§1 GRAYSPAN INTRO 1

(Downloaded from https://cs.stanford.edu/~knuth/programs.html and typeset on May 28, 2023)

1. Intro. This program was written (somewhat hastily) in order to experiment with an algorithm that generates all spanning trees of a given graph, changing only one edge at a time. Most of the basic ideas are adapted from Malcolm Smith's M.S. thesis, "Generating spanning trees" (University of Victoria, 1997), which also contains more complex variations that guarantee better asymptotic performance. I intend to experiment with those additional bells and whistles later.

The first command line argument is the name of a file that specifies an undirected graph in Stanford GraphBase SAVE\_GRAPH format; the graph may have repeated edges, but it must not contain loops. Additional command line arguments are ignored except that they cause more verbose output. The least verbose output contains only overall statistics about the total number of spanning trees found and the total number of mems used.

```
#define verbose (argc > 2)
#define extraverbose (argc > 3)
\#define o mems ++
#define oo mems += 2
#define ooo mems +=3
#define oooo mems += 4
#define ooooo mems += 5
#include "gb_graph.h"
#include "gb_save.h"
  ⟨ Preprocessor definitions ⟩
  double mems;
                      /* memory references made */
                      /* trees found */
  double count:
  (Subroutines 5)
  main(\mathbf{int} \ argc, \mathbf{char} * argv[])
     \langle \text{Local variables 3} \rangle;
     \langle \text{Input the graph 2} \rangle;
     \langle \text{ Initialize the algorithm } 16 \rangle;
     (Generate all spanning trees 7);
    printf("Altogether_%.15g_spanning_trees,_using_%.15g_mems.\n", count, mems);
    exit(0);
  }
2. \langle \text{Input the graph 2} \rangle \equiv
  if (argc < 2) {
    exit(1);
  }
  g = restore\_graph(argv[1]);
  if (\neg g) {
    fprintf(stderr, "Sorry, \_can't\_create\_the\_graph\_from\_file\_\%s!\_(error\_code\_\%d)\n", <math>arqv[1],
         panic\_code);
    exit(-1);
  n = q \rightarrow n;
  \langle Check the graph for validity and prepare it for action 4\rangle;
This code is used in section 1.
```

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```
3. ⟨Local variables 3⟩ ≡
register Graph *g; /* the graph we're dealing with */
register int n; /* the number of vertices */
register int k; /* current integer of interest */
register Vertex *u, *v, *w; /* current vertices of interest */
register Arc *e, *ee, *f, *ff; /* current edges of interest */
See also section 8.
This code is used in section 1.
```

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4. Graph preparation. While we're checking to see that the graph meets certain minimal standards, we might as well also compute the degree of each vertex, since our algorithm will be using that information. We also ensure that the SGB "edge trick" works on our computer.

In this program we deviate from normal conventions of the Stanford GraphBase by using a doubly linked list of arcs from each vertex v. Namely,  $v \rightarrow arcs$  points to a header node h, and the arcs from v are  $h \rightarrow next$ ,  $h \rightarrow next \rightarrow next$ , etc., until returning to h again. All arc nodes e in this list have  $e \rightarrow next \rightarrow prev = e \rightarrow prev \rightarrow next = e$ . The header node is distinguished by the property  $h \rightarrow tip = \Lambda$ .

The "length" of each edge is changed to an identifying number for use in printouts.

