# MISSING WELL LOG DATA HANDLING IN COMPLEX LITHOLOGY PREDICTION: AN NIS APRIORI ALGORITHM APPROACH

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Received January 2020; revised April 2020

Abstract. Lithology prediction is considered an essential requirement in the field of petroleum exploration. Since reservoirs consist of complex lithologies, predicting the lithology classes is gradually playing a pivotal role in the geosciences. During drilling operations the advancements of real time data recording have been so common in the petroleum industries in the past and majority of the logging data are recorded in real time process. However, sometimes the system encounters data loss or missing values while going through the logging procedures. Hence, the application of missing data estimation in automated lithology prediction is so essential. In this research a unique module is developed for classifying lithology from borehole log data consisting of incomplete log values by employing non-deterministic information systems apriori (NIS Apriori) algorithm. The unique characteristics of the proposed module are also presented in the paper. The research proposes certain and possible rules based on real data science semantics following the framework of NISs. By using the NIS Apriori algorithm it is proved that each rule  $\tau$  is determined by analyzing only a pair of  $\tau$ -dependent possible tables although each particular rule  $\tau$  is a dependant on so many possible tables. However, one of the applications of the NIS Apriori algorithm is its prospect of the handling missing values. This research proposes a white-box novel architecture to deal with the well log missing values by using the NIS Apriori algorithm which provides the results in terms of rules to classify complex lithology efficiently.

**Keywords:** Lithology classification, Well log, NIS Apriori algorithm, Rule extraction, Completeness, Missing data

1. **Introduction.** Lithology refers to the composition or type of rock in the Earth's subsurface. Prediction of lithology is globally considered as a challenging problem in the primary step of petroleum exploration. The lithological data-points of reservoirs are indispensable for several geological factors like sedimentation modeling, and stratigraphic correlation [1-4]. As a reason, how to find accurate patterns from lithological information by utilizing data has become an important issue in the fields of petroleum geoscience [5,6].

DOI: 10.24507/ijicic.16.03.1077

Conventionally, the prominent statistics techniques that are used to classify lithology are principal component analysis (PCA), discriminant analysis, clustering analysis, etc. Giniyatullin et al. [7] used a type of clustering method for diagnosing lithological heterogeneity of the parent material. Fisher discriminant analysis is also applied to predicting lithology and it is found that the Fisher discriminant analysis is more effective than the linear discriminant analysis for classifying lithology [8,9]. Using different statistics methods Paasche and Eberle [10] processed geo-physical data sets and compared their application effects.

Recently, neural networks (NNs) are considered to be one of the most robust methods to classify lithology since the networks are built flexibly depending on the problem structure [11,12]. Several researchers used back propagation (BP) three-layered network for predicting lithologies because it is simple and robust [13]. Since in NN the training must be done analytically, which is generally calculated by least square algorithm, it is important that the data quality is well maintained [14]. That means, the data-points of well logs must not include false or missing data-values. However, in reality where several wells are drilled the well logs such as density or sonic and logs are sometimes found absent or missing because of some borehole problems or cost considering issues [15]. The absent log portions make it challenging to extract the information to classify the lithology. Additionally NN and most of the available methods for lithology classification are blackbox or complex in terms of computational descriptions. Hossain et al. proposed a rough set based white-box approach to identify electrofacies to correlate lithology [16,17] but missing values were not considered in their research. This research proposes a white-box novel architecture to deal with the missing values by using a newly proposed algorithm which provides the results in terms of rules to classify complex lithology effectively and efficiently.

The methodology is related to rule generation using Apriori algorithm [18]. This work is also very much related to granular computing [19,20], rough sets (RSs) [21-23], data mining [18,24], and information incompleteness [25-30]. The mathematical information retrieval framework has been described by Marek and Pawlak [31]. The methodology corresponds to the origin of table data analysis and RS theory. We followed the systems and denote them as nondeterministic information systems (NISs). Correspondingly it is named, a table of information completeness, deterministic information systems (DISs). Rough set theory has been proposed by Pawlak [21,23] that provides a mathematical framework of table data analysis and generates rules. Numerous approaches and models also proposed rule based systems. Greco et al. [32] proposed a model of dominance-RS and used RS as tables whose attribute values are sorted. Ziarko [33] proposed variable precision RS models as an extension to RS. Komorowski et al. [34] made a survey on the framework of RS. Yao [35] proposed extension of RS to three-way decisions using probabilistic RS, and studied RS in multi-granular spaces.

Information incompleteness being a real data science problem has huge potential to work on but there is lack of research on this. Kryszkiewicz [26] characterized rules in incomplete information systems and missing attribute values are taken into DISs. Nakata and Sakai [28] worked on rule generation using possible world semantics following Lipski's incomplete information. Stefanowski and Tsoukiàs [36] worked on the relationship between rough classification and incomplete information tables.

