(Downloaded from https://cs.stanford.edu/~knuth/programs.html and typeset on May 28, 2023)

1. Intro. This program is part of a series of "SAT-solvers" that I'm putting together for my own education as I prepare to write Section 7.2.2.2 of *The Art of Computer Programming*. My intent is to have a variety of compatible programs on which I can run experiments to learn how different approaches work in practice.

Many of the previous implementations in this series—SAT0, SAT3, SAT4, SAT5, and SAT10—were based on a natural backtracking approach that has come to be known in the SAT community as the DPLL paradigm, honoring the pioneering work of Davis, Putnam, Logemann, and Loveland. Several decades of experience with that paradigm have led to an extremely efficient class of programs now called *lookahead solvers*, which devote considerable time to choosing the variables on which to branch. The extra work of making that choice might cost us a factor of a thousand, say, at every branch node; yet we might also decrease the number of nodes by a factor of a million, thus making a net thousand-fold gain. Somewhat to my surprise, this rosy prediction (contrary to what I had believed for many years) actually does work in practice: There are many SAT problems (especially those based on combinatorial tasks, as well as the academic yet appealing cases of unsatisfiable random 3SAT) for which judicious lookaheads outperform any other known method.

Consequently SAT11 is intended to represent a modern lookahead solver. I've based it largely on Marijn Heule's MARCH, which has been regularly classed with the world's best lookahead solvers for the last decade or so. I expect SAT11 to be the most ambitious program of this series, because it combines many advanced ideas that I wish to understand and to explain to the readers of *TAOCP*. On the other hand, I have not included all of the bells and whistles of MARCH; in particular, I've omitted the separate treatment of clause sets that represent linear equations mod 2, as well as the "limited discrepancy search" technique by which branches of the search tree are explored in a nonstandard order.

This basic SAT11 program, like the earliest versions of MARCH, is intended for 3SAT problems only: All clauses must have size 3 or less. However, a changefile converts this program to SAT11K, which has no such restriction. A good understanding of the 3SAT version presented below will make it easier to understand the modifications by which the algorithms can be adapted to handle clauses of any length.

If you have already read SAT10 (or some other program of this series), you might as well skip now past all the code for the "I/O wrapper," because you have seen it before.

The input on stdin is a series of lines with one clause per line. Each clause is a sequence of literals separated by spaces. Each literal is a sequence of one to eight ASCII characters between ! and }, inclusive, not beginning with $\tilde{}$, optionally preceded by $\tilde{}$ (which makes the literal "negative"). For example, Rivest's famous clauses on four variables, found in 6.5–(13) and 7.1.1–(32) of TAOCP, can be represented by the following eight lines of input:

Input lines that begin with $\tilde{\ }_{\sqcup}$ are ignored (treated as comments). The output will be ' $\tilde{\ }$ ' if the input clauses are unsatisfiable. Otherwise it will be a list of noncontradictory literals that cover each clause, separated by spaces. ("Noncontradictory" means that we don't have both a literal and its negation.) The input above would, for example, yield ' $\tilde{\ }$ '; but if the final clause were omitted, the output would be ' $\tilde{\ }$ x1 $\tilde{\ }$ x2 x3', in some order, possibly together with either x4 or $\tilde{\ }$ x4 (but not both). No attempt is made to find all solutions; at most one solution is given.

The running time in "mems" is also reported, together with the approximate number of bytes needed for data storage. One "mem" essentially means a memory access to a 64-bit word. (These totals don't include the time or space needed to parse the input or to format the output.)

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So here's the structure of the program. (Skip ahead if you are impatient to see the interesting stuff.) #**define** o mems++ /* count one mem */ #**define** oo mems += 2/* count two mems */ /* count three mems */ #define ooo mems += 3#define O "%" /* used for percent signs in format strings */ #include <stdio.h> #include <stdlib.h> #include <string.h> #include "gb_flip.h" typedef unsigned int uint; /* a convenient abbreviation */ typedef unsigned long long ullng; /* ditto */ $\langle \text{Type definitions 5} \rangle;$ $\langle \text{Global variables } 3 \rangle;$ $\langle \text{Subroutines } 29 \rangle;$ main(int argc, char *argv[]) register int au, av, aw, h, i, j, jj, k, kk, l, ll, p, pp, q, qq, r, s; register int c, cc, hh, la, lp, ls, ola, ols, tla, tls, tll, sl, su, sv, sw; register int t, tt, u, uu, $v\theta$, v, vv, w, ww, x, y, xl, pu, aa, ss, pv, ua, va; $\langle \text{Process the command line 4} \rangle$; ⟨Initialize everything 8⟩; $\langle \text{Input the clauses 9} \rangle$; if (verbose & show_basics) (Report the successful completion of the input phase 22); (Set up the main data structures 37); imems = mems, mems = 0; \langle Solve the problem 150 \rangle : done: **if** (verbose & show_basics) $fprintf(stderr, "Altogether_"O"llu+"O"llu_mems, "O"llu_bytes, "O"llu_nodes. \n", imems, imems, output (stderr, "Altogether, "O"llu-nodes. \n", imems, imems, output (stderr, "Altogether, "O"llu-nodes. \n", imems, output (stderr, "Altogether, "Altogether, "O"llu-nodes. \n", imems, output (stderr, "Altogether, "O"llu-nodes. \n", imems, output (stderr, "Altogether, "Altogether, "O"llu-nodes. \n", imems, output (stderr, "Altogether, "O"llu-nodes. \n", imems, output (stderr, "Altogether, "Altogether, "O"llu-nodes. \n", imems, output (stderr, "Altogether, "O"llu-nodes. \n", imems, output (stderr, "Altogether, "Altogether, "O"llu-nodes. \n", imems, output (stderr, "Altogether, "O"llu-nodes. \n", imems, output (stderr, "Altogether, "Altogether$ mems, bytes, nodes); }

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3. The default values of parameters below have been tuned for random 3SAT instances, based on tests by Holger Hoos in 2015.

```
#define show_basics 1
                            /* verbose code for basic stats */
                             /* verbose code for backtrack logging */
#define show_choices 2
#define show_details 4
                             /* verbose code for further commentary */
                                  /* verbose code for more yet */
#define show_gory_details 8
\# define \ show\_doubly\_gory\_details \ 16
                                          /* verbose code for still more */
#define show_unused_vars 32
                                   /* verbose code to list variables not in solution */
                             /* verbose code to show the prelookahead scores */
#define show_scores 64
#define show_strong_comps 128
                                      /* verbose code to show strong components */
                             /* verbose code to show the lookahead forest */
#define show_looks 256
\langle \text{Global variables } 3 \rangle \equiv
  int random\_seed = 0;
                            /* seed for the random words of gb\_rand */
  int \ verbose = show\_basics + show\_unused\_vars;
                                                    /* level of verbosity */
  int show\_choices\_max = 1000000;
                                        /* above this level, show_choices is ignored */
  int hbits = 8;
                    /* logarithm of the number of the hash lists */
                                      /* don't print more than this many hists */
  int print\_state\_cutoff = 32 * 80;
  int buf\_size = 1024;
                          /* must exceed the length of the longest input line */
  FILE *out\_file;
                       /* file for optional output */
  char *out\_name;
                        /* its name */
  FILE *primary_file;
                           /* file for optional input */
  char *primary\_name;
                             /* its name */
                        /* the number of primary variables */
  int primary_vars;
  ullng imems, mems;
                            /* mem counts */
                   /* memory used by main data structures */
  ullng bytes:
  ullng nodes;
                   /* the number of nodes entered */
  ullng thresh = 100000000000;
                                   /* report when mems exceeds this, if delta \neq 0 */
  ullng delta = 100000000000;
                                  /* report every delta or so mems */
                                             /* give up after this many mems */
  ullng timeout = #1ffffffffffffffff;
                                              /* binary log of the maximum size of mem */
  uint memk_{-}max = memk_{-}max_{-}default;
  float alpha = 3.5;
                         /* magic constant for heuristic scores */
  float max\_score = 20.0;
                              /* heuristic scores will be at most this */
  int hlevel_max = 50;
                           /* saved levels of heuristic scores */
                           /* preselected candidates times levels */
  int levelcand = 600;
  int mincutoff = 30;
                           /* don't cut off fewer than this many candidates */
  int max\_prelook\_arcs = 1000;
                                    /* space available for arcs re strong components */
  int dl_{-}max_{-}iter = 32;
                            /* maximum iterations of double-look */
  float dl_{-}rho = 0.9995;
                             /* damping factor for the double-look trigger */
See also sections 7, 24, 36, 48, 60, 67, 89, 91, 107, 119, 123, 131, and 139.
This code is used in section 2.
```

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- 4. On the command line one can specify any or all of the following options:
- 'v (integer)' to enable various levels of verbose output on *stderr*.
- 'c \(\rangle\) positive integer \(\rangle\)' to limit the levels on which clauses are shown.
- 'h' positive integer' to adjust the hash table size.

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- 'H(positive integer)' to limit the literals whose histories are shown by *print_state*. 'b(positive integer)' to adjust the size of the input buffer.
- 's (integer)' to define the seed for any random numbers that are used.
- 'd (integer)' to set delta for periodic state reports. (See print_state.)
- 'm(positive integer)' to adjust the maximum memory size. (The binary logarithm is specified; it must be at most 31.)
- 'a positive float' to adjust the magic constant α in heuristic scores.
- 't (positive float)' to adjust the maximum permissible heuristic score.
- '1 (positive integer)' to adjust the number of levels of heuristic scores that are remembered.
- 'p\(\phi\) positive integer\'\)' to adjust the parameter levelcand, approximating "candidates times levels" during the preselection phase.
- 'q(positive integer)' to adjust the parameter *mincutoff*, the minimum cutoff on the number of candidates during preselection.
- ' \mathbf{z} \(\rangle\) positive integer\'\)' to adjust $max_prelook_arcs$, the maximum number of arcs retained when studying the reduced digraph during preselection.
- 'i (positive integer)' to adjust *dl_max_iter*, the maximum number of iterations allowed during a double-lookahead. (An exceptionally large value will actually *disable* double-lookahead, because there won't be enough available "truth space.")
- 'r \(positive float \)' to adjust dl_rho , the damping factor for $dl_trigger$.
- 'x\langle filename \rangle' to copy the input plus a solution-eliminating clause to the specified file. If the given problem is satisfiable in more than one way, a different solution can be obtained by inputting that file.
- 'V(filename)' to input a file that lists the names of all "primary" variables. A nonprimary variable will not be used for branching unless its value is forced, or unless all of the primary variables have already been assigned a value.
- 'T (integer)' to set timeout: This program will abruptly terminate, when it discovers that mems > timeout.

```
\langle \text{ Process the command line 4} \rangle \equiv
  for (j = argc - 1, k = 0; j; j - -)
    switch (argv[j][0]) {
    case 'v': k = (sscanf(argv[j] + 1, ""O"d", \&verbose) - 1); break;
    case 'c': k = (sscanf(argv[j] + 1, ""O"d", \&show\_choices\_max) - 1); break;
    case 'H': k = (scanf(arqv[i] + 1, ""O"d", \&print\_state\_cutoff) - 1); break;
    case 'h': k = (sscanf(argv[j] + 1, ""O"d", \&hbits) - 1); break;
    case 'b': k = (sscanf(argv[j] + 1, ""O"d", \&buf\_size) - 1); break;
    case 's': k = (sscanf(argv[j] + 1, ""O"d", \&random\_seed) - 1); break;
    case 'd': k = (sscanf(argv[j] + 1, ""O"lld", \&delta) - 1); thresh = delta; break;
    case 'm': k = (sscanf(argv[j] + 1, ""O"d", \&memk\_max) - 1); break;
    case 'a': k = (sscanf(argv[j] + 1, ""O"f", \&alpha) - 1); break;
    \mathbf{case 't'} \colon k \mid = (sscanf(argv[j] + 1, \texttt{""}O\texttt{"f"}, \&max\_score) - 1); \ \mathbf{break};
    case '1': k = (sscanf(argv[j] + 1, ""O"d", \&hlevel\_max) - 1); break;
    case 'p': k = (sscanf(argv[j] + 1, ""O"d", \&levelcand) - 1); break;
    case 'q': k = (sscanf(argv[j] + 1, ""O"d", \& mincutoff) - 1); break;
    case 'z': k = (sscanf(argv[j] + 1, ""O"d", \&max\_prelook\_arcs) - 1); break;
    case 'i': k = (sscanf(argv[j] + 1, ""O"d", \&dl\_max\_iter) - 1); break;
    case 'r': k = (sscanf(argv[j] + 1, ""O"f", \&dl\_rho) - 1); break;
    \mathbf{case} \ \texttt{`x':} \ out\_name = argv[j] + 1, out\_file = fopen(out\_name, \texttt{"w"});
       if (\neg out\_file) fprintf(stderr, "I_\can't_\cupen_\cupfile_\cup'"O"s'_\cupfor_\cupent!\n", out\_name);
       break;
```

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```
 \begin{array}{l} \mathbf{case} \ '\mathbf{V'}: \ primary\_name = argv[j] + 1, primary\_file = fopen(primary\_name, "r"); \\ \mathbf{if} \ (\neg primary\_file) \ fprintf(stderr, "I_{\square} \mathbf{can't_{\square} open_{\square} file_{\square'}} "O"s'_{\square} \mathbf{for_{\square} input!} \n", primary\_name); \\ \mathbf{break}; \\ \mathbf{case} \ '\mathbf{T'}: \ k = (sscanf(argv[j] + 1, ""O"lld", \&timeout) - 1); \ \mathbf{break}; \\ \mathbf{default}: \ k = 1; \ /* \ unrecognized \ command-line \ option \ */ \\ \\ \\ \mathbf{if} \ (k \lor hbits < 0 \lor hbits > 30 \lor buf\_size \le 0 \lor memk\_max < 2 \lor memk\_max > 31 \lor alpha \le 0.0 \lor max\_score \le \\ 0.0 \lor hlevel\_max < 3 \lor levelcand \le 0 \lor mincutoff \le 0 \lor max\_prelook\_arcs \le 0 \lor dl\_max\_iter \le 0) \ \\ \\ fprintf(stderr, "Usage:_{\square}"O"s_{\square}[v < n >]_{\square}[c < n >]_{\square}[b < n >]_{\square}[s < n >]_{\square}[d < n >]_{\square}[m < n >]", argv[0]); \\ \\ fprintf(stderr, "_{\square}[H < n >]_{\square}[a < f >]_{\square}[t < f >]_{\square}[t < n >]_{\square}[p < n >]_{\square}[q < n]_{\square}[z < n >]"); \\ \\ fprintf(stderr, "_{\square}[i < n >]_{\square}[r < f >]_{\square}[x < foo >]_{\square}[V < foo >]_{\square}[T < n >]_{\square} < n >]_{\square} < n >] \\ \\ exit(-1); \\ \\ \end{array}
```

This code is used in section 2.

6 THE I/O WRAPPER SAT11 §5

5. The I/O wrapper. The following routines read the input and absorb it into temporary data areas from which all of the "real" data structures can readily be initialized. My intent is to incorporate these routines into all of the SAT-solvers in this series. Therefore I've tried to make the code short and simple, yet versatile enough so that almost no restrictions are placed on the sizes of problems that can be handled. These routines are supposed to work properly unless there are more than $2^{32} - 1 = 4,294,967,295$ occurrences of literals in clauses, or more than $2^{31} - 1 = 2,147,483,647$ variables or clauses.

In these temporary tables, each variable is represented by four things: its unique name; its serial number; the clause number (if any) in which it has most recently appeared; and a pointer to the previous variable (if any) with the same hash address. Several variables at a time are represented sequentially in small chunks of memory called "vchunks," which are allocated as needed (and freed later).

