

Lecture 3

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Binary Search Trees

Recall that a Binary Search Tree (BST) has the following crucial property:

For every node X in the BST, we have:

- ▶ Every node in the left subtree of X contains a value smaller than that of X .
- ▶ Every node in the right subtree of X contains a value larger than that of X .

Binary Search Tree

A BST supports the following functions:

- ▶ $\text{INSERT}(node, val)$ – Inserts val into the BST rooted at $node$.
- ▶ $\text{SEARCH}(node, val)$ – Returns True if val exists in the BST rooted at $node$. False otherwise.
- ▶ $\text{SUCC}(val)$ – Returns the smallest element greater than val in the BST.
- ▶ $\text{PRED}(val)$ – Returns the largest element lesser than val in the BST.
- ▶ $\text{DELETE}(val)$ – Deletes val from the BST.

Successor

The Succ(*val*) procedure is as follows:

- ▶ Find the node which stores *val*. Refer to this node as “*node*”.

- ▶ Two cases:

- Case 1: *node* has a right child.

- Case 2: *node* does not have a right child.

Successor - Case 1

Case 1

(*node* has a right child)

- ▶ Go to the right child.
- ▶ Keep descending to the left as long as possible.
- ▶ Return the value in the node where we end.

Successor - Case 2

Case 2

(*node* does not have a right child)

Find the nearest ancestor *anc* such that:

- ▶ *node* is in the left subtree of *anc*.

Successor - Case 2

Case 2

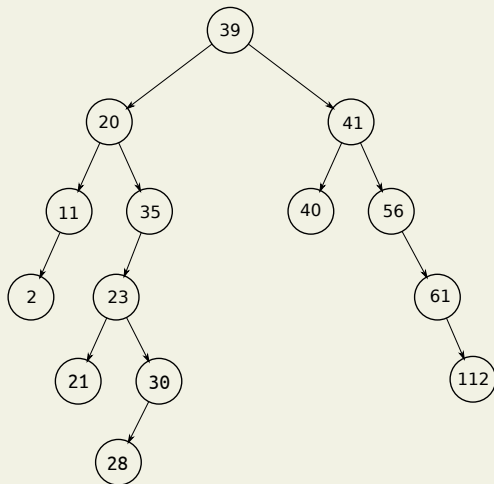
(*node* does not have a right child)

Find the nearest ancestor *anc* such that:

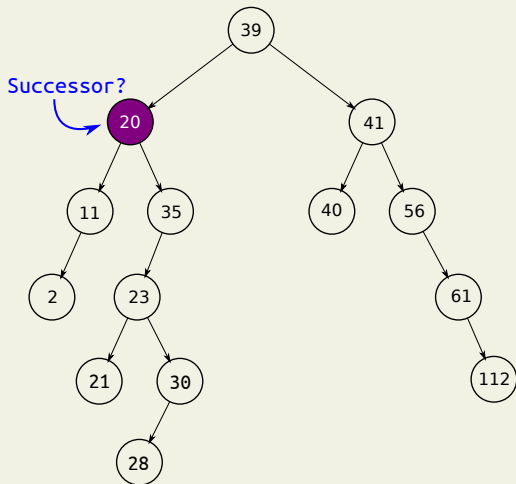
- ▶ *node* is in the left subtree of *anc*.

i.e., keep going upwards using the parent pointer till you arrive at such an ancestor.

