

2025/26 Student's seminar (77656):
Quantum semiconductor structures and devices

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11. Carbon Nanotubes
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13. Photonic Crystals: confinement of light into periodic dielectric structures

General Literature:

1. V. Mitin, V. Kochelap & M. Strosio, "*Quantum Heterostructures: Microelectronics and Optoelectronics*" (Cambridge, 1999).
2. G. Bastard, "*Wave Mechanics applied to Semiconductor Heterostructures*" (Halsted Press, 1988).
3. J. Singh, "*Physics of Semiconductors and their Heterostructures*" (McGraw-Hill, 1993).
4. C. Weisbuch and B. Vinter, "*Quantum Semiconductor Structures*" (Academic Press, 1991).
5. Supriyo Datta, "*Quantum Transport: Atom to Transistor*", (Cambridge, NY 2005).
6. Paul Harrison, "*Quantum Wells, Wires and Dots: Theoretical and Computational Physics of Semiconductor Nanostructures*" (Wiley 2000).
7. Thomas Ihn, "*Semiconductor Nanostructures: Quantum states and electronic transport*" (Oxford University press, 2010).
8. David K. Ferry, Stephen M. Goodnick and Jonathan Bird, "*Transport in Nanostructures*" (Cambridge, NY 2009).

General Solid State and semiconductor Physics Textbooks:

9. N. W. Ashcroft and N. D. Mermin, "*Solid State Physics*" (Saunders, 1976).
10. J. M. Ziman, "*Principles of the theory of Solids*" 2nd Ed. (Cambridge, 1972).
11. M. Shur, "*Physics of Semiconductor Devices*" (Prentice-Hall, 1990).
12. S. M. Sze, "*Semiconductor Devices*" (Wiley, 1985); "*Physics of Semiconductor Devices*", 2nd Ed. (Wiley, 1981).
13. Peter Y. Yu and Manuel Cardona "*Fundamentals of Semiconductors: Physics and Materials Properties*" (Springer 2010), 4th edition).
14. A. Yariv, "*Optical Electronics*" (Saunders, 1991); 4th or 5th edition

The double-barrier resonant tunneling diode

- Transmission through double-barrier structures
- Coherent tunneling
- Sequential tunneling
- Current-voltage characteristics and the negative-differential-resistance (NDR) phenomenon
- Advance: single electron transfer and the Coulomb Blockade

References:

- E. E. Mendez, in *Physics and Applications of Quantum Wells and Superlattices*, edited by E. E. Mendez and K. v. Klitzing (Plenum, New York, 1987), p. 159.
- V. Mitin, V. Kochelap & M. Strosio, "*Quantum Heterostructures: Microelectronics and Optoelectronics*" (Cambridge, 1999) chapter 8.
- S. Luryi and A. Zaslavsky "*Quantum-effect and hot electron devices*" in "*Modern Semiconductor Device Physics*", S. M. Sze ed., (Wiley, 1998) chapter 5.
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- Michael Feiginov, J. Infrared, Millimeter and Terahertz Waves **40** (2019) 365

Semiconductor superlattices (SLs)

- Minibands in ideal (infinite) SL and finite SL.
- Dispersion relations
- Electric field effects (biased SLs) and transport
- Magnetic field effects
- Optical transitions (inter-miniband and intra-miniband) and infrared spectroscopy
- Advance topic: Bloch oscillations in SLs

References:

- M. Helm, Semicond. Sci. Technol. **10** (1995) 557
- K. Leo, Semicond. Sci. Technol. **13** (1998) 249
- V. Mitin, V. Kochelap & M. Strosio, "*Quantum Heterostructures: Microelectronics and Optoelectronics*" (Cambridge, 1999).

Quantum well infrared photodetectors (QWIPs)

- Intersubband optical transitions and infrared absorption in QWs (bound-to-bound; bound-to-continuum, bound-to-miniband etc)
- QWIP's operation (responsivity; dark current; photo-conductive gain)
- Semiconductor systems (GaAs/AlGaAs etc)
- Applications: arrays of QWIPs, infrared imaging
- *Advance topic*: noise in QWIPs; the signal-to-noise (SNR) ratio, the concept of BLIP (background limited infrared performances (or detection; see A. Yariv, "Optical Electronics", 4th ed (Saunders 1991) chapter 11).

References:

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M. O. Manasreh, ed., "Semiconductor quantum wells and superlattices for long wavelength infrared detectors" (Artech House, 1993).

