal to $c \cdot g(n)$ for all n greater than or equal to n_0 .

Ex: Find out which one is greater ~~for both~~ for both

$$\rightarrow f(n) = 2n + 3 \quad g(n) = 3n ?$$

Sol: change it to Big ~~O~~ notation

$$2n+3 \leq 3n$$

$\rightarrow f(n) \leq c \cdot g(n)$

$$\rightarrow 2n+3 \leq 3n$$

$\Rightarrow f(n) \leq g(n)$

$\Rightarrow f(n) = O(n)$

Quiz 3

$f(n) = 4n^2 + 2n + 5 + n^3$ and $g(n) = 6n^2 + n^3$. Find the proper relation between $f(n)$ with $g(n)$ in terms of complexity.

Sol:

L.H.S

$$4n^2 + 2n + 5 + n^3$$

Constitutes

$$6n^2 + n^3$$

$$\rightarrow f(n) \leq C \cdot g(n)$$

$$\rightarrow n^3 + 4(n^2) + 2(n) + 5 \leq n^3 \cdot g(n^2)$$

$$\rightarrow f(n) = O(g(n^3))$$

$$\rightarrow f(n) = O(n^3)$$

SPACE COMPLEXITY

Definition

→ Space complexity of an algorithm is the total space taken by the algorithm w.r.t. the input size.

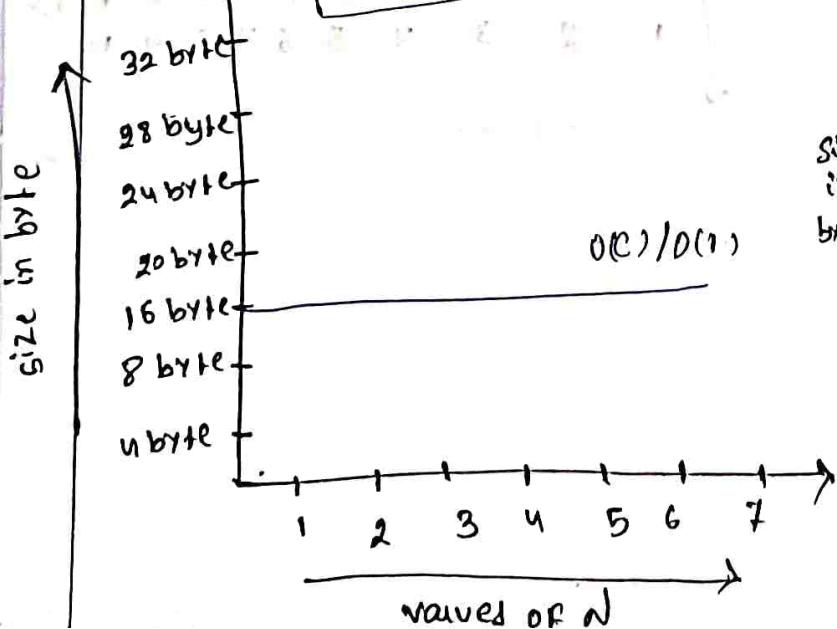
Space complexity = Space used by variable(s) + Auxiliary space
 (size of data types used) (Extra space / temporary space)

Algorithm m-1 // sum of two variables
 Function add (n_1, n_2)
 n_1, n_2

```
{
    Sum =  $n_1 + n_2$ ;
    return Sum;
}
```

n_1 : 4 bytes
 n_2 : 4 bytes
 $\text{Sum} = 4 \text{ bytes}$
 $\text{AUX} = 4 \text{ bytes}$
 $\text{TOTAL} = 16 \text{ bytes}$

$$Sc = O(1) / O(1)$$



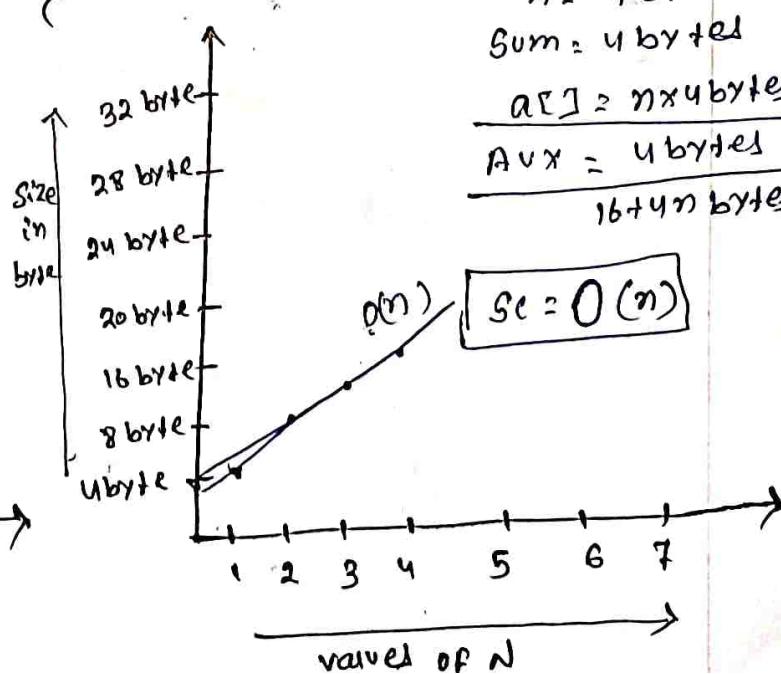
Algorithm m-2 // sum of elements of an array

```
{
    int sum = 0;
    for (i = 0; i < n; i++)
}
```

$$\text{sum} = a[i] + \text{sum};$$

```
{
    return sum;
}
```

$i = 4 \text{ bytes}$
 $n = 4 \text{ bytes}$
 $\text{Sum} = 4 \text{ bytes}$
 $a[i] = n \times 4 \text{ bytes}$
 $\text{AUX} = 4 \text{ bytes}$
 $\text{TOTAL} = 16 + 4n \text{ bytes}$



Algorithm - 3 - Factorial of a number (iterative)

```

int fact = 1;
for (int i = 1; i <= n; ++i)
{
    fact *= i;
}
return fact;

```

fact = 4b

i = 4b

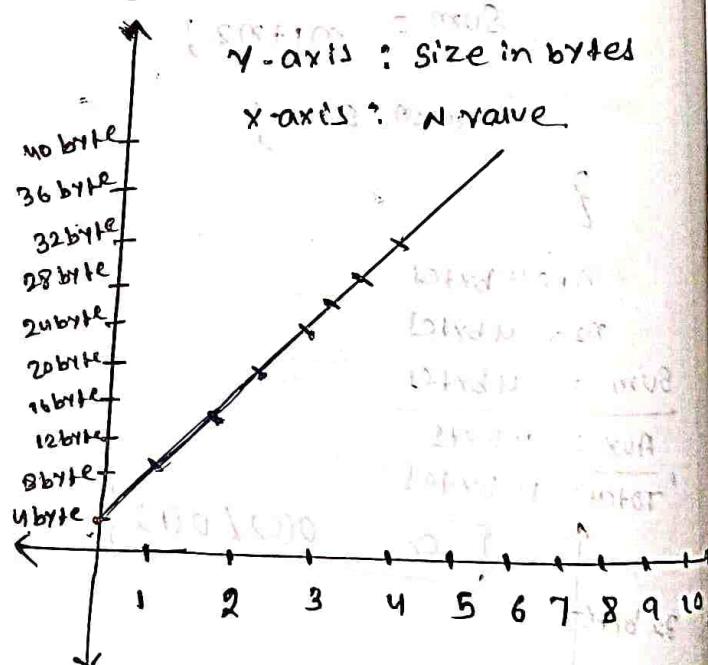
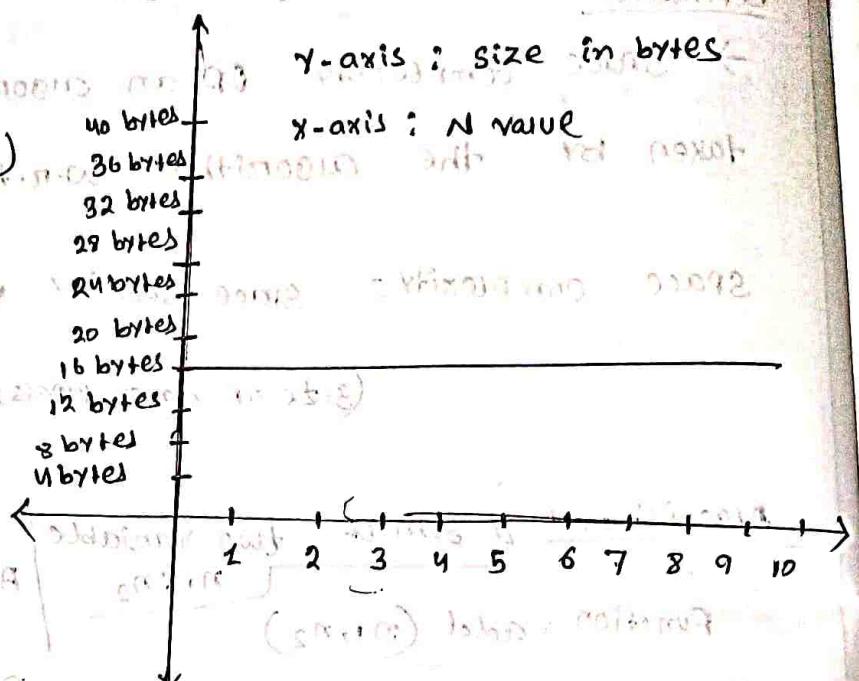
n = 4b

AuxC = 4b \rightarrow Total = 16bAlgorithm - 4 - Factorial of a number (recursive)

```

if (n >= 2)
{
    return 1;
}
else
{
    return (n * factorial 2(n-1));
}

```



Algorithm-3

Variables -

fact - 4 bytes

$i = 4$ bytes

$n = 4$ bytes

$$2 \times 4 = 8$$

Auxiliary space - 4 bytes

Space

complexity - 16 bytes

$O(1)$

Algorithm-4

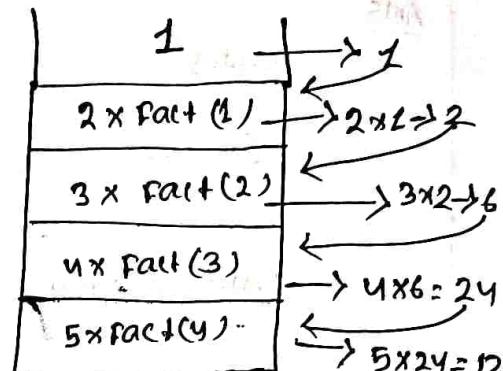
Fact (1)

Fact (2)

Fact (3)

Fact (4)

Fact (5)



$n = 4$ bytes

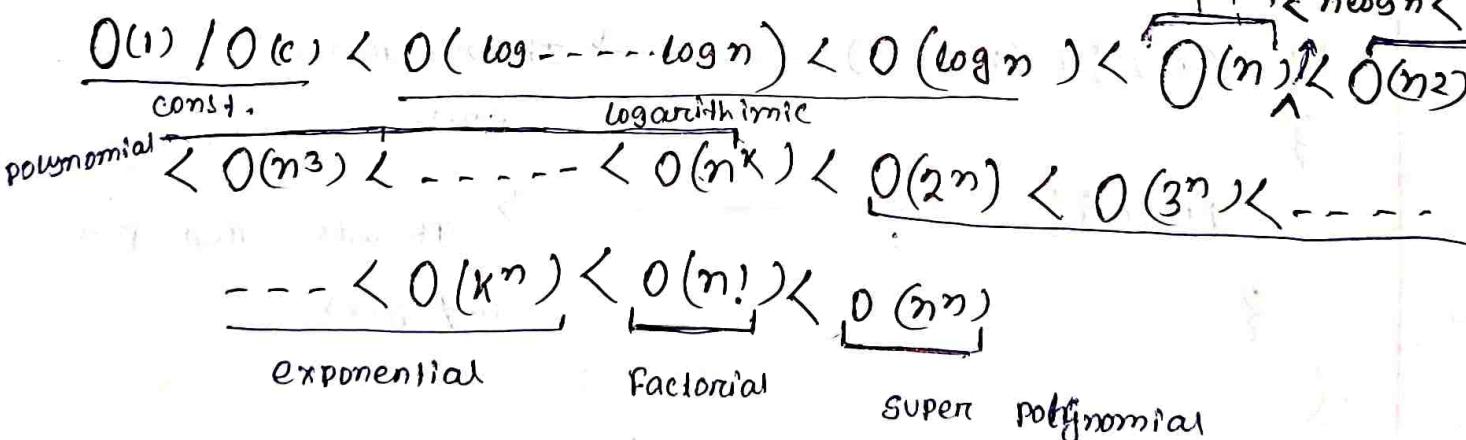
Aux = $n \times u$ bytes

Total = $n + u$ bytes

SPACE complexity =

$O(n)$

Time complexity



Quiz-4

$F(n) = 3n^2 + 4n$ and $g(n) = n^2 \log n + n \log n + \log n$. Find the proper relationship between $F(n)$ & $g(n)$, in terms of complexity.

ANS

$$\begin{array}{c} \text{L.H.S} \\ \hline \text{L.H.S.} \\ 3n^2 + 4n \\ \hline \end{array} \quad \begin{array}{c} \text{R.H.S} \\ \hline \text{R.H.S.} \\ n^2 \log n + n \log n + \log n \\ \hline \end{array}$$

$\text{L.H.S.} \geq \text{R.H.S.}$

$\Rightarrow F(n) \geq g(n)$ $\Rightarrow C \cdot F(n) \geq C \cdot g(n)$ $\Rightarrow F(n) \geq C \cdot g(n^2)$ $\Rightarrow F(n) = O(g(n^2))$
--

$$n^2 \log n + n \log n + \log n$$

R.H.S

$$\begin{aligned} &\Rightarrow F(n) \leq g(n) \\ &\Rightarrow F(n) \leq C \cdot g(n) \\ &\Rightarrow F(n) = O(g(n^2 \log n)) \\ &\Rightarrow F(n) = O(n^2 \log n) \end{aligned}$$

TIME COMPLEXITY

For $\{ i=1 ; i \leq n ; i++ \}$ → It will run for $1, 2, 3, \dots, n-1, n$ times
 {
 print i;
 } → It will run for $n-1$ times

For $\{ i=1 ; i \leq n ; i++ \}$ → $n+1$

{
 print i; → $n+1$
 }

? Time complexity → $2n+1$.

Ex-2

for ($i=1$; $i \leq n$; $i = i+2$)

$$\frac{n}{2} + 1$$

{

 print i;

$$\frac{n}{2}$$

}

Time complexity: $n+1$

$$O(n)$$

for ($j=1$; $j \leq n$; $j=j+400$)

$$\frac{n}{400} + 1$$

{

 print j;

$$\frac{n}{400}$$

}

Time complexity =

$$n+1$$

Total time complexity = $n+1 + n+1 (1+2)$

$$= 2n+2$$

$$O(n)$$

Ex-3

for ($i=1$; $i \leq n$; $i = i+2$)

$$\frac{n}{2} + 1$$

{

 for ($j=1$; $j \leq n$; $j=j+2$)

$$\frac{n}{2} (\frac{n}{2} + 1) =$$

{

 print j;

$$\frac{n^2}{4}$$

}

Time complexity = $(\frac{n}{2} + 1) + (\frac{n^2}{4} + \frac{n}{2}) + (\frac{n^2}{4})$

$$= O(n^2)$$

EY-5

for ($i=1$; $i \leq n$; $i = i + 1$) \rightarrow ~~do-while~~ \downarrow

{
FOR ($x = 1$; $x \leq n$; $x = x + 1$) $\rightarrow L(n^n)$

print(x); ~~done~~ → n^n

Time complexity = $O(n^3)$

$$TC = 2m^n + L$$

$$\left(\frac{1}{4}x^2 + \frac{1}{4}y^2 \right) \sin\left(x + \frac{1}{2}y\right) = \text{constant}$$

6

For ($i=1$; $i \leq n$; $i = i + 4$) \longrightarrow

{

print i ; \longrightarrow

?

$\boxed{\text{for loop iteration unit}}$

7

For ($j=n$; $j \geq 1$; $j=j/4$)

{

print j ;

?

$$\frac{j}{n} \rightarrow \frac{n}{4^0}$$

$$\frac{n}{4^1} \rightarrow \frac{n}{4^1}$$

$$\frac{n}{4^2} \rightarrow \frac{n}{4^2}$$

$$\frac{n}{4^3} \rightarrow \frac{n}{4^3}$$

$n/4^k$

ANS - 6ANS - 7

Assume $i > n$

$$\Rightarrow 4^k > n$$

$$\Rightarrow \log_4 k > \log n$$

$$\Rightarrow k \log 4 > \log n$$

$$\Rightarrow k > \log_4 n$$

Assume $j \leq 1$

$$\Rightarrow \frac{n}{4^k} \leq 1 \Rightarrow k \geq \log_4 n$$

$$\Rightarrow k = \log_4 n$$

Time comp. = $O(\log_4 n)$

Time comp. = $O(\log_4 n)$

Note: $a^k = 1$

$$\Rightarrow k = \log_a 1$$

8)

```
For( i=1 ; i<=n ; i++ )
```

{

```
    print i;
```

}

Assume - $i \geq n$

$$\Rightarrow i^2 = n$$

Time complexity = $O(\sqrt{n})$

$$\Rightarrow i = \sqrt{n}$$

9)

```
For( j=1 ; j<=n ; j+=1 )
```

{

```
    For( i=0 ; i<=j ; i++ )
```

}

```
    print i;
```

$$n^2$$

$$n + n^2 + 1 + n^2$$

$$= 2n^2 + n + 2$$

Time complexity = $O(n^2)$

10)

```
For( j=1 ; j<=n ; j=j+2 )
```

{

```
    print x;
```

$$\log_2 n$$

}

```
For( i=1 ; i<=k ; i=i+2 )
```

{

```
    print i;
```

$$\log_2 k$$

}

T.C = $O(\log(\log n))$

• RECURRENCE RELATION •

• CH-3.1 •

→ A recurrence is an equality and inequality that describes a function in terms of its values on smaller inputs. To solve a recurrence relation means to obtain a function defined on the natural number that satisfy the recurrence.

There are three methods used to solve the recurrence relation.

- i) Substitution Method
- ii) Recursion tree method
- iii) Master Method.

Q

```
int print (n) _____ T(n)
{
    if (n > 0) _____ 2
    {
        print i; _____ 1
        print (n-1); _____ T(n-1)
    }
}
```

$$T(n) = T(n-1) + 2$$

→ Recurrence Relation

$$T(n) = \begin{cases} 1 & \text{if } n \leq 0 \\ T(n-1) + 2 & \text{if } n > 0 \end{cases}$$

Using Substitution method

$$T(n) = T(n-1) + 1$$

$$T(n-1) = T(n-1) + 1$$

$$\therefore T(n-1) = T(n-1) - 1 + 1$$

$$\therefore T(n-1) = T(n-2) + 1$$

$$\therefore T(n-2) = T((n-2)-1) + 1$$

$$= T(n-3) + 1$$

$$T(n) = T(n-1) + 1$$



$$\therefore T((n-2)+1) + 1$$



$$T(n-2) + 2$$



$$T((n-3)+1) + 2$$



$$T(n-3) + 3$$



$$K \text{ times } T(n) = T(n-K) + K$$

Assume $n \leq 0 \Rightarrow [n=0 / n \geq 1] \Rightarrow T(0) = 1$

$$T(n) \leq T(0)$$

$$T(n-k) \leq T(0) \Rightarrow n-k \leq 0 \Rightarrow \boxed{n=k \text{ / } k=n}$$

Put the value of k as n

$$\begin{aligned} T(n) &= T(n-n) + \text{cost of } n \\ &= T(0)^0 + n \end{aligned}$$

$$\boxed{T(n) = n} \longrightarrow \text{Time complexity} = O(n)$$

RECURSION TREE METHOD

Solution Steps: (Division Function)

- 1) Find the cost included in the equation
- 2) Follow the order of function (i.e. $T(\frac{n}{2})$)
- 3) Left side mention the number of nodes created/generated
- 4) Right side, mention the cost of each node, at each level

5)

$$\boxed{\text{Total cost} = \text{cost of leaf nodes} + \text{cost of intermediate nodes}}$$

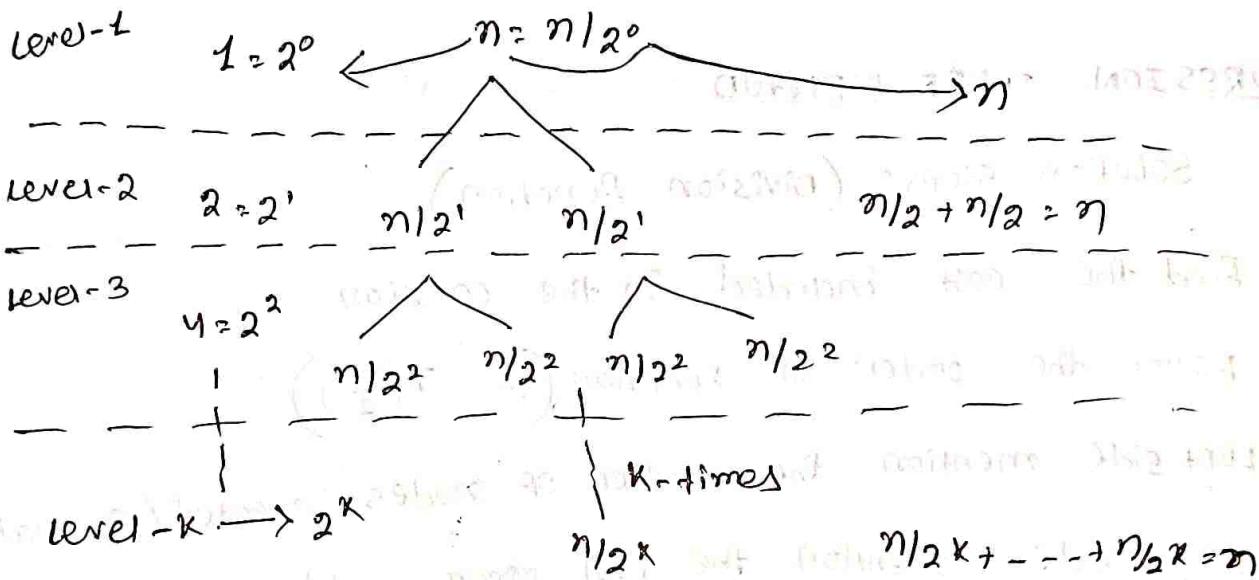
Time complexity

$$\left(\text{cost of each leaf node} \times \text{number of leaf nodes} \right) \times \left(\text{number of intermediate nodes at each level} \times \text{cost of each node at each level} \right)$$

$$T(n) = \begin{cases} 1 & \text{if } n \leq 1 \\ 2T(n/2) + n & \text{if } n > 1 \end{cases}$$

$$T(n) = 2T(n/2) + n \rightarrow \text{cost involved}$$

no. of nodes (at each level) (at each level)



$$\text{Total cost} = C_L + C_I \rightarrow \begin{array}{l} \xrightarrow{\text{cost}} \text{Intermediate node} \\ \xrightarrow{\text{leaf node}} \end{array}$$

Assume.

$$n \leq 1 \Rightarrow T(n) \leq T(1) \Rightarrow T(n/2^k) \leq T(1)$$

$$\Rightarrow n/2^k = L \Rightarrow n = 2^k$$

$$\Rightarrow k = \log_2 n$$

$$\therefore C_L \cdot 2^k = 2^{\log_2 n} \Rightarrow n^{\log_2 2} = n^1 = n$$

$$C_I = k \cdot n = \log_2 n + n = n \log n$$

NOTE :

$$a^{\log_2 b} = b^{\log_2 a}$$

Total cost =

$$C_L + C_I = n + n \log_2 n$$

Time

$$\text{complexity} = O(n \log_2 n) \quad \left\{ \begin{array}{l} n=8 \\ n \log_2 n \rightarrow 8 \log 8 \end{array} \right.$$

"> DIVISION FUNCTION $\Rightarrow 8 \times 3 = 24$

MASTER METHOD

$$T(n) = a T\left(\frac{n}{b}\right)^{k\left(\frac{n}{b}\right)} + \Theta(n^k \log^p n)$$

where, $a \geq 1$; $b \geq 1$; p is any real number

case 1 :- if $a > b^k$, then $T(n) = \Theta(n \log_b a)$

case 2 :- if $a = b^k$, then

a) if $p > -1$ then $T(n) = \Theta(n^{\log_b a} \log^{p+1} n)$

or $T(n) = \Theta(n^k \log^{p+1} n)$

b) If $P = -1$, then $T(n) = \Theta(\log \log_b^a \log \log n)$
or $T(n) = \Theta(n^k \log \log n)$

c) If $P < -1$, then $T(n) = \Theta(n \log_b^a)$

or $\Theta(n^k)$

case-3:

a) $P \geq 0$, then $T(n) = \Theta(n^k \log^P n)$

b) $P < 0$, then $T(n) = \Theta(n^k)$

Quiz-8

Date _____

Using master theorem solve the below

Recurrence relations:

$$a) T(n) = 4nT(n-4) + n^2$$

$$b) T(n) = 0.2T(n-1) + n$$

$$c) T(n) = T(n-5) + 5^5$$

Ans

$$a) T(n) = 4nT(n-4) + n^2$$

$$a=4, b=4, k=2, p=0$$

$$a > 1 \Rightarrow O(n^k a^{n/b})$$

$$O(n^2 @ 4n^{n/4})$$

$$b) T(n) = 0.2T(n-1) + n$$

$$a=0.2, b=1, k=1$$

$$a < 1 \Rightarrow O(n^k) = O(n)$$

$$c) T(n) = T(n-5) + 5^5$$

$$a=1, b=5, k=0,$$

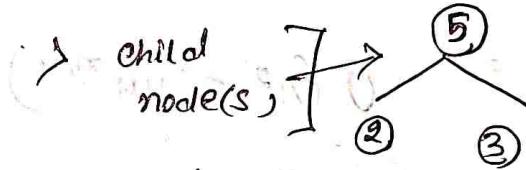
$$a=1 = O(n^{k+1})$$

$$, O(n^{0+}) = O(n^1) = O(n)$$

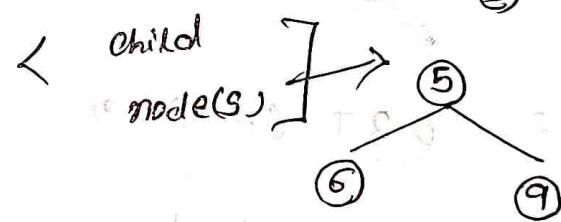
HEAP

- Heap tree is Almost complete Binary tree.
- Heap tree Follows the two property:
 - Structural property
 - Ordering property
- Building Heaptree , we have to follow two methods
 - Insert the elements one by one and swap if needed
 - Heapify Method
- There are two type of heap:

1. Max Heap [Parent node]



2. Min Heap [Parent node]



BUILD HEAP TREE

- using the first method
(Insert the elements one by one and swap if need)

Solution steps :

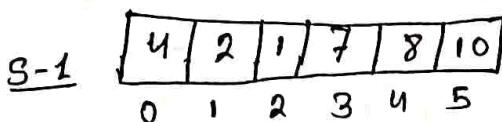
- Insert One Element at a time.
- Check the tree whether it follows any property if Min Heap/Max Heap . If not , then Swap.

Example

Using the Swap property, build the max heap.

Solⁿ

{4, 2, 1, 7, 8, 10}



S-2

4

S-2

2

S-3

4

2

1

S-4

4

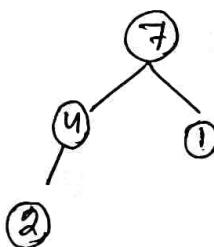
2

1

S-5 4, 1

2

S-4, 2



S-5

7

4

1

2

8

2

4

S-6

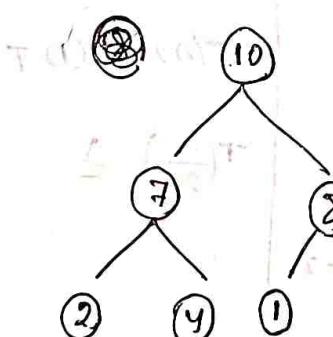
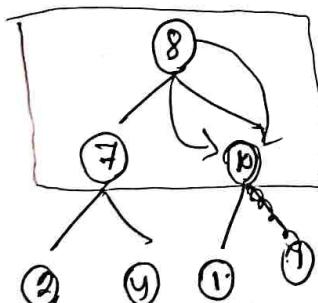
8

7

1

10

S-6, 1



Q-9

Derive the Recurrence relation of the given algorithm. Find the time complexity using substitution Method

void icon(n)

{
if ($n \geq 1$)

{
icon ($n/2$)

Ans

$$T(n) =$$

$$\begin{cases} 1 & n \leq 1 \\ T(n) = T(n/2) & n > 1 \end{cases}$$

$$T(n) = T\left(\frac{n}{2}\right) + 1$$

$$T(n) \geq (1)T$$

$$T = \left[T\left(\frac{n/2}{2}\right) + 1 \right] + 1$$

$$T\left(\frac{n}{2^k}\right) \geq$$

$$T = T\left(\frac{n}{2^k}\right) + k$$

$$\Rightarrow T\left(\frac{n}{2^3}\right) + 3$$

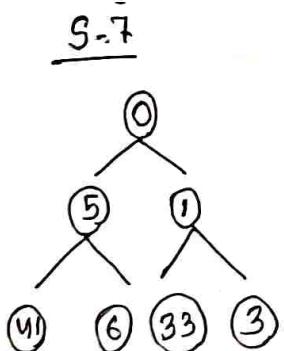
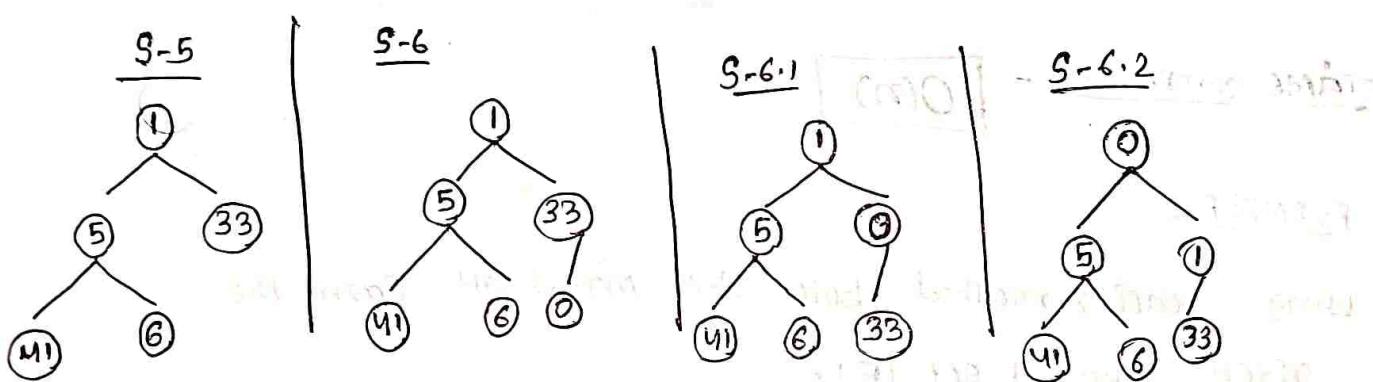
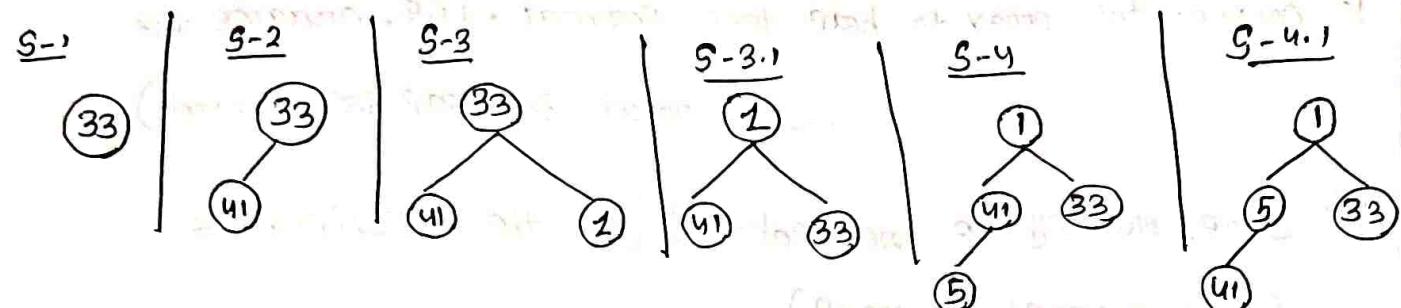
$$= T\left(\frac{n}{16}\right) + 4$$

$$T(n) = T\left(\frac{n}{2^k} + k\right)$$

Quiz - 10

Build min heap by considering the elements setting given below

$$E = \{33, 41, 11, 5, 6, 0, 3\}$$



0	5	1	41	6	33	3
---	---	---	----	---	----	---

BUILD HEAP TREE

2) Using heaping method:

Solution steps:

1) Convert the array to heap tree format. (i.e., arrange the array in heap tree format)

2) Swap/heapify if needed as per the requirement
(i.e. max heap/min heap)

Time complexity -

$O(n)$

EXAMPLE:

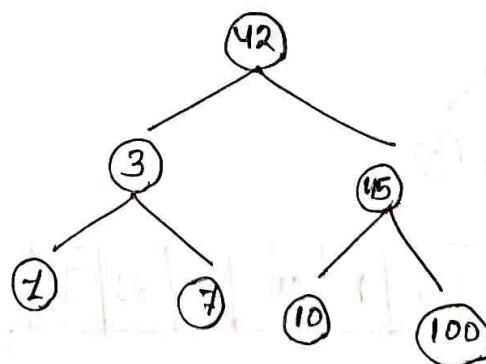
using heapify method build the max-heap from the given element set (E).