```
/* utility field u of each vertex holds its current degree */
#define deg \ u.I
                               /* utility field a of each arc holds its backpointer */
#define prev \ a.A
#define mate(e) (edge_trick & (siz_t)(e) ? (e) -1: (e) +1)
\langle Check the graph for validity and prepare it for action 4\rangle \equiv
   if (verbose) printf("Graph_\%s_\has_\text{the}_\following_\edges: \n", <math>g \rightarrow id);
   for (v = g \rightarrow vertices, k = 0; v < g \rightarrow vertices + n; v ++) {
      f = gb\_virgin\_arc();
                                   /* the new header node */
      f \rightarrow next = v \rightarrow arcs;
      for (v \rightarrow deg = 0, e = v \rightarrow arcs, v \rightarrow arcs = f; e; v \rightarrow deg +++, f = e, e = e \rightarrow next) {
         e \rightarrow prev = f;
         u = e \rightarrow tip;
         if (u \equiv v) {
            fprintf(stderr, "Oops, \_there's \_a \_loop \_from \_%s \_to \_itself! \n", v \rightarrow name);
            exit(-3);
         if (mate(e) \rightarrow tip \neq v) {
            fprintf(stderr, "Oops: \_There's \_an\_arc \_from\_\%s \_to_\%s, \n", u \rightarrow name, v \rightarrow name);
            fprintf(stderr, "\_but\_the\_edge\_trick\_doesn't\_find\_the\_opposite\_arc!\n");
            exit(-4);
         if (u > v) {
            e \rightarrow len = mate(e) \rightarrow len = ++k;
            if (verbose) printf("_{\square}\%d:_{\square}\%s_{\square}--_{\square}\%s\n", k, v\rightarrow name, u\rightarrow name);
      }
      v \rightarrow arcs \rightarrow prev = f, f \rightarrow next = v \rightarrow arcs;
                                                          /* complete the double linking */
      if (v \rightarrow deg \equiv 0) {
         fprintf(stderr, "Graph_{||}\%s_{||}has_{||}an_{||}isolated_{||}vertex_{||}\%s! \n", q-id, v-name);
         exit(-5);
   }
This code is used in section 2.
5. Here's something I might like to use when debugging.
\langle \text{Subroutines 5} \rangle \equiv
   void print\_arcs(\mathbf{Vertex} * v)
   {
      register Arc *a;
      printf("Arcs_{\sqcup}leading_{\sqcup}from_{\sqcup}%s: \n", v \rightarrow name);
      for (a = v \neg arcs \neg next; a \neg tip; a = a \neg next) printf("u%du(tou%s)\n", a \neg ten, a \neg tip \neg name);
See also section 17.
```

This code is used in section 1.

4 THE METHOD GRAYSPAN §6

**6.** The method. Let G be a graph with n > 1 vertices. The basic idea of Smith's algorithm is to generate all spanning trees of G in such a way that the first one includes a given *near-tree*, namely a set of n-2 edges that don't contain a cycle. This task is easy if n=2: We simply list all the edges.

If n > 2 and if the near-tree is  $\{e_1, \ldots, e_{n-2}\}$ , we proceed as follows: First form the graph  $G \cdot e_1$  by shrinking edge  $e_1$  (making its endpoints identical). All spanning trees of G that include  $e_1$  are obtained by appending  $e_1$  to a spanning tree of  $G \cdot e_1$ ; so we proceed recursively to generate all spanning trees of  $G \cdot e_1$ , beginning with the near-tree  $\{e_2, \ldots, e_{n-2}\}$ . If no such trees exist, we stop; in this case  $G \cdot e_1$  is not connected, so G is not connected and it has no spanning trees. Otherwise, suppose the last spanning tree found for  $G \cdot e_1$  is  $f_1 \ldots f_{n-2}$ . Then we complete our task by deleting edge  $e_1$  and generating all spanning trees in the resulting graph  $G \setminus e_1$ , starting with the near-tree  $\{f_1, \ldots, f_{n-2}\}$ .

7. This program implements the recursion directly by maintaining an array of edges  $a_1 
ldots a_n$ . When we enter level l, positions  $a_1 
ldots a_{l-1}$  contain edges to include in the tree, and those edges have been shrunk in the current graph. Position  $a_l$  is effectively blank, and the remaining positions  $a_{l+1} 
ldots a_{n-1}$  contain the edges of a near-tree that should be part of the next spanning tree generated.

We don't delete an edge that is a "bridge," whose removal would disconnect the current graph. When a non-bridge edge e is deleted at level l, we set  $change_{-}e = e$ . If the previously found spanning tree was  $a_1 \ldots a_{n-1}$ , the next tree found will be  $a_1 \ldots a_{l-1}a_{l+1} \ldots a_{n-1}e'$  for some new edge e'; thus it will differ from its predecessor by removing edge  $change_{-}e$  and replacing it with e'.

It's convenient to keep array element  $a_l$  in a utility field within the vertex array, represented by aa(l). Another such utility field, del(l), points to a stack of the edges deleted before coming to a bridge; edges on this list are linked together via a link field.

Experienced readers will not be shocked by the fact that this part of the program has a **goto** leading from one loop into another.