```
/* preferably (2^k - 1)/3 for some k */
#define vars_per_vchunk 341
\langle \text{ Type definitions 5} \rangle \equiv
  typedef union {
    char ch8[8];
    uint u2[2];
    long long lng;
  } octa;
  typedef struct tmp_var_struct {
    octa name:
                     /* the name (one to eight ASCII characters) */
                     /* 0 for the first variable, 1 for the second, etc. */
    uint serial;
                    /* m if positively in clause m; -m if negatively there */
    int stamp;
                                          /* pointer for hash list */
    struct tmp_var_struct *next;
  } tmp_var;
  typedef struct vchunk_struct {
                                         /* previous chunk allocated (if any) */
    struct vchunk_struct *prev;
    tmp_var var[vars_per_vchunk];
  } vchunk:
See also sections 6, 26, 27, 28, 34, 35, 88, 106, and 118.
This code is used in section 2.
```

6. Each clause in the temporary tables is represented by a sequence of one or more pointers to the **tmp_var** nodes of the literals involved. A negated literal is indicated by adding 1 to such a pointer. The first literal of a clause is indicated by adding 2. Several of these pointers are represented sequentially in chunks of memory, which are allocated as needed and freed later.

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```
7. \langle \text{Global variables } 3 \rangle + \equiv
                   /* buffer for reading the lines (clauses) of stdin */
  \mathbf{char} * buf;
                          /* heads of the hash lists */
  tmp_var **hash;
  uint hash\_bits[93][8];
                              /* random bits for universal hash function */
                               /* the vchunk currently being filled */
  vchunk *cur\_vchunk;
  vchunk *last_vchunk;
                               /* another pointer for vchunk manipulation */
  tmp\_var * cur\_tmp\_var;
                                 /* current place to create new tmp_var entries */
  tmp\_var *bad\_tmp\_var;
                                 /* the cur_tmp_var when we need a new vchunk */
  chunk *cur\_chunk;
                            /* the chunk currently being filled */
  tmp_var **cur_cell;
                             /* current place to create new elements of a clause */
  tmp_var **bad_cell;
                             /* the cur_cell when we need a new chunk */
  ullng vars;
                   /* how many distinct variables have we seen? */
  ullng clauses;
                      /* how many clauses have we seen? */
  ullng nullclauses;
                          /* how many of them were null? */
                      /* how many were ternary? */
  int ternaries;
                    /* how many occurrences of literals in clauses? */
  ullng cells;
                       /* is the current clause ignorable? */
  int non_clause;
8. \langle \text{Initialize everything } 8 \rangle \equiv
  gb\_init\_rand(random\_seed);
  buf = (\mathbf{char} *) \ malloc(buf\_size * \mathbf{sizeof}(\mathbf{char}));
  if (\neg buf) {
    fprintf(stderr, "Couldn't_allocate_the_input_buffer_(buf_size="O"d)!\n", buf_size);
    exit(-2);
  hash = (\mathbf{tmp\_var} **) \ malloc(\mathbf{sizeof}(\mathbf{tmp\_var}) \ll hbits);
    fprintf(stderr, "Couldn't_allocate_u"O"d_hash_list_heads_u(hbits="O"d)!\n", 1 \ll hbits, hbits);
  for (h = 0; h < 1 \ll hbits; h \leftrightarrow) hash[h] = \Lambda;
See also section 15.
This code is used in section 2.
```

8 THE I/O WRAPPER SAT11 §9

9. The hash address of each variable name has h bits, where h is the value of the adjustable parameter hbits. Thus the average number of variables per hash list is $n/2^h$ when there are n different variables. A warning is printed if this average number exceeds 10. (For example, if h has its default value, 8, the program will suggest that you might want to increase h if your input has 2560 different variables or more.)

All the hashing takes place at the very beginning, and the hash tables are actually recycled before any SAT-solving takes place; therefore the setting of this parameter is by no means crucial. But I didn't want to bother with fancy coding that would determine h automatically.

```
\langle \text{Input the clauses 9} \rangle \equiv
      if (primary_file) \langle Input the primary variables 10 \rangle;
      while (1) {
            if (\neg fgets(buf, buf\_size, stdin)) break;
            clauses ++;
            if (buf[strlen(buf) - 1] \neq '\n') {
                  fprintf(stderr, "The \clause \cupon \cupul ine \cupu" O" \cupu" \cupu" O" \cupu" \cupu on \cupu ine \cupu" on \cupu ine \cupu" on \cupu on \cupu on \cupu on \cupu on \cupu on \cupu" on \cupu on \cupu
                  fprintf(stderr, "limylibuf_size_lis_lonly_l"O"d!\n", buf_size);
                  fprintf(stderr, "Please\_use\_the\_command-line\_option\_b<newsize>. \n");
                   exit(-4);
             \langle \text{ Input the clause in } buf 11 \rangle;
      if (\neg primary\_file) primary\_vars = vars;
      if ((vars \gg hbits) > 10) {
            fprintf(stderr, "There\_are\_"O"lld\_variables\_but\_only\_"O"d\_hash\_tables; \n", vars, 1 \ll hbits);
            while ((vars \gg hbits) \ge 10) \ hbits ++;
            fprintf(stderr, "\_maybe\_you\_should\_use\_command-line\_option\_h"O"d?\n", hbits);
      clauses -= null clauses;
      if (clauses \equiv 0) {
            fprintf(stderr, "No_{\square}clauses_{\square}were_{\square}input! \n");
            exit(-77);
      if (vars > #8000000) {
            fprintf(stderr, "Whoa, \_the \_input\_had \_"O"llu\_variables! \n", vars);
            exit(-664);
      if (clauses \ge *80000000) {
            fprintf(stderr, "Whoa, | the | input| had | "O" llu| clauses! \n", clauses);
            exit(-665);
      if (cells \ge #10000000) {
            fprintf(stderr, "Whoa, \_the \_input \_had \_"O"llu \_occurrences \_of \_literals! \n", cells);
            exit(-666);
```

This code is used in section 2.

 $\S10$ SAT11 THE I/O WRAPPER \S

10. We input from $primary_file$ just as if it were the standard input file, except that all "clauses" are discarded. (Line numbers in error messages are zero.) The effect is to place the primary variables first in the list of all variables: A variable is primary if and only if its index is $\leq primary_vars$.

```
\langle \text{Input the primary variables } 10 \rangle \equiv
     while (1) {
       if (¬fgets(buf, buf_size, primary_file)) break;
        if (buf[strlen(buf) - 1] \neq '\n') {
          fprintf(stderr, "The_{\sqcup}clause_{\sqcup}on_{\sqcup}line_{\sqcup}"O"lld_{\sqcup}("O".20s...)_{\sqcup}is_{\sqcup}too_{\sqcup}long_{\sqcup}for_{\sqcup}me; \n",
                clauses, buf);
          fprintf(stderr, "\_my\_buf\_size\_is\_only\_"O"d!\n", buf\_size);
          fprintf(stderr, "Please\_use\_the\_command-line\_option\_b<newsize>. \n");
          exit(-4);
        \langle \text{ Input the clause in } buf 11 \rangle;
        (Remove all variables of the current clause 19);
     cells = null clauses = 0;
     primary\_vars = vars;
     if (verbose & show_basics)
        fprintf(stderr, "("O"d_iprimary_ivariables_iread_ifrom_i"O"s)\n", primary_vars, primary_name);
This code is used in section 9.
11. \langle \text{Input the clause in } buf | 11 \rangle \equiv
  for (j = k = non\_clause = 0; \neg non\_clause;) {
     while (buf[j] \equiv ' \sqcup ') j ++;
                                          /* scan to nonblank */
     if (buf[j] \equiv '\n') break;
     if (buf[j] < ` \Box ` \lor buf[j] > ` ` `)  {
       fprintf(stderr, "Illegal \ character \ (code \ \#"O"x) \ in \ the \ clause \ on \ line \ "O"lld! \ ",
             buf[j], clauses);
        exit(-5);
     if (buf[j] \equiv , \sim, ) i = 1, j ++;
     else i=0;
     \langle Scan \text{ and record a variable}; \text{ negate it if } i \equiv 1 \text{ 12} \rangle;
  if (k \equiv 0 \land \neg non\_clause) {
     fprintf(stderr, "(Empty_line_l"O"lld_lis_being_lignored)\n", clauses);
     nullclauses ++;
                           /* strictly speaking it would be unsatisfiable */
  if (non\_clause) \langle Remove all variables of the current clause 19\rangle
  else {
     if (k > 3) {
       fprintf(stderr, "Sorry: _lThis_program_accepts_unary, _binary, _land_ternary_clauses_lonly!");
       fprintf(stderr, "u(lineu"O"lld)\n", clauses);
        exit(-1);
     if (k \equiv 3) ternaries ++;
  cells += k;
This code is used in sections 9 and 10.
```

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```
12.
       We need a hack to insert the bit codes 1 and/or 2 into a pointer value.
#define hack_in(q,t) (tmp_var *)(t | (ullng) q)
\langle Scan and record a variable; negate it if i \equiv 1 12\rangle \equiv
     register tmp_var *p;
     if (cur\_tmp\_var \equiv bad\_tmp\_var) (Install a new vchunk 13);
     \langle \text{Put the variable name beginning at } buf[j] \text{ in } cur\_tmp\_var \neg name \text{ and compute its hash code } h \text{ 16} \rangle;
     if (\neg non\_clause) {
        \langle \text{Find } cur\_tmp\_var \neg name \text{ in the hash table at } p \text{ 17} \rangle;
        if (clauses \land (p \neg stamp \equiv clauses \lor p \neg stamp \equiv -clauses)) \land Handle a duplicate literal 18 \rangle
           p \rightarrow stamp = (i ? -clauses : clauses);
           if (cur\_cell \equiv bad\_cell) (Install a new chunk 14);
           *cur\_cell = p;
           if (i \equiv 1) *cur\_cell = hack\_in(*cur\_cell, 1);
           if (k \equiv 0) *cur\_cell = hack\_in(*cur\_cell, 2);
           cur\_cell++, k++;
  }
This code is used in section 11.
     \langle \text{Install a new vchunk } 13 \rangle \equiv
13.
  {
     register vchunk *new_vchunk;
     new\_vchunk = (\mathbf{vchunk} *) \ malloc(\mathbf{sizeof}(\mathbf{vchunk}));
     if (\neg new\_vchunk) {
        fprintf(stderr, "Can't_allocate_a_new_vchunk!\n");
        exit(-6);
     new\_vchunk \neg prev = cur\_vchunk, cur\_vchunk = new\_vchunk;
     cur\_tmp\_var = \&new\_vchunk \neg var[0];
     bad\_tmp\_var = \&new\_vchunk \rightarrow var[vars\_per\_vchunk];
This code is used in section 12.
14. \langle \text{Install a new chunk } 14 \rangle \equiv
     register chunk *new_chunk;
     new\_chunk = (\mathbf{chunk} *) \ malloc(\mathbf{sizeof}(\mathbf{chunk}));
     if (\neg new\_chunk) {
        fprintf(stderr, "Can't_allocate_a_new_chunk!\n");
        exit(-7);
     new\_chunk \neg prev = cur\_chunk, cur\_chunk = new\_chunk;
     cur\_cell = \&new\_chunk \neg cell[0];
     bad\_cell = \&new\_chunk \neg cell[cells\_per\_chunk];
This code is used in section 12.
```

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15. The hash code is computed via "universal hashing," using the following precomputed tables of random bits.

```
\langle \text{Initialize everything } 8 \rangle + \equiv
  for (j = 92; j; j--)
     for (k = 0; k < 8; k++) hash\_bits[j][k] = gb\_next\_rand();
16. \(\rightarrow\) Put the variable name beginning at buf[j] in cur\_tmp\_var \neg name and compute its hash code h 16\) \(\equiv \)
   cur\_tmp\_var \rightarrow name.lng = 0;
   for (h = l = 0; buf[j + l] > ' ' \land buf[j + l] \leq ' " ; l \leftrightarrow )
     if (l > 7) {
        fprintf(stderr, "Variable \_ name \_ "O".9s... \_ in \_ the \_ clause \_ on \_ line \_ "O" lld \_ is \_ too \_ long! \n",
              buf + j, clauses);
        exit(-8);
     h \oplus = hash\_bits[buf[j+l] - '!'][l];
     cur\_tmp\_var \rightarrow name.ch8[l] = buf[j+l];
  if (l \equiv 0) non_clause = 1; /* '~' by itself is like 'true' */
   else j += l, h \&= (1 \ll hbits) - 1;
This code is used in section 12.
17. \langle \text{Find } cur\_tmp\_var \neg name \text{ in the hash table at } p \mid 17 \rangle \equiv
   for (p = hash[h]; p; p = p \rightarrow next)
     if (p \rightarrow name.lng \equiv cur\_tmp\_var \rightarrow name.lng) break;
                 /* new variable found */
  if (\neg p) {
     p = cur\_tmp\_var ++;
     p \rightarrow next = hash[h], hash[h] = p;
     p \rightarrow serial = vars ++;
     p \rightarrow stamp = 0;
This code is used in section 12.
18. The most interesting aspect of the input phase is probably the "unwinding" that we might need to do
```

18. The most interesting aspect of the input phase is probably the "unwinding" that we might need to do when encountering a literal more than once in the same clause.

This code is used in section 12.

12 The I/O Wrapper Satii §19

19. An input line that begins with ' \sim _{\square}' is silently treated as a comment. Otherwise redundant clauses are logged, in case they were unintentional. (One can, however, intentionally use redundant clauses to force the order of the variables.)

