

(Downloaded from <https://cs.stanford.edu/~knuth/programs.html> and typeset on May 28, 2023)

1. Intro. This program, inspired by HISTOSCAPE-COUNT, calculates the number of $m \times n$ “whirlpool permutations,” given m and n .

What’s a whirlpool permutation, you ask? Good question. An $m \times n$ matrix has $(m-1)(n-1)$ submatrices of size 2×2 . An $m \times n$ whirlpool permutation is a permutation of $(mn)!$ elements for which the relative order of the elements in each of those submatrices is a “vortex”—that is, it travels a cyclic path from smallest to largest, either clockwise or counterclockwise.

Thus there are exactly eight 2×2 whirlpool permutations. If the entries of the matrix are denoted $abcd$ from top to bottom and left to right, they are 1243, 1423, 2134, 2314, 3241, 3421, 4132, 4312. One simple test is to compare $a : b$, $b : d$, $d : c$, $c : a$; the number of ‘<’ must be odd. (Hence the number of ‘>’ must also be odd.)

The enumeration is by a somewhat mind-boggling variant of dynamic programming that I’ve not seen before. It needs to represent $n + 1$ elements of a permutation of t elements, where t is at most mn , and there are up to $(mn)^{n+1}$ such partial permutations; so I can’t expect to solve the problem unless m and n are fairly small. Even so, when I *can* solve the problem it’s kind of thrilling, because this program makes use of a really interesting way to represent t^{n+1} counts in computer memory.

The same method could actually be used to enumerate matrices of permutations whose 2×2 submatrices satisfy any arbitrary relations, when those relations depend only the relative order of the four elements. (Thus any of 2^{24} constraints might be prescribed for each of the $(m-1)(n-1)$ submatrices. The whirlpool case, which accepts only the eight relative orderings listed above, is just one of zillions of possibilities.)

It’s better to have $m \geq n$. But I’ll try some cases with $m < n$ too, for purposes of testing.

```
#define maxn 8
#define maxmn 36
#define o mems++
#define oo mems += 2
#define ooo mems += 3
#include <stdio.h>
#include <stdlib.h>
int m, n; /* command-line parameters */
unsigned long long *count; /* the big array of counts */
unsigned long long newcount[maxmn]; /* counts that will replace old ones */
unsigned long long mems; /* memory references to octabytes */
int x[maxn + 1]; /* indices being looped over */
int ay[maxn + 1];
int l[maxmn], u[maxmn];
int tpow[maxmn + 1]; /* factorial powers  $t^{n+1}$  */
⟨Subroutines 4⟩;
main(int argc, char *argv[])
{
    register int a, b, c, d, i, j, k, p, q, r, mn, t, tt, kk, bb, cc, pdel;
    ⟨Process the command line 2⟩;
    for (i = 1; i < m; i++)
        for (j = 0; j < n; j++) ⟨Handle constraint (i, j) 8⟩;
    ⟨Print the grand total 9⟩;
}
```

```

2.  ⟨ Process the command line 2 ⟩ ≡
    if (argc ≠ 3 ∨ sscanf(argv[1], "%d", &m) ≠ 1 ∨ sscanf(argv[2], "%d", &n) ≠ 1) {
        fprintf(stderr, "Usage: %s m n\n", argv[0]);
        exit(-1);
    }
    mn = m * n;
    if (m < 2 ∨ m > maxn ∨ n < 2 ∨ n > maxn ∨ mn > maxmn) {
        fprintf(stderr, "Sorry, m and n should be between 2 and %d, with mn ≤ %d!\n", maxn, maxmn);
        exit(-2);
    }
    for (k = n + 1; k ≤ mn; k++) {
        register unsigned long long acc = 1;
        for (j = 0; j ≤ n; j++) acc *= k - j;
        if (acc ≥ #800000000) {
            fprintf(stderr, "Sorry, mn \\falling(n+1) must be less than 2^31!\n");
            exit(-666);
        }
        tpow[k] = acc;
    }
    count = (unsigned long long *) malloc(tpow[mn] * sizeof(unsigned long long));
    if (¬count) {
        fprintf(stderr, "I couldn't allocate %d entries for the counts!\n", tpow[mn]);
        exit(-4);
    }

```

This code is used in section 1.