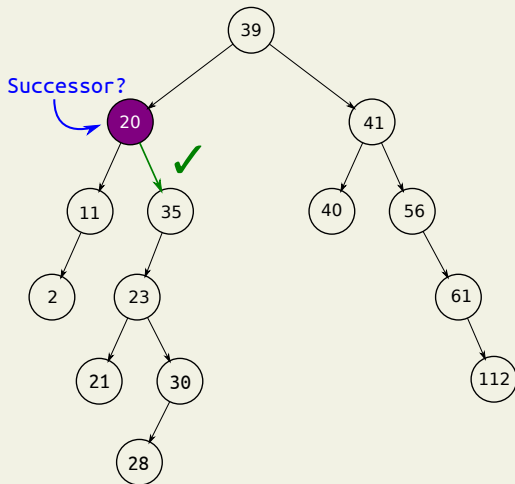
Example



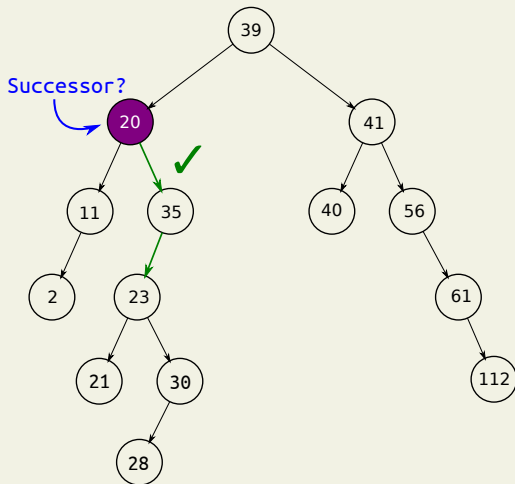
Example



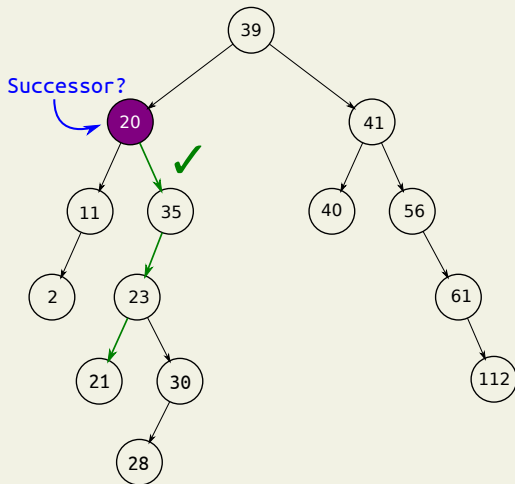
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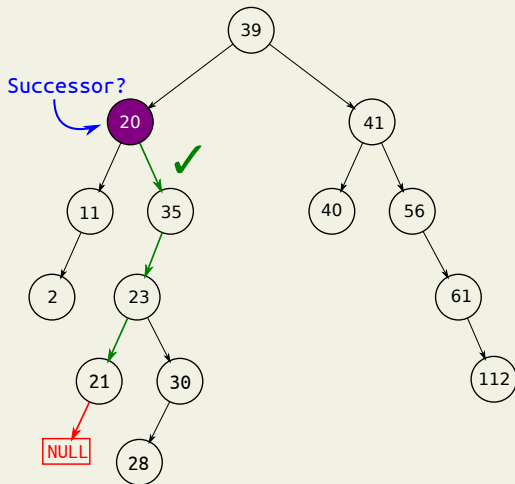
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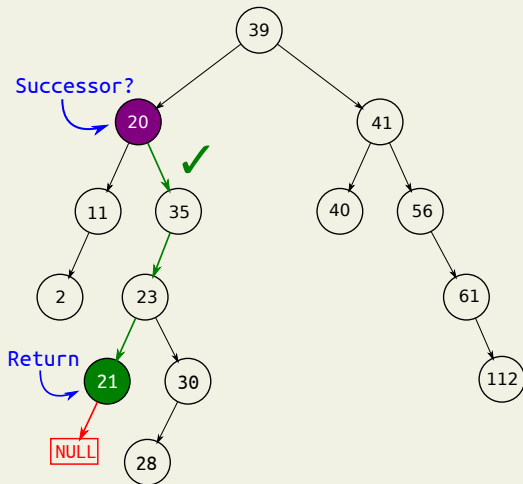
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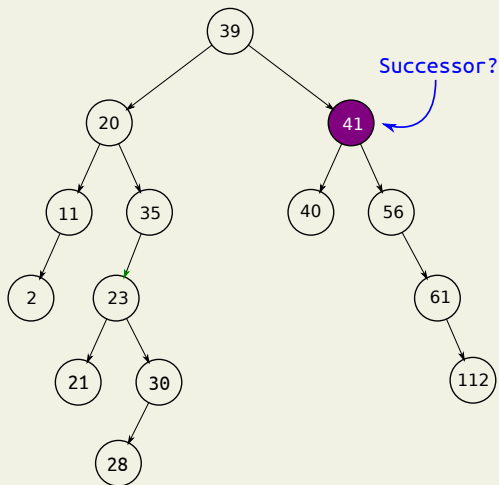