```
#define aa(l) (g \rightarrow vertices + l) \rightarrow z.A
                                                       /* the edge a_l */
#define del(l) (g \rightarrow vertices + l) \rightarrow y.A
                                                       /* the most recent edge deleted on level l */
#define link b.A
                              /* points from one edge to another */
\langle Generate all spanning trees 7\rangle \equiv
   change_{-}e = \Lambda;
   v = g \neg vertices;
                             /* this instruction needed only if n = 2 */
   for (l = 1; l < n - 1; l ++) {
      o, del(l) = \Lambda;
   enter: ooo, e = aa(l+1), u = e \rightarrow tip, v = mate(e) \rightarrow tip;
      if (oo, u \rightarrow deg > v \rightarrow deg) v = u, e = mate(e), u = e \rightarrow tip;
      \langle \text{Shrink } e \text{ by changing } u \text{ to } v \text{ 10} \rangle;
      o, aa(l) = e;
   for (o, e = v \rightarrow arcs \rightarrow next; o, e \rightarrow tip; o, e = e \rightarrow next) {
      o, aa(l) = e;
      \langle Produce a new spanning tree by changing change_e to e 9 \rangle;
      change_e = e;
   for (l--; l; l--) {
      e = aa(l), u = e \rightarrow tip, v = mate(e) \rightarrow tip;
      \langle \text{Unshrink } e \text{ by restoring } u \text{ 11} \rangle;
      (If e is not a bridge, delete it, set change e = e, and goto enter 12);
      \langle Undelete all edges deleted since entering level l 15\rangle;
This code is used in section 1.
```

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```
8. ⟨Local variables 3⟩ +≡
register int l; /* the current level */
Arc *change_e; /* edge that may change next */
9. ⟨Produce a new spanning tree by changing change_e to e 9⟩ ≡
count ++;
if (verbose) {
   if (¬change_e ∨ extraverbose) {
      printf("%.15g:", count);
      for (k = 1; k < n; k++) printf("¬%d", aa(k)¬len);
      if (extraverbose ∧ change_e) printf("¬(d+%d)\n", change_e¬len, e¬len);
      else printf("\n");
   } else printf("%.15g:¬%d+%d\n", count, change_e¬len, e¬len);
}</li>
This code is used in section 7.
```

10. To shrink an edge between u and v, we insert u's adjacency list into v's, changing all references to u into references to v; those references occur in tip fields of mates of the arcs in u's list.

We also delete all former edges between u and v, since those would otherwise become loops. Those former edges are linked together via their link fields, so that we can restore them later.

Note that e-tip = u, so e appears in the v list while mate(e) appears in the u list.

```
#define delete(e) ee = e,oooo, ee\neg prev\neg next = ee\neg next, ee\neg next\neg prev = ee\neg prev \langle Shrink e by changing u to v 10\rangle \equiv oo, k = u\neg deg + v\neg deg; for (o, f = u\neg arcs\neg next, ff = \Lambda; o, f\neg tip; o, f = f\neg next) if (f\neg tip \equiv v) delete(f), delete(mate(f)), k = 2, o, f\neg link = ff, ff = f; else o, mate(f)\neg tip = v; oo, e\neg link = ff, v\neg deg = k; if (extraverbose) printf("level_\ngma %d:\ngma Shrinking_\ngma %d;\ngma now_\ngma %s_\ngma has_\ngma degree_\ngma %d\n", <math>l, e\neg len, v\neg name, v\neg deg); o, ff = v\neg arcs; /* now f = u\neg arcs; we will merge the two lists */ oooo, f\neg prev\neg next = ff\neg next, ff\neg next\neg prev = f\neg prev; ooo, f\neg next\neg prev = ff, ff\neg next = f\neg next; This code is used in section 7.
```

11. Unshrinking uses the principle of "dancing links," whereby we exploit the fact that previously deleted nodes still have good information in their *prev* and *next* fields, provided that we undelete in reverse order.

```
#define undelete(e) ee = e,oooo, ee \neg next \neg prev = ee, ee \neg prev \neg next = ee

\langle \text{Unshrink } e \text{ by restoring } u \text{ } 11 \rangle \equiv oo, f = u \neg arcs, ff = v \neg arcs; ooo, ff \neg next = f \neg prev \neg next; o, ff \neg next \neg prev = ff; ooo, f \neg prev \neg next = f, f \neg next \neg prev = f; for <math>(f = f \neg prev; o, f \neg tip; o, f = f \neg prev) o, mate(f) \neg tip = u; for <math>(oo, f = e \neg link, k = v \neg deg; f; o, f = f \neg link) k += 2, undelete(mate(f)), undelete(f); oo, v \neg deg = k - u \neg deg; if <math>(extraverbose)

printf("level \sqcup %d: \sqcup Unshrinking \sqcup %d; \sqcup now \sqcup %s \sqcup has \sqcup degree \sqcup %d n", l, e \neg len, v \neg name, v \neg deg); This code is used in section 7.
```

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12. For bridge detection, we try a heuristic that often gives a quick answer when the graph is sparse (namely, to test if u has degree 1). Or if  $e \neg link \neg link \neq \Lambda$ , there was another edge between u and v. Otherwise we resort to brute-force breadth-first, testing whether one can get from u to v without e.