$$E = \{42, 3, 45, 1, 7, 10, 100\}$$

SOLUTION:

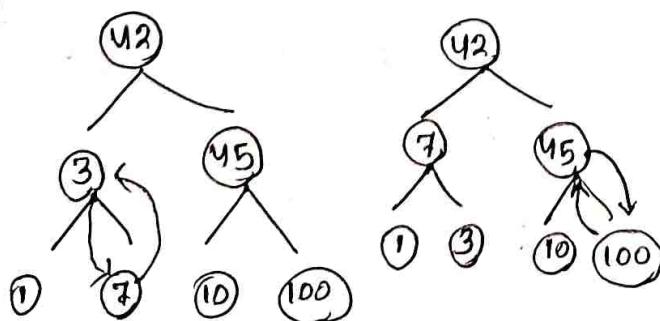
42	3	45	1	7	10	100
1	2	3	4	5	6	

\Rightarrow

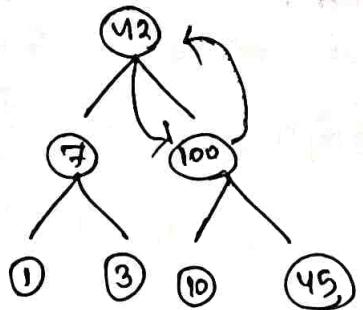


5-1

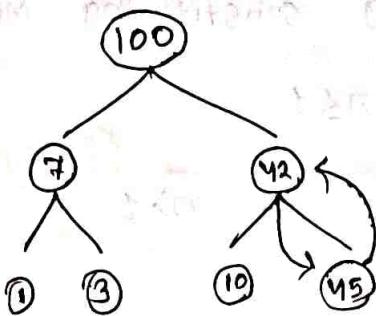
g-1.2



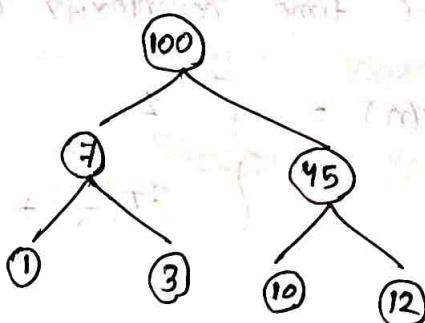
S-2



S-3



S-4



100	7	45	1	3	10	42
-----	---	----	---	---	----	----

DELETING OF ELEMENT IN HEAD TREE

Single Node:

Best Case $\rightarrow O(1)$ / $\Omega(1)$

(Last node delete)

Worst time complexity $\rightarrow \log_2 n$

n nodes WTC $\rightarrow n \log_2 n$

QUIZ-11

find the time complexity using substituting method.

$$T(n) = \begin{cases} 2 & \text{if } n \leq 1 \\ 3T\left(\frac{n}{3}\right) + \log_3 n & \text{if } n > 1 \end{cases}$$

Ans

$$T(n) = 3T\left(\frac{n}{3}\right) + \log_3 n$$

$$\therefore T\left(\frac{n}{3}\right) = 3T\left(\frac{n}{9}\right) + \log_3\left(\frac{n}{3}\right)$$

$$\therefore T\left(\frac{n}{9}\right) = 3T\left(\frac{n}{27}\right) + \log_3\left(\frac{n}{9}\right)$$

$$T(n) = 3T\left(\frac{n}{3}\right) + \log_3 n$$

$$= 9T\left(\frac{n}{9}\right) + 3\log_3\left(\frac{n}{3}\right) + \log_3 n$$

$$= 27T\left(\frac{n}{27}\right) + 9\log_3\left(\frac{n}{9}\right) + 3\log_3\left(\frac{n}{3}\right) + \log_3 n$$

\times times

$$T(n) = 3^k T\left(\frac{n}{3^k}\right) + 3^{k-1} \log_3 n + 3^k \log_3 n + \dots + \log_3 n$$

$$\text{Assume, } n \leq 1 \Rightarrow T(1) = 1 \Rightarrow T(n) \leq T(1), \Rightarrow \frac{n}{3^k} \leq 1$$

$$\Rightarrow n = 3^k \text{ or } n < 3^k$$

$$\Rightarrow k = \log_3 n$$

putting the value of k ,

$$T(n) = 3^k \log_3 n + 3^{k-1} \log_3 n + 3^{k-2} \log_3 n + \dots + \log_3 n$$

$$\Rightarrow T(n) = n + \frac{3^k \log_3 n}{3} + \frac{3^{k-1} \log_3 n}{3} + \dots + \frac{\log_3 n}{3}$$

$$\Rightarrow T(n) = n + \frac{n}{3} + \dots + \log_3 n$$

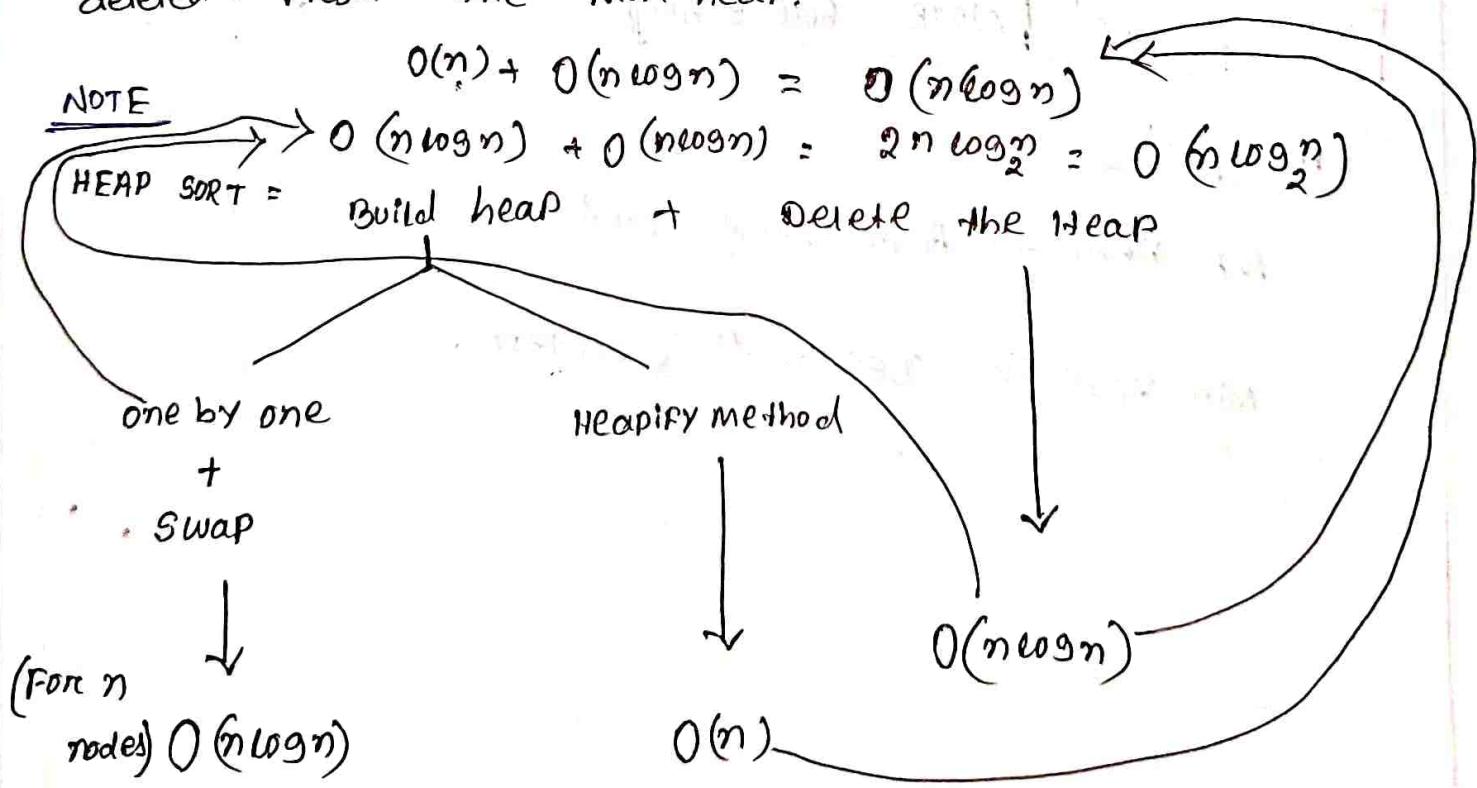
$$\therefore T(n) = O(n) \text{ (Ans)}$$

HEAP SORT

→ Heap Sort is a sorting-technique whose time complexity is less (i.e $O(n \log_2 n)$) as compared to insertion Sort & $O(n^2)$ bubble sort i.e., $(O(n^2))$

Solution Step: (Sorting is ascending orders using Heapify Method)

- 1) Convert the element set to array or Rearrange the heap tree from the array
- 2) Follow the heapify to build the minheap.
- 3) Delete the root element by directly swapping with last node of the min heap.
- 4) Store the deleted elements in an array from left to right manner
- 5) Repeat step (2), (3), & (4.) until all elements/nodes are deleted from the min heap.



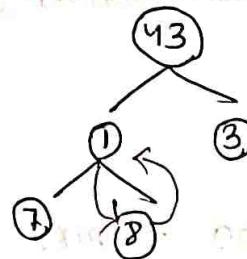
Ex:- using max heap :-

Page 73E

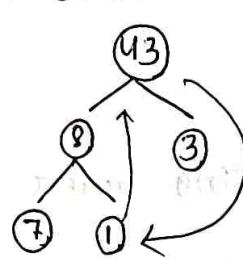
$$E = \{43, 1, 3, 7, 8\}$$

Soln:-

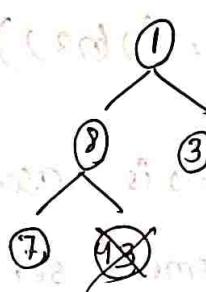
Step-1



Step-2

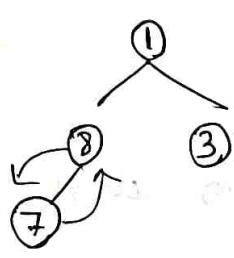


Step-3

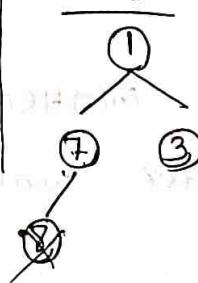


43			
----	--	--	--

Step-4



Step-5



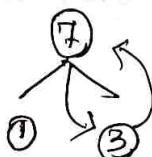
Step-6

43	8	7	3	1	X
----	---	---	---	---	---

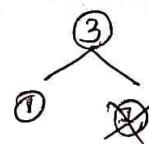
Step-7



Step-8



Step-9



Step-10



Step-11



By COR-MAN

NOTE FOR EXAM

Heap Sort

MAX HEAP \rightarrow Ascending Order

Min Heap \rightarrow Descending Order.

quiz-12

$$T(n) = \begin{cases} 1, & \text{if } n \leq 1 \\ 4T(n/4) + \log_4^n, & \text{if } n > 1 \end{cases}$$

SOLVE USING SUBSTITUTION METHOD . . .

SOL

$$T(n) = 4T(n/4) + \log_4^n$$

$$\therefore T(n/4) = 4T(n/16) + \log_4^{(n/16)}$$

$$\therefore T(n/16) = 4T(n/64) + \log_4^{n/64}$$

$$T(n) = 4T(n/4) + \log_4^n$$

$$= 16 + (n/16) + 4\log_4^{n/4} + \log_4^n$$

$$= 64 + (n/64) + 16\log_4^{n/16} + \cancel{4\log_4^{n/4}} + \log_4^n$$

|

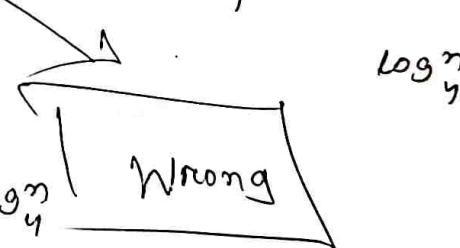
K times

$$T(n) =$$

$$4^K + (n/4^K) + 4^{K-1} \log_4^{n/4^{K-1}} + 4^{K-2} \log_4^{n/4^{K-2}} - \dots + \log_4^n$$

$$\text{Assume } n \leq 1 \Rightarrow T(1) = 1$$

$$\Rightarrow \frac{n}{4^K} \leq 1 \Rightarrow 4^K = n \Rightarrow K = \log_4^n$$



PUTTING THE VALUES OF K,

$$T(n) = 4^{\log_4^n} T(n/4) + 4^{\log_4^{n-1}} \log_4^{n/4^{\log_4^{n-1}}} + 4^{\log_4^{n-2}} \log_4^{n/4^{\log_4^{n-2}}} - \dots + \log_4^n$$

$$- - - + \log_4^n$$

$$= n + \frac{n}{4} + \frac{2n}{4^2} + - - - + \log_4^n$$

$$= n + n \left(\frac{1}{4} + \frac{2}{4^2} + \frac{3}{4^3} + - - - \frac{k}{4^k} \right)$$

$$\text{Let, } S = \frac{1}{n} + \frac{2}{n^2} + \frac{3}{n^3} + \dots + \frac{k}{n^k} \in \Theta(T)$$

$$\frac{S}{n} = \frac{1}{n^2} + \frac{2}{n^3} + \dots + \boxed{T(n) = O(n)}$$

Now calculate constant
and compare with $\Theta(n)$ or $\Omega(n)$

Now calculate constant
and compare with $\Theta(n)$ or $\Omega(n)$

$$T(n) = \Theta(n) \text{ or } \Omega(n)$$

Now calculate constant
and compare with $\Theta(n)$ or $\Omega(n)$

$$T(n) = \Theta(n) \text{ or } \Omega(n)$$

CH-4 CLASSIFICATION OF SORTING TECHNIQUE :

classified by

1) Number of Comparisons

2) Number of swaps

3) Memory Usage

In place : The auxiliary space needed From
From In place.

OUT OF place : The auxiliary space needed more
From In place.

4) Recursion

5) Stability : Order of element remain same after sorting

6) Adaptability : Same complexity in condition (Best, Avg & Worst)

7) Internal Sorting / External Sorting

Required Primary or internal
memory (RAM) during sorting.

Required Secondary Storage (SSD/HDD)
during sorting

Sorting Technique

Stable

BUBBLE SORT Yes

INSERTION SORT Yes

SELECTION SORT No

HEAP SORT No

Inplace

Yes

Yes

Yes

Yes

Adaptive

Yes

Yes

No

No

Graph is a non-linear data structure which include set, set of vertices (V) & set of edges (E)

Graph (G) - (V, E) , where V is set of vertices & E is set of edges.

K-regular graph

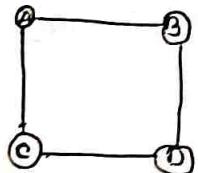
→ All vertices must have same degree, where k -degree

→ Maximum no. of edges,

$$E = \frac{V \times k}{2}$$

→ Finite or infinite

Ex:-



[2-regular graph]

→ Joint or disjoint graph

connected or not-connected

complete graph (K_n)

→ All vertices must have $(n-1)$ or $(n-1)$ degree, where,

n = no. of nodes

V = no. of vertices

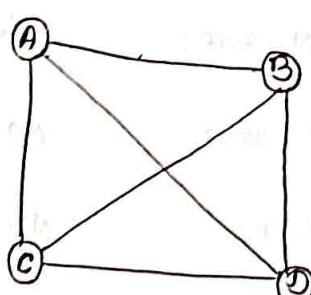
→ Maximum no. of edges

$$E = nC_2 = \frac{n(n-1)}{2}$$

$$E = V_{C_2} = \frac{V(V-1)}{2}$$

→ Finite graph.

Ex:-



[K_4 - complete graph]

→ Joint graph /

connected-graph

NOTE:

- 1) Every regular graph is complete graph c.
- 2) Every complete graph is regular graph

Graph representation :

There are two methods:

- 1) Adjacency List / Array
- 2) Adjacency matrix

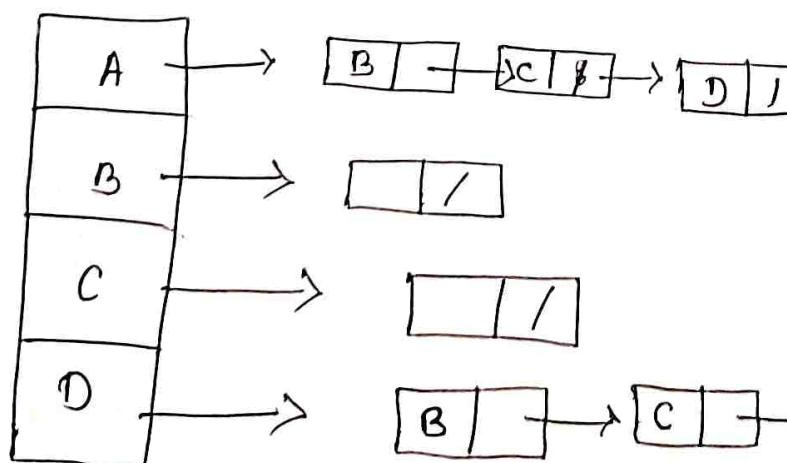
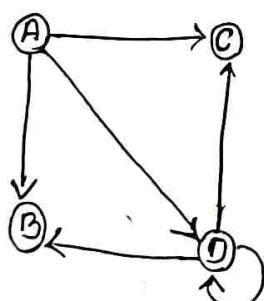
↳ 2D array $a[][]$

3D array $a[][][]$

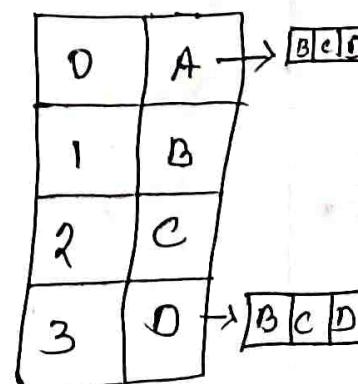
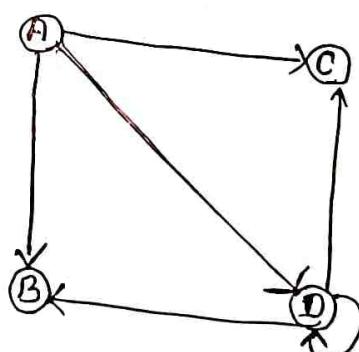
ND array $a[][] \dots n$

Adjacency List / Array

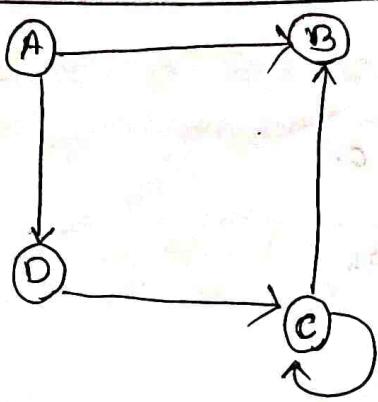
Direct graph (using linked list)



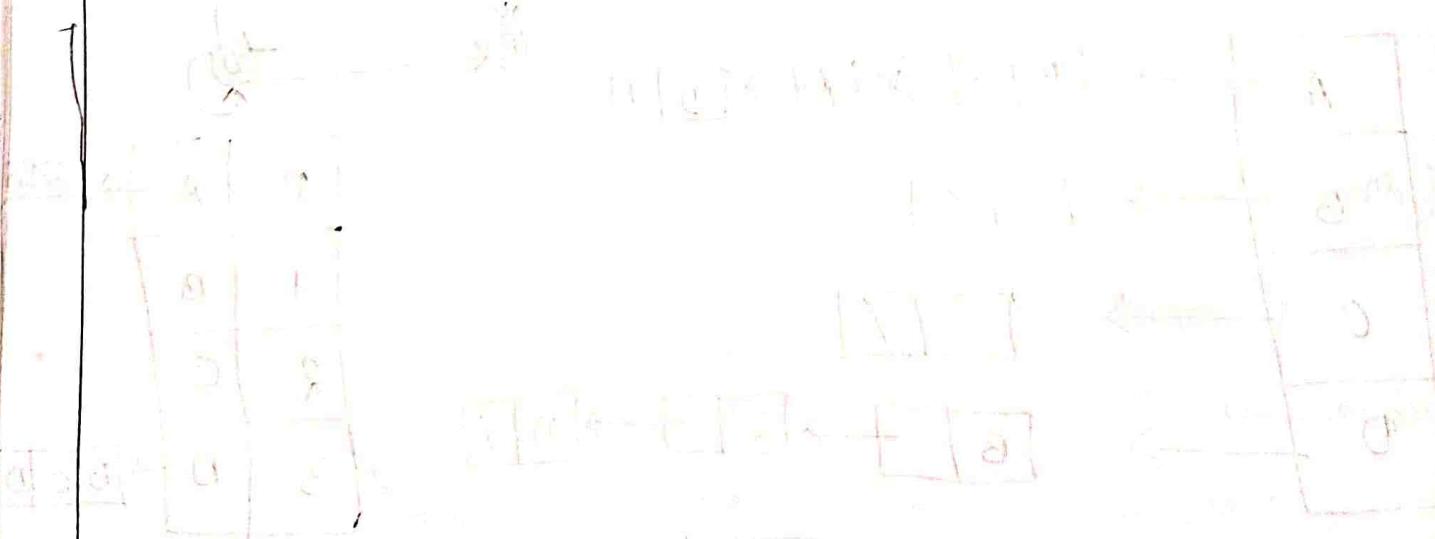
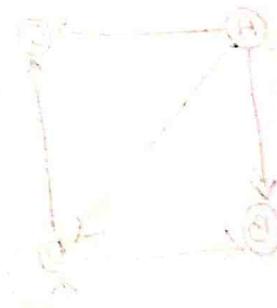
direct graph (using array)

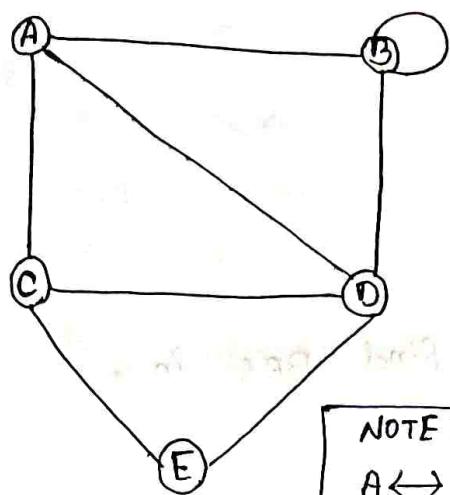


Time complexity: $O(\text{vertex} + \text{Edge})$



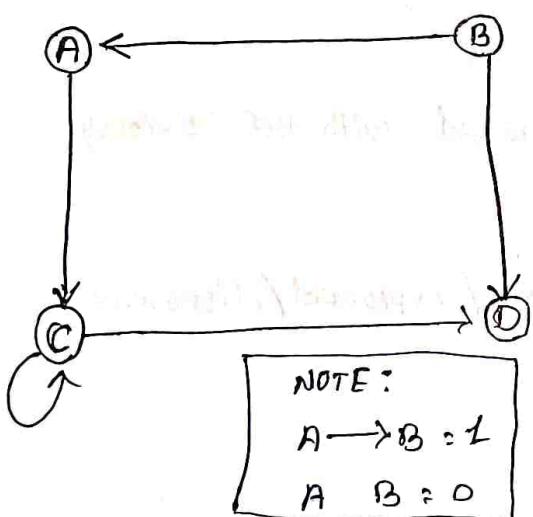
using (linked list)



ADJACENCY MATRIX:undirected graph representation

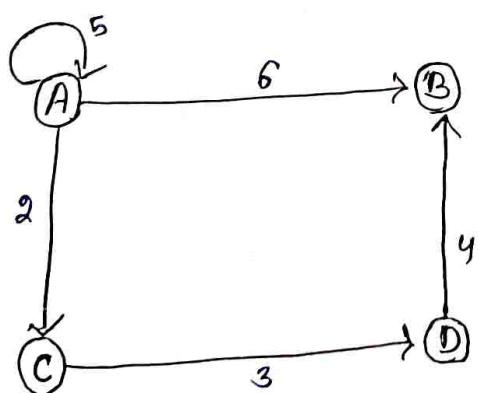
	A	B	C	D	E
A	0	1	1	1	0
B	1	0	1	0	0
C	1	0	0	1	0
D	1	1	1	0	1
E	0	0	1	1	0

5×5

Directed graph representation

	A	B	C	D	E
A	0	0	1	0	0
B	1	0	0	1	0
C	0	0	1	1	0
D	0	0	0	0	0

4×5

weighted directed graph representation

	A	B	C	D
A	5	6	2	0
B	0	0	0	0
C	0	0	0	3
D	0	4	0	0

NOTE:

→ IF weighted
directed edge → put the value

→ otherwise = 0

Graph Traversal :

There are two methods:

- 1) BFS (Breadth First search)
- 2) DFS (Depth First search)

BFS (Breadth First search)

→ "Queue" data structure is used to find "BFS" in a graph

Solution Steps :

- ① Start the traversal from a vertex whose indegree is '0'
- ② Explore the neighbour nodes attached with the previously selected
- ③ Stop until all vertices are visited / explored / discovered

Algorithm (BFS)

Input: "S" is the source vertex

BFS (G,S)

Let 'Q' be the queue

Q.enqueue(S)

Mark S as visited

while (Q is not empty)

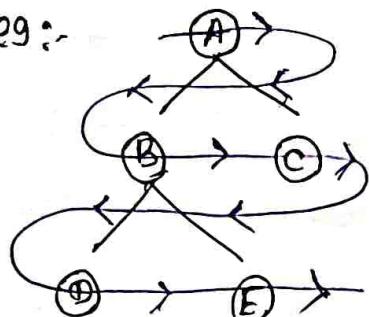
V = Q.dequeue()

for all neighbours "W" of "V" in graph 'Q' if "W" is not visited

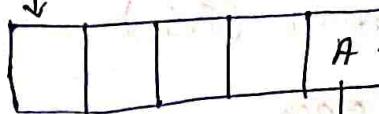
Q. enqueue (w)

mark "w" as visited.

e.g:-

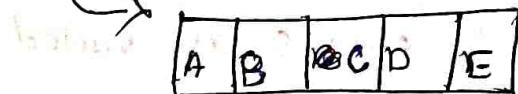


dequeue



A + enqueue FIFO

delete Array



v = A = Q.dequeue

w = neighbour = B, C

v = C

w = {Q}

OKa neighbour

FIFO



v = B

w = {A, D, E}

↙

already in
array



↓

↓

↓

↓

↓

↓

↓

↓

↓

D / E

D / E

D / E

D / E

D / E

D / E

D / E

D / E

D / E

NOTE

→ Traversal is any direction

→ Adjacency list / Adjacency array / Adjacency matrix
 $\cdot T_c = O(V+E)$ $\cdot T_c = O(V^2)$

a

DFS (Depth First Search)Algorithm (using iteration)DFS - iteration (G,S) \leftarrow source vertex

let S be a stack

S.push (S)

mark S as visited

while (S is not empty)

v = S.top()

S.pop()

For all neighbours "w" of "v" on graph "G"

if w is not visited.

S.push (w)

mark w as visited.

Algorithm (using recursion)

DFS : recursive (G,S)

mark S as ~~visited~~ viewed

For all neighbours "w" of S in graph G if w is not visited.

DFS : recursive (G,w)

∴ "STACK" data structure is used while finding the

DFS

(LIFO).

Solution Step:

- 1 Start the traversing of the vertex where indegree is 0.
- 2 Follow any direction (Left/Right)
 - From the previously selected vertex to the immediately eliminated vertex/vertices and store the vertex in a stack.
- 3 Stop the traversal if all the vertices have successfully visited/explorered
- 4 Print the vertices present within the stack in LIFO order

TIME COMPLEXITY

BFS

$$O(V+E) \quad O(V^2)$$

due to adjacency list,

Array & Matrix

DPS

$$O(V+E)$$

Adjacency list / Array

TESTING BIPARTITENESS:

A Bipartite Graph is one whose vertices can be divided into disjoint and independent sets, say $U \& V$ such that every edge has one vertex is ' U ', and the other is ' V '.

ALGORITHM

Bipartite (G,S)

let Q be an empty queue and S as the source

color v as Red.

$Q.\text{enqueue}(S)$

while $!Q.\text{empty}()$

$u = Q.\text{dequeue}()$

for each v in u . adj. list

if $v.\text{color}$ is nil

$v.\text{color} = (u.\text{color} \geq \text{RED}) ? \text{BLUE} : \text{RED}$

$v.\text{enqueue}(v)$

else if ($v.\text{color} \geq u.\text{color}$)

return "Not Bipartite"

return "Bipartite"

Solution Step

1) Start the testing of Bipartiteness from any of the vertex present in the graph 'G' and color the vertex as "RED"

2) Then color the neighbour vertex / vertices of the previously selected vertex as "BLUE"

(3) Repeat Step 1 & 2 until all vertex have successfully colored

(4) If the same color occur consecutively, then we can say the graph is not bipartite.

NOTE

- Testing of bipartiteness on a graph is the applying of "BFS"
- Queue linear data structure is used while finding the bipartiteness.
- Time complexity: $O(MHEI)$ / $O(V^2)$
 \downarrow
 $O(V^2)$ (Matrix)

Topological Sort / Topological ordering.

- Topological Sorting \rightarrow It is a linear ordering of its vertices such that for every directed edge UV for vertex $U \neq V$, U comes before vertex V .
- Topological sort/order should be possible in order directed acyclic graph (DAG).
- Every DAG must have at least one or unique Topological ordering / sorting.

Algorithm (TARJAN)
(Text book)

To compute a topological sorting / ordering of "G" :-

Find a node / vertex "v" with no incoming edges and order it. Then delete "v" from G.

Recursively compute a topological ordering of $G - \{v\}$, and append this order after "v".

Algorithm (CORMEN)

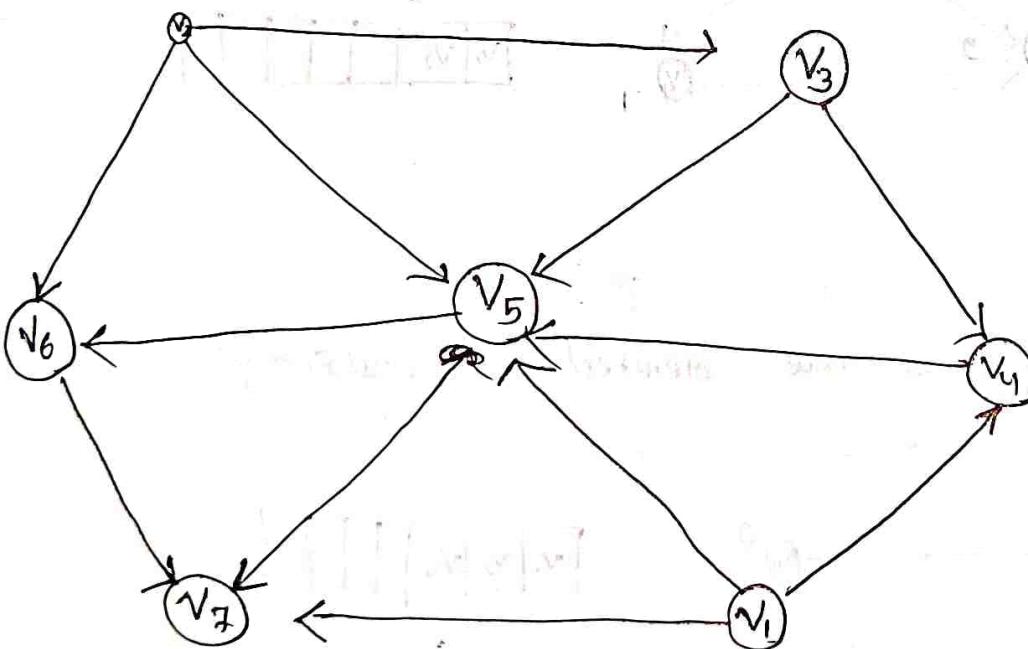
Topological Sort (G)

- Call DFS (S) to compute finishing time $v.f$ for each vertex "v"
- as each vertex is finished, insert it onto the front of a linked list
- return the linked list of vertices.