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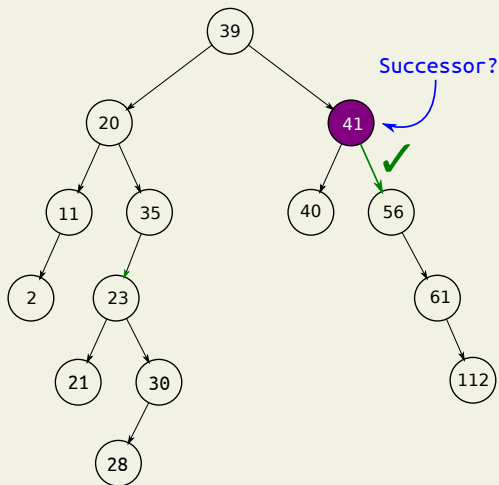
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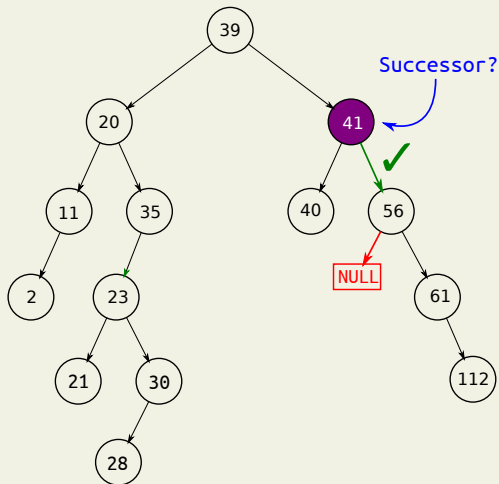
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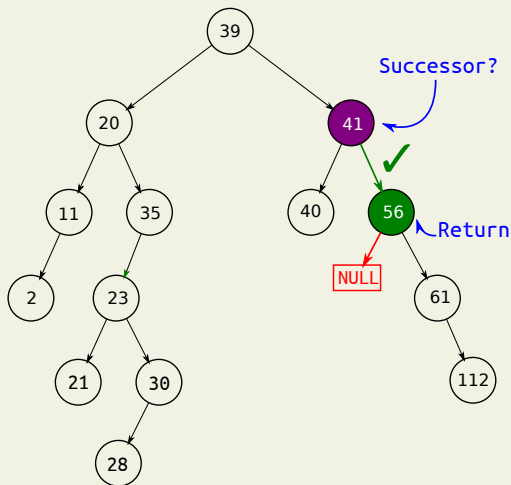
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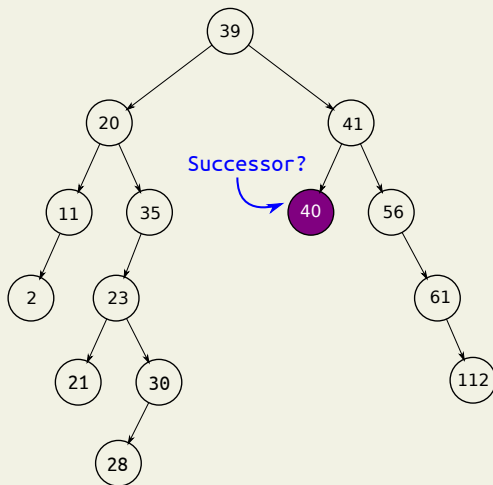
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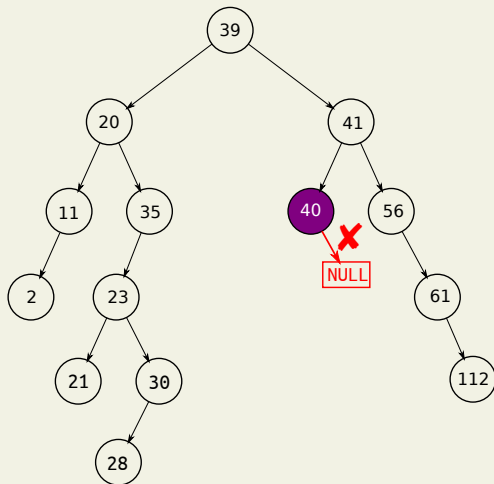
Example



