(Downloaded from https://cs.stanford.edu/~knuth/programs.html and typeset on May 28, 2023)

1\* Intro. This program is part of a series of "SAT-solvers" that I'm putting together for my own education as I prepare to write Section 7.2.2.2 of *The Art of Computer Programming*. My intent is to have a variety of compatible programs on which I can run experiments to learn how different approaches work in practice.

Many of the previous implementations in this series—SAT0, SAT3, SAT4, SAT5, and SAT10—were based on a natural backtracking approach that has come to be known in the SAT community as the DPLL paradigm, honoring the pioneering work of Davis, Putnam, Logemann, and Loveland. Several decades of experience with that paradigm have led to an extremely efficient class of programs now called *lookahead solvers*, which devote considerable time to choosing the variables on which to branch. The extra work of making that choice might cost us a factor of a thousand, say, at every branch node; yet we might also decrease the number of nodes by a factor of a million, thus making a net thousand-fold gain. Somewhat to my surprise, this rosy prediction (contrary to what I had believed for many years) actually does work in practice: There are many SAT problems (especially those based on combinatorial tasks, as well as the academic yet appealing cases of unsatisfiable random 3SAT) for which judicious lookaheads outperform any other known method.

Consequently SAT11 is intended to represent a modern lookahead solver. I've based it largely on Marijn Heule's MARCH, which has been regularly classed with the world's best lookahead solvers for the last decade or so. I expect SAT11 to be the most ambitious program of this series, because it combines many advanced ideas that I wish to understand and to explain to the readers of *TAOCP*. On the other hand, I have not included all of the bells and whistles of MARCH; in particular, I've omitted the separate treatment of clause sets that represent linear equations mod 2, as well as the "limited discrepancy search" technique by which branches of the search tree are explored in a nonstandard order.

Actually this program is not SAT11 but SAT11K, an extension that handles general clauses; the original SAT11 limited itself to clauses of length three or less. You might want to read that program first, before getting into the extra complications of this one. (On the other hand, some aspects of this version are simpler. So take heart: You can handle SAT11K just fine.) Asterisks indicate differences between SAT11 and SAT11K. If you have already read SAT10 (or some other program of this series), you might as well skip now past all the code for the "I/O wrapper," because you have seen it before.

The input on stdin is a series of lines with one clause per line. Each clause is a sequence of literals separated by spaces. Each literal is a sequence of one to eight ASCII characters between ! and }, inclusive, not beginning with  $\tilde{}$ , optionally preceded by  $\tilde{}$  (which makes the literal "negative"). For example, Rivest's famous clauses on four variables, found in 6.5–(13) and 7.1.1–(32) of TAOCP, can be represented by the following eight lines of input:

Input lines that begin with  $^{\sim}_{\sqcup}$  are ignored (treated as comments). The output will be ' $^{\sim}$ ' if the input clauses are unsatisfiable. Otherwise it will be a list of noncontradictory literals that cover each clause, separated by spaces. ("Noncontradictory" means that we don't have both a literal and its negation.) The input above would, for example, yield ' $^{\sim}$ '; but if the final clause were omitted, the output would be ' $^{\sim}$ x1  $^{\sim}$ x2 x3', in some order, possibly together with either x4 or  $^{\sim}$ x4 (but not both). No attempt is made to find all solutions; at most one solution is given.

The running time in "mems" is also reported, together with the approximate number of bytes needed for data storage. One "mem" essentially means a memory access to a 64-bit word. (These totals don't include the time or space needed to parse the input or to format the output.)

2 INTRO SAT11K §2

```
So here's the structure of the program. (Skip ahead if you are impatient to see the interesting stuff.)
\#define o mems++
                                                               /* count one mem */
                                                                        /* count two mems */
\#define oo mems += 2
                                                                          /* count three mems */
#define ooo mems += 3
#define O "%"
                                                     /* used for percent signs in format strings */
#include <stdio.h>
#include <stdlib.h>
#include <string.h>
#include "gb_flip.h"
      typedef unsigned int uint;
                                                                                           /* a convenient abbreviation */
      typedef unsigned long long ullng;
                                                                                                              /* ditto */
      \langle \text{Type definitions 5} \rangle;
       \langle \text{Global variables } 3^* \rangle;
      \langle \text{Subroutines } 29 \rangle;
      main(int argc, char *argv[])
           register int au, av, aw, h, i, j, jj, k, kk, l, ll, p, pp, q, qq, r, s, cia, cis, ci;
           register int c, cc, hh, la, lp, ls, ola, ols, tla, tls, tll, sl, su, sv, sw;
           register int t, tt, u, uu, v\theta, v, vv, w, ww, x, y, xl, pu, aa, ss, pv, ua, va;
           \langle \text{Process the command line } 4^* \rangle;
           ⟨Initialize everything 8⟩;
           \langle \text{Input the clauses 9} \rangle;
           if (verbose & show_basics) (Report the successful completion of the input phase 22);
           (Set up the main data structures 37);
           imems = mems, mems = 0;
           \langle Solve the problem 152*\rangle;
      done: if (verbose & show_basics)
                 fprintf(stderr, "Altogether_"O"llu+"O"llu_mems, "O"llu_bytes, "O"llu_nodes. \n", imems, imems, output (stderr, "Altogether, "O"llu-nodes. \n", imems, imems, output (stderr, "Altogether, "O"llu-nodes. \n", imems, output (stderr, "Altogether, "Altogether, "O"llu-nodes. \n", imems, output (stderr, "Altogether, "O"llu-nodes. \n", imems, output (stderr, "Altogether, "Altogether, "O"llu-nodes. \n", imems, output (stderr, "Altogether, "O"llu-nodes. \n", imems, output (stderr, "Altogether, "Altogether, "O"llu-nodes. \n", imems, output (stderr, "Altogether, "O"llu-nodes. \n", imems, output (stderr, "Altogether, "Altogether, "O"llu-nodes. \n", imems, output (stderr, "Altogether, "O"llu-nodes. \n", imems, output (stderr, "Altogether, "Altogether
                             mems, bytes, nodes);
     }
```

§3 SAT11K INTRO 3

**3.\*** The default values of parameters below have been tuned for a broad spectrum of SAT instances, based on tests by Holger Hoos in 2015.

```
#define show_basics 1
                            /* verbose code for basic stats */
                            /* verbose code for backtrack logging */
#define show_choices 2
#define show_details 4
                            /* verbose code for further commentary */
                                 /* verbose code for more yet */
#define show_gory_details 8
#define show_doubly_gory_details 16
                                         /* verbose code for still more */
#define show_unused_vars 32
                                  /* verbose code to list variables not in solution */
#define show_big_clauses 64
                                 /* verbose code to print all big guys at beginning */
#define show_scores 64
                             /* verbose code to show the prelookahead scores */
#define show_strong_comps 128
                                     /* verbose code to show strong components */
#define show_looks 256
                             /* verbose code to show the lookahead forest */
\langle \text{Global variables } 3^* \rangle \equiv
  int random\_seed = 0;
                           /* seed for the random words of gb\_rand */
  int \ verbose = show\_basics + show\_unused\_vars;
                                                    /* level of verbosity */
  int show\_choices\_max = 1000000;
                                       /* above this level, show_choices is ignored */
                    /* logarithm of the number of the hash lists */
  int hbits = 8;
  int print\_state\_cutoff = 32 * 80;
                                      /* don't print more than this many hists */
  int buf\_size = 1024;
                          /* must exceed the length of the longest input line */
  FILE *out_file;
                      /* file for optional output */
  \mathbf{char} * out\_name;
                       /* its name */
                           /* file for optional input */
  FILE *primary_file;
  char *primary_name;
                            /* its name */
                        /* the number of primary variables */
  int primary_vars;
  ullng imems, mems;
                            /* mem counts */
  ullng bytes;
                   /* memory used by main data structures */
                   /* the number of nodes entered */
  ullng nodes;
  ullng thresh = 100000000000;
                                  /* report when mems exceeds this, if delta \neq 0 */
  ullng delta = 100000000000;
                                  /* report every delta or so mems */
  /* give up after this many mems */
  uint memk_{-}max = memk_{-}max_{-}default;
                                             /* binary log of the maximum size of mem */
  float alpha = 0.001;
                          /* magic constant for heuristic scores */
  float gamm = 0.20;
                          /* magic ratio for the clause reduction heuristic */
  int theta64 = 25;
                        /* the optimization parameter theta, times 64 */
                          /* preselected candidates times levels */
  int levelcand = 600;
                          /* don't cut off fewer than this many candidates */
  int mincutoff = 30;
  int max\_prelook\_arcs = 5000;
                                   /* space available for arcs re strong components */
  int dl_{-}max_{-}iter = 1;
                          /* maximum iterations of double-look */
  float dl_{-}rho = 0.9998;
                            /* damping factor for the double-look trigger */
See also sections 7*, 24*, 36, 48, 60, 67, 89, 108, 120, 124, 133, 141, and 164*.
This code is used in section 2*.
```

4 INTRO SAT11K §4

- 4.\* On the command line one can specify any or all of the following options:
- ' $\forall$  (integer)' to enable various levels of verbose output on stderr.
- 'c \(\rangle\) positive integer \(\rangle\)' to limit the levels on which clauses are shown.
- 'h' positive integer' to adjust the hash table size.

•

- 'H'\(\rangle\) positive integer \rangle' to limit the literals whose histories are shown by \(print\_state\). 'b\(\rangle\) positive integer \rangle' to adjust the size of the input buffer.
- 's (integer)' to define the seed for any random numbers that are used.
- 'd (integer)' to set delta for periodic state reports. (See print\_state.)
- 'm(positive integer)' to adjust the maximum memory size. (The binary logarithm is specified; it must be at most 31.)
- 'a' positive float' to adjust the magic constant  $\alpha$  in heuristic scores.
- 'g(positive float)' to adjust the magic ratio  $\gamma$  in the clause reduction heuristic scores  $clause\_weight[k]$ .
- 't' (positive integer)' to adjust the fraction  $\theta = n/64$  that triggers clause rearrangement.
- 'p\(\phi\) positive integer\'\)' to adjust the parameter levelcand, approximating "candidates times levels" during the preselection phase.
- 'q(positive integer)' to adjust the parameter *mincutoff*, the minimum cutoff on the number of candidates during preselection.
- ' $\mathbf{z}$ \(\rangle\) positive integer\'\)' to adjust  $max\_prelook\_arcs$ , the maximum number of arcs retained when studying the reduced digraph during preselection.
- 'i (positive integer)' to adjust *dl\_max\_iter*, the maximum number of iterations allowed during a double-lookahead. (An exceptionally large value will actually *disable* double-lookahead, because there won't be enough available "truth space.")
- 'r \( positive float \)' to adjust  $dl\_rho$ , the damping factor for  $dl\_trigger$ .
- 'x \( \) filename \( \)' to copy the input plus a solution-eliminating clause to the specified file. If the given problem is satisfiable in more than one way, a different solution can be obtained by inputting that file.
- 'V(filename)' to input a file that lists the names of all "primary" variables. A nonprimary variable will not be used for branching unless its value is forced, or unless all of the primary variables have already been assigned a value.
- 'T(integer)' to set timeout: This program will abruptly terminate, when it discovers that mems > timeout.

```
\langle \text{Process the command line } 4^* \rangle \equiv
  for (j = argc - 1, k = 0; j; j - -)
    switch (argv[j][0]) {
    case 'v': k = (sscanf(argv[j] + 1, ""O"d", \&verbose) - 1); break;
    case 'c': k = (sscanf(argv[j] + 1, ""O"d", \&show\_choices\_max) - 1); break;
    case 'H': k = (scanf(arqv[i] + 1, ""O"d", \&print\_state\_cutoff) - 1); break;
    case 'h': k = (sscanf(argv[j] + 1, ""O"d", \&hbits) - 1); break;
    case 'b': k = (sscanf(argv[j] + 1, ""O"d", \&buf\_size) - 1); break;
    case 's': k = (sscanf(argv[j] + 1, ""O"d", \&random\_seed) - 1); break;
    case 'd': k = (sscanf(argv[j] + 1, ""O"11d", \&delta) - 1); thresh = delta; break;
    case 'm': k = (sscanf(argv[j] + 1, ""O"d", \&memk\_max) - 1); break;
    case 'a': k = (sscanf(argv[j] + 1, ""O"f", \&alpha) - 1); break;
    case 'g': k = (sscanf(argv[j] + 1, ""O"f", \&gamm) - 1); break;
    case 't': k = (sscanf(argv[j] + 1, ""O"d", \&theta64) - 1); break;
    case 'p': k = (sscanf(argv[j] + 1, ""O"d", \&levelcand) - 1); break;
    case 'q': k = (sscanf(argv[j] + 1, ""O"d", \& mincutoff) - 1); break;
    case 'z': k = (sscanf(argv[j] + 1, ""O"d", \&max\_prelook\_arcs) - 1); break;
    case 'i': k = (sscanf(argv[j] + 1, ""O"d", \&dl\_max\_iter) - 1); break;
    case 'r': k = (sscanf(argv[j] + 1, ""O"f", \&dl\_rho) - 1); break;
    \mathbf{case} \ \texttt{`x':} \ out\_name = argv[j] + 1, out\_file = fopen(out\_name, \texttt{"w"});
      if (\neg out\_file) fprintf(stderr, "I_\can't_\cupen_\cupfile_\cup'"O"s'_\cupfor_\cupent!\n", out\_name);
      break;
```

§4 SAT11K INTRO

6 THE I/O WRAPPER SAT11K §5

5. The I/O wrapper. The following routines read the input and absorb it into temporary data areas from which all of the "real" data structures can readily be initialized. My intent is to incorporate these routines into all of the SAT-solvers in this series. Therefore I've tried to make the code short and simple, yet versatile enough so that almost no restrictions are placed on the sizes of problems that can be handled. These routines are supposed to work properly unless there are more than  $2^{32} - 1 = 4,294,967,295$  occurrences of literals in clauses, or more than  $2^{31} - 1 = 2,147,483,647$  variables or clauses.

In these temporary tables, each variable is represented by four things: its unique name; its serial number; the clause number (if any) in which it has most recently appeared; and a pointer to the previous variable (if any) with the same hash address. Several variables at a time are represented sequentially in small chunks of memory called "vchunks," which are allocated as needed (and freed later).

```
/* preferably (2^k - 1)/3 for some k */
#define vars_per_vchunk 341
\langle \text{ Type definitions 5} \rangle \equiv
  typedef union {
    char ch8[8];
    uint u2[2];
    long long lng;
  } octa;
  typedef struct tmp_var_struct {
    octa name:
                     /* the name (one to eight ASCII characters) */
                     /* 0 for the first variable, 1 for the second, etc. */
    uint serial;
                    /* m if positively in clause m; -m if negatively there */
    int stamp;
                                          /* pointer for hash list */
    struct tmp_var_struct *next;
  } tmp_var;
  typedef struct vchunk_struct {
                                         /* previous chunk allocated (if any) */
    struct vchunk_struct *prev;
    tmp_var var[vars_per_vchunk];
  } vchunk:
See also sections 6, 26, 27*, 28, 34, 35, 88, 107, and 119.
This code is used in section 2*.
```

**6.** Each clause in the temporary tables is represented by a sequence of one or more pointers to the **tmp\_var** nodes of the literals involved. A negated literal is indicated by adding 1 to such a pointer. The first literal of a clause is indicated by adding 2. Several of these pointers are represented sequentially in chunks of memory, which are allocated as needed and freed later.

 $\S7$  SAT11K THE I/O WRAPPER 7

```
\langle \text{Global variables } 3^* \rangle + \equiv
                   /* buffer for reading the lines (clauses) of stdin */
  \mathbf{char} * buf;
  tmp_var **hash;
                          /* heads of the hash lists */
  uint hash\_bits[93][8];
                              /* random bits for universal hash function */
                               /* the vchunk currently being filled */
  vchunk *cur\_vchunk;
  vchunk *last_vchunk;
                               /* another pointer for vchunk manipulation */
  tmp_var * cur_tmp_var;
                                 /* current place to create new tmp_var entries */
  tmp\_var *bad\_tmp\_var;
                                  /* the cur_tmp_var when we need a new vchunk */
  chunk *cur\_chunk;
                             /* the chunk currently being filled */
  tmp_var **cur_cell;
                              /* current place to create new elements of a clause */
  tmp_var **bad_cell;
                              /* the cur_cell when we need a new chunk */
  ullng vars;
                    /* how many distinct variables have we seen? */
                       /* how many clauses have we seen? */
  ullng clauses;
  ullng nullclauses;
                           /* how many of them were null? */
                    /* how many occurrences of literals in clauses? */
  ullng cells;
                        /* how many clauses are big (have more than two literals)? */
  ullng bclauses;
                     /* how many occurrences of literals in big clauses? */
  ullng bcells;
  int non_clause;
                        /* is the current clause ignorable? */
8. \langle \text{Initialize everything } 8 \rangle \equiv
  gb\_init\_rand(random\_seed);
  buf = (\mathbf{char} *) \ malloc(buf\_size * \mathbf{sizeof}(\mathbf{char}));
  if (\neg buf) {
     fprintf(stderr, "Couldn't_{l}allocate_{l}the_{l}input_{l}buffer_{l}(buf_size="O"d)!\n", buf_size);
     exit(-2);
  hash = (\mathbf{tmp\_var} **) \ malloc(\mathbf{sizeof}(\mathbf{tmp\_var}) \ll hbits);
  if (\neg hash) {
    fprintf(stderr, "Couldn't_{l}allocate_{l}"O"d_{l}hash_{l}list_{l}heads_{l}(hbits="O"d)!\n", 1 \ll hbits, hbits);
     exit(-3);
  for (h = 0; h < 1 \ll hbits; h \leftrightarrow) hash[h] = \Lambda;
See also section 15.
This code is used in section 2*.
```

8 THE I/O WRAPPER SAT11K §9

**9.** The hash address of each variable name has h bits, where h is the value of the adjustable parameter hbits. Thus the average number of variables per hash list is  $n/2^h$  when there are n different variables. A warning is printed if this average number exceeds 10. (For example, if h has its default value, 8, the program will suggest that you might want to increase h if your input has 2560 different variables or more.)