3. Suppose I want to represent $n + 1$ specified elements of a permutation of $t + 1$ elements. For example, we might have $n = 3$ and $t = 8$, and the final four elements of a permutation $y_0 \dots y_8$ might be $y_5 y_6 y_7 y_8 = 3142$. There are $(t + 1)^{n+1}$ such partial permutations, and I can represent them compactly with $n + 1$ integer variables $x_{t-n}, \dots, x_{t-1}, x_t$, where $0 \leq x_j \leq j$. The rule is that x_j is $y_j - w_j$, where w_j is the number of elements “inverted” by y_j (the number of elements to the right of y_j that are less than y_j). In the example, $w_0 w_1 w_2 w_3 = 2010$, so $x_5 x_6 x_7 x_8 = 1132$. (Or going backward, if $x_5 x_6 x_7 x_8 = 3141$ then $y_5 y_6 y_7 y_8 = 6251$.)

This representation has a beautiful property that we shall exploit. Every permutation $y_0 \dots y_t$ of $\{0, \dots, t\}$ yields $t + 2$ permutations $y'_0 \dots y'_{t+1}$ of $\{0, \dots, t + 1\}$ if we choose y'_{t+1} arbitrarily, and then set $y'_j = y_j + [y_j \geq y'_{t+1}]$. For example, if $t = 8$ and $y_5 y_6 y_7 y_8 = 3142$, the ten permutations obtained from $y_0 \dots y_8$ will have $y'_5 y'_6 y'_7 y'_8 y'_9 = 42530, 42531, 41532, 41523, 31524, 31425, 31426, 31427, 31428$, or 31429 . And the representations $x'_5 x'_6 x'_7 x'_8 x'_9$ of those last five elements will simply be respectively $31420, 31421, \dots, 31429$! In general, we'll have $x'_j = x_j$ for $0 \leq j \leq t$, and $x'_{t+1} = y'_{t+1}$ will be arbitrary.

4. Now comes the mind-boggling part. I want to maintain a count $c(x_{t-n}, \dots, x_t)$ for each setting of the indices (x_{t-n}, \dots, x_t) , but I want to put those counts into memory in such a way that I won't clobber any of the existing counts when I'm updating t to $t+1$. In particular, if $x'_{t+1} \leq t-n$, I'll want $c(x'_{t+1-n}, \dots, x'_t, x'_{t+1})$ to be stored in exactly the same place as $c(x'_{t+1}, x_{t+1-n}, \dots, x_t)$ was stored in the previous round. But if $x'_{t+1} > t-n$, I'll store $c(x'_{t+1-n}, \dots, x'_t, x'_{t+1})$ in a brand-new, previously unused location of memory.

Thus we shall use a memory mapping function μ_t , different for each t , such that $c(x_{t-n}, x_{t-n+1}, \dots, x_t)$ is stored in location $\mu_t(x_{t-n}, x_{t-n+1}, \dots, x_t)$ during round t of the computation.

Let's go back to the example in the previous section and apply it to whirlpool permutations for $n = 3$. Denote the permutation in the first three rows by $y_0 \dots y_8$, where $y_6 y_7 y_8$ is the third row and y_5 is the last element of the second row. (It's a permutation of $\{0, \dots, 8\}$, representing the relative order of a final permutation of $\{0, \dots, 3m-1\}$ that will fill the entire matrix.) At this point we've calculated counts $c(x_5, x_6, x_7, x_8)$ that tell us how many such partial whirlpool permutations have any given setting of $y_5 y_6 y_7 y_8$. In particular, $c(1, 1, 3, 2)$ counts those for which $y_5 y_6 y_7 y_8 = 3142$.

To get to the next round, we essentially want to know how many partial permutations $y'_0 \dots y'_9$ of $\{0, \dots, 9\}$ will have a given setting of $y'_6 y'_7 y'_8 y'_9$; the second row is now irrelevant to future computations. It's the same as asking how many permutations have $y_6 y_7 y_8 = 142$. Answer: $c(0, 1, 3, 2) + c(1, 1, 3, 2) + c(2, 1, 3, 2) + c(3, 1, 3, 2) + c(4, 1, 3, 2) + c(5, 1, 3, 2)$, because these count the permutations with $y_5 y_6 y_7 y_8 = 0142, 3142, 5142, 6142, 7142, 8142$.

