

Dec 11: ILFP

data  $\text{BinT}\alpha = \varepsilon T \mid \text{Node } a (\text{BinT}\alpha) (\text{BinT}\alpha)$

1. Property P:  $\#\{\text{nodes in } \text{BinT } T\} \leq 2^{h(T)+1} - 1$   
where  $h = \text{height of } T$

Proof: Base. For  $\varepsilon T$ ,  $h(\varepsilon T) = -1$

$$\begin{aligned} \text{By def. } \#\{\text{nodes } (\varepsilon T)\} &= 0 \\ &\leq 2^{h(T)+1} - 1 \\ &\leq 0 \end{aligned}$$

I.S. Let  $T_1, T_2 \in \text{BinT}$   
&  $P(T_1), P(T_2)$  hold

If  $\text{nodes}(T_1) \cap \text{nodes}(T_2) = \emptyset$   
&  $x \notin \text{nodes}(T_1), \text{nodes}(T_2)$   
 $\Rightarrow P(T)$  holds where  
 $T = \text{Node } r T_1 T_2$

. By def<sup>n</sup>  $T_1 \& T_2$  are disjoint  
 if they do not contain  $x$ , then  
 by def<sup>n</sup>

$$\begin{aligned}
 \text{nodes}(\tau) &= |\text{nodes}(T_1) \cup \text{nodes}(T_2)_2 \cup \{x\}| \\
 &= |\text{nodes}(T_1)| + |\text{nodes}(T_2)| + 1 \\
 &\leq (\text{By J.H.}) (2^{h(T_1)+1} - 1) + (2^{h(T_2)+1} - 1) + 1 \\
 &\leq (2^{h(\tau)} - 1) \cdot 2 + 1 \quad (\text{By def } \text{ of ht.}) \\
 &\leq (2^{h(\tau)+1} - 1)
 \end{aligned}$$

lists

data MyList a = Nil | a : (MyList a)

P: length (L ++ M) = length L + length M

Def<sup>n</sup> } length L =  
case L of  
[ ] → 0  
x : xs → 1 + (length xs)

Def<sup>n</sup> } ++  
[ ] ++ L = L

(x : xs) ++ L = x : (xs ++ L)

Proof of P  
Basis.

Let  $L = []$

$\text{length} ([] ++ M)$  Basis

$$= \text{length}(M) [\text{def"} \& ++]$$

$$= 0 + \text{length}(M)$$

$$= \text{length}([]) + \text{length}(M) [\text{def"} \& \text{length}]$$

$$= \text{length } L + \text{length } M$$

I.S.

Assuming  $\text{length}(xs ++ M)$

$$= \text{length}(xs) + \text{length } M$$

Let  $\lambda = x : xs$

then,

$$\begin{aligned} \text{length}(x:xs) + \text{length } M &= \text{length } (x:xs) + \text{length } M \\ &= 1 + \text{length } (xs) + \text{length } M \\ &\quad [\text{def"} of \text{length}] \\ &= 1 + \text{length } (xs ++ M) \\ &\quad [\text{By I.H.}] \\ &= \text{length } (x:(xs ++ M)) \\ &\quad [\text{def"} of \text{length}] \\ &= \text{length } ((x:xs) ++ M) \\ &\quad [\text{def"} of ++] \\ &= \text{length } (\lambda ++ M) \end{aligned}$$