Different models and approaches are shown by the researchers for classifying the generated rules using lower and upper approximations. For decision support, Predki et al. [37] developed an RS Data Explorer, and Bazan and Szczuka [38] developed an RS Exploration System, that is employable to knowledge discovery, data exploration and classification support. Grzymala-Busse [25] proposed learning from examples based on RS (LERS) and

also extended the LERS system with missing data into table data. Ding et al. [39] worked with imbalance datasets. Sahri, et al. proposed an efficient non-parametric iteration based imputation method for dissolved gas dataset [40]. Typically statistical methods are used to handle missing values by imputation [8]. We used the framework of NIS Apriori rule generation by Sakai et al. [41] where Lipski's incomplete information databases and Orlowska's NISs are used and the methodology is related to RS that uses equivalence classes but the explanation of upper and lower approximations is somehow dissimilar. The purposes of our research are as below.

- 1) The dissimilarities between RS rule generation (RSRG) and Apriori rule generation (APRG) are clarified.
- 2) The methodology of rule generation using the NIS Apriori algorithm is described.
- 3) From NIS a process to approximate the actual DIS is shown.
- 4) The research demonstrates that NIS Apriori rule generation algorithm is basically an extension to RS, granular computing and three-way decisions and it can deal with missing values.
- 5) Finally, as an application to the methodology, 10 different lithology classes have been classified using several well log features that contain a number of missing values.

The study is organized as follows. Section 2 contains the methodology where, the differences between RSRG and APRG are discussed, DISs rule generation is extended to NISs and NIS Apriori algorithm is discussed. In Section 3 the experimental steps to handle missing values and to predict lithology using NIS Apriori algorithm are described. In Section 4 the comparison study is provided. Section 5 contains results and dicussion and Section 6 concludes the paper.

2. **Methodology.** In lithology prediction, well logging is considered as an integral part and that can provide a huge amount of data to analyze. However, it is quite certain for the log records to be missing due to many factors such as instrument failure, broken instruments, borehole conditions or data loss due to inappropriate storage and incomplete logging [40]. As a consequence, some of the logging intervals get missing resulting it difficult to extract knowledge from the logs to predict lithology. Therefore, a robust method to deal with the missing logs becomes a necessity.

This section contains the methodology of generating rules and imputing the missing well log values using NIS Apriori algorithm for predicting lithology. As a background, DISs Apriori algorithm is also discussed in this section.

- 2.1. **DISs rule generation.** Deterministic information systems (DISs), DISs Rules [23, 25,28,31,34], RSRG and APRG are discussed in this section.
- 2.1.1. DISs rules. DIS  $\psi$  signifies a quadruplet  $\psi = (OBJ, ATR, \{VL_K | K \in ATR\}, m)$  in which OBJ indicates a finite set where the elements are named as objects, ATR indicates a finite set of attributes,  $VL_K$  is a finite set of attribute values, and m is a mapping where,  $m: OBJ \times ATR \rightarrow \bigcup_{K \in ATR} VL_K$ . We define  $D \in ATR$  as the decision attribute and CND as a subset of  $ATR \setminus \{D\}$  where CND is the condition attributes set. In  $\psi$ , [K, vl]  $(K \in ATR, vl \in VL_K)$  is called a descriptor, and the formula  $\tau: \land_{K \in CND} [K, vl_K] \Rightarrow [D, vl]$   $(vl_K \in VL_K, vl \in VL_D)$  is called an implication.
- **Definition 2.1.** [23, 24, 41] Considering DIS  $\psi$ , a pair of given thresholds  $0 < \alpha$ ,  $\beta \le 1.0$ , an implication  $\tau$  containing support and accuracy as shown in items (1) and (2) below are the candidate of a particular rule in  $\psi$ .
- 1)  $supp(\tau) = |eq(\wedge_{K \in CND}[K, vl_K] \wedge [D, vl])|/|OBJ|) \ge \alpha,$
- 2)  $acc(\tau) = |eq(\wedge_{K \in CND}[K, vl_K] \wedge [D, vl])|/|eq(\wedge_{K \in CND}[K, vl_K]|)) \ge \beta$

Here, eq(\*) denotes an object set that satisfies formula \*, and |M| indicates the cardinality of the set M (where M is an object set). If  $|eq(\wedge_{K,CND}[K,vl_K])| = 0$ , we define  $supp(\tau) = 0$  and  $acc(\tau) = 0$ .

2.1.2. DISs Apriori rule generation. Agrawal and Srikant proposed the Apriori algorithm to handle transaction data [18] and currently it has become a renowned algorithm for data mining. The algorithm has two useful properties that are shown below.

(Property 1) In APRG, considering two threshold values  $\alpha$  and  $\beta$ , each descriptor  $[K,vl_K]$  satisfying  $|eq([K,vl_K])|/|OBJ| < \alpha$  is disregarded since any implication  $\tau$  including  $[K,vl_K]$  fails to satisfy  $supp(\tau) \geq \alpha$ . Hence, it is adequate considering descriptors in  $\{[K,vl_K]||eq([K,vl_K])|/|OBJ| \geq \alpha\}$ . The property decreases the number of insincere implications. Increased values for  $\alpha$  reduce the number of the obtainable rules.

(Property 2) Considering  $\eta_1$ :  $[K, vl_K] \Rightarrow [D, vl]$  and  $\eta_2$ :  $[B, vl_B] \Rightarrow [D, vl]$  satisfying  $acc(\eta_1) < \beta$  and  $acc(\eta_2) < \beta$ ,  $acc(\eta_3) \ge \beta$  occurs for  $\eta_3$ :  $[K, vl_K] \land [B, vl_B] \Rightarrow [D, vl]$ .