```
\langle Remove all variables of the current clause 19\rangle \equiv
            while (k) {
                   \langle \text{Move } cur\_cell \text{ backward to the previous cell } 20 \rangle;
            if (non\_clause \land ((buf[0] \neq ```) \lor (buf[1] \neq `\_')))
                  fprintf(stderr, "(The_{\sqcup}clause_{\sqcup}on_{\sqcup}line_{\sqcup}"O"lld_{\sqcup}is_{\sqcup}always_{\sqcup}satisfied) \n", clauses);
            nullclauses ++;
This code is used in sections 10 and 11.
20. \langle \text{Move } cur\_cell \text{ backward to the previous cell } 20 \rangle \equiv
      if (cur\_cell > \& cur\_chunk \neg cell[0]) \ cur\_cell ---;
      else {
            register chunk *old\_chunk = cur\_chunk;
            cur\_chunk = old\_chunk \neg prev; free(old\_chunk);
            bad\_cell = \&cur\_chunk \neg cell[cells\_per\_chunk];
            cur\_cell = bad\_cell - 1;
This code is used in sections 19 and 41.
21. Here I must omit 'free (old_vchunk)' from the code that's usually in this section, because the variable
data will be used later.
\langle \text{Move } cur\_tmp\_var \text{ backward to the previous temporary variable 21} \rangle \equiv
     if (cur\_tmp\_var > \& cur\_vchunk \neg var[0]) \ cur\_tmp\_var --;
            register vchunk *old\_vchunk = cur\_vchunk;
            cur\_vchunk = old\_vchunk \neg prev;
                                                                                                               /* and don't free(old_vchunk) */
            bad\_tmp\_var = \& cur\_vchunk \rightarrow var[vars\_per\_vchunk];
            cur\_tmp\_var = bad\_tmp\_var - 1;
This code is used in section 46.
22. \langle Report the successful completion of the input phase 22 \rangle \equiv
      fprintf(stderr, "("O"lld_variables, | "O"lld_clauses, | "O"llu_literals_successfully_read) \n", for the context of the conte
```

 $fprintf(stderr, "("O"lld_Uvariables,_U"O"lld_Uclauses,_U"O"llu_Uliterals_Usuccessfully_Uread) \\ vars, clauses, cells);$

This code is used in section 2.

- 23. SAT solving, version 11. A lookahead solver explores a binary tree of possibilities by choosing, at every decision node, a variable x for which the node's subtrees correspond to asserting x or \bar{x} . Several more-or-less independent activities are part of this process:
- (1) Preselection. At each decision node we choose a subset P of the unassigned variables, based on our best guess as to which of them might be good candidates for further exploration.
- (2) Selection. We look ahead at the immediate consequences of asserting the truth and falsity of each variable in P. Then we choose the variable that appears to reduce the problem most efficiently.
- (3) Propagation. We update the current state of the problem by incorporating all consequences of a new assertion.
- (4) Backtracking. When a contradiction arises in some branch, we must undo the effects of propagation and move to an unexplored branch of the tree.

Each of these activities, except thankfully the last, involves many individual steps.

In some sense this program represents an attitude: We're not afraid to throw code at the problem.

24. Quite a few cooperating data structures are needed to do all these things at high speed. I shall therefore try to summarize the main ones here.

First, we need to represent the fact that variable x is true, false, or unknown. In fact, we must also deal with intermediate stages by which x is known with various degrees of certainty, based on tentative assumptions that we've made during the lookahead or propagation process. Every variable therefore has an integer stamp, which is even if x is true, odd if x is false, and relatively large if the value is relatively certain. Setting the stamp to 0 makes x absolutely unknown; setting the stamp to the highest possible values real_truth or real_truth + 1 makes it absolutely true or false. Setting the stamp to an intermediate value like 100 makes x true when the "current stamp" cs is 2, 4, ..., 100, but unknown when cs > 100. (The value of cs is always even, and it never exceeds known.)

Second, we need quick access to the consequences of binary clauses. A binary clause $l \vee l'$ is equivalent to two direct implications $\bar{l} \to l'$ and $\bar{l'} \to l$, and the set of all such implications forms a digraph called the implication graph. The bimp data structure makes it easy to find all literals that are directly implied by any given literal. (And since $\bar{l} \to l'$ if and only if $\bar{l'} \to l$, it's equally easy to find all literals that directly *imply* any given literal.) New binary implications are learned and added to bimp as computation proceeds, and they are stored sequentially in memory; therefore the individual lists are allocated dynamically, within a large array called mem, using the "buddy system" (Algorithm 2.5R).

Third, there's also a timp data structure. Each ternary clause $l \vee l' \vee l''$ means that $\bar{l} \to l' \vee l''$, $\bar{l}' \to l'' \vee l$. $\bar{l}'' \to l \vee l'$; and timp records the binary clauses implied by any given literal. (Preprocessing has ensured that each ternary clause appears in a canonical order l < l' < l''; thus we won't have both $\bar{l} \to l' \vee l''$ and $l \to l'' \lor l'$ within timp.) New ternary implications are not added to timp during the computation; therefore the timp structure is allocated once and for all at the beginning. When a ternary clause becomes satisfied, it is swapped to an inactive part of timp so that it will not slow down the analysis of active clauses.

Fourth, there's a sequential list freevar of all variables not currently assigned, and an inverse list freeloc to tell where a particular variable appears in freevar.

Fifth, sixth, etc., there are a bunch of more conventional data structures: Attributes of literal l appear in lmem[l]; attributes of variable x appear in vmem[x]. The rstack holds the names of literals in the order they have been (tentatively) set. The istack holds the names of variables whose bimp entries have grown, together with the value needed to ungrow them when we undo a decision. The nstack contains information about nodes of the decision tree that have led to the current state. Later we will define a number of special data structures for use in parts of this program that are essentially self-contained.

```
\langle \text{Global variables } 3 \rangle + \equiv
  uint *stamp:
                    /* the current levels of truth, falsity, and uncertainty */
                   /* master array of buddy-allocated blocks for bimp lists */
  uint *mem;
  bdata *bimp;
                     /* indexes into mem for lists of binary implications */
                     /* master array of blocks for timp lists */
  tpair *tmem;
                    /* indexes into tmem for lists of ternary implications */
  tdata *timp;
                               /* perm of the variables from free to assigned */
  uint *freevar,
  int freevars;
                    /* how many of the variables are still free (unassigned)? */
                     /* stack and queue for backtracking and unit propagation */
  uint *rstack;
  int rptr;
                /* the number of elements used in rstack */
  idata * istack;
                     /* bimp sizes to be undone if necessary */
               /* the number of elements used in istack */
  int iptr;
  int iptr\_max:
                     /* largest iptr currently allocated in virtual memory */
                       /* node information */
  \mathbf{ndata} * nstack;
  int level:
                /* current depth in the decision tree */
                      /* attributes of literals */
  literal *lmem;
                         /* attributes of variables */
  variable *vmem;
```

25. The variables are numbered $1, 2, \ldots, n$, and the literals corresponding to variable x are 2x and 2x + 1 (namely x and \bar{x}). Thus the variable that corresponds to literal l is $l \gg 1$, and the complement of literal l is $l \oplus 1$. (Previous programs of this series started the numbering at 0, not 1, in accord with Dijkstra's famous dictum. But we shall find it convenient to reserve the value 0 for use as a sentinel.)

Some arrays (like stamp and freevar) are indexed by variable numbers, while others (like bimp and timp) are indexed by literal numbers. In order to reduce the chance of confusion between the two numbering schemes, variables in the code below will generally be represented by the letters x, y, or z; literals will generally be represented by l, u, v, or w.

```
#define thevar(l) ((l) \gg 1) /* the variable that corresponds to l */#define bar(l) ((l) \oplus 1) /* the complement of l */#define poslit(x) ((x) \ll 1) /* the literal x */#define neglit(x) (((x) \ll 1) + 1) /* the literal \bar{x} */
```

 $\S 25$

26. An entry in the *bimp* table has four parts: addr is the address in mem where the list of implications begins; size is the current length of that list; alloc is the number of memory positions currently available at the given address; and alloc always equals 2^k , where k is the fourth field. (Thus we always have $size \leq alloc$. The value of k is always at least 2, hence alloc is always at least 4. As the computation proceeds, alloc might increase, but it never will decrease.)

When mems are counted, we assume that addr and size are fetched or stored together; hence we can access them both at the cost of just one mem. Similarly, alloc and k are assumed to be in the same octabyte of memory.

An entry in the istack has two parts: lit is the literal l whose bimp entry is to be restored; size is the amount to be placed in bimp[l].size.

```
\langle \text{Type definitions } 5 \rangle + \equiv
  typedef struct bdata_struct {
    uint addr;
                    /* starting place of a sequential list in mem */
                    /* its current length */
    uint size;
                    /* maximum length before reallocation is necessary */
    uint alloc;
                 /* lg alloc */
    uint k;
  } bdata:
  typedef struct idata_struct {
                  /* the l whose size in bimp was changed */
    uint lit;
                    /* its previous size */
    uint size;
  } idata;
```

27. An entry in timp has two parts: addr is the address in tmem where the list of implication pairs begins; size is the current length of that list.

An entry in tmem has two parts, u and v, for the two literals l' and l'' whose OR is implied by a given literal l. It also has a link field, which points to the next tmem entry in the triad that corresponds to an original ternary clause.

(Each original clause $l \vee l' \vee l''$ leads to timp entries for \bar{l} , $\bar{l'}$, and $\bar{l''}$. These three entries are circularly linked.)

```
\langle \text{Type definitions 5} \rangle + \equiv
  typedef struct tdata_struct {
                    /* starting place of a sequential list in mem */
    uint addr:
    uint size;
                    /* its current length */
  } tdata:
                /* one octabyte */
  typedef struct tpair_struct {
                    /* a pair of literals */
    uint u, v;
                    /* the successor pair of a triad */
    uint link;
                   /* used only when reading the initial data */
    uint spare;
  } tpair;
               /* two octabytes */
```

28. An entry in *nstack* has the following fields: *decision* records the literal whose truth is being tentatively asserted; *branch* is 0 in the first branch, or 1 if that branch failed; *rptr* and *iptr* record the initial values of those stack pointers when the node was initialized; *lptr* records the initial value of *rptr* when lookahead for the next level began.

```
⟨ Type definitions 5⟩ +≡
typedef struct ndata_struct {
   uint decision; /* the literal chosen at this branch */
   int branch; /* did we try and fail to set it the other way? */
   int rptr, iptr, lptr; /* initial values of stack pointers */
} ndata;
```

29. Here is a subroutine that prints the binary implicant data for a given literal. (Used only when debugging.)

This code is used in section 2.

Similarly, the current ternary implicant data gives useful diagnostic info. $\langle \text{Subroutines 29} \rangle + \equiv$ void print_timp(int l) register uint la, ls; $printf(""O"s"O".8s_l->", litname(l));$ for (la = timp[l].addr, ls = timp[l].size; ls; la++, ls--) $printf("\n");$ **void** print_full_timp(int l) register uint la, k; $printf(""O"s"O".8s_{\sqcup} -> ", litname(l));$ for (la = timp[l].addr, k = 0; k < timp[l].size; k++)printf("""O""s"O"".8s""O""s"O"".8s", litname(tmem[la + k].u), litname(tmem[la + k].v));if $(la + k \neq timp[l-1].addr)$ { /* show also the inactive implicants */ $printf(" \bot \#");$ for (; la + k < timp[l-1].addr; k++)printf("""O"s"O".8s""O"s"O".8s", litname(tmem[la+k].u), litname(tmem[la+k].v)); $printf("\n");$ 31. Speaking of debugging, here's a routine to check if the redundant parts of our data structure have gone /* set this to 1 if you suspect a bug */ #define sanity_checking 0 $\langle \text{Subroutines 29} \rangle + \equiv$ void sanity(void) register int j, k, l, la, ls, los, p, q, u, v; for (k = 0; k < vars; k++) { if $(freevar[freeloc[k+1]] \neq k+1)$ $fprintf(stderr, "freeloc["O"d] \sqcup is \sqcup wrong! \n", k+1);$ if $(freeloc[freevar[k]] \neq k)$ $fprintf(stderr, "freevar["O"d]_is_wrong! \n", k);$ for (k = 0; k < rptr; k++) { l = rstack[k]; $if \ (freeloc[thevar(l)] < freevars) \ fprintf(stderr, "literal_{\sqcup}"O"d_{\sqcup}on_{\sqcup}rstack_{\sqcup}is_{\sqcup}free! \\ \noalign{\column} \noalign{\col$ **if** $(rptr + freevars \neq vars)$ $fprintf(stderr, "rptr = "O"d, _freevars = "O"d, _vars = "O"lld\n", rptr, freevars, vars);$ $\langle \text{ Check the sanity of } bimp \text{ and } mem 49 \rangle;$

 $\langle \text{ Check the sanity of } timp \text{ and } tmem 32 \rangle;$

}

```
32.
      \langle Check the sanity of timp and tmem 32 \rangle \equiv
  for (l = 2; l < badlit; l++) {
     la = timp[l].addr, ls = timp[l].size, los = timp[l-1].addr - la;
     for (k = 0; k < los; k++) {
       if (tmem[tmem[la + k].link].link].link \neq la + k)
         fprintf(stderr, "links\_clobbered\_in\_tmem[0x"O"x]!\n", la + k);
       u = tmem[la + k].u, v = tmem[la + k].v;
       if (k < ls) {
                           /* active area, shouldn't contain assigned variables */
         if (stamp[thevar(l)] < real\_truth) {
                                                      /* unless l itself is assigned */
            if (stamp[thevar(u)] \geq real\_truth)
               fprintf(stderr, "active_ timp_ u_ for_ free_ tit_ "O" d_ has_ assigned_ tit_ "O" d! \n", l, u);
            if (stamp[thevar(v)] \geq real\_truth)
               fprintf(stderr, "active_{\sqcup}timp_{\sqcup}v_{\sqcup}for_{\sqcup}free_{\sqcup}lit_{\sqcup}"O"d_{\sqcup}has_{\sqcup}assigned_{\sqcup}lit_{\sqcup}"O"d! \n", l, v);
       } else if (stamp[thevar(u)] < real\_truth \land stamp[thevar(v)] < real\_truth)
         fprintf(stderr, "inactive_timp_tentry_tfor_t"O"d_has_tunassigned_t"O"d_tand_t"O"d!\\n", l, u, v);
  }
This code is used in section 31.
```

33. In long runs it's helpful to know how far we've gotten. A numeric code summarizes each decision made so far: 0 or 1 means that we're trying to set a variable true or false, on the first branch of a node ("branch 0"); 2 or 3 is similar, but on the second branch ("branch 1"); 4 or 5 is similar, but when the decision was forced by the decision at the previous branch node; 6 or 7 is similar, but when the decision was found to be forced while looking ahead for the next literal on which to branch.

```
\langle \text{Subroutines } 29 \rangle + \equiv
  void print_state(int lev)
  {
    register int k, r;
    fprintf(stderr, "_after_"O"lld_mems: ", mems);
    for (k = r = 0; k < lev; k++) {
       for (; r < nstack[k].rptr; r \leftrightarrow) fprintf (stderr, ""O"c", '6' + (rstack[r] \& 1));
       if (nstack[k].branch < 0) fprintf (stderr, "|");
       else fprintf(stderr, ""O"c", 'O' + (rstack[r++] \& 1) + (nstack[k].branch \ll 1));
       for (; r < nstack[k+1].lptr; r++) fprintf (stderr, ""O"c", '4' + (rstack[r] \& 1));
       if (k \geq print\_state\_cutoff) {
         fprintf(stderr, "..."); break;
       }
    fprintf(stderr, "\n");
    fflush(stderr);
```

34. Each literal has an entry in *lmem*, containing many fields. We will introduce them from time to time as we use them.