Quantum cascade (QC) lasers

- The QC laser Structure
- Transport mechanism
- Optical transitions (intersubband and inter-miniband)
- Gain and losses, laser action
- Advance: THz quantum cascade laser and its applications

References:

J. Faist *et al*, Science **264** (1994) 553

C. Gmachl *et al*, Reports Prog. Phys. **64** (2001) 1533

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C. Jirauschek and T. Kubis, Appl. Phys. Rev. **1**, 011307 (2014).

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"Semiconductor Laser Theory", P. K. Basu, B. Mukhopadhyay & R. Basu (CRC Press, FL 2016).

The Quantum well laser (QWL)

- Introduction to lasers: spontaneous versus stimulated emission; population inversion;
- The energy band structure of quantum wells (conduction and valence)
- Optical transitions in quantum wells (interband optical transitions)
- The density of states (DOS) in 2D (two-dimensions); comparison to 3D (bulk).
- The Optical gain, losses and the conditions for lasing
- Comparison with ordinary (3D) semiconductor lasers
- Advance: mode locking of lasers

References:

A. Arakawa and A Yariv, IEEE J. Quantum Electron **22** (1986) 1887

A. Yariv, "Optical Electronics" (Saunders, 1991); 4th or 5th edition.

S. L. Chuang, "*Physics of optoelectronic devices*" (Wiley, 1995)

"*Quantum well lasers*", P. S. Zorrry, Jr. Ed (Academic, NY 1993).

"*Semiconductor Laser Theory*", P. K. Basu, B. Mukhopadhyay & R. Basu (CRC Press, FL 2016).

Quantum wire lasers

- Introduction to lasers: spontaneous versus stimulated emission; population inversion;
- The electronic structure and density of states in 1D quantum wires
- The effect of dimensionality on the optical gain and threshold current
- Methods of fabrication
- Comparison with ordinary semiconductor lasers (and QWL)
- Advance: the effect of strain

References:

E. Kapon, chapter 10 in "*Quantum well lasers*", P. S. Zorrry, Jr. Ed (Academic, NY 1993); see also: Proc. IEEE **80** (1992) 398.

N. Ledentsov *et al*, IEEE J. Lightwave Technol. **26** (2008) 540

S. Arai and T. Maruyama, IEEE J. Quantum Electron.. **15** (2009) 731

"*Semiconductor Laser Theory*", P. K. Basu, B. Mukhopadhyay & R. Basu (CRC Press, FL 2016).

The Quantum dot lasers

- Comparison of low-dimensional semiconductor lasers (bulk, QW, QWR and QD lasers):
The density of states (0D versus the others)
The effect of dimensionality on the optical gain and threshold current
- Methods of fabrication: top-down versus bottom-up approaches
- Advance: temperature dependence of the threshold current in QD lasers

References:

- L. V. Asryan and D. A. Suris, *Semicond.* **38** (2004) 3
D. Bimberg *et al*, *J. Phys. Condens. Matter* **15** (2003) R1063.
N. Ledentsov *et al*, *IEEE J. Lightwave Technol.* **26** (2008) 1540
W. W. Chow and F. Jahnke, *Progress Quantum Electron.* **37** (2013) 109
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Y – S. Park *et al*, *Nature Rev. Mater.* **6** (2021) 382-401
C. Shang *et al*, *ACS Photon.* **8** (2021) 2555-2566

Colloidal Quantum dots & Perovskites for Photovoltaic solar cell applications

- Fundamental of photovoltaic solar cells and p - n junctions (the classical solar cell)
- The role of quantum dots in photovoltaic solar cells
- Basic electronic and optical properties of quantum dots and quantum dot solar cells
- Preparation of colloidal quantum dots and quantum dot sensitized solar cells
- Advance: perovskites based solar cells

References:

- I.J. Kramer and E.H. Sargent, *ACS Nano.* **5** (2011) 8506
J. Tang and E. Sargent, *Adv. Matter* **23** (2011) 12.
A.J. Nozik *et al*, *Chem. Rev.* **110** (2010) 6873
O.E. Semonian *et al*, *Mater. Today* **15** (2012) 508
R. S. Selinsky *et al*, *Chem. Soc. Rev.* **42** (2013) 2963
L. M. Nikolenko and V. F. Razumov, *Russian Chem. Rev.* **82** (2013) 429
V. Gonzalez-Pedro *et al*, *Nano Lett.* **14** (2014) 888
P. Tonui *et al*, *Renewable & Sustainable Energy Rev.* **91** (2018) 1025
N. -G Park, *Mater. Today* **18** (2015) 65
L. Schmidt-Mende *et al*, *APL Mater.* **9** (2021) 109202.