All the hashing takes place at the very beginning, and the hash tables are actually recycled before any SAT-solving takes place; therefore the setting of this parameter is by no means crucial. But I didn't want to bother with fancy coding that would determine h automatically.

```
\langle \text{Input the clauses 9} \rangle \equiv
      if (primary_file) \langle Input the primary variables 10 \rangle;
      while (1) {
            if (\neg fgets(buf, buf\_size, stdin)) break;
            clauses ++;
            if (buf[strlen(buf) - 1] \neq '\n') {
                  fprintf(stderr, "The \clause \cupon \cupul ine \cupu" O" \cupu" \cupu" O" \cupu" \cupu on \cupu ine \cupu" on \cupu ine \cupu" on \cupu on \cupu on \cupu on \cupu on \cupu on \cupu" on \cupu on \cupu
                  fprintf(stderr, "limylibuf_size_lis_lonly_l"O"d!\n", buf_size);
                  fprintf(stderr, "Please\_use\_the\_command-line\_option\_b<newsize>. \n");
                  exit(-4);
            \langle \text{ Input the clause in } buf \ 11^* \rangle;
      if (\neg primary\_file) primary\_vars = vars;
      if ((vars \gg hbits) > 10) {
            fprintf(stderr, "There\_are\_"O"lld\_variables\_but\_only\_"O"d\_hash\_tables; \n", vars, 1 \ll hbits);
            while ((vars \gg hbits) \ge 10) \ hbits ++;
            fprintf(stderr, "\_maybe\_you\_should\_use\_command-line\_option\_h"O"d?\n", hbits);
      clauses -= null clauses;
      if (clauses \equiv 0) {
            fprintf(stderr, "No_{\square}clauses_{\square}were_{\square}input! \n");
            exit(-77);
      if (vars > #8000000) {
            fprintf(stderr, "Whoa, \_the \_input\_had \_"O"llu\_variables! \n", vars);
            exit(-664);
      if (clauses \ge *80000000) {
            fprintf(stderr, "Whoa, | the | input| had | "O" llu| clauses! \n", clauses);
            exit(-665);
      if (cells \ge #10000000) {
            fprintf(stderr, "Whoa, \_the \_input \_had \_"O"llu \_occurrences \_of \_literals! \n", cells);
            exit(-666);
This code is used in section 2*.
```

 $\S10$  SAT11K THE I/O WRAPPER  $\S$ 

10. We input from *primary\_file* just as if it were the standard input file, except that all "clauses" are discarded. (Line numbers in error messages are zero.) The effect is to place the primary variables first in the list of all variables: A variable is primary if and only if its index is < *primary\_vars*.

```
\langle \text{Input the primary variables } 10 \rangle \equiv
     while (1) {
       if (¬fgets(buf, buf_size, primary_file)) break;
        if (buf[strlen(buf) - 1] \neq '\n') {
          fprintf(stderr, "The_{\sqcup}clause_{\sqcup}on_{\sqcup}line_{\sqcup}"O"lld_{\sqcup}("O".20s...)_{\sqcup}is_{\sqcup}too_{\sqcup}long_{\sqcup}for_{\sqcup}me; \n",
                clauses, buf);
          fprintf(stderr, \verb"\my\n"buf_size\_is\_only\_"O"d!\n", buf\_size);
          fprintf(stderr, "Please_use_the_command-line_option_b<newsize>.\n");
           exit(-4);
        \langle \text{ Input the clause in } buf \ 11^* \rangle;
        (Remove all variables of the current clause 19);
     cells = nullclauses = 0;
     primary\_vars = vars;
     if (verbose & show_basics)
        fprintf(stderr, "("O"d_lprimary_lvariables_lread_lfrom_l"O"s)\n", primary_vars, primary_name);
This code is used in section 9.
11.* (Input the clause in buf 11^*) \equiv
  for (j = k = non\_clause = 0; \neg non\_clause;) {
     while (buf[j] \equiv ' \sqcup ') j \leftrightarrow ;
                                          /* scan to nonblank */
     if (buf[j] \equiv '\n') break;
     if (buf[j] < `` \cup ` \lor buf[j] > ```) {
       fprintf(stderr, "Illegal \ character \ (code \ \#"O"x) \ in \ the \ clause \ on \ line \ "O"lld! \ ",
             buf[j], clauses);
        exit(-5);
     if (buf[j] \equiv , \sim, ) \ i = 1, j ++;
     else i=0;
     \langle Scan \text{ and record a variable}; \text{ negate it if } i \equiv 1 \text{ 12} \rangle;
  if (k \equiv 0 \land \neg non\_clause) {
     fprintf(stderr, "(Empty_line_l"O"lld_lis_being_lignored)\n", clauses);
     nullclauses ++;
                           /* strictly speaking it would be unsatisfiable */
  if (non_clause) (Remove all variables of the current clause 19)
     if (k \ge 3) bclauses ++, bcells += k;
     if (k > max\_clause) max\_clause = k;
  cells += k;
This code is used in sections 9 and 10.
```

10 The I/O Wrapper Satiik  $\S12$ 

```
12.
       We need a hack to insert the bit codes 1 and/or 2 into a pointer value.
#define hack_in(q,t) (tmp_var *)(t | (ullng) q)
\langle Scan and record a variable; negate it if i \equiv 1 12\rangle \equiv
     register tmp_var *p;
     if (cur\_tmp\_var \equiv bad\_tmp\_var) (Install a new vchunk 13);
     \langle \text{Put the variable name beginning at } buf[j] \text{ in } cur\_tmp\_var \neg name \text{ and compute its hash code } h \text{ 16} \rangle;
     if (\neg non\_clause) {
        \langle \text{Find } cur\_tmp\_var \neg name \text{ in the hash table at } p \text{ 17} \rangle;
        if (clauses \land (p \neg stamp \equiv clauses \lor p \neg stamp \equiv -clauses)) \land Handle a duplicate literal 18 \rangle
           p \rightarrow stamp = (i ? -clauses : clauses);
           if (cur\_cell \equiv bad\_cell) (Install a new chunk 14);
           *cur\_cell = p;
           if (i \equiv 1) *cur\_cell = hack\_in(*cur\_cell, 1);
           if (k \equiv 0) *cur\_cell = hack\_in(*cur\_cell, 2);
           cur\_cell++, k++;
  }
This code is used in section 11*.
     \langle \text{Install a new vchunk } 13 \rangle \equiv
13.
  {
     register vchunk *new_vchunk;
     new\_vchunk = (\mathbf{vchunk} *) \ malloc(\mathbf{sizeof}(\mathbf{vchunk}));
     if (\neg new\_vchunk) {
        fprintf(stderr, "Can't_allocate_a_new_vchunk!\n");
        exit(-6);
     new\_vchunk \neg prev = cur\_vchunk, cur\_vchunk = new\_vchunk;
     cur\_tmp\_var = \&new\_vchunk \neg var[0];
     bad\_tmp\_var = \&new\_vchunk \rightarrow var[vars\_per\_vchunk];
This code is used in section 12.
14. \langle \text{Install a new chunk } 14 \rangle \equiv
     register chunk *new_chunk;
     new\_chunk = (\mathbf{chunk} *) \ malloc(\mathbf{sizeof}(\mathbf{chunk}));
     if (\neg new\_chunk) {
        fprintf(stderr, "Can't_allocate_a_new_chunk!\n");
        exit(-7);
     new\_chunk \neg prev = cur\_chunk, cur\_chunk = new\_chunk;
     cur\_cell = \&new\_chunk \neg cell[0];
     bad\_cell = \&new\_chunk \neg cell[cells\_per\_chunk];
This code is used in section 12.
```

 $\S15$  SAT11K THE I/O WRAPPER 11

15. The hash code is computed via "universal hashing," using the following precomputed tables of random bits.

```
\langle \text{Initialize everything } 8 \rangle + \equiv
  for (j = 92; j; j--)
     for (k = 0; k < 8; k++) hash\_bits[j][k] = gb\_next\_rand();
16. \(\rightarrow\) Put the variable name beginning at buf[j] in cur\_tmp\_var \neg name and compute its hash code h 16 \(\rightarrow\)
   cur\_tmp\_var \rightarrow name.lng = 0;
   for (h = l = 0; buf[j + l] > ' ' \land buf[j + l] \leq ' " ; l \leftrightarrow )
     if (l > 7) {
        fprintf(stderr, "Variable \_ name \_ "O".9s... \_ in \_ the \_ clause \_ on \_ line \_ "O" lld \_ is \_ too \_ long! \n",
              buf + j, clauses);
         exit(-8);
     h \oplus = hash\_bits[buf[j+l] - '!'][l];
     cur\_tmp\_var \rightarrow name.ch8[l] = buf[j+l];
  if (l \equiv 0) non_clause = 1; /* '~' by itself is like 'true' */
   else j += l, h \&= (1 \ll hbits) - 1;
This code is used in section 12.
17. \langle \text{Find } cur\_tmp\_var \neg name \text{ in the hash table at } p \mid 17 \rangle \equiv
   for (p = hash[h]; p; p = p \rightarrow next)
     if (p \rightarrow name.lng \equiv cur\_tmp\_var \rightarrow name.lng) break;
                  /* new variable found */
  if (\neg p) {
     p = cur\_tmp\_var ++;
     p \rightarrow next = hash[h], hash[h] = p;
     p \rightarrow serial = vars ++;
     p \rightarrow stamp = 0;
This code is used in section 12.
```

18. The most interesting aspect of the input phase is probably the "unwinding" that we might need to do when encountering a literal more than once in the same clause.

This code is used in section 12.

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19. An input line that begins with " $_{\square}$ " is silently treated as a comment. Otherwise redundant clauses are logged, in case they were unintentional. (One can, however, intentionally use redundant clauses to force the order of the variables.)

```
\langle Remove all variables of the current clause 19 \rangle \equiv
            while (k) {
                   \langle \text{Move } cur\_cell \text{ backward to the previous cell } 20 \rangle;
            if (non\_clause \land ((buf[0] \neq ```) \lor (buf[1] \neq `\_')))
                  fprintf(stderr, "(The_{\sqcup}clause_{\sqcup}on_{\sqcup}line_{\sqcup}"O"lld_{\sqcup}is_{\sqcup}always_{\sqcup}satisfied) \n", clauses);
            null clauses ++;
This code is used in sections 10 and 11*.
20. \langle \text{Move } cur\_cell \text{ backward to the previous cell } 20 \rangle \equiv
      if (cur\_cell > \& cur\_chunk \neg cell[0]) \ cur\_cell ---;
      else {
            register chunk *old\_chunk = cur\_chunk;
            cur\_chunk = old\_chunk \rightarrow prev; free(old\_chunk);
            bad\_cell = \&cur\_chunk \neg cell[cells\_per\_chunk];
            cur\_cell = bad\_cell - 1;
This code is used in sections 19 and 41*.
21. Here I must omit 'free (old_vchunk)' from the code that's usually in this section, because the variable
data will be used later.
\langle \text{Move } cur\_tmp\_var \text{ backward to the previous temporary variable 21} \rangle \equiv
     if (cur\_tmp\_var > \& cur\_vchunk \neg var[0]) \ cur\_tmp\_var --;
            register vchunk *old\_vchunk = cur\_vchunk;
            cur\_vchunk = old\_vchunk \neg prev;
                                                                                                              /* and don't free(old_vchunk) */
            bad\_tmp\_var = \& cur\_vchunk \rightarrow var[vars\_per\_vchunk];
            cur\_tmp\_var = bad\_tmp\_var - 1;
This code is used in section 46.
22. \langle Report the successful completion of the input phase 22 \rangle \equiv
      fprintf(stderr, "("O"lld_variables, | "O"lld_clauses, | "O"llu_literals_successfully_read) \n", for the content of the conte
                   vars, clauses, cells);
```

This code is used in section 2\*.

23. SAT solving, version 11. A lookahead solver explores a binary tree of possibilities by choosing, at every decision node, a variable x for which the node's subtrees correspond to asserting x or  $\bar{x}$ . Several more-or-less independent activities are part of this process:

- (1) Preselection. At each decision node we choose a subset P of the unassigned variables, based on our best guess as to which of them might be good candidates for further exploration.
- (2) Selection. We look ahead at the immediate consequences of asserting the truth and falsity of each variable in P. Then we choose the variable that appears to reduce the problem most efficiently.
- (3) Propagation. We update the current state of the problem by incorporating all consequences of a new assertion.
- (4) Backtracking. When a contradiction arises in some branch, we must undo the effects of propagation and move to an unexplored branch of the tree.

Each of these activities, except thankfully the last, involves many individual steps.

In some sense this program represents an attitude: We're not afraid to throw code at the problem.

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Quite a few cooperating data structures are needed to do all these things at high speed. I shall therefore try to summarize the main ones here.

First, we need to represent the fact that variable x is true, false, or unknown. In fact, we must also deal with intermediate stages by which x is known with various degrees of certainty, based on tentative assumptions that we've made during the lookahead or propagation process. Every variable therefore has an integer stamp, which is even if x is true, odd if x is false, and relatively large if the value is relatively certain. Setting the stamp to 0 makes x absolutely unknown; setting the stamp to the highest possible values real\_truth or real\_truth + 1 makes it absolutely true or false. Setting the stamp to an intermediate value like 100 makes x true when the "current stamp" cs is 2, 4, ..., 100, but unknown when cs > 100. (The value of cs is always even, and it never exceeds known.)

Second, we need quick access to the consequences of binary clauses. A binary clause  $l \vee l'$  is equivalent to two direct implications  $\bar{l} \to l'$  and  $\bar{l'} \to l$ , and the set of all such implications forms a digraph called the implication graph. The bimp data structure makes it easy to find all literals that are directly implied by any given literal. (And since  $\bar{l} \to l'$  if and only if  $\bar{l'} \to l$ , it's equally easy to find all literals that directly imply any given literal.) New binary implications are learned and added to bimp as computation proceeds, and they are stored sequentially in memory; therefore the individual lists are allocated dynamically, within a large array called mem, using the "buddy system" (Algorithm 2.5R).

Third, we need a good way to manipulate the "big clauses," namely the clauses that contain three or more literals. Two arrays called cinx and kinx, which are indexes into two larger arrays called cmem and kmem. govern this aspect of the problem: cinx[c] tells where the literals of clause c are listed in cmem, while kinx[l]tells where the clauses that contain a given literal l are listed in kmem. All four of these arrays are allocated once and for all before the main computation begins.

Fourth, there's a sequential list freevar of all variables not currently assigned, and an inverse list freeloc to tell where a particular variable appears in freevar.

Fifth, sixth, etc., there are a bunch of more conventional data structures: Attributes of literal l appear in lmem[l]; attributes of variable x appear in vmem[x]. The rstack holds the names of literals in the order they have been (tentatively) set. The *istack* holds the names of variables whose bimp entries have grown, together with the value needed to ungrow them when we undo a decision. The nstack contains information about nodes of the decision tree that have led to the current state. Later we will define a number of special data structures for use in parts of this program that are essentially self-contained.

```
\langle \text{Global variables } 3^* \rangle + \equiv
                   /* the current levels of truth, falsity, and uncertainty */
  uint *stamp;
                   /* master array of buddy-allocated blocks for bimp lists */
  uint *mem:
  bdata *bimp;
                    /* indexes into mem for lists of binary implications */
  uint *cmem, *kmem;
                             /* master arrays for cinx and kinx data */
                           /* indexes into cmem and kmem for the big clause info */
  tdata * cinx, *kinx;
                     /* holding place for big clauses that become binary or unary */
  tpair *bstack;
                /* the number of elements used in bstack */
                    /* the maximum number of times any literal occurs */
  int max\_use;
                    /* master array of blocks for timp lists */
  tpair *tmem;
  tdata *timp;
                    /* indexes into tmem for lists of ternary implications */
  uint *freevar, *freeloc;
                            /* perm of the variables from free to assigned */
                   /* how many of the variables are still free (unassigned)? */
  int freevars;
  uint *rstack:
                    /* stack and queue for backtracking and unit propagation */
                /* the number of elements used in rstack */
  int rptr;
  idata * istack:
                    /* bimp sizes to be undone if necessary */
               /* the number of elements used in istack */
  int iptr;
                    /* largest iptr currently allocated in virtual memory */
  int iptr_{-}max;
                      /* node information */
  \mathbf{ndata} * nstack;
                /* current depth in the decision tree */
  int level;
  literal *lmem;
                      /* attributes of literals */
                        /* attributes of variables */
  variable *vmem;
```

**25**\* The variables are numbered  $1, 2, \ldots, n$ , and the literals corresponding to variable x are 2x and 2x + 1 (namely x and  $\bar{x}$ ). Thus the variable that corresponds to literal l is  $l \gg 1$ , and the complement of literal l is  $l \oplus 1$ . (Previous programs of this series started the numbering at 0, not 1, in accord with Dijkstra's famous dictum. But we shall find it convenient to reserve the value 0 for use as a sentinel.)

Some arrays (like stamp and freevar) are indexed by variable numbers, while others (like bimp and kinx) are indexed by literal numbers. In order to reduce the chance of confusion between the two numbering schemes, variables in the code below will generally be represented by the letters x, y, or z; literals will generally be represented by l, u, v, or w.

```
#define thevar(l) ((l) \gg 1) /* the variable that corresponds to l */#define bar(l) ((l) \oplus 1) /* the complement of l */#define poslit(x) ((x) \ll 1) /* the literal x */#define neglit(x) (((x) \ll 1) + 1) /* the literal \bar{x} */
```

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**26.** An entry in the *bimp* table has four parts: addr is the address in mem where the list of implications begins; size is the current length of that list; alloc is the number of memory positions currently available at the given address; and alloc always equals  $2^k$ , where k is the fourth field. (Thus we always have  $size \leq alloc$ . The value of k is always at least 2, hence alloc is always at least 4. As the computation proceeds, alloc might increase, but it never will decrease.)

When mems are counted, we assume that addr and size are fetched or stored together; hence we can access them both at the cost of just one mem. Similarly, alloc and k are assumed to be in the same octabyte of memory.

An entry in the istack has two parts: lit is the literal l whose bimp entry is to be restored; size is the amount to be placed in bimp[l].size.

```
\langle \text{Type definitions } 5 \rangle + \equiv
  typedef struct bdata_struct {
    uint addr;
                    /* starting place of a sequential list in mem */
                   /* its current length */
    uint size;
                    /* maximum length before reallocation is necessary */
    uint alloc;
                 /* lg alloc */
    uint k;
  } bdata:
  typedef struct idata_struct {
                  /* the l whose size in bimp was changed */
    uint lit;
                   /* its previous size */
    uint size;
  } idata;
```

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This code is used in section 2\*.

An entry in cinx has two parts: addr is the address in cmem where the list of literals for a given clause begins; size is initially the length of that list. When literals of a clause become true or false, the size field is adjusted in a somewhat tricky way, explained below within the sanity routine. The literals of the input clauses are loaded backwards into cmem, so that we have cinx[c].addr + cinx[c].size = cinx[c-1].addr when computation begins.

An entry in kinx is, likewise, bipartite: addr is the address in kmem where the list of clauses numbers for a given literal begins, and size is the current length of that list. If l is a free literal (namely a literal whose value has not been assigned true or false), kinx[l].size will be the number of clauses that contain l and are not yet satisfied.

When a big clause is reduced to binary, because all but two of its literals have become false while none have become true, we will place it briefly on the bstack, whose entries are pairs of literals.

```
\langle \text{Type definitions } 5 \rangle + \equiv
  typedef struct tdata_struct {
                     /* starting place of a sequential list in mem */
    uint addr:
                    /* its current length */
    uint size;
                /* one octabyte */
  } tdata;
  typedef struct tpair_struct {
    uint u, v;
                     /* a pair of literals */
                /* one octabyte */
  } tpair;
```

**28.** An entry in *nstack* has the following fields: *decision* records the literal whose truth is being tentatively asserted; branch is 0 in the first branch, or 1 if that branch failed; rptr and iptr record the initial values of those stack pointers when the node was initialized; lptr records the initial value of rptr when lookahead for the next level began.

```
\langle \text{Type definitions 5} \rangle + \equiv
  typedef struct ndata_struct {
                         /* the literal chosen at this branch */
     uint decision;
                       /* did we try and fail to set it the other way? */
     int branch;
                               /* initial values of stack pointers */
     int rptr, iptr, lptr;
  } ndata;
29. Here is a subroutine that prints the binary implicant data for a given literal. (Used only when
debugging.)
\langle \text{Subroutines 29} \rangle \equiv
  void print_bimp(int l)
  {
     register uint la, ls;
     printf(""O"s"O".8s_l->", litname(l));
     \textbf{for } (la = bimp[l].addr, ls = bimp[l].size; \ ls; \ la ++, ls --) \ \ printf("u"O"s"O".8s", litname(mem[la]));
     printf("\n");
See also sections 30*, 31*, 33, 50, 61, and 154.
```

17

```
30* Similarly, the current data for big clauses gives useful diagnostic info.
\langle \text{Subroutines 29} \rangle + \equiv
     void print_clause(int c)
         register uint la, ls;
          printf (""O"d:", c);
          for (la = cinx[c].addr; la < cinx[c-1].addr; la++) printf("\"O"s"O".8s"O"s",
                         litname(cmem[la]), isfree(cmem[la])?"": iscontrary(cmem[la])?"-":"+");
          printf(" ("O"d) \n", cinx[c].size);
     void print_kinx(\mathbf{int}\ l)
          register uint la, ls;
          printf("kinx["O"s"O".8s]:", litname(l));
          for (la = kinx[l].addr, ls = kinx[l].size; ls; la++, ls--) printf("\u00c4", kmem[la]);
          printf("\n");
     void print_full_kinx(int l)
         register uint la, k;
          printf("kinx["O"s"O".8s]:", litname(l));
          for (la = kinx[l].addr, k = 0; k < kinx[l].size; k++) printf("\"O"d", kmem[la + k]);
         if (la + k \neq kinx[l-1].addr) {
               printf ("⊔#");
                                                     /* show also the inactive clauses */
               for (; la + k < kinx[l-1].addr; k++) printf (" \cup "O"d", kmem[la + k]);
          printf("\n");
31.* Speaking of debugging, here's a routine to check if the redundant parts of our data structure have gone
#define sanity_checking 0
                                                                      /* set this to 1 if you suspect a bug */
\langle \text{Subroutines 29} \rangle + \equiv
     void sanity(void)
          register int c, j, k, l, la, ls, p, q, u, v;
          for (k = 0; k < vars; k++) {
               if (freevar[freeloc[k+1]] \neq k+1) fprintf(stderr, "freeloc["O"d] \sqcup is \sqcup wrong! \n", k+1);
              if (freeloc[freevar[k]] \neq k) fprintf(stderr, "freevar["O"d]_is_iwrong! \n", k);
          for (k = 0; k < rptr; k++) {
              l = rstack[k];
              if \ (freeloc[thevar(l)] < freevars) \ fprintf(stderr, "literal_{\sqcup}"O"d_{\sqcup}on_{\sqcup}rstack_{\sqcup}is_{\sqcup}free! \\ \noalign{\column} \noalign{\col
          if (rptr + freevars \neq vars)
              fprintf(stderr, "rptr = "O"d, \_freevars = "O"d, \_vars = "O"lld\n", rptr, freevars, vars);
           \langle \text{ Check the sanity of } bimp \text{ and } mem \text{ 49} \rangle;
           (Check the sanity of cinx and cmem, kinx and kmem 32*);
     }
```

A big clause  $c = l_1 \vee \cdots \vee l_k$  for  $k \geq 3$  begins unsatisfied, and its initial size is k. Later, after j of its literals have become false but none of them have yet become true, the size will be k-j, as long as  $k-j \geq 2$ . (The nonfalse literals needn't be adjacent in memory at such times; we only need to know that the residual clause is still big.) But when j reaches k-2, or when one of the literals becomes true, clause c becomes inactive: It disappears from the kinx tables of all free literals. Henceforth the elements of c will not be examined again in *cmem* until we undo the setting of the literal that inactivated c.