```
\langle \text{Type definitions 5} \rangle + \equiv
  typedef struct lit_struct {
    int rank;
                   /* order of appearance in Tarjan's algorithm */
    int link;
                  /* pointer to another literal */
    int untagged;
                       /* progress record in Tarjan's algorithm */
    int min;
                  /* magically important data for Tarjan's algorithm */
                    /* predecessor in Tarjan's algorithm */
    int parent;
    int vcomp;
                    /* component representation in Tarjan's algorithm */
                  /* pointer to the first successor entry in the cand_arc array */
    int arcs;
                       /* stamped with bstamp when processing new binaries */
    uint bstamp;
                      /* stamped with istamp when double
look didn't force this */
    uint dl_{-}fail;
    uint istamp;
                      /* stamped with istamp when making an entry for istack */
                    /* total weighted new binaries, including implied literals */
    float wnb;
    uint filler;
                    /* extra space to fill six octabytes */
  } literal;
35. Similarly, each variable has an entry in vmem, where three fields appear.
\#define litname(l) (l) & 1? "~": "", vmem[thevar(l)].name.ch8
                                                                        /* used in printouts */
\langle \text{Type definitions } 5 \rangle + \equiv
  typedef struct var_struct {
    octa name;
                     /* the variable's symbolic name */
                      /* prefix of its first useful appearance in the search tree */
    int pfx, len;
  } variable;
```

20

36. Initializing the real data structures. We're ready now to convert the temporary chunks of data into the form we want, and to recycle those chunks. The code below is, of course, similar to what has worked in previous programs of this series.

```
⟨ Global variables 3⟩ +≡
uint lits; /* how many literals are present? */
uint badlit; /* one more than the highest literal number */

37. ⟨ Set up the main data structures 37⟩ ≡
lits = vars ≪ 1, badlit = lits + 2;
last_vchunk = cur_vchunk;
⟨ Allocate the main arrays 38⟩;
⟨ Copy all the temporary variable nodes to the vmem array in proper format 46⟩;
⟨ Copy all the temporary cells to the bimp, mem, timp, and tmem arrays in proper format 40⟩;
⟨ Check consistency 47⟩;
⟨ Allocate special arrays 58⟩;

This code is used in section 2.
```

38. We randomize the initial order of *freevars*, so that different seeds can produce different results (for instance on satisfiable problems).

```
\langle Allocate the main arrays 38\rangle \equiv
   stamp = (\mathbf{uint} *) \ malloc((vars + 1) * \mathbf{sizeof}(\mathbf{uint}));
   if (\neg stamp) {
      fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} stamp_{\sqcup} array! \n");
      exit(-10);
   bytes += (vars + 1) * sizeof(uint);
   bimp = (\mathbf{bdata} *) \ malloc(badlit * \mathbf{sizeof}(\mathbf{bdata}));
   if (\neg bimp) {
      fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} bimp_{\sqcup} array! \n");
      exit(-10);
   bytes += badlit * sizeof(bdata);
   \langle \text{Initialize } mem \text{ with empty } bimp \text{ lists } 57 \rangle;
   timp = (tdata *) malloc(badlit * sizeof(tdata));
   if (\neg timp) {
      fprintf(stderr, "Oops, \sqcup I_{\sqcup}can't_{\sqcup}allocate_{\sqcup}the_{\sqcup}timp_{\sqcup}array! \n");
      exit(-10);
   bytes += badlit * sizeof(tdata);
   tmem = (\mathbf{tpair} *) \ malloc(3 * ternaries * \mathbf{sizeof}(\mathbf{tpair}));
   if (\neg tmem) {
      fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} tmem_{\sqcup} array! \n");
      exit(-10);
   bytes += 3 * ternaries * sizeof(tpair);
   freevar = (\mathbf{uint} *) \ malloc(vars * \mathbf{sizeof}(\mathbf{uint}));
   if (\neg freevar) {
      fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} freevar_{\sqcup} array! \n");
      exit(-10);
   bytes += vars * sizeof(uint);
   freeloc = (\mathbf{uint} *) \ malloc((vars + 1) * \mathbf{sizeof}(\mathbf{uint}));
   if (\neg freeloc) {
      fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} freeloc_{\sqcup} array! \n");
      exit(-10);
   bytes += (vars + 1) * sizeof(uint);
   for (k = 0; k < vars; k++) {
      mems += 4, j = gb\_unif\_rand(k+1);
      if (j \neq k) {
         o, i = freevar[j];
         oo, freevar[k] = i, freeloc[i] = k;
         oo, freevar[j] = k + 1, freeloc[k + 1] = j;
      } else oo, freevar[k] = k + 1, freeloc[k + 1] = k;
   freevars = vars;
See also section 39.
This code is used in section 37.
```

22

Although the rstack is used rather heavily, for breadth-first searches, a literal and its complement never both appear. Therefore the total size of the rstack should never exceed the number of variables.

```
\langle Allocate the main arrays 38\rangle + \equiv
  rstack = (\mathbf{uint} *) \ malloc((vars + 1) * \mathbf{sizeof}(\mathbf{uint}));
  if (\neg rstack) {
     fprintf(stderr, "Oops, \sqcup I \sqcup can't \sqcup allocate \sqcup the \sqcup rstack \sqcup array! \n");
     exit(-10);
  bytes += (vars + 1) * sizeof(uint);
  nstack = (\mathbf{ndata} *) \ malloc((vars + 1) * \mathbf{sizeof}(\mathbf{ndata}));
  if (\neg nstack) {
     fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} nstack_{\sqcup} array! \n");
     exit(-10);
  bytes += (vars + 1) * \mathbf{sizeof}(\mathbf{ndata});
  lmem = (literal *) malloc(badlit * sizeof(literal));
  if (\neg lmem) {
     fprintf(stderr, "Oops, UI can't allocate the lmem array!\n");
     exit(-10);
  bytes += badlit * sizeof(literal):
  for (l=2; l < badlit; l++) or [l].dl_fail = lmem[l].bstamp = lmem[l].istamp = 0;
  vmem = (variable *) malloc((vars + 1) * sizeof(variable));
  if (\neg vmem) {
     exit(-10);
  bytes += (vars + 1) * sizeof(variable);
  forcedlit = (\mathbf{uint} *) \ malloc(vars * \mathbf{sizeof}(\mathbf{uint}));
  if (\neg forcedlit) {
     fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} forcedlit_{\sqcup} array! \n");
     exit(-10);
  bytes += vars * sizeof(uint);
40. Copy all the temporary cells to the bimp, mem, timp, and timem arrays in proper format 40 \ge 10^{-3}
                       /* prepare for possible unary clauses */
  forcedlits = 0;
  for (l=2; l < badlit; l++) o, timp[l].addr = timp[l].size = 0;
                                                                               /* clear the counts */
  for (c = clauses, k = 0; c; c--) {
     (Insert the cells for the literals of clause c 41);
  \langle \text{Build } timp \text{ and } tmem \text{ from the stored ternary clauses } 45 \rangle;
  if (out_file) fflush(out_file);
                                        /* complete the copy of input clauses */
This code is used in section 37.
```

41. The basic idea is to "unwind" the steps that we went through while building up the chunks.

```
#define hack\_out(q) (((ullng) q) & #3)
#define hack\_clean(q) ((tmp_var *)((ullng) q \& -4))
(Insert the cells for the literals of clause c_{41}) \equiv
  for (i = j = 0; i < 2;)
     \langle \text{Move } cur\_cell \text{ backward to the previous cell } 20 \rangle;
     i = hack\_out(*cur\_cell);
     p = hack\_clean(*cur\_cell) \rightarrow serial;
     p += p + (i \& 1);
     rstack[j++] = p + 2;
                                /* the clause is first assembled in rstack */
       /* but no mems are charged, because three registers could be used */
                                                    /* see? */
  u = rstack[0], v = rstack[1], w = rstack[2];
  if (out_file) {
     for (jj = 0; jj < j; jj ++) fprintf (out\_file, " \cup "O"s"O".8s", litname(rstack[jj]));
     fprintf(out\_file, "\n");
  if (i \equiv 1) (Store a unary clause in forcedlit 42)
  else if (i \equiv 2) (Store a binary clause in bimp 43)
  else \langle Store a ternary clause in tmem 44\rangle;
This code is used in section 40.
```

42. Unary clauses in the input might be repeated or contradictory. Thus we must be careful not to overstep the bounds of the *forcedlit* array. The *addr* fields in *timp* are borrowed here, temporarily, so that no variable is forced twice.

```
\langle Store a unary clause in forcedlit 42 \rangle \equiv
  {
     if (o, timp[u].addr \equiv 0) {
       if (o, timp[bar(u)].addr) {
         if (verbose & show_choices) fprintf(stderr,
                  "Unary_clause_"O"d_contradicts_unary_clause_"O"d\n", c, timp[bar(u)].addr);
          goto unsat;
       o, timp[u].addr = c;
       o, forcedlit[forcedlits ++] = u;
This code is used in section 41.
     \langle Store a binary clause in bimp 43 \rangle \equiv
     o, la = bimp[bar(u)].addr, ls = bimp[bar(u)].size;
     if (o, ls \equiv bimp[bar(u)].alloc) resize (bar(u)), o, la = bimp[bar(u)].addr;
     oo, mem[la + ls] = v, bimp[bar(u)].size = ls + 1;
     o, la = bimp[bar(v)].addr, ls = bimp[bar(v)].size;
     if (o, ls \equiv bimp[bar(v)].alloc) resize (bar(v)), o, la = bimp[bar(v)].addr;
     oo, mem[la + ls] = u, bimp[bar(v)].size = ls + 1;
  }
This code is used in section 41.
```

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44. During the preliminary "counting" pass, we put ternary clauses sequentially into the spare slots of tmem.

```
\langle Store a ternary clause in tmem 44\rangle \equiv
     oo, timp[bar(u)].size ++;
     oo, timp[bar(v)].size ++;
     oo, timp[bar(w)].size ++;
     ooo, tmem[k].spare = u, tmem[k+1].spare = v, tmem[k+2].spare = w;
This code is used in section 41.
45. \langle \text{Build } timp \text{ and } tmem \text{ from the stored ternary clauses } 45 \rangle \equiv
  for (j = 0, l = badlit - 1; l \ge 2; l - ) {
     oo, timp[l].addr = j, j \mathrel{+}= timp[l].size, timp[l].size = 0;
  o, timp[l].addr = j; /* we'll have timp[l].addr + timp[l].size = timp[l-1].addr */
  if (k \neq j \lor k \neq 3 * ternaries) confusion("ternaries");
  while (k) {
     k -= 3:
     ooo, u = tmem[k].spare, v = tmem[k+1].spare, w = tmem[k+2].spare;
     o, la = timp[bar(u)].addr, ls = timp[bar(u)].size, uu = la + ls;
     o, timp[bar(u)].size = ls + 1;
     o, tmem[uu].u = v, tmem[uu].v = w;
     o, la = timp[bar(v)].addr, ls = timp[bar(v)].size, vv = la + ls;
     o, tmem[uu].link = vv;
     o, timp[bar(v)].size = ls + 1;
     o, tmem[vv].u = w, tmem[vv].v = u;
     o, la = timp[bar(w)].addr, ls = timp[bar(w)].size, ww = la + ls;
     o, tmem[vv].link = ww;
     o, timp[bar(w)].size = ls + 1;
     o, tmem[ww].u = u, tmem[ww].v = v;
     o, tmem[ww].link = uu;
This code is used in section 40.
46. Copy all the temporary variable nodes to the vmem array in proper format 46 \ge 10^{-3}
  for (c = vars; c; c \rightarrow) {
     \langle \text{Move } cur\_tmp\_var \text{ backward to the previous temporary variable 21} \rangle;
     o, vmem[c].name.lng = cur\_tmp\_var \neg name.lng;
     o, vmem[c].len = vars + 1; /* "infinitely long" prefix */
This code is used in section 37.
```

47. We should now have unwound all the temporary data chunks back to their beginnings.

```
 \begin{split} &\langle \operatorname{Check\ consistency\ 47} \rangle \equiv \\ & \quad \text{if\ } (\operatorname{cur\_cell} \neq \& \operatorname{cur\_chunk} \neg \operatorname{cell}[0] \vee \operatorname{cur\_chunk} \neg \operatorname{prev} \neq \Lambda \vee \\ & \quad \operatorname{cur\_tmp\_var} \neq \& \operatorname{cur\_vchunk} \neg \operatorname{var}[0] \vee \operatorname{cur\_vchunk} \neg \operatorname{prev} \neq \Lambda) \ \operatorname{confusion}(\texttt{"consistency"}); \\ & \quad \operatorname{free}(\operatorname{cur\_chunk}); \\ & \quad \operatorname{for\ } (\operatorname{cur\_vchunk} = \operatorname{last\_vchunk}; \ \operatorname{cur\_vchunk} = \operatorname{last\_vchunk}) \ \{ \\ & \quad \operatorname{last\_vchunk} = \operatorname{cur\_vchunk} \neg \operatorname{prev}; \\ & \quad \operatorname{free}(\operatorname{cur\_vchunk}); \\ & \quad \rbrace \\ \end{split}  This code is used in section 37.
```

26 BUDDY SYSTEM REDUX SAT11 $\S48$

48. Buddy system redux. Here's a version of Algorithms 2.5R and 2.5D that is appropriate for the operations we need to do in *bimp*.

Each block of mem has size 2^k for some k > 1, and it begins at an address that is a multiple of 2^k . A reserved block begins with an unsigned **int** that is less than 2^{31} ; a free block begins with an unsigned **int** that is $\geq 2^{31}$ (thus its "sign" bit is 1). In fact, the first two words of the free block starting at b are the complements of pointers in a doubly linked list, and we call them linkf and linkb. The third word of such a block, called kval, contains the value of k when the block size is 2^k ; and the "buddy" of such a block b begins at location $b \oplus (1 \ll k)$. There is a doubly linked list for free blocks of each possible size 2^k , with header node mem[avail(k)].

When mems are counted, we assume that linkf and linkb are accessed simultaneously as part of the same octabyte.

We begin by allocating $1 \ll memk_max$ entries to the mem array. But we maintain a variable memk to record the fact that at most $1 \ll memk$ of those entries have been used so far. The lists of available space are relevant only for 1 < k < memk, and the statistics reported at the end of a run are calculated as if only $1 \ll memk$ entries had been allocated. The user should increase $memk_max$ (with the 'm' command-line parameter) when trying to solve a problem that needs an unusually large mem.