Inversion layers in MOS (metal-oxide-semiconductor) structures and the formation of 2D (two-dimensional) electron gas

- The classical MOS field effect transistor (MOSFET).
- Inversion layers and quantum size effects.
- Polarizability (the response to electromagnetic field) and screening in 2D electron gas.
- Self-consistent calculations of the energy levels and formation of subbands.
- Advance: The triangular potential approximation and analytical solution of the self-consistent problem.

References:

T. Ando, A. B. Fowler and F. Sten, Rev. Mod. Phys. **54** (1982) 437

C. Hamaguchi, "*Basic Semiconductor Physics*", 2nd Ed. (Springer-Verlag, Berlin 2010);

S. M. Sze, "*Physics of Semiconductor Devices*", 2nd Ed. (Wiley, 1981);

M. Shur, "*Physics of Semiconductor Devices*" (Prentice-Hall, 1990)

Graphene: a 2D (two-dimensional) structure of Graphite

- The Carbon atoms and the 3D structure of Graphite.
- The 2D structure of Graphene.
- The energy band structure of Graphene: π and σ bands; dispersion relations near the K points.
- Dirac states in graphene: the relativistic-like characters of graphene.
- Synthesis and applications.
- Defects in Graphene
- Advance: Beyond graphene - Van der Waals 2D materials & multilayers

References:

- A. H. Castro *et al*, Rev. Mod. Phys. **81** (2009) 109
- M. Orlita and M. Potemski, Semicond. Sci. Technol. **25** (2010) 063001
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- X. Li *et al*, Appl. Phys. Rev. **4**, 021306 (2017).
- P. Avouris, T. Heinz, and T. Low, *2D Materials*, (Cambridge University Press, Cambridge, 2017).
- A. V. Kolobov and J. Tominaga, *Two-Dimensional Transition-Metal Dichalcogenides*, (Springer, Switzerland, 2016).

Carbon Nanotubes (CNTs)

- Synthesis of CNTs: Multi-walled and single-walled CNTs.
- Electronic properties of single-walled CNTs.
- Optical properties of CNTs.
- Advance: other novel properties and applications of CNTs.

References:

- R. Saito, G. Dresselhaus and M. Dresselhaus, "*Physical properties of Carbon nanotubes*" (Imperial College, 1998)
- V. N. Popov, Mater. Sci. Eng. R **43** (2004) 61
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- H. -S. Philip Wong and Deji Akinwande, "*Carbon Nanotube and Graphene Device Physics*" (Cambridge University Press, 2011).

Porous Silicon: A quantum sponge structure

- Direct versus indirect bandgap semiconductors.
- The effect of confinement on silicon: silicon nanocrystals and porous silicon.
- Methods of preparation of porous silicon.
- Optical properties: photoluminescence (PL) and multilayer of thin films
- Advance: the vibron model for the photoluminescence from porous silicon.

References:

- A. G.Cullis, L. T. Canham and P. Calcott, J. Appl. Phys. **82** (1997) 909
- O. Bisi, S. Ossicini and L. Pavesi, Surf. Sci. Reports **38** (2000) 1
- A. Sa'ar, J. Nanophoton, **3** (2009) 032501;
- A. Sa'ar, Chapter 25: "Photoluminescence from Silicon Nanostructures", *Handbook of Nanophysics: Nanoelectronics and Nanophotonics*, Vol. **6**, K. D. Sattler Ed., CRC press (Taylor & Francis Group, 2011).
- V. Lehmann, "*Electrochemistry of silicon*" (Wiley-VCH, 2002)

Photonic Crystals: confinement of light into periodic dielectric structures

- 1D photonic crystals: periodic multilayer structures.
- 2D and 3D photonic crystals.
- Symmetries and photonic bandgaps (or dispersion relations).
- Advance: Defects in photonic crystals and formation of cavities.

References:

- P. Yeh, A. Yariv & C-H Hong, "*Electromagnetic propagation in periodic stratified media. I. General theory*", J. Opt. Soc. Am. 67 (1977) 423
- S. G. Johnson and J. D. Joannopoulos, "*Photonic crystals: the road from theory to practice*" (Kluwer academic 2002)
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