Those six counts $c(k, 1, 3, 2)$ appear in memory locations $\mu_8(k, 1, 3, 2)$, for $0 \leq k \leq 5$. On the next round we'll want $c'(x'_6, x'_7, x'_8, x'_9) = c'(1, 3, 2, x'_9)$ to be set to their sum. These new counts will appear in memory locations $\mu_9(1, 3, 2, x'_9)$. So we'd like $\mu_9(1, 3, 2, k) = \mu_8(k, 1, 3, 2)$ when $0 \leq k \leq 5$.

Let $\lambda_t(x_{t-n}, \dots, x_t) = (\dots((x_t t + x_{t-1})(t-1) + x_{t-2}) \dots)(t-n+1) + x_{t-n} = x_t t^n + x_{t-1}(t-1)^{n-1} + \dots + x_{t-n}(t-n)^0$ be the standard mixed-radix representation of $(x_t \dots x_{t-n})$ with radices $(t+1, t, \dots, t-n+1)$. When each x_j ranges from 0 to j , $\lambda_t(x_{t-n}, \dots, x_t)$ ranges from $\lambda_t(0, \dots, 0) = 0$ to $\lambda_t(t-n, \dots, t) = (t+1)^{n+1} - 1$. Therefore λ_t would be the natural choice for μ_t , if we didn't want to avoid clobbering.

Instead, we use λ_t only when x_t is sufficiently large: We define

$$\mu_t(x_{t-n}, \dots, x_t) = \begin{cases} \lambda_t(x_{t-n}, \dots, x_t), & \text{if } x_t \geq t-n; \\ \mu_{t-1}(x_t, x_{t-n}, \dots, x_{t-1}), & \text{if } x_t \leq t-n-1. \end{cases}$$

This recursion terminates with $\mu_n = \lambda_n$, because x_n is always ≥ 0 . One can also show that $\mu_{n+1} = \lambda_{n+1}$.

Back to our earlier example, what is $\mu_8(k, 1, 3, 2)$? Since $2 \leq 4$, it's $\mu_7(2, k, 1, 3)$. And since $3 \leq 3$, it's $\mu_6(3, 2, k, 1)$. Which is $\mu_5(1, 3, 2, k)$. Finally, therefore, if $k \leq 1$, the value is $\lambda_4(k, 1, 3, 2) = 68 + k$; but if $2 \leq k \leq 5$ it's $\lambda_5(1, 3, 2, k) = 60k + 34$.

In this program we will keep x_j in location $x_{j \bmod (n+1)}$. Consequently the arguments to μ_t and λ_t will always be in locations $(x_{(t+1) \bmod (n+1)}, x_{(t+2) \bmod (n+1)}, \dots, x_{t \bmod (n+1)})$.

(Subroutines 4) \equiv

```

int mu(int t)
{
    register int r, a, p, tt;
    for (r = t % (n+1), tt = t; o, x[r] < tt - n; tt--, r = (r ? r - 1 : n)) ;
    for (o, p = x[r], r = (r ? r - 1 : n), a = 0; a < n; a++, r = (r ? r - 1 : n)) o, p = p * (tt - a) + x[r];
    return p;
}

```

This code is used in section 1.

5. A backtrack essentially like Algorithm 7.2.1.2X nicely runs through all combinations of $x_{t-n+1} \dots x_t$ and $y_{t-n+1} \dots y_t$ simultaneously, while also providing a linked list that shows the possibilities for y_{t-n} as x_{t-n} varies from 0 to $t - n$.

The algorithm generates all of the “ n -variations” of $\{0, \dots, t\}$, namely all n -tuples $a_0 \dots a_{n-1}$ of distinct integers in that set, where a_j corresponds to y_{t-j} in the discussion above.

```

⟨ Generate the  $x$ ’s and  $y$ ’s 5 ⟩ ≡
x1: for ( $k = 0$ ;  $k \leq t$ ;  $k++$ )  $o, l[k] = k + 1$ ;
     $o, l[t + 1] = 0$ ; /* circularly linked list */
     $k = 0, kk = t \% (n + 1)$ ;
x2: if ( $k \equiv n$ ) ⟨ Visit  $a_0 \dots a_{n-1}$  and goto x6 6 ⟩;
     $oo, p = t + 1, q = l[p], x[kk] = 0$ ;
x3:  $o, ay[k] = q$ ;
x4:  $ooo, u[k] = p, l[p] = l[q], k++, kk = (kk ? kk - 1 : n)$ ;
    goto x2;
x5:  $o, p = q, q = l[p]$ ;
    if ( $q \leq t$ ) {
         $oo, x[kk]++$ ;
        goto x3;
    }
x6: if ( $--k \geq 0$ ) {
     $kk = (kk \equiv n ? 0 : kk + 1)$ ;
     $ooo, p = u[k], q = ay[k], l[p] = q$ ;
    goto x5;
}

```

This code is used in section 8.