□ QED

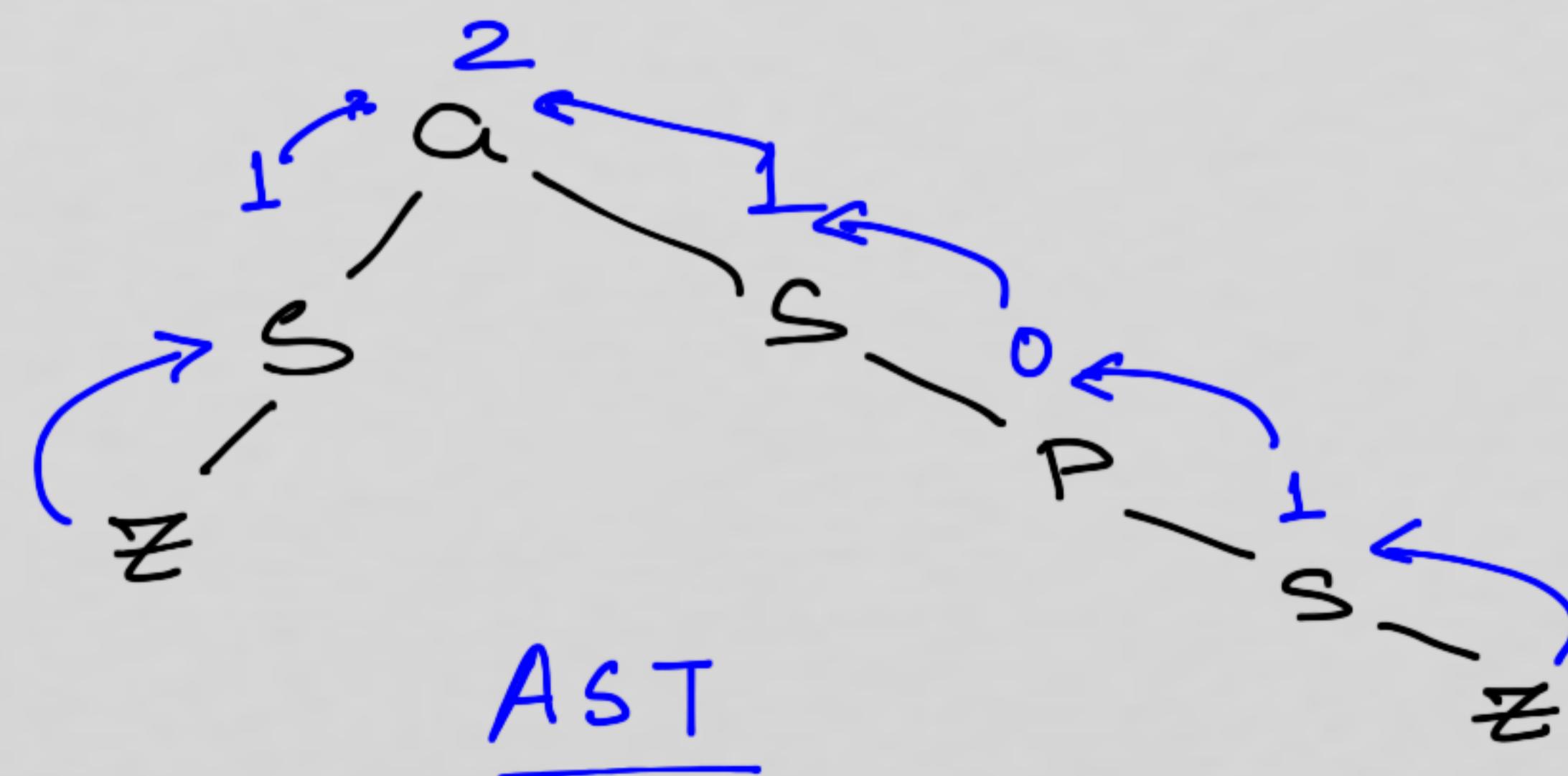
## Universal Algebra

- Useful in understanding the essence of data types, pattern matching etc.
- The issues of language design & implementation are better exposed in their generality using concepts from universal algebra.
- Enables us to treat syntax & semantics without worrying about scanning & parsing of languages; instead treating them as algebraic systems

- Result of scanning & parsing a program is an AST.
- Program is a term generated by a data-type
- Each term is a linear representation of the Syntax Tree

Eg: Integer Datatype

data integer = z | s integer | p integer |  
terms:      s z, p z, s(s z), a integer integer  
                      s(p(s z)), a(s z) (s(p(s z)))



## Defn 1: Homogeneous or 1-Sorted Algebra

- An ordered pair  $(A, \mathcal{F})$  where  $A$  is a carrier set, and  $\mathcal{F}$  is set of operators / constructors / functions s.t.  $A$  is closed under  $\mathcal{F}$ . We refer to  $A$  as an algebra when  $\mathcal{F}$  is understood.
- Operationally,  $\forall f \in \mathcal{F}$ ,  
 $f: A^n \rightarrow A$

1-sorted  
because only  
one carrier  
set. & is  
closed over  $\mathcal{F}$ .