```
#define linkf(b) mem[b]
#define linkb(b) mem[(b) + 1]
#define kval(b) mem[(b) + 2]
#define avail(k) (((k) - 2) \ll 2)
#define memfree(b) ((int) mem[b] < 0)
#define memk\_max\_default 22  /* allow 4 million items in mem by default */ \langle Global variables 3\rangle +\equiv int memk; /* binary log of the number of spaces used so far in mem */
```

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```
49.
            \langle Check the sanity of bimp and mem 49 \rangle \equiv
     for (l = 2; l < badlit; l++) {
           la = bimp[l].addr, k = bimp[l].k;
          if (la \& ((1 \ll k) - 1))
                 fprintf(stderr, "addr_0f_bimp["O"d]_is_clobbered_(0x"O"x,_k="O"d)! \n", l, la, k);
           else if (bimp[l].alloc \neq 1 \ll k)
                fprintf(stderr, "alloc_{\sqcup}of_{\sqcup}bimp["O"d]_{\sqcup}is_{\sqcup}clobbered_{\sqcup}("O"d,_{\sqcup}k="O"d)! \n", l, bimp[l]. alloc, k);
           else if (bimp[l].size > bimp[l].alloc) fprintf (stderr,
                            "size_{lof_{lof}} = O"d_{lof} = O"d_{lof
           else if (la \ge 1 \ll memk) fprintf (stderr,
                            "addr_{\sqcup}of_{\sqcup}bimp["O"d]_{\sqcup}is_{\sqcup}out_{\sqcup}of_{\sqcup}bounds_{\sqcup}(0x"O"d>0x"O"d)!\setminusn", l, la, 1 \ll memk);
           else if (memfree(la)) fprintf(stderr, "block_lox"O"x_lof_bimp["O"d]_lisn't_lreserved! \n", la, l);
                for (j = bimp[l].size - 1; j \ge 0; j - -)
                     if (mem[la + j] < 2 \lor mem[la + j] \ge badlit)
                           fprintf(stderr, "literal_{\square}"O"d_{\square}in_{\square}bimp["O"d]_{\square}is_{\square}out_{\square}of_{\square}bounds! n", mem[la+j], l);
     for (k = 2; k < memk; k++) {
           for (p = \sim mem[avail(k)]; ; p = \sim linkf(p)) {
                if ((p \& ((1 \ll k) - 1)) \land p \neq avail(k))
                      fprintf(stderr, "link_lin_lavail("O"d)_lis_lclobbered_l(0x"O"x)! \n", k, p);
                else if (p \ge 1 \ll memk) fprintf (stderr,
                                  "link_{\sqcup}in_{\sqcup}avail("O"d)_{\sqcup}is_{\sqcup}out_{\sqcup}of_{\sqcup}bounds_{\sqcup}(0x"O"d>0x"O"d)! \setminus n", k, p, 1 \ll memk);
                else if (kval(p) \neq k)
                     fprintf(stderr, "kval_lof_lox"O"x_lin_lavail("O"d)_lis_l"O"d! \n", p, k, kval(p));
                else if (memfree(p \oplus (1 \ll k)) \land kval(p \oplus (1 \ll k)) \equiv k)
                      fprintf(stderr, "buddy \cup of \cup 0x"O"x \cup in \cup avail("O"d) \cup is \cup also \cup in \cup that \cup list! \setminus n", p, k);
                else if (\sim linkf(\sim linkb(p)) \neq p)
                     fprintf(stderr, "linking_{\sqcup}anomaly_{\sqcup}at_{\sqcup}0x"O"x_{\sqcup}in_{\sqcup}avail("O"d)!\n", p, k);
                if (\sim linkf(p) \equiv avail(k)) break;
     }
This code is used in section 31.
```

50. The *resize* procedure does the main work of dynamic storage allocation. Given a literal l, it doubles the current allocation bimp[l].alloc.

Two cases are distinguished, depending on whether the buddy of l's current list is presently free or reserved. The buddy of a reserved block of size $1 \ll k$ might have been split up into smaller blocks, but it won't be any bigger.

```
 \begin{array}{l} \left\langle \text{Subroutines 29} \right\rangle + \equiv \\ \textbf{void } resize (\textbf{register int } l) \\ \left\{ & \textbf{register uint } a, \ j, \ k, \ kk, \ n, \ p, \ q, \ r, \ s; \\ & mems \ + = \ 4; \quad / * \ \text{pay the cost of subroutine linkage } */\\ & oo, \ a = bimp[l].addr, \ n = bimp[l].size, \ k = bimp[l].k, \ s = \ 1 \ll k, \ p = \ a \oplus s; \\ & \textbf{if } \left( (o, memfree(p)) \wedge (o, kval(p) \equiv k) \right) \ \langle \text{Resize when the buddy is free 51} \rangle \\ & \textbf{else} \ \langle \text{Resize when the buddy is reserved 53} \rangle; \\ & \textit{finish: } o, bimp[l].alloc = \ s + \ s, bimp[l].k = \ k + \ 1; \\ \end{array}
```

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51. Here the buddy of block a is p, and it has turned out to be free. In the most favorable case, p will actually be in exactly the right place so that we won't have to recopy any data.

```
\langle Resize when the buddy is free 51 \rangle \equiv
     \langle \text{Remove } p \text{ from its } avail \text{ list } 52 \rangle;
     if ((a \& s) \equiv 0) goto finish; /* we lucked out */
     oo, mem[p] = mem[a]; /* ensure that mem[p] isn't negative */
     for (j = 1; j < n; j++) oo, mem[p+j] = mem[a+j];
                                                                       /* copy the rest of the data */
     o, bimp[l].addr = p;
This code is used in section 50.
52. \langle \text{Remove } p \text{ from its } avail \text{ list } 52 \rangle \equiv
  q = \sim linkb(p), r = \sim linkf(p);
                                        /* no mem cost, we've already accessed mem[p] */
  oo, linkf(q) = \sim r, linkb(r) = \sim q;
This code is used in sections 51 and 54.
53. In the more difficult case, we must find a block of twice the size, and copy the data there; then we free
up the present block.
\langle \text{Resize when the buddy is reserved } 53 \rangle \equiv
     \langle \text{ Allocate a block } p \text{ of size } s + s \text{ 54} \rangle;
     oo, mem[p] = mem[a]; /* ensure that mem[p] isn't negative */
     for (j = 1; j < n; j ++) oo, mem[p + j] = mem[a + j]; /* copy the rest of the data */
     \langle \text{ Make } a \text{ a free block of size } 1 \ll k \text{ 56} \rangle;
     o, bimp[l].addr = p;
This code is used in section 50.
54. \langle Allocate a block p of size s + s 54\rangle \equiv
  for (kk = k + 1; kk < memk; kk ++)
     if (o, linkf(avail(kk)) \neq \sim avail(kk)) {
                                                       /* nonempty list found */
       p = \sim linkf(avail(kk));
       o; \langle \text{Remove } p \text{ from its } avail \text{ list } 52 \rangle;
       goto found;
  if (memk \equiv memk\_max) { /* oops, we're outta room */
     fprintf(stderr, "Sorry... \_more\_memory\_is\_needed!\_(Try\_option\_m"O"d.)\n", memk\_max + 1);
     fprintf(stderr, "Job_aborted_after_"O"llu_mems,_"O"llu_nodes.\n", mems, nodes);
     exit(-666);
  p = 1 \ll memk;
  (o, linkf(avail(memk))) = linkb(avail(memk)) = \sim avail(memk); /* empty avail list */
  o, kval(avail(memk)) = memk;
  bytes += p * sizeof(uint), memk ++;
            /* location p begins an available block of size 1 \ll kk */
  while (-kk > k) (Make p + (1 \ll kk)) a free block of size 1 \ll kk 55);
This code is used in section 53.
```

```
55.
       \langle \text{ Make } p + (1 \ll kk) \text{ a free block of size } 1 \ll kk | 55 \rangle \equiv
  {
     o, q = \sim linkf(avail(kk)), r = p + (1 \ll kk);
     oo, linkf(avail(kk)) = linkb(q) = \sim r;
     oo, linkb(r) = \sim avail(kk), linkf(r) = \sim q, kval(r) = kk;
This code is used in section 54.
     Since the buddy of a is not free, we needn't try to "collapse" adjacent buddies together.
\langle \text{ Make } a \text{ a free block of size } 1 \ll k | 56 \rangle \equiv
  o, q = \sim linkf(avail(k));
  oo, linkf(avail(k)) = linkb(q) = \sim a;
  oo, linkb(a) = \sim avail(k), linkf(a) = \sim q, kval(a) = k;
This code is used in section 53.
57. We need to get these data structures off to a good start at the very beginning. Here's how that is
done, given lits and memk_max, after the arrays mem and bimp have been allocated:
\langle \text{Initialize } mem \text{ with empty } bimp \text{ lists } 57 \rangle \equiv
  for (memk = 4; 1 \ll memk < 4 * (memk\_max - 2 + lits); memk ++);
  if (memk > memk\_max) { /* memk\_max is too small even for empty lists! */
     fprintf(stderr, "The_{\sqcup}value_{\sqcup}of_{\sqcup}memk_{\_}max_{\sqcup}is_{\sqcup}way_{\sqcup}too_{\sqcup}small_{\sqcup}for_{\sqcup}"O"d_{\sqcup}literals! \n", lits);
     exit(-667);
  }
  mem = (\mathbf{uint} *) \ malloc((1 \ll memk\_max) * \mathbf{sizeof}(\mathbf{uint}));
     fprintf(stderr, "Oops, \sqcup I \sqcup can't \sqcup allocate \sqcup the \sqcup mem \sqcup array! \n");
     exit(-10);
  bytes += (1 \ll memk) * sizeof(uint);
                                                    /* we'll update bytes if we use more */
  j = avail(memk\_max);
                               /* the first bimp list starts here */
  for (l = 2; l < badlit; l++) {
     oo, mem[j] = 0, bimp[l].addr = j, bimp[l].size = 0, j += 4;
                                                                            /* reserve an empty block */
     o, bimp[l].alloc = 4, bimp[l].k = 2;
                                              /* give it the minimum size */
  for (k = 2; k < memk; k++) {
     if (j \& (1 \ll k)) { /* make a free block of size 1 \ll k at j */
       o, linkf(avail(k)) = linkb(avail(k)) = \sim j;
       o, linkf(j) = linkb(j) = \sim avail(k);
        oo, kval(avail(k)) = kval(j) = k;
       j += 1 \ll k;
                /* there are no free blocks of size 1 \ll k initially */
       o, linkf(avail(k)) = linkb(avail(k)) = \sim avail(k);
        o, kval(avail(k)) = k;
```

This code is used in section 38.

30 BUDDY SYSTEM REDUX SAT11 §58

The istack can grow rather large in the worst case. But it can't exceed the size of mem, since each **58.** entry in istack represents an increase in a bimp table entry. Therefore we allocate it with the same kludge that we used for mem.

```
\langle Allocate special arrays 58 \rangle \equiv
  istack = (idata *) \ malloc((1 \ll memk\_max) * sizeof(idata));
     fprintf(stderr, "Oops, \sqcup I_{\sqcup}can't_{\sqcup}allocate_{\sqcup}the_{\sqcup}istack_{\sqcup}array! \n");
   bytes += (1 \ll memk) * sizeof(idata); /* we'll update bytes if we use more */
  iptr\_max = 1 \ll memk;
See also sections 90, 92, 108, 120, and 132.
```

This code is used in section 37.

59. Updating the data structures. When we've decided to assign a value to a literal, we must deduce and record all of the consequences of that decision. The following part of the program comes into play when we're beginning the calculation at a new node of the decision tree.

Sometimes *bestlit* turns out to be zero, because the favorite literal of the lookahead process has already become true by forcing. Then we have a "dummy" level, which does no branching and inaugurates a new node from which we can look further ahead.

```
\langle Begin the processing of a new node 59\rangle \equiv
                                          /* for diagnostics only (no mem charged) */
  nstack[level].lptr = rptr, nodes ++;
  if (delta \land (mems \ge thresh)) thresh += delta, print\_state(level);
  if (mems > timeout) {
    fprintf(stderr, "TIMEOUT!\n");
    goto done;
  o, nstack[level].branch = -1, plevel = level;
  Look ahead and gather data about how to make the next branch; but goto look_bad if a contradiction
       arises 122:
  if (forcedlits) (Update data structures for all consequences of the forced literals discovered during the
         lookahead; but goto conflict if a contradiction arises 64);
chooseit: (Choose bestlit, which will be the next branch tried 138);
  o, nstack[level].rptr = rptr, nstack[level].iptr = iptr;
                                                               /* backup pointers */
  if (bestlit) {
    o, nstack[level].decision = bestlit, nstack[level].branch = 0;
  tryit: l = bestlit, plevel = level + 1;
    if ((verbose \& show\_choices) \land level \le show\_choices\_max)
       fprintf(stderr, "Level_{\sqcup}"O"d"O"s:_{\sqcup}"O"s"O".8s_{\sqcup}("O"lld_{\sqcup}mems)\n", level,
            nstack[level].branch ? "'," : "", litname(l), mems);
     (Update data structures for all consequences of l; but goto conflict if a contradiction arises 62);
  \} else if ((verbose \& show\_choices) \land level \le show\_choices\_max)
    fprintf(stderr, "Level" O"d: \_no\_branch\n", level);
This code is used in section 150.
```

60. Recall that the "current stamp" cs is an even number that represents the level of truth for assignments that are currently being made. Any variable x with stamp[x] < cs is assumed to be free (unassigned); otherwise x is assumed to be true, in the context of level cs, when stamp[x] is even, false when stamp[x] is odd.

The highest level of truth is called $real_truth$; the next highest is $near_truth$; the next highest is $proto_truth$; and lower values 2, 4, ..., $proto_truth - 2$ are used during lookahead.

```
#define real_truth #fffffffe
#define near_truth #ffffffffc
#define proto_truth #fffffffa
#define isfixed(l) (o, stamp[thevar(l)] > cs)
\#define isfree(l) (o, stamp[thevar(l)] < real\_truth)
#define iscontrary(l) ((stamp[thevar(l)] \oplus l) \& 1)
                                                          /* test this after is fixed (l) */
#define stamptrue(l) (o, stamp[thevar(l)] = cs + (l \& 1))
\langle \text{Global variables } 3 \rangle + \equiv
  uint bestlit;
                    /* literal chosen for branching by lookahead routines */
                /* the current level of truth (always even) */
  uint cs;
  uint look_cs, dlook_cs;
                               /* saved values of cs */
  int fptr, eptr, lfptr;
                             /* queue pointers for breadth-first search */
```

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Here's a simple routine for use in debugging. It prints out all literals that are true with respect to a given stamping level.

```
\langle \text{Subroutines } 29 \rangle + \equiv
  void print_truths(uint cs)
    register int x;
    if (cs \geq proto\_truth) {
      switch ((cs - proto\_truth) \gg 1) {
       case 0: fprintf(stderr, "proto_truths_or_better:"); break;
       case 1: fprintf(stderr, "near_truths_or_better:"); break;
       case 2: fprintf(stderr, "real_truths:"); break;
    } else fprintf(stderr, "truths_at_least_"O"d:", cs);
    for (x = 1; x \leq vars; x \leftrightarrow)
      if (stamp[x] \ge cs) fprintf (stderr, " " O" s" O" .8s", stamp[x] \& 1? "~" : "", vmem[x].name.ch8);
    fprintf(stderr, "\n");
  void print_proto_truths(void)
    print_truths(proto_truth);
  void print_near_truths(void)
    print_truths(near_truth);
  void print_real_truths(void)
    print_truths(real_truth);
```

62. In the present part of the program, we set $cs = near_truth$. This level means that the literal is on the rstack but its full consequences haven't yet been explored.