6. At this point we're ready to do the "inner loop" calculation, by using all counts $c(x_{t-n}, \dots, x_t)$ for $0 \leq x_{t-n} \leq t-n$ to obtain updated counts that will allow us to increase t . The array $a_{n-1} \dots a_0$ corresponds to $y_{t-n+1} \dots y_t$ in the discussion above; we want to loop over all choices for y_{t-n} , namely all choices for a_n . Fortunately there's a linked list containing precisely those choices, beginning at $l[t+1]$.

```

< Visit  $a_0 \dots a_{n-1}$  and goto x6 6 >  $\equiv$ 
{
  < If possible, find  $p$  and  $pdel$  so that  $c(x_{t-n}, \dots, x_t)$  is  $count[p + pdel * x[kk]]$  7 >;
  for ( $d = 0$ ;  $d \leq t + 1$ ;  $d++$ )  $o, newcount[d] = 0$ ;
   $oo, b = ay[n - 1], c = ay[0]$ ;
  if ( $b < c$ )  $bb = b, cc = c$ ;
  else  $bb = c, cc = b$ ; /* min and max */
  {
    register unsigned long long  $tmp$ ;
    for ( $oo, a = l[t + 1], x[kk] = 0$ ;  $a \leq t$ ;  $oo, a = l[a], x[kk]++$ ) {
      if ( $pdel$ )  $tmp = count[p + x[kk] * pdel]$ ;
      else  $tmp = count[mu(t - n)]$ ; /* if  $pdel = 0$  then  $mu(t) = mu(t - n)$  */
      if ( $j \equiv 0$ )  $newcount[0] += tmp$ ; /* no constraint, beginning a new row */
      else if ( $a < bb \vee a > cc$ ) { /* whirlpool constraint when  $a$  not middle */
        for ( $d = bb + 1$ ;  $d \leq cc$ ;  $d++$ )  $oo, newcount[d] += tmp$ ;
      } else { /* whirlpool constraint when  $d$  not middle */
        for ( $d = 0$ ;  $d \leq bb$ ;  $d++$ )  $oo, newcount[d] += tmp$ ;
        for ( $d = cc + 1$ ;  $d \leq t + 1$ ;  $d++$ )  $oo, newcount[d] += tmp$ ;
      }
    }
  }
  if ( $pdel$ ) {
    for ( $d = 0$ ;  $d \leq t - n$ ;  $d++$ )  $oo, count[p + d * pdel] = newcount[j ? d : 0]$ ;
    for ( $;$   $d \leq t + 1$ ;  $d++$ )  $ooo, x[kk] = d, count[mu(t + 1)] = newcount[j ? d : 0]$ ;
  } else {
    for ( $d = 0$ ;  $d \leq t + 1$ ;  $d++$ )  $ooo, x[kk] = d, count[mu(t + 1)] = newcount[j ? d : 0]$ ;
  }
}
goto x6;
}

```

This code is used in section 5.

7. Our example of $\mu_8(k, 1, 3, 2)$ shows that the mission of this step is sometimes impossible. But the addressing scheme is usually simple, so I decided to exploit that fact. (Being aware, of course, that premature optimization is the root of all evil in programming.)

```

< If possible, find  $p$  and  $pdel$  so that  $c(x_{t-n}, \dots, x_t)$  is  $count[p + pdel * x[kk]]$  7 >  $\equiv$ 
  for ( $tt = t, a = 0, r = t \% (n + 1)$ ;  $a < n$ ;  $a++, tt--, r = (r ? r - 1 : n)$ )
    if ( $o, x[r] \geq tt - n$ ) break;
  if ( $a \equiv n$ )  $pdel = 0$ ; /* a difficult case */
  else {
    for ( $p = pdel = 0, a = 0$ ;  $a \leq n$ ;  $a++, r = (r ? r - 1 : n)$ ) {
      if ( $r \neq kk$ )  $p = p * (tt + 1 - a) + x[r], pdel = pdel * (tt + 1 - a)$ ;
      else  $p = p * (tt + 1 - a), pdel = pdel * (tt + 1 - a) + 1$ ;
    }
  }
}