Eg: Integer datatype  
defines a 1-sorted algebra over  
 $\{+, -, \times, \div, =, \neq\}$

Naming arbitrary patterns generated by a recursive  
data type

- They could be infinite; introduce variables for naming

Eg: general patterns of the form  
 $p(s(\dots))$  may be represented as  
 $p(s(x))$ ,  $x \in V$  → possibly infinite

Generalisation to many-sorted algebra

Signature :  $\Sigma = (S, F)$   
where

- $S$  is a set of carrier sets
- $F$  is a collection of mappings  
of the form  $(S^* \rightarrow S)$

1-sorted algebra

Structure  $\langle A, \Sigma \rangle$  is 1-sorted/homogeneous  
if  $A$  is closed under operations in  $\Sigma$   
i.e. Every constant in  $\Sigma$  is present in  $A$   
Every op on elements of  $A$  is a total function  
whose codomain is  $A$ .

Eg :

Homogeneous Algebra?

$$(N, \{+, *\}) - \checkmark$$

$$(N, \{+, *, -\}) - \times$$

$$(Z, \{+, *, -\}) - \checkmark$$

Many-sorted Algebra (2-Sorted Algebra)

$$\mathcal{N}_{BZ} = (\{B, Z\}, \{P, S, \vee, \wedge, \neg, <\})$$

where  $< : Z \times Z \rightarrow B$

identities:

$$P \times < x = \text{true}$$
$$x < S x = \text{true}$$
$$\vdots$$

## Terms & Ground terms

- Let  $\Sigma$  be a signature &  $V$  be an infinite set of variables s.t.  $\Sigma \cap V = \emptyset$
- The set  $T_\Sigma(V)$  of all  $\Sigma$ -terms over  $V$  is defined inductively as the smallest set of terms s.t.
  - Basis.  $V \subseteq T_\Sigma(V)$
  - I.S. If  $f \in \Sigma_n$  for  $n \geq 0$  and  $t_1, \dots, t_n \in T_\Sigma(V)$ , then  $f(t_1, \dots, t_n) \in T_\Sigma(V)$

- The set of ground terms (denoted by  $T_R$ ) is the set of terms without any variables

In BNF

$$s, t \in T_R(v) := x \in V \{ f(t_1, \dots, t_n)$$

### Observations

- $T_R(v)$  is never empty
- $I$  contains no constants then  $T_R = \emptyset$
- Note that a constant  $c \in s$  where  $s \in S$  is a function symbol of type  $\emptyset \rightarrow s$ , where  $\emptyset$  denotes an empty cartesian product of  $s$ .

set of Ops  
with arity 0.