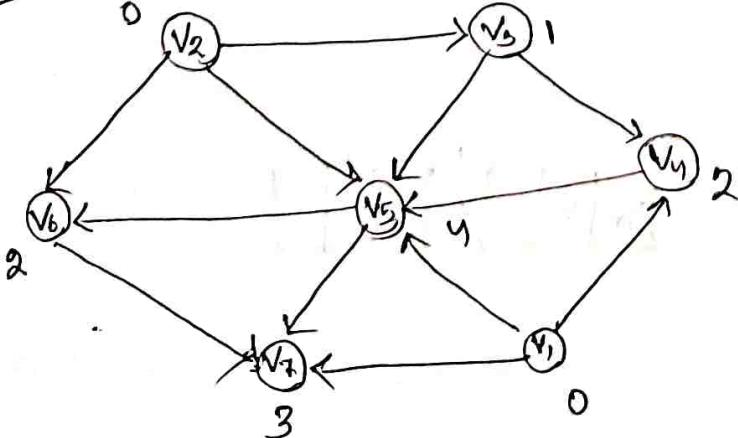
Solution Steps:- (using DFS / stack data structure)

1. Start from the vertex whose outdegree is "0"
2. Put the vertex in stack and mark as visited.
3. Then select the next vertex whose out degree is '1' & then follow "Step-2"
4. Stop exploring the vertex once all vertices have visited/explained successfully.
5. Print the vertices in LIFO order to get the correct Topological Sorting / ordering of the Directed Acyclic Graph (DAG)

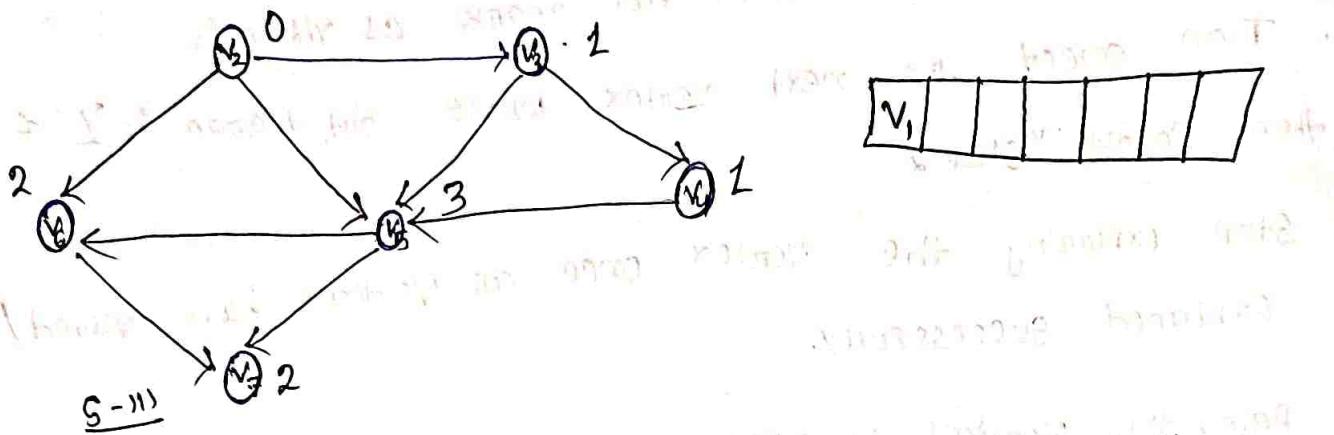
EXAMPLE :- (TARDO'S)



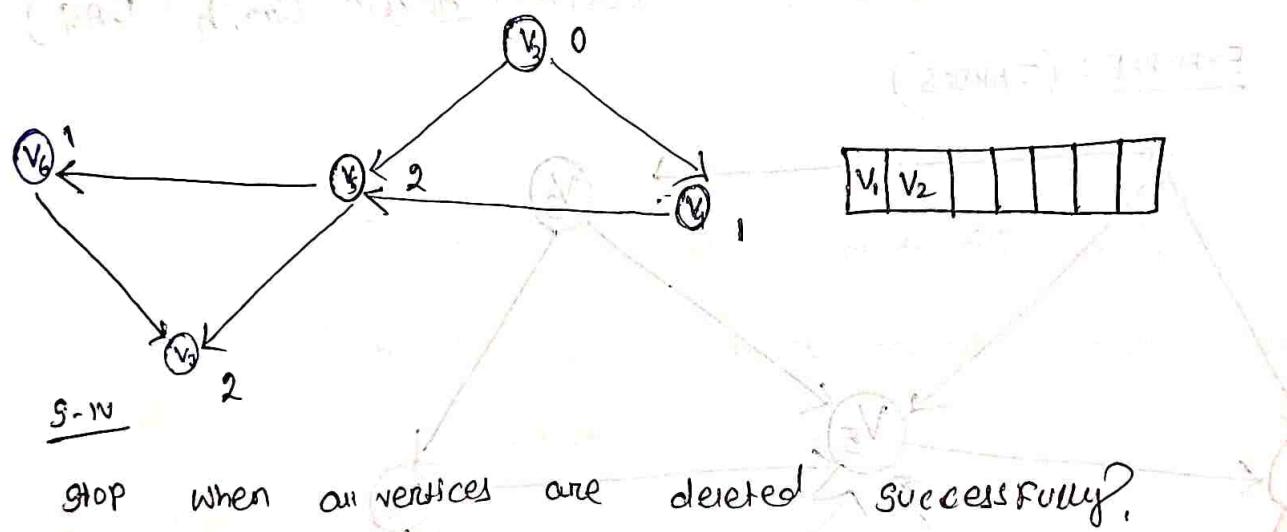
5-1



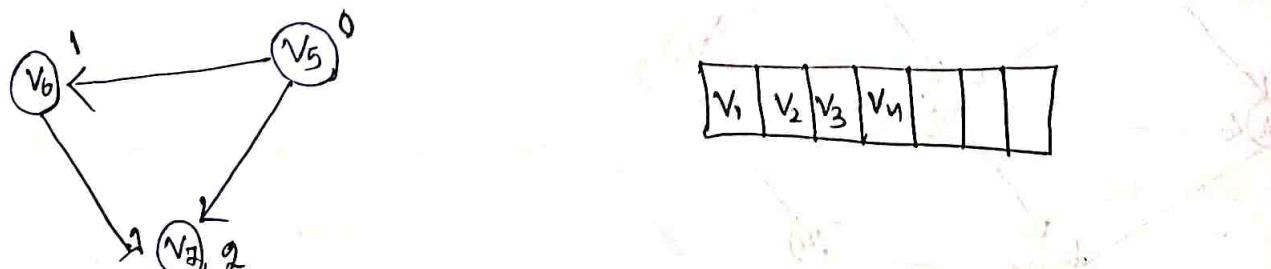
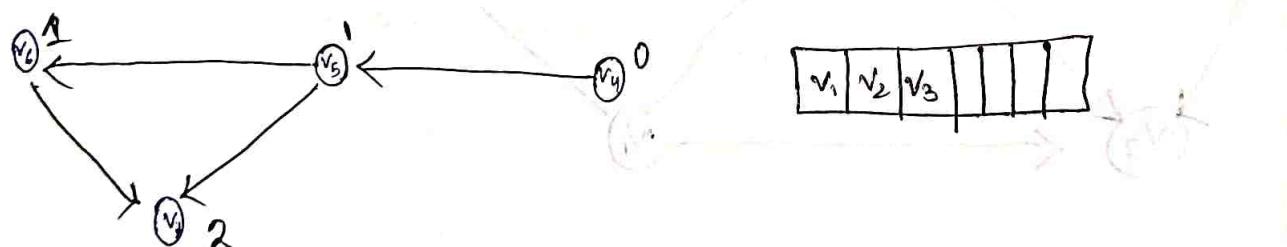
S-11
Remove or delete the previously selected source and store in an array.

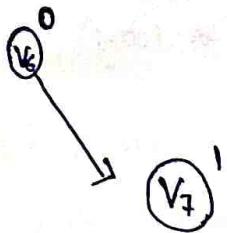


update the indegree of all the remaining vertices.



stop when all vertices are deleted successfully.





v_1	v_2	v_3	v_4	v_5	
-------	-------	-------	-------	-------	--

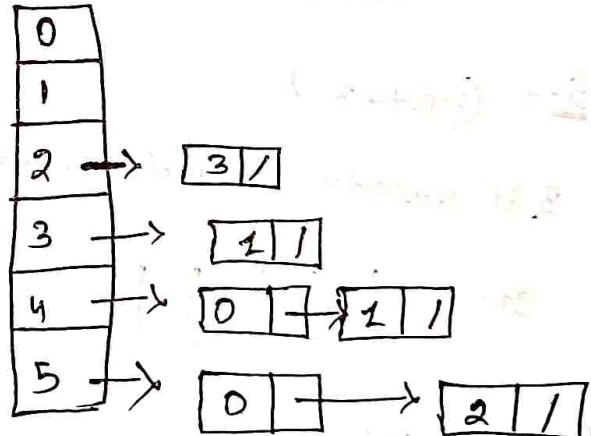
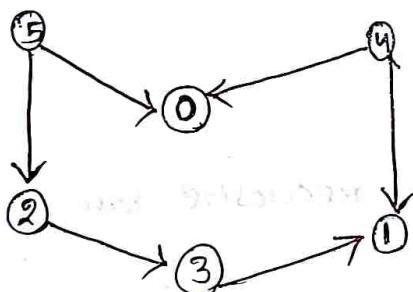
v^0

v_1	v_2	v_3	v_4	v_5	v_6	
-------	-------	-------	-------	-------	-------	--

v_1	v_2	v_3	v_4	v_5	v_6	v_7	
-------	-------	-------	-------	-------	-------	-------	--

EXAMPLE (COREMEN)

Find the TS/TO using DFS / Stack



S-1 (Input: 0)

Topological Sort(0)

visited(0) = TRUE

List is empty. No more recursive case

Stack : 0

S-2 (Input - 1)

Topological sort (1), visited(1) = TRUE



list is empty. No more recursive call.

Stack

0	1
---	---



S-3 (Input - 2)

Topological sort (2), visited(2) = TRUE



Topological sort (3), visited(3) = TRUE



"1" is already visited, so no more recursive call.

Stack

0	1	3	2
---	---	---	---

S-4 (Input - 3)

3 is already visited, so no more recursive call

Stack

0	1	3	2
---	---	---	---

S-5 (Input - 4)

Topological sort (4), visited(4) = TRUE



"0" & "1" are already visited. No more recursive call

Stack

0	1	3	2	4
---	---	---	---	---

S-6 (Input-5)

TOPOLOGICAL SORT(5), visited(5) = TRUE



"0" & "2" are already visited, No more recursive call.

stack

0	1	3	2	4	5
---	---	---	---	---	---

S-7

Print the visited in LIFO order.

Array

5	4	2	3	1	0
---	---	---	---	---	---



0 -> 1 ->

2 -> 3 ->

4 -> 5 ->

0 -> 2 ->

1 -> 4 ->

3 -> 5 ->

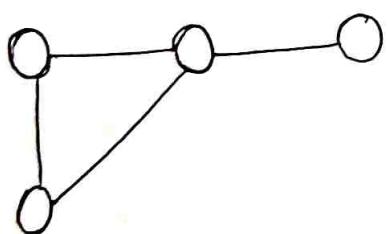
NOTE :-

- DFS is used in Topological sorting / topological ordering
(It is the application of "DFS")
- "stack" linear data structure is used while finding the topological sorting / ordering.
- Time complexity = $O(\text{vertices} + \text{edges}) \rightarrow (\text{AL/AA})$

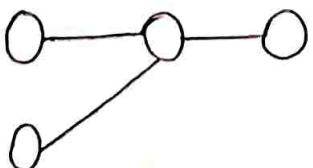
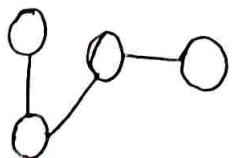
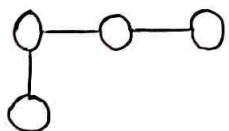
SPANNING TREE:

Given a connected graph, a spanning tree of that graph is a subgraph that is a tree and joined all vertices. A single graph can have many spanning trees.

For example :



Form the graph. There can be multiple spanning trees like.

Spanning Tree

PROPERTIES OF SPANNING TREE:

1. There may be several minimum Spanning trees of the same weight having the minimum number of edges.
2. If all the weights of a given graph are the same, then every spanning tree of that graph is minimum.
3. If each edge has a distinct weight, then there will be only one, unique minimum Spanning tree.
4. A connected graph G can have more than one Spanning trees.
5. A disconnected graph can't have to span the tree, or it can't span all the vertices.
6. Spanning tree don't contain cycles.
7. Spanning tree has $(n-1)$ edges where, n is the number of vertices.

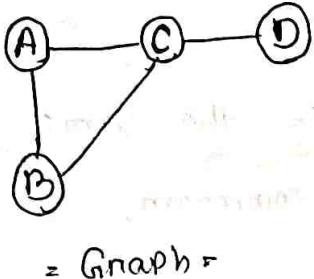
Addition of even one single edge results in the Spanning tree losing its property of Acyclicity and elimination of one single edge results in its losing the property of connectivity.

NOTE:-

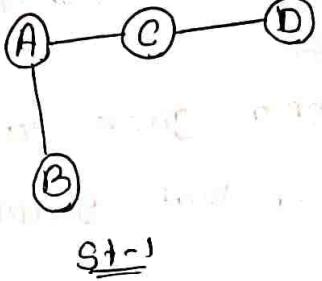
- Maximum number of Spanning trees (STs) possible in a complete undirected graph is $\frac{n(n-1)}{2}$ where, n/v stands for vertices.

2) Spanning Tree is subset of a Graph.

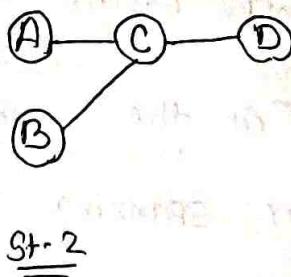
Example



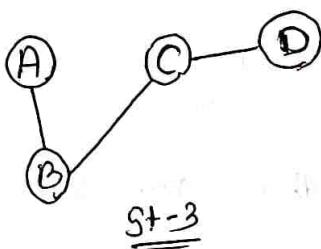
= Graph =



St-1



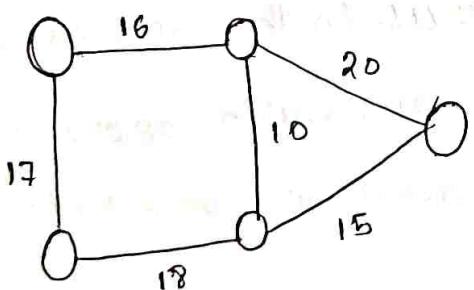
St-2



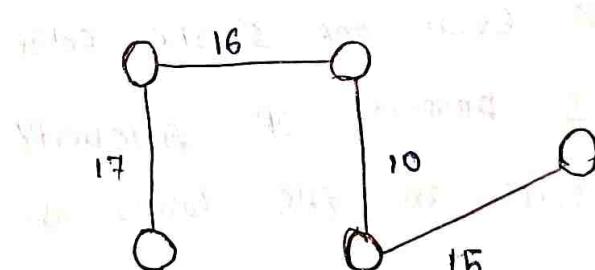
St-3

MINIMUM SPANNING TREE (MST)

Minimum Spanning Tree is a Spanning Tree which has minimum total cost. If we have a Unlinked undirected graph with a weight (or cost) combine with each edge. Then the cost of Spanning tree would be the sum of the cost of it's edge.



Connected, undirected Graph



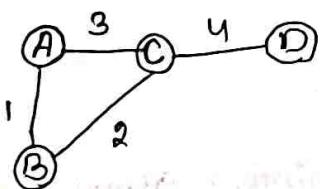
Minimum Cost Spanning Tree
Total cost = 17 + 16 + 10 + 15 =

MINIMUM SPANNING TREE (MST)

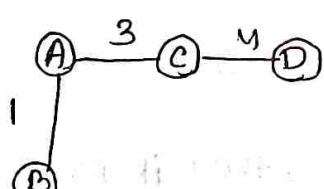
NOTE

- If more than one minimum Spanning Trees (MSTs) are generated, then the cost of all MST's will be same.
- There must be atleast one MST possible in a directed weighted Graph (DWG) / Undirected weighted graph (UWG)
- We can find MST IFF the graph is Directed / undirected weighted Graph.

Example

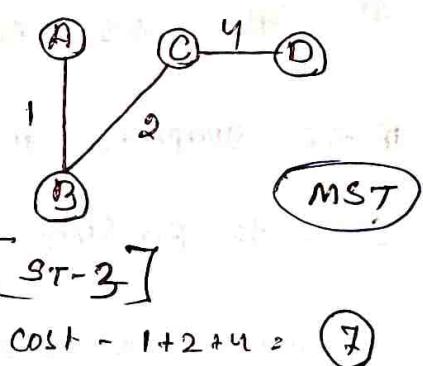


[Graph]



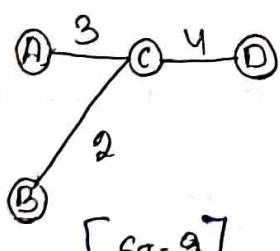
[ST-1]

$$\text{cost} = 1+3+4 = 8$$



[ST-3]

$$\text{cost} = 1+2+4 = 7$$



[ST-2]

$$\text{cost} = 3+2+4 = 9$$

Cost of Minimum Spanning Tree

There are two methods / Algorithms exist to find Minimum Spanning Tree (MST) :

a) Kruskal's Algorithm.

b) Prim's Algorithm.

KRUSKAL'S ALGORITHM

An algorithm to construct a minimum Spanning tree for a connected weighted graph. It is a Greedy Algorithm. The Greedy choice is to put the smallest weighted edge that does not because a cycle in the MST constructed so far.

If the graph is not linked, then it finds a minimum spanning tree.

Steps to finding MST using Kruskal's Algorithm:

1. Arrange the edge of G in order of increasing weight.
2. Starting only with the vertices of G and proceeding sequentially add each edge which does not result in a CYCLE, until $(n-1)$ edges are used.
3. EXIT

MST - KRUSKAL (G, w)

1. $A = \emptyset$
2. For each vertex $v \in G, v$
3. MAKE-SET (v)
4. Sort of edges of G, E into non-decreasing order by weight w .

5. For each edge $(u,v) \in G \cdot E$, taken in nondecreasing order by weight.
6. if $\text{FIND-SET}(u) \neq \text{FIND-SET}(v)$,
7. $A = A \cup \{(u,v)\}$
8. $\text{UNION}(u,v)$
9. UNION (~~if $A \neq \emptyset$~~) return A

ANALYSIS: where E is the number of edges in the graph and V is the number of vertices, Kruskal's Algorithm can be shown to run in $O(E \log E)$ time, or simply, $O(E \log V)$ time, all with simple data structure, these running times are equivalent because

- E is at most V^2 and $\log V^2 = 2 \times \log V$ is $O(\log V)$
 - If we ignore isolated vertices, which will each their components of the minimum spanning tree, $V \leq 2E$, so $\log V$ is $O(\log E)$
- Thus, the total time is,

$$1. O(E \log E) = O(E \log V)$$

Solution Steps:

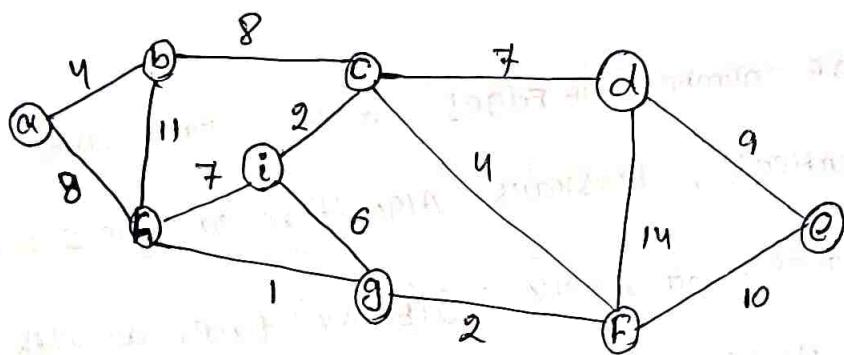
- 1) Keep all the vertices excluding the edges
- 2) Start selecting the edge in increasing order (i.e., selected, the edge whose cost / weight is minimum)

3) If the newly selected edge creates cycle in the MST, then we have to discard that edge and start selecting the next minimum edges

4) Stop, if all vertices have successfully covered / connected

EXAMPLE:

Find the MST from the given graph using Kruskal's Algorithm



Increasing order: 1, 2, 2, 4, 4, 6, 7, 7, 8, 8, 9, 10, 11, 14
x x x x x x x x x x x

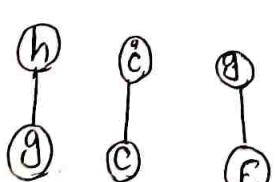
Step-1



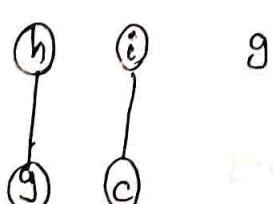
Step-2



Step-3



Step-4



> Prim's Algorithm: It starts with an empty set. It is a greedy algorithm. It maintains two sets of vertices: one set contains vertices already included in MST, and the other contains vertices not yet included. At every step, it considers all the edges and picks the minimum weight edge. After picking the edge, it moves the other endpoint of edge to set containing MST.

Steps for finding MST using Prim's Algorithm:

1. Create MST set that keeps track of vertices already included in MST.
2. Assign key values to all vertices in the input graph.
Initialize all key values as INFINITE (∞). Assign key value like 0 for the first vertex so that it is picked first.
3. While MST set doesn't include all vertices.
 - i) Pick vertex u which is not in MST set and has minimum key value. Include ' u ' to MST set.
 - ii) Update the key value of all adjacent vertices of u .
To update, iterate through all adjacent vertices. For every adjacent vertex v , if the weight of edge $u.v$ less than the previous key value of v , update key value as a weight of $u.v$.

MST - PRIM (G, w, π)

1. for each $u \in V[G]$
2. do $\text{key}[u] \leftarrow \infty$
3. $\pi[u] \leftarrow \text{NIL}$

4. $\text{key}[\pi] \leftarrow 0$
5. $Q \leftarrow V[G]$
6. while $Q \neq \emptyset$
7. ~~while~~ do $u \leftarrow \text{EXTRACT} \leftarrow \text{MIN}(Q)$
8. for each $v \in \text{Adj}[u]$
9. do if $v \in Q$ and $w(u,v) < \text{key}[v]$
10. then $\pi[v] \leftarrow u$
11. $\text{key}[v] \leftarrow w(u,v)$

MST- PRIM (G, w, π)

1. for each $u \in G.V$
2. $u.\text{key} = \infty$
3. $u.\pi = \text{NIL}$
4. $\pi_0.\text{key} = 0$
5. $Q = G.V$
6. while $Q \neq \emptyset$
7. $u = \text{EXTRACT-MIN}(Q)$
8. for each $v \in G.V, \text{Adj}[u]$
9. if $v \in Q$ and $w(u,v) < v.\text{key}$
10. $v.\pi = u$
11. $v.\text{key} = w(u,v)$

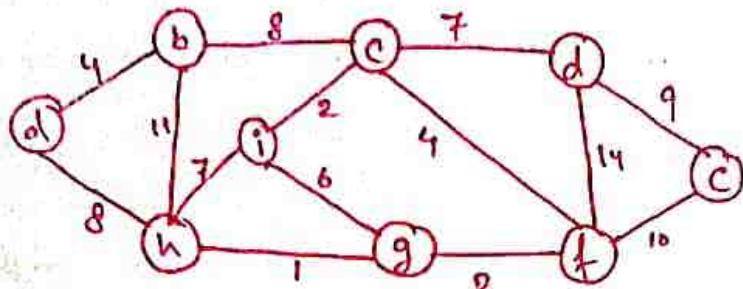
Solution-Steps

1. Keep the vertices without considering the edges.
2. Start selecting the edge where weight / cost is minimum on any vertex.
3. Select the next minimum edge which is connected with the previously selected edges vertices / vertex.
4. Stop selecting the new edge if it makes any cycle in the MST and select the next minimum edge is connected

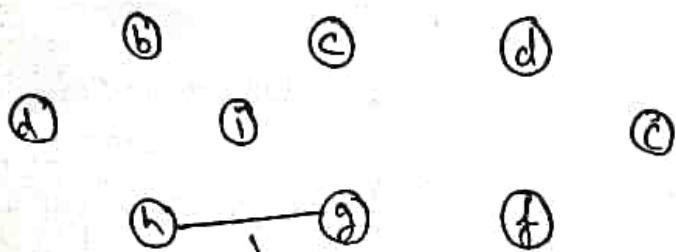
With the previously explored vertices
5. Stop, if all vertices have successfully connected

Example [CORMEN]

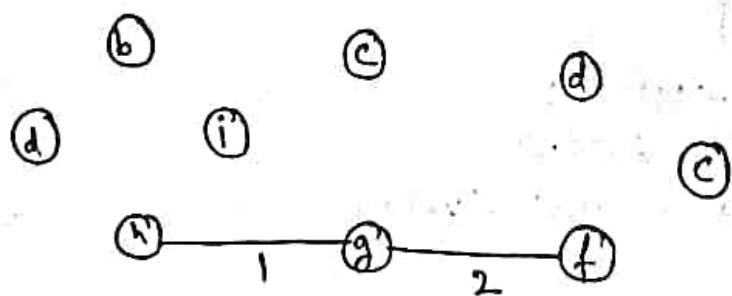
Find the MST from the given graph using Prim's Algorithm.



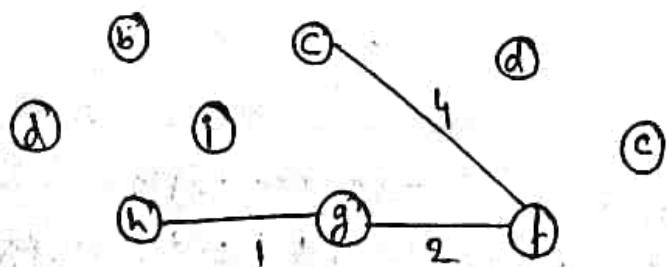
Step 1



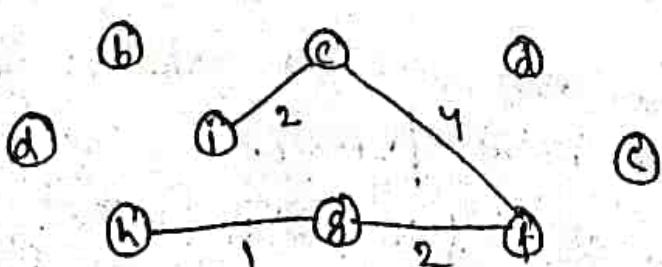
Step 2



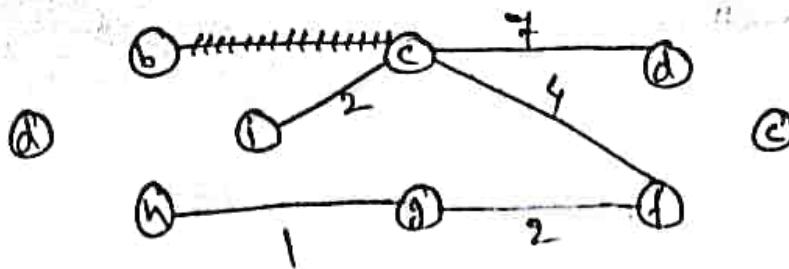
Step 3



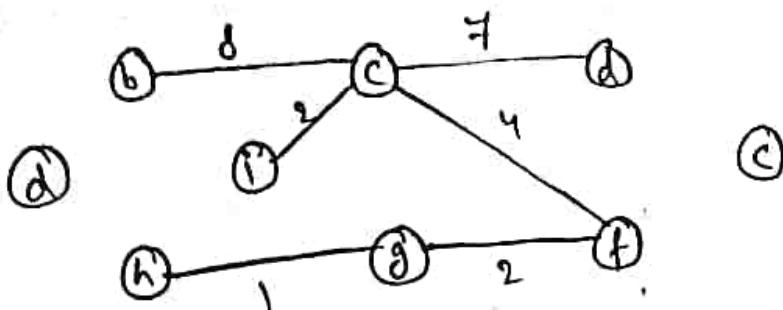
Step 4



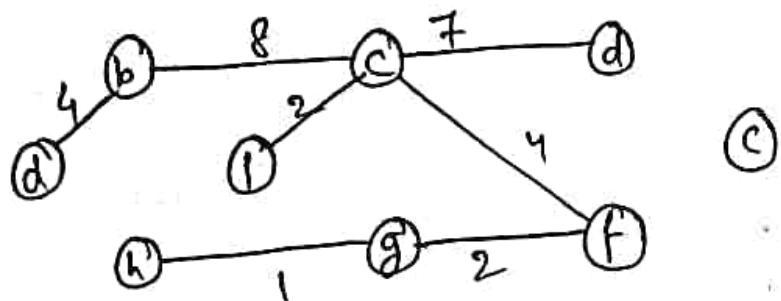
Step 5



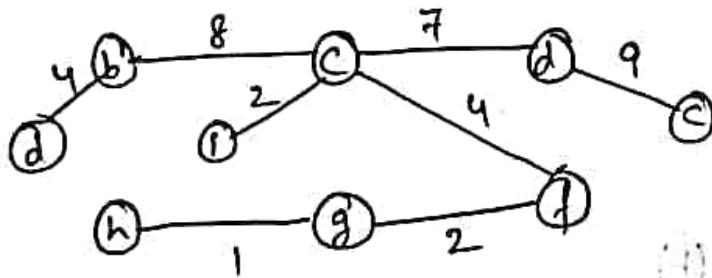
Step 6



Step 7



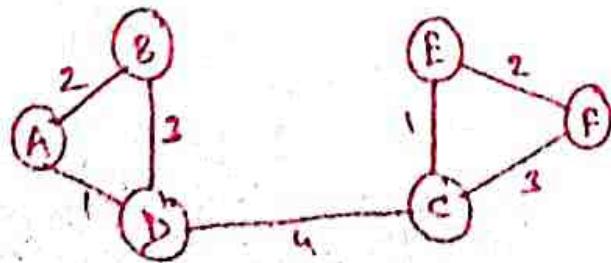
Step 8



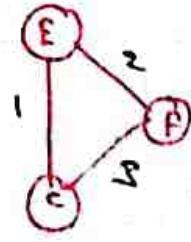
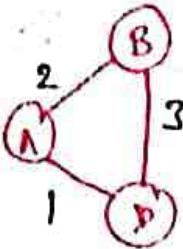
$$\text{Total cost} = 4 + 8 + 7 + 9 + 2 + 4 + 1 + 2 = 37$$

- Q Find the MST of the given graph using Prim's algorithm and Kruskal's algorithm.