Therefore, if  $supp(\eta_1) \geq \alpha$  and  $supp(\eta_2) \geq \alpha$ , then it is essential to consider  $\eta_3$ . Hence, the condition part of a given rule  $\tau \colon \wedge_{K \in CND}[K, vl_K] \Rightarrow [D, vl]$  includes the descriptors in  $\{[K, vl_K] | supp([K, vl_K] \Rightarrow [D, vl]) \geq \alpha\}$ . In Algorithm 1  $IMP_{i+1}$  is generated from  $IMP_i$  and this process follows the above properties.

## **Algorithm 1:** Adjusted Apriori algorithm for DIS [42].

```
Input: DIS \psi, decision attribute D, threshold values \alpha and \beta;
Output: Rule(\psi);
Rule(\psi) \leftarrow \{\}; i \leftarrow 1;
create IMP_i, where each \tau_{i,j} \in IMP_i satisfies supp(\tau_{i,j}) \geq \alpha;
while (|IMP_i| \ge 1) do
    Rest \leftarrow \{\};
    forall \tau_{i,j} \in \beta do
         if acc(\tau_{i,j}) \geq \beta then
             add \tau_{i,j} to Rule(\psi);
         else
          | add \tau_{i,j} to Rest;
         end
    end
    i \leftarrow i + 1;
    Generate IMP_i via Rest and Property (2) mentioned above where \tau_{i,j} \in IMP_i
    (a) supp(\tau_{i,j}) \geq \alpha, and
    (b) \tau_{i,j} is not a redundant implication in the implication of Rule(\psi)
return Rule(\psi);
```

In  $IMP_i$  the subscript i is the number of descriptors in the condition part, and  $|IMP_i|$  is the number of implications. In another way,  $IMP_1$ ,  $IMP_2$ ,  $IMP_3$  are sets of implications that consist of one, two and three condition attributes respectively.

Let  $\tau'$ :  $(\land_{K \in CND}[K, vl_K]) \land [B, vl_B] \Rightarrow [D, vl]$  be a redundant implication for  $\tau$ :  $\land_{K \in CND}[K, vl_K] \Rightarrow [D, vl]$ . Assuming  $\tau$  a rule, we can also regard that  $\tau'$  is corresponding to a rule for decreasing the amount of rules. Every rule with least condition part is our target.

**Proposition 2.1.** [42] Algorithm 1 is complete and sound for the generated rules in DIS  $\psi$ . Thus,  $Rule(\psi) = \{\tau | supp(\tau) \geq \alpha \text{ and } acc(\tau) \geq \beta \text{ in } \psi\}$  holds in Algorithm 1.

**Proof:** (Soundness) If  $acc(\tau) \geq \beta$  holds, every implication  $\tau \in IMP_i$  that assures  $supp(\tau) \geq \alpha$ , and  $\tau$  is included to  $Rule(\psi)$ . This means each  $\tau \in Rule(\psi)$  satisfies  $supp(\tau) \geq \alpha$  and  $acc(\tau) \geq \beta$  in  $\psi$ .

(Completeness) All implications  $\tau$  (excluding the redundant cases) are considered to be in  $IMP_i$ , and the  $acc(\tau)$  value is evaluated in Algorithm 1. So, there exists no implication  $\tau$  that satisfies  $supp(\tau) \geq \alpha$ ,  $acc(\tau) \geq \beta$  and  $\tau \notin Rule(\psi)$ .

2.1.3. Discussion of RSRG and APRG. The subsection discusses the dissimilarities between RSRG and APRG considering two important aspects.

(Aspect 1: Rules characteristics)

RSRG: Let eq([D, vl]) signify set X. Some equivalence classes cover set X, and some rules  $\tau$ :  $\wedge_{K \in CND}[K, valA] \Rightarrow [D, vl]$  are extracted. The extracted rules are for the descriptor [D, vl] which basically define set X.

APRG: In APRG set X is not definite, and it is noticed that, X = OBJ (the whole set of objects). Therefore, some rules with higher support and accuracy are acquired.

(Aspect 2: Rule generation approaches)

RSRG: The set CND is potentially not exceptional considering a covering of X and as a consequence a few rules can be missed.

APRG: By using Apriori algorithm the implication  $\tau$  can be obtained (except redundant implications): If  $\tau$  satisfies  $supp(\tau) \geq \alpha$  and  $acc(\tau) \geq \beta$ . Hence, completeness is ensured for the generated rules. However, for relatively lower threshold value of  $\alpha$  APRG is time consuming. Although APRG and RSRG are different to some extent, both are useful rule generation algorithms. In RSRG, majority of the researches signify on the pair of approximations (lower and upper) of X but the pair of approximations does not acquire the set of rules directly. Our methodology mainly uses APRG.

2.2. NISs rule generation and handling of missing data. DIS is a standard table, and NIS is a table whose attribute value is a set of values. In NISs, possible world semantics is employed and each missing value is considered as a set of possible values.