Thus a clause is inactive if and only if it has been satisfied (contains a true literal) or has become binary (has at most two nonfalse literals). The program here marks inactive clauses by temporarily complementing their size fields, so that we can validate the kinx data.

```
\langle Check the sanity of cinx and cmem, kinx and kmem 32*\rangle
  for (c = bclauses; c; c \rightarrow)
     for (la = cinx[c].addr, k = ls = cinx[c-1].addr - la, j = 0; ls; la++, ls--) {
       l = cmem[la];
       if (isfree(l)) continue;
                                      /* neither true nor false */
                                     /* false */
       if (iscontrary(l)) j ++;
       else goto inactive;
                                  /* true */
    if (j \ge k - 2) {
       if (cinx[c].size \neq 2) fprintf(stderr, "ex-big_uclause_u"O"d_uhas_usize_u"O"d!\n", c, <math>cinx[c].size);
       goto inactive;
     if (cinx[c].size \neq k - j)
       fprintf(stderr, "big_{\square}clause_{\square}"O"d_{\square}has_{\square}size_{\square}"O"d_{\square}not_{\square}"O"d_{\square}", c, cinx[c].size, k-j);
     continue;
  inactive: cinx[c].size = \sim cinx[c].size;
  for (l = 2; l < badlit; l++)
     if (isfree(l)) {
       for (la = kinx[l].addr, ls = kinx[l].size; ls; la++, ls--) {
         c = kmem[la];
         if ((int) cinx[c].size < 0)
            fprintf(stderr, "kinx["O"s"O".8s] \ includes \ active \ clause \ "O"d! \ n", \ litname(l), c);
       for (; la < kinx[l-1].addr; la ++) {
         c = kmem[la];
         if ((int) cinx[c].size \ge 0)
            fprintf(stderr, "kinx["O"s"O".8s]_omits_active_clause_"O"d!\n", litname(l), c);
  for (c = bclauses; c; c--)
     if ((int) cinx[c].size < 0) cinx[c].size = \sim cinx[c].size;
This code is used in section 31*.
```

**33.** In long runs it's helpful to know how far we've gotten. A numeric code summarizes each decision made so far: 0 or 1 means that we're trying to set a variable true or false, on the first branch of a node ("branch 0"); 2 or 3 is similar, but on the second branch ("branch 1"); 4 or 5 is similar, but when the decision was forced by the decision at the previous branch node; 6 or 7 is similar, but when the decision was found to be forced while looking ahead for the next literal on which to branch.

```
 \begin{array}{l} & \textbf{void } print\_state(\textbf{int } lev) \\ \{ & \textbf{register int } k, \ r; \\ & fprintf(stderr, "\_after\_"O"lld\_mems:", mems); \\ & \textbf{for } (k=r=0; \ k < lev; \ k++) \ \{ & \textbf{for } (; \ r < nstack[k].rptr; \ r++) \ fprintf(stderr, ""O"c", '6' + (rstack[r] \& 1)); \\ & \textbf{if } (nstack[k].branch < 0) \ fprintf(stderr, """); \\ & \textbf{else } fprintf(stderr, ""O"c", '0' + (rstack[r++] \& 1) + (nstack[k].branch \ll 1)); \\ & \textbf{for } (; \ r < nstack[k+1].lptr; \ r++) \ fprintf(stderr, ""O"c", '4' + (rstack[r] \& 1)); \\ & \textbf{if } (k \geq print\_state\_cutoff) \ \{ \\ & fprintf(stderr, "..."); \ \textbf{break}; \\ \} \\ & fprintf(stderr, "\n"); \\ & fflush(stderr); \\ \} \end{array}
```

**34.** Each literal has an entry in lmem, containing many fields. We will introduce them from time to time as we use them.

```
\langle \text{Type definitions } 5 \rangle + \equiv
  typedef struct lit_struct {
                   /* order of appearance in Tarjan's algorithm */
    int rank:
    int link;
                  /* pointer to another literal */
                       /* progress record in Tarjan's algorithm */
    int untagged;
    int min;
                  /* magically important data for Tarjan's algorithm */
    int parent;
                    /* predecessor in Tarjan's algorithm */
                    /* component representation in Tarjan's algorithm */
    int vcomp;
                  /* pointer to the first successor entry in the cand_arc array */
    int arcs;
    uint bstamp;
                       /* stamped with bstamp when processing new binaries */
                     /* stamped with istamp when doublelook didn't force this */
    uint dl-fail;
                      /* stamped with istamp when making an entry for istack */
    uint istamp;
    float wnb:
                    /* total weighted new binaries, including implied literals */
    uint filler;
                    /* extra space to fill six octabytes */
  } literal;
```

**35.** Similarly, each variable has an entry in *vmem*, where three fields appear.

**36.** Initializing the real data structures. We're ready now to convert the temporary chunks of data into the form we want, and to recycle those chunks. The code below is, of course, similar to what has worked in previous programs of this series.

```
⟨ Global variables 3*⟩ +≡
uint lits; /* how many literals are present? */
uint badlit; /* one more than the highest literal number */

37. ⟨ Set up the main data structures 37⟩ ≡
lits = vars ≪ 1, badlit = lits + 2;
last_vchunk = cur_vchunk;
⟨ Allocate the main arrays 38*⟩;
⟨ Copy all the temporary variable nodes to the vmem array in proper format 46⟩;
⟨ Copy all the temporary cells to the bimp, mem, cinx, cmem, kinx, and kmem arrays in proper format 40*⟩;
⟨ Check consistency 47⟩;
⟨ Allocate special arrays 58⟩;
This code is used in section 2*.
```

**38**\* We randomize the initial order of *freevars*, so that different seeds can produce different results (for instance on satisfiable problems).

```
\langle Allocate the main arrays 38*\rangle \equiv
  stamp = (\mathbf{uint} *) \ malloc((vars + 1) * \mathbf{sizeof}(\mathbf{uint}));
  if (\neg stamp) {
     fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} stamp_{\sqcup} array! \n");
     exit(-10);
  bytes += (vars + 1) * sizeof(uint);
  bimp = (\mathbf{bdata} *) \ malloc(badlit * \mathbf{sizeof}(\mathbf{bdata}));
  if (\neg bimp) {
     fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} bimp_{\sqcup} array! \n");
     exit(-10);
  bytes += badlit * sizeof(bdata);
  \langle \text{Initialize } mem \text{ with empty } bimp \text{ lists } 57 \rangle;
  cinx = (\mathbf{tdata} *) \ malloc((bclauses + 1) * \mathbf{sizeof}(\mathbf{tdata}));
  if (\neg cinx) {
     fprintf(stderr, "Oops, \sqcup I_{\sqcup}can't_{\sqcup}allocate_{\sqcup}the_{\sqcup}cinx_{\sqcup}array! \n");
     exit(-10);
  bytes += (bclauses + 1) * sizeof(tdata);
  cmem = (\mathbf{uint} *) \ malloc(bcells * \mathbf{sizeof}(\mathbf{uint}));
  if (\neg cmem) {
     exit(-10);
  kinx = (\mathbf{tdata} *) \ malloc(badlit * \mathbf{sizeof}(\mathbf{tdata}));
  if (\neg kinx)
     exit(-10);
  bytes += badlit * sizeof(tdata);
  kmem = (\mathbf{uint} *) \ malloc(bcells * \mathbf{sizeof}(\mathbf{uint}));
  if (\neg kmem) {
     fprintf(stderr, "Oops, LI can't allocate the kmem array!\n");
     exit(-10);
  bytes += bcells * sizeof(uint);
  freevar = (\mathbf{uint} *) \ malloc(vars * \mathbf{sizeof}(\mathbf{uint}));
  if (\neg freevar) {
     fprintf(stderr, "Oops, \sqcup I_{\sqcup}can't_{\sqcup}allocate_{\sqcup}the_{\sqcup}freevar_{\sqcup}array! \n");
     exit(-10);
  bytes += vars * sizeof(uint);
  freeloc = (\mathbf{uint} *) \ malloc((vars + 1) * \mathbf{sizeof}(\mathbf{uint}));
  if (\neg freeloc) {
     fprintf(stderr, "Oops, \sqcup I \sqcup can't \sqcup allocate \sqcup the \sqcup freeloc \sqcup array! \n");
     exit(-10);
  bytes += (vars + 1) * sizeof(uint);
```

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```
for (k = 0; k < vars; k++) {
    mems += 4, j = gb\_unif\_rand(k+1);
    if (j \neq k) {
       o, i = freevar[j];
       oo, freevar[k] = i, freeloc[i] = k;
       oo, freevar[j] = k + 1, freeloc[k + 1] = j;
    } else oo, freevar[k] = k + 1, freeloc[k + 1] = k;
  freevars = vars;
See also section 39.
```

This code is used in section 37.

22

Although the rstack is used rather heavily, for breadth-first searches, a literal and its complement never both appear. Therefore the total size of the rstack should never exceed the number of variables.

```
\langle Allocate the main arrays 38^*\rangle + \equiv
  rstack = (\mathbf{uint} *) \ malloc((vars + 1) * \mathbf{sizeof}(\mathbf{uint}));
  if (\neg rstack) {
     fprintf(stderr, "Oops, \sqcup I \sqcup can't \sqcup allocate \sqcup the \sqcup rstack \sqcup array! \n");
     exit(-10);
  bytes += (vars + 1) * sizeof(uint);
  nstack = (\mathbf{ndata} *) \ malloc((vars + 1) * \mathbf{sizeof}(\mathbf{ndata}));
  if (\neg nstack) {
     fprintf(stderr, "Oops, \sqcup I \sqcup can't \sqcup allocate \sqcup the \sqcup nstack \sqcup array! \n");
     exit(-10);
  bytes += (vars + 1) * sizeof(ndata);
  lmem = (literal *) malloc(badlit * sizeof(literal));
  if (\neg lmem) {
     exit(-10);
  bytes += badlit * sizeof(literal);
  for (l=2; l < badlit; l++) oo, lmem[l].dl\_fail = lmem[l].bstamp = lmem[l].istamp = 0;
  vmem = (variable *) malloc((vars + 1) * sizeof(variable));
  if (\neg vmem) {
     fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} vmem_{\sqcup} array! \n");
  bytes += (vars + 1) * sizeof(variable);
  forcedlit = (\mathbf{uint} *) \ malloc(vars * \mathbf{sizeof}(\mathbf{uint}));
  if (\neg forcedlit) {
     fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} forcedlit_{\sqcup} array! \n");
     exit(-10);
  bytes += vars * sizeof(uint);
```

```
40*
     Copy all the temporary cells to the bimp, mem, cinx, cmem, kinx, and kmem arrays in proper
       format 40^* \rangle \equiv
  forcedlits = 0, cs = proto\_truth;
                                         /* prepare for possible unary clauses */
  for (l=2; l < badlit; l++) o, kinx[l].addr = kinx[l].size = 0;
                                                                         /* clear the counts */
  for (c = clauses, k = 0, cc = bclauses; c; c--) {
     la = k;
     \langle Insert the cells for the literals of clause c 41*\rangle;
  cinx[0].addr = k;
  if (k \neq bcells \lor cc) confusion("cmem");
  \langle \text{Build } kinx \text{ and } kmem \text{ from the stored big clauses } 44* \rangle;
  if (out_file) fflush(out_file);
                                      /* complete the copy of input clauses */
This code is used in section 37.
41.* The basic idea is to "unwind" the steps that we went through while building up the chunks.
#define hack_out(q) (((ullng) q) & #3)
#define hack\_clean(q) ((tmp_var *)((ullng) q \& -4))
(Insert the cells for the literals of clause c 41*) \equiv
  for (i = j = 0; i < 2;)
     \langle \text{Move } cur\_cell \text{ backward to the previous cell } 20 \rangle;
    i = hack\_out(*cur\_cell);
    p = hack\_clean(*cur\_cell) \rightarrow serial;
    p += p + (i \& 1);
     o, cmem[k++] = p + 2, j++;
     oo, kinx[p+2].size ++;
  if (out_file) {
    for (jj = 0; jj < j; jj ++) fprintf (out\_file, "u"O"s"O".8s", litname(cmem[la + jj]));
    fprintf(out_file, "\n");
  if (j < 3) {
                   /* not big */
     k = la, u = cmem[la];
     oo, kinx[u].size ---;
     if (j \equiv 2) {
       oo, v = cmem[la + 1], kinx[v].size ---;
       \langle Store a binary clause in bimp 43\rangle;
     } else \langle Store a unary clause in forcedlit 42^*\rangle;
  } else o, cinx[cc].addr = la, cinx[cc].size = k - la, cc --;
This code is used in section 40^*.
```

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42\* Unary clauses in the input might be repeated or contradictory. Thus we must be careful not to overstep the bounds of the *forcedlit* array. The *addr* fields in *kinx* are borrowed here, temporarily, so that no variable is forced twice.

```
\langle Store a unary clause in forcedlit 42^*\rangle \equiv
     if (o, kinx[u].addr \equiv 0) {
       if (o, kinx[bar(u)].addr) {
         if (verbose & show_choices) fprintf(stderr,
                  "Unary_clause_" O"d\contradicts_unary_clause_" O"d\n", c, kinx[bar(u)]. addr);
         goto unsat;
       o, kinx[u].addr = c;
       o, forcedlit[forcedlits ++] = u;
This code is used in section 41^*.
43. \langle Store a binary clause in bimp 43\rangle \equiv
     o, la = bimp[bar(u)].addr, ls = bimp[bar(u)].size;
     if (o, ls \equiv bimp[bar(u)].alloc) resize (bar(u)), o, la = bimp[bar(u)].addr;
     oo, mem[la + ls] = v, bimp[bar(u)].size = ls + 1;
     o, la = bimp[bar(v)].addr, ls = bimp[bar(v)].size;
    if (o, ls \equiv bimp[bar(v)].alloc) resize (bar(v)), o, la = bimp[bar(v)].addr;
     oo, mem[la + ls] = u, bimp[bar(v)].size = ls + 1;
This code is used in section 41*.
44* \langle Build kinx and kmem from the stored big clauses 44*\rangle \equiv
  max\_use = 0;
  for (j = 0, l = badlit - 1; l > 2; l - ) {
     oo, kinx[l].addr = j, jj = kinx[l].size, j += jj, kinx[l].size = 0;
     if (jj > max\_use) max\_use = jj;
                            /* we'll have kinx[l].addr + kinx[l].size = kinx[l-1].addr */
  o, kinx[l].addr = j;
  if (j \neq bcells) confusion("kinx1");
  for (c = bclauses, j = 0; c; c \rightarrow)
     for (o, k = cinx[c].size; k; k--) {
       o, u = cmem[j++];
       o, la = kinx[u].addr, ls = kinx[u].size;
       o, kmem[la + ls] = c;
       o, kinx[u].size = ls + 1;
  if (j \neq bcells) confusion("kinx2");
  \langle \text{ Allocate } bstack | 45^* \rangle;
This code is used in section 40^*.
```

```
45* \langle Allocate bstack ^{45*}\rangle \equiv
  bstack = (\mathbf{tpair} *) \ malloc(max\_use * \mathbf{sizeof}(\mathbf{tpair}));
  if (\neg bstack) {
     fprintf(stderr, "Oops, \sqcup I \sqcup can't \sqcup allocate \sqcup the \sqcup bstack \sqcup array! \n");
     exit(-10);
  bytes += max\_use * sizeof(tpair);
This code is used in section 44*.
46. Copy all the temporary variable nodes to the vmem array in proper format 46 \ge 100
  for (c = vars; c; c --) {
     \langle \text{Move } cur\_tmp\_var \text{ backward to the previous temporary variable 21} \rangle;
     o, vmem[c].name.lng = cur\_tmp\_var \rightarrow name.lng;
     o, vmem[c].len = vars + 1; /* "infinitely long" prefix */
This code is used in section 37.
47. We should now have unwound all the temporary data chunks back to their beginnings.
\langle Check consistency 47\rangle \equiv
  if (cur\_cell \neq \& cur\_chunk \neg cell[0] \lor cur\_chunk \neg prev \neq \Lambda \lor
           cur\_tmp\_var \neq \& cur\_vchunk \neg var[0] \lor cur\_vchunk \neg prev \neq \Lambda) confusion("consistency");
  free(cur_chunk);
  for (cur_vchunk = last_vchunk; cur_vchunk; cur_vchunk = last_vchunk) {
     last\_vchunk = cur\_vchunk \neg prev;
     free(cur\_vchunk);
This code is used in section 37.
```

26 BUDDY SYSTEM REDUX SAT11K  $\S48$ 

**48.** Buddy system redux. Here's a version of Algorithms 2.5R and 2.5D that is appropriate for the operations we need to do in bimp.

Each block of mem has size  $2^k$  for some k > 1, and it begins at an address that is a multiple of  $2^k$ . A reserved block begins with an unsigned **int** that is less than  $2^{31}$ ; a free block begins with an unsigned **int** that is  $\geq 2^{31}$  (thus its "sign" bit is 1). In fact, the first two words of the free block starting at b are the complements of pointers in a doubly linked list, and we call them linkf and linkb. The third word of such a block, called kval, contains the value of k when the block size is  $2^k$ ; and the "buddy" of such a block b begins at location  $b \oplus (1 \ll k)$ . There is a doubly linked list for free blocks of each possible size  $2^k$ , with header node mem[avail(k)].

When mems are counted, we assume that linkf and linkb are accessed simultaneously as part of the same octabyte.

We begin by allocating  $1 \ll memk\_max$  entries to the mem array. But we maintain a variable memk to record the fact that at most  $1 \ll memk$  of those entries have been used so far. The lists of available space are relevant only for 1 < k < memk, and the statistics reported at the end of a run are calculated as if only  $1 \ll memk$  entries had been allocated. The user should increase  $memk\_max$  (with the 'm' command-line parameter) when trying to solve a problem that needs an unusually large mem.

```
#define linkf(b) mem[b]
#define linkb(b) mem[(b) + 1]
#define kval(b) mem[(b) + 2]
#define avail(k) (((k) - 2) \ll 2)
#define memfree(b) ((int) mem[b] < 0)
#define memk\_max\_default 22  /* allow 4 million items in mem by default */ \langle Global variables 3* \rangle +=

int memk;  /* binary log of the number of spaces used so far in mem */
```

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**50.** The *resize* procedure does the main work of dynamic storage allocation. Given a literal l, it doubles the current allocation bimp[l].alloc.

Two cases are distinguished, depending on whether the buddy of l's current list is presently free or reserved. The buddy of a reserved block of size  $1 \ll k$  might have been split up into smaller blocks, but it won't be any bigger.

```
 \begin{array}{l} \left\langle \text{Subroutines 29} \right\rangle + \equiv \\ \textbf{void } resize(\textbf{register int } l) \\ \left\{ \\ \textbf{register uint } a, \ j, \ k, \ kk, \ n, \ p, \ q, \ r, \ s; \\ mems += 4; \qquad / * \ \text{pay the cost of subroutine linkage } */\\ oo, a = bimp[l].addr, n = bimp[l].size, k = bimp[l].k, s = 1 \ll k, p = a \oplus s; \\ \textbf{if } \left( (o, memfree(p)) \wedge (o, kval(p) \equiv k) \right) \ \left\langle \text{Resize when the buddy is free 51} \right\rangle \\ \textbf{else } \left\langle \text{Resize when the buddy is reserved 53} \right\rangle; \\ finish: \ o, bimp[l].alloc = s + s, bimp[l].k = k + 1; \\ \end{array}
```

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**51.** Here the buddy of block a is p, and it has turned out to be free. In the most favorable case, p will actually be in exactly the right place so that we won't have to recopy any data.