(a)

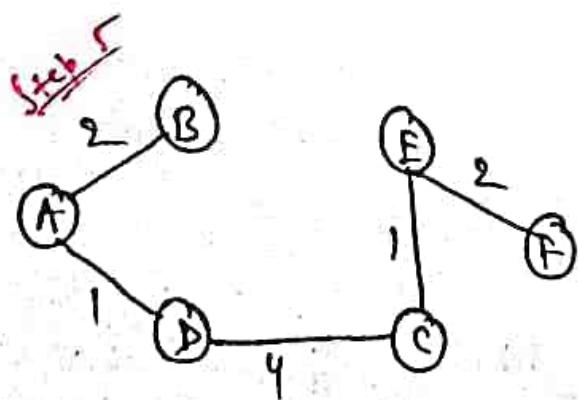
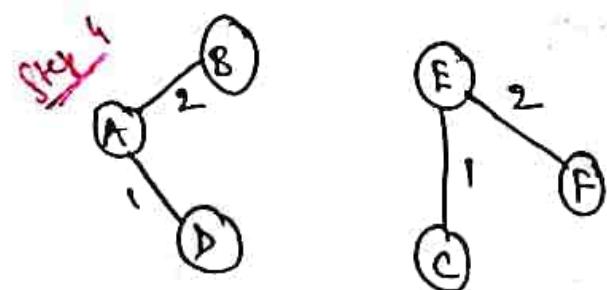
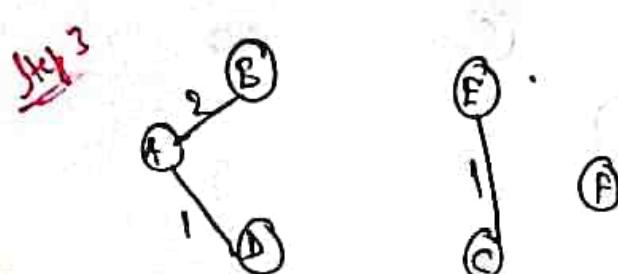
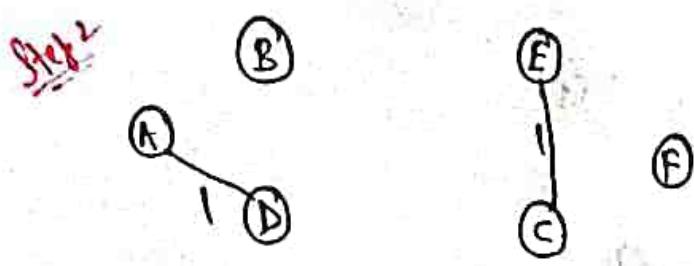
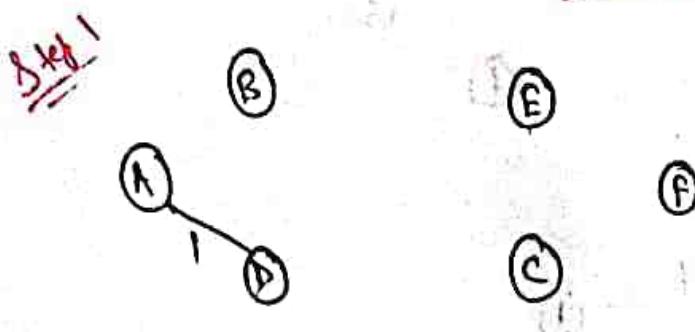


(b)



Kruskal's Algorithm

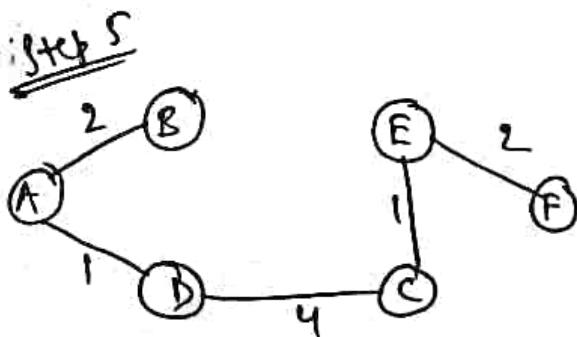
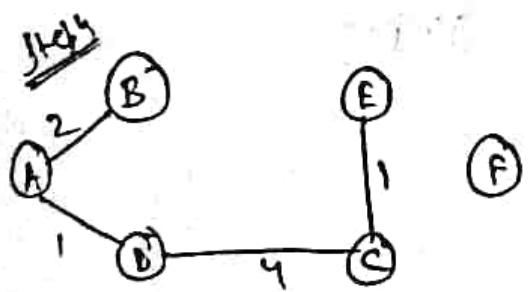
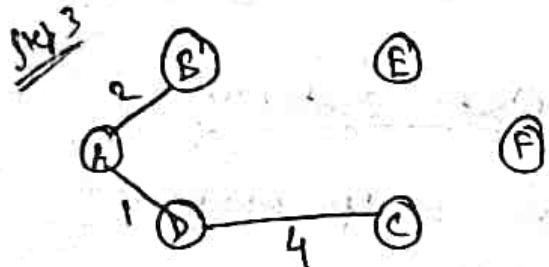
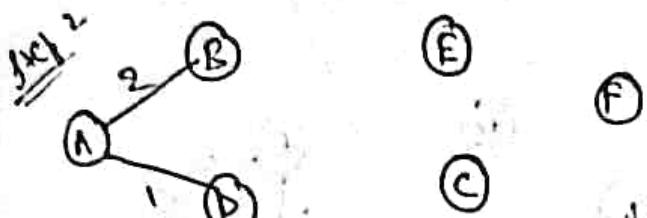
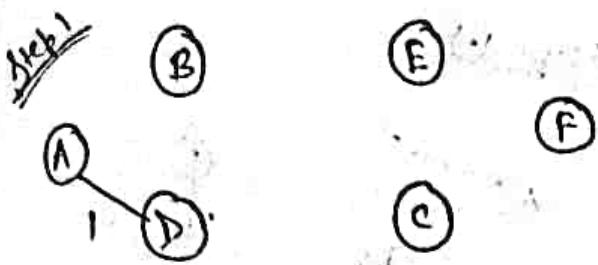
4



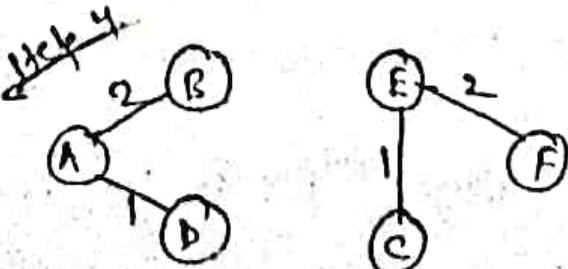
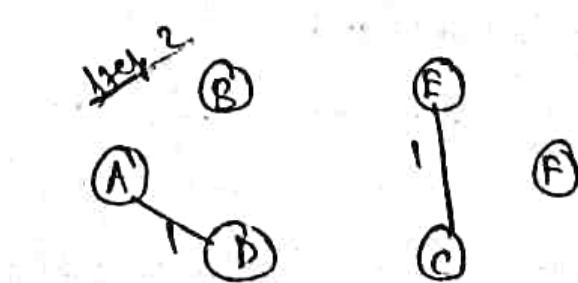
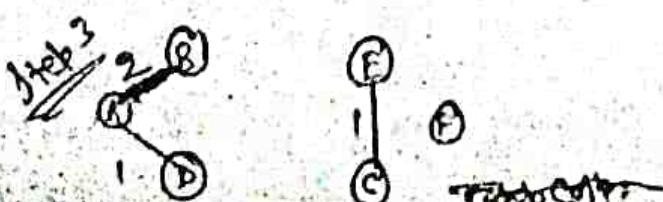
Total cost = $1+1+2+2+4$
 $= 10$

Prim's Algorithm

(c1)



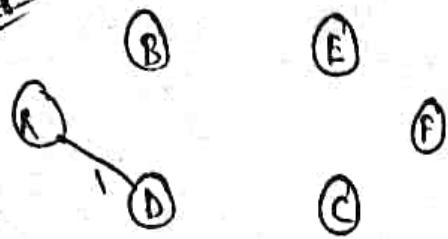
Kruskal's Algorithm



$$\text{Total cost} = 1+2+1+2 = 6$$

(b) Prim's Algorithm

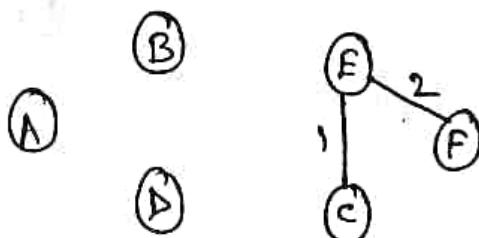
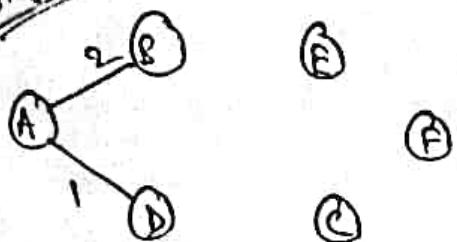
Step 1:



Step 1.1:



Step 2:



Cont continue

Cont continue

So Prim's Algorithm won't work on disconnected graph.

Note:

- Prim's Algorithm will not work if the graph is Disconnected graph
- Prim's Algorithm can start from a minimum edge on any vertex, but Kruskal's Algorithm will work only on edges.
- Time Complexity: Using Adjacency Matrix $O(V^2)$
Using Adjacency list and binary heap: $O(E \log V)$



$V_{\text{ent}} = V$

$\text{known} = \text{known} \cup \{V_{\text{ent}}\}$

Analysis: The running time of Dijkstra's algorithm on a graph with edges E and vertices V can be expressed as a function of $|E|$ and $|V|$ using the Big-O notation.

The simplest implementation of the Dijkstra's algorithm stores vertices of set Q in an ordinary linked list or array, and operation Extract-Min(Q) is simply a linear search through all vertices in Q . In this case, the running time is $O(|V| + |E|) = O(V^2)$. But with Min-Priority Queue it drops down to $O(V + E \log V)$.

Solution Steps:

- 1) Select any vertex as the source vertex.
- 2) Put the value / distance of the selected source vertex as "0" and the rest of the vertices as "∞".
- 3) Start "Relaxing" the vertices which are connected with the previously selected vertex.
- 4) Stop if all vertices have successfully visited: "Relaxed".

Relax:

if $(d(v) > d(u) + c(u, v))$
then $d(v) = d(u) + c(u, v)$

where -

- $d(u)$ is the value / distance of u (sender / source)
- $d(v)$ is the distance value of v (Receiver / Destination)
- $c(u, v)$ is the cost / weight of the edge connected the vertices u to v .

DJIKSTRA (G, w, s)

1. INITIALIZE-SINGLE-SOURCE (G, s)
2. $S = \emptyset$
3. $Q = G \setminus S$
4. while $Q \neq \emptyset$
5. $u = \text{EXTRACT-MIN}(Q)$
6. $S = S \cup \{u\}$
7. for each vertex $v \in G \setminus \text{Adj}[u]$
8. RELAX (u, v, w)

8

Dijkstra's Algorithm (G, l)

Let S be the set of explored nodes
for each $u \in S$, we store a distance $d(u)$

Initially, $S = \{s\}$ and $d(s) = 0$

while $S \neq V$

 Select a node $v \notin S$ with at least one edge from S for which $d'(v) = \min_e = (u, v) : u \in S$ $d(u) + l_e$ is as small as possible.

 Add v to S and define $d(v) = d'(v)$

EndWhile

Shortest Path-Dijkstra (G, s, t)

known = $\{s\}$

for $i = 1$ to n , $\text{dist}[i] = \infty$

for each edge (s, v) , $\text{dist}[v] = w(s, v)$

lost = s

while ($\text{lost} \neq t$)

 select v_{next} , the unknown vertex minimizing $\text{dist}[v]$

 for each edge (v_{next}, x) , $\text{dist}[x] = \min[\text{dist}[x], \text{dist}[v_{\text{next}}] + w(v_{\text{next}}, x)]$ $x \neq \text{lost}$

> Application (Kruskal's Algorithm)

- In order to layout electrical wires
- In computer network (LAN connection)

Dijkstra's Algorithm

→ It is a greedy algorithm that solves the single-source shortest-path problem for a directed graph $G = (V, E)$ with nonnegative edge weights, i.e., $w(u, v) \geq 0$ each edge $(u, v) \in E$

Dijkstra's Algorithm maintains a set S of vertices whose final shortest-path weights from the source s have already been determined. That is for all vertex, $v \in S$; we have $d[v] = \delta(s, v)$. The algorithm repeatedly selects the vertex $u \in V - S$ with the minimum shortest-path estimate, insert u into S and relaxes all edges leaving u .

Because it always chooses the "lightest" or "closest" vertex in $V - S$ to insert into set S , it is called as the Greedy Strategy.

INITIALIZE-SINGLE-SOURCE (G, s)

1. for each vertex $v \in G, V$
2. $v.d = \infty$
3. $v.\pi = \text{NIL}$
4. $s.d = 0$

RELAX (u, v, w)
Relax
1. if $v.d > u.d + w(u, v)$
2. $v.d = u.d + w(u, v)$
3. $v.\pi = u$

Step-3

Considering A as source and B as destination

$$\infty < \infty + 1$$

Hence B cannot be relaxed

Considering A as source and R as destination

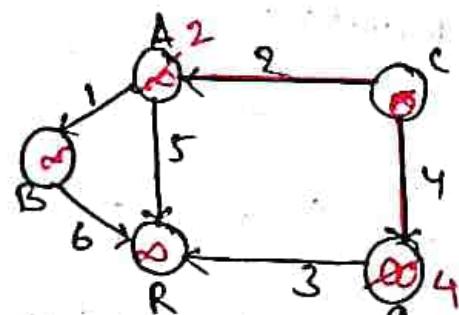
$$\infty$$

12

Q

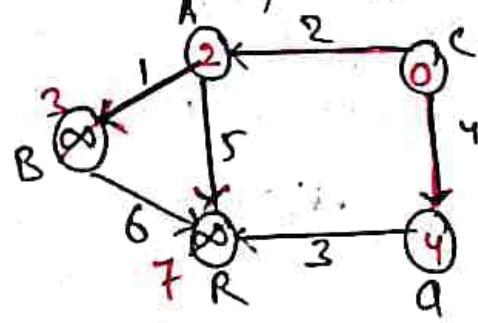
Source = C

Step 1 →

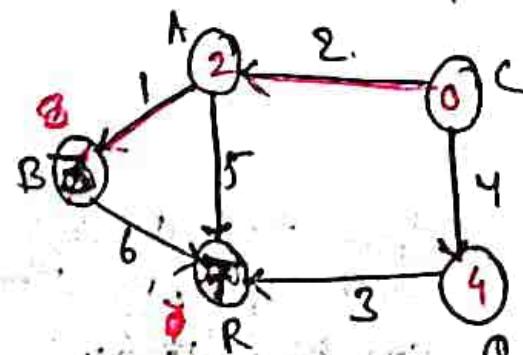


$$d(v) \geq d(u) + c(u,v) \Rightarrow \infty \geq 0 + 2 = 2$$

Step 2 →

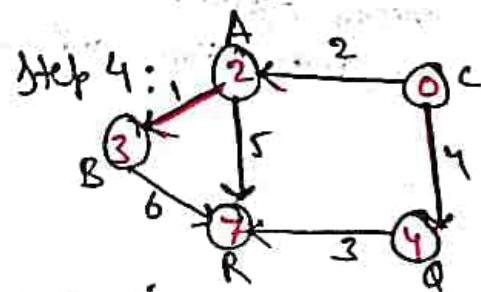


Step 3 →



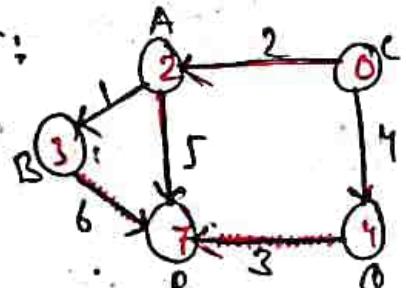
Taking source as R

No other vertices can be relaxed



Taking source as B
No other vertices can be relaxed

Step 5:



Taking A as source
No other vertices can be relaxed

	Vertices					
Visited	S	Z	Y	X	T	W
S	0	∞	∞	∞	∞	∞
S, Y	0	10	∞	5	∞	∞
S, Y, Z	0	8	14	5	7	∞
S, Y, Z, T	0	8	13	5	7	∞
	0	8	9	5	7	∞

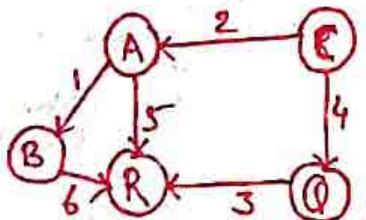
CO-4

Greedy Algorithm

Greedy Algorithm is an approach for solving a problem by selecting the best option available at the moment.

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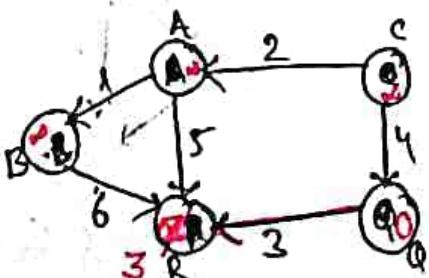
- Q Find the shortest path from 'Q' to other vertices using
 • Dijkstra's Algorithm



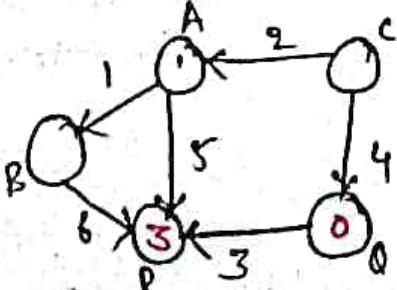
Relax: if $d(v) > d(u) + c(u,v)$
 then $d(v) = d(u) + c(u,v)$

$d(u)$ = value/distance of destination/receiver
 $d(u)$ = " source/sender
 $c(u,v)$ = cost/weight of edge.

~~Step 3~~



Step 1

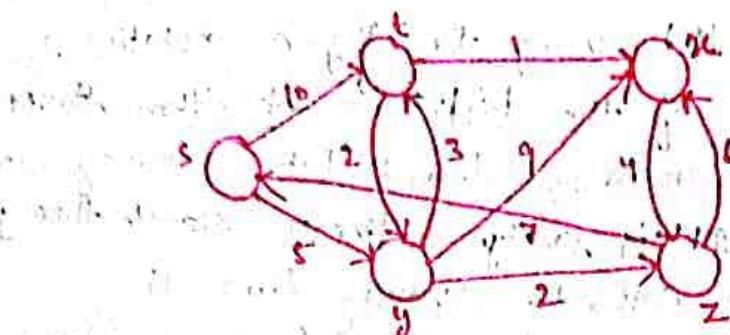


Step 2

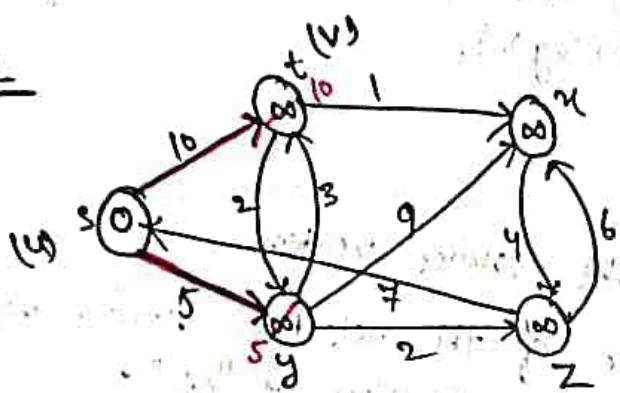
Since the outdegree is zero for source R, no other vertices can be relaxed.

Example [CORMEN]

Q Find the shortest path from "S" to other vertices using Dijkstra's Algorithm.



10

Step 1

$$d(v) > d(u) + c(u, v)$$

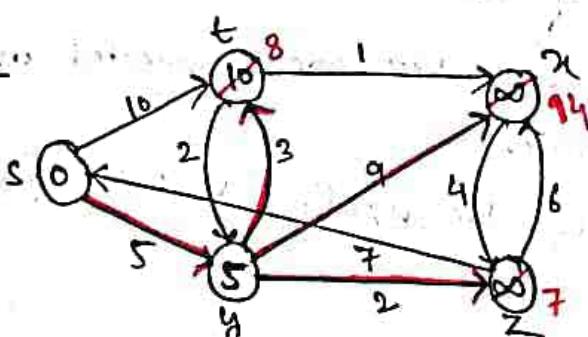
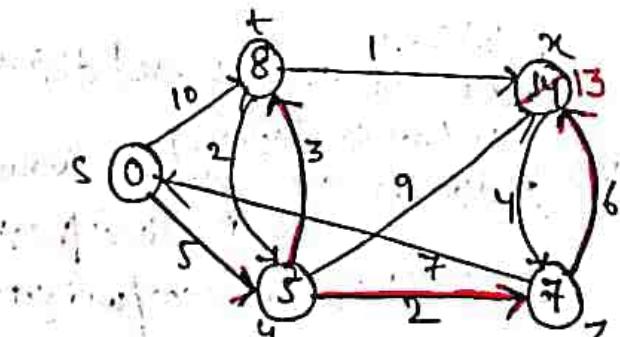
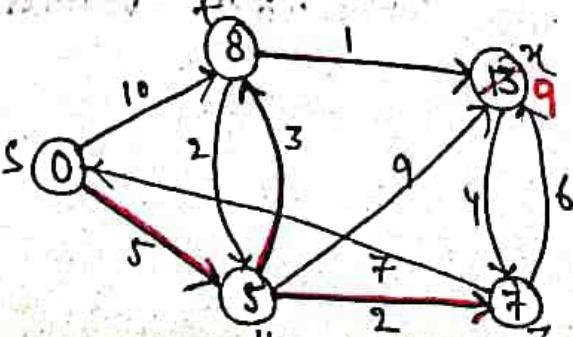
$$\Rightarrow \infty > 0 + 10$$

$$\Rightarrow \infty > 10$$

$$d(v) = d(u) + c(u, v)$$

$d(v) = 10$ similarly when S is u.

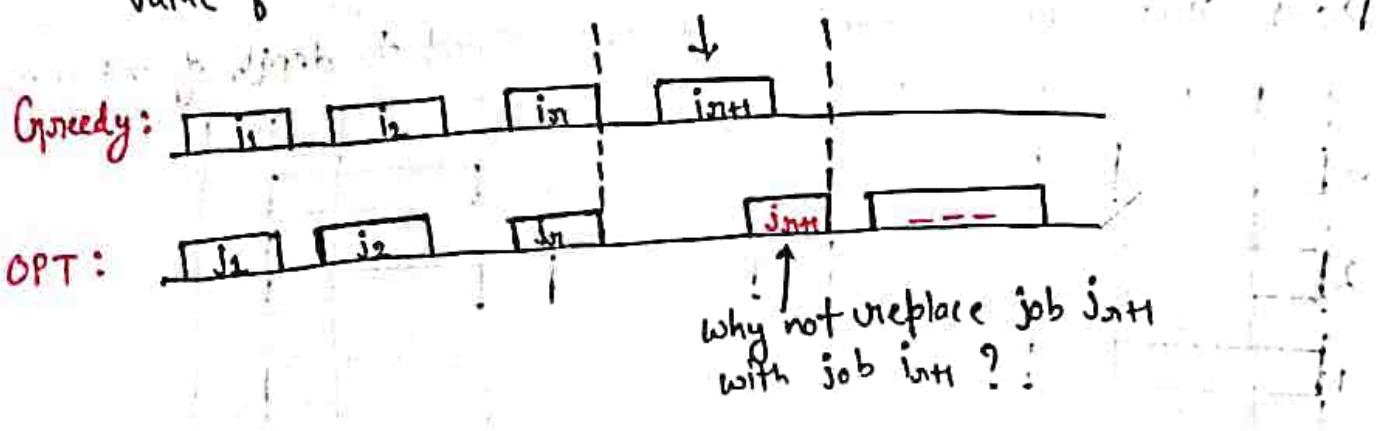
$$d(v) = 5$$

Step 2Step 3Step 4

Theorem: Greedy algorithm is optimal.

Pf. (by contradiction)

- Assume greedy is not optimal, and let's see what happens.
- Let i_1, i_2, \dots, i_k denote set of jobs selected by greedy.
- Let j_1, j_2, \dots, j_m denote set of jobs in the optimal solution with $i_1 = j_1, i_2 = j_2, \dots, i_n = j_n$ for the largest possible value of n .

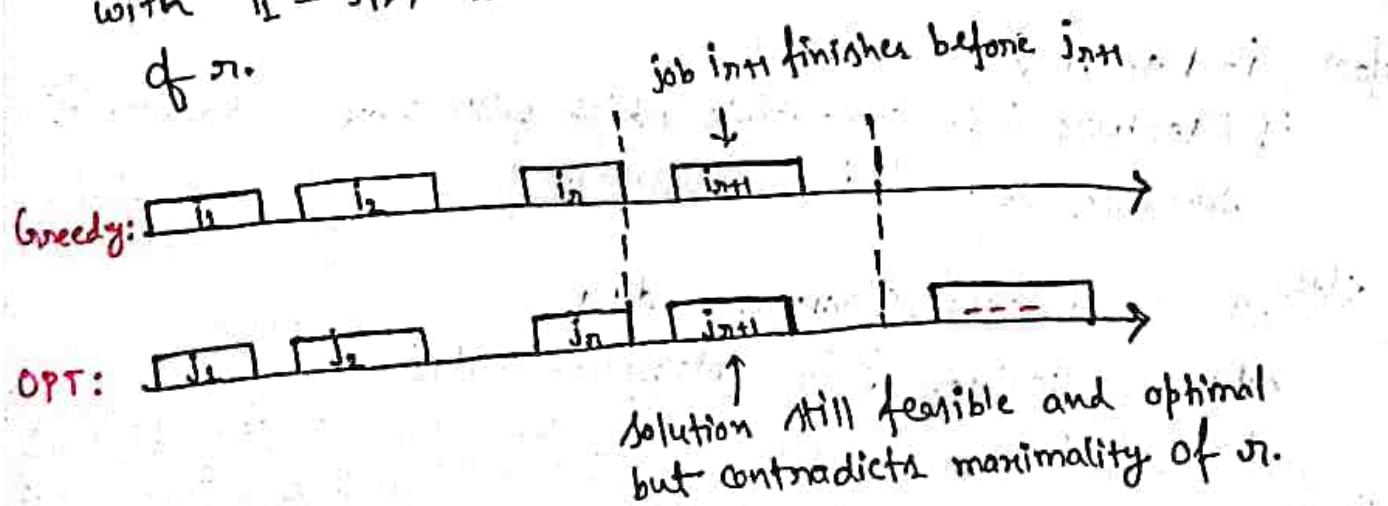


15

Theorem: Greedy algorithm is optimal.

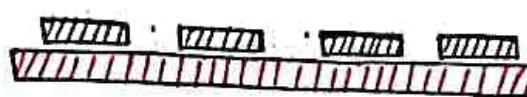
Pf. (by contradiction)

- Assume greedy is not optimal, and let's see what happens.
- Let i_1, i_2, \dots, i_k denote set of jobs selected by greedy.
- Let j_1, j_2, \dots, j_m denote set of jobs in the optimal solution with $i_1 = j_1, i_2 = j_2, \dots, i_n = j_n$ for the largest possible value of n .



- [earliest start time] Consider jobs in ascending order of s_j .
- [earliest finish time] Consider jobs in ascending order of f_j .
- [shortest interval] Consider jobs in ascending order of $f_j - s_j$.
- [fewest conflicts] for each job j , count the number of conflicting jobs c_j . Schedule in ascending order of c_j .

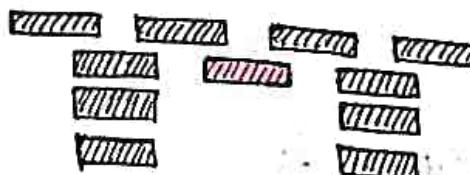
> Greedy template: Consider jobs in some natural order. Take each job provided it's compatible with the ones already taken.



Counterexample for earliest start time



Counterexample for shortest interval



Counterexample for fewest conflict

> Greedy Algorithm: Consider jobs in increasing order of finish time. Take each job provided it's compatible with the ones already taken.

Sort jobs by finish times so that $f_1 \leq f_2 \leq \dots \leq f_n$.

$A \leftarrow \emptyset$

for $j = 1$ to n {

 if (job j compatible with A)

$A \leftarrow A \cup \{j\}$

}

return A

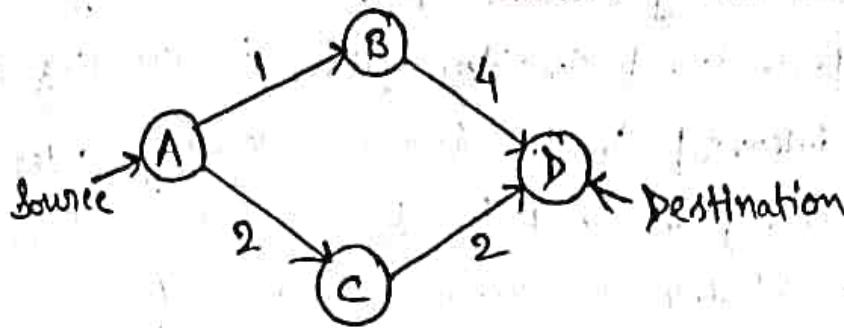


Implementation $O(n \log n)$.

- Remember job j that was added last to A .
- Job j is compatible with A if $s_j \geq f_{j+1}$.

CO-4

Example:



$$A \rightarrow D : \frac{A-B}{1} + \frac{B-D}{4} = 5 \text{ Any}$$

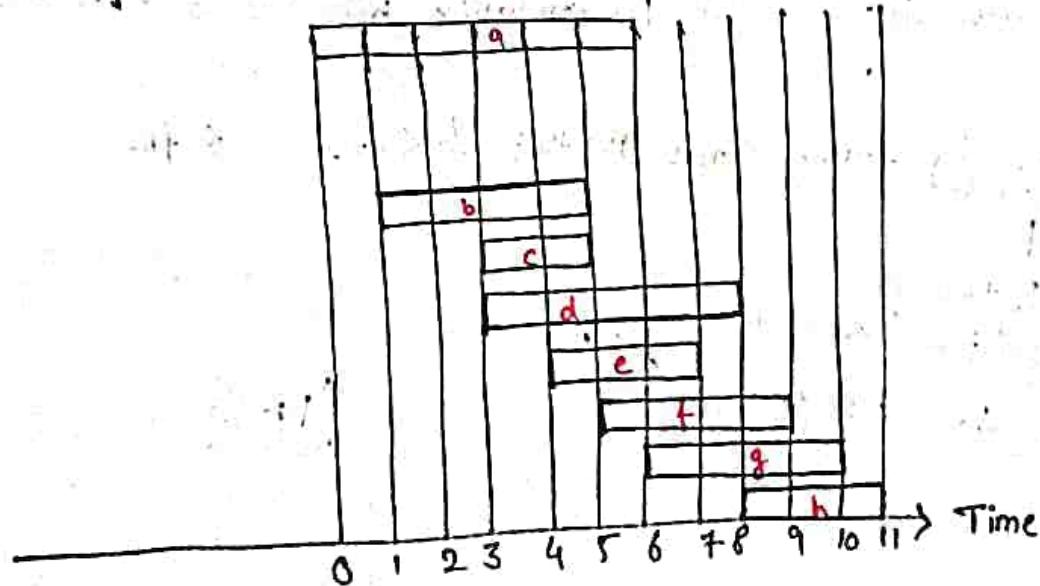
Note:-

- 1) No Extra memory is used to find the optimal solution using Greedy Algorithm.
- 2) We may or may not get the optimal solution using Greedy Algorithm.

13

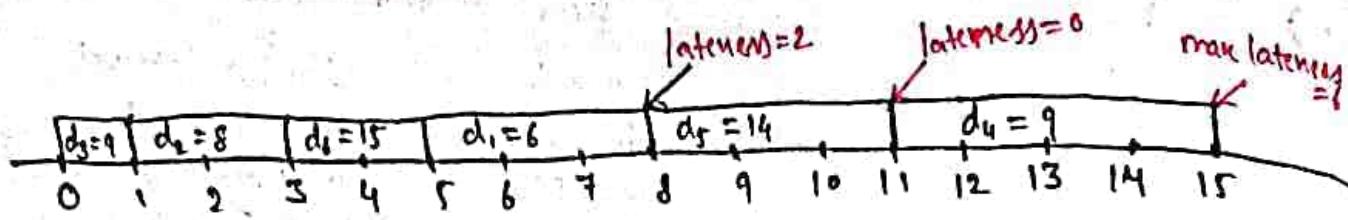
• Interval scheduling

- Job j starts at s_j and finishes at f_j .
- Two jobs compatible if they don't overlap.
- Goal: find maximum subset of mutually compatible jobs



• Interval scheduling: Greedy Algorithms:

Greedy template. Consider jobs in some natural order. Take each job provided its compatible with the ones already taken.



Greedy template: Consider jobs in some order

- [Shortest processing time first] Consider jobs in ascending order of processing time t_j .
- [Earliest deadline first] Consider jobs in ascending order of deadline d_j .
- [Smallest slack] Consider jobs in ascending order of slack $d_j - t_j$.

Greedy template: Consider jobs in some order.

- [Shortest processing time first] Consider jobs in ascending order of processing time t_j .

	1	2
t_j	1	10
d_j	100	10

Counter example.

- [Smallest slack] Consider jobs in ascending order of slack $d_j - t_j$.

	1	2
t_j	1	10
d_j	2	10

Counter example

Greedy Algorithm: Earliest deadline first

Sort n jobs by deadline d_j , that $d_1 \leq d_2 \leq \dots \leq d_n$

$$t \leftarrow 0$$

for $j=1$ to n

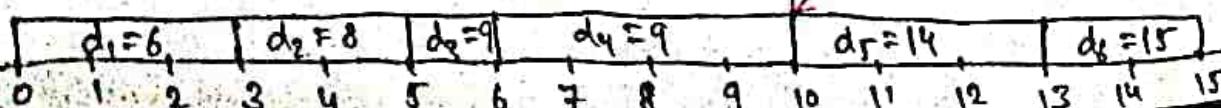
Assign job j to interval $[t, t+t_j]$:

$$s_j \leftarrow t, f_j \leftarrow t+t_j$$

$$t \leftarrow t+t_j$$

Output intervals $[s_j, f_j]$

max latency = 1



Earliest starting time

EST

$a \rightarrow 0$
 $b \rightarrow 1$
 $c \rightarrow 3$
 $d \rightarrow 3$
 $e \rightarrow 4$
 $f \rightarrow 5$
 $g \rightarrow 6$
 $h \rightarrow 8$

$$\# \boxed{f_i \leq s_j}$$

For $EST \rightarrow \{a, g\}$
 $EFT \rightarrow \{b, e, h\}$
 $S_I \rightarrow \{c\}$
 $F_C \rightarrow \{h, b, e\}$

Earliest finish time

EFT

$a \rightarrow 6$
 $b \rightarrow 4$
 $c \rightarrow 5$
 $d \rightarrow 8$
 $e \rightarrow 7$
 $f \rightarrow 9$
 $g \rightarrow 10$
 $h \rightarrow 11$

Shortest interval

$a \rightarrow 6-0=6$
 $b \rightarrow 4-1=3$
 $c \rightarrow 5-3=2$
 $d \rightarrow 8-3=5$
 $e \rightarrow 7-4=3$
 $f \rightarrow 9-5=4$
 $g \rightarrow 10-6=4$
 $h \rightarrow 11-8=3$

fewest conflict

$a \rightarrow 5. (b, c, d, e, f)$
 $b \rightarrow 3. (a, c, d)$
 $c \rightarrow 4. (a, b, d, e)$
 $d \rightarrow 6. (a, b, c, e, f, g)$
 $e \rightarrow 5. (a, c, d, f, g)$
 $f \rightarrow 5. (a, d, e, g, h)$
 $g \rightarrow 4. (d, e, f, h)$
 $h \rightarrow 2. (f, g)$

Scheduling to Minimize Lateness

Minimizing lateness problem.

- Single resource processes one job at a time.
 - Job j requires t_j units of processing time and is due at time d_j .
 - If j starts at time s_j , it finishes at time $f_j = s_j + t_j$
 - Lateness: $d_j - \max\{0, f_j - d_j\}$
 - Goal: Schedule all jobs to minimize maximum lateness
- $L = \max d_j$

Eg

	1	2	3	4	5	6
t_j	3	2	1	4	3	2
d_j	6	8	9	9	14	15

Interval Partitioning: Lower Bound on Optimal Solution.

Def. The depth of a set of open intervals is the maximum number that contain any given time.

Key observation: Number of classrooms needed \geq depth.

Ex. Depth of schedule below = 3 \Rightarrow Schedule below is optimal.

a. Does there always exist a schedule equal to depth of intervals?



16

> Greedy algorithm:- Consider lectures in increasing order of start time! assign lecture to any compatible classroom.

Sort intervals by starting time so that $s_1 \leq s_2 \leq \dots \leq s_n$.

$d \leftarrow 0$ \leftarrow number of allocated classrooms

for $j=1$ to n {

 if (lecture j is compatible with some classroom k)
 Schedule lecture j in classroom k .

 else

 allocate a new classroom $d+1$

 Schedule lecture j in classroom $d+1$

 }

}

$d \leftarrow d+1$

Implementation $O(n \log n)$.

- For each classroom K maintain the finish time of the last job added.
- Keep the classrooms in a priority queue.

16

Coaching

- Coaching.

 - Cache with capacity to store k times.
 - Cache with capacity m item requests d_1, d_2, \dots, d_m .
 - Sequence of m item already in Cache when requested.
 - Cache hit: item not already in cache when requested.
 - Cache miss: item not already in cache, and evict some existing item, if full.