For example, m(John,age) = 25 (John's age is 25) in DIS and m(Tom,age) = 23, 24, 25 (Tom's age is one of 23, 24, of 25) in NIS. If we do not know the details of his age, we often consider a set of possible values. The former information is deterministic information, and the latter is non-deterministic information. In m(Tom,age), we have three possible cases. Generally, we replace each set with a value of the set, and then we have DIS from NIS. We say such a DIS is a derived DIS from NIS. The image of the derived DISs is in Figure 1(a). The  $Q(\phi)$  expresses the set of all derived DISs form NIS  $\phi$ . We see there is one actual derived DIS due to the definition of NIS. In such  $Q(\phi)$ , we consider two types of rules below:

- 1) If an implication  $\tau$  is a rule in each  $\psi \in Q(\phi)$ , we can conclude that this  $\tau$  is also a rule in the actual derived DIS. Thus, we say  $\tau$  is a certain rule.
- 2) If an implication  $\tau$  is a rule at least one  $\psi \in Q(\phi)$ , we can conclude that this  $\tau$  may be a rule in the actual derived DIS. Thus, we say  $\tau$  is a possible rule.

The definition of two types of rules seems natural in modal logic and possible world semantics. However, we face one problem. The quantity of  $Q(\phi)$  increases exponentially. In the Mammographic data set in the UCI machine learning repository, the number exceeds 10 power 100. We cannot generate rules without some useful methods. We proposed one solution to handle this by Propositions 2.3 and 2.4 and Theorem 2.1. Based on the solution Algorithm 2 is developed.

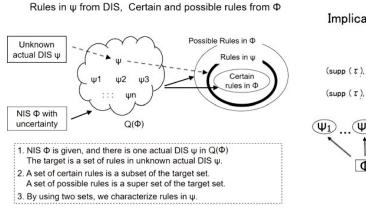
Proposition 2.3 means that there is a derived DIS  $\psi_{\min}$  where both support and accuracy are the minimum (denoted as  $\min supp(\tau)$  and  $\min acc(\tau)$  respectively in Definition 2.4). If  $\tau$  is a rule in  $\psi_{\min}$ ,  $\tau$  satisfies below:

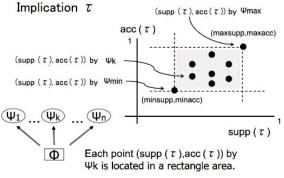
```
supp(\tau) \text{ in } \psi \ge supp(\tau) \text{ in } \psi_{\min} \ge \alpha,
 acc(\tau) \text{ in } \psi \ge acc(\tau) \text{ in } \psi_{\min} \ge \beta.
```

Thus,  $\tau$  is a rule in any  $\psi$ .

Proposition 2.4 means that there is a derived DIS  $\psi_{\text{max}}$  where both support and accuracy are the maximum (denoted as  $\max supp(\tau)$  and  $\max acc(\tau)$  respectively in Definition 2.4). If  $\tau$  is a rule in  $\psi_{\text{max}}$ ,  $\tau$  is a rule at least one derived DIS.

The intuitive meaning of them is expressed in Figure 1(b). Due to Propositions 2.3 and 2.4, we can conclude Theorem 2.1. Theorem 2.1 means that it is possible to examine certain rules and possible rules by checking  $\psi_{\min}$  and  $\psi_{\max}$ .





- (a) Rules in the unknown actual DIS  $\psi$  and rules in NIS  $\phi$  [44]
- (b)  $supp(\tau)$  and  $acc(\tau)$  by  $\psi \in \phi$  [42]

Figure 1. Visual representation of NIS Apriori algorithm

- 2.2.1. NISs rules. NIS  $\phi$  is a quadruplet  $(OBJ, ATR, \{VL_K | K \in ATR\}, m)$  where m denotes a mapping m:  $OBJ \times ATR \to P(\bigcup_{K \in ATR} VL_K)$  (a power set of  $\bigcup_{K \in ATR} VL_K$ ) [29,30]. Although the actual value is missing, each set m(x, K) is interpreted as the actual value in the set. Here, information incompleteness is handled by mapping m.
- Remark 2.1. Considering NIS  $\phi$  and  $Q(\phi)$ , let us accept that a DIS in  $Q(\phi)$  contains actual information and let us name it as an unknown actual DIS  $\psi^{actual}$ . A method to select  $\psi^{actual} \in Q(\phi)$  from  $\phi$  is not present because of incompleteness of information. This concept of  $\psi^{actual}$  was presented for incomplete information databases [19] which we are following in our methodology. Now we can make a definition shown below.

**Definition 2.2.** [42, 45] Two types of new rules can be defined.

- 1) A specific implication  $\tau$  can be denoted as a certain rule, if  $\tau$  denotes a rule in each  $\psi \in Q(\phi)$ .
- 2) A specific implication  $\tau$  can be denoted as a possible rule, if  $\tau$  denotes a rule in one  $\psi \in Q(\phi)$  at least.
  - 3) Let the set of certain rules and possible rules be  $CR(\phi)$  and  $PS(\phi)$  respectively.
- 2.2.2. Certain and possible rules basics. Basically, every certain rule is also considered as a possible rule. By observing NIS  $\phi$  we can say in DIS  $\psi$  m(x, K) is a singleton set for each x and K. Here, in this scenario  $Q(\phi) = \{\psi\}$  holds which makes the definitions of certain and possible rules alike. Let us explain certain rules possible rules below.