```
\langle Resize when the buddy is free 51\,\rangle \equiv
     \langle \text{Remove } p \text{ from its } avail \text{ list } 52 \rangle;
     if ((a \& s) \equiv 0) goto finish; /* we lucked out */
     oo, mem[p] = mem[a]; /* ensure that mem[p] isn't negative */
     for (j = 1; j < n; j++) oo, mem[p+j] = mem[a+j];
                                                                       /* copy the rest of the data */
     o, bimp[l].addr = p;
This code is used in section 50.
52. \langle \text{Remove } p \text{ from its } avail \text{ list } 52 \rangle \equiv
  q = \sim linkb(p), r = \sim linkf(p);
                                        /* no mem cost, we've already accessed mem[p] */
  oo, linkf(q) = \sim r, linkb(r) = \sim q;
This code is used in sections 51 and 54.
53. In the more difficult case, we must find a block of twice the size, and copy the data there; then we free
up the present block.
\langle \text{Resize when the buddy is reserved } 53 \rangle \equiv
     \langle \text{ Allocate a block } p \text{ of size } s + s \text{ 54} \rangle;
     oo, mem[p] = mem[a]; /* ensure that mem[p] isn't negative */
     for (j = 1; j < n; j ++) oo, mem[p + j] = mem[a + j]; /* copy the rest of the data */
     \langle \text{ Make } a \text{ a free block of size } 1 \ll k \text{ 56} \rangle;
     o, bimp[l].addr = p;
This code is used in section 50.
54. \langle Allocate a block p of size s + s 54\rangle \equiv
  for (kk = k + 1; kk < memk; kk ++)
     if (o, linkf(avail(kk)) \neq \sim avail(kk)) {
                                                       /* nonempty list found */
       p = \sim linkf(avail(kk));
       o; \langle \text{Remove } p \text{ from its } avail \text{ list } 52 \rangle;
       goto found;
  if (memk \equiv memk\_max) { /* oops, we're outta room */
     fprintf(stderr, "Sorry... \_more\_memory\_is\_needed!\_(Try\_option\_m"O"d.)\n", memk\_max + 1);
     fprintf(stderr, "Job_aborted_after_"O"llu_mems,_"O"llu_nodes.\n", mems, nodes);
     exit(-666);
  p = 1 \ll memk;
  (o, linkf(avail(memk))) = linkb(avail(memk)) = \sim avail(memk); /* empty avail list */
  o, kval(avail(memk)) = memk;
  bytes += p * sizeof(uint), memk ++;
            /* location p begins an available block of size 1 \ll kk */
  while (-kk > k) (Make p + (1 \ll kk)) a free block of size 1 \ll kk 55);
This code is used in section 53.
```

This code is used in section 38\*.

ξ55

```
55.
       \langle \text{ Make } p + (1 \ll kk) \text{ a free block of size } 1 \ll kk | 55 \rangle \equiv
  {
     o, q = \sim linkf(avail(kk)), r = p + (1 \ll kk);
     oo, linkf(avail(kk)) = linkb(q) = \sim r;
     oo, linkb(r) = \sim avail(kk), linkf(r) = \sim q, kval(r) = kk;
This code is used in section 54.
     Since the buddy of a is not free, we needn't try to "collapse" adjacent buddies together.
\langle \text{ Make } a \text{ a free block of size } 1 \ll k | 56 \rangle \equiv
  o, q = \sim linkf(avail(k));
  oo, linkf(avail(k)) = linkb(q) = \sim a;
  oo, linkb(a) = \sim avail(k), linkf(a) = \sim q, kval(a) = k;
This code is used in section 53.
57. We need to get these data structures off to a good start at the very beginning. Here's how that is
done, given lits and memk_max, after the arrays mem and bimp have been allocated:
\langle \text{Initialize } mem \text{ with empty } bimp \text{ lists } 57 \rangle \equiv
  for (memk = 4; 1 \ll memk < 4 * (memk\_max - 2 + lits); memk ++);
  if (memk > memk\_max) { /* memk\_max is too small even for empty lists! */
     fprintf(stderr, "The_{\sqcup}value_{\sqcup}of_{\sqcup}memk_{\_}max_{\sqcup}is_{\sqcup}way_{\sqcup}too_{\sqcup}small_{\sqcup}for_{\sqcup}"O"d_{\sqcup}literals! \n", lits);
     exit(-667);
  }
  mem = (\mathbf{uint} *) \ malloc((1 \ll memk\_max) * \mathbf{sizeof}(\mathbf{uint}));
     fprintf(stderr, "Oops, \sqcup I \sqcup can't \sqcup allocate \sqcup the \sqcup mem \sqcup array! \n");
     exit(-10);
  bytes += (1 \ll memk) * sizeof(uint);
                                                    /* we'll update bytes if we use more */
  j = avail(memk\_max);
                               /* the first bimp list starts here */
  for (l = 2; l < badlit; l++) {
     oo, mem[j] = 0, bimp[l].addr = j, bimp[l].size = 0, j += 4;
                                                                            /* reserve an empty block */
     o, bimp[l].alloc = 4, bimp[l].k = 2;
                                              /* give it the minimum size */
  for (k = 2; k < memk; k++) {
     if (j \& (1 \ll k)) { /* make a free block of size 1 \ll k at j */
       o, linkf(avail(k)) = linkb(avail(k)) = \sim j;
       o, linkf(j) = linkb(j) = \sim avail(k);
        oo, kval(avail(k)) = kval(j) = k;
       j += 1 \ll k;
                /* there are no free blocks of size 1 \ll k initially */
       o, linkf(avail(k)) = linkb(avail(k)) = \sim avail(k);
        o, kval(avail(k)) = k;
```

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**58.** The *istack* can grow rather large in the worst case. But it can't exceed the size of *mem*, since each entry in *istack* represents an increase in a *bimp* table entry. Therefore we allocate it with the same kludge that we used for *mem*.

```
 \langle \text{Allocate special arrays 58} \rangle \equiv \\ istack = (\mathbf{idata} *) \ malloc((1 \ll memk\_max) * \mathbf{sizeof}(\mathbf{idata})); \\ \mathbf{if} \ (\neg istack) \ \{ \\ fprintf(stderr, "Oops, \Box I_{\Box} can't_{\Box} allocate_{\Box} the_{\Box} \mathbf{istack}_{\Box} array! \ ""); \\ exit(-10); \\ \} \\ bytes += (1 \ll memk) * \mathbf{sizeof}(\mathbf{idata}); /* \text{ we'll update } bytes \text{ if we use more } */ iptr\_max = 1 \ll memk; \\ \text{See also sections } 90, 109, 121, 134, \text{ and } 165*. \\ \text{This code is used in section } 37.
```

**59. Updating the data structures.** When we've decided to assign a value to a literal, we must deduce and record all of the consequences of that decision. The following part of the program comes into play when we're beginning the calculation at a new node of the decision tree.

Sometimes bestlit turns out to be zero, because the favorite literal of the lookahead process has already become true by forcing. Then we have a "dummy" level, which does no branching and inaugurates a new node from which we can look further ahead.

```
\langle Begin the processing of a new node 59\rangle \equiv
                                          /* for diagnostics only (no mem charged) */
  nstack[level].lptr = rptr, nodes ++;
  if (delta \land (mems \ge thresh)) thresh += delta, print\_state(level);
  if (mems > timeout) {
     fprintf(stderr, "TIMEOUT!\n");
     goto done;
  o, nstack[level].branch = -1, plevel = level;
  Look ahead and gather data about how to make the next branch; but goto look_bad if a contradiction
       arises 123* \rangle:
  if (forcedlits) \langle Update data structures for all consequences of the forced literals discovered during the
          lookahead; but goto conflict if a contradiction arises 64);
choose it: \langle Choose bestlit, which will be the next branch tried 140\rangle;
  o, nstack[level].rptr = rptr, nstack[level].iptr = iptr;
                                                                /* backup pointers */
  if (bestlit) {
     o, nstack[level].decision = bestlit, nstack[level].branch = 0;
  tryit: l = bestlit, plevel = level + 1;
     if ((verbose \& show\_choices) \land level \le show\_choices\_max)
       fprintf(stderr, "Level_{\sqcup}"O"d"O"s:_{\sqcup}"O"s"O".8s_{\sqcup}("O"lld_{\sqcup}mems)\n", level,
            nstack[level].branch ? "'," : "", litname(l), mems);
     (Update data structures for all consequences of l; but goto conflict if a contradiction arises 62);
  \} else if ((verbose \& show\_choices) \land level \le show\_choices\_max)
     fprintf(stderr, "Level" O"d: \_no\_branch\n", level);
This code is used in section 152*.
```

**60.** Recall that the "current stamp" cs is an even number that represents the level of truth for assignments that are currently being made. Any variable x with stamp[x] < cs is assumed to be free (unassigned); otherwise x is assumed to be true, in the context of level cs, when stamp[x] is even, false when stamp[x] is odd.

The highest level of truth is called  $real\_truth$ ; the next highest is  $near\_truth$ ; the next highest is  $proto\_truth$ ; and lower values 2, 4, ...,  $proto\_truth - 2$  are used during lookahead.

```
#define real_truth #fffffffe
#define near_truth #ffffffff
#define proto_truth #fffffffa
#define isfixed(l) (o, stamp[thevar(l)] > cs)
\#define isfree(l) (o, stamp[thevar(l)] < real\_truth)
#define iscontrary(l) ((stamp[thevar(l)] \oplus l) \& 1)
                                                          /* test this after is fixed (l) */
#define stamptrue(l) (o, stamp[thevar(l)] = cs + (l \& 1))
\langle \text{Global variables } 3^* \rangle + \equiv
  uint bestlit;
                    /* literal chosen for branching by lookahead routines */
  uint cs;
                /* the current level of truth (always even) */
  uint look_cs, dlook_cs;
                               /* saved values of cs */
  int fptr, eptr, lfptr;
                             /* queue pointers for breadth-first search */
```

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**61.** Here's a simple routine for use in debugging. It prints out all literals that are true with respect to a given stamping level.

```
\langle \text{Subroutines } 29 \rangle + \equiv
  void print_truths(uint cs)
    register int x;
    if (cs \geq proto\_truth) {
      switch ((cs - proto\_truth) \gg 1) {
       case 0: fprintf(stderr, "proto_truths_or_better:"); break;
       case 1: fprintf(stderr, "near_truths_or_better:"); break;
       case 2: fprintf(stderr, "real_truths:"); break;
    } else fprintf(stderr, "truths_at_least_"O"d:", cs);
    for (x = 1; x \leq vars; x \leftrightarrow)
      if (stamp[x] \ge cs) fprintf (stderr, " " O" s" O" .8s", stamp[x] \& 1? "~" : "", vmem[x].name.ch8);
    fprintf(stderr, "\n");
  void print_proto_truths(void)
    print_truths(proto_truth);
  void print_near_truths(void)
    print_truths(near_truth);
  void print_real_truths(void)
    print_truths(real_truth);
```

**62.** In the present part of the program, we set  $cs = near\_truth$ . This level means that the literal is on the rstack but its full consequences haven't yet been explored.

We do a breadth-first search, using *rstack* to contain the literals that are being asserted—first at level *near\_truth*, then at level *real\_truth*. Pointers *fptr* and *eptr* point to the front and end of the queue that governs the search.

```
⟨ Update data structures for all consequences of l; but goto conflict if a contradiction arises 62⟩ ≡
    cs = near_truth;
    fptr = eptr = rptr;
    ⟨ Bump istamp to a unique value 65⟩;
    ⟨ Propagate binary implications of l; goto conflict if a contradiction arises 68*⟩;
    promote: ⟨ Promote near-truth to real-truth; but goto conflict if a contradiction arises 63⟩;
    if (o, nstack[level].branch < 0) { /* we've finished the forced literals */
        if (level) goto chooseit;
        forcedlits = 0;
        goto enter_level; /* at the root, it's back to square zero */
    }
</pre>
This code is used in section 59.
```

This code is used in section 59.

```
63. ⟨Promote near-truth to real-truth; but goto conflict if a contradiction arises 63⟩ ≡
while (fptr < eptr) {</li>
o, ll = rstack [fptr++];
⟨Update data structures for the real truth of ll; but goto conflict if a contradiction arises 69*⟩;
}
rptr = eptr; /* accept all the propagations */
This code is used in section 62.
```

**64.** The forced literals act as "seeds" for another bread-first search.

If the input had unary clauses, the computation actually begins here, so that the implications of those clauses are perceived early.

```
⟨ Update data structures for all consequences of the forced literals discovered during the lookahead; but
    goto conflict if a contradiction arises 64⟩ ≡
{
    special_start: if (verbose & show_details)
        fprintf (stderr, "(lookahead_for_level_"O"d_forces_"O"d)\n", level, forcedlits);
    cs = near_truth;
    fptr = eptr = rptr;
    ⟨Bump istamp to a unique value 65⟩;
    for (i = 0; i < forcedlits; i++) {
        o, l = forcedlit[i];
      ⟨Propagate binary implications of l; goto conflict if a contradiction arises 68*⟩;
    }
    goto promote;
}</pre>
```

**65.** The istamp field of literal l is marked with the current value of the global variable istamp when l gets its first istack entry during a particular phase of the search; then we can be sure that there's at most one istack entry per literal during any particular phase.

The loop here is "never" needed, except in problems that are well beyond what I ever imagine trying to solve. But I'm including it anyway, because it makes me feel virtuous.

```
\langle \text{ Bump } istamp \text{ to a unique value } 65 \rangle \equiv
 \text{if } (++istamp \equiv 0) \{ /* \text{ overflow has occurred after } 2^{32} \text{ times } */ istamp = 1; 
 \text{for } (l=2; \ l < badlit; \ l++) \ o, lmem[l].istamp = 0; 
 \}
This code is used in sections 62 and 64.
```

**66.** The bstamp field of literal l is similar to istamp, but it is used for a different purpose: We mark it when l is known to be implied by some other literal of interest.

```
⟨Bump bstamp to a unique value 66⟩ ≡
if (++bstamp ≡ 0) { /* overflow has occurred after 2<sup>32</sup> times */
bstamp = 1;
for (l = 2; l < badlit; l++) o, lmem[l].bstamp = 0;
}</li>
This code is used in sections 73 and 106.
67. ⟨Global variables 3*⟩ +≡
uint istamp; /* used for unique identifications */
uint bstamp = 32; /* used for unique identifications of another kind */
```

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**68\*** The code in this section is part of the inner loop, so we want it to be fast. Fortunately the task is fairly simple: When one literal is asserted to be true at the current *cs* level, all the literals in its *bimp* list are also asserted. And we continue until no more can be asserted, unless a contradiction arises first.

Our data structures contain both binary implications and k-ary implications for  $k \geq 3$ . We examine only the binary ones here, because they're simpler. By focusing on them first, we have a better chance of detecting contradictions sooner.

```
\langle Propagate binary implications of l; goto conflict if a contradiction arises 68^*\rangle \equiv
  if (isfixed(l)) {
    if (iscontrary(l)) goto conflict;
  } else {
    if (verbose \& show\_details) fprintf(stderr, "nearfixing_{\sqcup}"O"s"O".8s\n", litname(l));
    stamptrue(l);
    lfptr = eptr;
    o, rstack[eptr++] = l;
    while (lfptr < eptr) {
       o, l = rstack[lfptr++];
       for (o, la = bimp[l].addr, ls = bimp[l].size; ls; la++, ls--) {
         o, lp = mem[la];
         if (isfixed(lp)) {
            if (iscontrary(lp)) goto conflict;
            if (verbose & show_details) fprintf(stderr, "unearfixingu"O"s"O".8s\n", litname(lp));
            stamptrue(lp);
            o, rstack[eptr ++] = lp;
      }
    }
```

This code is used in sections 62, 64, 72\*, and 73.

**69**\* We get to this part of the program when a literal loses its freedom and becomes fully assigned to truth or falsity at the highest possible level. Every active big clause that contains ll or its complement is affected: Those with ll itself become satisfied, while those with bar(ll) become shorter.

Many details of that transformation are described in the special "big clauses" addendum at the end of this program. Here we introduce only a few of them.

```
 \langle \text{Update data structures for the real truth of } ll; \text{ but } \textbf{goto } \textit{conflict} \text{ if a contradiction arises } 69^* \rangle \equiv o, stamp[thevar(ll)] = real\_truth + (ll \& 1); \\ \textbf{if } (\textit{verbose } \& \textit{show\_details}) \text{ } \textit{fprintf} (\textit{stderr}, \text{"fixing}_{\square} \text{"}O\text{"s"}O\text{".8s}n\text{"}, litname(ll)); } \\ \langle \text{Remove } \textit{thevar(ll)} \text{ from the } \textit{freevar list } 70 \rangle; \\ \langle \text{Swap out all big clauses that contain } ll \text{ } 156^* \rangle; \\ tll = \textit{bar(ll)}, \textit{bptr} = 0; \qquad /* \text{ clear the } \textit{bstack } */ \\ \langle \text{Reduce all big clauses that contain } \textit{tll}; \text{ if any become binary, swap them out and put them on } \textit{bstack } 71^* \rangle; \\ \textbf{while } (\textit{bptr}) \text{ } \{ o, \textit{bptr} --, u = \textit{bstack}[\textit{bptr}].u, v = \textit{bstack}[\textit{bptr}].v; \\ \langle \text{Update for a potentially new binary clause } u \vee v \text{ } 72^* \rangle; \\ \} \\ \text{This code is used in section } 63. \\ \end{cases}
```

This code is used in section 69\*.

```
70. \langle \text{Remove } thevar(ll) \text{ from the } freevar \text{ list } 70 \rangle \equiv x = thevar(ll);
o, y = freevar[--freevars];
if (x \neq y) {
o, xl = freeloc[x];
o, freevar[xl] = y;
o, freeloc[y] = xl;
o, freeloc[x] = freevars;
o, freevar[freevars] = x;
}
This code is used in section 69*.
```

71.\* When tll becomes false in clause c, we simply decrease the size of c by 1, without taking time to move tll to a different place in cmem. The first time this happens to c is, however, special: Then we also want to mark all of c's other literals as "participants," as explained in the preselection process below. That case can be recognized by the condition cinx[c].addr + cinx[c].size = cinx[c-1].addr. While we're examining those other literals, we might as well move tll to the end of the clause.

Interesting things start to happen when all but two of c's literals have been falsified, before any of them have become true. At that point c becomes inactive and its remaining literals yield a new binary clause.

 $\langle$  Reduce all big clauses that contain tll; if any become binary, swap them out and put them on

```
bstack 71*\rangle \equiv
if (verbose \& show\_details) fprintf(stderr, "\( "O"s"O".8s\( out)\),", litname(tll));
for (o, tla = kinx[tll].addr, tls = kinx[tll].size; tls; tla++, tls--) {
        oo, c = kmem[tla], cia = cinx[c].addr, cis = cinx[c].size;
       if (o, cia + cis \equiv cinx[c-1].addr) { /* c is reduced for the first time */
               for (ua = cia, su = cis; su; ua ++, su --) {
                      o, u = cmem[ua];
                      if (u \equiv tll) au = ua;
                      else \langle \text{Record } thevar(u) \text{ as a participant } 86^* \rangle;
              if (u \neq tll) oo, cmem[ua - 1] = tll, cmem[au] = u;
       o, cinx[c].size = cis - 1;
       if (cis \equiv 3) { /* exactly two literals of c are now free */
               for (ci = cia, v = cmem[ci]; ; ci ++) {
                      o, u = cmem[ci];
                      if (isfree(u)) break;
              if (ci \neq cia) oo, cmem[cia] = u, cmem[ci] = v;
              for (ci ++; ; ci ++) \{
                      o, v = cmem[ci];
                      if (isfree(v)) break;
              if (ci \neq cia + 1) ooo, cmem[ci] = cmem[cia + 1], cmem[cia + 1] = v;
               o, bstack[bptr].u = u, bstack[bptr].v = v, bptr +++;
                if \ (verbose \ \& \ show\_details) \ fprintf \ (stderr, "\verb| \verb| \verb| | | | "O"s"O".8s->"O"s"O".8s|"O"s"O".8s \verb| | "O"s"O".8s O".8s 
                                      litname(bar(tll)), litname(u), litname(v));
               \langle \text{Swap } c \text{ out of } u \text{'s clause list } 158^* \rangle;
               u = v; (Swap c out of u's clause list 158*);
```

This code is used in section 69\*.

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**72**\* When a big clause reduces to the binary clause  $u \vee v$ , the "real" truth status of u and v is not yet known; but they might be "nearly" true or false. (In the latter case, we'll be setting them really true or false as we continue our breadth-first search in the queue on the rstack.) There are five possibilities:

- If either u or v is near-true, the binary clause is satisfied and we needn't do anything.
- $\bullet$  If both u and v are near-false, we've reached a contradiction.
- If u is near-false but v is unknown, we can make v near-true.
- If u is unknown but v is near-false, we can make u near-true.
- Otherwise u and v are both unknown, and we've deduced the clause  $u \vee v$ .

```
\langle \text{Update for a potentially new binary clause } u \lor v \ 72^* \rangle \equiv
                         /* equivalently, if (o, stamp[thevar(u)] \ge near\_truth) */
  if (isfixed(u)) {
     if (iscontrary(u)) {
                                /* u is stamped false */
       if (isfixed(v)) {
          if (iscontrary(v)) goto conflict;
        } else {
                      /* v is unknown */
          \langle Propagate binary implications of l; goto conflict if a contradiction arises 68*\rangle;
  } else {
                  /* u is unknown */
     if (isfixed(v)) {
       if (iscontrary(v)) {
          l=u;
          \langle Propagate binary implications of l; goto conflict if a contradiction arises 68*\rangle;
     } else \langle Update for a new binary clause u \vee v 73\rangle;
```

- **73.** Now we've made some definite progress, by deducing a "new" binary clause  $u \vee v$ , and we hope to capitalize on it. Three opportunities, not mutually exclusive, may present themselves at this point:
- If  $\bar{u} \vee v$  is already in our *bimp* table, we can make v near-true.
- If  $u \vee \bar{v}$  is already in our *bimp* table, we can make u near-true.
- If  $u \vee v$  is not already in our *bimp* table, we can insert it.

Furthermore, we might also know the clause  $\bar{v} \vee w$ , say, in which case the binary clause  $u \vee w$  is also true. Experience shows that such "compensation resolvents" are useful, so we add them to our *bimp* collection.

This is the part of the program where we use bstamp to mark everything that's presently implied by  $\bar{u}$ . And then we use it to mark everything that's presently implied by  $\bar{v}$ .

An attentive reader will notice that, if  $\bar{u} \vee v$  and  $u \vee \bar{v}$  are both already in bimp, we'll make u near-true and the propagation routine will take care of v.