```

This code is used in section 6.

```

8.  ⟨ Handle constraint  $(i, j)$  8 ⟩ ≡
    {
       $t = n * i + j - 1$ ;
      if  $(t < n)$  {
        for  $(p = 0; p < tpow[n + 1]; p++)$  o,  $count[p] = 1$ ;
        continue;
      }
      ⟨ Generate the  $x$ 's and  $y$ 's 5 ⟩;
      fprintf(stderr, "done with %d, %d...%lld, %lld mems\n", i, j, count[0], mems);
    }

```

This code is used in section 1.

```

9. #define thresh 100000000000000000000
⟨Print the grand total 9⟩ ≡
  for (newcount[0] = newcount[1] = newcount[2] = 0, p = tpow[mn] - 1; p ≥ 0; p--) {
    if (count[p] > newcount[2]) newcount[2] = count[p], pdel = p;
    o, newcount[0] += count[p];
    if (newcount[0] ≥ thresh) ooo, newcount[0] -= thresh, newcount[1]++;
  }
  fprintf(stderr, "(Maximum_count_11d_is_obtained_for_params", newcount[2]);
  for (q = mn - n - 1; q < mn; q++) {
    fprintf(stderr, "_d", pdel % (q + 1));
    pdel /= q + 1;
  }
  fprintf(stderr, ")\n");
  if (newcount[1] ≡ 0)
    printf("Altogether_11d_dx_d_whirlpool_perms_(11d_mems).\n", newcount[0], m, n, mems);
  else printf("Altogether_11d_01811d_dx_d_whirlpool_perms_(11d_mems).\n", newcount[1],
    newcount[0], m, n, mems);

```

This code is used in section 1.

10. Index.

a: [1](#), [4](#).
acc: [2](#).
argc: [1](#), [2](#).
argv: [1](#), [2](#).
ay: [1](#), [5](#), [6](#).
b: [1](#).
bb: [1](#), [6](#).
c: [1](#).
cc: [1](#), [6](#).
count: [1](#), [2](#), [6](#), [8](#), [9](#).
d: [1](#).
exit: [2](#).
fprintf: [2](#), [8](#), [9](#).
i: [1](#).
j: [1](#).
k: [1](#).
kk: [1](#), [5](#), [6](#), [7](#).
l: [1](#).
m: [1](#).
main: [1](#).
malloc: [2](#).
maxmn: [1](#), [2](#).
maxn: [1](#), [2](#).
mems: [1](#), [8](#), [9](#).
mn: [1](#), [2](#), [9](#).
mu: [4](#), [6](#).
n: [1](#).
newcount: [1](#), [6](#), [9](#).
o: [1](#).
oo: [1](#), [5](#), [6](#).
ooo: [1](#), [5](#), [6](#), [9](#).
p: [1](#), [4](#).
pdel: [1](#), [6](#), [7](#), [9](#).
printf: [9](#).
q: [1](#).
r: [1](#), [4](#).
sscanf: [2](#).
stderr: [2](#), [8](#), [9](#).
t: [1](#), [4](#).
thresh: [9](#).
tmp: [6](#).
tpow: [1](#), [2](#), [8](#), [9](#).
tt: [1](#), [4](#), [7](#).
u: [1](#).
x: [1](#).
x1: [5](#).
x2: [5](#).
x3: [5](#).
x4: [5](#).
x5: [5](#).
x6: [5](#), [6](#).

- ⟨ Generate the x 's and y 's 5 ⟩ Used in section 8.
- ⟨ Handle constraint (i, j) 8 ⟩ Used in section 1.
- ⟨ If possible, find p and $pdel$ so that $c(x_{t-n}, \dots, x_t)$ is $count[p + pdel * x[kk]]$ 7 ⟩ Used in section 6.
- ⟨ Print the grand total 9 ⟩ Used in section 1.
- ⟨ Process the command line 2 ⟩ Used in section 1.
- ⟨ Subroutines 4 ⟩ Used in section 1.
- ⟨ Visit $a_0 \dots a_{n-1}$ and **goto** $x6$ 6 ⟩ Used in section 5.

WHIRLPOOL-COUNT

	Section	Page
Intro	1	1
Index	10	7