**Proposition 2.2.** Considering  $\psi \in Q(\phi)$ , the threshold values  $\alpha$  and  $\beta$ , and Rule( $\psi$ ) in Algorithm 1, the below roles hold.

- 1)  $CR(\phi) = \bigcap_{\psi \in Q(\phi)} Rule(\psi),$
- 2)  $PS(\phi) = \bigcup_{\psi \in Q(\phi)} Rule(\psi),$
- 3)  $CR(\phi) \subseteq Rule(\psi^{actual}) \subseteq PS(\phi)$ .

2.2.3. Computational problem in NISs rule generation and the resolution. As mentioned in Section 2.1.1, we experience the computational problem generating rule in NISs although Definition 2.2 seems well enough and in the rule generation process APRG is applied to each  $\psi \in Q(\phi)$  though the number of the elements in  $Q(\phi)$  exponentially increases. Therefore, it is difficult to sequentially apply APRG to each  $\psi \in Q(\phi)$  and we have the computational-complexity issue for big data. We handled certain rules and possible rules in the following way to get rid of this problem and based on the resolution Algorithm 2 is proposed in Section 2.3.

**Definition 2.3.** [41, 45, 46] Considering a mapping m in an NIS  $\phi$ , two kinds of the granules named inf and sup are written as below.

```
1) Considering a descriptor [K, vl], inf([K, vl]) = \{x : object | m(x, K) = \{vl\}\},\ sup([K, vl]) = \{x : object | vl \in m(x, K)\}.
2) Considering a conjunction \land_{K \in CND}[K, vl_k] of descriptors, inf(\land_{K \in CND}[K, vl_K]) = \cap_{K \in CND} inf([K, vl_K]).
sup(\land_{K \in CND}[K, vl_K]) = \cap_{K \in CND} sup([K, vl_K]).
```

If m(x, K) indicates an item set for each x and K, we can observe that  $\phi$  indicates a DIS and the same set is defined by the two kinds of granules named as inf and sup. An equivalence class in DIS is corresponded by the set. In NIS  $\phi$ , all the equivalence classes are extended to inf and sup and the unknown actual equivalence class eq([K, vl]) fulfills  $inf([K, vl]) \subseteq eq([K, vl]) \subseteq sup([K, vl])$ .

**Definition 2.4.** [41, 45, 46] Considering NIS  $\phi$  and an implication  $\tau$ , we can make some definitions as follows.

- (1)  $\min \sup_{\tau} \hat{u}(\tau) = \min_{\psi \in Q(\phi)} \{\sup_{\tau} \tau(\tau) \in \psi\}$
- 2)  $\min acc(\tau) = \min_{\psi \in Q(\phi)} \{acc(\tau) \in \psi\}$
- 3)  $\max supp(\tau) = \max_{\psi \in Q(\phi)} \{ supp(\tau) \in \psi \}$
- 4)  $\max acc(\tau) = \max_{\psi \in Q(\phi)} \{acc(\tau) \in \psi\}$

**Proposition 2.3.** [41, 45, 46] Considering NIS  $\phi$  and an implication  $\tau: \land_{K \in CND}[K, vl_K] \Rightarrow [D, vl]$ , the following holds.

$$\min supp(\tau) = |\inf(\land_{K \in CND}[K, vl_K]) \cap \inf([D, vl])|/|OBJ|,$$

$$\min acc(\tau) = \frac{|\inf(\land_{K \in CND}[K, vl_K]) \cap \inf([D, vl])|}{|\inf(\land_{K \in CND}[K, vl_K])| + |OUTACC|}$$

$$OUTACC = \{sup(\land_{K \in CND}[K, vl_K]) \setminus \inf(\land_{K \in CND}[K, vl_K])\} \setminus \inf([D, vl])$$

$$(1)$$

Furthermore, there is a defined DIS  $\psi_{\min}$  where  $supp(\tau) = \min supp(\tau)$  and  $acc(\tau) = \min acc(\tau)$ .

**Proposition 2.4.** [41, 45, 46] Considering NIS  $\phi$  and an implication  $\tau: \land_{K \in CND}[K, vl_K] \Rightarrow [D, vl]$ , the following holds.

$$\max supp(\tau) = |sup(\land_{K \in CND}[K, vl_K]) \cap sup([D, vl])| / |OBJ|,$$

$$\max acc(\tau) = \frac{|sup(\land_{K \in CND}[K, vl_K]) \cap sup([D, vl])|}{|inf(\land_{K \in CND}[K, vl_K])| + |INACC|}$$

$$INACC = \{sup(\land_{K \in CND}[K, vl_K]) \setminus inf(\land_{K \in CND}[K, vl_K])\} \cap inf([D, vl])$$
(2)

Furthermore, there is a defined DIS  $\psi_{\text{max}}$  where  $supp(\tau) = \max supp(\tau)$  and  $acc(\tau) = \max acc(\tau)$ . In Figure 1(b)  $supp(\tau)$  and  $acc(\tau)$  are shown by  $\psi \in \phi$ .