```
\langle \text{Update for a new binary clause } u \lor v \ 73 \rangle \equiv
     \langle \text{Bump } bstamp \text{ to a unique value } 66 \rangle;
     o, lmem[bar(u)].bstamp = bstamp;
     for (o, au = bimp[bar(u)].addr, k = su = bimp[bar(u)].size; k; au ++, k--)
        oo, lmem[mem[au]].bstamp = bstamp;
     if (o, lmem[bar(v)].bstamp \equiv bstamp) {
                                                            /* we already have u \vee \bar{v} */
     fix_{-}u: l=u; (Propagate binary implications of l; goto conflict if a contradiction arises 68^*);
     } else if (o, lmem[v].bstamp \neq bstamp) { /* we don't have u \vee v */
        o, ua = bimp[bar(u)].alloc;
        \langle \text{ Make sure that } bar(u) \text{ has an } istack \text{ entry } 74 \rangle;
        \langle Add compensation resolvents from bar(u); but goto fix_u if u is forced true 76\rangle;
        \langle \text{Bump } bstamp \text{ to a unique value } 66 \rangle;
        o, lmem[bar(v)].bstamp = bstamp;
        for (o, av = bimp[bar(v)].addr, k = sv = bimp[bar(v)].size; k; av ++, k--)
           oo, lmem[mem[av]].bstamp = bstamp;
        if (o, lmem[bar(u)].bstamp \equiv bstamp) {
                                                                /* we already have \bar{u} \vee v */
        fix_v: l = v; \langle \text{Propagate binary implications of } l; \textbf{goto } conflict \text{ if a contradiction arises } 68^* \rangle;
        } else {
           o, va = bimp[bar(v)].alloc;
           \langle \text{ Make sure that } bar(v) \text{ has an } istack \text{ entry } 77 \rangle;
           \langle \text{Add compensation resolvents from } bar(v); \text{ but } \mathbf{goto} \text{ } fix_v \text{ if } v \text{ is forced true } 79 \rangle;
           if (su \equiv ua) resize (bar(u)), ua += ua, o, au = bimp[bar(u)] \cdot addr + su;
           oo, mem[au] = v, bimp[bar(u)].size = su + 1;
                                                                        /* \bar{u} implies v */
           if (sv \equiv va) resize (bar(v)), va += va, o, av = bimp[bar(v)]. addr + sv;
           oo, mem[av] = u, bimp[bar(v)].size = sv + 1; /* \bar{v} implies u */
This code is used in section 72*.
     At this point su = bimp[bar(u)].size.
\langle \text{ Make sure that } bar(u) \text{ has an } istack \text{ entry } 74 \rangle \equiv
  if (o, lmem[bar(u)].istamp \neq istamp) {
     o, lmem[bar(u)].istamp = istamp;
     o, istack[iptr].lit = bar(u), istack[iptr].size = su;
     \langle \text{Increase } iptr \ 75 \rangle;
This code is used in sections 73, 128*, and 137.
```

```
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```

```
75.
       \langle \text{Increase } iptr \ 75 \rangle \equiv
   iptr ++;
  if (iptr \equiv iptr\_max) {
      bytes += iptr * sizeof(idata);
      iptr\_max \ll = 1;
This code is used in sections 74, 77, 78, and 138.
```

At this point all implications of bar(u) are stamped with bstamp, including bar(u) itself. And since  $u \vee v$  is true, we know that v is also implied by bar(u). Therefore any literal w implied by v is a potentially new consequence of bar(u), called a "compensation resolvent." (It can be obtained by resolving  $u \vee v$  with  $\bar{v} \vee w$ .) Notice that w cannot be near-false; otherwise the propagation routine would have made v near-false, since  $v \to w$  implies  $\bar{w} \to \bar{v}$ .

We maintain the values au = bimp[bar(u)].addr + su, su = bimp[bar(u)].size, ua = bimp[bar(u)].alloc.

```
\langle Add compensation resolvents from bar(u); but goto fix_u if u is forced true 76\rangle \equiv
  for (o, la = bimp[v].addr, ls = bimp[v].size; ls; la++, ls--) {
     o, w = mem[la];
     if (\neg isfixed(w)) {
                                                                       /* \bar{u} implies w and \bar{w} */
        if (o, lmem[bar(w)].bstamp \equiv bstamp) goto fix_u;
        if (o, lmem[w].bstamp \neq bstamp) {
                                                     /* u \vee w \text{ is new } */
          if (verbose & show_details)
             fprintf(stderr, "_{\sqcup\sqcup\sqcup} -> "O"s"O".8s|"O"s"O".8s \n", litname(u), litname(w));
          if (su \equiv ua) resize (bar(u)), ua += ua, o, au = bimp[bar(u)]. addr + su;
                                                                       /* \bar{u} implies w */
          oo, mem[au++] = w, bimp[bar(u)].size = ++su;
          o, aw = bimp[bar(w)].addr, sw = bimp[bar(w)].size;
          \langle \text{ Make sure that } bar(w) \text{ has an } istack \text{ entry } 78 \rangle;
          if (o, sw \equiv bimp[bar(w)].alloc) resize (bar(w)), o, aw = bimp[bar(w)].addr;
          o, bimp[bar(w)].size = sw + 1;
          o, mem[aw + sw] = u; /* \bar{w} implies u */
This code is used in section 73.
    At this point sv = bimp[bar(v)].size; we do for v as we did for u.
\langle \text{ Make sure that } bar(v) \text{ has an } istack \text{ entry } 77 \rangle \equiv
  if (o, lmem[bar(v)].istamp \neq istamp) {
     o, lmem[bar(v)].istamp = istamp;
     o, istack[iptr].lit = bar(v), istack[iptr].size = sv;
     \langle \text{Increase } iptr \ 75 \rangle;
This code is used in section 73.
78. Here sw = bimp[bar(w)].size.
```

```
\langle \text{ Make sure that } bar(w) \text{ has an } istack \text{ entry } 78 \rangle \equiv
  if (o, lmem[bar(w)].istamp \neq istamp) {
      o, lmem[bar(w)].istamp = istamp;
      o, istack[iptr].lit = bar(w), istack[iptr].size = sw;
      \langle \text{Increase } iptr \ 75 \rangle;
   }
```

This code is used in sections 76 and 79.

79. This is the kind of program that cannot be written well when loud music is playing.

```
\langle Add compensation resolvents from bar(v); but goto fix_v if v is forced true 79\rangle \equiv
  for (o, la = bimp[u].addr, ls = bimp[u].size; ls; la++, ls--) {
     o, w = mem[la];
    if (\neg isfixed(w)) {
       if (o, lmem[bar(w)].bstamp \equiv bstamp) goto fix_v;
                                                                  /* \bar{v} implies w and \bar{w} */
       if (o, lmem[w].bstamp \neq bstamp) { /* v \lor w is new */
         if (verbose & show_details)
            fprintf(stderr, "_{$\sqcup\sqcup\sqcup$}->"O"s"O".8s|"O"s"O".8s|n", litname(v), litname(w));
         if (sv \equiv va) resize (bar(v)), va += va, o, av = bimp[bar(v)]. addr + sv;
                                                                 /* \bar{v} \text{ implies } w */
          oo, mem[av ++] = w, bimp[bar(v)].size = ++sv;
         o, aw = bimp[bar(w)].addr, sw = bimp[bar(w)].size;
          \langle \text{ Make sure that } bar(w) \text{ has an } istack \text{ entry } 78 \rangle;
         if (o, sw \equiv bimp[bar(w)].alloc) resize (bar(w)), o, aw = bimp[bar(w)].addr;
         o, bimp[bar(w)].size = sw + 1;
         [aw + sw] = v; /* \bar{w} implies v */
    }
```

This code is used in section 73.

SAT11K

**80. Downdating the data structures.** When a contradiction arises, backtracking becomes necessary: Everything that went up must come down.

Fortunately the task of undoing isn't too tough. The *istack* contains all the information needed to discard any binary implications that no longer hold; and the *rstack* records every literal that has been made nearly or really true.

Let's look at the *istack* entries first, because they're so easy. The code almost writes itself.

```
 \langle \mbox{ Discard binary implications at the current level } 80 \rangle \equiv \\ \mbox{ if } (o, nstack[level].branch \geq 0) \ \{ \\ \mbox{ for } (o, j = nstack[level].iptr; iptr > j; iptr --) \ \{ \\ \mbox{ } o, l = istack[iptr - 1].lit, sl = istack[iptr - 1].size; \\ \mbox{ } o, bimp[l].size = sl; \\ \mbox{ } \} \\ \mbox{ } \}
```

This code is used in section 84.

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81. The rstack entries come in two parts, one easy and the other a bit tricky. The literals on rstack[j] for  $fptr \leq j < eptr$  are the nice guys; they've become nearly true, but we haven't updated any serious consequences of that near-truth. Thus we merely need to unset those tentative assignments.

```
\langle Unset the nearly true literals 81 \rangle \equiv for (j = fptr; j < eptr; j++) oo, stamp[thevar(rstack[j])] = 0; This code is used in section 84.
```

82\* The literals on rstack[j] for  $rptr \leq j < fptr$  have become really true, and the ripple effects of those settings require more attention. Of principal importance is the fact that the big clauses in which those literals or their complements appear may have become inactive, in which case they've been swapped to the "invisible" part of the relevant kinx lists.

There's good news here: We don't need to unswap any of the kinx entries while we're backtracking! The order of those entries isn't important; only the state, active versus inactive, matters. The active entries are those that appear among the first size entries, beginning at addr. The inactive ones follow, in precisely the order in which they were swapped out, because a pair never participates in swaps after it has become inactive. Therefore we can reactivate the most-recently-swapped-out item in any particular list by simply increasing size by 1.

Two or more literals of the same clause may have all become really true or really false. We can be sure that the hocus pocus in the preceding paragraph works correctly if we are careful to do the virtual unswapping in precisely the reverse order from which we've done the swapping.

Similar reasoning applies to the list of free variables. When a literal left that list, we moved it from wherever it was in the early part of that list, by swapping it with the last currently free item, and then we decreased *freevars* by 1. To undo this operation, we simply increase *freevars* by 1. (The ordering isn't actually as critical here; it would suffice to change *freevars* once and for all by setting it to the value it had at the beginning of the node. But any savings in running time would be negligible.)

```
 \begin{array}{l} \langle \text{ Unset the really true literals } 82^* \rangle \equiv \\ \textbf{for } (j = fptr - 1; \ j \geq rptr; \ j - -) \ \big\{ \\ \hspace{0.5cm} /* \ \text{decreasing order is important } */\\ \hspace{0.5cm} o, ll = rstack [j]; \\ \hspace{0.5cm} tll = bar(ll); \\ \hspace{0.5cm} \langle \text{ Unreduce all big clauses that contain } tll; \ \text{if they had become binary, swap them back in } 83^* \rangle; \\ \hspace{0.5cm} \langle \text{ Swap in all big clauses that contain } ll \ 159^* \rangle; \\ \hspace{0.5cm} freevars + +; \\ \hspace{0.5cm} o, stamp [thevar(ll)] = 0; \\ \hspace{0.5cm} \big\} \\ \end{array}  This code is used in section 84.
```

```
\langle Unreduce all big clauses that contain tll; if they had become binary, swap them back in 83*\rangle
  if (verbose \& show\_details) fprintf(stderr, "u("O"s"O".8suin)\n", litname(tll));
  for (o, tls = kinx[tll].size, tla = kinx[tll].addr + tls - 1; tls; tla - -, tls - -) {
     o, c = kmem[tla];
     o, cia = cinx[c].addr, cis = cinx[c].size + 1;
     o, cinx[c].size = cis;
     if (cis \equiv 3) {
       o, u = cmem[cia]; \langle \text{Swap } c \text{ back in to } u's clause list 160^* \rangle;
       o, u = cmem[cia + 1]; \langle \text{Swap } c \text{ back in to } u \text{'s clause list } 160^* \rangle;
  }
This code is used in section 82*.
84. \langle Recover from conflicts 84 \rangle \equiv
dl\_contra: (Recover from a double lookahead contradiction 148);
contra: (Recover from a lookahead contradiction 130*);
  goto look_bad;
                        /* a conflict has arisen during lookahead */
conflict: (Unset the nearly true literals 81);
backtrack: (Unset the really true literals 82*);
  ⟨ Discard binary implications at the current level 80⟩;
  if (o, nstack[level].branch \equiv 0) (Move to branch 1 85);
look_bad: if (level) {
     level--;
     if (level < 31) prefix &= -(1 \ll (31 - level));
                                                              /* see below */
     fptr = rptr;
     o, rptr = nstack[level].rptr;
     goto backtrack;
unsat: if (1)  {
     printf("~\n");
                          /* the formula was unsatisfiable */
     if (verbose & show_basics) fprintf(stderr, "UNSAT\n");
  } else {
  satisfied: if (verbose & show_basics) fprintf(stderr, "!SAT!\n");
     \langle \text{ Print the solution found } 153 \rangle;
This code is used in section 152*.
```

85. A binary string is implicitly associated with every node of the search tree: At level 0, before we've done any branching at all, the string is empty. Branch 0 of every node appends 0 to the parent string, and branch 1 appends 1. The length of the string is therefore *level*. We also maintain the first 32 bits of the current string in the global variable *prefix*, left-justified within a 32-bit word. (This prefix is used to help guide locality of search, by identifying "participants" as explained in the preselection algorithm below.)

**86**.\* A variable x is said to "participate" at a branch node if it occurs in one of the nonbinary clauses that is produced in that node or in one of that node's ancestors. If x has already become a participant, the string specified by vmem[x].pfx and vmem[x].len will be a prefix of the current string.

In this step we update the pfx and lev fields of variables that are participating in the current activity. Notice that this information does not need to be changed when backtracking.

```
(At levels above 31 this program accepts cousins as well as ancestors.)
```

```
 \langle \operatorname{Record} \ thevar(u) \text{ as a participant } 86^* \rangle \equiv \\ \{ \\ x = thevar(u); \\ o, p = vmem[x].pfx, q = vmem[x].len; \\ \text{if } (q < plevel) \ \{ \\ t = prefix; \\ \text{if } (q < 32) \ t \ \& = -(1_{\mathrm{LL}} \ll (32 - q)); \ /* \ \text{zero out irrelevant bits } */ \\ \text{if } (p \neq t) \ o, vmem[x].pfx = prefix, vmem[x].len = plevel; \\ \} \ \text{else } o, vmem[x].pfx = prefix, vmem[x].len = plevel; \\ \}
```

This code is used in section 71\*.

§87 SAT11K PRESELECTION 43

87. Preselection. The main purpose of lookahead is to choose the best free variable on which to branch. Of course we have limited foreknowledge, so we must make guesses. And we don't have time to explore *every* variable that remains free, except in trivial ways, unless we're near the root of the search tree.

So we begin the lookahead task by identifying a set of candidate variables that appear to be the most promising among all those that are currently free. That's called *preselection*.

```
⟨ Do the prelookahead 87⟩ ≡
if (freevars ≡ 0) goto satisfied;
⟨ Preselect a set of candidate variables for lookahead 97*⟩;
⟨ Determine the strong components; goto look_bad if there's a contradiction 104⟩;
⟨ Construct a suitable forest 117⟩;
This code is used in section 123*.
```

88. The candidates are collected and identified in an array cand, whose entries have two fields, var and rating.

```
\langle \text{Type definitions 5} \rangle + \equiv
  typedef struct cdata_struct {
                      /* the variable that's a candidate */
     uint var:
                          /* its estimated importance */
     float rating;
  } cdata;
89. \langle Global variables 3^* \rangle + \equiv
                        /* list of candidates for lookahead */
  cdata * cand;
  int cands:
                    /* the number of candidates in cand */
                     /* accumulator for computing the ratings */
  float sum;
  int no_newbies;
                           /* are candidates restricted to participants? */
                        /* estimates of how useful each variable will be for branching */
  \mathbf{float} * rating;
                      /* first 32 bits of the current prefix string */
  uint prefix;
  int plevel;
                    /* length of the current prefix string */
  int maxcand:
                        /* the maximum number of candidates desired at the current node */
90. \langle Allocate special arrays 58 \rangle + \equiv
  cand = (\mathbf{cdata} *) \ malloc(vars * \mathbf{sizeof}(\mathbf{cdata}));
  if (\neg cand) {
     fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} cand_{\sqcup} array! \n");
     exit(-10):
  bytes += vars * sizeof(cdata);
  rating = (\mathbf{float} *) \ malloc((vars + 1) * \mathbf{sizeof}(\mathbf{float}));
  if (\neg rating) {
     fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} rating_{\sqcup} array! \n");
     exit(-10);
  bytes += (vars + 1) * sizeof(float);
```

91\* The first stage of preselection does examine all the free variables, in order to get enough data to choose the candidates. Thus it constitutes one of the inner loops for which we hope to do everything rapidly. The general idea is to compute a heuristic score h(l) for each free literal l, which estimates the relative amount by which asserting l will reduce the current problem.

44 PRESELECTION SAT11K §92

92.\* An elaborate method is used in SAT11 for the case when all big clauses are ternary. But in the general k-ary case we will content ourselves with a very simple formula:

$$h(l) = \alpha + s(l) + \sum_{l \to l'} s(l'),$$

where s(l) is the number of occurrences of  $\bar{l}$  in big clauses that are currently active. This quantity h(l) estimates the potential number of big-clause reductions that occur when l becomes true. The default value  $\alpha = 0.001$  is recommended, but of course other magic values can be tried by using the command-line parameter 'a'.

```
93* \langle Compute sum, the score of l 93* \rangle \equiv {
    ullng acc;    /* an accumulator */
    o, acc = kinx[bar(l)].size;
    for (o, la = bimp[l].addr, ls = bimp[l].size; ls; la++, ls--) {
        o, u = mem[la];
        if (isfree(u)) acc += kinx[bar(u)].size;
    }
    sum = alpha + (\mathbf{float}) acc;
}
This code is used in section 94*.
```

**94.\*** We don't actually need the individual scores h(l) for each free literal l: Only the product  $h(l)h(\bar{l})$  is used, as our rating for each free variable x.

```
\langle \text{ Compute } rating[x] | 94* \rangle \equiv
   {
      float s;
      l = poslit(x);
      \langle \text{ Compute } sum, \text{ the score of } l \text{ 93*} \rangle;
      s = sum;
     l++;
      \langle \text{ Compute } sum, \text{ the score of } l \text{ 93*} \rangle;
      rating[x] = s * sum;
      if (verbose \& show\_scores) fprintf(stderr, "("O".8s: \_pos_ \_"O".2f_ \_neg_ \_"O".2f_ \_r="O".4g) \n",
               vmem[x].name.ch8, s, sum, s * sum);
   }
This code is used in section 95*.
95* \langle Put the ratings in rating 95* \rangle \equiv
   for (k = 0; k < freevars; k++) {
      o, x = freevar[k];
      \langle \text{ Compute } rating[x] 94* \rangle;
This code is used in section 97*.
```

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96.\* The maximum number of candidates permitted, in this implementation, depends on the current level rather than on the number of variables or clauses in the problem: We calculate maxcand = the maximum of levelcand/level and mincutoff, where levelcand = 600 and mincutoff = 30 by default. (At level 0, for example, maxcand is infinite; at level 5 it is 120; at levels 20 or more it is 30.) Then, while  $cands \geq 2 * maxcand$ , we repeatedly remove all candidates whose rating is less than the mean; quite a few really weak candidates might therefore go away if a few strong ones dominate. Finally, if maxcand < cands < 2 \* maxcand, we eliminate the cands - maxcand candidates with smallest ratings.

That policy might seem peculiar, but it reflects the reality of combinatorial search problems: If the problem is easy, we don't care if we solve it in 2 seconds or .00002 seconds. On the other hand if the problem is so difficult that it can only be solved by looking ahead more than we can accomplish in a reasonable time, we might as well face the fact that we won't solve it anyway. (There's no point in looking ahead at 60 variables at depth 60, because we won't be able to deal with more than 2<sup>50</sup> or so nodes in any reasonable search tree.)

```
97* \langle Preselect a set of candidate variables for lookahead 97* \rangle \equiv \langle Put the ratings in rating 95* \rangle; 
maxcand = (level \equiv 0 ? freevars : levelcand/level); 
if (maxcand < mincutoff) maxcand = mincutoff; 
\langle Put all free participants into the initial list of candidates 98 \rangle; 
\langle Pare down the candidates to at most maxcand 101 \rangle; 
This code is used in section 87.
```

**98.** The next stage in this winnowing-down process tries to avoid any variable that hasn't participated in a ternary clause that has been reduced; otherwise we might find ourselves trying to solve several independent problems at the same time. In order to weed out "newbies" (nonparticipants), we allow x to be a candidate only if vmem[x].pfx and vmem[x].len specify a string that's a prefix of the current node's string. (However, we rescind this restriction if it gives us no candidates. For example, at level 0 there are no participants, because we haven't reduced any clauses.)

