

Extending the Limits of Tractability

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Coping with NP-completeness

Q. Suppose I need to solve an **NP**-complete problem. What should I do?

A. Theory says you're unlikely to find poly-time algorithm.

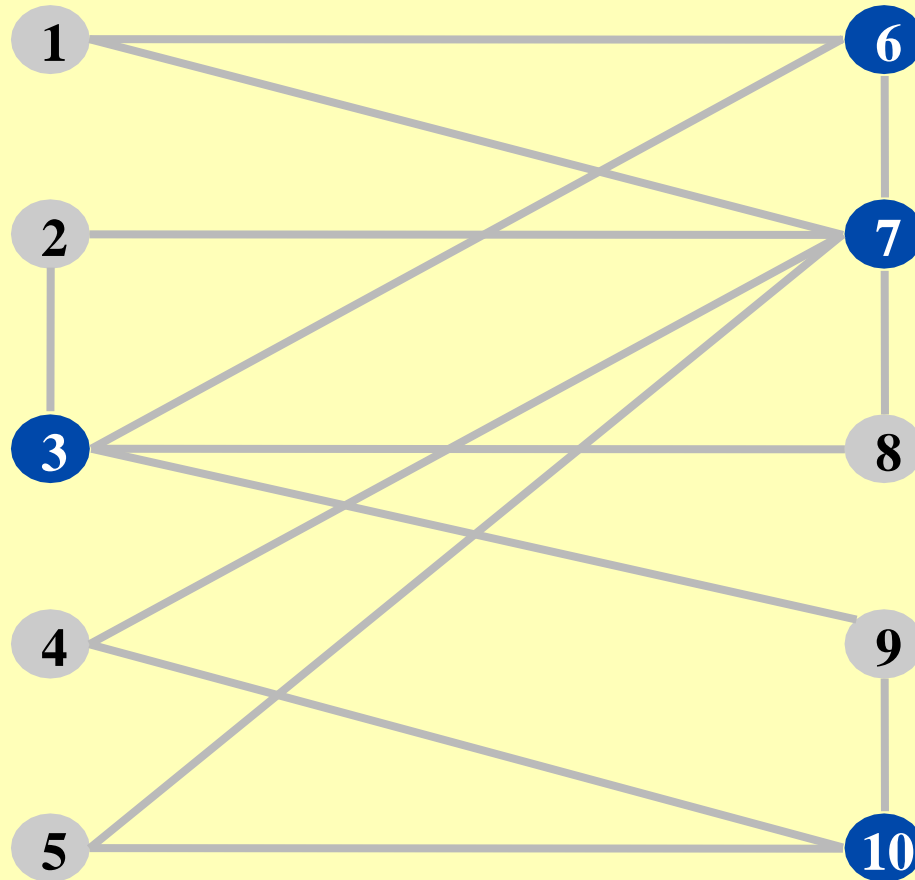
Must sacrifice one of three desired features.

- Solve problem to optimality.
- Solve problem in polynomial time.
- Solve **arbitrary instances** of the problem.

Our goal. Solve some special cases of **NP**-complete problems.

Vertex cover

Given a graph $G = (V, E)$ and an integer k , is there a subset of vertices $S \subseteq V$ such that $|S| \leq k$, and for each edge (u, v) either $u \in S$ or $v \in S$ or both?



$S = \{3, 6, 7, 10\}$ a vertex cover of size $k = 4$

Finding small vertex covers

Q. VERTEX-COVER is **NP**-complete. But what if k is small?

Brute force. $O(k n^{k+1})$.

- Try all $C(n, k) = O(n^k)$ subsets of size k .
- Takes $O(k n)$ time to check whether a subset is a vertex cover.

Goal. Limit exponential dependency on k , say to $O(2^k k n)$.

Ex. $n = 1,000, k = 10$.

Brute. $k n^{k+1} = 10^{34} 2^k$ • infeasible.

Better. $k n = 10^7$ • feasible.

Remark. If k is a constant, then the algorithm is poly-time; if k is a small constant, then it's also practical.

Finding small vertex covers

Claim. Let (u, v) be an edge of G . G has a vertex cover of size $\leq k$ iff at least one of $G - \{u\}$ and $G - \{v\}$ has a vertex cover of size $\leq k - 1$.

 delete v and all incident edges

Pf. \Rightarrow

- Suppose G has a vertex cover S of size $\leq k$.
- S contains either u or v (or both). Assume it contains u .
- $S - \{u\}$ is a vertex cover of $G - \{u\}$.

Pf. \Leftarrow

- Suppose S is a vertex cover of $G - \{u\}$ of size $\leq k - 1$.
- Then $S \cup \{u\}$ is a vertex cover of G . ■

Claim. If G has a vertex cover of size k , it has $\leq k(n - 1)$ edges.

Pf. Each vertex covers at most $n - 1$ edges. ■

Finding small vertex covers: algorithm

Claim. The following algorithm determines if G has a vertex cover of size $\leq k$ in $O(2^k kn)$ time.

```
Vertex-Cover( $G, k$ ) {  
    if ( $G$  contains no edges)    return true  
    if ( $G$  contains  $\geq kn$  edges) return false  
    let  $(u, v)$  be any edge of  $G$   
     $a = \text{Vertex-Cover}(G - \{u\}, k-1)$   
     $b = \text{Vertex-Cover}(G - \{v\}, k-1)$   
    return  $a$  or  $b$   
}
```

Pf.

- Correctness follows from previous two claims.
- There are $\leq 2^{k+1}$ nodes in the recursion tree; each invocation takes $O(kn)$ time

Finding small vertex covers: recursion tree

$$T(n, k) \leq \begin{cases} c & \text{if } k = 0 \\ cn & \text{if } k = 1 \\ 2T(n, k-1) + ckn & \text{if } k > 1 \end{cases} \Rightarrow T(n, k) \leq 2^k c k n$$