Goal. Eviction schedule that minimizes number of cache misses.

Ex: $k=2$, initial cache = ab,
requests: a, b, c, b, c, a, a, b.

Optimal eviction Schedule: 2 Cache misses

Method Cache

a	a	b
b	a	b
c	b	b
b	c	b
c	c	b
d	a	b
a	a	b
b	a	b

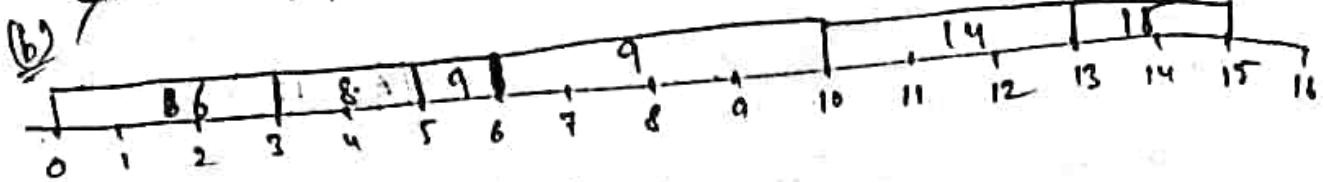
- > Farthest-in-future: Evict item in the **requests** cache
cache that is not requested until farthest in the future.

current cache [a b c d e f]

Theorem: [Bellady, 1960s] FF is optimal eviction schedule.

If: Algorithm and theorem are intuitive; proof is subtle

~~max {0,}~~



$$\max \{0, 3-6\} = 0$$

$$\max \{0, 5-8\} = 0$$

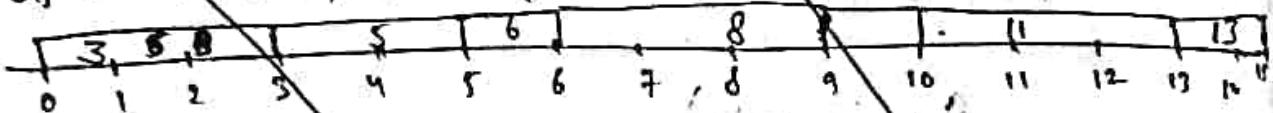
$$\max \{0, 6-9\} = 0$$

$$\max \{0, 10-9\} = 0$$

$$\max \{0, 13-14\} = 0$$

$$\max \{0, 15-15\} = 0$$

(c) ~~$d_j - dt = 3, 6, 8, 5, 11, 13 \rightarrow 3, 5, 6, 8, 11, 13$~~



$$\max \{0, 0\} = 0$$

$$\max \{0, 0\} = 0$$

$$\max \{0, 0\} = 0$$

$$\max \{0, 2\} = 2$$

$$\max \{0, 2\} = 2$$

$$\max \{0, 2\} = 2$$

(d) $d_j - dt = \text{slack}$ $P_1, P_2, P_3, P_4, P_5, P_6$

P_j	1	2	3	4	5	6
d_j	3	2	11	4	3	2
$d_j - dt$	6	8	9	9	14	15
slack	3	6	8	5	11	13

$L_{j=0}$

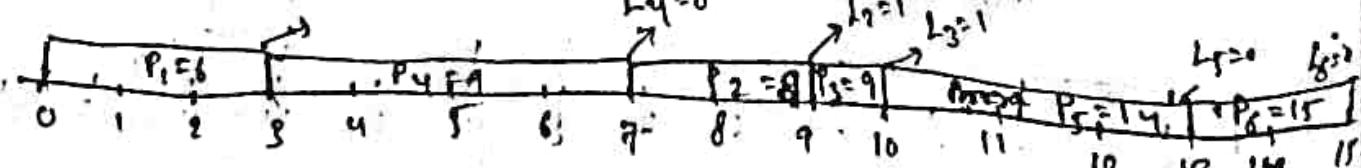
$L_{j=0}$

$L_{j=1}$

$L_{j=1}$

$L_{j=0}$

$L_{j=1}$



Total latency = $P_1 \times 2$

→ There are three methods/approaches used to find the lateness:

- Shortest Processing Time (t_i) first $(f_s - d_s)$
 - Earliest Deadline (d_i) first
 - Smallest Slack ($d_i - t_i$) first
- a) SPTF (t_i)

Q Find the maximum lateness using the approaches

Process Number (P_i)	P_1	P_2	P_3	P_4	P_5	P_6
Process Time (t_i)	3	2	1	4	3	2
Deadline (d_i)	6	8	9	9	14	15

$$\text{lat} \rightarrow \max \{0, (f_1 - d_1)\} = \max \{0, -8\} = 0$$

$$= \max \{0, (f_2 - d_2)\} = \max \{0, 3 - 8\} = 5$$

$$\rightarrow \max \{0, (f_3 - d_3)\} = \max \{0, -5\} = 0$$

$$\rightarrow \max \{0, (f_4 - d_4)\} = \max \{0, 5 - 15\} = \max \{0, -10\} = 0$$

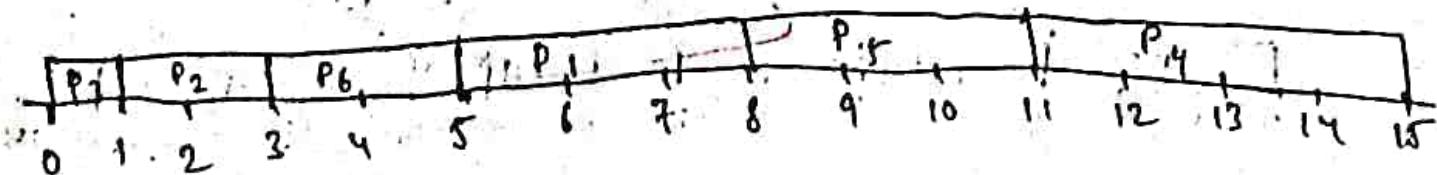
$$\rightarrow \max \{0, (f_5 - d_5)\} = \max \{0, 8 - 6\} = 2$$

$$\rightarrow \max \{0, (f_6 - d_6)\} = \max \{0, 11 - 14\} = 0$$

$$\rightarrow \max \{0, f_4 - d_4\} = \max \{0, 15 - 9\} = 6$$

Max. late = 6

Total lateness = $0 + 2 + 0 + 0 + 5 + 6 = 13$



Single-Link k-clustering algorithm

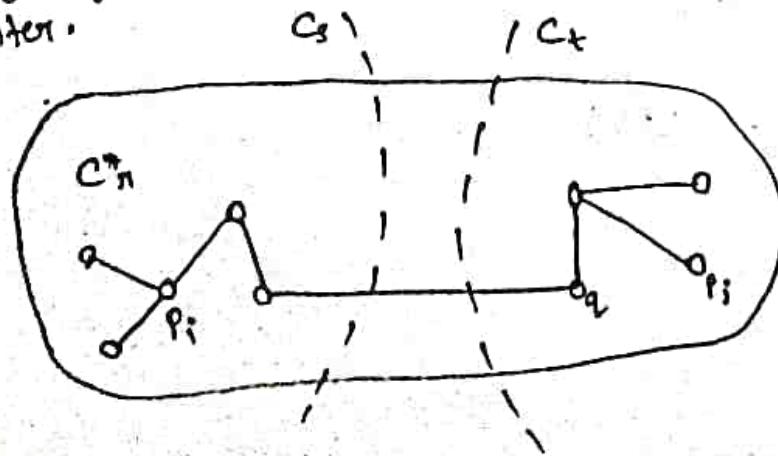
- Form a graph on the vertex set V , corresponding to n clusters.
 - Find the closest pair of objects such that each object is in a different cluster, and add an edge between them.
 - Repeat $n-k$ times until there are exactly k clusters.
- Key observation. This procedure is precisely Kruskal's algorithm (except we stop when there are k connected components).

Remark. Equivalent to finding an MST and deleting the $k-1$ most expensive edges.

Theorem. Let C^* denote the clustering C_1^*, \dots, C_k^* formed by deleting the $k-1$ most expensive edges of a MST. C^* is a k -clustering of max spacing.

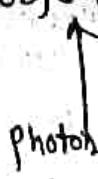
If. Let C denote some other clustering C_1, \dots, C_k .

- The spacing of C^* is the length d^* of the $(k-1)^{st}$ most expensive edge.
- Let p_i, p_j be in the same cluster in C^* , say C_m^* ,
- but different clusters in C , say C_s and C_t .
- Some edge (p, q) on $p_i - p_j$ path in C_m^* spans two different clusters in C .
- All edges on $p_i - p_j$ path have length $\leq d^*$ since Kruskal chose them.
- Spacing of C is $\leq d^*$ since p and q are in different cluster.



Clustering. Given a set U of n objects labeled p_1, \dots, p_n ,

classify into coherent groups.



photos, documents, micro-organisms

Distance function - Numeric value specifying "closeness" of two objects.

↑
number of corresponding pixels whose intensities differ by some threshold

Fundamental problem - Divide into clusters so that points in different clusters are far apart.

- Routing in mobile ad-hoc networks.
- Identify patterns in gene expression.
- Document categorization for web search.
- Similarity searching in medical image database
- Skycat: cluster 10^9 sky objects into stars, quasars, galaxies.

K-clustering. Divide objects into k non-empty groups.

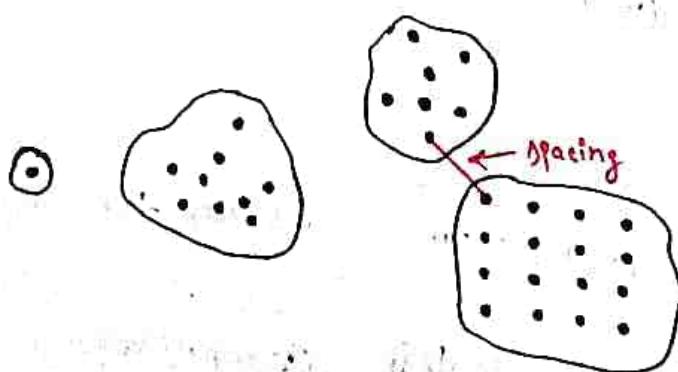
Distance function. Assume, it satisfies several natural properties.
• $d(p_i, p_j) = 0$ iff $p_i = p_j$ (identity of indiscernibles)
• $d(p_i, p_j) \geq 0$ (nonnegativity)
• $d(p_i, p_j) = d(p_j, p_i)$ (symmetry)

Spacing.

Min distance between any pair of points in different clusters

Clustering of maximum Spacing

Given an integer k , find a k -clustering of maximum spacing



102 - 11
Huffman
(Algorithm)
Coding

Algorithm

Page - 18

Time complexity

Huffman(S) {

: if $|S| = 2$ {
return tree with root and 2 leaves

} else {

let y and z be lowest-frequency letters

in S

$S' = S$

remove y and z from S'

insert new letter w in S' with $f_w = f_y + f_z$

$T' = \text{Huffman}(S')$

$T = \text{add two children } y \text{ and } z \text{ to leaf } w$
from T'

return T

}

}

Time Complexity -

$$T(n) = T(n-1) + O(n)$$

$$\text{so } O(n^2)$$

Note:

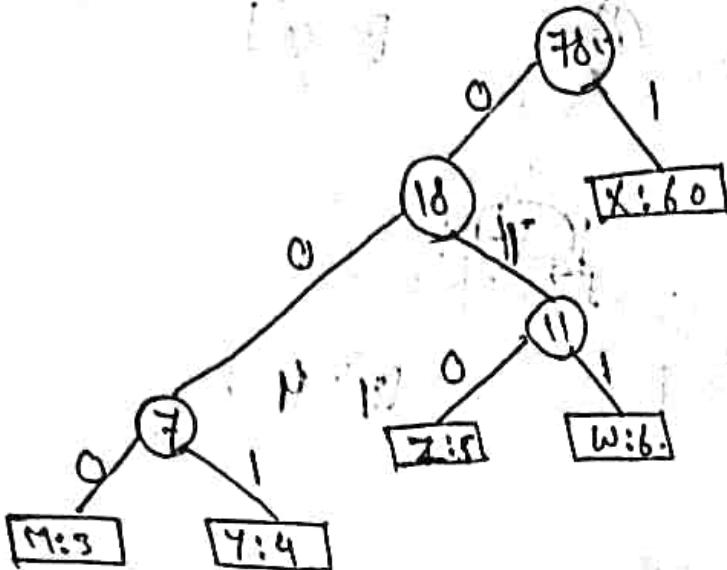
→ Huffman coding is used to compress the size of the data / message

" " Huffman Coding is lossless compression

Recurrence Relation of Huffman Coding is

(iv) Time complexity of Huffman Coding $O(n)$

$$T(n) = T(n-1) + C_n$$



Note:
 i) $CV \geq NV$ (LHS)
 ii) $CV < NV$ (RHS)
 New Value

Note
 LHS = 0
 RHS = 1

Character	Code
w	0011 (3 bits)
X	1 (1 bit)
Y	001 (3 bits)
Z	010 (3 bits)
M	000 (3 bits)

Total no. of bits without comparison

$$7 \times 78 = 546$$

" " 4 " " with comparison

$$6 \times 3 + 60 \times 1 + 10 \times 4 \times 3 + 5 \times 3 + 3 \times 3$$

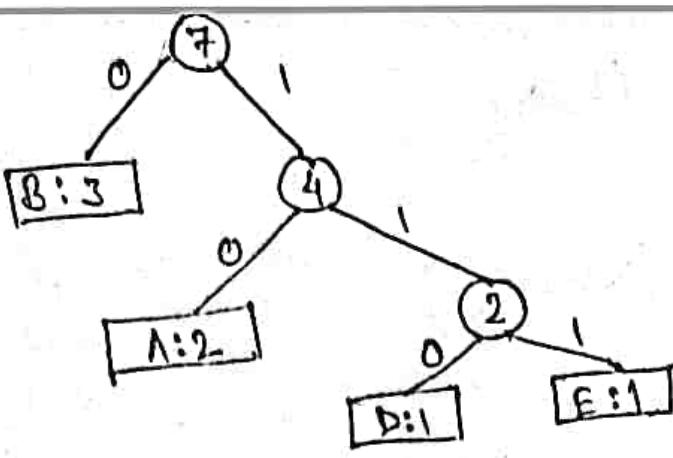
$$= 114 \text{ bits}$$

Avg. bits.

$$\frac{114}{78} = 1.461 \text{ bits/char.}$$

$$\begin{aligned} \text{No. of bits saved} &= 546 - 114 \\ &= 432 \end{aligned}$$

$$\text{Avg. bits saved / char.} = 7 - 1.461 =$$



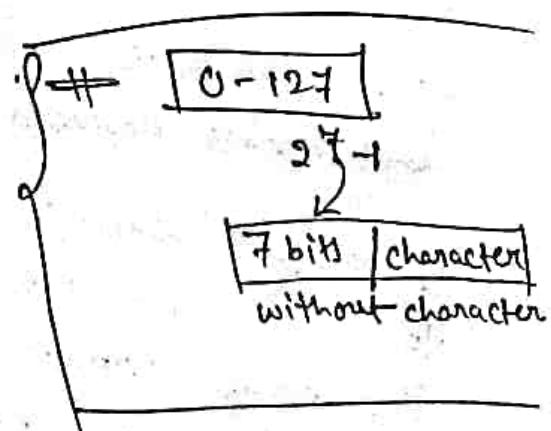
Huffman Tree

Character	Code	
A	1 0	(2 bits)
B	0	(1 bit)
D	1 1 0	(3 bits)
E	1 1 1	(3 bits)

Note:-
LHS \rightarrow 0
RHS \rightarrow 1

$$7 \times 7 = 49 \text{ bits}$$

without compression



Total bits after compression :-

$$A \rightarrow 2 \times 2 = 4$$

$$B \rightarrow 3 \times 1 = 3$$

$$C \rightarrow 1 \times 3 = 3$$

$$D \rightarrow 1 \times 3 = 3$$

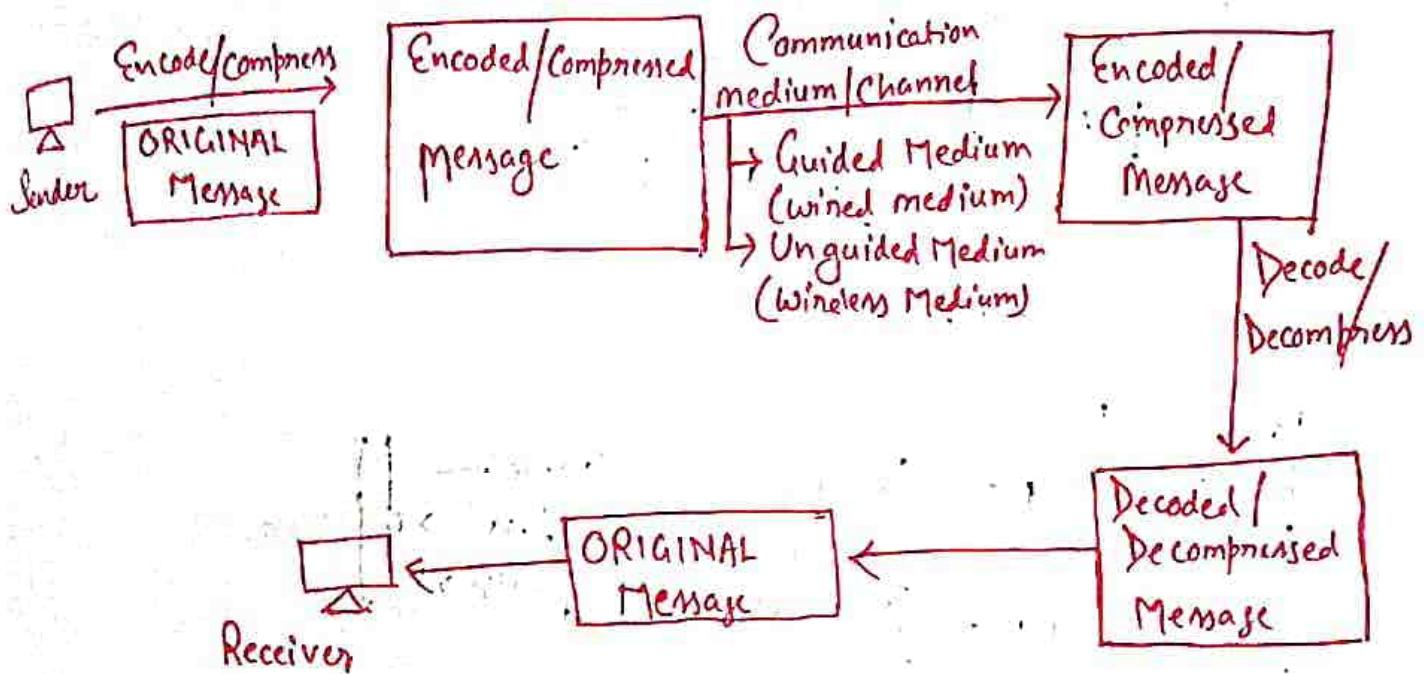
$$\text{No. of bits saved} = 49 - 13 = 36 \text{ bits}$$

$$\text{bits saved per character} = \frac{13}{7} = 1.85 \text{ bit/ch.}$$

Q What is the total number of bits needed to represent the message using Huffman coding and find the average number of bits required per character.

Character	Frequency
W	6
X	60
Y	4
Z	5
M	3

Huffman Coding:



Lossy Compression - Some data is /are missing

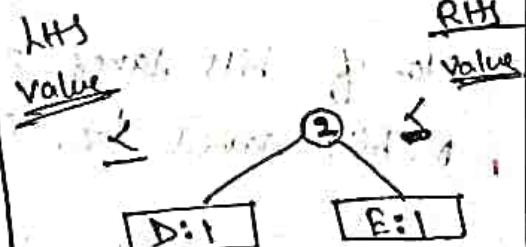
~~Lossless~~ Lossless Compression - No data is missing.

- Q ~~With which~~ what is the total number of bits needed to transfer the message using Huffman Coding and find the average number of bits needed for each character

Message / Data : AABBBDE

Solution →

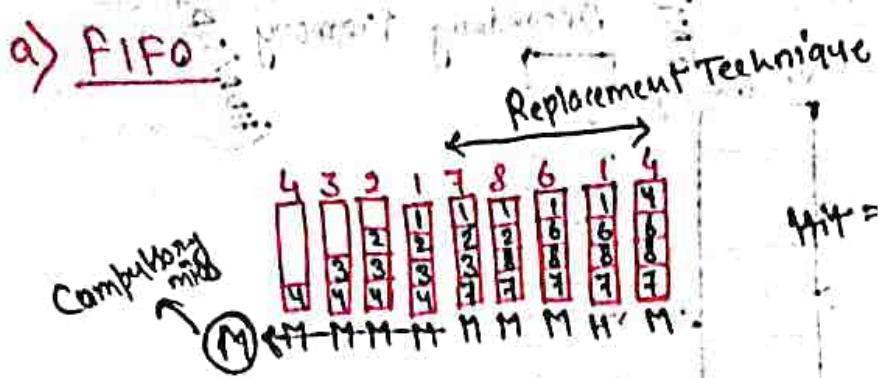
Character	frequency
A	2
B	3
D	1
E	1



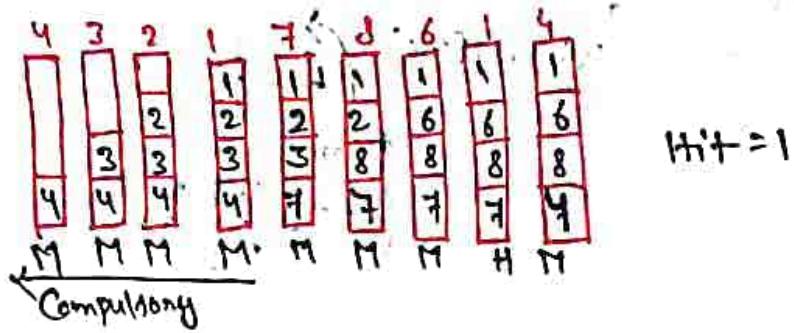
Note:

Left side → Current value ≥ value

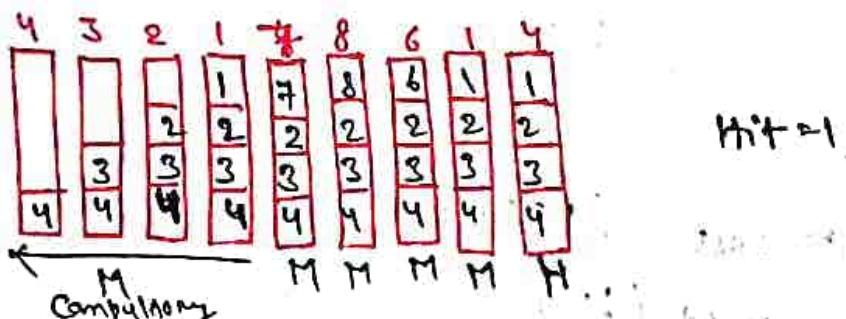
Right side → Current value < next value



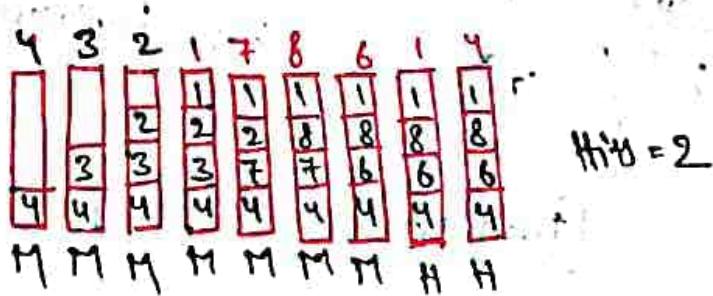
b) LRU: $(4, 3, 2, 1, 7, 8, 6, 1, 4)$



c) MRU:

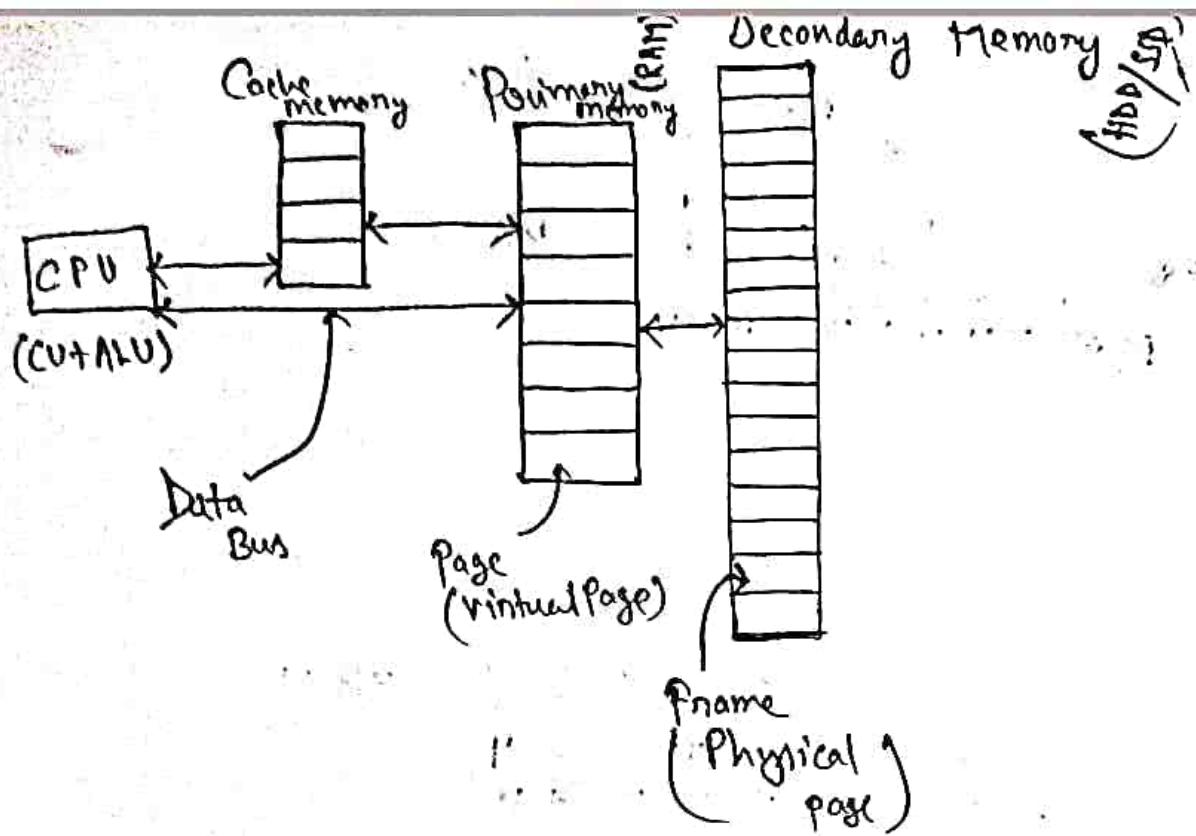


d) OC:



Note:-

- i) Optimal Caching will not be practically implemented.
- ii) Bellady has proposed this theorem in 1960.
- iii) If Hit count will increase, then the preference will be increased.



There are 4 methods / techniques used to do the cache Replacement -

- 1) First In First Out (FIFO)
- 2) Least Recently used (LRU)
- 3) Most Recently Used (MRU)
- 4) Optimal Caching (OC) / Optimal Cache Replacement (OCR)

[Farthest-In-Future]

- Q. Why the pg. replacement techniques find what is the number of hit(s) / miss(es), where page size is 4.

Data Streams: 4,3,2,1,7,8,6,1,4

Def. A Reduced schedule is a schedule that only inserts an item into the cache in a step in which that item is requested.

Intuition: Can transform an unreduced schedule into a reduced one with no more cache misses.

a	a	b	c
a	a	x	c
c	a	d	c
d	a	d	b
a	a	c	b
b	a	x	b
c	a	c	b
a	a	b	c
a	a	b	c

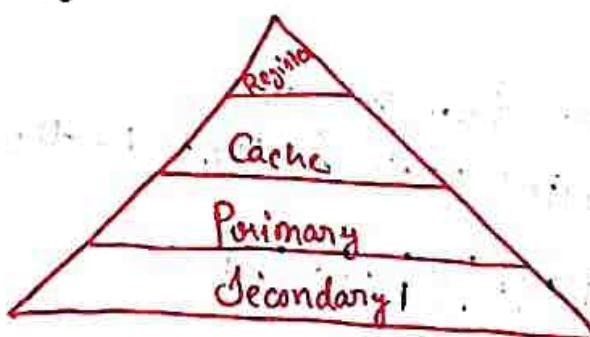
an unreduced schedule

a	a	b	c
a	a	b	c
c	a	b	c
d	a	d	c
a	a	d	c
b	a	d	b
c	a	c	b
a	a	c	b

a reduced schedule

Memory Hierarchy

Low Size/capacity
High



Performance

Fastest
Slowest

The Divide and conquer approach.

Divide:

The problem into a number of subproblems are smaller instances of the same problem

conquer:

The subproblems by solving them recursively. If the subproblem sizes are small enough, however just solve the subproblems in the straight forward manner

combine:

The solutions to the subproblems into the solutions for the original problem.

The merge sort algorithm closely follows the divide & conquer paradigm. Intuitively, it operates as follows.

divide

divide the n -elements sequence to be sorted into two subsequences of $n/2$ elements each

conquer

sort the two subsequences recursively using merge sort

combine

merge the two sorted subsequences to produce the sorted answer.

MERGE (A, P, Q, R)

$$1. n_1 = Q - P + 1$$

$$2. n_2 = R - Q$$

3. let $L[1 \dots n_1+1]$ and $R[n_1+1 \dots n_2+1]$ be new arrays



- u. for $i = 1$ to n_2
5. $L[i] = A[p+i-1]$
6. for $j = 1$ to n_2
7. $R[j] = A[q+j-1]$
8. $L[n_1+1] = \infty$

~~if $R[n_2+1] < \infty$ then proceed to standard insertion~~

~~otherwise if $R[n_2+1] = \infty$ then proceed to standard insertion~~

10. $j = 1$

11. for $x = p$ to r

13. if $L[i] \leq R[j]$

~~insert $A[x] = L[i]$ at x and move left end of L to $L[i+1]$~~

15. $i = i+1$

16. else $A[x] = R[j]$

17. $j = j+1$

MERGE-SORT (A, p, n)

1. if $p < n$
2. $q = \lfloor (p+n)/2 \rfloor$
3. MERGE-SORT (A, p, q) $\rightarrow T(n/2)$
4. MERGE-SORT ($A, q+1, n$) $\rightarrow T(n/2)$
5. MERGE (A, p, q, n)

EXAMPLE: Element of Unsorted array having $n=8$ are given
Sort the elements present in the array using Merge-Sort

Array	<table border="1"> <tr> <td>4</td><td>8</td><td>2</td><td>7</td><td>3</td><td>1</td><td>6</td><td>5</td><td>9</td> </tr> </table>	4	8	2	7	3	1	6	5	9
4	8	2	7	3	1	6	5	9		
	0 1 2 3 4 5 6 7 8									
	(P) (q) (n)									

Answe: Elements of the array are 1, 2, 3, 4, 5, 6, 7, 8, 9.

Soln

$$a = \left\lfloor \frac{(P+n)}{2} \right\rfloor = \left\lfloor \frac{0+8}{2} \right\rfloor = 4 \quad \left\lfloor \frac{8}{2} \right\rfloor = 4 \quad \left\lfloor \frac{4}{2} \right\rfloor = 2 \quad \left\lfloor \frac{2}{2} \right\rfloor = 1 \quad \left\lfloor \frac{1}{2} \right\rfloor = 0 \quad \left\lfloor \frac{0}{2} \right\rfloor = 0$$

4	8	2	7	3	1	6	4	5
0	1	2	3	4	5	6	7	8

4	8	2	7	3	1	6	4	5
0	1	2	3	4	5	6	7	8

1	6	5	4
---	---	---	---

4	8	2	7	3	1	6	5	4
0	1	2	3	4	5	6	7	8

4	8	2	7	3
---	---	---	---	---

1	6	5	4
---	---	---	---

4	8
---	---

7	3
---	---

4	8
---	---

2	7
---	---

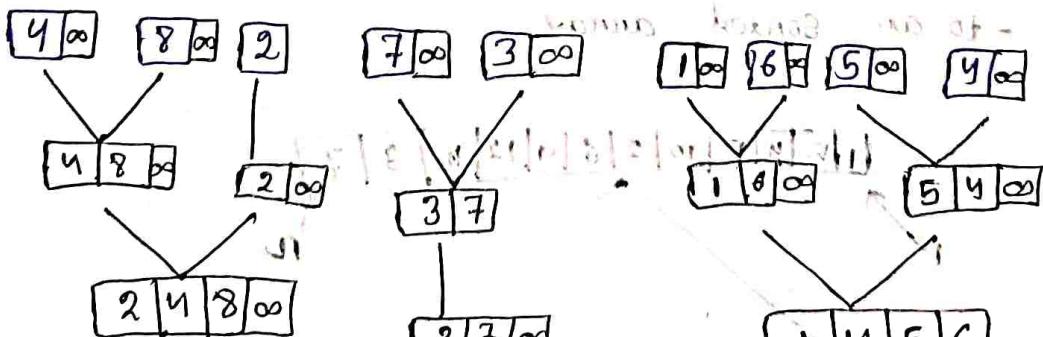
3	6
---	---

1	6
---	---

5	4
---	---

5	4
---	---

Number of Subsequences of length 3 = 8



1	2	3	4	5	6	7	8
---	---	---	---	---	---	---	---

1	2	3	4	5	6	7	8
---	---	---	---	---	---	---	---

RECURRANCE RELATION

$$T(n) = T\left(\frac{n}{2}\right) + T\left(\frac{n}{2}\right) + O(c \cdot n)$$

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$

EXAMPLE : IMPLEMENTATION

COUNTING, INVERSION : IMPLEMENTATION

Pre-condition [merge-and-count] A and B are sorted
Post-condition [sort-and-count] L is sorted.

sort-and-count(L) {

if list L has one element

return 0 and the list L.

divide the list into two halves of A and B

(π_A, A) \leftarrow sort-and-count(A)

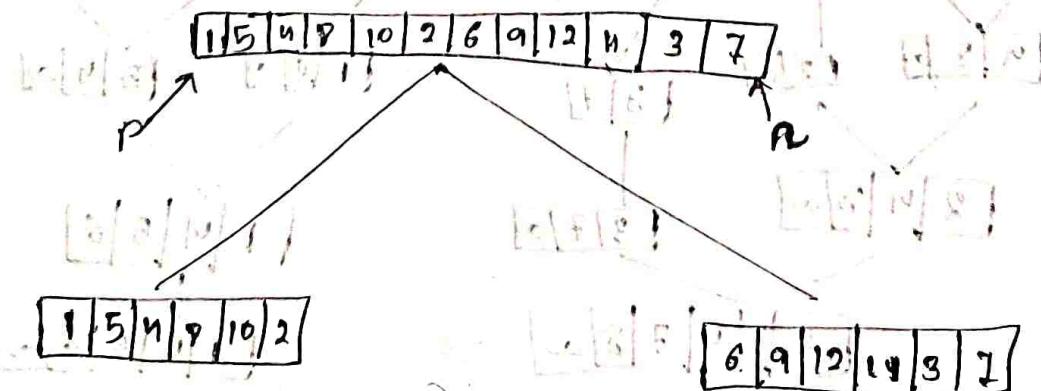
(π_B, B) \leftarrow sort-and-count(B)

(π, L) \leftarrow merge-and-count(A|B)

return $\pi = \pi_A + \pi_B + \pi$ and the sorted list L

EXAMPLE

find the total number of inversions need to make the unsorted array - to an sorted array.