If the V option is being used, to distinguish "primary" variables, we consider a nonprimary variable to be a nonparticipant (so that it will not normally become a candidate).

```
\langle \text{Put all free participants into the initial list of candidates 98} \rangle \equiv
  no\_newbies = (plevel > 0);
init_cand: for (cands = k = 0, sum = 0.0; k < freevars; k++) {
     o, x = freevar[k];
                          /* erase all former assignments */
     o, stamp[x] = 0;
     if (no_newbies) {
       if (x > primary\_vars) continue;
       o, t = vmem[x].pfx, l = vmem[x].len;
       if (l \equiv plevel) {
         if (t \neq prefix) continue;
                                          /* not a participant */
       } else if (l > plevel) continue;
       else if (t \neq (l < 32 ? prefix \& -(uint)(1_{LL} \ll (32 - l)) : prefix)) continue;
     oo, cand[cands].var = x, cand[cands].rating = rating[x];
     cands +++, sum += rating[x];
  if (cands \equiv 0) {
     (If all clauses are satisfied, goto satisfied 99);
     no\_newbies = 0;
     goto init_cand;
                          /* if there are no participants, accept all comers */
This code is used in section 97*.
```

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```
99.
     \langle If all clauses are satisfied, goto satisfied 99\rangle \equiv
  for (j = 0; j < freevars; j \leftrightarrow) {
     o, x = freevar[j];
     l = poslit(x);
     \langle \text{ If } l \text{ implies any unsatisfied clauses, } \mathbf{goto} \ nogood \ 100^* \rangle;
     \langle \text{ If } l \text{ implies any unsatisfied clauses, goto } nogood 100* \rangle;
  goto satisfied;
nogood:
This code is used in section 98.
100* (If l implies any unsatisfied clauses, goto nogood 100^*) \equiv
  if (o, kinx[bar(l)].size) goto nogood;
                                                /* all active kinxs are unsatisfied */
  for (o, la = bimp[l].addr, ls = bimp[l].size; ls; la++, ls--) {
     o, u = mem[la];
     if (o, stamp[thevar(u)] \neq real\_truth + (u \& 1)) goto nogood;
This code is used in section 99.
101. At this point we've got cands candidates in the cand array, and sum is the sum of their ratings. The
next task is to eliminate low-rated candidates, if we have too many to handle.
\langle \text{ Pare down the candidates to at most } maxcand | 101 \rangle \equiv
  for (k = 1; cands \ge 2 * maxcand \land k;)
     register float mean = 0.9999 * sum/(double) cands;
     for (j = k = 0, sum = 0.0; j < cands;)
       if (o, cand[j].rating > mean) sum += cand[j].rating, j++;
       else oo, k = 1, cand[j] = cand[--cands];
                                                        /* don't advance j, discard a loser */
     }
  if (cands > maxcand) \( Select the maxcand best-rated candidates 102 \);
  if (cands \equiv 0) confusion("cands");
This code is used in section 97*.
```

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**102.** Here we make the *cand* array into a heap, with low-rated elements in the lowest positions. Then we delete the ones we don't want. (See Algorithm 5.2.3H. The heap condition is

```
cand[i].rating \leq cand[2*i+1].rating
                                                                 and
                                                                            cand[i].rating \leq cand[2*i+2].rating
whenever the subscripts are nonnegative and less than cands.)
\langle Select the maxcand best-rated candidates 102 \rangle \equiv
      j = cands \gg 1;
                              /* the heap condition holds for i \geq j */
     while (j > 0) {
        j--;
        \langle \operatorname{Sift} \ cand[j] \ \operatorname{up} \ 103 \rangle;
      while (1) {
        oo, cand[0] = cand[--cands];
                                                   /* discard a loser */
        if (cands \equiv maxcand) break;
         \langle \operatorname{Sift} \ cand[j] \ \operatorname{up} \ 103 \rangle;
   }
This code is used in section 101.
103. \langle \text{Sift } cand[j] \text{ up } 103 \rangle \equiv
   {
      register float r;
      cdata c;
      o, c = cand[j], r = c.rating;
      for (i = j, jj = (j \ll 1) + 1; jj < cands; i = jj, jj = (jj \ll 1) + 1) {
        if (jj + 1 < cands \land (o, cand[jj + 1].rating < cand[jj].rating)) jj \leftrightarrow ;
        if (o, r \leq cand[jj].rating) break;
        o, cand[i] = cand[jj];
     if (i > j) o, cand[i] = c;
This code is used in section 102.
```

48 STRONG COMPONENTS SAT11K §104

104. Strong components. If the binary implication graph has a nontrivial strong component, all literals in that component are locked together: Any one of their values determines all the rest. Therefore we don't want to bother looking ahead on two variables that have literals in the same strong component.

Robert Tarjan has devised a beautiful algorithm that finds the strong components very efficiently [SIAM Journal on Computing 1 (1972), 146–160]; and his algorithm also produces a topological sort on the representatives of those components, as an extra bonus. We are going to want the preselected candidates to be topologically sorted, because that will speed up the lookaheads that we'll be doing. Therefore Tarjan's algorithm is a perfect fit for our present situation.

Note: We are going to restrict ourselves to direct implications between candidates, instead of considering indirect chains of implications  $l_0 \to l_1 \to \cdots \to l_k$  with k > 1, where  $l_0$  and  $l_k$  are candidates but the intermediate literals  $l_1, \ldots, l_{k-1}$  are not. The efficiency of Tarjan's algorithm suggests that we could consider the full digraph instead of its restriction to candidates only, perhaps before deciding on the list of candidates. However, cases in which indirect implications provide significant information appear to be rare. (At least, the author has yet to see a single instance where two chosen candidates, in the most time-consuming parts of a search tree, are implicitly linked without also being explicitly linked.) It seems that the variables chosen to be candidates almost never have important non-candidate neighbors.

The following implementation of Tarjan's algorithm follows the steps that appear on pages 513–519 of *The Stanford GraphBase*. The reader is referred to that book, which explains the procedure in terms of an explorer who searches the rooms of a cave, for full details and proofs of correctness.

The algorithm uses five integer fields in each literal's *lmem* record:

rank is initially 0, then positive, finally  $\infty$ , when l is respectively unseen, then active, finally settled.

parent points to a lower-ranked literal in the current oriented tree of active literals (or to 0 at the root), when l is active; it points to the component representative when l is settled.

untagged tells how many of l's successors haven't been explored.

link is a link in the stack of active vertices or the stack of settled vertices.

min is Tarjan's brilliant invention that makes everything work fast.

We add also a sixth field, vcomp, which is a component member of maximum rating.

Our instrumentation counts *mems* by assuming that *rank* and *link* are accessed simultaneously as an octabyte, as are *untagged* and *min*, *parent* and *vcomp*.

```
⟨ Determine the strong components; goto look_bad if there's a contradiction 104⟩ ≡
⟨ Make all vertices unseen and all arcs untagged 106⟩;
for (i = 0; i < cands; i++) {
    o, l = poslit(cand[i].var);
    check_rank: if (o, lmem[l].rank ≡ 0) ⟨ Perform a depth-first search with l as root, finding the strong
        components of all vertices reachable from l 112⟩;
    if ((l & 1) ≡ 0) {
        l++; goto check_rank;
    }
    }
    if (verbose & show_strong_comps) ⟨ Print the strong components 105⟩;</pre>
This code is used in section 87.
```

```
105.
        \langle \text{ Print the strong components } 105 \rangle \equiv
  {
     fprintf(stderr, "Strong_components: \n");
     for (l = settled; l; l = lmem[l].link) {
       fprintf(stderr, " \sqcup "O"s"O".8s \sqcup ", litname(l));
       if (lmem[l].parent \neq l) fprintf(stderr, "with_{\square}"O"s"O".8s\n", litname(lmem[l].parent));
       else {
          if (lmem[l].vcomp \neq l) fprintf(stderr, "->_{\sqcup}"O"s"O".8s_{\sqcup}", litname(lmem[l].vcomp));
          fprintf(stderr, ""O".4g\n", rating[thevar(lmem[l].vcomp)]);
       }
     }
```

This code is used in section 104.

106. Candidates are marked with *bstamp* here so that they can be distinguished from non-candidates. Then we make a new copy of the bimp data, abbreviating it so that only the candidates are listed.

An arbitrary upper bound is placed on the total number of arcs in this reduced digraph, because perfect accuracy is not important at this stage. The default limit, max\_prelook\_arcs = 10000, can be changed if desired. Care is needed when we stick to such a limit, because we want the arc  $u \to v$  to be present if and only if its dual  $\bar{v} \to \bar{u}$  is also present.

```
\langle Make all vertices unseen and all arcs untagged 106\rangle \equiv
  \langle \text{Bump } bstamp \text{ to a unique value } 66 \rangle;
  for (i = 0; i < cands; i++) {
     o, l = poslit(cand[i].var);
     oo, lmem[l].rank = 0, lmem[l].arcs = -1, lmem[l].bstamp = bstamp;
     oo, lmem[l+1].rank = 0, lmem[l+1].arcs = -1, lmem[l+1].bstamp = bstamp;
  \langle \text{Copy all the relevant arcs to } cand\_arc 110 \rangle;
  for (i = 0; i < cands; i++) {
     o, l = poslit(cand[i].var);
     oo, lmem[l].untagged = lmem[l].arcs;
     oo, lmem[l+1].untagged = lmem[l+1].arcs;
              /* this is the number of vertices "seen" by Tarjan's algorithm */
  active = settled = 0;
                              /* the active and settled stacks are empty */
This code is used in section 104.
107. \langle \text{Type definitions 5} \rangle + \equiv
  typedef struct arc_struct {
                    /* the implied literal */
     uint tip;
                    /* next arc from the implier literal, or -1 */
     int next;
  } arc;
108. \langle \text{Global variables } 3^* \rangle + \equiv
                       /* the arcs in a reduced digraph */
  \mathbf{arc} * cand\_arc;
  int cand_arc_alloc;
                            /* how many arc slots have we used so far? */
  int active;
                   /* top of the linked stack of active vertices */
                   /* top of the linked stack of settled vertices */
  int settled;
```

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```
The number of bytes used will be adjusted dynamically.
\langle Allocate special arrays 58\rangle + \equiv
  max\_prelook\_arcs \&= -2;
                                     /* make sure max_prelook_arcs is even */
  cand\_arc = (\mathbf{arc} *) \ malloc(max\_prelook\_arcs * \mathbf{sizeof}(\mathbf{arc}));
  if (\neg cand\_arc) {
     fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} cand_{arc_{\sqcup} array! \n"});
     exit(-10);
110. (Copy all the relevant arcs to cand\_arc_{110}) \equiv
  for (j = i = 0; i < cands; i++) {
     o, l = poslit(cand[i].var);
     \langle \text{ Copy the arcs from } l \text{ into the } cand\_arc \text{ array } 111 \rangle;
     \langle \text{Copy the arcs from } l \text{ into the } cand\_arc \text{ array } 111 \rangle;
  }
                                          /* we've copied more arcs than ever before */
arcs\_done: if (j > cand\_arc\_alloc)
     bytes += (j - cand\_arc\_alloc) * sizeof(arc), cand\_arc\_alloc = j;
This code is used in section 106.
111. Beware: We reverse the ordering here, placing an arc u \to v into cand_arc when there's an implication
v \to u in the bimp table. This switcheroo will produce strong components in a more desirable order.
\langle \text{Copy the arcs from } l \text{ into the } cand\_arc \text{ array } 111 \rangle \equiv
  for (oo, la = bimp[l].addr, ls = bimp[l].size, p = lmem[bar(l)].arcs; ls; la ++, ls --) {
     o, u = mem[la];
     if (u < l) continue;
                                  /* we enter arcs in pairs, only when l < u */
     if (o, lmem[u].bstamp \neq bstamp) continue; /* not a candidate */
          /* now l \to u is an implication, and u > l */
     o, cand\_arc[j].tip = bar(u), cand\_arc[j].next = p, p = j; /* make arc \bar{l} \to \bar{u} */
     oo, cand\_arc[j+1].tip = l, cand\_arc[j+1].next = lmem[u].arcs;
                                            /* make arc u \to l */
     o, lmem[u]. arcs = j + 1, j += 2;
     if (j \equiv max\_prelook\_arcs) {
       if (verbose & show_details)
          fprintf(stderr, "prelook_arcs_cut_loff_lat_l"O"d;_lsee_loption_lz\n", max_prelook_arcs);
       o, lmem[bar(l)].arcs = lmem[bar(l)].untagged = p;
       goto arcs_done;
  o, lmem[bar(l)].arcs = lmem[bar(l)].untagged = p;
This code is used in section 110.
        \langle Perform a depth-first search with l as root, finding the strong components of all vertices reachable
       from l \mid 112 \rangle \equiv
     v = l:
     o, lmem[l].parent = 0;
     \langle \text{ Make vertex } v \text{ active } 113 \rangle;
     do (Explore one step from the current vertex v, possibly moving to another current vertex and calling
          it v 114 \rangle while (v > 0);
This code is used in section 104.
```

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```
113. \langle \text{Make vertex } v \text{ active } 113 \rangle \equiv o, lmem[v].rank = ++k;
lmem[v].link = active, active = v;
o, lmem[v].min = v;
This code is used in sections 112 and 114.
```

This code is used in section 112.

114. Minor point: No mem is charged for setting lmem[v].min = u here, because lmem[v].untagged could have been set at the same time.

```
\langle Explore one step from the current vertex v, possibly moving to another current vertex and calling
      it v \mid 114 \rangle \equiv
    o, vv = lmem[v].untagged, ll = lmem[v].min;
    if (vv \ge 0) { /* still more to explore from v */
      o, u = cand\_arc[vv].tip, vv = cand\_arc[vv].next;
       o, lmem[v].untagged = vv;
       o, j = lmem[u].rank;
       if (j) {
                  /* we've seen u already */
         if (o, j < lmem[ll].rank) lmem[v].min = u;
                                                        /* nontree arc, just update v's min */
       } else { /* u is newly seen */
         lmem[u].parent = v; /* a new tree arc goes v \to u */
                  /* u will now be the current vertex */
         \langle \text{ Make vertex } v \text{ active } 113 \rangle;
       }
    } else { /* v becomes mature */
      o, u = lmem[v].parent;
      if (v \equiv ll) (Remove v and all its successors on the active stack from the tree, and mark them as a
             strong component of the digraph 115 \
                  /* the arc u \to v has matured, making v's min visible from u */
         if (ooo, lmem[ll].rank < lmem[lmem[u].min].rank) o, lmem[u].min = ll;
                 /* the former parent of v becomes the new current vertex v */
      v = u;
    }
```

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115. When v is the representative of a strong component, all vertices of that component henceforth regard v as their parent.

If v represents the strong component of u and if w represents the strong component of bar(u), we won't always have w = bar(v). But we take pains to ensure that lmem[v].vcomp = bar(lmem[w].vcomp).

```
#define infty badlit
```

```
\langle Remove v and all its successors on the active stack from the tree, and mark them as a strong component
      of the digraph 115 \rangle \equiv
    float r, rr;
    t = active;
    o, r = rating[thevar(v)], w = v;
    o, active = lmem[v].link;
    o, lmem[v].rank = infty;
                                 /* settle v */
    lmem[v].link = settled, settled = t;
                                           /* move the component from active to settled */
    while (t \neq v) {
      if (t \equiv bar(v)) {
                            /* component contains complementary literals */
         if (verbose & show_gory_details) fprintf(stderr, "the⊔binaryuclausesuare⊔inconsistent\n");
         goto look_bad;
      }
      o, lmem[t].rank = infty;
                                   /* now t is settled */
      o, lmem[t].parent = v;
                                 /* and its strong component is represented by v */
      o, rr = rating[thevar(t)];
      if (rr > r) r = rr, w = t;
      o, t = lmem[t].link;
    o, lmem[v].parent = v, lmem[v].vcomp = w; /* v represents itself */
    if (o, lmem[bar(v)].rank \equiv infty) oo, lmem[v].vcomp = bar(lmem[lmem[bar(v)].parent].vcomp);
```

This code is used in section 114.

§116 SAT11K THE LOOKAHEAD FOREST 53

116. The lookahead forest. Now we come to what is probably the nicest part of this whole program, an elegant mechanism by which much of the potential lookahead computation is avoided.

Suppose we've decided to look ahead on the consequences of literals  $l_1, l_2, \ldots, l_n$ , in that order. The current binary implications tell us that, if  $l_j$  is true, then also  $l_i$  must be true for certain i. If i < j, we've already deduced the consequences of  $l_i$ , so we prefer not to do that again. On the other hand  $l_j$  probably doesn't imply all of  $l_1, \ldots, l_{i-1}$ ; so we want to be selective, to reuse only part of the information that we've already discovered.

The stamping principle provides a way to do that. Suppose  $p_1p_2...p_n$  is a permutation of  $\{1,...,n\}$ , and suppose we stamp true/false values at level  $p_j$  when we are looking at consequences of  $l_j$ . Then, when  $l_j$  is current, the value of a literal will be considered unknown if its stamp is less than  $p_j$ , but it will be implied by  $l_j$  if it has been deduced by any of the previous literals  $l_i$  with i < j and  $p_i > p_j$ .

If, for example, n=4 and  $p_1p_2p_3p_4=3142$ , then  $l_2$  can assume all consequences of  $l_1$  (because  $p_1>p_2$ ); and  $l_4$  can assume all of the consequences of  $l_1$  and  $l_3$ , but not  $l_2$  (because  $p_1>p_4$  and  $p_3>p_4$  but  $p_2< p_4$ ). This permutation captures the shortcuts that are legitimate when we have the implications  $l_2\to l_1$ ,  $l_4\to l_1$ , and  $l_4\to l_3$ .

A set of implications that can be defined by a permutation in this way is called a "permutation poset." When I first noticed this connection between permutation posets and stamping, I excitedly thought, "Aha! Permutation posets are ideal for lookahead in a SAT solver." Unfortunately, however, I soon learned that lookahead is much more subtle than I'd realized, and I was compelled to abandon that optimistic sentiment; my current thinking is, "Alas! Only a few permutation posets will work well for lookahead in a SAT solver."

The example above, which is based on the notorious pi-mutation 3142, illustrates the problem if we examine it closely: When literal  $l_3$  is processed, we don't want occurrences of  $\bar{l}_1$  to be removed from the current clauses, because  $l_3$  doesn't imply  $l_1$ . But when  $l_4$  is processed, we do want  $\bar{l}_1$  to be suppressed, as well as  $\bar{l}_3$ , because  $l_4 \to l_1$  and  $l_4 \to l_3$ .

On the other hand the permutation  $4\,1\,3\,2$  does lead to a good scenario. It corresponds to the dependencies  $l_2 \to l_1$ ,  $l_3 \to l_1$ ,  $l_4 \to l_3$  (hence also  $l_4 \to l_1$ ). Now  $l_3$  can assume the consequences of  $l_1$  (but not  $l_2$ ), and we can remove  $\bar{l}_1$  from the clauses when we work on  $l_3$ . Again  $l_4$  can assume the consequences of  $l_1$  and  $l_3$  (but not  $l_2$ ); and this time it's convenient to remove  $\bar{l}_3$  from the clauses that have already been purged of  $\bar{l}_1$ . The point is that the purging of negative literals has the same implicit recursive structure as the visibility of stamps.

The permutations that work properly are those that don't contain a substring a b c with c < a < b (like the substring 3 4 2 in 3 1 4 2). And such permutations are well known: They are the so-called *stack permutations*. [See *The Art of Computer Programming*, exercise 2.2.1–5. Actually our permutations are the reverses or the inverses of the stack permutations described there.] Moreover, they correspond precisely to dependencies that form an oriented forest, and the correspondence is also well known and quite nice: "If u and v are nodes of a forest, u is a proper ancestor of v if and only if u precedes v in preorder and u follows v in postorder" [TAOCP exercise 2.3.2–20].

In general we've chosen candidate literals with certain known dependencies. We would like to find an oriented forest, contained within those dependencies, having as many arcs as possible.

The task of finding the largest oriented forest contained in a given partially ordered set is probably NP-complete. But two things make our task feasible in practice. First, the number of variables for which we need to study dependencies is not very large, during the bulk of the calculations; it's at most a few dozen, except at shallow depth. Second, the dependencies aren't usually extensive; at most ten or so variables are in any connected component of the typical digraphs that arise. So we need only come up with a decent way to handle small examples. It doesn't matter if our subforests are crude in unusual cases.

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117. When the program below begins its work, we will have reduced the strong components of the candidates' digraph and placed the component representatives into topological order. That order isn't necessarily the one we seek for the oriented forest, but it facilitates the computations we need to do. We use it to rank the literals in yet another way, this time by "height," namely by the length of a longest path from a source vertex. Then every literal u of height h > 0 has a predecessor vertex v of height h - 1. We will use the oriented forest that is defined by those predecessor links—using the fact that  $v \to u$  is an implication in bimp[v] when u has an arc to v in the  $cand\_arc$  digraph.

```
\langle Construct a suitable forest 117\rangle \equiv
\langle Find the heights and the child/sibling links 118\rangle;
\langle Construct the look table 122\rangle;
This code is used in section 87.
```

118. If u represents a strong component we will change lmem[u]. untagged to a height value; and we'll also make lmem[u]. min point to child of u in the forest being constructed. Those fields are therefore renamed height and child, to reflect their new function. The link fields will also acquire a new significance, although we'll keep calling them link: They will point to siblings in the forest, namely to vertices with the same parent.

The dummy literal 1 will play the role of a global root, whose children are all of the source vertices (the vertices of height 0).