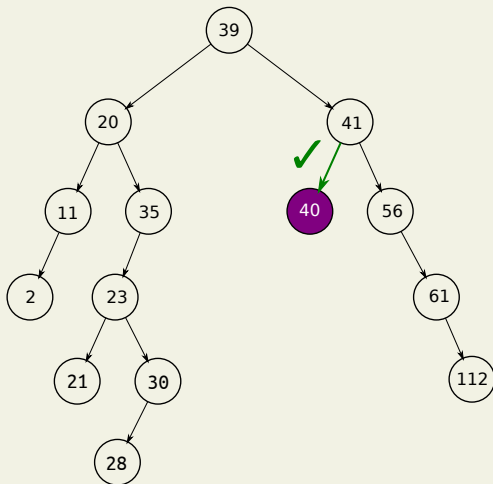
Example



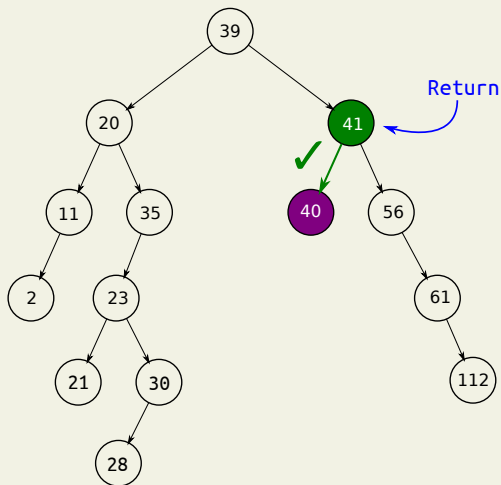
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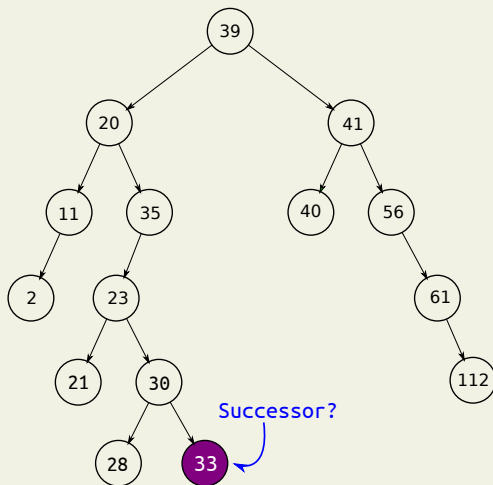
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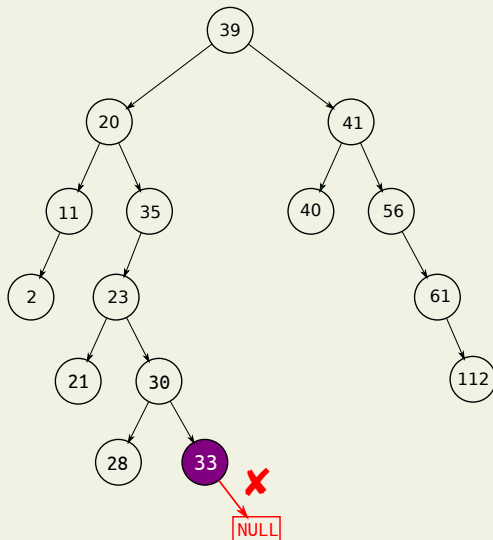
Example