5 | (6-4), (5-2), (4-2), (8-2) (10-2)

8 | (6-3), (9-3), (9-7), (12-11), (12-3), (12-7), (11-3), (11-2), [13]A

9) (4-3), (5-3), (8-3), (8-6), (8-7), (10-3), (10-6), (10-7), (10-9)
[13]B after [13]A second

$$5+8+9 = 22$$

[13]B after [13]A second

Recurrence relation: $T(n) = 2T(n/2) + n$

TC = $O(n \log_2 n)$

NOTE

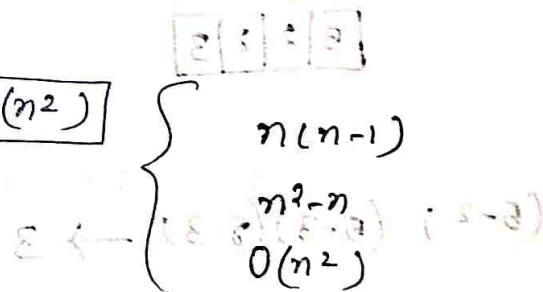


* $TC = O(n \log_2 n)$ (using DAC (divide and conquer))

* Implementation of Merge Sort.

* Brute Force Method: $TC = O(n^2)$

$$O(n^2) > O(n \log_2 n)$$



Hence we use DAC.



→ QUICK SORT (A, p, r)

1. if $p < r$

→ partitioning to the array

2. $q = \text{PARTITION } (A, p, r)$

3. $\text{QUICKSORT } (A, p, q-1)$

4. $\text{QUICKSORT } (A, q+1, r)$

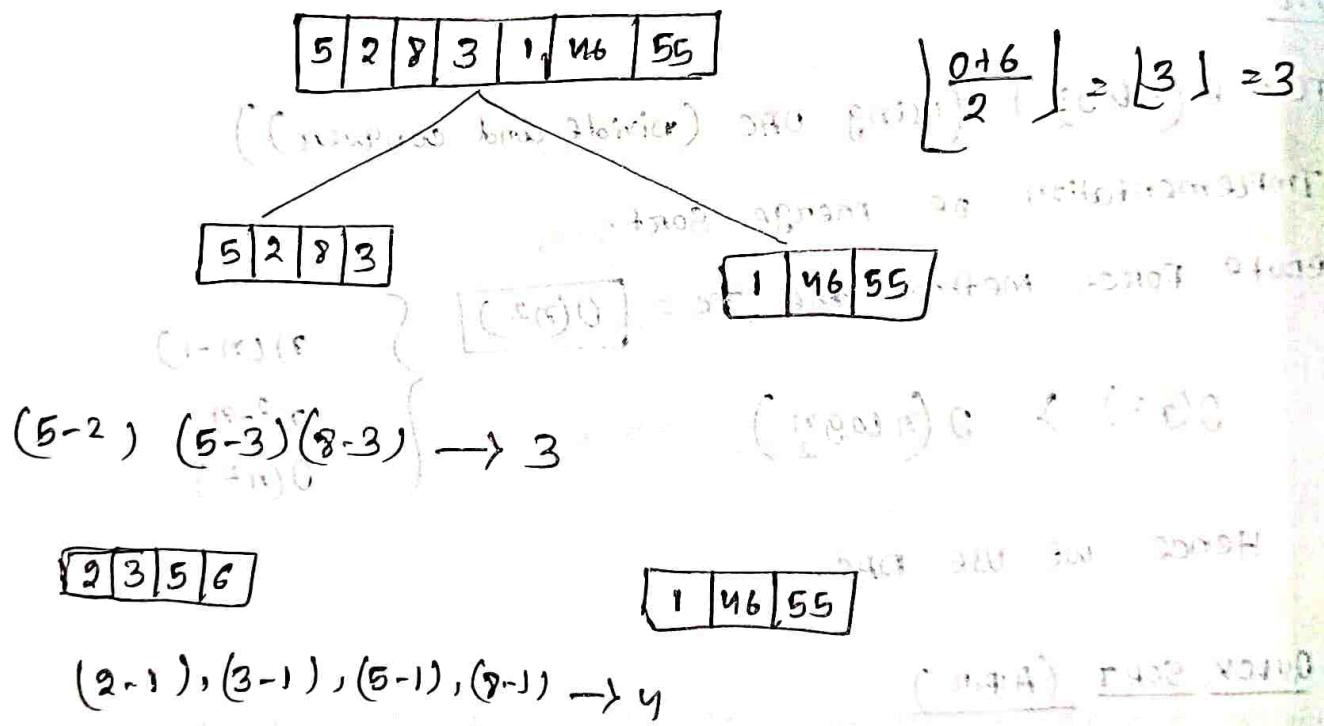
→ Partitioning the array

PARTITION (A, p, r)

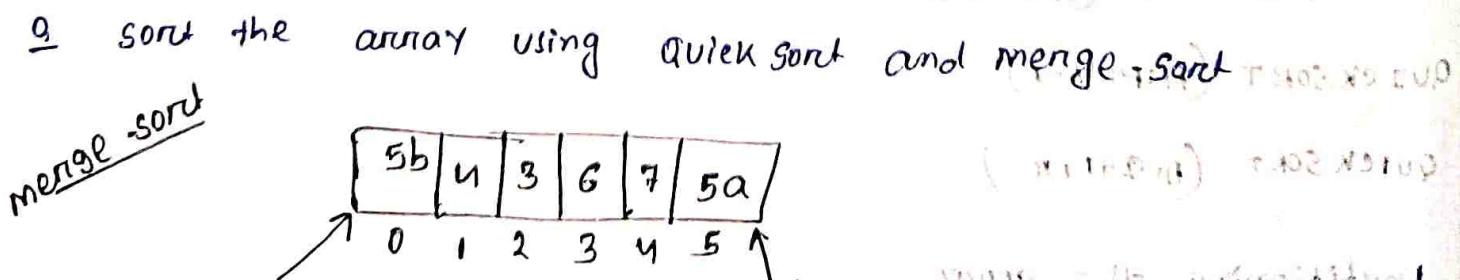
1. $m = A[r]$



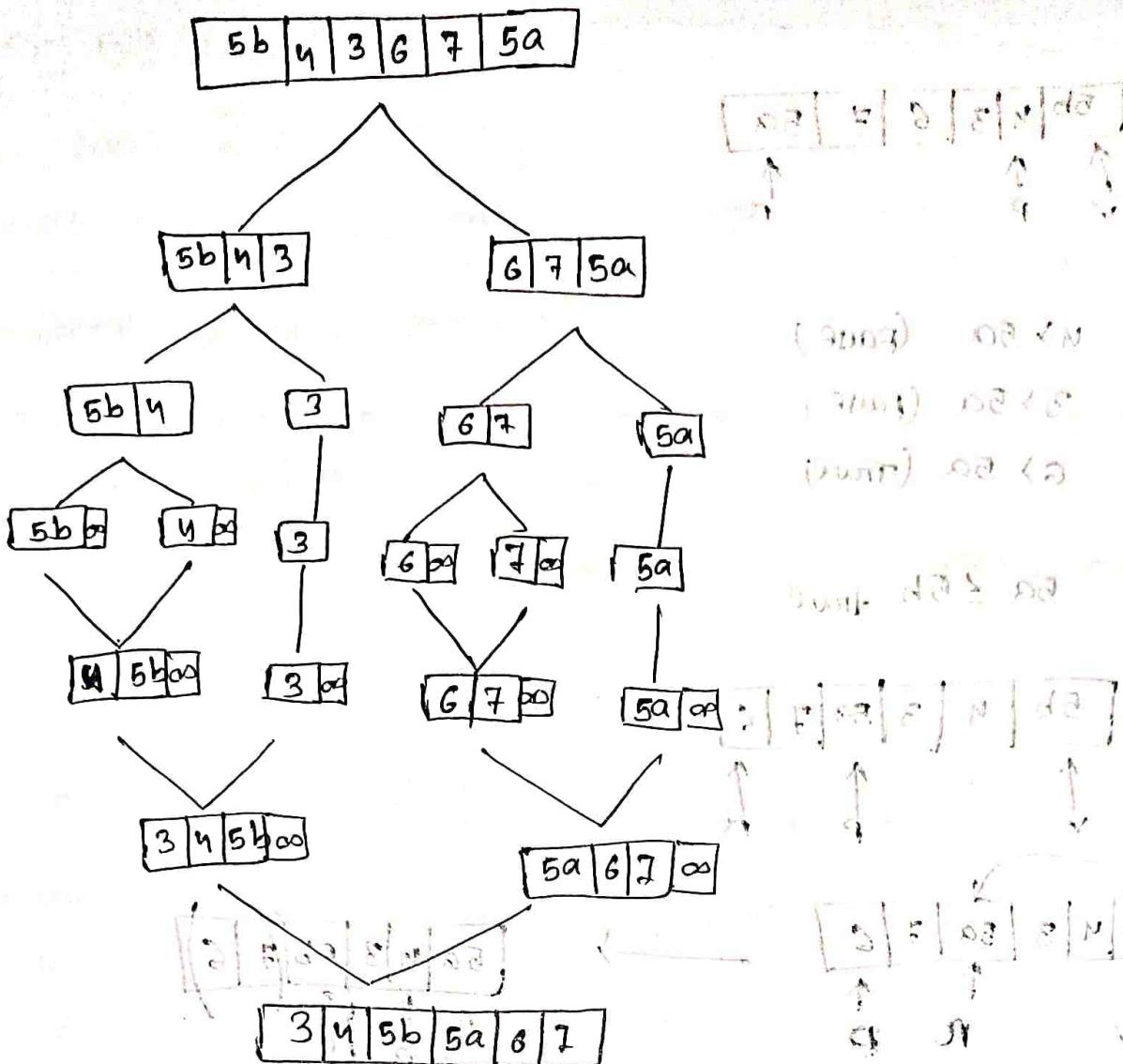
2. $i = p-1$
 3. For $j=p$ to $n-1$
 4. If $A[j] \leq x$, $(e-a), (e-b), (e-c), (e-d), (e-e), (e-f), (e-g)$
 5. $i = i+1$
 6. exchange $A[i]$ with $A[j]$
 7. exchange $A[i+1]$ with $A[n]$
 8. return $i+1$
- Q. FIND the total no. of inversion from the given array.



Total no. of inversions = 7



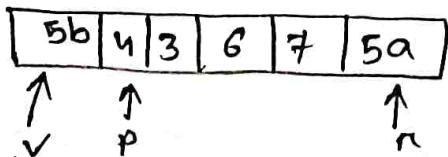
$$\left\lfloor \frac{p+r}{2} \right\rfloor = \left\lfloor \frac{0+5}{2} \right\rfloor = 2$$



(Assume v=5b) alphabetic order - 5a 5b
Solution- steps: [5 | 6 | 3 | 4 | 2 | 1]

- select the first element as pivot element (v)
- denote the next element of pivot element as "p" and run that "p" to the last element by following the condition if ($p > v$) then STOP.
- denote the last element of the array as " π " and run that " π " to the first element by following conditions if ($\pi \leq v$) then STOP.
- Exchange the value of " π " and " v " if P and V crossed each other, otherwise exchange the value of " p " & " π ".

SOLUTION



5b | 4 | 3 | 6 | 7 | 5a

$p > n$

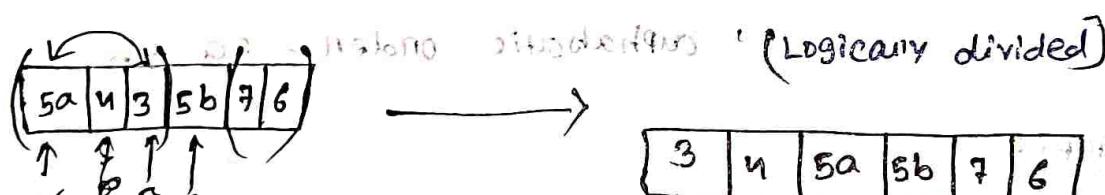
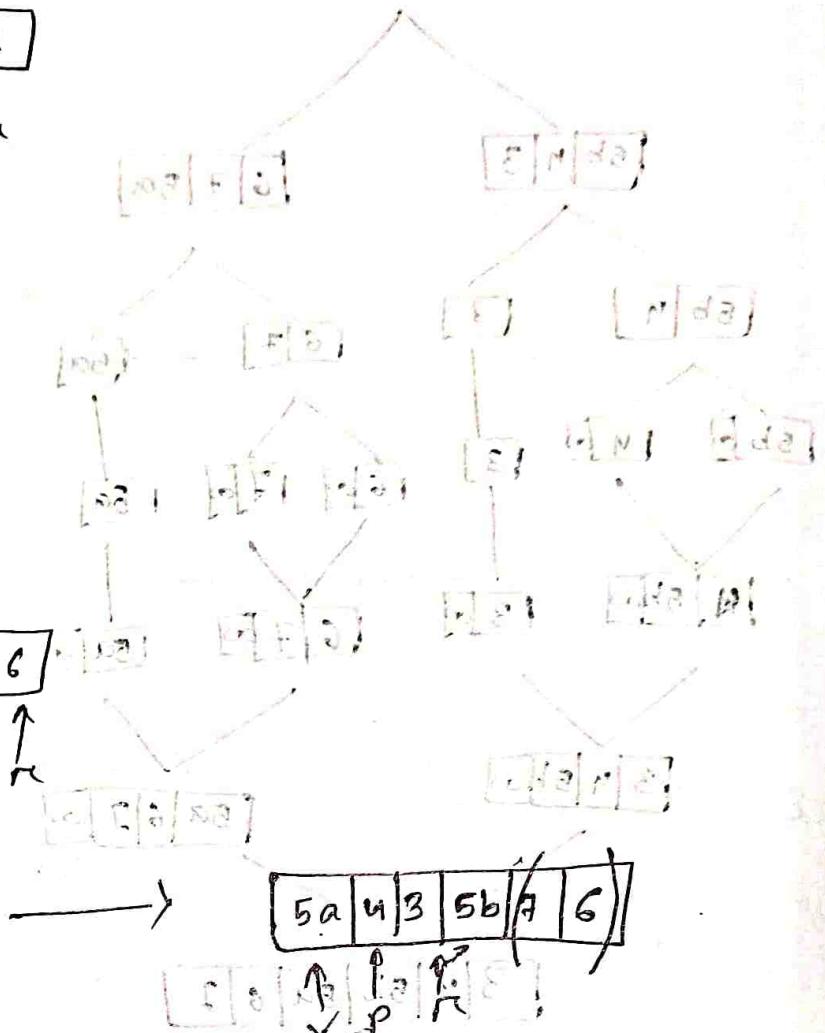
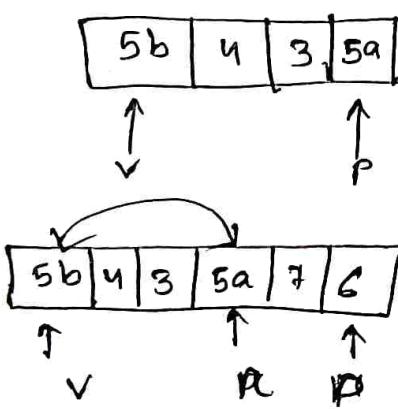
$4 > 5a$ (Pause)

$3 > 5a$ (Pause)

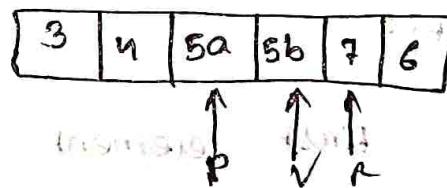
$6 > 5a$ (true)

$n \leq v$

$5a \leq 5b$ true



natural division (logically divided)



• e) business forcing the transmission path through



more work (v24) 33



(v24) business forcing the transmission path through the first 6 of 7th

more work

and forcing it force it "v" to be forced to do the same thing

v>p>n to force the system to do the same thing

CLOSEST PAIR OF POINTS

closest pair: Given n points in the plane, find a pair with smallest Euclidian distance between them.

Fundamental geometric primitive :

- Graphics, computer vision, geographic information systems, molecular modeling, air traffic control.
 - Special case of nearest neighbour, Euclidean MST, Voronoi.

Bowtie Force : Check all pairs of points p_i, q_j with $\theta(n^2)$

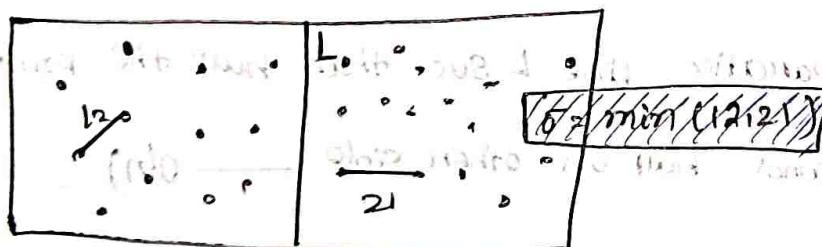
1-D version: $O(n \log n)$ easy if points are on a line

Assumption: No two points have same coordinate.

to make presentation clearer than it was to be done with PPT.

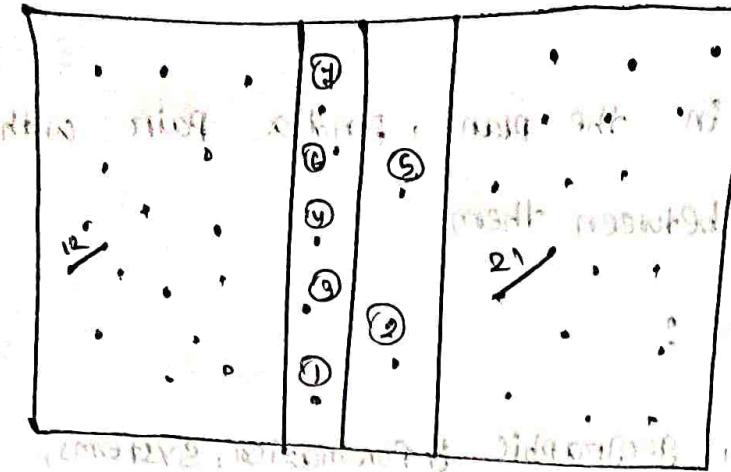
ALGORITHM

- Divide: Draw vertical line L so that roughly $\frac{1}{2}$ m points on each side.



Find closest pair with one point in each side, assuming that distance < 6

- Observation: only need to consider points within δ of line L
 - Sort points in 2D-strip by their y coordinate.
 - Only check distances of those within 11 positions in sorted list



$\sigma = \min(12, 2)$

Def: Let S_i be the point in the i^{th} strip, with the j^{th} smallest y -coordinate.

Claim: If $|i-j| \geq 12$, then the distance between S_i and S_j is at least σ .

PF: Consider two strips i and j such that $|i-j| \geq 12$.
• No two points lie in same $1/2\sigma - \text{box}$.

• Two points at least 2 rows apart have distance $\geq 2(1/2\sigma)$.

Fact: Still true if NP
REPLACE 12 with τ

closest-pair (P₁, ..., P_n)

compute separation line L such that half the points are one side and half on other side. $O(n)$

δ_1 = closest-pair (left half)

δ_2 = closest-pair (right half)

$\sigma = \min(\delta_1, \delta_2)$

Delete all points further than δ from separation line.

$\rightarrow O(n)$ sort remaining points by y-coordinate — $O(n \log n)$

Scan points in y-order and compute distance b/w each point and next n neighbours. If any one of these distance is less than δ , update δ .
return δ .

} (Coordinate sort is $O(n^2)$) $\rightarrow O(n^2)$

Running time: $T(n) \leq 2T(n/2) + O(n \log n)$

$$\Rightarrow T(n) = O(n \log^2 n)$$

KARSTUBA'S ALGORITHM

Solution steps:

- ① Both the numbers must be have even digits
then place ~~1000~~ in the L.H.S of the number to make even.
- ② Divide the digits of the number in equal halves
- ③ $0a, (1st\ half\ or\ 1st\ no.) \times (1st\ half\ of\ 2nd\ no.)$
- ④ $0a, (and\ half\ of\ 1st\ no.) \times (and\ half\ of\ 2nd\ no.)$
- ⑤ $0a, (1st\ half\ of\ 1st\ no.\ +\ and\ half\ of\ 2nd\ no.) \times (1st\ half\ of\ 2nd\ no.\ +\ and\ half\ of\ 2nd\ no.)$

⑥ (Step-5) → (Step-4) → (Step-3)

(Q) Step 3: Now with the no. of 0's (i.e. as per the digits of step-4)

```

graph TD
    A["result of step 3"] --> B["Step 5 with"]
    B --> C["the no. of 0's  
2"]
    D["Result ← (product of two numbers)"] --> C

```

Ex:-

X = 14312

$$Y = 142 \text{ (degrees)}^{\circ} e^{-0.116T}$$

$$S - B = 014 \times 000 = 0$$

$$S-4 = 312 \times 142 = 44304$$

$$S^z = (011 + 312) (000 + 111)$$

9-6 : 96292 - 44304 = 52188

S-7 = From S-3

$$\frac{n}{2}$$

203230M) at 10' west bank - 000-121

workbooks to "Mark for review") x (you want done to "Mark for review")

CLUSTERING

Theorem-3 : cluster

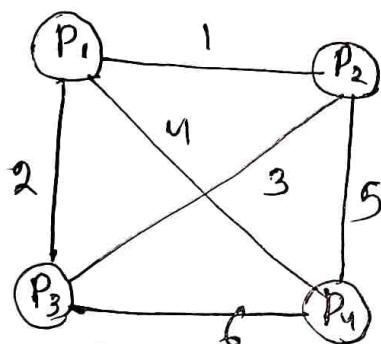
	P_1	P_2	P_3	P_4
P_1	0	1	2	4
P_2	1	0	3	5
P_3	2	3	0	6
P_4	4	5	6	0

2 approaches

① $|V| \rightarrow$ using kruskals algo.

② $|E| \rightarrow$ removing $(K-1)$ th largest weight from the MST

SOLN:-



Kruskals \rightarrow

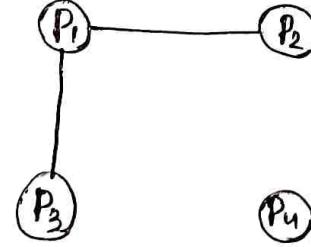
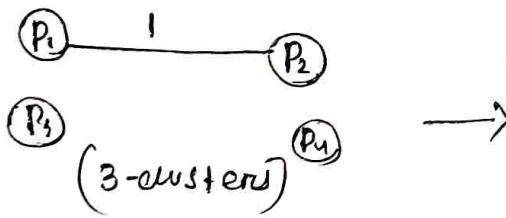
$\{P_1\}$

$\{P_2\}$

$\{P_3\}$

$\{P_4\}$

\rightarrow



(2 clusters)

BINOMIAL CO-EFFICIENT

A Binomial co-efficient nC_k (or) $C(n,k)$ can be defined as the coefficient of x^k in expansion of $(1+x)^n$.

Ex: The Binomial co-efficient is given as follows:

$$(a+b)^n = nC_0 a^n b^0 + nC_1 a^{n-1} b^1 + nC_2 a^{n-2} b^2 + \dots + nC_n a^{n-n} b^n$$

where nC_0, nC_1, \dots, nC_n are called as Binomial Coefficient.

Another Definition:

A Binomial co-efficient nC_k or $C(n,k)$ also gives the number of ways, disregarding order, in which "k" objects can be chosen from "n" objects. More formally, the number of k-elements subsets / k-combinations of an n-element set.

For example, let's say we have 2 objects (i.e., $n=2$)

1) $2C_0 / C(2,0) =$ Number of ways of choosing "0" objects out of 2 objects. $\left[\frac{2!}{0!(2-0)!} = \frac{2!}{2!} = 1 \right]$

2) $2C_1 / C(2,1) =$ Number of ways of choosing '1' object out of 2 objects. $\left[\frac{2!}{1!(2-1)!} = \frac{2!}{1!} = 2 \right]$

3) $2C_2 / C(2,2) =$ Number of ways of choosing 2 objects out of 2 objects. $\left[\frac{2!}{(2-2)!2!} = \frac{2!}{2!} = 1 \right]$

$$nC_k / c(n, k) = \frac{n!}{(n-k)!k!}$$

ALGORITHM FOR BINOMIAL co-efficient

int Binomial-coefficient (n, k)

{

For (i=0 ; i<n ; i++)

do

For (j=0 ; j<=x ; j++)

do

if (j==0 or i==j)

then c[i][j] = 1

else, "c[i][j] = c[(i-1),(j-1)] + c[(i-1),j]"
end for

end for

return c[n,k];

}

RECURRANCE RELATION OF Binomial co-efficient.

$$nC_k = \begin{cases} 1, & \text{if } k=0 \text{ or } n=k \\ n-1C_{k-1}, & \text{for } n>k>0 \end{cases}$$

$$c(n, k) = \begin{cases} 1, & \text{if } k=0 \text{ or } n=k \\ c((n-1),(k-1)) + c((n-1),k), & \text{for } n>k>0 \end{cases}$$

Solution for Binomial co-efficient

$n \setminus k$	0	1	2	3	4	5	6	7
0	1	X	-	-	-	-	-	-
1	1	1	X	-	-	-	-	-
2	1	2	1	X	-	-	-	-
3	1	3	3	1	X	-	-	-
4	1	4	6	4	1	X	-	-
5	1	5	10	10	5	1	X	-
6	1	6	15	20	15	6	1	X
7	1	7	21	35	35	21	7	1

$$C(n, k) = C(n-1, k-1) + C(n-1, k)$$

$$2C_1 / C(2,1) = C(1,0) + C(1,1) = 1+1 = 2$$

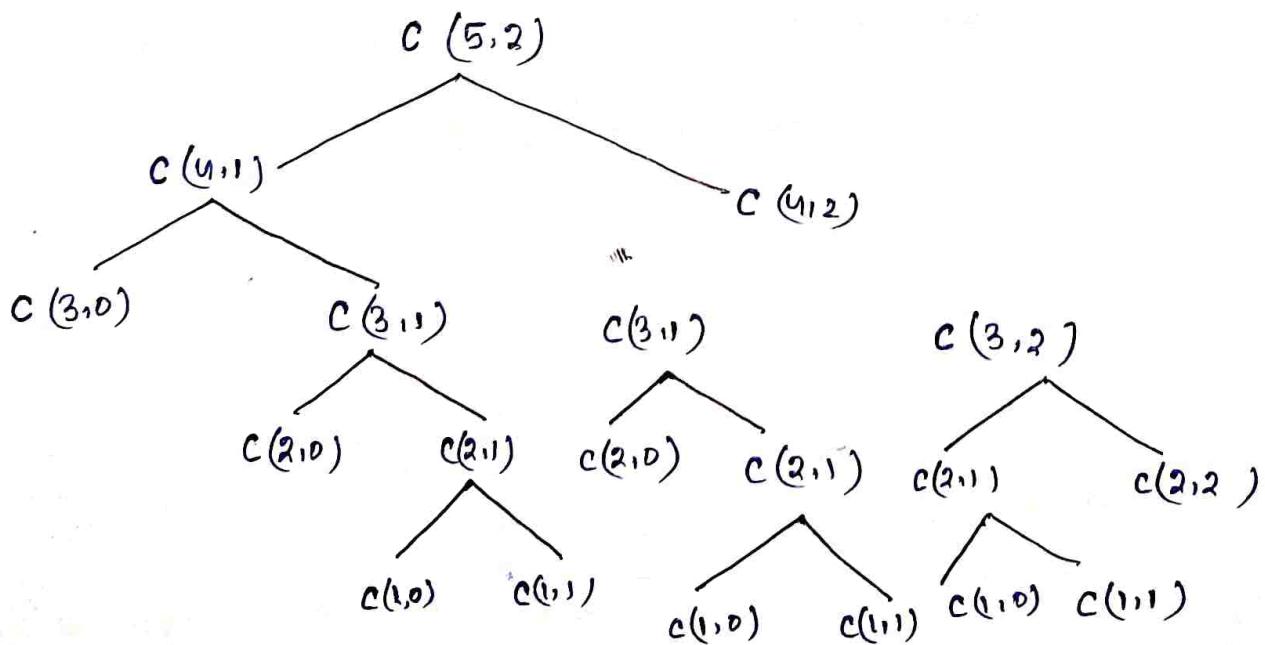
$$n_{C_n} = 1$$

Base condition

Base condition

OVERLAPPING SUBPROBLEM

For $C(5,2)$ the recursion tree will be as follows.



- Since same subproblems are called again and again
 so this problem has "overlapping subproblem".
- Binomial coefficients problem has both property of Dynamic programming (i.e. optimal substructure & overlapping subproblem)

MATRIX CHAIN MULTIPLICATION

CORMAN (read.)

Pg. - 370 - 390

$$A = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix}_{2 \times 2}$$

$$B = \begin{bmatrix} b_{11} & b_{12} & b_{13} \\ b_{21} & b_{22} & b_{23} \end{bmatrix}_{2 \times 3}$$

$$AB = \begin{bmatrix} a_{11} \cdot b_{11} + a_{12} \cdot b_{21} & a_{11} \cdot b_{12} + a_{12} \cdot b_{22} \\ a_{21} \cdot b_{11} + a_{22} \cdot b_{21} & a_{21} \cdot b_{12} + a_{22} \cdot b_{22} \end{bmatrix}$$

$$\begin{bmatrix} a_{11} \cdot b_{13} + a_{12} \cdot b_{23} & a_{11} \cdot b_{13} + a_{12} \cdot b_{23} \\ a_{21} \cdot b_{13} + a_{22} \cdot b_{23} & a_{21} \cdot b_{13} + a_{22} \cdot b_{23} \end{bmatrix}_{2 \times 2}$$

$$AB = \begin{bmatrix} a_{11} \cdot b_{11} + a_{12} \cdot b_{21} & a_{11} \cdot b_{12} + a_{12} \cdot b_{22} \\ a_{21} \cdot b_{11} + a_{22} \cdot b_{21} & a_{21} \cdot b_{12} + a_{22} \cdot b_{22} \end{bmatrix}$$



MATRIX - MULTIPLY (A,B)

1. IF A. columns \neq B. rows
error "incompatible dimensions"
- 2.
3. else, let C be a new A. rows \times B. column matrix
4. FOR i = 1 to A. rows initialize elements of C to 0
5. FOR j = 1 to B. columns initialize elements of C to 0
6. $C_{ij} = 0$ for all k from 1 to A. columns
7. FOR k = 1 to A. columns
8. $C_{ij} = C_{ij} + a_{ik} \cdot b_{kj}$
9. return C.

MATRIX - CHAIN - ORDER (P)

1. $n = p.length - 1$
2. Let $m[1..n, 1..n]$ and $S[1..n-1, 2..n]$ be new tables
3. FOR i = 1 to n
4. $m[i,i] = 0$
5. FOR l = 2 to n // l is the chain length
6. FOR i = 1 to n-l+1
7. $j = i+l-1$
8. $m[i,j] = \infty$
9. FOR k = i to j-1
10. $q = m[i,k] + m[k+1,j] + p_{i-1} \cdot p_k \cdot p_j$
11. if $q < m[i,j]$
12. $m[i,j] = q$
13. $S[i,j] = k$
14. return m and S

RECURSIVE SOLUTION

$$M[i, j] = \begin{cases} 0, & \text{if } i = j \\ \min_{i \leq k < j} \{ M[i, k] + M[k+1, j] + P_{i-1} P_k P_j \}, & \text{if } i < j \end{cases}$$

where $M[i, j]$ is the minimum number of scalar multiplications needed to compute a matrix A of size $i \times j$.

