(See https://cs.stanford.edu/~knuth/programs.html for date.)

1. Generalized exact cover. This program implements the algorithm discussed in my paper about "dancing links." I hacked it together from the XCOVER program that I wrote in 1994; I apologize for not having time to apply spit and polish.

Given a matrix whose elements are 0 or 1, the problem is to find all subsets of its rows whose sum is at most 1 in all columns and exactly 1 in all "primary" columns. The matrix is specified in the standard input file as follows: Each column has a symbolic name, from one to seven characters long. The first line of input contains the names of all primary columns, followed by '1', followed by the names of all other columns. (If all columns are primary, the '1' may be omitted.) The remaining lines represent the rows, by listing the columns where 1 appears.

The program prints the number of solutions and the total number of link updates. It also prints every nth solution, if the integer command line argument n is given. A second command-line argument causes the full search tree to be printed, and a third argument makes the output even more verbose.

```
#define max_level 150
                             /* at most this many rows in a solution */
#define max_degree 10000
                                 /* at most this many branches per search tree node */
                              /* at most this many columns */
#define max\_cols 10000
#define max\_nodes 1000000
                                   /* at most this many nonzero elements in the matrix */
#define verbose Verbose
                               /* kludge because of 64-bit madness in SGB library */
#include <stdio.h>
#include <stdlib.h>
#include <ctype.h>
#include <string.h>
  \langle \text{Type definitions } 3 \rangle
  (Global variables 2)
  \langle \text{Subroutines } 6 \rangle;
  main(argc, argv)
      int argc;
       char * argv[];
    \langle \text{Local variables } 10 \rangle;
    verbose = argc - 1;
    if (verbose) sscanf(argv[1], "%d", &spacing);
    (Initialize the data structures 7);
    ⟨Backtrack through all solutions 12⟩;
    printf("Altogether_",%11d_solutions, _after_",%.15g_updates.\n", count, updates);
    if (verbose) (Print a profile of the search tree 23);
    exit(0);
2. \langle \text{Global variables 2} \rangle \equiv
                  /* > 0 to show solutions, > 1 to show partial ones too */
  int verbose;
  long long count = 0;
                           /* number of solutions found so far */
  double updates;
                       /* number of times we deleted a list element */
                      /* if verbose, we output solutions when count % spacing \equiv 0 *
  int spacing = 1;
  double profile[max\_level][max\_degree];
                                             /* tree nodes of given level and degree */
  double upd\_prof[max\_level];
                                    /* updates at a given level */
  int maxb = 0;
                     /* maximum branching factor actually needed */
                     /* maximum level actually reached */
  int maxl = 0;
See also sections 8 and 14.
This code is used in section 1.
```

2 DATA STRUCTURES DANCE §3

**3. Data structures.** Each column of the input matrix is represented by a **column** struct, and each row is represented as a linked list of **node** structs. There's one node for each nonzero entry in the matrix.

More precisely, the nodes are linked circularly within each row, in both directions. The nodes are also linked circularly within each column; the column lists each include a header node, but the row lists do not. Column header nodes are part of a **column** struct, which contains further info about the column.

Each node contains five fields. Four are the pointers of doubly linked lists, already mentioned; the fifth points to the column containing the node.

```
⟨Type definitions 3⟩ ≡
  typedef struct node_struct {
    struct node_struct *left, *right; /* predecessor and successor in row */
    struct node_struct *up, *down; /* predecessor and successor in column */
    struct col_struct *col; /* the column containing this node */
  } node;
See also section 4.
This code is used in section 1.
```

**4.** Each **column** struct contains five fields: The *head* is a node that stands at the head of its list of nodes; the *len* tells the length of that list of nodes, not counting the header; the *name* is a user-specified identifier; *next* and *prev* point to adjacent columns, when this column is part of a doubly linked list.