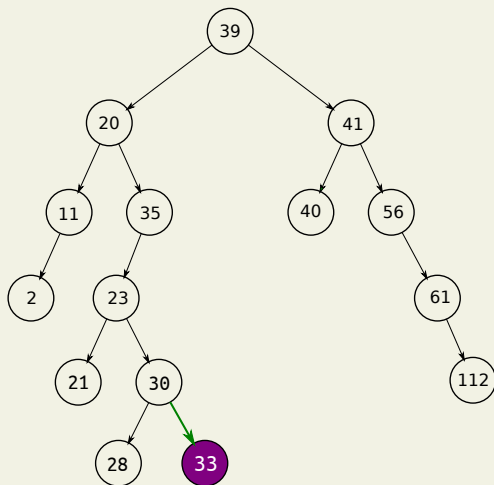
Example



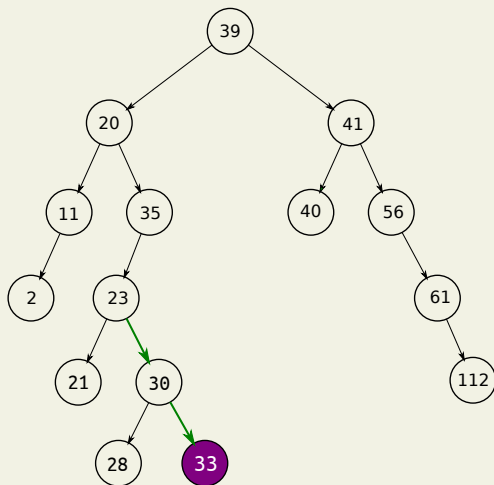
Example



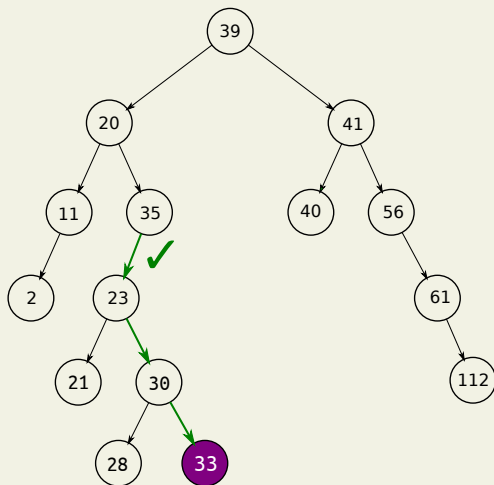
Example



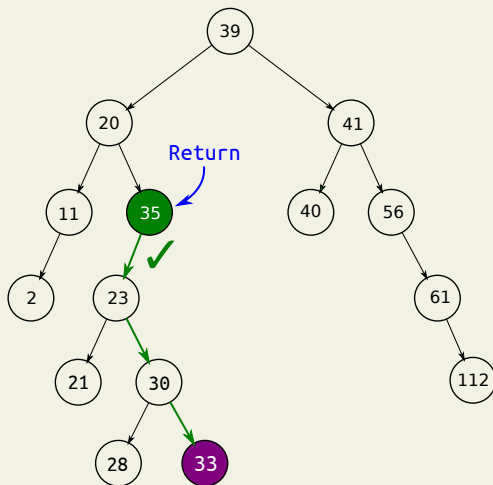
Example



Example



Example



DELETE

The DELETE(*VAL*) procedure is as follows:
Find the node that has value *val*.

DELETE

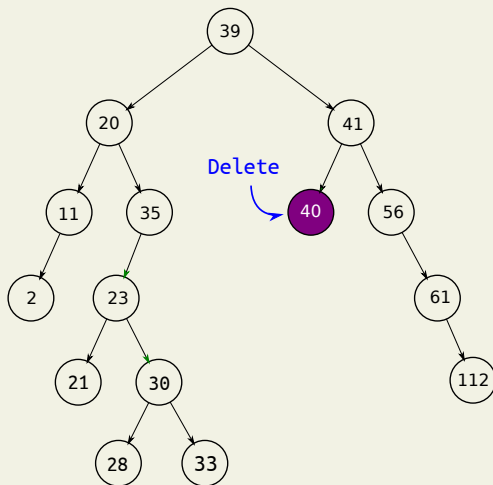
The DELETE(*VAL*) procedure is as follows:

Find the node that has value *val*.