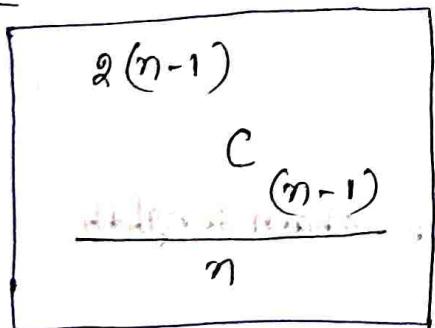
P_i, P_j, P_k are the dimensions of the matrices.

COUNTING THE NUMBER OF PARENTHEZISATION

$$P(n) = \begin{cases} 1, & \text{if } n = 1 \\ \sum_{k=1}^{n-1} P(k) P(n-k), & \text{if } n \geq 2 \end{cases}$$

where $P(n)$ is the number of alternative parenthesization of a sequence of "n" matrices.

Note:



No. of ways parenthesis can be placed.

EXAMPLE

Find the optimum / minimum number of multiplications needed using the following matrices and also mention the proper parenthesization

$$M_{2 \times 1} \quad M_{1 \times 3} \quad M_{3 \times 1}$$

Find the following:
1) $M_1 \times M_2$
2) $M_1 \times M_3$
3) $M_2 \times M_3$

QUIZ-19

Find the optimum/ minimum no. of multiplication needed using the following matrices and also mention the proper Parenthesization

$$(M_1)_{3 \times 2} \xrightarrow{P_0} (M_2)_{2 \times 4} \xrightarrow{P_1} (M_3)_{4 \times 1} \xrightarrow{P_2} (M_4)_{1 \times 2} \xrightarrow{P_3} P_4$$

	1	1	(3)
	2	3	
	3		

0	24	14	
	0	8	12
		0	8
			0

$$M[1,2] = \min_{1 \leq k \leq 2} \left\{ M[1,1] + M[2,2] + P_0 P_1 P_2 \right. \\ \left. = 0 + 0 + (3 \times 2 \times 4) \right. \\ \left. = 24 \right.$$

$$M[2,3] = \min_{2 \leq k \leq 3} \left\{ M[2,2] + M[3,3] + P_1 P_2 P_3 \right\}$$

$$\therefore \min_{2 \leq k \leq 3} \left\{ 0 + 0 + 2 \times 4 \times 1 \right\} \\ \therefore 8$$

$$M[3,4] = \min_{3 \leq k \leq 4} \left\{ M[3,3] + M[4,4] + P_2 P_3 P_4 \right\}$$

$$\therefore \min_{3 \leq k \leq 4} \left\{ 0 + 0 + 4 \times 1 \times 2 \right\} \\ \therefore 8$$

$$M[1,3] = \min_{1 \leq k \leq 3} \left\{ \begin{array}{l} k=1; M[1,1] + M[2,3] + P_0 P_1 P_3 \\ k=2; M[1,2] + M[3,3] + P_0 P_2 P_3 \end{array} \right.$$

$$\therefore \min_{1 \leq k \leq 3} \left\{ \begin{array}{l} k=1; 0 + 8 + (3 \times 2 \times 1) = 14 \\ k=2; 24 + 0 + (3 \times 4 \times 1) = 36 \end{array} \right.$$

• Weight Interval Scheduling •

Given: A list of jobs/tasks which have a start time, finish time and a weight associate with it

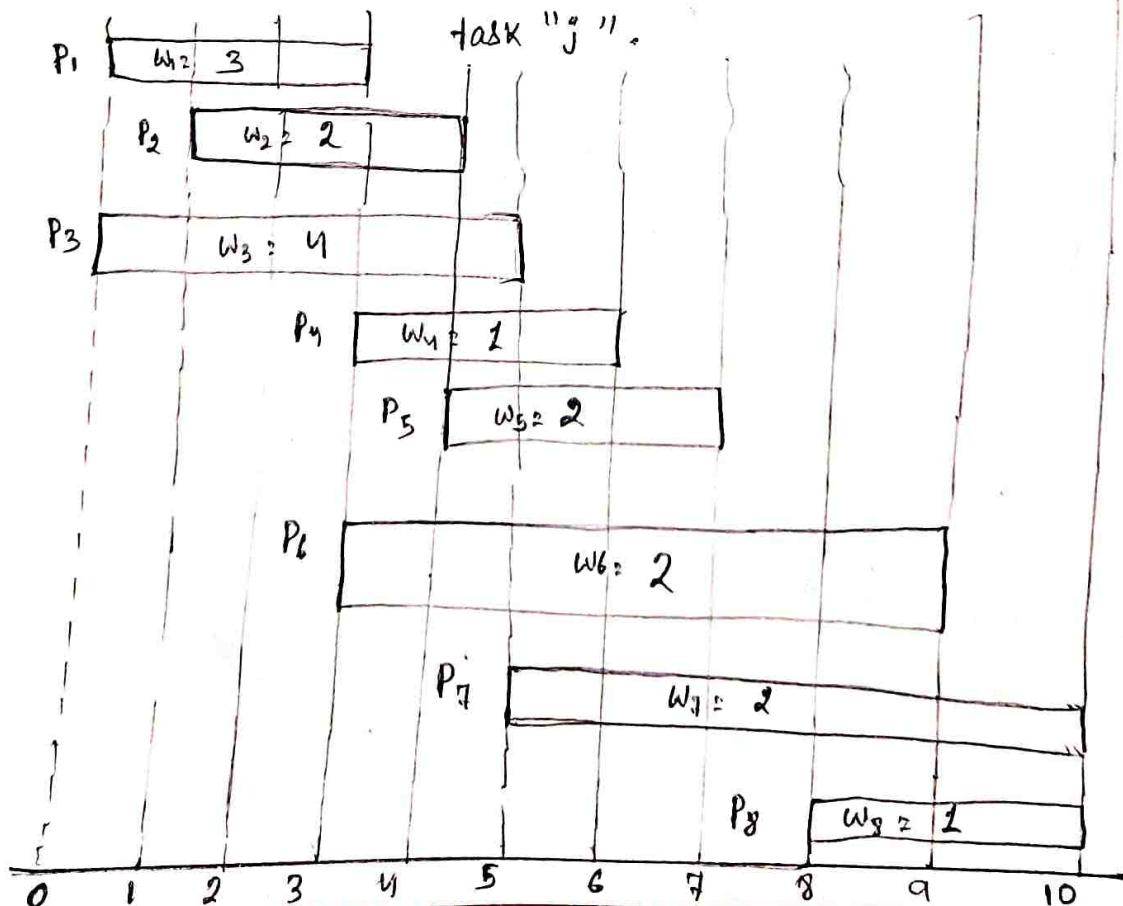
Aim: We want to find the subset of non overlapping/compatible jobs/tasks with the maximum weight.

Notation: For a job "j", the start time is denoted as " s_j ", the finish time is denoted as " f_j " and the weight assigned to the job/tasks "j" is denoted as " w_j ".

format to find the maximum weight of the compatible set of jobs considering the task/jobs "j" $[OPT(j)]$ is as follows.

$$OPT(j) = \max \left\{ w_j + OPT(P(j)), OPT(j-1) \right\}$$

where $P(j)$ is the set of compatible jobs/tasks which have consider/s observed before the job/



i	1	2	3	4	5	6	7	8
w _i	3	2	4	1	2	5	2	1
p(i)	0	0	0	1	2	1	3	5
OPT(i)	3	3	4	4	5	8	8	8

$$OPT(i) = \max \begin{cases} w_i + OPT(p(i)), \\ OPT(i-1) \end{cases}$$

base condition

$$OPT(0) = 0$$

$$OPT(1) = \max \{ w_1 + OPT(p(1)), OPT(p(0)) \}$$

$$= \max \{ w_1 + OPT(p(1)), OPT(p(0)) \}$$

$$= \max \{ 3 + 0 \}$$

$$\Rightarrow \max \{ 3, 0 \} = \textcircled{3}$$

$$OPT(2) = \max \{ w_2 + OPT(p(2)), OPT(1) \}$$

$$OPT(0)$$

$$= \max \{ 2 + 0, 3 \}$$

$$\Rightarrow \max(2, 3) = 3$$

$$OPT(3) = \max \{ w_3 + OPT(p(3)), OPT(2) \}$$

$$= \max \{ 4 + 0, 3 \}$$

$$= \max(4, 3) = 4$$

$$OPT(4) = \max \{ w_4 + OPT(p(4)), OPT(3) \}$$

$$= \max \{ 2 + 1, 4 \}$$

$$\Rightarrow \max \{ 2, 4 \} = 4$$

	1	2	3	4	5	6	7	8	9	10
1	0	2	3	2	1	0	0	0	0	0
2	2	0	3	1	0	0	0	0	0	0
3	3	1	0	2	0	0	0	0	0	0
4	2	0	1	0	3	0	0	0	0	0
5	1	0	0	3	0	0	0	0	0	0
6	0	0	0	0	0	0	0	0	0	0
7	0	0	0	0	0	0	0	0	0	0
8	0	0	0	0	0	0	0	0	0	0
9	0	0	0	0	0	0	0	0	0	0
10	0	0	0	0	0	0	0	0	0	0

$$OPT(5) = \max \{ w_5 + OPT(p(5)), OPT(4) \}$$

$$\Rightarrow \max \{ 2 + 3, 4 \}$$

$$= \max \{ 5, 4 \} = 5$$

$$OPT(6) = \max \{ w_6 + OPT(p(6)), OPT(5) \}$$

$$= \max \{ 5 + 3, 5 \}$$

$$= \max \{ 8, 5 \} = 8$$

$$OPT(7) = \max \{ w_7 + OPT(p(7)) + 1, OPT(6) \}$$

$$= \max \{ 2 + 6, 6 \}$$

$$= \max \{ 6, 6 \} = 6$$

$$OPT(8) = \max \{ w_8 + OPT(p(8)), OPT(7) \}$$

$$= \max \{ 1 + 5, 6 \}$$

$$\Rightarrow \max \{ 6, 6 \} = 6$$

FINDING OPTIMAL SOLUTION FOR PICKING / SELECTING, COMPATIBLE SET OF JOBS / TASKS HAVING MAXIMUM WEIGHT.

The solution for finding which items we picked is by backtracking, simply if $w_i + \text{OPT}(p(i)) \geq \text{OPT}(i-1)$, then we add item "i" to optimal solution and look at $\text{OPT}(p(i))$, else we ignore this item and look at subproblem $\text{OPT}(i-1)$.

Compute - OPT (i)

if $i=0$ then

Return 0

else

Return $\max(v_i + \text{compute-opt}(p(i)), \text{compute-opt}(i-1))$

end if

M - compute - OPT (j)

if $j=0$ then

Return 0

else if $M(j)$ is not empty then

Return $M[j]$

else

Define $M[i] = \max(v_i + M\text{-compute-opt}(p(i)), M\text{-compute-opt}(i-1))$

Find Solution (j)

IF $j=0$ then

 OUTPUT nothing

ELSE

 IF $v_j + M[p(j)] \geq M[j-1]$ then

 OUTPUT j together with the result of find-solution

 ELSE

 output the result of find-solution (i-1)

 End if

End if

Iterative-comput-opt

$$M[0] = 0$$

(i, -) FOR $j = 1, 2, \dots, n$ DO $M[j] = \max(v_j + M[p(j)], M[j-1])$

$$M[j] = \max(v_j + M[p(j)], M[j-1])$$

End FOR

TIME COMPLEXITY OF WEIGHTED INTERVAL SCHEDULING (WIS)

1. Sort the jobs/tasks $[O(n \log n)]$

2. finding $p(i)$, where $i = 1, 2, 3, \dots, n$ (clever use of binary search)

3. To find $\text{OPT}(i)$ after getting the value of $p(i) [O(n)]$

4. Backtrack to find select optimal set of jobs/tasks $[O(n)]$

Total time complexity $\Rightarrow O(n \log n)$

QUIZ - 20

Q) Find the set of compatible jobs / tasks with maximum weight using Dynamic Programming. From the below Table.

i	1	2	3	4	5	6	7	8	9	OPT(i)
W(i)	2	11	3	4	2	6	10	9	8	
P(i)	0	0	1	2	2	2	2	3	4	
OPT(i)	2	11	11	11	11	11	15	15	15	

$$TW = w_7 + w_8 + w_9 = 15$$

$$w_1 + w_2 + w_3 = 2 + 11 + 3 = 16$$

$$15 - 16 = 5 - 3 = 2 - 2 = 0$$

$$TW = w_7 + w_8 + w_9 = 15$$

$$w_1 + w_2 + w_3 = 2 + 11 + 3 = 16$$

$$15 - 16 = 5 - 2 = 3 - 3 = 0$$

X WRONG

BELLMAN FORD ALGORITHM :

Shortest-Path (G, s, t)

n = number of nodes in G

Array $M[0 \dots n-1, v]$

Define $M[0, t] = 0$ and $M[0, v] = \infty$ for all other $v \in V$

For $i = 1, \dots, n-1$ do *compute* $M[i, v]$ for all $v \in V$

for $v \in V$ in any order

compute $M[i, v]$ using the recurrence (6.23)

End For

End For

Return $M[n-1, s]$

(6.23) } if $i > 0$ then
 $OPT(i, v) = \min_{w \in V} (OPT(i-1, w) + c_{vw})$

* push-based shortest-path (G, s, t)

$n = \text{number of nodes in } G$

Array $M[V]$

Initialize $M[t] = 0$ and $M[v] = \infty$ for all other $v \in V$

for $i = 1, \dots, n-1$

for $w \in V$ in any order

if $M[w]$ has been updated in the previous iteration then

for all edges (v, w) in any order

$$M[v] = \min(M[v], c_{vw} + M[w])$$

If this changed the value of $M[v]$, then $\text{first}[v] = w$

End for.

End for

If no value changed in this iteration, then end the algorithm

End for

return $M[s]$

Solution: Step 1: We are not considering $c[v, v]$ because $c[v, v] = 0$

1) Initially put the distance of source vertex as "0" and others as " ∞ "

2) Relax the edge for $(|V|-1)/(n-1)$ times by following formula:

Relax formula : if $(d(v) > d(u) + c(u, v))$

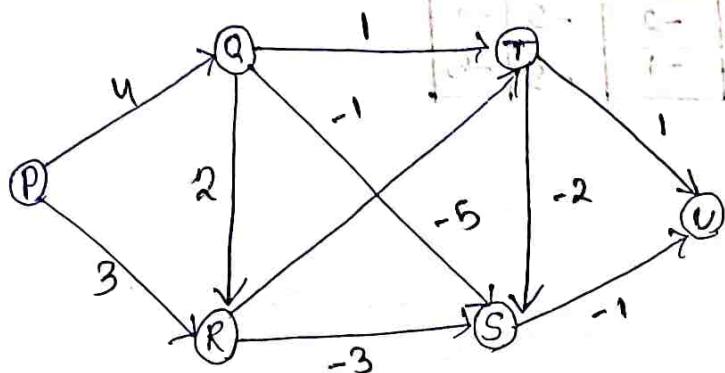
$$\text{then } d(v) = d(u) + c(u, v)$$

$d(v) = \min_{u \in V} (d(u) + c(u, v))$

3) If the distance of the vertices is getting changed after running for $(|V|)/n$ times, then we can't conclude that negative weight cycle is present in that graph.

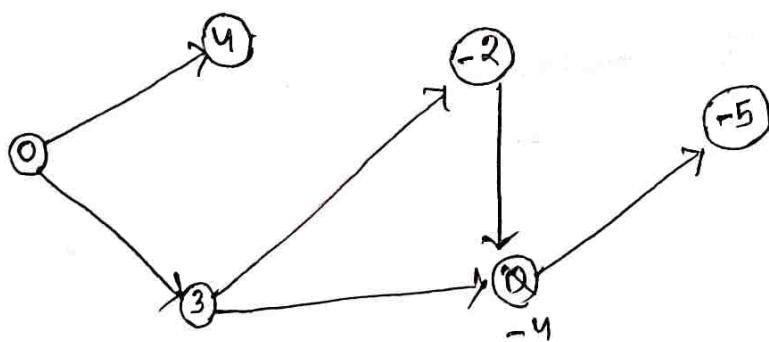
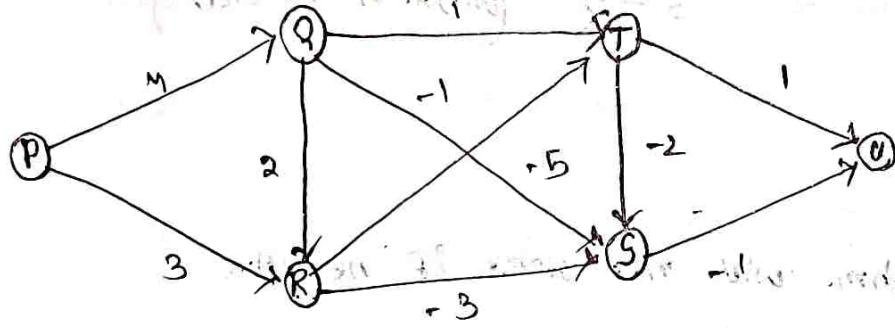
EXAMPLE

Find the single source shortest path using Bellman-Ford Algorithm



$$E = O(n^2) + O(n)$$

Solution

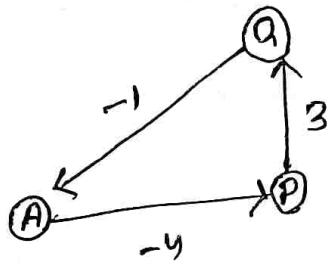


negative weights present in the graph

	0	1	2	3	4	5
P	0	0	0	0	0	0
Q	∞	4	4	4	4	4
R	∞	3	3	3	3	3
S	∞	∞	0	-4	-4	-4
T	∞	∞	-2	-2	-2	-2
V	∞	∞	∞	-1	-5	-5

(iv) (v) ∞ Bellman
 Ford algorithm

Negative weighted cycle



$$(-1) + (-4) + 3$$

$$= -5 + 3$$

$$= -2 \quad (\text{weight of the cycle})$$

NOTE:

→ Bellman-Ford algorithm will not work if negative weighted cycle is present.



Knapsack Problem

- > knapsack is a container or bag, suppose, we have given some items which has some weight/ profits. We have to put some items in the knapsack in such a way that total value/profits produces a "maximum profit".
- > There are two type of knapsack problems:

- ① Fractional knapsack (Greedy Approach)
- ② 0/1 knapsack (Dynamic Programming)

0/1 Knapsack

In 0/1 knapsack, we can consider an item/object (i.e "1") or we can't consider an item/object (i.e "0").

Formula to find maximum profit by considering the items

$$P(i, w) = \begin{cases} 0, & \text{if } i=0 \text{ or } w=0 \\ \max \left\{ P((i-1), w), P((i-1), (w-w_i)) + p_i \right\}, & \text{if } i>0 \text{ and } w>0 \end{cases}$$

where "P" is the maximum profit by considering the item

" p_i " is the profit of the item "i"

"w" is the weight/capacity of knapsack

" w_i " is the weight of the item "i"

"i" is the item.

Ex:

Find the maximum profit with selected items using 0/1 knapsack where the capacity of the knapsack is "8".

$$\text{weight } (w_i) = \{3, 4, 6, 5\}$$

$$\text{profit } (P_i) = \{2, 3, 1, 4\}$$

Solution

i \ j	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	0	2	2	2	2	2	2
2	0	0	0	2	3	3	3	5	5
3	0	0	0	2	3	3	3	5	5
4	0	0	0	2	3	4	4	5	6

$$P(1,3)$$

$$\max \{ P(0,3), P(0,(3-3)+2) \}$$

$i \rightarrow w_1 \rightarrow P_1$
$1 \rightarrow 3 \rightarrow 2$
$(w_1) \quad (P_1)$
$2 \rightarrow 4 \rightarrow 3$
$(w_2) \quad (P_2)$
$3 \rightarrow 6 \rightarrow 2$
$(w_3) \quad (P_3)$
$4 \rightarrow 5 \rightarrow 1$
$(w_4) \quad (P_4)$

$$\therefore \max \{ 0, P(0,(3-3)+2) \} \quad \{ \text{Km} \}$$

$$\max \{ 0, 2 \}$$

i	→	1	2	3	4
1	0	0	4	1	

$$\max \{ 0, 2 \}$$

$$= 2$$

Quiz - 21

Find the maximum profit using 0/1 knapsack where the maximum capacity of the knapsack is "12".
 weights (w_i) = { 5, 4, 8, 11, 6 }
 profits (p_i) = { 2, 7, 3, 4, 10 }

i	0	1	2	3	4	5	6	7	8	9	10	11	12
0	0	0	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	2	2	2	2	2	2	2	2	2
2	0	0	0	0	7	7	7	7	9	9	9	9	9
3	0	0	0	0	7	7	7	7	9	9	9	9	10
4	0	4	4	4	7	11	11	11	11	13	13	13	13
5	0	4	4	4	7	11	11	11	13	13	17	21	21

i	w_i	p_i
1	5	2
2	4	7
3	8	3
4	1	4
5	6	10

$$P(1,1) = \max \{ P(0,0), P((0,1), (w-w_1)) + p_1 \}$$

$$= \max \{ P(0,1), P(0,-4) + 2 \}$$

$$= \max \{ 0, \text{invalid} \}$$

$$= 0$$

$$P(1,5) = \{ P(0,5), P(0,5-5) + 2 \} = \{ P(0,5), P(2,-3) + 2 \}$$

$$= \{ 0, 2 \}$$

$$= 2$$

$$P(5,12) =$$

$$P(1,6) = 2$$

$$P(2,4) = \{ P(1,4), P(1,4-4) + 7 \} = \{ P(1,4), P(4,12) \}$$

$$= \{ 0, 7 \}$$

$$P(4,12) = 7$$

$$\therefore P(2,4) = 7$$

$$13 \times 1 = 13$$

Fractional Knapsack:

- The items / objects which will give us profit after getting divided / fractioned are considered in this approach.
 - Fractional knapsack is using Greedy Approach
 - Here, $\frac{\text{Profit } (P_i)}{\text{Weight } (w_i)}$ is providing the priority to select the item which will give the maximum profit.
- Example
Find the maximum profit using fractional knapsack where the capacity of the knapsack is "9".

$$\text{Profit } (P_i) = \{2, 4, 6, 1\}$$

$$\text{weights } (w_i) = \{8, 4, 7, 3\}$$

SOLN

	1	2	3	4
Profit (P_i)	2	4	6	1
Weight (w_i)	8	4	7	3
$\frac{P_i}{w_i}$	$\frac{2}{8} = 0.25$	$\frac{4}{4} = 1$	$\frac{6}{7} \approx 0.85$	$\frac{1}{3} \approx 0.33$

$$P = P_2 + P_3 = 4 + 4.25 = 8.25$$

$$w (w_3) < 7$$

Time complexity: $O(nw)$ / $O(iw)$

Space complexity: $O(nw)$ / $O(iw)$

Time Complexity:

$$O(n \log n) / O(i \log i)$$

POLYNOMIAL and THE FFT

The most common use for Fourier transform, and hence the FFT, is in signal processing. A signal is given in the time domain: as a function mapping time to amplitude. Fourier analysis allows us to express the signal as a weighted sum of phase-shifted sinusoids of varying frequencies. The weights and phases associated with the frequencies characterize the signal in the frequency domain. Among the many everyday applications of FFT's are compression techniques used to encode digital video and audio information, including MP3 files. Several fine books delve into the rich area of signal processing; the chapter notes reference a few of them.

POLYNOMIALS

A polynomial in the variable x over an algebraic field F represents a function $A(x)$ as a formal sum:

$$A(x) = \sum_{j=0}^{n-1} a_j x^j$$

We call the values a_0, a_1, \dots, a_{n-1} the coefficients of the polynomial. The coefficients are drawn from a field F , typically the set \mathbb{C} of complex numbers. A polynomial $A(x)$ has degree k if its highest nonzero coefficient is a_k ; we write that $\deg(A)=k$. Any integer strictly greater than the degree of a polynomial is a degree-bound of that polynomial. Therefore the degree of a polynomial of degree-bound n may be any integer between 0 and $n-1$, inclusive.

We can define a variety of operations on polynomials.

For polynomial addition, if $A(x)$ and $B(x)$ are polynomials of degree bound

n, their sum is a polynomial $C(x)$, also of degree

-bound n, such that $C(x) = A(x) + B(x)$, for all x in the underlying field. That is, if c_j denotes the coefficient of x^j in

$$A(x) = \sum_{j=0}^{n-1} a_j x^j,$$

and

the same for $B(x)$, then

$$B(x) = \sum_{j=0}^{n-1} b_j x^j,$$

then

$$C(x) = \sum_{j=0}^{n-1} c_j x^j$$

where $c_j = a_j + b_j$ for $j = 0, 1, \dots, n-1$. For example

if we have the polynomials $A(x) = 6x^3 + 7x^2 - 10x + 9$

and $B(x) = -2x^3 + 4x - 5$, then $C(x) = 4x^3 + 7x^2 + 6x + 4$

For polynomial multiplication, if $A(x)$ and $B(x)$

are polynomials of degree-bound n, their product $C(x)$

is

Polynomial and the FFT

polynomials

A polynomial in the variable x over an arbitrary algebraic field F represents a function $A(x)$.

as a formal sum

$$A(x) = \sum_{j=0}^{n-1} a_j x^j$$

The most common use here Fourier transforms, and hence the FFT, is in signal processing. A signal a is given in the time domain as a function mapping time to amplitude. Fourier analysis allows us to express the signal as a weighted sum of phase-shifted sinusoids of varying frequencies. The weights are phases associated with the frequencies characterize the signal in the frequency domain.

Among the many everyday applications of FFT's are compression techniques used to encode digital video and audio information, including MP3 files. Several links delved in to such areas as signal processing the chapter notes reference a few of them.

← We call the values a_0, a_1, \dots, a_{n-1} the coefficients of the polynomial. The coefficients are drawn from a field F , typically the set C of complex numbers.

A polynomial $A(x)$ has degree k if its highest nonzero coefficient is a_k : we write that $\deg(A) = k$. Any integer strictly greater than the degree is a polynomial

ob degree bound of that polynomial. Therefore the degree of a polynomial & degree bound n may be any integer between 0 and $n-1$, inclusive.

We can define a variety of operations on polynomials. For polynomial addition, if $A(x)$ and $B(x)$ are polynomials of degree-bound n , their sum is a polynomial $C(x)$, also of degree-bound n . Such that $C(x) = A(x) + B(x)$ for all x in the underlying field. That is,

$$A(x) = \sum_{j=0}^{n-1} a_j x^j$$

and

$$B(x) = \sum_{j=0}^{n-1} b_j x^j$$

then

$$C(x) = \sum_{j=0}^{n-1} c_j x^j$$

where $c_j = a_j + b_j$ for $j = 0, 1, \dots, n-1$, for example, if we have the polynomials $A(x) = 6x^3 + 7x^2 - 10x + 9$ and $B(x) = -2x^3 + 4x - 5$, then $C(x) = 4x^3 + 7x^2 - 6x + 4$

For polynomial multiplication, if $A(x)$ and $B(x)$ are polynomials of degree-bound n , their product $C(x)$ is a polynomial of degree-bound $2n-1$ such that $C(x) = A(x)B(x)$ for all x in the underlying field.

You probably have multiplied polynomials before by multiplying each item in $A(x)$ by each term in $B(x)$ and then combining terms with equal equal powers - For example we can multiply $A(x) =$

$$A(x) = 6x^3 + 7x^2 - 10x + 9$$

$$\text{and } B(x) = -2x^3 + 4x - 5 \text{ when}$$

$$C(n) = 4x^3 + 7x^2 - 6x + 9$$

For polynomial multiplication, if $A(x)$ and $B(x)$ are polynomials of degree-bound n , their product $C(x)$ is a polynomial of degree-bound $2n-1$ such that $C(n) = A(n)B(n)$ for all n in the underlying field.

You probably have multiplied polynomials before by multiplying each term in $A(n)$ by each term in $B(n)$ and then combining terms with equal powers.

For example, we can multiply $A(n) = 6x^3 + 7x^2 - 10x + 9$

$B(n) = -2x^3 + 4x - 5$ as follows,

$$6x^3 + 7x^2 - 10x + 9$$

$$\underline{-2x^3 + 4x - 5}$$

$$-30x^6 - 35x^5 + 50x^4 - 45x^3$$

$$24x^4 + 28x^3 - 46x^2 + 36x$$

$$2x^6 - 19x^5 - 20x^4 - 18x^3$$

$$\underline{-12x^6 - 14x^5 + 44x^4 - 20x^3 - 75x^2 + 86x - 45}$$

Another way to express the product $C(n)$

$$C(n) = \sum_{j=0}^{2n-2} c_j n^j$$

where

$$c_j = \sum_{k=0}^j a_k b_{j-k}$$

Note that $\deg(C) = \deg(A) + \deg(B)$, implying that if A is a polynomial of degree-bound n_a and B is a polynomial of degree-bound n_b , then C is a polynomial of degree-bound $n_a + n_b - 1$, since a

polynomial of degree - bound k is also a polynomial of degree bound $k+1$, we will normally say that the product polynomial c is a polynomial of degree bound $m+n$.

Coefficient representation

A coefficient representation of a polynomial

$$A(x) = \sum_{j=0}^{n-1} a_j x^j, \text{ of degree-bound } n; \text{ is a vector}$$

of coefficients $a = (a_0, a_1, \dots, a_{n-1})$, in matrix form

equations in this chapter, we shall generally treat vectors as column vectors.

The coefficient representation is convenient for certain operations on polynomials. for example

the operation of ~~about~~ evaluating the polynomial $A(x)$ at a given point x_0 consists of computing

the value of $A(x_0)$, we can evaluate a polynomial in $\Theta(n)$ times using Horner's rule

$$A(x_0) = a_0 + x_0(a_1 + x_0(a_2 + \dots + x_0(a_{n-2} + x_0(a_{n-1})))$$

similarly adding two polynomials represented by the coefficient vectors $a = (a_0, a_1, \dots, a_{n-1})$ and

$b = (b_0, b_1, \dots, b_{n-1})$ takes $\Theta(n)$ times, we just

produce the coefficient vector $c = (c_0, c_1, \dots, c_{n-1})$ where $c_j = a_j + b_j$ for $j = 0, 1, \dots, n-1$

example

$$A(x) = x^2 + 3x + 2$$

$$B(x) = 2x^2 + 1$$

$$C(x) = A(x) \cdot B(x)$$

$$= (x^2 + 3x + 2) \times (2x^2 + 1)$$

$$= 2x^2(x^2 + 3x + 2) + 1(x^2 + 3x + 2)$$

$$= 2x^4 + 6x^3 + 4x^2 + x^2 + 3x + 2$$

$$= 2x^4 + 6x^3 + 5x^2 + 3x + 2$$

Coefficient representation $\Rightarrow O(n^2)$ \rightarrow reduced to

$$A(x) = 2(x^0) + 3(x^1) + 1(x^2)$$

$$O(n \log n)$$

$$A \rightarrow [2, 3, 1]$$

$$B(x) = 1(x^0) + 0(x^1) + 2(x^2)$$

$$B \rightarrow [1, 0, 2]$$

$$C(x) = 2(x^0) + 3(x^1) + 5(x^2) + 6(x^3) + 2(x^4)$$

$$C \rightarrow [2, 3, 5, 6, 2]$$

point value representation

A point value representation of a polynomial $A(x)$ of degree-bound n is a set of n point-value pairs $\{(x_0, y_0), (x_1, y_1), \dots, (x_{n-1}, y_{n-1})\}$

$$\{(x_0, y_0), (x_1, y_1), \dots, (x_{n-1}, y_{n-1})\}$$

such that all the x_k are distinct and $y_k = A(x_k)$ for $k = 0, 1, \dots, n-1$. A polynomial has many different point-value representations, since we can use any set of n distinct points x_0, x_1, \dots, x_{n-1} as basis for the representation.

wishes to compute the inverse DFT.

Steps (1), (3) $\Rightarrow \Theta(n)$

Steps (2), (4) $\Rightarrow \Theta(n \log n)$

The FFT \Rightarrow

By using a method known as the fast Fourier transform (FFT), which takes advantage of the special properties of the complex roots of unity, we can compute $DFT_n(a)$ in time $\Theta(n \log n)$, as opposed to the $\Theta(n^2)$ time of the straight backward method. We assume throughout that n is an exact power of 2. Although strategies for dealing with non-powers-of-2 sizes are known, they are beyond the scope of this book.

The FFT method employs a divide-and-conquer strategy, using the even indexed and odd indexed coefficients of $A(x)$ separately to define the two new polynomials $A^{[0]}(x)$ and $A^{[1]}(x)$ of degree-bound $\frac{n}{2}$.

$$A^{[0]}(x) = a_0 + a_2 x + a_4 x^2 + \dots + a_{n-2} x^{\frac{n}{2}-1}$$

$$A^{[1]}(x) = a_1 + a_3 x + a_5 x^2 + \dots + a_{n-1} x^{\frac{n}{2}-1}$$

Note that $A^{[0]}$ contains all the even indexed coefficients of A (the binary representation of the index ends in 0), and $A^{[1]}$ contains all the odd indexed coefficients (the binary representation of the index ends in 1).