As backtracking proceeds, nodes will be deleted from column lists when their row has been blocked by other rows in the partial solution. But when backtracking is complete, the data structures will be restored to their original state.

```
⟨Type definitions 3⟩ +≡
typedef struct col_struct {
  node head; /* the list header */
  int len; /* the number of non-header items currently in this column's list */
  char name[8]; /* symbolic identification of the column, for printing */
  struct col_struct *prev, *next; /* neighbors of this column */
} column;
```

**5.** One **column** struct is called the root. It serves as the head of the list of columns that need to be covered, and is identifiable by the fact that its *name* is empty.

```
#define root col_array[0] /* gateway to the unsettled columns */
```

 $\S 6$ 3 DANCE DATA STRUCTURES

6. A row is identified not by name but by the names of the columns it contains. Here is a routine that prints a row, given a pointer to any of its columns. It also prints the position of the row in its column.

```
\langle Subroutines _{6}\rangle \equiv
   void print\_row(p)
         node *p;
   { register node *q = p;
      register int k;
         printf(" " ", q \rightarrow col \rightarrow name);
         q = q \rightarrow right;
      } while (q \neq p);
      \textbf{for} \ (q = p \text{-}col \text{-}head.down, k = 1; \ q \neq p; \ k \text{+++})
         if (q \equiv \&(p \rightarrow col \rightarrow head)) {
             printf("\n"); return;
                                                   /* row not in its column! */
          } else q = q \rightarrow down;
      printf(" ( \d_{\square}(\d_{\square} of_{\square}\d) \n", k, p \rightarrow col \rightarrow len);
   void print_state(int lev)
      register int l;
      for (l = 0; l \leq lev; l++) print\_row(choice[l]);
See also sections 15 and 16.
```

This code is used in section 1.

4 INPUTTING THE MATRIX DANCE §7

```
7. Inputting the matrix. Brute force is the rule in this part of the program.
\langle \text{ Initialize the data structures } 7 \rangle \equiv
  \langle \text{Read the column names 9} \rangle;
  \langle \text{ Read the rows } 11 \rangle;
This code is used in section 1.
8. #define buf\_size 8 * max\_cols + 3
                                                   /* upper bound on input line length */
\langle \text{Global variables } 2 \rangle + \equiv
  column col\_array[max\_cols + 2];
                                              /* place for column records */
                                          /* place for nodes */
  node node\_array[max\_nodes];
  char buf [buf_size];
     #define panic(m)
          { fprintf(stderr, "%s!\n%s", m, buf); exit(-1); }
\langle \text{ Read the column names } 9 \rangle \equiv
  cur\_col = col\_array + 1;
  fgets(buf, buf\_size, stdin);
  if (buf[strlen(buf) - 1] \neq `\n') panic("Input_line_too_long");
  for (p = buf, primary = 1; *p; p++) {
     while (isspace(*p)) p++;
     if (\neg *p) break;
     if (*p \equiv '|') {
        primary = 0;
       if (cur\_col \equiv col\_array + 1) \ panic("No\_primary\_columns");
        (cur\_col - 1) \neg next = \&root, root.prev = cur\_col - 1;
       continue:
     for (q = p + 1; \neg isspace(*q); q \leftrightarrow);
     if (q > p + 7) panic("Column_name_too_long");
     if (cur\_col \ge \& col\_array[max\_cols]) panic("Too\_many\_columns");
     for (q = cur\_col \neg name; \neg isspace(*p); q++, p++) *q = *p;
     cur\_col \neg head.up = cur\_col \neg head.down = \& cur\_col \neg head;
     cur\_col \rightarrow len = 0;
     if (primary) cur\_col \neg prev = cur\_col - 1, (cur\_col - 1) \neg next = cur\_col;
     else cur\_col \neg prev = cur\_col \neg next = cur\_col;
     cur\_col +\!\!+;
  if (primary) {
     if (cur\_col \equiv col\_array + 1) \ panic("No\_primary\_columns");
     (cur\_col - 1) \neg next = \& root, root.prev = cur\_col - 1;
  }
This code is used in section 7.
10. \langle \text{Local variables } 10 \rangle \equiv
  register column *cur\_col;
  register char *p, *q;
  register node *cur_node;
  int primary;
See also sections 13 and 20.
This code is used in section 1.
```