**Theorem 2.1.** [41, 45] Considering an implication  $\tau$ , the following holds.

- 1)  $\tau$  indicates a certain rule, iff  $\min supp(\tau) \ge \alpha$  and  $\min acc(\tau) \ge \beta$ .
- 2)  $\tau$  indicates a possible rule, iff  $\max supp(\tau) \ge \alpha$  and  $\max acc(\tau) \ge \beta$ .
- 3) In an NIS the process of generating rules by process (1) and (2) above is not dependent on the quantity of elements in  $Q(\phi)$ .
- 2.3. NIS Apriori algorithm and rule generation. In NIS Apriori based rule generation, we employ possible world semantics. The NIS Apriori algorithm (Algorithm 2) basically contains two phases, phase 1 is about generating the certain rule or  $CRRule(\phi)$  and phase 2 is the generation of possible rule or  $PSRule(\phi)$ . Phase 1 uses the measured values min supp and min acc in Proposition 2.3, and phase 2 employs the measured values max supp and max acc as described in Proposition 2.4. However, as shown in Theorem 2.1, the number of derived DISs does not have effect on NIS Apriori algorithm. This gives us the indication that the NIS Apriori algorithm is appropriate to NIS with a huge number of derived DISs.

### **Algorithm 2:** NIS Apriori algorithm [42].

```
Input: NIS(\phi), the decision attribute D, the threshold values \alpha and \beta.
Output: Two sets, CRRule(\phi) and PSRule(\phi)
CRRule(\phi) \leftarrow \{\}; i \leftarrow 1;
create IMP_i, where each \tau_{i,j} \in IMP_i satisfies min supp(\tau_{i,j}) \geq \alpha
while (|IMP_i| \ge 1) do
    Rest \leftarrow \{\};
    forall \tau_{i,j} \in \beta do
        if \min acc(\tau_{i,j}) \geq \beta then
            add \tau_{i,j} to CRRule(\phi);
        else
         add \tau_{i,j} to Rest;
        end
    end
    Generate IMP_i via Rest and Property (2) where \tau_{i,j} \in IMP_i satisfies:
    (a) \min supp(\tau_{i,j}) \geq \alpha, and
    (b) \tau_{i,j} is not a duplicate implication in the implication of CRRule(\phi).
return CRRule(\phi);
PSRule(\phi) \leftarrow \{\};
PSRule(\phi) generation follows the similar procedure of CRRule(\phi) generation;
In this case, CRRule(\phi), \min supp(\tau_{i,j}), and \min acc(\tau_{i,j}) are replaced PSRule(\phi),
 \max supp(\tau_{i,i}), and \min supp(\tau_{i,i}).
return PSRule(\phi);
```

**Proposition 2.5.** The NIS Apriori algorithm is complete and sound for the defined certain rules  $CR(\phi)$  and possible rules  $PS(\phi)$  as in Definition 2.2 and they are equal to  $CRRule(\phi)$  and  $PSRule(\phi)$  in Algorithm 2, respectively.

```
Proof: (Soundness: CRRule(\phi) \subseteq CR(\phi) and PSRule(\phi) \subseteq PS(\phi))
```

Each implication  $\tau \in CRRule(\phi)$  satisfies  $\min supp(\tau) \ge \alpha$  and  $\min acc(\tau) \ge \beta$ , so  $\tau \in CR(\phi)$ . The same is concluded for the possible rules as well.

(Completeness:  $CR(\phi) \subseteq CRRule(\phi)$  and  $PS(\phi) \subseteq PSRule(\phi)$ )

In Algorithm 2, every implication  $\tau$  is in  $IMP_i$  (except the redundant case as in Algorithm 1), and the condition of  $\tau$  is validated. So, there exists no implication  $\tau$  that satisfies the condition  $\min supp(\tau) \geq \alpha$ ,  $\min acc(\tau) \geq \beta$  and  $\tau \in CRRule(\phi)$ . The same is concluded for the possible rules.

#### 3. Lithology Classification Using NIS Apriori Algorithm.

3.1. **Data acquisition.** The purpose of the research includes the explanation of the special properties of the NIS Apriori rule generation algorithm, and the efficient use of it for handling missing logs in the process of lithology classification. However, we employed the dataset that consists of 10 well log attributes: Gamma Ray, Neutron Porosity, Density Correlation, Photoelectric Effect, Density Porosity, Conductivity, Caliper, Borehole Volume, Hole Diameter and Compressional Sonic. The unit, minimum, mean and maximum values of each well log attribute are shown in Table 1. We considered a total of 2741 tuples. From the geological core description dataset the lithological information is achieved which we considered as the decision attribute where 10 different lithology classes are found (as shown in Table 2).

In preprocessing step the dataset along with the lithology class information has been randomized and divided into two different datasets for training and testing and they are denoted as DTr and DTst respectively. DTr and DTst have 70: 30 ratio which means DTr contains 1917 objects and DTst contains 834 objects. DTr contains 519 missing values in the dataset.