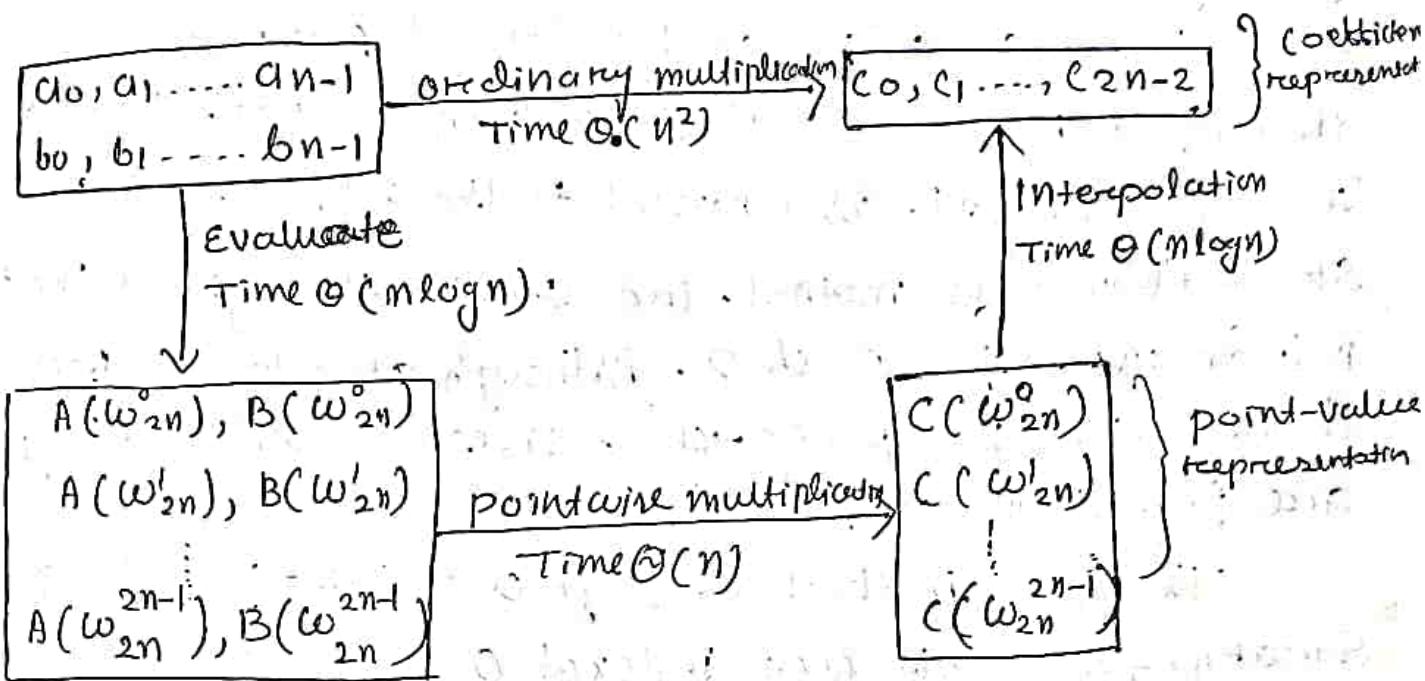
$$It follows that A(x) = A^{[0]}(x^2) + x \cdot A^{[1]}(x^2)$$

So that the problem of evaluating $A(x)$ at x^n, x^{n-1}, \dots, x^1 reduces to:

(1) evaluating the degree bound $n/2$ polynomials $A^{[0]}(x)$ and

A barter algorithm for n-point interpolation is derived on Lagrange's formula:

$$A(x) = \sum_{k=0}^{n-1} y_k \frac{\pi_{j \neq k} (x - x_j)}{\pi_{j \neq k} (x_k - x_j)}$$



- 1) Double degree-bound: create coefficient representations of $A(x)$ and $B(x)$ as degree-bound 2n polynomials by adding n high-order zero coefficients to each.
 - 2) Evaluate: compute point-value representations of $A(x)$ and $B(x)$ of length 2n by applying the FFT of order 2n on each polynomial. These representations contain the values of the two polynomials at the $(2n)^{th}$ roots of unity.
 - 3) pointwise multiply: compute a point-value representation for the polynomial $C(x) = A(x) \cdot B(x)$ by multiplying these values together pointwise. This representation contains the value of $C(x)$ at each $(2n)^{th}$ root of unity.
 - 4) Interpolate: create the coefficient representation of the polynomial $C(x)$ by applying the FFT on 2n point-value

computing a point-value representation for a polynomial given in coefficient form is in principle straightforward. Since all we have to do is select n distinct points x_0, x_1, \dots, x_{n-1} and then evaluate $A(x_k)$ for $k = 0, 1, \dots, n-1$, with Horner's method, evaluating a polynomial at n points take time $\Theta(n^2)$. We shall see later that if we choose the points x_k cleverly we can accelerate this computation to run in time $\Theta(n \log n)$.

Theorem 30.1

(Uniqueness of an interpolating polynomial)
For any set $\{(x_0, y_0), (x_1, y_1), \dots, (x_{n-1}, y_{n-1})\}$ of n points-value pairs such that all the x_k values are distinct, there is a unique polynomial $A(x)$ of degree bound n such that $y_k = A(x_k)$ for $k = 0, 1, \dots, n-1$.

Proof The proof relies on the existence of the inverse of a certain matrix. Equation (30-3) is equivalent to the matrix eqn.

$$\begin{pmatrix} 1 & x_0 & x_0^2 & \dots & x_0^{n-1} \\ 1 & x_1 & x_1^2 & \dots & x_1^{n-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & x_{n-1} & x_{n-1}^2 & \dots & x_{n-1}^{n-1} \end{pmatrix} \begin{pmatrix} a_0 \\ a_1 \\ \vdots \\ a_{n-1} \end{pmatrix} = \begin{pmatrix} y_0 \\ y_1 \\ \vdots \\ y_{n-1} \end{pmatrix}$$

The matrix on the left is denoted $V(x_0, x_1, \dots, x_{n-1})$ and is known as a Vandermonde matrix. By Problem D-1, this matrix has determinant

$$\prod_{0 \leq j \leq k \leq n-1} (x_k - x_j).$$

The proof of Theorem 30.1 describes an algorithm for interpolation based on solving the set (30-4) of linear equations in time $\Theta(n^3)$.

For $y_{n/2}, y_{n/2+1}, \dots, y_{n-1}$, letting $k=0, 1, \dots, n/2-1$ lines,

$$\begin{aligned}
 \text{it yields } y_{k+(n/2)} &= y_k^{(0)} - w_n^{k(n/2)} y_k^{(1)} \\
 &= y_k^{(0)} + w_n^{k+(n/2)} y_k^{(1)} \quad (\text{since } w_n^{k+(n/2)} = -w_n^k) \\
 &= A^{(0)}(w_n^{2k}) + w_n^{k+(n/2)} A^{(1)}(w_n^{2k}) \\
 &= A(w_n^{k+(n/2)}) \quad (\text{since } w_n^{2k+n} = w_n^{2k})
 \end{aligned}$$

Thus, the vector y returned by RECURSIVE-FFT is indeed the DFT of the input vector a .

Lines 11 and 12 multiply each value $y_k^{(1)}$ by w_n^k , for $k=0, 1, \dots, n/2-1$.

Line 11 adds this product to $y_k^{(0)}$, and line 12 subtracts it. Because we use each factor w_n^k in both its positive and negative forms, we call the factors w_n^k twiddle factors.

To determine the running time of procedure RECURSIVE-FFT, we note that exclusively it's the recursive calls, each invocation take time $\Theta(n)$, where n is the length of the input vector. The recurrence for the running time is therefore

$$\begin{aligned}
 T(n) &= 2T(n/2) + \Theta(n) \\
 &= \Theta(n \log n)
 \end{aligned}$$

thus, we can evaluate a polynomial of degree-bound n at the complex n th roots of unity in time $\Theta(n \log n)$ using the fast fourier transform.

$$12. \quad y_{k+(n/2)} = y_k^{(0)} - w_n y_k^{(1)}$$

$$13. \quad w = \omega \omega_n$$

14. Return y // y is assumed to be a column vector.

The RECURSIVE-DFT procedure works as follows:
Lines 2-3 represents the basis of the recursion, the
DFT of one element is the element itself, since
in this case $y_0 = a_0, w_1^0$

$$= a_0 \cdot 1$$

$$= a_0$$

Line 6-7 define the coefficient vectors for the
polynomials $A^{(0)}$ and $A^{(1)}$. Lines 4, 5, and 13 guarantee
that w is updated properly so that whenever the 11-12
are executed, we have $w = \omega_n^k$.

8-9 perform the recursive DFT $n/2$ computations.

Setting back $k = 0, 1, \dots, n/2-1$

$$y_k^{(0)} = A^{(0)}(\omega_n^k)_{n/2}$$

$$y_k^{(1)} = A^{(1)}(\omega_n^k)_{n/2}$$

or, since $\omega_n^k = \omega_n^{2k}$ by the cancellation lemma

$$y_k^{(0)} = A^{(0)}(\omega_n^{2k})$$

$$y_k^{(1)} = A^{(1)}(\omega_n^{2k})$$

Lines 11-12 combine the results of the recursive DFT $n/2$
calculations. For $y_0, y_1, \dots, y_{n/2-1}$, line 11 yields

$$y_k = y_k^{(0)} + \omega_n^k y_k^{(1)}$$

$$= A^{(0)}(\omega_n^{2k}) + \omega_n^k A^{(1)}(\omega_n^{2k})$$

$$= A(\omega_n^k)$$

$A^{(1)}$ can at the points $(w_n^0)^2, (w_n^1)^2, \dots, (w_n^{n-1})^2$.

and then,

(2) combining the results according to equation (30.9).

By the halving lemma, the first n values (30.10) consists not of n distinct value but only of the $n/2$ complex $(n/2)^{th}$ roots of unity, with each root occurring exactly twice.

Therefore, we recursively evaluate the polynomials $A^{(0)}$ and $A^{(1)}$ of degree-bound $n/2$ at the $n/2$ complex $(\frac{n}{2})^{th}$ roots of unity. These subproblems have exactly the same form as the original problem, but are half the size. We have now successfully divided an n -element DFT computation into two $n/2$ -element DFT _{$n/2$} computations. This decomposition is the basis for the following recursive FFT algorithm, which computes the DFT of an $n = 2^k$ -element vector $a = (a_0, a_1, \dots, a_{n-1})$, where n is a power of 2.

Algorithm

RECURSIVE-FFT(a)

- 1 $n = a.length$ // n is a power of 2
- 2 if $n = 1$
 - 3 return a
- 4 $w_n = e^{2\pi i / n}$
- 5 $w = 1$
- 6 $a^{(0)} = (a_0, a_2, \dots, a_{n-2})$
- 7 $a^{(1)} = (a_1, a_3, \dots, a_{n-1})$
- 8 $y^{(0)} = \text{RECURSIVE-FFT}(a^{(0)})$
- 9 $y^{(1)} = \text{RECURSIVE-FFT}(a^{(1)})$
- 10 For $k = 0$ to $n/2 - 1$
 - 11 $y_k = y_k^{(0)} + w y_k^{(1)}$

Example

$$A(x) = x^2 + 2x + 1$$

$$[(-2, 1), (-1, 0), (0, 1), (1, 4), (2, 9)]$$

$$B(x) = x^2 - 2x + 1$$

$$[(-2, 9), (-1, 4), (0, 1), (1, 0), (2, 1)]$$

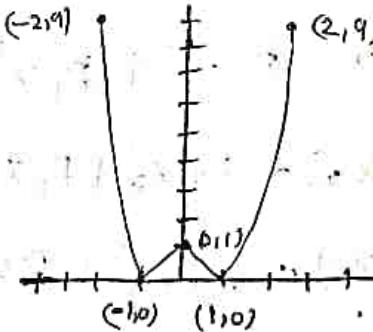
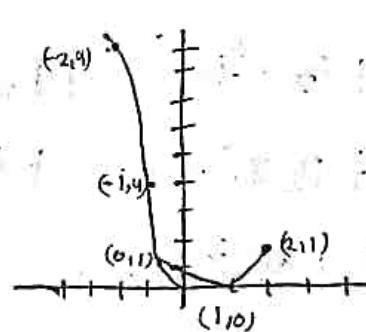
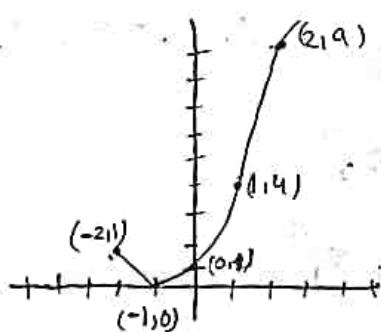
$$C(x) = A(x) \times B(x)$$

$$[(-2, 1), (-1, 0), (0, 1), (1, 4), (2, 9)]$$

$$\times [(-2, 9), (-1, 4), (0, 1), (1, 0), (2, 1)]$$

$$[(-2, 1 \times 9), (-1, 0 \times 4), (0, 1 \times 1), (1, 4 \times 0), (2, 9 \times 1)]$$

$$\therefore C(x) = [(-2, 9), (-1, 0), (0, 1), (1, 0), (2, 9)]$$



$$A(x) = a_0 x^0 + a_1 x^1 + \dots + a_n x^n$$

$$B(x) = b_0 x^0 + b_1 x^1 + \dots + b_n x^n$$

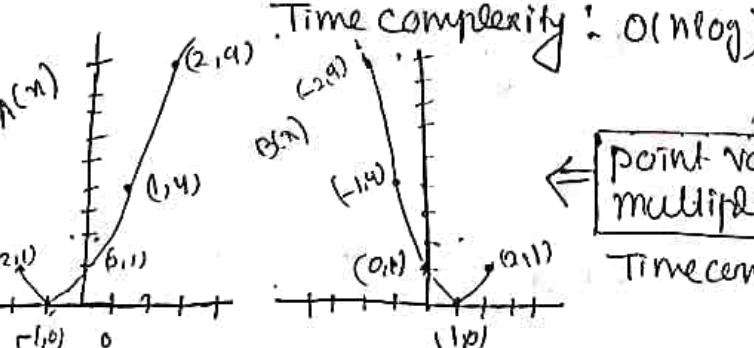
$$C(x) = c_0 x^0 + c_1 x^1 + \dots + c_n x^n$$

Co-efficient
Representation \Rightarrow Point Value
Representation
(Evaluation)

Point Value
Representation

FFT

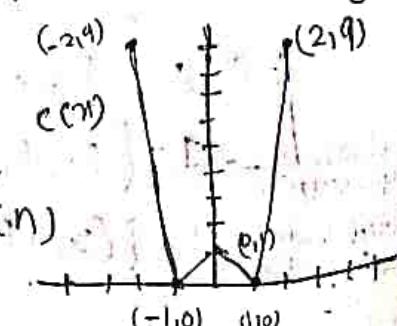
point value \Rightarrow coefficient
representation
Time complexity: $O(n^2)$
(Interpolation)



Point value
multiplication

Time complexity: $O(n)$

Time complexity:



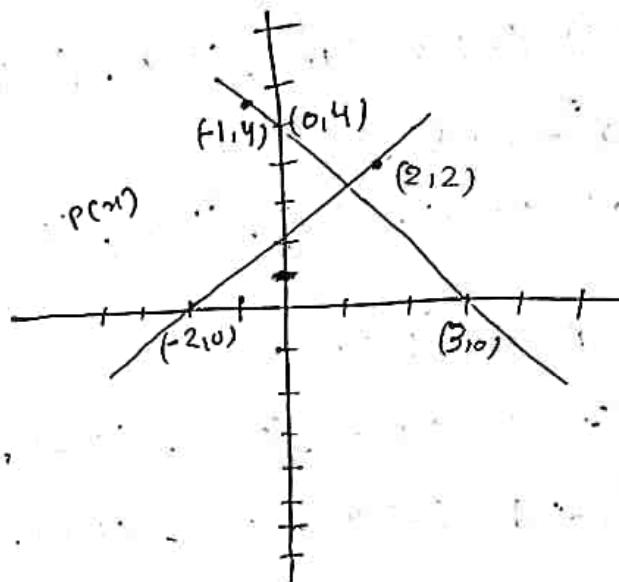
point value representation $T(n) = O(n^2)$

$$P(x) = P_0 + P_1 x$$

$(-2, 0), (2, 2)$.

$$P(x) = 1 + 0.5x.$$

$(3, 0), (-1, 4)$



\Rightarrow At least 2 points needed
to represent a line on
only plane

$(n+1)$ noncollinear points uniquely define a degree $n!$

* polynomial: $\{(x_0, P(x_0)), (x_1, P(x_1)), (x_2, P(x_2)), \dots, (x_n, P(x_n))\}$

$$P(x) = P_0 x^0 + P_1 x^1 + P_2 x^2 + \dots + P_n x^n.$$

$$P(x_0) = P_0 x_0^0 + P_1 x_0^1 + P_2 x_0^2 + \dots + P_n x_0^n.$$

$$P(x_1) = P_0 x_1^0 + P_1 x_1^1 + P_2 x_1^2 + \dots + P_n x_1^n.$$

$$P(x_n) = P_0 x_n^0 + P_1 x_n^1 + P_2 x_n^2 + \dots + P_n x_n^n.$$

$$\begin{bmatrix} P(x_0) \\ P(x_1) \\ \vdots \\ P(x_n) \end{bmatrix} = \begin{bmatrix} x_0^0 & x_0^1 & x_0^2 & \cdots & x_0^n \\ x_1^0 & x_1^1 & x_1^2 & \cdots & x_1^n \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ x_n^0 & x_n^1 & x_n^2 & \cdots & x_n^n \end{bmatrix} \begin{bmatrix} P_0 \\ P_1 \\ \vdots \\ P_n \end{bmatrix}$$

Two unique Representation of polynomials

$$P(x) = P_0 x^0 + P_1 x^1 + P_2 x^2 + \dots + P_n x^n.$$

Coefficient representation $\rightarrow 1 - \{P_0, P_1, \dots, P_n\}$

Point value representation $\rightarrow 2 - \{(x_0, P(x_0)), (x_1, P(x_1)), \dots, (x_n, P(x_n))\}$

Interpolation at the complex roots of unity

We know complete the polynomial multiplication scheme by showing how to interpolate the complex roots of unity by a polynomial, which enables us to convert from point-value form back to coefficient form. We interpolate by writing the DFT as a matrix equation and then looking at the form of the matrix inverse.

From equation (30.4), we can write the DFT as the matrix product $y = V_n a$, where V_n is a Vandermonde matrix containing the appropriate powers of ω_n :

$$\begin{pmatrix} y_0 \\ y_1 \\ y_2 \\ y_3 \\ \vdots \\ y_{n-1} \end{pmatrix} = \begin{pmatrix} 1 & 1 & 1 & \dots & 1 \\ 1 & \omega_n & \omega_n^2 & \omega_n^3 & \dots & \omega_n^{n-1} \\ 1 & \omega_n^2 & \omega_n^4 & \omega_n^6 & \dots & \omega_n^{2(n-1)} \\ 1 & \omega_n^3 & \omega_n^6 & \omega_n^9 & \dots & \omega_n^{3(n-1)} \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \omega_n^{n-1} & \omega_n^{2(n-1)} & \omega_n^{3(n-1)} & \dots & \omega_n^{(n-1)(n-1)} \end{pmatrix} \begin{pmatrix} a_0 \\ a_1 \\ a_2 \\ a_3 \\ \vdots \\ a_{n-1} \end{pmatrix}$$

The (k, j) entry of V_n is ω_n^{kj} , because $j, k = 0, 1, \dots, n-1$. The exponents of the entries of V_n form a multiplication table.

For the inverse operation, which we write out as $a = DFT_n^{-1}(y)$, we proceed by multiplying y by the matrix V_n^{-1} ; the inverse of V_n ,

$$V_n^{-1} = \frac{1}{n} \sum_{k=0}^{n-1} \sum_{j=0}^{n-1} \omega_n^{-kj} V_n^{jk}$$

Evaluation

$$P(x) = 3x^5 + 2x^4 + x^3 + 7x^2 + 5x + 1$$

Evaluate at n points $\pm x_1, \pm x_2, \dots, \pm x_{\frac{n}{2}}$

$$P(x) = (2x^4 + 7x^2 + 1x^0) + (3x^5 + x^3 + 5x)$$

$$= \underbrace{(2x^4 + 7x^2 + 1x^0)}_{P_e(x^2)} + \underbrace{x(3x^4 + x^2 + 5x)}_{P_o(x^2)}$$

$$P_e(x^2)$$

$$P_o(x^2)$$

$$P(x) = P_e(x^2) + x P_o(x^2)$$

$$P(x_i) = P_e(x_i^2) + x_i P_o(x_i^2)$$

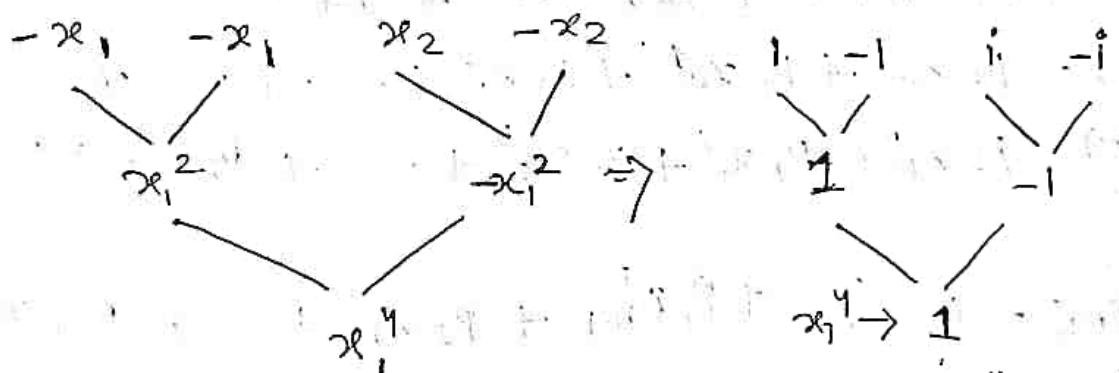
$$P(x_i) \neq P_e(x_i^2) = -x_i P_o(x_i^2)$$

let it overlap.

whence $P_e(x^2)$ and $P_o(x^2)$ have degree "4.2".

example

$$P(x) = x^3 + x^2 - x + 1$$



points are 4th root of unity.

Nth root of unity

$$e^{i\theta} = \cos(\theta) + i\sin(\theta)$$

$$w^n = e^{\frac{2\pi i}{n}}$$

$$\frac{2\pi(i)}{n}$$

$$w^2 = e^{\frac{4\pi i}{n}}$$

$$w^1 = e^{\frac{2\pi i}{n}}$$

$$w^0 = 1$$

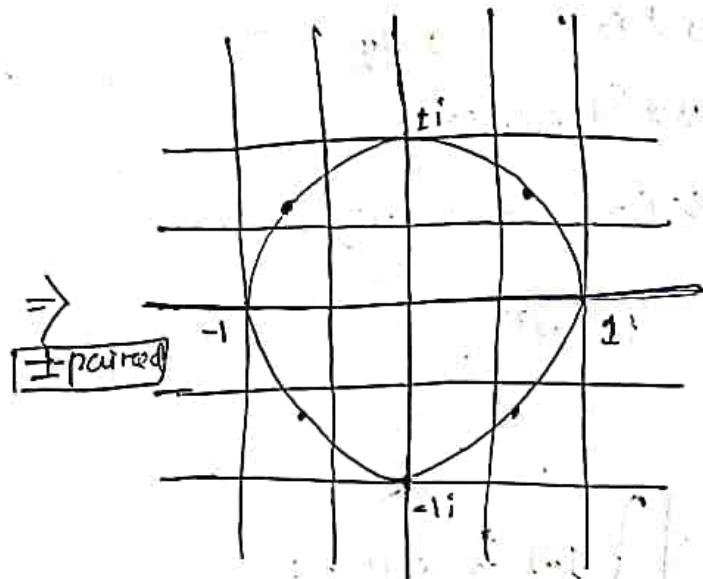
$$w^{n-1} = e^{\frac{2\pi(n-1)i}{n}}$$

± paired

Evaluate $p(x)$ at $[w^0, w^1, w^2, \dots, w^{n-1}]$

n^{th} root of unity

$\omega^{j+\frac{1}{2}} = -\omega^j \Rightarrow (\omega^j, \omega^{j+\frac{1}{2}})$ are \pm paired.



Evaluate $P_e(x^2)$ and $P_o(x^2)$ at $\{\omega^0, \omega^1, \omega^2, \dots, \omega^{n/2-1}\}$ $(\frac{n}{2})^{th}$ record of unity.

Interpolation

$$P(x) = P_0 x^0 + P_1 x^1 + P_2 x^2 + \dots + P_{n-1} x^{n-1}$$

$$P(x_0) = P_0 x_0^0 + P_1 x_0^1 + P_2 x_0^2 + \dots + P_{n-1} x_0^{n-1}$$

$$P(x_1) = P_0 x_1^0 + P_1 x_1^1 + P_2 x_1^2 + \dots + P_{n-1} x_1^{n-1}$$

$$P(x_{n-1}) = P_0 x_{n-1}^0 + P_1 x_{n-1}^1 + P_2 x_{n-1}^2 + \dots + P_{n-1} x_{n-1}^{n-1}$$

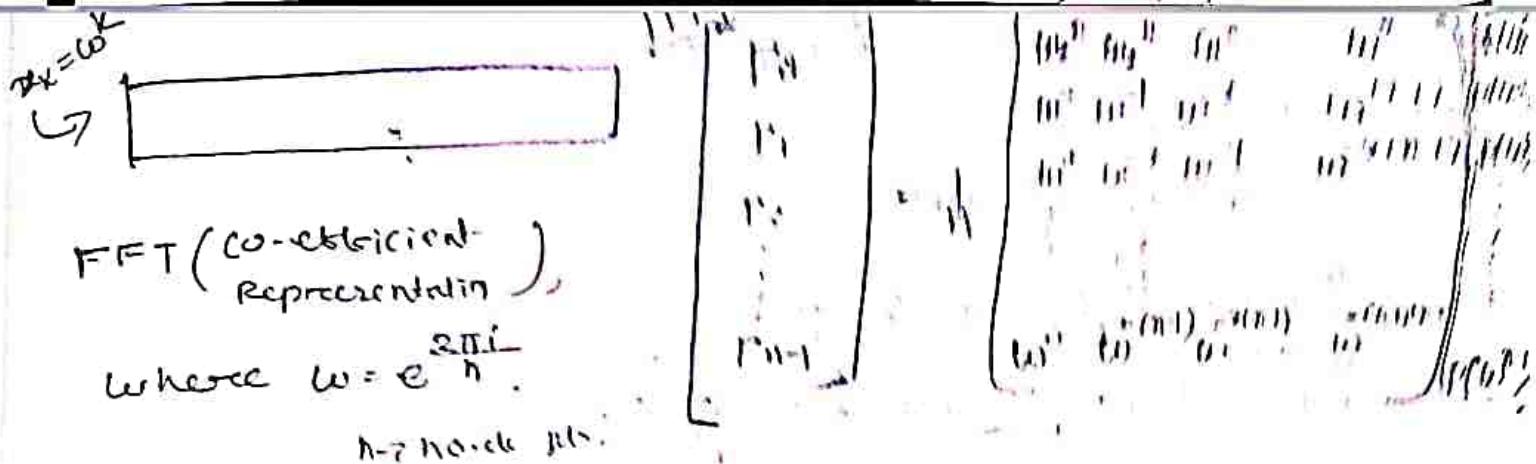
$$\begin{bmatrix} P(x_0) \\ P(x_1) \\ \vdots \\ P(x_{n-1}) \end{bmatrix} = \begin{bmatrix} x_0^0 & x_0^1 & x_0^2 & \dots & x_0^{n-1} \\ x_1^0 & x_1^1 & x_1^2 & \dots & x_1^{n-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ x_{n-1}^0 & x_{n-1}^1 & x_{n-1}^2 & \dots & x_{n-1}^{n-1} \end{bmatrix} \begin{bmatrix} P_0 \\ P_1 \\ \vdots \\ P_{n-1} \end{bmatrix}$$

FFT

$$x_k = \omega^k, \text{ where } \omega = e^{\frac{2\pi i}{n}}$$

$$\begin{bmatrix} P(\omega^0) \\ P(\omega^1) \\ P(\omega^2) \\ \vdots \\ P(\omega^{n-1}) \end{bmatrix} = \begin{bmatrix} \omega^0 & \omega^0 & \omega^0 & \dots & \omega^0 \\ \omega^1 & \omega^1 & \omega^1 & \dots & \omega^1 \\ \omega^2 & \omega^2 & \omega^2 & \dots & \omega^2 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \omega^0 & \omega^1 & \omega^2 & \dots & \omega^{(n-1)(n-1)} \end{bmatrix} \begin{bmatrix} P_0 \\ P_1 \\ P_2 \\ \vdots \\ P_{n-1} \end{bmatrix}$$

Discrete Fourier Transform (DFT) matrix



FFT (coefficient representation)

$$\text{where } \omega = e^{\frac{2\pi i}{n}}$$

$n \rightarrow \text{no. of pts.}$

$$FFT([P_0, P_1, P_2, \dots, P_{n-1}]) \rightarrow [P(w^0), P(w^1), P(w^2), \dots, P(w^{n-1})]$$

$$\xrightarrow{\text{Inverse FFT}} IFFT([P(w^0), P(w^1), P(w^2), \dots, P(w^{n-1})]) \rightarrow [P_0, P_1, P_2, \dots, P_{n-1}]$$

IFFT (point value representation) \Leftrightarrow FFT (point-value representation)

\downarrow
Inverse FFT

$$\text{where } \omega = \frac{1}{n} e^{\frac{2\pi i}{n}}$$

$n \rightarrow \text{no. of points.}$

$$A(x) = x^2 + 1$$

$$B(x) = x + 2x$$

$$\text{multiply} = x^2(x+2x) + 1(x+1) \rightarrow O(n^2)$$

\hookrightarrow reduce ~~complexity~~.

point value $\rightarrow O(n)$

FFT \rightarrow coefficient \rightarrow point values

\hookrightarrow using divide and conquer

~~for~~ point value

IFFT \rightarrow point value \rightarrow coefficient

Algorithm: Fast Fourier Transform (FFT)

1 $FFT(P) // P = [P_0, P_1, P_2, \dots, P_{n-1}]$ (coefficient representation)

2 $n = \deg(P) // n \text{ is a power of 2}$

3 $iC(n=1)$

return P

$$\omega = e^{\frac{2\pi i}{n}}$$

6 $P_n, P_0 = [P_0, P_1, P_2, \dots, P_{n-1}], [P_1, P_3, P_5, \dots, P_{n-1}]$

$$7 \quad \hat{y}_e, y_o = FFT(\hat{x}_{re}), FFT(\hat{x}_{ro}).$$

$$8 \quad Y = [0] \times n$$

9. bane j in range $(\frac{n}{2})$.

$$10 \quad y[j] = y_e[j] + \omega^j y_o[j].$$

$$11 \quad y[j + \frac{n}{2}] = y_e[j] - \omega^j y_o[j].$$

12. Return y .

$$\boxed{\text{FFT: } P(x) = P_0 x^0 + P_1 x^1 + P_2 x^2 + \dots + P_{n-1} x^{n-1}}$$

$$P(n) = [P_0, P_1, P_2, \dots, P_{n-1}]$$

$$\omega = e^{\frac{2\pi i}{n}} : [\omega^0, \omega^1, \omega^2, \dots, \omega^{(n-1)}]$$

$$\boxed{n=1 \Rightarrow P(1)} \leftarrow \text{Base condition.} \quad \leftarrow \text{Line 3, 24}$$

$$\omega^0 = 1 \\ e^{\frac{2\pi i}{n}} = e^0$$

$$\boxed{\text{FFT: } P_e(x^2) = [P_0, P_2, P_4, \dots, P_{n-2}]} \quad \text{even, degree}$$

$$[\omega^0, \omega^2, \dots, \omega^{n-2}].$$

$$y_e = [P(\omega^0), P(\omega^2), \dots, P_e(\omega^{n-2})]$$

line 6, 7

$$\boxed{\text{FFT: } P_o(x^2) = [P_1, P_3, P_5, \dots, P_{n-1}]} \quad \text{odd degree}$$

$$[\omega^0, \omega^2, \dots, \omega^{n-2}].$$

$$y_o = [P(\omega^0), P(\omega^2), \dots, P(\omega^{n-2})].$$

line 9, 10, 11

$$P(\omega^j) = y_e[j] + \omega^j y_o[j].$$

$$P(\omega^{j+\frac{n}{2}}) = y_e[j] - \omega^j y_o[j] \text{ where } j \in \{0, 1, \dots, (\frac{n}{2}-1)\}$$

line 12

$$\boxed{Y = [P(\omega^0), P(\omega^1), \dots, P(\omega^{n-1})]}$$

$$\frac{\partial^2 g}{\partial x^2} = 0$$

Algorithm : Inverse Fourier transform (IFFT)

$\text{IFFT}(P) // P = [P_0, P_1, P_2, \dots, P_{n-1}]$ (Coefficient representation)

$n = \text{length}(P) // n$ is a power of 2.

if ($n = 1$) .

return P .

$$\omega = \frac{1}{n} \times e^{-\frac{2\pi i}{n}}$$

$P_e, P_o = [P_0, P_2, P_4, \dots, P_{n-2}], [P_1, P_3, P_5, \dots, P_{n-1}]$.

$y_e, y_o = \text{IFFT}(P_e), \text{IFFT}(P_o)$

$$y = [0] \times n$$

for j in range($\frac{n}{2}$).

$$y[j] = y_e[j] + \omega^j y_o[j].$$

$$y[j + \frac{n}{2}] = y_e[j] - \omega^j y_o[j].$$

return y .

Time complexity = $O(n^2)$.

NOTE

Coefficient representation (CR) \Rightarrow [Multiply] \Rightarrow CR.



CR \Rightarrow Point value Representation (PR)

EVALUATION

PR \Rightarrow CR

Time complexity?
 $O(n \log n)$



Time complexity: $O(n \log n)$

PR \Rightarrow [Multiply] \Rightarrow PR

Time complexity: $O(n)$

The time complexity for multiplying polynomials using FFT and IFFT is.

$$= O(n \log n) + O(n)$$
$$= \boxed{O(n \log n)}$$
 which is less than $O(n^2)$.