§11 DANCE

```
11. \langle \text{Read the rows } 11 \rangle \equiv
   cur\_node = node\_array;
   while (fgets(buf, buf_size, stdin)) {
     register column *ccol;
     \textbf{register node} * row\_start;
     if (buf[strlen(buf) - 1] \neq '\n') panic("Input_line_too_long");
     row\_start = \Lambda;
     for (p = buf; *p; p++) {
        while (isspace(*p)) p ++;
        if (\neg *p) break;
        for (q = p + 1; \neg isspace(*q); q \leftrightarrow);
        if (q > p + 7) panic("Column_name_too_long");
        for (q = cur\_col \neg name; \neg isspace(*p); q++, p++) *q = *p;
        *q = '\0';
        for (ccol = col\_array; strcmp(ccol \neg name, cur\_col \neg name); ccol ++);
        if (ccol \equiv cur\_col) \ panic("Unknown\_column\_name");
        if (cur\_node \equiv \&node\_array[max\_nodes]) panic("Too_{\sqcup}many_{\sqcup}nodes");
        if (\neg row\_start) row\_start = cur\_node;
        else cur\_node \neg left = cur\_node - 1, (cur\_node - 1) \neg right = cur\_node;
        cur\_node \neg col = ccol;
        cur\_node \neg up = ccol \neg head.up, ccol \neg head.up \neg down = cur\_node;
        ccol \neg head.up = cur\_node, cur\_node \neg down = \&ccol \neg head;
        ccol \neg len ++;
        cur\_node ++;
     if (¬row_start) panic("Empty□row");
     row\_start \neg left = cur\_node - 1, (cur\_node - 1) \neg right = row\_start;
This code is used in section 7.
```

6 BACKTRACKING DANCE  $\S12$ 

12. Backtracking. Our strategy for generating all exact covers will be to repeatedly choose always the column that appears to be hardest to cover, namely the column with shortest list, from all columns that still need to be covered. And we explore all possibilities via depth-first search.

The neat part of this algorithm is the way the lists are maintained. Depth-first search means last-in-firstout maintenance of data structures; and it turns out that we need no auxiliary tables to undelete elements from lists when backing up. The nodes removed from doubly linked lists remember their former neighbors, because we do no garbage collection.

The basic operation is "covering a column." This means removing it from the list of columns needing to be covered, and "blocking" its rows: removing nodes from other lists whenever they belong to a row of a node in this column's list.

```
\langle \text{ Backtrack through all solutions } 12 \rangle \equiv
  level = 0;
forward: \langle \text{Set } best\_col \text{ to the best column for branching } 19 \rangle;
  cover(best\_col);
  cur\_node = choice[level] = best\_col \rightarrow head.down;
advance:
  if (cur\_node \equiv \&(best\_col \neg head)) goto backup;
  if (verbose > 1) {
     printf("L%d:", level);
     print_row(cur_node);
  \langle \text{ Cover all other columns of } cur\_node 17 \rangle;
  if (root.next \equiv \& root) (Record solution and goto recover 21);
  level++;
  goto forward;
backup: uncover(best_col);
  if (level \equiv 0) goto done:
  level -\!\!-\!\!:
  cur\_node = choice[level]; best\_col = cur\_node \rightarrow col;
recover: (Uncover all other columns of cur_node 18);
  cur\_node = choice[level] = cur\_node \neg down; goto advance;
done:
  if (verbose > 3) (Print column lengths, to make sure everything has been restored 22);
This code is used in section 1.
     \langle \text{Local variables } 10 \rangle + \equiv
  register column *best\_col;
                                        /* column chosen for branching */
  register node *pp; /* traverses a row */
    \langle \text{Global variables } 2 \rangle + \equiv
                  /* number of choices in current partial solution */
  node *choice[max_level]; /* the row and column chosen on each level */
```

§15 DANCE BACKTRACKING 7

15. When a row is blocked, it leaves all lists except the list of the column that is being covered. Thus a node is never removed from a list twice.

```
\langle \text{Subroutines } 6 \rangle + \equiv
   cover(c)
         column *c;
   { register column *l, *r;
      register node *rr, *nn, *uu, *dd;
      register k=1;
                                 /* updates */
      l = c \neg prev; \ r = c \neg next;
      l \rightarrow next = r; r \rightarrow prev = l;
      for (rr = c \rightarrow head.down; rr \neq \&(c \rightarrow head); rr = rr \rightarrow down)
         for (nn = rr \rightarrow right; nn \neq rr; nn = nn \rightarrow right) {
            uu = nn \neg up; dd = nn \neg down;
            uu \rightarrow down = dd; dd \rightarrow up = uu;
            k++;
            nn \rightarrow col \rightarrow len --;
      updates += k;
      upd\_prof[level] += k;
```

16. Uncovering is done in precisely the reverse order. The pointers thereby execute an exquisitely choreographed dance which returns them almost magically to their former state.