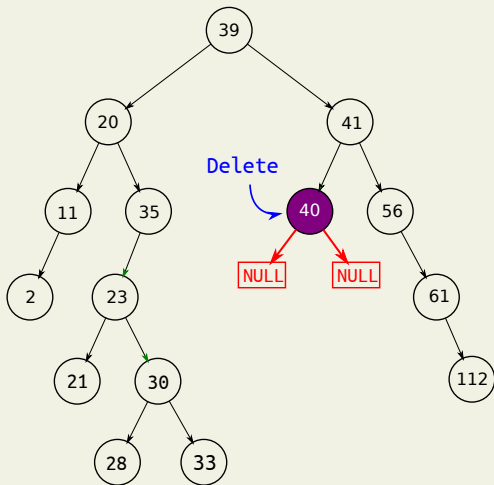
Three cases:

1. *node* has 0 children. (trivial)
2. *node* has 1 child. (splice)
3. *node* has 2 children:
 - ▶ Find successor node *X* with value *x*.
 - ▶ Splice *X* out of the tree.
 - ▶ Replace *val* with *x*.
 - ▶ Delete node *X*.

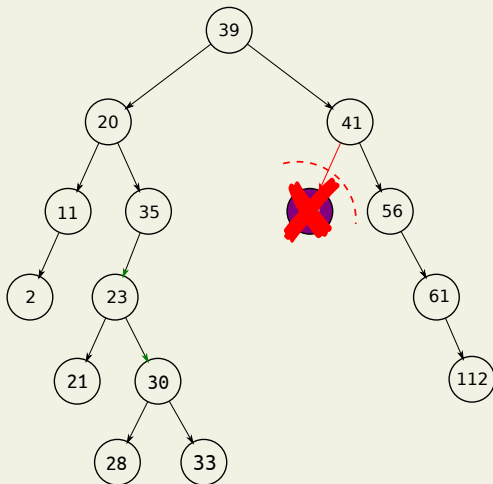
Example



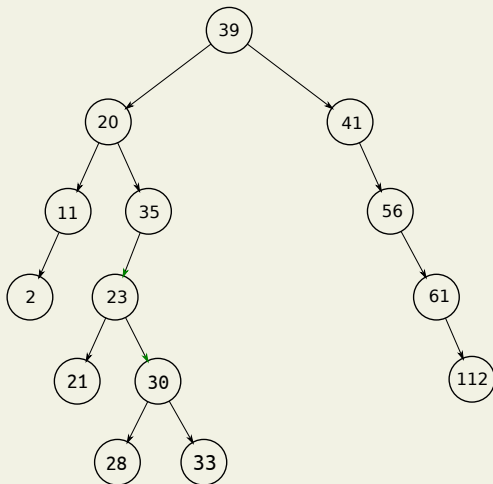
Example



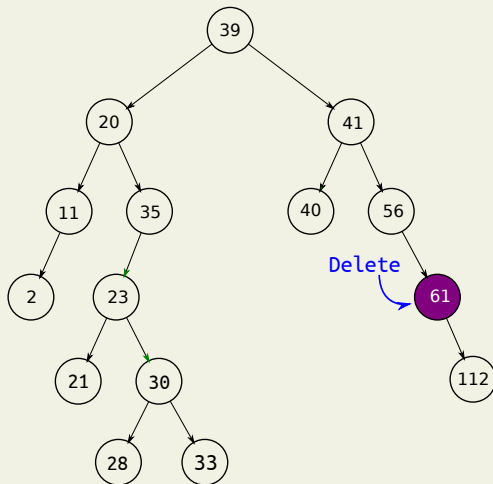
Example



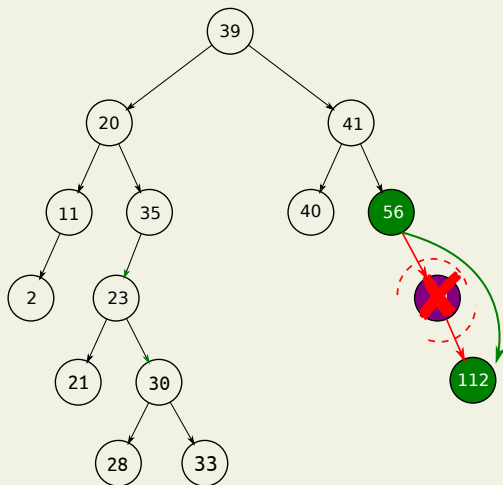
Example



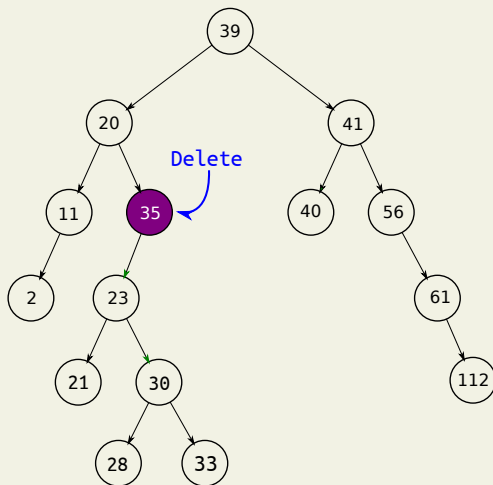
Example



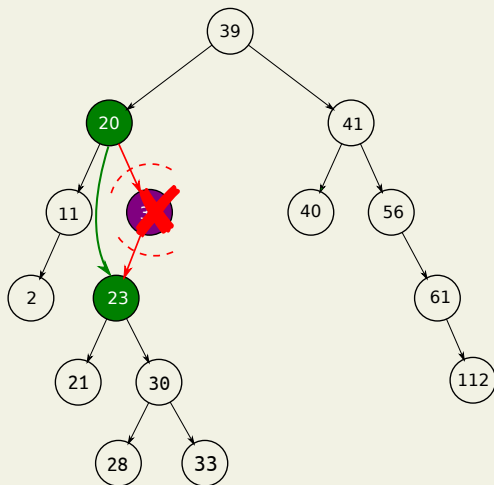
Example



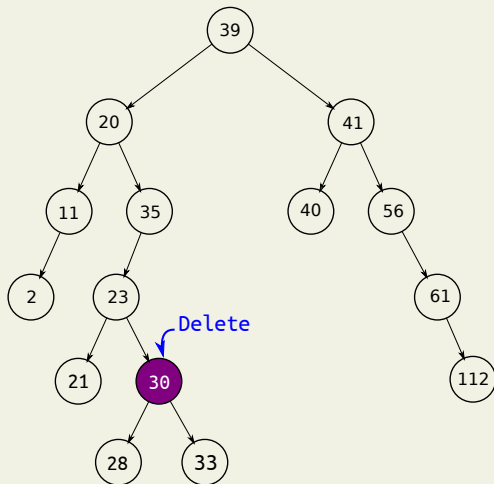
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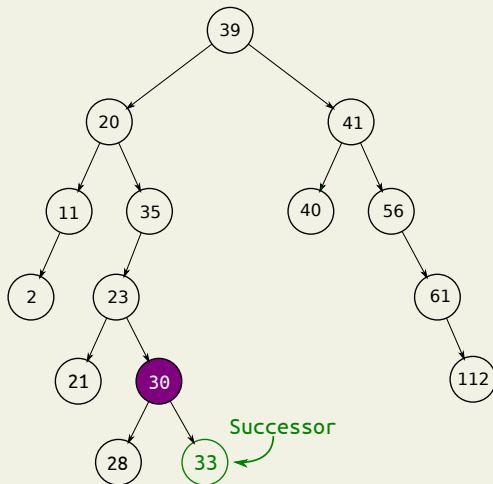
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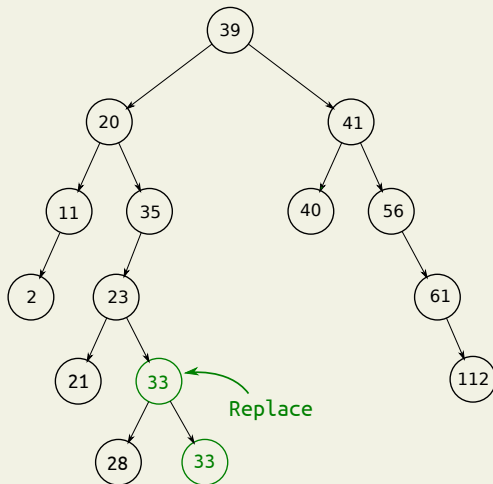
Example