Syntactic identity denoted by  $\equiv$

Term Algebra

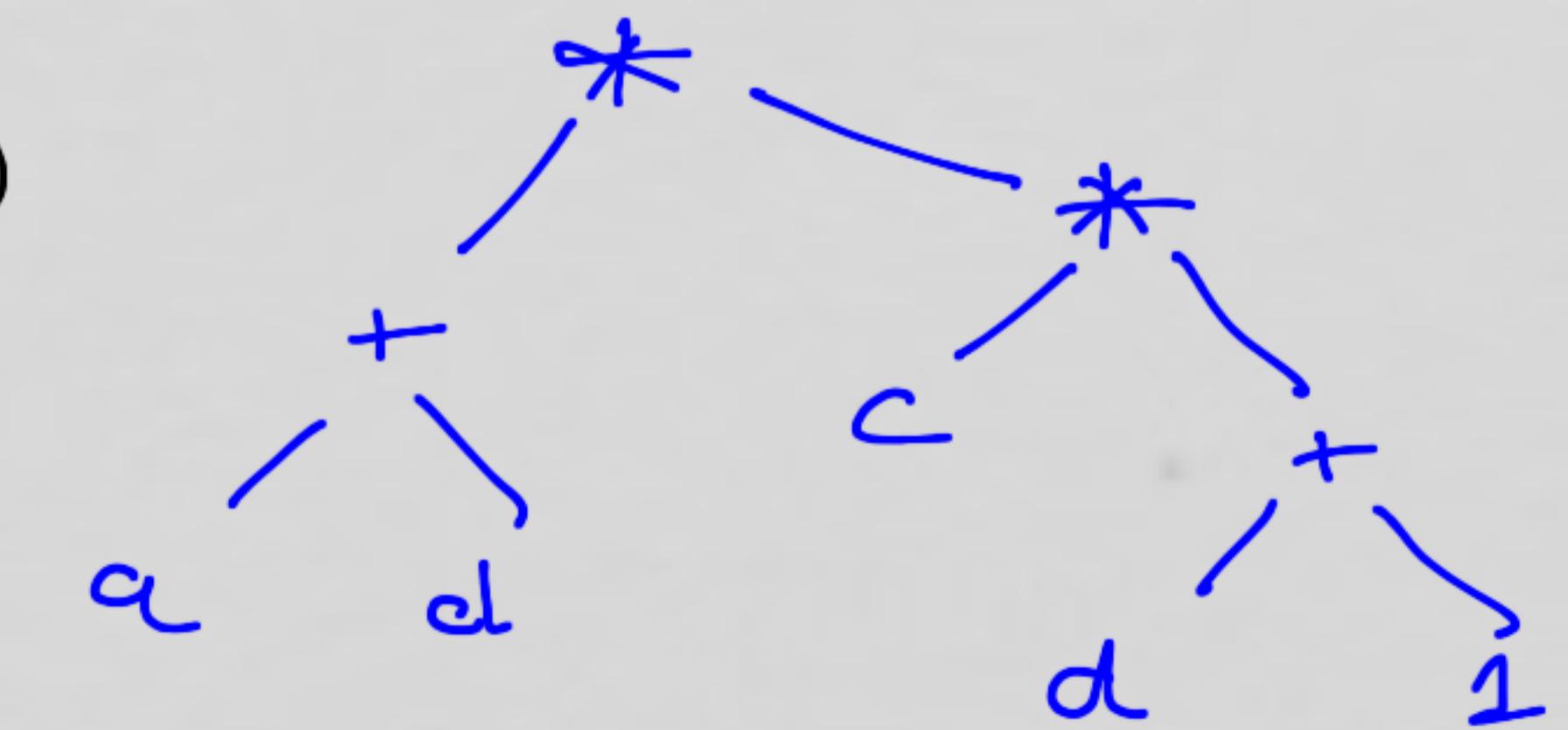
$(T_{\Sigma}(v), \Sigma, \{\equiv\})$

Eg:  $(T_{\Sigma}(v), \{ \{ \mathbb{Z} \}, \{ +, *, - \} \}, \{ \equiv \})$

↳ Term algebra generated by a set of  
integers

↳  $(a+d) * (c * (d+1))$

AST



## Term Algebra with Op. Schemas

- Let  $\Sigma$  consist of operators (ranged over by  $O$ )
- Let  $\Sigma$  also contain operator schemas (ranged over by  $O$ )
- Then set  $T_\Sigma(V)$  can be defined by

BNF

$$s, t, u \in T_\Sigma(V) := x \in V \mid O(t_1, \dots, t_n) \mid$$

each  $t_i$  is a proper subterm of  $O(t_1, \dots, t_n)$   
in fact every term is a subterm of itself.

- $Ox$  is called a binding occurrence of variable  $x$ .

- the brackets  $[, ]$  are used to delimit the scope of bound var  $x$ , all occurrences of  $x$  in the subterm  $t$  of the term  $Ox[t]$  are said to be bound.

Eg of operator schema

$\sum_i$

$(a_i \times b_i)$

free variables

parameters of operator schema  $\sum$

• linear notation of BNF  $\sum_i [a_i * b_i]$

## Free & Bound Variables

- for any term  $s \in T_{\mathcal{L}}(V)$ ,  $FV(s)$  denotes the set of free variables of  $s$  &  $\text{Var}(s)$  denotes the set of all variables (free & bound) that occur in  $s$ .

Inductive def<sup>n</sup> of functions

$s$	$FV(s)$	$\text{Vars}(s)$
$c$	$\emptyset$	$\emptyset$
$x$	$\{x\}$	$\{x\}$
$o(t_1, \dots, t_n)$	$\bigcup_{1 \leq i \leq n} FV(t_i)$	$\bigcup_{1 \leq i \leq n} \text{Vars}(t_i)$
$\lambda x[t]$	$FV(t) - \{x\}$	$\text{Var}(t) \cup \{x\}$

Eg: Consider the formula

$$\forall x [P(x) \wedge \exists x [q(x)]] \vee r(x)$$

- Has 2 distinct bound vars  $x$
  - 1 free var.  $x$
- all three  
are distinct
- referred to  
as  $(x, 0)$ ,  
 $(x, 1)$

Subterm ordering

$$s \sqsubseteq t \text{ iff } s \in ST(t).$$

$\sqsubseteq$  is a partial order

◦  $\sqsubseteq$  sub term relation

◦ proper subterm, iff  $s \sqsubseteq t \wedge s \neq t$