18. We included *left* links, thereby making the rows doubly linked, so that columns would be uncovered in the correct LIFO order in this part of the program. (The *uncover* routine itself could have done its job with *right* links only.) (Think about it.)

```
\langle Uncover all other columns of cur\_node 18\rangle \equiv for (pp = cur\_node \neg left; pp \neq cur\_node; pp = pp \neg left) uncover(pp \neg col); This code is used in section 12.
```

8 BACKTRACKING DANCE  $\S19$ 

```
\langle \text{Set } best\_col \text{ to the best column for branching } 19 \rangle \equiv
  minlen = max\_nodes;
  if (verbose > 2) printf("Level", level);
  for (cur\_col = root.next; cur\_col \neq \&root; cur\_col = cur\_col \rightarrow next) {
     if (verbose > 2) printf("_{\square}\%s(%d)", cur\_col \neg name, cur\_col \neg len);
     if (cur\_col \neg len < minlen) best\_col = cur\_col, minlen = cur\_col \neg len;
  if (verbose) {
     if (level > maxl) {
       if (level \ge max\_level) panic("Too_lmany_levels");
        maxl = level;
     if (minlen > maxb) {
        if (minlen \ge max\_degree) panic("Too_{\sqcup}many_{\sqcup}branches");
        maxb = minlen;
     profile[level][minlen]++;
     if (verbose > 2) printf("\_branching\_on\_\%s(%d)\n", best\_col¬name, minlen);
This code is used in section 12.
20. \langle \text{Local variables } 10 \rangle + \equiv
  register int minlen;
  register int j, k, x;
21.
     \langle \text{ Record solution and goto } recover 21 \rangle \equiv
     count ++;
     if (verbose) {
        profile[level + 1][0] ++;
       if (count \% spacing \equiv 0) {
          printf("\%11d: \n", count);
          for (k = 0; k \le level; k++) print\_row(choice[k]);
     goto recover;
This code is used in section 12.
22.
      \langle \text{Print column lengths, to make sure everything has been restored } 22 \rangle \equiv
  {
     printf("Final_column_lengths");
     for (cur\_col = root.next; cur\_col \neq \&root; cur\_col = cur\_col \rightarrow next)
        printf("⊔%s(%d)", cur_col→name, cur_col→len);
     printf("\n");
This code is used in section 12.
```

 $\S23$  Dance backtracking 9

```
23. \langle Print a profile of the search tree 23\rangle \equiv {
    double tot, subtot;
    tot = 1; /* the root node doesn't show up in the profile */ for (level = 1; level \leq maxl + 1; level ++) {
    subtot = 0;
    for (k = 0; k \leq maxb; k++) {
        printf(" \_ \%5.6g", profile[level][k]);
        subtot += profile[level][k];
    }
    printf(" \_ \%5.15g \_ nodes, \_ \%.15g \_ updates \_ n", subtot, upd \_ prof[level - 1]);
    tot += subtot;
    }
    printf("Total \_ \%.15g \_ nodes. \_ n", tot);
}
This code is used in section 1.
```

10 INDEX DANCE  $\S 24$ 

## 24. Index.

advance: 12. $argc: \underline{1}.$  $argv: \underline{1}.$ backup:  $\underline{12}$ .  $best\_col$ : 12, <u>13</u>, 19. buf: 8, 9, 11.  $buf\_size: 8, 9, 11.$  $c: \ \underline{15}, \ \underline{16}.$ ccol:  $\underline{11}$ . *choice*: 6, 12, <u>14</u>, 21. col: 3, 6, 11, 12, 15, 16, 17, 18.  $col\_array$ : 5, 8, 9, 11. col\_struct: 3, 4. **column**: <u>4</u>, 5, 8, 10, 11, 13, 15, 16. count:  $1, \underline{2}, 21.$ cover:  $12, \ \underline{15}, \ 17.$ *cur\_col*: 9, <u>10</u>, 11, 19, 22.  $cur\_node$ : <u>10</u>, 11, 12, 17, 18. dd:  $\underline{15}$ ,  $\underline{16}$ . done:  $\underline{12}$ .  $down: \ \underline{3}, \ 6, \ 9, \ 11, \ 12, \ 15, \ 16.$ *exit*: 1, 9. *fgets*: 9, 11. forward: 12.fprintf: 9. $head: \underline{4}, 6, 9, 11, 12, 15, 16.$ *isspace*: 9, 11. j:  $\underline{20}$ .  $k: \ \underline{6}, \ \underline{15}, \ \underline{20}.$  $l: \ \underline{6}, \ \underline{15}, \ \underline{16}.$ *left*:  $\underline{3}$ , 11, 16, 18. len:  $\underline{4}$ , 6, 9, 11, 15, 16, 19, 22.  $lev: \underline{6}.$ level: 12, <u>14</u>, 15, 19, 21, 23. main: 1. $max\_cols: \underline{1}, 8, 9.$  $max\_degree$ :  $\underline{1}$ ,  $\underline{2}$ ,  $\underline{19}$ .  $max\_level$ :  $\underline{1}$ ,  $\underline{2}$ ,  $\underline{14}$ ,  $\underline{19}$ .  $max\_nodes: \underline{1}, 8, 11, 19.$ maxb: 2, 19, 23. $maxl: \underline{2}, 19, 23.$ minlen:  $19, \underline{20}$ . name:  $\underline{4}$ , 5, 6, 9, 11, 19, 22.  $next: \underline{4}, 9, 12, 15, 16, 19, 22.$  $nn: \ \underline{15}, \ \underline{16}.$ **node**: 3, 4, 6, 8, 10, 11, 13, 14, 15, 16.  $node\_array: 8, 11.$  $node\_struct: 3.$  $p: \ \underline{6}, \ \underline{10}.$  $panic \colon \ \underline{9}, \ 11, \ 19.$ pp: 13, 17, 18.