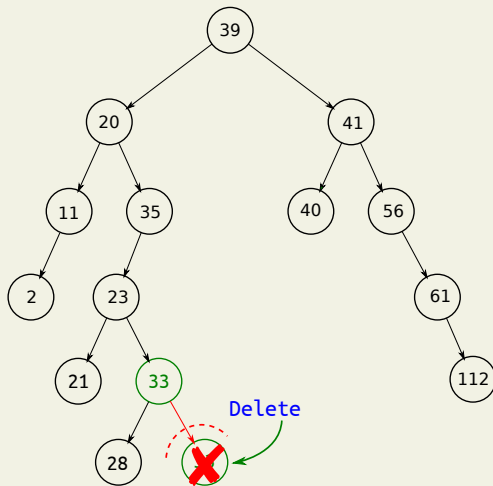
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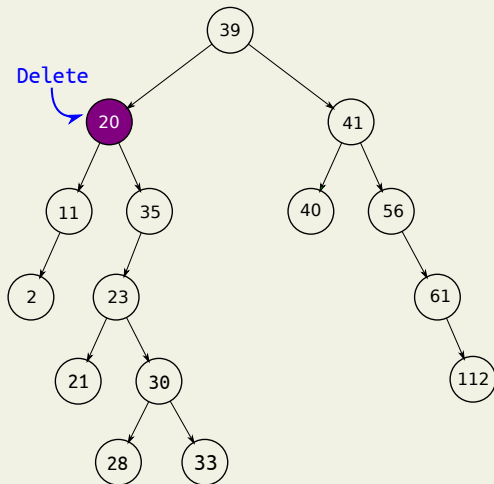
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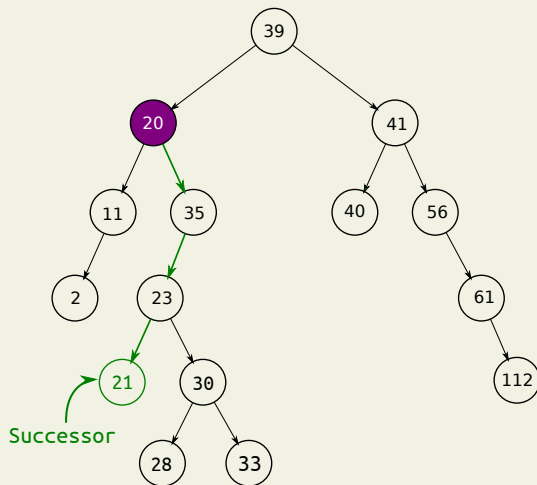
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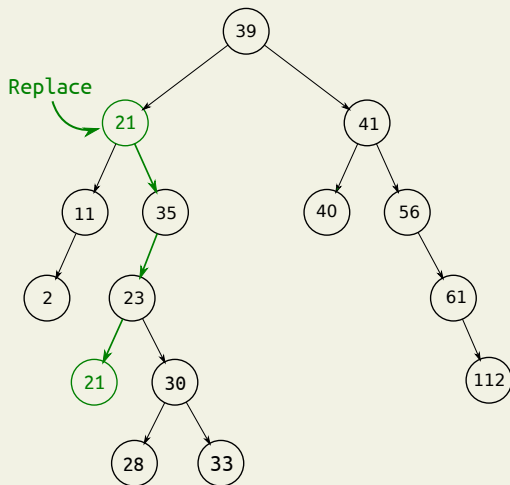
Example



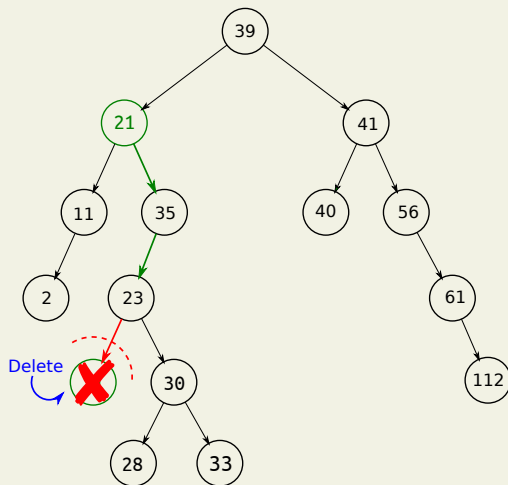
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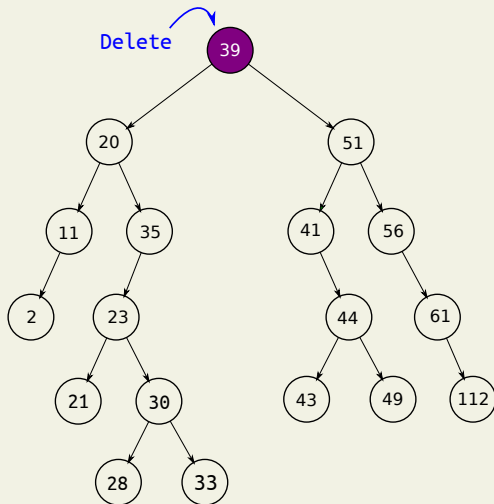
Example



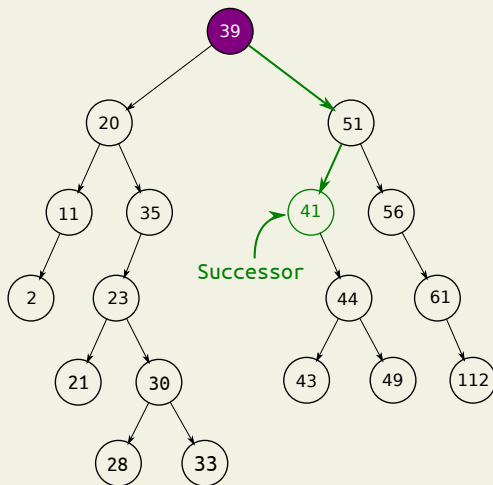
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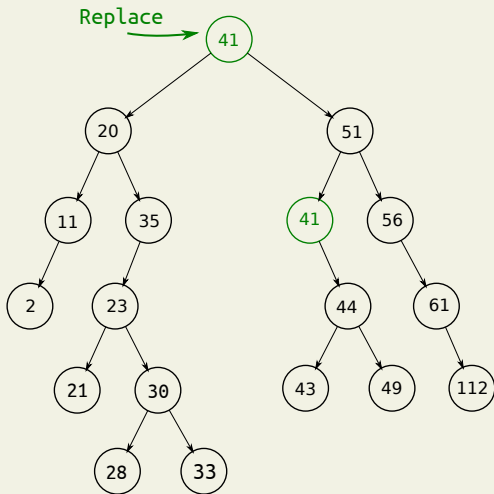
Example