Abbreviation	GR	NPHI	DRHO	$\mathbf{PE}$	DPHI
Attribute	Gamma	Neutron	Density	Photoelectric	Density
	Ray	Porosity	Correlation	Effect	Porosity
$\mathbf{Unit}$	.api	. decp	$.\mathrm{g/cc}$	.none	. decp
Min.	46.49	0.1053	-0.05	0.5105	0.081
Mean	140.85	0.2942	0.02471	1.8684	0.254
Max.	385.89	1.2316	0.3244	3.7343	0.8082
Abbreviation	CT10	CALI	BHVT	HDIA	DTC
Attribute	Conductivity	Caliper	Borehole	Hole	Compressional
Attribute			Volume	Diameter	Sonic
$\mathbf{Unit}$	-mmo/m	.in	$.\mathrm{m}3$	.in	.uspf
Min.	113.9	5.297	0.4481	5.297	60.81
Mean	1240.4	5.863	2.0633	5.863	101.69
Max.	9215.5	7.58	4.265	7.58	159.61

Table 1. Summaries of the selected well log attributes

Table 2. Classes and their corresponding lithologies

Class No.	Lithology	Class No.	Lithology
1	Claystone	6	Sandy Siltstone
2	Mudstone	7	Silty Sandstone
3	Siltston	8	Silty Mudstone
4	Sandstone	9	Muddy Sandstone
5	Sandy Mudstone	10	Granulestone

3.2. **Rule generation.** In this step, according to the methodology of NIS Apriori algorithm as described in Section 2.3 missing values in DTr are handled and certain rules  $CRRule(\phi)$  and possible rules  $PSRule(\phi)$  have been generated from dataset DTr in two steps as shown below.

Step 1:  $CRRule(\phi)$  has been generated and the obtained rules satisfy  $supp(\tau) \ge 0.005$ ,  $acc(\tau) \ge 0.9$  for each possible table from DTr.

- 1)  $CRRule(\phi_1)$ ,  $CRRule(\phi_2)$ ,  $CRRule(\phi_3)$ ,  $CRRule(\phi_4)$  have been generated for 1 to 4 conditional attributes in this step.
- 2) It took less than one minute for generating  $CRRule(\phi_1)$  to  $CRRule(\phi_4)$ .
- Step 2:  $PSRule(\phi)$  has been generated and the obtained rules satisfy  $supp(\tau) \ge 0.00$ ,  $acc(\tau) \ge 0.8$  for each possible table from DTr.
- 1)  $PSRule(\phi_1)$ ,  $PSRule(\phi_2)$ ,  $PSRule(\phi_3)$ ,  $PSRule(\phi_4)$  have been generated for 1 to 4 conditional attributes in this step.
- 2) It took less than one minute for generating  $PSRule(\phi_1)$  to  $PSRule(\phi_4)$ .

Now, by applying the NIS Apriori algorithm on DTr, we have found that the certain rules have been generated to predict Claystone, Mudstone, Sandstone and Sandy Mudstone whereas the possible rules have been generated to predict the rest of the lithology classes. The details are shown in Table 3.

Table 3. Certain and possible rules and their corresponding lithologies

Certain rules set	Lithology class	Possible rules set	Lithology class
$CRRule(\phi_1)$	Ø	$PSRule(\phi_1)$	Ø
$CRRule(\phi_2)$	4	$PSRule(\phi_2)$	Ø
$CRRule(\phi_3)$	1, 2, 4	$PSRule(\phi_3)$	6, 7, 8, 9, 10
$CRRule(\phi_4)$	1, 2, 4, 5	$PSRule(\phi_4)$	3, 6, 7, 8, 9, 10

3.3. Estimation of lithology values in DTst. We concluded the decision [Lithology, val] of each object from the applicable rule condition part  $\Rightarrow$  [Lithology, val] with the maximum lift value.

Table 4 shows the estimation results found from Step 1 and Step 2. The estimation process follows the steps mentioned below.

- 1) We applied each rule to each tuple in DTst.
- 2) We picked up one decision whose lift value is the highest.

$$lift = \frac{accuracy (P \Rightarrow Q)}{(Occurrence of Q/824)}.$$

Table 4. Estimation results

	Correctly estimated	$\begin{array}{c} {\rm Incorrectly} \\ {\rm estimated} \end{array}$	$egin{array}{c}  ext{Nothing} \  ext{estimated} \end{array}$
Certain rules	527	86	211
Possible rules	12	10	189

From Table 4 we can calculate the lithology classification result. The numbers of total correctly and incorrectly estimated samples are 539 and 96. Total 189 samples have shown no result which we denoted as total nothing estimated. So,

Estimated ratio = 635/824 = 0.77,

Correctly estimated ratio = 539/635 = 0.85.

- 4. Comparison Study. For comparison, two missing value imputation techniques and two prediction methods are taken into account.
- 4.1. **Imputation with different methods.** In this step, the following methods are used for handling the missing values by imputation and dataset DTr is used for both of the imputation methods.
- 4.1.1. Markov chain Monte Carlo method. Markov chain Monte Carlo (MCMC) is a popular computer-driven sampling method for estimating posterior distributions in Bayesian inference [47,48]. It is a renowned method to handle the missing data [49,50]. MCMC method is used to impute the missing data in DTr.
- 4.1.2. MissForest method. MissForest is a random forest classifier (RFC) based imputation method where a random forest is trained depending on the observed values of a data matrix for making predictions on the missing values. It can be used to impute continuous and/or categorical data including complex interactions and non-linear relations [51]. RFC based imputation is also done to find the missing values in DTr.
- 4.2. **Prediction accuracy for different methods.** For both of the imputation methods prediction accuracy is calculated using support vector machine and random forest classifier.
- 4.2.1. Support vector machine. SVM is a machine learning tool proposed by Vladmir Vapnik in 1996 that has been used for 20 years to solve several problems, including lithology prediction [52,53]. In this step, SVM is used to calculate the lithology prediction accuracy. For this, the missing values in DTr are imputed using MCMC and MissForest to construct two different training sets and then the training sets are used to train the SVM module differently. Dataset DTst is used to validate the SVM module for both of the cases. The cross validation accuracy using SVM for both of the imputation methods are shown in Table 5. In Figure 2, the results are illustrated graphically.