 $prev: \underline{4}, 9, 15, 16.$ primary: 9, 10.  $print\_row$ :  $\underline{6}$ ,  $\underline{12}$ ,  $\underline{21}$ .  $print\_state$ : <u>6</u>. printf: 1, 6, 12, 19, 21, 22, 23. profile: 2, 19, 21, 23. $q: \ \ \underline{6}, \ \underline{10}.$  $r: \ \underline{15}, \ \underline{16}.$ recover:  $\underline{12}$ ,  $\underline{21}$ . right: 3, 6, 11, 15, 17, 18.  $root: \ \underline{5}, \ 9, \ 12, \ 19, \ 22.$  $row\_start: \underline{11}.$  $rr: \ \underline{15}, \ \underline{16}.$ spacing:  $1, \underline{2}, 21$ . sscanf: 1.stderr: 9.stdin: 9, 11. strcmp: 11.*strlen*: 9, 11.  $subtot: \underline{23}.$ tot:  $\underline{23}$ .  $uncover: 12, \underline{16}, 18.$  $up: \underline{3}, 9, 11, 15, 16.$  $upd\_prof: \ \ \underline{2}, \ 15, \ 23.$ updates: 1, 2, 15. uu: 15, 16.Verbose: 1.  $verbose: \underline{1}, \underline{2}, 12, 19, 21.$  $x: \underline{20}.$ 

DANCE NAMES OF THE SECTIONS 11

```
 \left\langle \text{Backtrack through all solutions } 12 \right\rangle \quad \text{Used in section 1.}   \left\langle \text{Cover all other columns of } cur\_node \quad 17 \right\rangle \quad \text{Used in section 12.}   \left\langle \text{Global variables } 2, \, 8, \, 14 \right\rangle \quad \text{Used in section 1.}   \left\langle \text{Initialize the data structures } 7 \right\rangle \quad \text{Used in section 1.}   \left\langle \text{Local variables } 10, \, 13, \, 20 \right\rangle \quad \text{Used in section 1.}   \left\langle \text{Print a profile of the search tree } 23 \right\rangle \quad \text{Used in section 1.}   \left\langle \text{Print column lengths, to make sure everything has been restored } 22 \right\rangle \quad \text{Used in section 12.}   \left\langle \text{Read the column names } 9 \right\rangle \quad \text{Used in section 7.}   \left\langle \text{Read the rows } 11 \right\rangle \quad \text{Used in section 7.}   \left\langle \text{Record solution and } \mathbf{goto} \quad recover \quad 21 \right\rangle \quad \text{Used in section 12.}   \left\langle \text{Set } best\_col \quad \text{to the best column for branching 19} \right\rangle \quad \text{Used in section 12.}   \left\langle \text{Subroutines } 6, \, 15, \, 16 \right\rangle \quad \text{Used in section 1.}   \left\langle \text{Type definitions 3, 4} \right\rangle \quad \text{Used in section 1.}   \left\langle \text{Uncover all other columns of } cur\_node \quad 18 \right\rangle \quad \text{Used in section 12.}
```

## DANCE

	Section	Page
Generalized exact cover	1	. 1
Data structures	3	. 2
Inputting the matrix	7	4
Backtracking	12	6
Index	24	10