We do a breadth-first search, using rstack to contain the literals that are being asserted—first at level near_truth, then at level real_truth. Pointers fptr and eptr point to the front and end of the queue that governs the search.

```
\langle Update data structures for all consequences of l; but goto conflict if a contradiction arises 62 \rangle \equiv
  cs = near\_truth;
  fptr = eptr = rptr;
  \langle \text{Bump } istamp \text{ to a unique value } 65 \rangle;
  \langle Propagate binary implications of l; goto conflict if a contradiction arises 68\rangle;
promote: (Promote near-truth to real-truth; but goto conflict if a contradiction arises 63);
  if (o, nstack[level].branch < 0) { /* we've finished the forced literals */
     if (level) goto chooseit;
     forcedlits = 0;
     goto enter_level;
                             /* at the root, it's back to square zero */
This code is used in section 59.
```

This code is used in section 59.

uint bstamp = 32;

```
63. ⟨Promote near-truth to real-truth; but goto conflict if a contradiction arises 63⟩ ≡
while (fptr < eptr) {</li>
o, ll = rstack [fptr++];
⟨Update data structures for the real truth of ll; but goto conflict if a contradiction arises 69⟩;
}
rptr = eptr; /* accept all the propagations */
This code is used in section 62.
```

64. The forced literals act as "seeds" for another bread-first search.

If the input had unary clauses, the computation actually begins here, so that the implications of those clauses are perceived early.

```
⟨ Update data structures for all consequences of the forced literals discovered during the lookahead; but
    goto conflict if a contradiction arises 64⟩ ≡
{
    special_start: if (verbose & show_details)
        fprintf (stderr, "(lookahead_for_level_"O"d_forces_"O"d)\n", level, forcedlits);
    cs = near_truth;
    fptr = eptr = rptr;
    ⟨Bump istamp to a unique value 65⟩;
    for (i = 0; i < forcedlits; i++) {
        o, l = forcedlit[i];
        ⟨Propagate binary implications of l; goto conflict if a contradiction arises 68⟩;
    }
    goto promote;
}</pre>
```

65. The istamp field of literal l is marked with the current value of the global variable istamp when l gets its first istack entry during a particular phase of the search; then we can be sure that there's at most one istack entry per literal during any particular phase.

The loop here is "never" needed, except in problems that are well beyond what I ever imagine trying to solve. But I'm including it anyway, because it makes me feel virtuous.

```
\langle \text{ Bump } istamp \text{ to a unique value } 65 \rangle \equiv
 \text{if } (++istamp \equiv 0) \{ /* \text{ overflow has occurred after } 2^{32} \text{ times } */ istamp = 1; 
 \text{for } (l=2; \ l < badlit; \ l++) \ o, lmem[l].istamp = 0; 
 \}
This code is used in sections 62 and 64.
```

66. The bstamp field of literal l is similar to istamp, but it is used for a different purpose: We mark it when l is known to be implied by some other literal of interest.

/* used for unique identifications of another kind */

```
⟨Bump bstamp to a unique value 66⟩ ≡
if (++bstamp ≡ 0) { /* overflow has occurred after 2<sup>32</sup> times */
bstamp = 1;
for (l = 2; l < badlit; l++) o, lmem[l].bstamp = 0;
}</li>
This code is used in sections 73 and 105.
67. ⟨Global variables 3⟩ +≡
uint istamp; /* used for unique identifications */
```

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68. The code in this section is part of the inner loop, so we want it to be fast. Fortunately the task is fairly simple: When one literal is asserted to be true at the current *cs* level, all the literals in its *bimp* list are also asserted. And we continue until no more can be asserted, unless a contradiction arises first.

Our data structures contain both binary implications and ternary implications. We examine only the binary ones here, because they're simpler. By focusing on them first, we have a better chance of detecting contradictions sooner.

```
\langle Propagate binary implications of l; goto conflict if a contradiction arises 68 \rangle
  if (isfixed(l)) {
    if (iscontrary(l)) goto conflict;
  } else {
    if (verbose \& show\_details) fprintf(stderr, "nearfixing_\"O"s"O".8s\n", litname(l));
    stamptrue(l);
    lfptr = eptr;
    o, rstack[eptr++] = l;
    while (lfptr < eptr) {
      o, l = rstack[lfptr++];
       for (o, la = bimp[l].addr, ls = bimp[l].size; ls; la++, ls--) {
         o, lp = mem[la];
         if (isfixed(lp)) {
           if (iscontrary(lp)) goto conflict;
           if (verbose & show_details) fprintf(stderr, "unearfixingu"O"s"O".8s\n", litname(lp));
           stamptrue(lp);
           o, rstack[eptr++] = lp;
      }
    }
```

This code is used in sections 62, 64, 72, and 73.

69. We get to this part of the program when a literal loses its freedom and becomes fully assigned to truth or falsity at the highest possible level.

This code is used in section 63.

```
70. \langle \text{Remove } thevar(ll) \text{ from the } freevar \text{ list } 70 \rangle \equiv x = thevar(ll);
o, y = freevar[--freevars];
if (x \neq y) {
o, xl = freeloc[x];
o, freevar[xl] = y;
o, freeloc[y] = xl;
o, freeloc[x] = freevars;
o, freevar[freevars] = x;
}
This code is used in section 69.
```

71. The pairs in *timp* become inactive when any of their variables become "really" fixed (whether true or false). Here we run through all active occurrences of *tll* or its complement, moving them to the inactive parts of their *timp* lists and putting active pairs in their place.

(Hint for decoding this code: If u and v are an active pair in timp[tll], then v and bar(tll) are an active pair in timp[bar(u)]; also bar(tll) and u are an active pair in timp[bar(v)].)

When tll becomes fixed, we do not, however, make the pairs in timp[tll] and timp[bar(tll)] inactive. We keep those lists intact, because we won't be referring to them again until it's time to undo the operations of the present step.

Subtle point: Inactive *timp* entries for positive literals are swapped out before the inactive *timp* entries for negative literals. This tends to increase the likelihood that swapping won't be needed on subsequent branches.

```
\langle Swap out inactive ternaries implied by tll 71 \rangle \equiv
  for (o, la = timp[tll].addr, ls = timp[tll].size; ls; la++, ls--)
    o, u = tmem[la].u, v = tmem[la].v;
    o, pu = tmem[la].link;
                               /* pointer to a pair in timp[bar(u)] */
    o, pv = tmem[pu].link;
                               /* pointer to a pair in timp[bar(v)] */
    o, aa = timp[bar(u)].addr, ss = timp[bar(u)].size - 1;
    o, timp[bar(u)].size = ss;
    if (pu \neq aa + ss) {
                          /* need to swap */
      o, uu = tmem[aa + ss].u, vv = tmem[aa + ss].v;
      oo, q = tmem[aa + ss].link, qq = tmem[q].link;
                                                          /* qq  links to aa + ss */
      oo, tmem[qq].link = pu, tmem[la].link = aa + ss;
      oo, tmem[pu].u = uu, tmem[pu].v = vv, tmem[pu].link = q;
      pu = aa + ss;
      oo, tmem[pu].u = v, tmem[pu].v = bar(tll), tmem[pu].link = pv;
    o, aa = timp[bar(v)].addr, ss = timp[bar(v)].size - 1;
    o, timp[bar(v)].size = ss;
    if (pv \neq aa + ss) {
                            /* need to swap */
      o, uu = tmem[aa + ss].u, vv = tmem[aa + ss].v;
      oo, q = tmem[aa + ss].link, qq = tmem[q].link;
                                                          /* qq  links to aa + ss */
      oo, tmem[qq].link = pv, tmem[pu].link = aa + ss;
      oo, tmem[pv].u = uu, tmem[pv].v = vv, tmem[pv].link = q;
      pv = aa + ss:
      oo, tmem[pv].u = bar(tll), tmem[pv].v = u, tmem[pv].link = la;
    }
```

This code is used in section 69.

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- **72.** When a ternary clause reduces to the binary clause $u \vee v$, the "real" truth status of u and v is not yet known; but they might be "nearly" true or false. (In the latter case, we'll be setting them really true or false as we continue our breadth-first search in the queue on the rstack.) There are five possibilities:
- If either u or v is near-true, the binary clause is satisfied and we needn't do anything.
- \bullet If both u and v are near-false, we've reached a contradiction.
- If u is near-false but v is unknown, we can make v near-true.
- If u is unknown but v is near-false, we can make u near-true.
- Otherwise u and v are both unknown, and we've deduced the clause $u \vee v$.

```
\langle \text{Update for a potentially new binary clause } u \vee v \rangle \equiv v \vee v \rangle \equiv v \vee v \vee v \rangle
                            /* equivalently, if (o, stamp[thevar(u)] \ge near\_truth) */
  if (isfixed(u)) {
     if (iscontrary(u)) {
                                   /* u is stamped false */
        if (isfixed(v)) {
           if (iscontrary(v)) goto conflict;
        } else {
                        /* v is unknown */
           \langle Propagate binary implications of l; goto conflict if a contradiction arises 68\rangle;
  } else {
                   /* u is unknown */
     if (isfixed(v)) {
        if (iscontrary(v)) {
           l=u;
           \langle Propagate binary implications of l; goto conflict if a contradiction arises 68\rangle;
     } else \langle \text{Update for a new binary clause } u \vee v 73 \rangle;
```

This code is used in section 69.

- 73. Now we've made some definite progress, by deducing a "new" binary clause $u \vee v$, and we hope to capitalize on it. Three opportunities, not mutually exclusive, may present themselves at this point:
- If $\bar{u} \vee v$ is already in our *bimp* table, we can make v near-true.
- If $u \vee \bar{v}$ is already in our *bimp* table, we can make u near-true.
- If $u \vee v$ is not already in our *bimp* table, we can insert it.

Furthermore, we might also know the clause $\bar{v} \vee w$, say, in which case the binary clause $u \vee w$ is also true. Experience shows that such "compensation resolvents" are useful, so we add them to our *bimp* collection.

This is the part of the program where we use bstamp to mark everything that's presently implied by \bar{u} . And then we use it to mark everything that's presently implied by \bar{v} .

An attentive reader will notice that, if $\bar{u} \vee v$ and $u \vee \bar{v}$ are both already in bimp, we'll make u near-true and the propagation routine will take care of v.

```
\langle \text{Update for a new binary clause } u \lor v \ 73 \rangle \equiv
     \langle \text{Bump } bstamp \text{ to a unique value } 66 \rangle;
     o, lmem[bar(u)].bstamp = bstamp;
     for (o, au = bimp[bar(u)].addr, k = su = bimp[bar(u)].size; k; au ++, k--)
        oo, lmem[mem[au]].bstamp = bstamp;
     if (o, lmem[bar(v)].bstamp \equiv bstamp) {
                                                           /* we already have u \vee \bar{v} */
     fix_u: l = u; \langle Propagate binary implications of l; goto conflict if a contradiction arises 68\rangle;
     } else if (o, lmem[v].bstamp \neq bstamp) { /* we don't have u \vee v */
        o, ua = bimp[bar(u)].alloc;
        \langle \text{ Make sure that } bar(u) \text{ has an } istack \text{ entry } 74 \rangle;
        \langle Add compensation resolvents from bar(u); but goto fix_u if u is forced true 76\rangle;
        \langle \text{Bump } bstamp \text{ to a unique value } 66 \rangle;
        o, lmem[bar(v)].bstamp = bstamp;
        for (o, av = bimp[bar(v)].addr, k = sv = bimp[bar(v)].size; k; av ++, k--)
           oo, lmem[mem[av]].bstamp = bstamp;
        if (o, lmem[bar(u)].bstamp \equiv bstamp) {
                                                               /* we already have \bar{u} \vee v */
        fix_{-}v: l=v; (Propagate binary implications of l; goto conflict if a contradiction arises 68);
        } else {
           o, va = bimp[bar(v)].alloc;
           \langle \text{ Make sure that } bar(v) \text{ has an } istack \text{ entry } 77 \rangle;
           \langle \text{Add compensation resolvents from } bar(v); \text{ but } \mathbf{goto} \text{ } fix_v \text{ if } v \text{ is forced true } 79 \rangle;
          if (su \equiv ua) resize (bar(u)), ua += ua, o, au = bimp[bar(u)] \cdot addr + su;
           oo, mem[au] = v, bimp[bar(u)].size = su + 1;
                                                                       /* \bar{u} implies v */
          if (sv \equiv va) resize (bar(v)), va += va, o, av = bimp[bar(v)]. addr + sv;
           oo, mem[av] = u, bimp[bar(v)].size = sv + 1; /* \bar{v} implies u */
This code is used in section 72.
     At this point su = bimp[bar(u)].size.
\langle \text{ Make sure that } bar(u) \text{ has an } istack \text{ entry } 74 \rangle \equiv
  if (o, lmem[bar(u)].istamp \neq istamp) {
     o, lmem[bar(u)].istamp = istamp;
     o, istack[iptr].lit = bar(u), istack[iptr].size = su;
     \langle \text{Increase } iptr \ 75 \rangle;
This code is used in sections 73, 127, and 135.
```

```
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75.
       \langle \text{Increase } iptr \ 75 \rangle \equiv
   iptr ++;
  if (iptr \equiv iptr\_max) {
      bytes += iptr * sizeof(idata);
      iptr\_max \ll = 1;
This code is used in sections 74, 77, 78, and 136.
```

At this point all implications of bar(u) are stamped with bstamp, including bar(u) itself. And since $u \vee v$ is true, we know that v is also implied by bar(u). Therefore any literal w implied by v is a potentially new consequence of bar(u), called a "compensation resolvent." (It can be obtained by resolving $u \vee v$ with $\bar{v} \vee w$.) Notice that w cannot be near-false; otherwise the propagation routine would have made v near-false, since $v \to w$ implies $\bar{w} \to \bar{v}$.

```
We maintain the values au = bimp[bar(u)].addr + su, su = bimp[bar(u)].size, ua = bimp[bar(u)].alloc.
\langle Add compensation resolvents from bar(u); but goto fix_u if u is forced true 76\rangle \equiv
  for (o, la = bimp[v].addr, ls = bimp[v].size; ls; la++, ls--) {
     o, w = mem[la];
     if (\neg isfixed(w)) {
                                                                       /* \bar{u} implies w and \bar{w} */
        if (o, lmem[bar(w)].bstamp \equiv bstamp) goto fix_u;
        if (o, lmem[w].bstamp \neq bstamp) {
                                                     /* u \vee w \text{ is new } */
          if (verbose & show_details)
             fprintf(stderr, "_{\sqcup\sqcup\sqcup} -> "O"s"O".8s|"O"s"O".8s \n", litname(u), litname(w));
          if (su \equiv ua) resize (bar(u)), ua += ua, o, au = bimp[bar(u)]. addr + su;
                                                                       /* \bar{u} implies w */
          oo, mem[au++] = w, bimp[bar(u)].size = ++su;
          o, aw = bimp[bar(w)].addr, sw = bimp[bar(w)].size;
          \langle \text{ Make sure that } bar(w) \text{ has an } istack \text{ entry } 78 \rangle;
          if (o, sw \equiv bimp[bar(w)].alloc) resize (bar(w)), o, aw = bimp[bar(w)].addr;
          o, bimp[bar(w)].size = sw + 1;
          o, mem[aw + sw] = u; /* \bar{w} implies u */
This code is used in section 73.
    At this point sv = bimp[bar(v)].size; we do for v as we did for u.
\langle \text{ Make sure that } bar(v) \text{ has an } istack \text{ entry } 77 \rangle \equiv
  if (o, lmem[bar(v)].istamp \neq istamp) {
     o, lmem[bar(v)].istamp = istamp;
     o, istack[iptr].lit = bar(v), istack[iptr].size = sv;
     \langle \text{Increase } iptr \ 75 \rangle;
This code is used in section 73.
78. Here sw = bimp[bar(w)].size.
\langle \text{ Make sure that } bar(w) \text{ has an } istack \text{ entry } 78 \rangle \equiv
  if (o, lmem[bar(w)].istamp \neq istamp) {
     o, lmem[bar(w)].istamp = istamp;
     o, istack[iptr].lit = bar(w), istack[iptr].size = sw;
```

This code is used in sections 76 and 79.