Table 5. Cross validation scores for SVM and RFC

Imputation Method	SVM	RFC
MCMC	0.80461	0.83872
MissForest	0.81189	0.82312

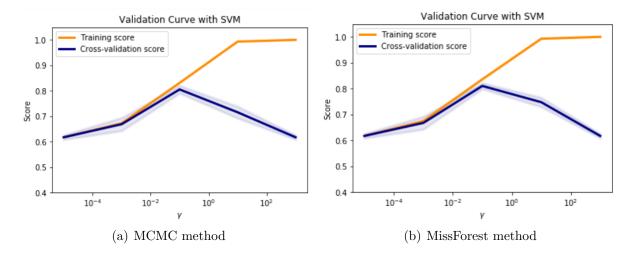


FIGURE 2. SVM scores for different imputation methods

4.2.2. Random forest classifier. Random forest classifier or RFC is an ensemble learning method for classification and regression that operates by constructing a multitude of decision trees while training and outputs the class based on mean prediction made by the individual decision trees. This method is used for solving several prediction problems including lithology prediction [50]. For comparison RFC is also used to calculate the prediction accuracy for dataset DTr after imputing the missing values by the two imputation methods MCMC and MissForest. We considered 250 decision trees to train the random forest and we validated the module using dataset DTst. The cross validation score using RFC for both of the imputation methods are shown in Table 5. In Figure 3, the results are illustrated graphically.

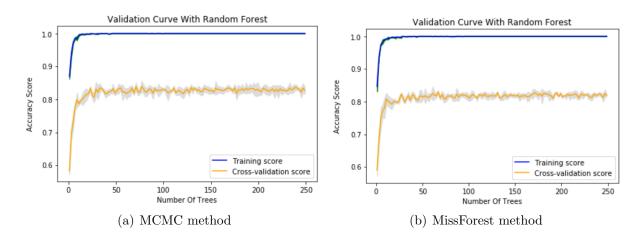


FIGURE 3. RFC Scores for different imputation methods

5. **Results and Discussion.** In this paper a unique and efficient method is presented to deal with the missing well log values and to predict lithology using NIS Apriori algorithm. Out of 27470 log values there were 519 missing values and by using the methodology the missing values were handled and we have found that the lithology prediction accuracy is 0.85.

Finally, a comparison study is shown using two other renowned missing data imputation methods (MCMC and MissForest) and two other methods for classification (SVM and RFC) that have been widely applied in lithology prediction. Our findings indicate that, for lithology prediction the RFC performs slightly better than the SVM and the NIS Apriori method perfoms better than both SVM and RFC. Additionally, the easily explainable rules make the NIS Apriori module a white-box that can explain how the model produces the prediction of the lithology classes. By analyzing the rules, geoscientist can extract valuable information of how the features are contributing in forming a lithology class.

6. Concluding Remarks. In the paper, we discussed about the framework of DIS, Apriori and NISs and then studied the NIS Apriori algorithm on the basis of rule generation. For handling certain and possible rules by means of possible world semantics, the NIS Apriori algorithm is the only practically applicable algorithm. In the process of NIS Apriori rule generation, in Definition 2.2  $CR(\phi)$  and  $PS(\phi)$  sets are discussed. These two sets named as lower and upper approximations of  $Rule(\psi^{actual})$  that we obtained in the unknown actual DIS  $\psi^{actual}$  are not similar to that of the typical RS. The concept of lower approximation  $CR(\phi)$  and upper approximation  $PS(\phi)$  of  $Rule(\psi^{actual})$  is similar to the concept of approximations in rough sets.

In the lithology classification dataset we found a number of missing log values and considering an application of NIS Apriori algorithm, we showed a unique method to handle  $\psi^{actual}$  which needs no further information.

From the results it is vivid that the NIS Apriori based rule generation is a unique method to deal with missing well log values in the process of classifying complex lithologies. Geophysicists and geologists can apply this methodology preferentially while needing well log datasets with missing values to complete other critical geology work. However, to guarantee a prediction module with better accuracy, an adequate training dataset is required. Choosing the appropriate explanatory features among a large number of well log attributes is also challenging. Furthermore, a larger dataset with more samples and datasets from different wells could also ensure a better prediction outcome. In future we intend to extend this module to calculate feature importance and to apply the methodology for predicting permeability and porosity from well log multi-variant dataset.

Acknowledgments. The authors would like to thank and highly appreciate Petroleum Research Fund (PRF), Cost Center 0153AB-A33 and the project leader Dr. Eswaran Padmanabhan for supporting the research. The authors like to thank Universiti Teknologi PETRONAS (UTP) for Graduate Research Assistantship (GRA) scheme.

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