```
#define height untagged
#define child min
\#define root 1
\langle Find the heights and the child/sibling links 118\rangle \equiv
  o, lmem[root].child = 0, lmem[root].height = -1, pp = root;
  for (u = settled; u; u = uu) {
    oo, uu = lmem[u].link, p = lmem[u].parent;
                                            /*~pp is previous strong component representative */
    if (p \neq pp) h = 0, w = root, pp = p;
    for (o, j = lmem[bar(u)].arcs; j \ge 0; j = cand\_arc[j].next) {
                                      /* we look at the predecessors v of u */
       o, v = bar(cand\_arc[j].tip);
       o, vv = lmem[v].parent;
      if (vv \equiv p) continue;
                                   /* ignore an arc within the current component */
      o, hh = lmem[vv].height;
      if (hh \ge h) h = hh + 1, w = vv;
    if (p \equiv u) {
      o, v = lmem[w].child;
       oo, lmem[u].height = h, lmem[u].child = 0, lmem[u].link = v;
      o, lmem[w].child = u;
  }
```

This code is used in section 117.

 $\S119$  Satiik the lookahead forest 55

119. The results of our oriented forest computation are placed into an array of ldata called look. The lookahead process will examine literals look[0].lit, look[1].lit, ..., look[looks-1].lit, in that order; and the current stamp while studying the implications of look[k].lit will be the even number base + look[k].offset, where base is the smallest stamp in the current iteration.

(Cognoscenti will understand that there is one entry in this array for each strong component that was found in the implication digraph of candidates.)

```
\langle Type definitions 5\rangle + \equiv
   typedef struct ldata_struct {
                      /* a literal for lookahead */
      uint lit;
      uint offset:
                           /* the offset of its stamp */
   } ldata;
120. \langle \text{Global variables } 3^* \rangle + \equiv
                         /* specification of the oriented forest for lookaheads */
  ldata *look;
                     /* the number of current entries in look */
  int looks;
121. \langle \text{Allocate special arrays 58} \rangle + \equiv
   look = (\mathbf{ldata} *) \ malloc(lits * \mathbf{sizeof}(\mathbf{ldata}));
      fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} look_{\sqcup} array! \n");
      exit(-10);
   bytes += lits * sizeof(ldata);
```

**122.** Here's a standard "double order" traversal [TAOCP exercise 2.3.1–18] as we list the literals in preorder while filling in their offsets according to postorder.

We've constructed the tree using literals that are representatives of the strong components produced by Tarjan's algorithm. But the lookahead process will use the *vcomp* representatives instead.

```
\langle \text{ Construct the } look \text{ table } 122 \rangle \equiv
  o, u = lmem[root].child, j = k = v = 0;
  while (1) {
    oo, look[k].lit = lmem[u].vcomp;
    o, lmem[u].rank = k++;
                                 /* k advances in preorder */
    if (o, lmem[u].child) {
                                 /* fix parent temporarily for traversal */
       o, lmem[u].parent = v;
       v = u, u = lmem[u].child; /* descend to u's descendants */
    } else {
    post: o, i = lmem[u].rank;
       o, look[i].offset = j, j += 2;
                                        /* j advances in postorder */
      if (v) oo, lmem[u].parent = lmem[v].vcomp; /* fix parent for lookahead */
       else o, lmem[u].parent = 0;
                                                   /* move to u's next sibling */
       if (o, lmem[u].link) u = lmem[u].link;
       else if (v) {
         o, u = v, v = lmem[u].parent;
                                         /* after the last sibling, move to u's parent */
         goto post;
       } else break;
    }
  looks = k;
  if (j \neq k + k) confusion("looks");
This code is used in section 117.
```

56 Looking ahead Satiik §123

123.\* Looking ahead. The lookahead process has much in common with what we do when making a decision at a branch node, except that we don't make drastic changes to the data structures. We don't assign any truth values at levels higher than proto\_truth; and that level is reserved for literals that will be forced true if the lookahead procedure finds no contradictions. We don't create new binary implications when a ternary clause gets a false literal; we estimate the potential benefit of such binary implications instead.

The literals that we want to study have been selected and placed in *look* by the prelookahead procedures discussed above. We run through them repeatedly until making a full pass without finding any new forced literals.

```
Look ahead and gather data about how to make the next branch; but goto look_bad if a contradiction
        arises 123*\rangle \equiv
  \langle \text{ Do the prelookahead } 87 \rangle;
  if (verbose & show_looks) {
     fprintf(stderr, "Looks_lat_level_l"O"d: \n", level);
     for (i = 0; i < looks; i++)
       fprintf(stderr, "_{\perp}"O"s"O".8s_{\perp}"O"d\n", litname(look[i].lit), look[i].offset);
  fl = forcedlits, last\_change = -1, fptr = rptr;
  base = 2;
  while (1) {
     for (looki = 0; looki < looks; looki ++) {
       if (looki \equiv last\_change) goto look\_done;
       o, l = look[looki].lit, cs = base + look[looki].offset;
        \langle \text{Look ahead at consequences of } l, \text{ and } \mathbf{goto} \ look\_bad \text{ if a conflict is found } 126 \rangle;
     look\_on: if (forcedlits > fl) fl = forcedlits, last\_change = looki;
     if (last\_change \equiv -1) break;
     base += 2 * looks;
                             /* forget small truths */
     if (base + 2 * looks \ge proto\_truth) break;
look\_done: cs = near\_truth;
  \langle \text{Reset } fptr \text{ by removing unfixed literals from } rstack \ 161^* \rangle;
This code is used in section 59.
        The base keeps rising during a lookahead, never decreasing again. We had better use 64 bits for it,
so that overflow won't be overlooked in large instances.
\langle \text{Global variables } 3^* \rangle + \equiv
                                 /* base address for stamps with offsets from look */
  ullng base, last_base;
  uint *forcedlit;
                         /* array of forced literals */
  int forcedlits, fl;
                           /* the number of forced literals */
                          /* where in the array did we last make progress? */
  int last_change;
                  /* index of our position in look */
  int looki;
                     /* the literal whose consequences we are exploring */
  uint looklit;
```

/\* the literal whose consequences we were exploring \*/

**uint** *old\_looklit*;

 $\S125$  SAT11K LOOKING AHEAD 57

125. Again we want a fast way to make literals "snap into place" when they're directly implied by an assumption that we're making.

Here we clone the former binary propagation loop for purposes of lookahead: Instead of going to *conflict* if a contradiction arises, we go to *contra*, because the contradiction of a tentative assumption does not necessarily imply a real conflict.

Although the lookahead algorithms use rstack for breadth-first search, they never change rptr, nor do they fix any literals at more than the  $proto\_truth$  level.

```
\langle Propagate binary lookahead implications of l; goto contra if a contradiction arises 125\rangle
  if (isfixed(l)) {
     if (iscontrary(l)) goto contra;
  } else {
     if (verbose & show_gory_details) {
       if (cs \ge proto\_truth) fprintf(stderr, "protofixing\_"O"s"O".8s\n", litname(l));
       else fprintf(stderr, ""O"dfixing_{\sqcup}"O"s"O".8s\n", cs, litname(l));
     stamptrue(l);
     lfptr = eptr;
     o, rstack[eptr++] = l;
     while (lfptr < eptr) {
       o, l = rstack[lfptr++];
       for (o, la = bimp[l].addr, ls = bimp[l].size; ls; la ++, ls --) {
         o, lp = mem[la];
         if (isfixed(lp)) {
            if (iscontrary(lp)) goto contra;
          } else {
            if (verbose & show_gory_details) {
              \textbf{if} \ (cs \geq proto\_truth) \ \textit{fprintf} \ (stderr, "\_protofixing\_"O"s"O".8s\n", \textit{litname} \ (lp));\\
               else fprintf(stderr, "_{\sqcup}"O"dfixing_{\sqcup}"O"s"O".8s\n", cs, litname(lp));
            stamptrue(lp);
            o, rstack[eptr ++] = lp;
      }
    }
  }
```

This code is used in sections 132\* and 135\*.

58 LOOKING AHEAD SAT11K §126

**126.** An example will make it easier to visualize the current context. Suppose the relevant binary clauses are  $(\bar{b} \lor a) \land (\bar{c} \lor a) \land (\bar{d} \lor c)$ . Then the *look* array might contain the sequence  $\bar{b}$ , a, b, c, d,  $\bar{d}$ ,  $\bar{c}$ ,  $\bar{a}$ , with respective offsets 0, 8, 2, 6, 4, 14, 12, 10. The parent of c is then a; the parent of d is c; the parent of  $\bar{c}$  is  $\bar{d}$ ; the parent of  $\bar{a}$  is  $\bar{c}$ ; and a,  $\bar{b}$ ,  $\bar{d}$  are roots with no parent.

```
\langle \text{Look ahead at consequences of } l, \text{ and goto } look\_bad \text{ if a conflict is found } 126 \rangle \equiv
  looklit = l:
  o, ll = lmem[looklit].parent;
  if (ll) oo, lmem[looklit].wnb = lmem[ll].wnb;
                                                           /* inherit from parent */
  else o, lmem[l].wnb = 0.0;
  if (verbose & show_qory_details)
     fprintf(stderr, "looking_lat_l"O"s"O".8s_l("O"d)\n", litname(looklit), cs);
  if (isfixed(l)) {
     if (iscontrary(l) \land stamp[thevar(l)] < proto_truth)
        \langle Force looklit to be (proto) false, and complement it 129\rangle;
  } else {
     (Update lookahead data structures for consequences of looklit; but goto contra if a contradiction
          arises 132*;
     if (weighted_new_binaries \equiv 0) (Exploit an autarky 127)
     else o, lmem[looklit].wnb += weighted\_new\_binaries;
     \langle Do a double lookahead from looklit, if that seems advisable \frac{142}{};
     \langle \text{Check for necessary assignments } 139 \rangle;
This code is used in section 123*.
```

127. Here we implement an extension of the classical "pure literal" rule: We have just looked at all the consequences obtainable by repeated propagation of unit clauses when *looklit* is assumed to be true, and we've found no contradiction. Suppose we've also discovered no "new weighted binaries"; this means that, whenever we have reduced a clause from size s to size s' < s during this process, the reduced size s' is 1. (For if s' = 0 we would have had a contradiction, while if 1 < s' < s we would have increased  $new\_weighted\_binaries$ .)

In such a case, the set of literals deducible from looklit is said to form an autarky, and we are allowed to assume that looklit is true. Indeed, those literals  $\{l_1, \ldots, l_k\}$  satisfy every clause that contains either  $l_i$  or  $\bar{l}_i$  for any i. If the remaining "untouched" clauses are satisfiable, we can satisfy all the clauses by using  $\{l_1, \ldots, l_k\}$  in the clauses that are touched; and if we can satisfy all the clauses, we can certainly satisfy the untouched ones.

```
(I learned this trick in January 2013 from Marijn Heule.)
⟨Exploit an autarky 127⟩ ≡
{
   if (lmem[looklit].wnb ≡ 0) {
      if (verbose & show_gory_details) fprintf(stderr, "uautarkyuatu"O"s"O".8s\n", litname(looklit));
      looklit = bar(looklit); /* complement looklit temporarily */
      ⟨Force looklit to be (proto) false, and complement it 129⟩;
} else {
      ll = lmem[looklit].parent;
      if (verbose & show_gory_details)
            fprintf(stderr, "uautarkyu"O"s"O".8su->u"O"s"O".8s\n", litname(ll), litname(looklit));
      ⟨Make ll equivalent to looklit 128*⟩;
}
}
```

This code is used in section 126.

 $\{128 \quad \text{SAT11K} \quad \text{LOOKING AHEAD} \quad 59$ 

128.\* Furthermore, if lmem[looklit].wnb is nonzero, we know that we set it to lmem[ll].wnb where ll is the parent of looklit. In that case, if the assertion of looklit gives no new weighted new binaries in addition to those obtained from ll, the variables deducible from looklit are an autarky with respect to the set of clauses that are reduced by ll; so we are allowed to assume that looklit itself is implied by ll. (Think about it.) In other words, adding the additional clause  $\neg ll \lor looklit$  does not make the set of clauses any less satisfiable.

This additional clause is special, because it cannot in general be derived by resolution.

We already have the clause  $\neg look lit \lor ll$ , because ll is the parent of look lit. Thus we can conclude that both literals are equivalent in this case.

We aren't allowed to upgrade the stamp value of looklit to the stamp value of ll, because that would violate an important invariant relation: Our mechanism for undoing virtual changes to large clauses requires that the literals in rstack have monotonically decreasing levels of truth.

```
\langle \text{ Make } ll \text{ equivalent to } looklit \text{ 128*} \rangle \equiv
     u = bar(ll);
     o, au = bimp[ll].addr, su = bimp[ll].size;
     \langle \text{ Make sure that } bar(u) \text{ has an } istack \text{ entry } 74 \rangle:
     if (o, su \equiv bimp[ll].alloc) resize(ll), o, au = bimp[ll].addr;
     oo, mem[au + su] = looklit, bimp[ll].size = su + 1;
     u = looklit;
     o, au = bimp[bar(u)].addr, su = bimp[bar(u)].size;
     \langle \text{ Make sure that } bar(u) \text{ has an } istack \text{ entry } 74 \rangle;
     if (o, su \equiv bimp[bar(u)].alloc) resize (bar(u)), o, au = bimp[bar(u)].addr;
     oo, mem[au + su] = bar(ll), bimp[bar(u)].size = su + 1;
  }
This code is used in section 127.
        \langle Force looklit to be (proto) false, and complement it 129\rangle \equiv
  {
     looklit = bar(looklit);
     forcedlit[forcedlits ++] = looklit;
     look\_cs = cs, cs = proto\_truth;
     (Update lookahead data structures for consequences of looklit: but goto contra if a contradiction
           arises 132*;
     cs = look\_cs;
This code is used in sections 126, 127, 130*, and 139.
```

130\* When we get to label contra, we execute the following instructions, which will "fall through" to label  $look\_bad$  if  $cs = proto\_truth$ .

Roughly speaking, we've derived a contradiction after assuming that *looklit* is true. When that assumption fails, we make *looklit* proto-false. A second failure at the proto-false level is a real conflict, and it will require backtracking.

```
⟨ Recover from a lookahead contradiction 130*⟩ ≡
if (cs < proto_truth) {
   ⟨Force looklit to be (proto) false, and complement it 129⟩;
   goto look_on;
}
cs = near_truth;
⟨ Reset fptr by removing unfixed literals from rstack 161*⟩;
This code is used in section 84.</pre>
```

60 LOOKING AHEAD SAT11K §131

131.\* A new breadth-first search is launched here, as we assert *looklit* at truth level cs and derive the ramifications of that assertion. If, for example, cs = 50, we will make *looklit* (and all other literals that it implies) true at level 50, unless they're already true at levels 52 or above.

132\* We've implicitly removed bar(looklit) from all of the active clauses. Now we must put it back, if its truth value was set at a lower level than cs.

The consequences of *looklit* might include "windfalls," which are unfixed literals that are the only survivors of a clause whose other literals have become false. Windfalls will be placed on the *wstack*, which is cleared here.

```
(Update lookahead data structures for consequences of looklit; but goto contra if a contradiction
        arises 132*\rangle \equiv
  \langle \text{Reset } fptr \text{ by removing unfixed literals from } rstack \ 161^* \rangle;
  wptr = 0; eptr = fptr;
  weighted\_new\_binaries = 0;
  l = looklit;
  \langle Propagate binary lookahead implications of l; goto contra if a contradiction arises 125\rangle;
  while (fptr < eptr) {
     o, ll = rstack[fptr ++];
     \langle \text{Update lookahead data structures for the truth of } ll; \text{ but goto } contra \text{ if a contradiction arises } 135* \rangle;
  (Convert the windfalls to binary implications from looklit 137);
This code is used in sections 126 and 129.
133. \langle \text{Global variables } 3^* \rangle + \equiv
  uint *wstack;
                         /* place to store windfalls that result from looklit */
                   /* the number of entries currently in wstack */
  \textbf{float} \ \textit{weighted\_new\_binaries};
                                           /* total weight of binaries that we uncover */
134. \langle Allocate special arrays 58\rangle + \equiv
  wstack = (uint *) malloc(lits * sizeof(uint));
  if (\neg wstack) {
     fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} wstack_{\sqcup} array! \n");
     exit(-10);
  bytes += lits * sizeof(uint);
```

 $\S135$  SAT11K LOOKING AHEAD 61

135\* Windfalls and the weighted potentials for new binaries are discovered here, as we "virtually remove" bar(ll) from the active clauses in which it appears.

If all but one of the literals in such a clause has now been fixed false at the current level, we put the remaining one on *bstack* for subsequent analysis.

A conflict arises if all literals are fixed false. In such cases we set bptr = -1 instead of going immediately to contra; otherwise backtracking would be more complicated.

```
\langle \text{Update lookahead data structures for the truth of } ll; \text{ but goto } contra \text{ if a contradiction arises } 135* \rangle \equiv
  if (verbose \& show\_gory\_details) fprintf(stderr, "\( "O"s"O".8s\( \)lookout)\n", litname(bar(ll)));
  for (o, tla = kinx[bar(ll)].addr, tls = kinx[bar(ll)].size; tls; tla++, tls--) {
     o, c = kmem[tla];
     o, la = cinx[c].addr, ls = cinx[c].size - 1;
     o, cinx[c].size = ls;
     if (ls \geq 2) weighted_new_binaries += clause_weight[ls];
     else if (bptr > 0) (Put the remaining literal of c into bstack 136*);
  if (bptr < 0) goto contra;
  while (bptr) {
     o, u = bstack[--bptr].u;
    if (isfixed(u)) {
       if (iscontrary(u)) goto contra;
     } else {
       wstack[wptr++] = l = u;
       \langle Propagate binary lookahead implications of l; goto contra if a contradiction arises 125\rangle;
  }
This code is used in section 132*.
136.* The remaining literal may have become fixed, but not yet virtually removed (because it lies between
fptr and eptr on rstack).
\langle \text{Put the remaining literal of } c \text{ into } bstack \ 136* \rangle \equiv
     for (o, ua = cinx[c-1].addr; la < ua; la ++) {
       o, u = cmem[la];
       if (\neg isfixed(u)) break;
       if (iscontrary(u)) continue;
       u = 0; break;
                           /* c is satisfied */
     if (la \equiv ua) {
       bptr = -1;
       if (verbose & show_qory_details)
         fprintf(stderr, "ulllooking_u"O"s"O".8s->_u["O"d]\n", litname(ll), c);
     \} else if (u) \{
       o, bstack[bptr++].u = u;
       if (verbose & show_qory_details)
         fprintf(stderr, "lullookingle"O"s"O".8s->"O"s"O".8s_l["O"d]\n", litname(ll), litname(u), c);
```

This code is used in section 135\*.

62 LOOKING AHEAD SAT11K §137

Windfalls are analogous to the compensation resolvents we saw before.  $\langle$  Convert the windfalls to binary implications from looklit 137 $\rangle \equiv$ if (wptr) { oo, sl = bimp[looklit].size, ls = bimp[looklit].alloc; $\langle Make sure that looklit has an istack entry 138 \rangle$ ; while (sl + wptr > ls) resize (looklit),  $ls \ll 1$ ; o, bimp[looklit].size = sl + wptr;for (o, la = bimp[looklit].addr + sl; wptr; wptr ---) { o, u = wstack[wptr - 1];o, mem[la ++] = u;**if** (verbose & show\_gory\_details)  $fprintf(stderr, "\_windfall\_"O"s"O".8s->"O"s"O".8s \n", litname(looklit), litname(u));$ o, au = bimp[bar(u)].addr, su = bimp[bar(u)].size; $\langle \text{ Make sure that } bar(u) \text{ has an } istack \text{ entry } 74 \rangle;$ if  $(o, su \equiv bimp[bar(u)].alloc)$  resize (bar(u)), o, au = bimp[bar(u)].addr;[o, mem[au + su] = bar(looklit);o, bimp[bar(u)].size = su + 1;} } This code is used in sections 132\* and 143\*. 138.  $\langle \text{Make sure that } looklit \text{ has an } istack \text{ entry } 138 \rangle \equiv$ **if**  $(o, lmem[looklit].istamp \neq istamp)$  { o, lmem[looklit].istamp = istamp;o, istack[iptr].lit = looklit, istack[iptr].size = sl;

139. Let l = looklit. If our assumption that l is true has allowed us to conclude the truth of some other literal l', but only at a level less than  $proto\_truth$ , we are allowed to promote this to  $proto\_truth$  if we also have  $\bar{l} \to l'$ . If we're lucky, that promotion will also trigger more consequences that we didn't have to discover the hard way.

```
 \langle \text{Check for necessary assignments } 139 \rangle \equiv \\ old\_looklit = looklit; \\ \textbf{for } (o, ola = bimp[bar(looklit)].addr, ols = bimp[bar(looklit)].size; ols; ols ---) \{ \\ o, looklit = bar(mem[ola + ols - 1]); \\ \textbf{if } ((isfixed(looklit)) \wedge (stamp[thevar(looklit)] < proto_truth) \wedge iscontrary(looklit)) \{ \\ \textbf{if } (verbose \& show\_gory\_details) \\ fprintf(stderr, "\_necessary\_"O"s"O".8s\n", litname(bar(looklit))); \\ \langle \text{Force } looklit \text{ to be } (\text{proto}) \text{ false, and complement it } 129 \rangle; \\ o, ola = bimp[bar(old\_looklit)].addr; /* guard against a change in ola */ \} \\ \}
```

This code is used in section 126.

 $\langle \text{Increase } iptr \ 75 \rangle;$ 

This code is used in section 137.

 $\S140$  SAT11K LOOKING AHEAD 63

140. Now we're ready to select bestlit, representing our guess about the best literal on which to branch. (More precisely, thevar(bestlit) is the variable on which we shall branch. First we will try to make bestlit true. If that fails, we'll try to make it false. And if that fails, we'll backtrack to a previous node.)

The lookahead process might have identified forced literals that force the value of every variable for which we have wnb scores. If so, those literals are no longer free; they are true at the  $real\_truth$  level. And if one of them would have been our choice for bestlit, we set bestlit to zero because we ought to do another lookahead before branching.

We might in fact be lucky: If *freevars* is zero, the clauses have been satisfied.