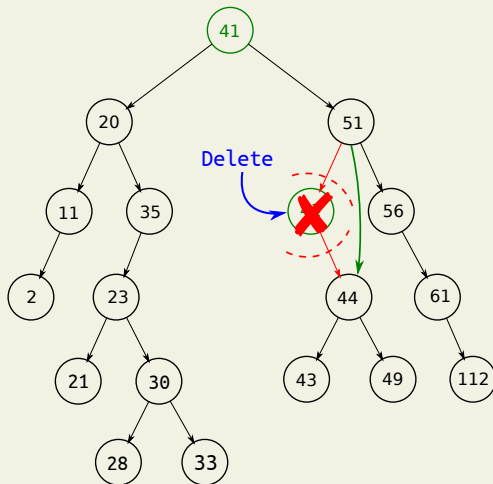
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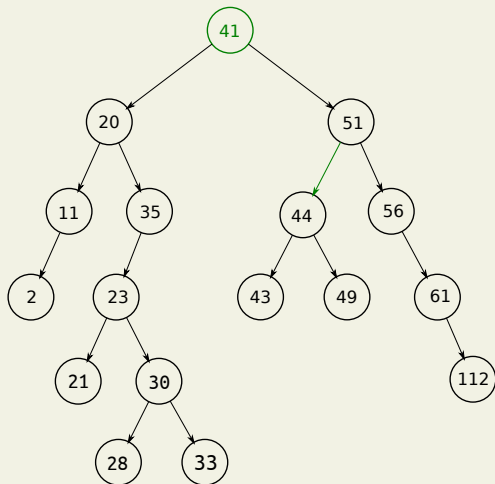
Example



Example



Example



Running Time

Worst case running times for a BST of height h :

- ▶ INSERT – $O(h)$.
- ▶ SUCC – $O(h)$.
- ▶ SEARCH – $O(h)$.
- ▶ DELETE – $O(h)$.

The height of a BST depends on the input sequence and can be n after inserting n elements in the worst case.

Balancing a BST

The biggest drawback of BSTs are that they can be quite “unbalanced”.

One way to measure if a tree is balanced is to look at the difference between the longest path from root to leaf and the shortest path from root to leaf.

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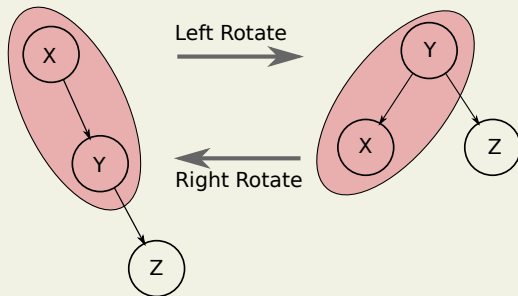
We want to make sure this difference does not get too large.

Rotations

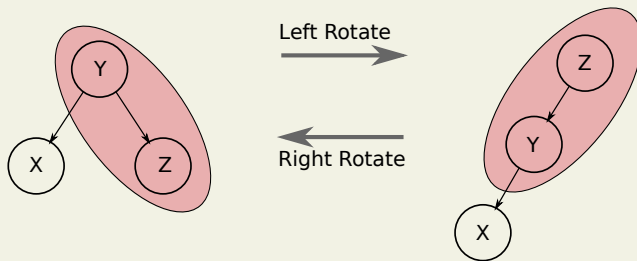
Rotations are operations on nodes of a BST. They are of two variants:

1. Left Rotate.
2. Right Rotate.

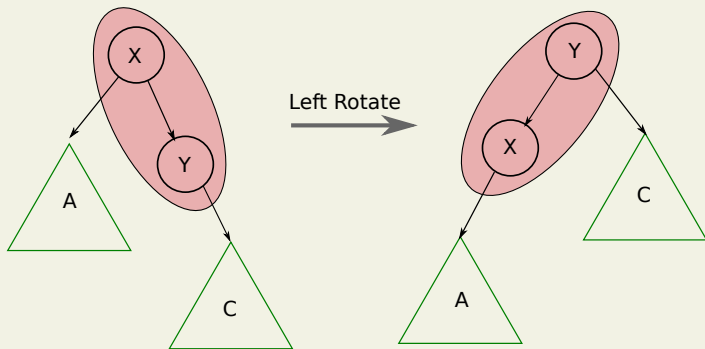
Left Rotate



Left Rotate



Left Rotate



Left Rotate