 $\langle \text{Increase } iptr \ 75 \rangle;$

}

79. This is the kind of program that cannot be written well when loud music is playing.

```
\langle Add compensation resolvents from bar(v); but goto fix_v if v is forced true 79\rangle \equiv
  for (o, la = bimp[u].addr, ls = bimp[u].size; ls; la++, ls--) {
     o, w = mem[la];
    if (\neg isfixed(w)) {
       if (o, lmem[bar(w)].bstamp \equiv bstamp) goto fix_v; /* \bar{v} implies w and \bar{w} */
       if (o, lmem[w].bstamp \neq bstamp) { /* v \lor w is new */
         if (verbose & show_details)
            fprintf(stderr, "_{$\sqcup\sqcup\sqcup$}->"O"s"O".8s|"O"s"O".8s|n", litname(v), litname(w));
         if (sv \equiv va) resize (bar(v)), va += va, o, av = bimp[bar(v)]. addr + sv;
                                                                 /* \bar{v} \text{ implies } w */
          oo, mem[av ++] = w, bimp[bar(v)].size = ++sv;
         o, aw = bimp[bar(w)].addr, sw = bimp[bar(w)].size;
          \langle \text{ Make sure that } bar(w) \text{ has an } istack \text{ entry } 78 \rangle;
         if (o, sw \equiv bimp[bar(w)].alloc) resize (bar(w)), o, aw = bimp[bar(w)].addr;
         o, bimp[bar(w)].size = sw + 1;
         [aw + sw] = v; /* \bar{w} implies v */
    }
  }
```

This code is used in section 73.

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Downdating the data structures. When a contradiction arises, backtracking becomes necessary: Everything that went up must come down.

Fortunately the task of undoing isn't too tough. The istack contains all the information needed to discard any binary implications that no longer hold; and the rstack records every literal that has been made nearly or really true.

Let's look at the *istack* entries first, because they're so easy. The code almost writes itself.

```
\langle Discard binary implications at the current level 80 \rangle \equiv
  if (o, nstack[level].branch \geq 0) {
     for (o, j = nstack[level].iptr; iptr > j; iptr --) {
       o, l = istack[iptr - 1].lit, sl = istack[iptr - 1].size;
       o, bimp[l].size = sl;
```

This code is used in section 84.

81. The rstack entries come in two parts, one easy and the other a bit tricky. The literals on rstack[j]for $fptr \leq j < eptr$ are the nice guys; they've become nearly true, but we haven't updated any serious consequences of that near-truth. Thus we merely need to unset those tentative assignments.

```
\langle \text{Unset the nearly true literals } 81 \rangle \equiv
  for (j = fptr; j < eptr; j ++) oo, stamp[thevar(rstack[j])] = 0;
This code is used in section 84.
```

82. The literals on rstack[j] for $rptr \leq j < fptr$ have become really true, and the ripple effects of those settings require more attention. Of principal importance is the fact that the ternary clauses in which those literals or their complements appear have become inactive, and they've been swapped to the "invisible" part of the relevant timp lists.

There's good news here: We don't need to unswap any of the timp entries while we're backtracking! The order of those entries isn't important; only the state, active versus inactive, matters. The active entries are those that appear among the first size entries, beginning at addr. The inactive ones follow, in precisely the order in which they were swapped out, because a pair never participates in swaps after it has become inactive. Therefore we can reactivate the most-recently-swapped-out item in any particular list by simply increasing size by 1.

Two or three literals of the same clause may have all become really true or really false. The hocus pocus in the preceding paragraph works correctly only if we are careful to do the virtual unswapping in precisely the reverse order from which we've done the swapping.

Similar reasoning applies to the list of free variables. When a literal left that list, we moved it from wherever it was in the early part of that list, by swapping it with the last currently free item, and then we decreased freevars by 1. To undo this operation, we simply increase freevars by 1. (The ordering isn't actually as critical here; it would suffice to change freevers once and for all by setting it to the value it had at the beginning of the node. But any savings in running time would be negligible.)

```
\langle \text{Unset the really true literals } 82 \rangle \equiv
  for (j = fptr - 1; j \ge rptr; j - -) {
                                                  /* decreasing order is important */
     o, ll = rstack[j];
     tll = ll \mid 1; (Reactivate the inactive ternaries implied by tll \mid 83);
     tll—; (Reactivate the inactive ternaries implied by tll 83);
     freevars ++;
     o, stamp[thevar(ll)] = 0;
This code is used in section 84.
```

```
83.
      \langle Reactivate the inactive ternaries implied by tll 83\rangle \equiv
  for (o, ls = timp[tll].size, la = timp[tll].addr + ls - 1; ls; ls - -, la - -) {
     o, u = tmem[la].u, v = tmem[la].v;
     oo, timp[bar(u)].size ++;
     oo, timp[bar(v)].size ++;
This code is used in section 82.
84. \langle Recover from conflicts 84 \rangle \equiv
dl-contra: \langle Recover from a double lookahead contradiction 146\rangle;
contra: (Recover from a lookahead contradiction 129);
  goto look_bad:
                       /* a conflict has arisen during lookahead */
conflict: (Unset the nearly true literals 81);
backtrack: (Unset the really true literals 82);
  ⟨ Discard binary implications at the current level 80⟩;
  if (o, nstack[level].branch \equiv 0) (Move to branch 1 85);
look_bad: if (level) {
     level --:
     if (level < 31) prefix &= -(1 \ll (31 - level)); /* see below */
     fptr = rptr;
     o, rptr = nstack[level].rptr;
     goto backtrack;
unsat: if (1)  {
    printf(" \sim n"):
                         /* the formula was unsatisfiable */
     if (verbose & show_basics) fprintf(stderr, "UNSAT\n");
  satisfied: if (verbose & show_basics) fprintf(stderr, "!SAT!\n");
     \langle Print the solution found 151\rangle;
This code is used in section 150.
```

85. A binary string is implicitly associated with every node of the search tree: At level 0, before we've done any branching at all, the string is empty. Branch 0 of every node appends 0 to the parent string, and branch 1 appends 1. The length of the string is therefore *level*. We also maintain the first 32 bits of the current string in the global variable *prefix*, left-justified within a 32-bit word. (This prefix is used to help guide locality of search, by identifying "participants" as explained in the preselection algorithm below.)

```
 \langle \text{Move to branch 1 85} \rangle \equiv \\ \{ \\ best lit = bar(nstack[level].decision); \\ o, nstack[level].decision = best lit, nstack[level].branch = 1; \\ \textbf{if } (level < 32) \ prefix += 1 \ll (31 - level); \\ \textbf{goto} \ tryit; \ /* \ \text{if at first you don't succeed, try the other branch} \ */ \\ \}
```

This code is used in section 84.

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86. A variable x is said to "participate" at a branch node if it occurs in one of the nonbinary clauses that is produced in that node or in one of that node's ancestors. If x has already become a participant, the string specified by vmem[x].pfx and vmem[x].len will be a prefix of the current string.

In this step we update the pfx and lev fields of variables that are participating in the current activity. Notice that this information does not need to be changed when backtracking.

(At levels above 31 this program accepts cousins as well as ancestors.)

```
\langle \operatorname{Record} thevar(u) \text{ and } thevar(v) \text{ as participants } 86 \rangle \equiv
  x = thevar(u);
  o, p = vmem[x].pfx, q = vmem[x].len;
  if (q < plevel) {
    t = prefix;
     if (q < 32) t \&= -(1_{LL} \ll (32 - q));
                                                /* zero out irrelevant bits */
     if (p \neq t) o, vmem[x].pfx = prefix, vmem[x].len = plevel;
  } else o, vmem[x].pfx = prefix, vmem[x].len = plevel;
  x = thevar(v);
  o, p = vmem[x].pfx, q = vmem[x].len;
  if (q < plevel) {
    t = prefix;
    if (q < 32) t \&= -(1_{LL} \ll (32 - q));
                                                /* zero out irrelevant bits */
     if (p \neq t) o, vmem[x].pfx = prefix, vmem[x].len = plevel;
  } else o, vmem[x].pfx = prefix, vmem[x].len = plevel;
This code is used in section 69.
```

§87 SAT11 PRESELECTION 43

87. Preselection. The main purpose of lookahead is to choose the best free variable on which to branch. Of course we have limited foreknowledge, so we must make guesses. And we don't have time to explore *every* variable that remains free, except in trivial ways, unless we're near the root of the search tree.

So we begin the lookahead task by identifying a set of candidate variables that appear to be the most promising among all those that are currently free. That's called *preselection*.

```
⟨ Do the prelookahead 87⟩ ≡
if (freevars ≡ 0) goto satisfied;
⟨ Preselect a set of candidate variables for lookahead 96⟩;
⟨ Determine the strong components; goto look_bad if there's a contradiction 103⟩;
⟨ Construct a suitable forest 116⟩;
This code is used in section 122.
88. The candidates are collected and identified in an array cand, whose entries have
```

88. The candidates are collected and identified in an array cand, whose entries have two fields, var and rating.

```
\langle \text{Type definitions } 5 \rangle + \equiv
  typedef struct cdata_struct {
                      /* the variable that's a candidate */
     uint var:
                          /* its estimated importance */
     float rating;
  } cdata;
89. \langle Global variables _3\rangle +\equiv
                        /* list of candidates for lookahead */
  cdata * cand;
  int cands;
                    /* the number of candidates in cand */
  float sum;
                     /* accumulator for computing the ratings */
  int no_newbies;
                           /* are candidates restricted to participants? */
                        /* estimates of how useful each variable will be for branching */
  \mathbf{float} * rating;
                      /* first 32 bits of the current prefix string */
  uint prefix;
  int plevel;
                    /* length of the current prefix string */
  int maxcand:
                        /* the maximum number of candidates desired at the current node */
90. \langle Allocate special arrays 58 \rangle + \equiv
  cand = (\mathbf{cdata} *) \ malloc(vars * \mathbf{sizeof}(\mathbf{cdata}));
  if (\neg cand) {
     fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} cand_{\sqcup} array! \n");
     exit(-10):
  bytes += vars * sizeof(cdata);
  rating = (\mathbf{float} *) \ malloc((vars + 1) * \mathbf{sizeof}(\mathbf{float}));
  if (\neg rating) {
     fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} rating_{\sqcup} array! \n");
     exit(-10);
  bytes += (vars + 1) * sizeof(float);
```

91. The first stage of preselection *does* examine all the free variables, in order to get enough data to choose the candidates. Thus it constitutes one of the inner loops for which we hope to do everything rapidly. The general idea is to compute a heuristic score h(l) for each free literal l, which estimates the relative amount by which asserting l will reduce the current problem.

Suppose there are n free variables. Then there are 2n free literals, and 2n scores h(l) to compute. Experiments have shown that we tend to get good estimates if these scores approximately satisfy the nonlinear equations

$$h(l) = 0.1 + \alpha \sum_{l \to l'} \hat{h}(l') + \sum_{l \to l' \lor l''} \hat{h}(l') \, \hat{h}(l''),$$

where α is a magic constant and where $\hat{h}(l)$ is a multiple of h(l) such that $\sum_{l} \hat{h}(l) = 2n$. (In other words, we "normalize" the h's so that the average score is 1.) The default value $\alpha = 3.5$ is recommended, but of course other magic values can be tried by using the command-line parameter 'a' to change α .

Given a set of h(l) scores, we can get a refined set h'(l) by computing

$$h'(l) = 0.1 + \alpha \sum_{l \to l'} \frac{h(l')}{\overline{h}} + \sum_{l \to l' \lor l''} \frac{h(l')}{\overline{h}} \frac{h(l'')}{\overline{h}}, \qquad \overline{h} = \frac{1}{2n} \sum_{l} h(l).$$

At the root of the tree, we start with h(l) = 1 for all l and then refine it several times. At deeper levels, we start with the h(l) values from the parent node and refine them (once).

A large array hmem holds all these values for the first $hlevel_max$ levels of the search tree. When $level \ge hlevel_max$, we revert to the most recent information that was saved. Inaccurate scores are obviously most troublesome near the root, so we prefer expediency to accuracy when level gets large. If the problem has n variables, the score h(l) for level j is stored in hmem[2*n*j+l-2].

```
Global variables 3⟩ +≡
float *hmem; /* heuristic scores on the first levels of the search tree */
int hmem_alloc_level; /* how much of hmem have we gotten into? */
float *heur; /* the currently relevant block within hmem */

92. ⟨Allocate special arrays 58⟩ +≡
hmem = (float *) malloc(lits * (hlevel_max + 1) * sizeof(float));
if (¬hmem) {
  fprintf(stderr, "Oops, □I□can't□allocate□the□hmem□array!\n");
  exit(-10);
}
hmem_alloc_level = 2;
bytes += lits * 3 * sizeof(float);
for (k = 0; k < lits; k++) o, hmem[k] = 1.0;</pre>
```

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93. The subroutine *hscores* converts h values to h' values according to the equation above. It also makes sure that h'(l) doesn't exceed max_score (which is 20.0 by default). Furthermore, it computes rating[thevar(l)] = hp(l) * hp(bar(l)), a number that will be used to select the final list of candidates.