```
(Choose bestlit, which will be the next branch tried 140) \equiv
     float best_score;
     if (freevars \equiv 0) goto satisfied;
     for (i = 0, best\_score = -1.0, bestlit = 0; i < looks; i++)  {
       o, l = look[i].lit;
       if ((l \& 1) \equiv 0) {
         float pos, neg, score;
          oo, pos = lmem[l].wnb, neg = lmem[l+1].wnb;
          score = (pos + .1) * (neg + .1);
         if (verbose \& show\_gory\_details) fprintf(stderr, "\u00cu"O".8s, \u00cu"O".4g: "O".4g\u00cu"O".4g)\n",
                 vmem[thevar(l)].name.ch8, pos, neg, score);
         if (score > best\_score) {
            best\_score = score;
            bestlit = (pos > neg ? l + 1 : l);
       }
     if (\neg isfree(bestlit)) bestlit = 0;
     if (bestlit + forcedlits \equiv 0) confusion("choice");
```

This code is used in section 59.

141. Double-looking ahead. Sometimes we really go out on a limb and look ahead two steps before making a decision. The goal of such a second look is to detect a branch that dies off early, resulting in a forced literal  $\bar{l}$  when looking at sufficiently many consequences of l.

Of course an extra degree of looking takes time, and we don't want to do it if the extra time isn't recouped by a better branching strategy. Here I use an elegant feedback technique of Heule and van Maaren [Lecture Notes in Computer Science 4501 (2007), 258–271], which responds adaptively to the conditions of a given problem: A "trigger" starts at zero and increases when doublelook is unsuccessful, but decreases slightly after each lookahead.

Double-lookahead has a weaker level of trustworthiness than  $proto\_truth$ . It is the dynamically specified level  $dl\_truth$ , at the top of a region of stamp space that allows for a maximum number of permitted iterations. That maximum number,  $dl\_max\_iter$ , is 32 by default, but of course users are allowed to fiddle with it to their hearts' content. Literals that are true at level  $dl\_truth$  are conditionally true under the hypothesis that looklit is true.

```
\langle Global variables 3^* \rangle + \equiv
                         /* lower bound to adjust the frequency of double-looking */
  float dl_triqqer;
                       /* the doublelook analog of proto_truth */
  uint dl-truth:
                   /* the doublelook analog of looki */
  int dlooki;
  uint dlooklit;
                      /* the doublelook analog of looklit */
  uint dl_last_change;
                             /* the last literal for which we forced some dl truth */
        \langle Do a double lookahead from looklit, if that seems advisable \frac{142}{2}
  if (level \land (o, lmem[looklit]. dl\_fail \neq istamp)) {
     if (lmem[looklit].wnb > dl\_trigger) {
       if (cs + 2 * looks * ((ullng) dl\_max\_iter + 1) < proto\_truth) {
          \langle \text{ Double look ahead from } looklit; \mathbf{goto} \ contra \text{ if a contradiction arises } 143^* \rangle;
          o, dl\_trigger = lmem[looklit].wnb;
             /* increase the trigger, to discourage improbable double-looks */
                                                  /* don't try this literal again at this branch node */
         o, lmem[looklit].dl_fail = istamp;
     } else dl\_trigger *= dl\_rho;
                                       /* decrease the trigger slightly, so that it we'll eventually try again */
This code is used in section 126.
```

§143 SAT11K DOUBLE-LOOKING AHEAD 65

143.\* The new settings of base, last\_base, and  $dl_{-}truth$  in this step are slightly subtle: On the first iteration, some literals may be fixed true (stampwise) because of information gained before we've started to doublelook, but only if they are implied by looklit. Those literals will be promoted to truth at level  $dl_{-}truth$  during the course of that iteration, because a contradiction will arise when we try to set them false. On subsequent iterations, and after doublelook finishes its work, the only existing level of truth that is  $\geq base$  and  $< proto_{-}truth$  will be  $dl_{-}truth$ .

The propagation loop invoked here gets the ball rolling by making all binary implications of *looklit* true at level dl-truth. It will not actually **goto** dl-contra in spite of what it says; we have simply copied the more general code into this section for convenience, because such optimization isn't necessary at this point.

"Windfalls" during a double look are different from those we saw before: They now are literals that were forced to be true as a consequence of <code>looklit</code>.

```
\ Double look ahead from looklit; goto contra if a contradiction arises 143*⟩ ≡
last_base = cs + 2 * looks * dl_max_iter;
dl_truth = last_base + cs - base;
base = cs;
cs = dl_truth, l = looklit, dlooklit = l;
wptr = 0;
\ Update dlookahead data structures for consequences of dlooklit; but goto dl_contra if a contradiction arises 149*⟩;
\ Run through iterations of doublelook analogous to the iterations of ordinary lookahead 144*⟩;
\ Convert the windfalls to binary implications from looklit 137⟩;
This code is used in section 142.
```

144.\* The code here and in the following sections parallels the corresponding routines in lookahead and in the basic solver, but at an even hazier and more tentative level—further removed from reality.

```
\langle Run through iterations of doublelook analogous to the iterations of ordinary lookahead 144*\rangle
  dl_{-}last_{-}change = 0;
  while (1) {
     for (dlooki = 0; dlooki < looks; dlooki ++) {
       o, l = look[dlooki].lit, cs = base + look[dlooki].offset;
       if (l \equiv dl\_last\_change) goto dlook\_done;
       \langle Doublelook ahead at consequences of l, and goto contra if a contradiction is found 146\rangle;
     dlook\_on: continue;
     if (dl\_last\_change \equiv 0) break;
     base += 2 * looks;
                              /* forget small truths */
     if (base \equiv last\_base) break;
dlook\_done: base = last\_base, cs = dl\_truth;
                                                     /* retain only dl_truth data */
  \langle Reset the doublelook fptr by removing unfixed literals from rstack 162*\rangle;
This code is used in section 143*.
```

```
145.
        \langle \text{Propagate binary doublelookahead implications of } l \mid 145 \rangle \equiv
  if (isfixed(l)) {
     if (iscontrary(l)) goto dl\_contra;
  } else {
     if (verbose & show_doubly_gory_details) {
       if (cs \ge dl\_truth) fprintf (stderr, "dlfixing_{\sqcup}"O"s"O".8s\n", litname(l));
       else fprintf(stderr, ""O"dfixing_{\sqcup}"O"s"O".8s\n", cs, litname(l));
     stamptrue(l);
     lfptr = eptr;
     o, rstack[eptr++] = l;
     while (lfptr < eptr) {
       o, l = rstack[lfptr++];
       for (o, la = bimp[l].addr, ls = bimp[l].size; ls; la++, ls--)
          o, lp = mem[la];
          if (isfixed(lp))
            if (iscontrary(lp)) goto dl_contra;
          } else {
            if (verbose & show_doubly_gory_details) {
               if (cs \geq dl\_truth) fprintf (stderr, "\_dlfixing\_"O"s"O".8s\n", litname(lp));
               else fprintf(stderr, " \cup "O"dfixing \cup "O"s"O".8s\n", cs, litname(lp));
             stamptrue(lp);
            o, rstack[\mathit{eptr} +\!\!\!\!+\!\!\!\!+] = \mathit{lp};
    }
This code is used in sections 149* and 150*.
146. \(\right\) Doublelook ahead at consequences of l, and goto contra if a contradiction is found 146\) \(\simega\)
  dlooklit = l;
  if (verbose & show_doubly_gory_details)
     fprintf(stderr, "dlooking_{\square}at_{\square}"O"s"O".8s_{\square}("O"d)\n", litname(dlooklit), cs);
  if (isfixed(l)) {
     if (stamp[thevar(l)] < dl\_truth \land iscontrary(l)) \langle Force dlooklit to be (dl) false, and complement it 147\rangle;
  } else {
     (Update dlookahead data structures for consequences of dlooklit; but goto dl_contra if a contradiction
          arises 149*;
This code is used in section 144*.
```

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The variable *dl\_last\_change*, which keeps us doublelooking, changes only here.  $\langle$  Force *dlooklit* to be (dl) false, and complement it  $147 \rangle \equiv$  $dl\_last\_change = dlooklit;$ dlooklit = bar(dlooklit); $dlook\_cs = cs, cs = dl\_truth;$ (Update dlookahead data structures for consequences of dlooklit; but goto dl\_contra if a contradiction arises 149\*;  $cs = dlook\_cs;$ wstack[wptr++] = dlooklit;This code is used in sections 146 and 148. 148. When we get to label dl\_contra, we execute the following instructions, which will "fall through" to label contra if cs = dl-truth. Roughly speaking, we've derived a contradiction after assuming that looklit and dlooklit are true. When that second assumption fails, we make dlooklit dl-false, assuming looklit. A second failure at the dl-false level tells us that *looklit* must be false; in such a case we exit the double lookahead process.  $\langle$  Recover from a double lookahead contradiction 148 $\rangle \equiv$ if  $(cs < dl_truth)$  {  $\langle$  Force *dlooklit* to be (dl) false, and complement it  $147\rangle$ ; **goto** dlook\_on; /\* forget all truths less than dl\_truth \*/  $base = last\_base;$ This code is used in section 84. 149\*(Update dlookahead data structures for consequences of dlooklit; but **goto** dl\_contra if a contradiction arises  $149*\rangle \equiv$  $\langle$  Reset the doublelook fptr by removing unfixed literals from rstack 162\* $\rangle$ ; eptr = fptr;l = dlooklit; $\langle$  Propagate binary doublelookahead implications of l 145 $\rangle$ ; **while** (fptr < eptr) { o, ll = rstack[fptr ++];(Update dlookahead data structures for the truth of ll; but **goto** dl\_contra if a contradiction

arises 150\*;

This code is used in sections 143\*, 146, and 147.

SAT11K §150

This code is used in section 150\*.

```
150*
       (Update dlookahead data structures for the truth of ll; but goto dl_contra if a contradiction
       arises 150^* \rangle \equiv
  bptr = 0:
  if (verbose & show_doubly_gory_details)
     fprintf(stderr, " ("O"s"O".8s_dlookout) \n", litname(bar(ll)));
  for (o, tla = kinx[bar(ll)].addr, tls = kinx[bar(ll)].size; tls; tla++, tls--) {
     o, c = kmem[tla];
     o, la = cinx[c].addr, ls = cinx[c].size - 1;
     o, cinx[c].size = ls;
     if (ls < 2 \land bptr \ge 0) \(\rightarrow \text{Put the remaining doublelook literal of } c \text{ into } bstack \) 151*\rightarrow;
  if (bptr < 0) goto dl-contra;
  while (bptr) {
     o, u = bstack[--bptr].u;
     if (isfixed(u)) {
       if (iscontrary(u)) goto dl_contra;
     } else {
       l=u;
        \langle Propagate binary doublelookahead implications of l 145\rangle;
This code is used in section 149*.
151.* \(\text{Put the remaining doublelook literal of } c \text{ into } \begin{aligned} \text{stack } & \text{151*} \\ \text{} \end{aligned} \)
  {
     for (o, ua = cinx[c-1].addr; la < ua; la ++) {
       o, u = cmem[la];
       if (\neg isfixed(u)) break;
       if (iscontrary(u)) continue;
                            /* c is satisfied */
       u = 0; break;
     if (la \equiv ua) {
       bptr = -1;
       if (verbose & show_doubly_gory_details)
          fprintf(stderr, "\_\_dlooking\_"O"s"O".8s->\_["O"d]\n", litname(ll), c);
     \} else if (u) \{
       o, bstack[bptr++].u = u;
       if (verbose & show_doubly_gory_details)
          fprintf(stderr, \verb"uudlookingu"O"s"O".8s->"O"s"O".8su["O"d] \verb|\n"|, litname(ll), litname(u), c);
  }
```

 $\S152$  Satisk doing it 69

```
152* Doing it. Finally we just need to put the pieces of this program together.
\langle Solve the problem 152*\rangle \equiv
  if (verbose \& show\_big\_clauses) \land Print all the big clauses to <math>stderr \ 155*);
  level = 0:
  if (forcedlits) {
     o, nstack[0].branch = -1;
     goto special_start; /* bootstrap the unary input clauses */
enter\_level:
  if (sanity_checking) sanity();
  \langle Begin the processing of a new node 59\rangle;
  forcedlits = 0;
  level++;
  goto enter_level;
  \langle \text{ Recover from conflicts 84} \rangle;
This code is used in section 2^*.
153. \langle \text{Print the solution found 153} \rangle \equiv
  for (k = 0; k < rptr; k++) {
     printf("_{\sqcup}"O"s"O".8s", litname(rstack[k]));
    if (out\_file) fprintf(out\_file, "\_"O"s"O".8s", litname(bar(rstack[k])));
  printf("\n");
  if (freevars) {
    if (verbose & show_unused_vars) printf("(Unused:");
    for (k = 0; k < freevars; k++) {
       if (verbose \& show\_unused\_vars) \ printf("\_"O".8s", vmem[freevar[k]].name.ch8);
       if (out\_file) fprintf(out\_file, "\_\"O".8s", vmem[freevar[k]].name.ch8);
     if (verbose & show_unused_vars) printf(")\n");
  if (out_file) fprintf(out_file, "\n");
This code is used in section 84.
154. \langle \text{Subroutines } 29 \rangle + \equiv
  void confusion(\mathbf{char} * id)
        /* an assertion has failed */
     fprintf(stderr, "This_can't_happen_("O"s)! \n", id);
     exit(-666);
  void debugstop(int foo)
        /* can be inserted as a special breakpoint */
     fprintf(stderr, "You \perp rang("O"d)? \n", foo);
```

155\* New material for big clauses. Some of the details about big-clause processing have been postponed to this addendum, in order to keep the section numbering of SAT11 and SAT11K essentially identical.

```
 \begin{split} &\langle \operatorname{Print} \ \operatorname{all} \ \operatorname{the} \ \operatorname{big} \ \operatorname{clauses} \ \operatorname{to} \ \operatorname{stderr} \ 155^* \rangle \equiv \\ &  \operatorname{for} \ (c=1; \ c \leq \operatorname{bclauses}; \ c++) \ \left\{ \\ &  \ \operatorname{fprintf} \left(\operatorname{stderr}, ""O"\mathtt{d}:", c\right); \\ &  \ \operatorname{for} \ (la = \operatorname{cinx}[c].\operatorname{addr}; \ la < \operatorname{cinx}[c-1].\operatorname{addr}; \ la++) \\ &  \ \operatorname{fprintf} \left(\operatorname{stderr}, "\llcorner"O"\mathtt{s}"O".\mathtt{8s}", \operatorname{litname}\left(\operatorname{cmem}[la]\right)\right); \\ &  \ \operatorname{fprintf}\left(\operatorname{stderr}, "\backslash\mathtt{n}"\right); \\ &  \ \right\} \end{split}  This code is used in section 152*.
```

156.\* Here I move the remaining free literals to the left of their clauses, if at most  $\theta k$  of the original k literals are now free. This parameter  $\theta$  can be tuned by the user, as an integer multiple of 1/64; I'm trying  $\theta = 25/64$  as a default.

```
\langle Swap out all big clauses that contain ll\ 156^*\rangle \equiv
  for (o, tla = kinx[ll].addr, tls = kinx[ll].size; tls; tla++, tls--) {
     o, c = kmem[tla];
     o, cia = cinx[c].addr, cis = cinx[c].size;
     o, kk = cinx[c-1].addr - cia; /* the original size of clause c */
     cis --; /* this many free literals remain */
     if (cis \leq (theta64 * kk) \gg 6) (Swap c out while gathering its free literals 157*)
     else
        for (; cis; cia ++) {
          o, u = cmem[cia];
          if (isfree(u)) {
              \langle \text{Swap } c \text{ out of } u \text{'s clause list } 158^* \rangle;
              cis --:
  }
This code is used in section 69*.
157* \langle \text{Swap } c \text{ out while gathering its free literals } 157* \rangle \equiv
  {
     for (ci = cia; cis; cia++) {
        o, u = cmem[cia];
        if (isfree(u)) {
          if (ci \neq cia) ooo, v = cmem[ci], cmem[ci] = u, cmem[cia] = v;
           \langle \text{Swap } c \text{ out of } u \text{'s clause list } 158^* \rangle;
           ci ++, cis --;
        }
```

This code is used in section 156\*.

```
158* (Swap c out of u's clause list 158*) \equiv
  {
     for (o, su = kinx[u].size - 1, au = ua = kinx[u].addr + su; o, kmem[au] \neq c; au --);
     if (au \neq ua) oo, kmem[au] = kmem[ua], kmem[ua] = c;
     o, kinx[u].size = su;
This code is used in sections 71*, 156*, and 157*.
159* (Swap in all big clauses that contain ll 159*)
  \mathbf{for} \ (o, tls = kinx[ll].size, tla = kinx[ll].addr + tls - 1; \ tls; \ tla - -, tls - -) \ \{
     o, c = kmem[tla];
     for (o, cia = cinx[c].addr, cis = cinx[c].size - 1; cis; cia++) {
       o, u = cmem[cia];
       if (isfree(u)) {
          \langle \text{Swap } c \text{ back in to } u \text{'s clause list } 160^* \rangle;
          cis --;
        }
This code is used in section 82*.
160* \langle Swap c back in to u's clause list 160^* \rangle \equiv
  oo, kinx[u].size ++;
This code is used in sections 83* and 159*.
```

161.\* The lookahead processes need to take back all updates to big clauses involving literals that lose their tentative values when *cs* increases.

Fortunately all literals are ordered on *rstack* by their truth levels, with the lowest levels nearest the top. This is the place where the partial ordering of the "lookahead forest" must indeed be a forest, not a general permutation poset.

```
\langle \text{Reset } fptr \text{ by removing unfixed literals from } rstack \ 161^* \rangle \equiv
  while (fptr > rptr) {
     o, u = rstack[fptr - 1];
     if (isfixed(u)) break;
     fptr --;
     if (verbose & show_qory_details) fprintf(stderr, ",,("O"s"O".8s,,lookin)\n", litname(bar(u)));
     \langle Unreduce all big clauses that contained bar(u) during lookahead 163^*\rangle;
This code is used in sections 123*, 130*, and 132*.
162* \langle Reset the doublelook fptr by removing unfixed literals from rstack 162^*\rangle \equiv
  while (fptr > rptr) {
     o, u = rstack[fptr - 1];
     if (isfixed(u)) break;
     fptr --;
     if (verbose & show_doubly_gory_details)
       fprintf(stderr, "_{\perp}("O"s"O".8s_{\perp}dlookin) \n", litname(bar(u)));
     (Unreduce all big clauses that contained bar(u) during lookahead 163*);
This code is used in sections 144* and 149*.
```

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```
163* \langle Unreduce all big clauses that contained bar(u) during lookahead 163^*\rangle \equiv for (o, tls = kinx[bar(u)].size, tla = kinx[bar(u)].addr + tls - 1; tls; tla --, tls --) { <math>o, c = kmem[tla]; o, cis = cinx[c].size + 1; o, cinx[c].size = cis; } 
This code is used in sections 161^* and 162^*.
```

164\* This program uses the *clause\_weight* table to estimate a clause's potential for further reduction, based solely on its length: A clause of length  $k \geq 2$  gets the weight  $\gamma^{k-2}$ , where the parameter  $\gamma$  is controllable by 'g' on the command line. The default  $\gamma = 0.21$  agrees roughly with the recommendations of Oliver Kullmann.

```
\langle Global variables 3*\rangle +\equiv int max\_clause; /* length of the longest clause */ float *clause_weight; /* weights given to each length, for k \geq 2 */
```

**165**\* We dare not let the *clause\_weight* entries become zero, because that would defeat the logic by which autarkies are recognized.

```
 \langle \text{Allocate special arrays 58} \rangle + \equiv \\ clause\_weight = (\textbf{float} *) \ malloc(max\_clause * \textbf{sizeof}(\textbf{float})); \\ \textbf{if} \ (\neg clause\_weight) \ \{ \\ fprintf(stderr, "\texttt{Oops}, \sqcup \texttt{I}_{\sqcup}\texttt{can't}_{\sqcup}\texttt{allocate}_{\sqcup}\texttt{the}_{\sqcup}\texttt{clause}\_\texttt{weight}_{\sqcup}\texttt{array!} \"); \\ exit(-10); \\ \} \\ bytes += max\_clause * \textbf{sizeof}(\textbf{float}); \\ clause\_weight[2] = 1.0; \\ \textbf{for} \ (k = 3; \ k < max\_clause; \ k++) \ o, clause\_weight[k] = clause\_weight[k - 1] * gamm + 0.01; \\ \end{cases}
```

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## 166\* Index.

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