When I put this algorithm in a book, I'll probably leave out the two quick-try heuristics, in order to keep the algorithm shorter; breadth-first search will resolve both cases without too much additional calculation. But for now I'm trying to see how useful they are.

```
#define bfs \ v.V
                                   /* link for the breadth-first search: nonnull if vertex seen */
\langle \text{ If } e \text{ is not a bridge, delete it, set } change_e = e, \text{ and } \textbf{goto} \text{ } enter \text{ 12} \rangle \equiv
   if (o, u \rightarrow deg \equiv 1) {
       if (extraverbose) printf("level_\%d: \\d\_is\\a_\bridge\_with\\endpoint\\\%s\n\\, l, e¬len, u¬name);
       goto bridge;
   if (o, e \rightarrow link \rightarrow link) {
       if (extraverbose) printf("level_\%d:_\%d_\is_\parallel_\to_\%d\n", l, e \to len,
                  e \rightarrow link \rightarrow len \neq e \rightarrow len ? e \rightarrow link \rightarrow len : e \rightarrow link \rightarrow link \rightarrow len);
       goto nonbridge;
   for (o, u \rightarrow bfs = v, w = u; u \neq v; o, u = u \rightarrow bfs) {
       for (oo, f = u \rightarrow arcs \rightarrow next; o, f \rightarrow tip; o, f = f \rightarrow next)
          if (o, f \rightarrow tip \rightarrow bfs \equiv \Lambda) {
              if (f \rightarrow tip \equiv v) {
                 if (f \neq mate(e)) \( Nullify all bfs links and goto nonbridge 13 \);
              } else oo, f \rightarrow tip \rightarrow bfs = v, w \rightarrow bfs = f \rightarrow tip, w = f \rightarrow tip;
          }
    \textbf{if} \ (\textit{extraverbose}) \ \textit{printf} ( \texttt{"level} \verb"\", l. e \rightarrow len ); \\
   for (o, u = e \rightarrow tip; u \neq v; o, u \rightarrow bfs = \Lambda, u = w) o, w = u \rightarrow bfs;
   goto bridge;
nonbridge: change_e = e;
   \langle \text{ Delete } e \text{ and } \mathbf{goto} \text{ } enter \text{ 14} \rangle;
bridge:
This code is used in section 7.
13.
        (Nullify all bfs links and goto nonbridge 13) \equiv
       for (o, u = e \rightarrow tip; u \neq v; o, u \rightarrow bfs = \Lambda, u = w) o, w = u \rightarrow bfs;
       goto nonbridge;
This code is used in section 12.
14. \langle \text{ Delete } e \text{ and } \mathbf{goto } enter | 14 \rangle \equiv
   if (extraverbose) printf("level_\\\ddsymbol{d}: \deleting_\\\ddsymbol{d}\n", l, e \rightarrow len);
   ooo, e \rightarrow link = del(l), del(l) = e;
   delete(e), delete(mate(e)), oo, e \rightarrow tip \rightarrow deg ---, v \rightarrow deg ---;
   goto enter;
This code is used in section 12.
```

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8 GETTING STARTED GRAYSPAN  $\S16$ 

16. Getting started. We're done, except for one embarrassing detail: It is necessary to prime the pump by setting up the original near-tree  $a_2 
dots a_{n-1}$ . For this purpose I'll use depth-first search, since it seems a bit faster than the alternatives. And I might as well check that the graph is connected.

```
#define sentinel (q-vertices)
\langle Initialize the algorithm _{16}\rangle \equiv
   for (v = g \neg vertices + 1; \ v < g \neg vertices + n; \ v \leftrightarrow) \ v \neg bfs = \Lambda;
   for (k = n - 1, o, w = v = q \rightarrow vertices, w \rightarrow bfs = sentinel; ; o, v = w, w = w \rightarrow bfs) {
      for (oo, e = v \rightarrow arcs \rightarrow next; o, u = e \rightarrow tip; o, e = e \rightarrow next)
         if (o, u \rightarrow bfs \equiv \Lambda) {
            o, aa(k) = e, k--;
            if (k \equiv 0) goto connected;
            o, u \rightarrow bfs = w, w = u;
      if (w \equiv sentinel) break;
   printf("Oops, the graph is n't connected! n"); exit(0);
connected:
   for (u = g \neg vertices; u < g \neg vertices + n; u ++) \ o, u \neg bfs = \Lambda;
   if (extraverbose) {
      printf("Depth-first_search_yields_the_following_spanning_tree:\n");
      print_{-}a(g);
   if (verbose) printf("(%.15g<sub>\top</sub>mems<sub>\top</sub>for<sub>\top</sub>initialization)\n", mems);
This code is used in section 1.
17. One final debugging aid.
\langle \text{Subroutines } 5 \rangle + \equiv
   void print_{-}a(\mathbf{register} \ \mathbf{Graph} \ *g)
      register int k;
      for (k = 1; k < g \rightarrow n; k ++)
         printf("_{\perp}a\%d=\%d_{\perp}(\%s_{\perp}--_{\perp}\%s)\n", k, aa(k)-len, aa(k)-tip-name, mate(aa(k))-tip-name);
```

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10 NAMES OF THE SECTIONS GRAYSPAN

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