45

```
#define htable(lev) \& hmem[(lev) * (int) lits - 2]
\langle Subroutines 29\rangle + \equiv
  void hscores(float *h, float *hp)
     register int j, l, la, ls, u, v;
     register float sum, tsum, factor, sqfactor, afactor, pos, neg;
     for (sum = 0.0, j = 0; j < freevars; j++) {
       o, l = poslit(freevar[j]);
       o, sum += h[l] + h[bar(l)];
     factor = 2.0 * freevars/sum;
     sqfactor = factor * factor;
     afactor = alpha * factor;
     for (j = 0; j < freevars; j ++) {
       o, l = poslit(freevar[j]);
       \langle \text{ Compute } sum, \text{ the score of } l 94 \rangle;
       pos = sum, l++;
       \langle \text{ Compute } sum, \text{ the score of } l 94 \rangle;
       neq = sum;
       if (verbose & show_scores)
         fprintf(stderr, "("O".8s: pos_{u}"O".2f_{u}neg_{u}"O".2f_{u}r="O".4g)\n", vmem[l \gg 1].name.ch8,
               pos, neg, (pos < max\_score ? pos : max\_score) * (neg < max\_score ? neg : max\_score));
       if (pos > max\_score) pos = max\_score;
       if (neg > max\_score) neg = max\_score;
       [o, hp[l-1] = pos, hp[l] = neg;
       o, rating[thevar(l)] = pos * neg;
     }
  }
94. \langle Compute sum, the score of l 94\rangle \equiv
  for (o, la = bimp[l].addr, ls = bimp[l].size, sum = 0.0; ls; la ++, ls --) {
     o, u = mem[la];
     if (isfree(u)) o, sum += h[u];
  for (o, la = timp[l].addr, ls = timp[l].size, tsum = 0.0; ls; la++, ls--) {
     o, u = tmem[la].u, v = tmem[la].v;
     oo, tsum += h[u] * h[v];
  sum = 0.1 + sum * afactor + tsum * sqfactor;
This code is used in section 93.
```

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Here we compute the relevant scores, and set the global variable heur to point within hmem in such a way that heur[l] will be the appropriate h(l) for the lookahead we're about to do.

```
\langle \text{ Put the scores in } heur 95 \rangle \equiv
  if (level < 1) {
    hscores(htable(0), htable(1));
                                        /* refine the all-1 heuristic */
                                        /* and refine that one */
    hscores(htable(1), htable(2));
    hscores(htable(2), htable(1));
                                        /* and refine that one */
                                        /* and refine that one */
    hscores(htable(1), htable(2));
    hscores(htable(2), htable(1));
                                        /* and refine that one */
    heur = htable(1);
                            /* use the fifth refinement */
  \} else if (level < hlevel\_max) {
    if (level > hmem\_alloc\_level) hmem\_alloc\_level ++, bytes += lits * sizeof(float);
    hscores(htable(level-1), htable(level));
                                                   /* refine the parent's heuristic */
    heur = htable(level);
                               /* and use it */
    if (hlevel\_max > hmem\_alloc\_level) hmem\_alloc\_level ++, bytes += lits * sizeof(float);
    hscores(htable(hlevel\_max - 1), htable(hlevel\_max));
                                                              /* refine ancestral heuristic */
    heur = htable(hlevel\_max);
                                      /* and use it */
```

This code is used in section 96.

The maximum number of candidates permitted, in this implementation, depends on the current level rather than on the number of variables or clauses in the problem: We calculate max and = the maximum of levelcand/level and mincutoff, where levelcand = 600 and mincutoff = 30 by default. (At level 0, for example, maxcand is infinite; at level 5 it is 120; at levels 20 or more it is 30.) Then, while cands > 2 * maxcand, we repeatedly remove all candidates whose rating is less than the mean; quite a few really weak candidates might therefore go away if a few strong ones dominate. Finally, if maxcand < cands < 2 * maxcand, we eliminate the cands - maxcand candidates with smallest ratings.

That policy might seem peculiar, but it reflects the reality of combinatorial search problems: If the problem is easy, we don't care if we solve it in 2 seconds or .00002 seconds. On the other hand if the problem is so difficult that it can only be solved by looking ahead more than we can accomplish in a reasonable time, we might as well face the fact that we won't solve it anyway. (There's no point in looking ahead at 60 variables at depth 60, because we won't be able to deal with more than 2^{50} or so nodes in any reasonable search tree.)

```
\langle Preselect a set of candidate variables for lookahead 96\rangle \equiv
  \langle \text{ Put the scores in } heur 95 \rangle;
  maxcand = (level \equiv 0 ? freevars : levelcand/level);
  if (maxcand < mincutoff) maxcand = mincutoff;
  (Put all free participants into the initial list of candidates 97);
  \langle Pare down the candidates to at most maxcand 100\rangle;
This code is used in section 87.
```

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97. The next stage in this winnowing-down process tries to avoid any variable that hasn't participated in a ternary clause that has been reduced; otherwise we might find ourselves trying to solve several independent problems at the same time. In order to weed out "newbies" (nonparticipants), we allow x to be a candidate only if vmem[x].pfx and vmem[x].len specify a string that's a prefix of the current node's string. (However, we rescind this restriction if it gives us no candidates. For example, at level 0 there are no participants, because we haven't reduced any clauses.)

If the V option is being used, to distinguish "primary" variables, we consider a nonprimary variable to be a nonparticipant (so that it will not normally become a candidate).

```
\langle \text{Put all free participants into the initial list of candidates } 97 \rangle \equiv
  no\_newbies = (plevel > 0);
init_cand: for (cands = k = 0, sum = 0.0; k < freevars; k++) {
     o, x = freevar[k];
     o, stamp[x] = 0;
                             /* erase all former assignments */
     if (no_newbies) {
       if (x > primary\_vars) continue;
       o, t = vmem[x].pfx, l = vmem[x].len;
       if (l \equiv plevel) {
          if (t \neq prefix) continue;
                                              /* not a participant */
        } else if (l > plevel) continue;
        else if (t \neq (l < 32 ? prefix \& -(uint)(1_{LL} \ll (32 - l)) : prefix)) continue;
     oo, cand[cands].var = x, cand[cands].rating = rating[x];
     cands ++, sum += rating[x];
  if (cands \equiv 0) {
     (If all clauses are satisfied, goto satisfied 98);
     no\_newbies = 0:
                             /* if there are no participants, accept all comers */
     goto init_cand;
This code is used in section 96.
98. \langle If all clauses are satisfied, goto satisfied 98\rangle \equiv
  for (j = 0; j < freevars; j \leftrightarrow) {
     o, x = freevar[j];
     l = poslit(x);
     \langle \text{ If } l \text{ implies any unsatisfied clauses, goto } nogood 99 \rangle;
     l++:
     \langle \text{ If } l \text{ implies any unsatisfied clauses, goto } nogood 99 \rangle;
  goto satisfied;
nogood:
This code is used in section 97.
     \langle \text{If } l \text{ implies any unsatisfied clauses, } \mathbf{goto} \text{ nogood } 99 \rangle \equiv
  if (o, timp[l].size) goto nogood;
                                           /* all active timps are unsatisfied */
  for (o, la = bimp[l].addr, ls = bimp[l].size; ls; la++, ls--) {
     o, u = mem[la];
     if (o, stamp[thevar(u)] \neq real\_truth + (u \& 1)) goto nogood;
This code is used in section 98.
```

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100. At this point we've got *cands* candidates in the *cand* array, and *sum* is the sum of their ratings. The next task is to eliminate low-rated candidates, if we have too many to handle.

```
 \langle \text{ Pare down the candidates to at most } \max cand \ 100 \rangle \equiv \\ \text{ for } (k=1; \ cands \geq 2*\max cand \land k; \ ) \ \langle \\ \text{ register float } \max = 0.9999*\sup / (\text{double}) \ cands; \\ \text{ for } (j=k=0, sum=0.0; \ j < cands; \ ) \ \langle \\ \text{ if } (o, cand[j].rating \geq mean) \ sum += cand[j].rating, j++; \\ \text{ else } oo, k=1, cand[j] = cand[--cands]; \ /* \ don't \ advance \ j, \ discard \ a \ loser \ */ \ \rangle \\ \rangle \\ \text{ if } (cands > \max cand) \ \langle \text{ Select the } \max cand \ \text{ best-rated candidates } 101 \rangle; \\ \text{ if } (cands \equiv 0) \ confusion("cands"); \\ \end{cases}  This code is used in section 96.
```

101. Here we make the *cand* array into a heap, with low-rated elements in the lowest positions. Then we delete the ones we don't want. (See Algorithm 5.2.3H. The heap condition is

```
cand[i].rating \leq cand[2*i+1].rating
                                                                and
                                                                         cand[i].rating \leq cand[2*i+2].rating
whenever the subscripts are nonnegative and less than cands.)
\langle Select the maxcand best-rated candidates 101\rangle \equiv
     j = cands \gg 1;
                              /* the heap condition holds for i \geq j */
     while (j > 0) {
        \langle \operatorname{Sift} \ cand[j] \ \operatorname{up} \ 102 \rangle;
     while (1) {
        oo, cand[0] = cand[--cands];
                                                 /* discard a loser */
        if (cands \equiv maxcand) break;
        \langle \operatorname{Sift} \ cand[j] \ \operatorname{up} \ 102 \rangle;
   }
This code is used in section 100.
102. \langle \text{Sift } cand[j] \text{ up } 102 \rangle \equiv
     register float r;
     cdata c;
     o, c = cand[j], r = c.rating;
     for (i = j, jj = (j \ll 1) + 1; jj < cands; i = jj, jj = (jj \ll 1) + 1) {
        if (jj + 1 < cands \land (o, cand[jj + 1].rating < cand[jj].rating)) jj \leftrightarrow ;
        if (o, r \leq cand[jj].rating) break;
        o, cand[i] = cand[jj];
     if (i > j) o, cand[i] = c;
This code is used in section 101.
```

 $\{103 \quad \text{SAT11} \quad \text{STRONG COMPONENTS} \quad 49$

103. Strong components. If the binary implication graph has a nontrivial strong component, all literals in that component are locked together: Any one of their values determines all the rest. Therefore we don't want to bother looking ahead on two variables that have literals in the same strong component.

Robert Tarjan has devised a beautiful algorithm that finds the strong components very efficiently [SIAM Journal on Computing 1 (1972), 146–160]; and his algorithm also produces a topological sort on the representatives of those components, as an extra bonus. We are going to want the preselected candidates to be topologically sorted, because that will speed up the lookaheads that we'll be doing. Therefore Tarjan's algorithm is a perfect fit for our present situation.

Note: We are going to restrict ourselves to direct implications between candidates, instead of considering indirect chains of implications $l_0 \to l_1 \to \cdots \to l_k$ with k > 1, where l_0 and l_k are candidates but the intermediate literals l_1, \ldots, l_{k-1} are not. The efficiency of Tarjan's algorithm suggests that we could consider the full digraph instead of its restriction to candidates only, perhaps before deciding on the list of candidates. However, cases in which indirect implications provide significant information appear to be rare. (At least, the author has yet to see a single instance where two chosen candidates, in the most time-consuming parts of a search tree, are implicitly linked without also being explicitly linked.) It seems that the variables chosen to be candidates almost never have important non-candidate neighbors.

The following implementation of Tarjan's algorithm follows the steps that appear on pages 513–519 of *The Stanford GraphBase*. The reader is referred to that book, which explains the procedure in terms of an explorer who searches the rooms of a cave, for full details and proofs of correctness.

The algorithm uses five integer fields in each literal's *lmem* record:

rank is initially 0, then positive, finally ∞ , when l is respectively unseen, then active, finally settled.

parent points to a lower-ranked literal in the current oriented tree of active literals (or to 0 at the root), when l is active; it points to the component representative when l is settled.

untagged tells how many of l's successors haven't been explored.

link is a link in the stack of active vertices or the stack of settled vertices.

min is Tarjan's brilliant invention that makes everything work fast.

We add also a sixth field, vcomp, which is a component member of maximum rating.

Our instrumentation counts *mems* by assuming that *rank* and *link* are accessed simultaneously as an octabyte, as are *untagged* and *min*, *parent* and *vcomp*.

```
⟨ Determine the strong components; goto look_bad if there's a contradiction 103⟩ ≡
⟨ Make all vertices unseen and all arcs untagged 105⟩;
for (i = 0; i < cands; i++) {
    o, l = poslit(cand[i].var);
    check_rank: if (o, lmem[l].rank ≡ 0) ⟨ Perform a depth-first search with l as root, finding the strong
        components of all vertices reachable from l 111⟩;
    if ((l & 1) ≡ 0) {
        l++; goto check_rank;
    }
    }
    if (verbose & show_strong_comps) ⟨ Print the strong components 104⟩;</pre>
This code is used in section 87.
```

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```
104. \langle \text{Print the strong components } 104 \rangle \equiv \{ \\ fprintf(stderr, "Strong components : \n"); \\ \text{for } (l = settled; \ l; \ l = lmem[l].link) \ \{ \\ fprintf(stderr, " \ "O" \ "S"O" . 8s \ ", litname(l)); \\ \text{if } (lmem[l].parent \neq l) \ fprintf(stderr, "with \ "O" \ "S"O" . 8s \ ", litname(lmem[l].parent)); \\ \text{else } \{ \\ \text{if } (lmem[l].vcomp \neq l) \ fprintf(stderr, "-> \ "O" \ "S"O" . 8s \ ", litname(lmem[l].vcomp)); \\ fprintf(stderr, ""O" . 4g \ ", rating[thevar(lmem[l].vcomp)]); \\ \} \\ \} \\ \}
```

This code is used in section 103.

105. Candidates are marked with *bstamp* here so that they can be distinguished from non-candidates. Then we make a new copy of the *bimp* data, abbreviating it so that only the candidates are listed.

An arbitrary upper bound is placed on the total number of arcs in this reduced digraph, because perfect accuracy is not important at this stage. The default limit, $max_prelook_arcs = 10000$, can be changed if desired. Care is needed when we stick to such a limit, because we want the arc $u \to v$ to be present if and only if its dual $\bar{v} \to \bar{u}$ is also present.

```
\langle Make all vertices unseen and all arcs untagged 105\rangle \equiv
  \langle \text{Bump } bstamp \text{ to a unique value } 66 \rangle;
  for (i = 0; i < cands; i++) {
     o, l = poslit(cand[i].var);
     oo, lmem[l].rank = 0, lmem[l].arcs = -1, lmem[l].bstamp = bstamp;
     oo, lmem[l+1].rank = 0, lmem[l+1].arcs = -1, lmem[l+1].bstamp = bstamp;
  \langle \text{Copy all the relevant arcs to } cand\_arc | 109 \rangle;
  for (i = 0; i < cands; i++) {
     o, l = poslit(cand[i].var);
     oo, lmem[l].untagged = lmem[l].arcs;
     oo, lmem[l+1].untagged = lmem[l+1].arcs;
              /* this is the number of vertices "seen" by Tarjan's algorithm */
  active = settled = 0;
                              /* the active and settled stacks are empty */
This code is used in section 103.
106. \langle \text{Type definitions 5} \rangle + \equiv
  typedef struct arc_struct {
                    /* the implied literal */
     uint tip;
                    /* next arc from the implier literal, or -1 */
     int next;
  } arc;
107. \langle \text{Global variables } 3 \rangle + \equiv
                       /* the arcs in a reduced digraph */
  \mathbf{arc} * cand\_arc;
  int cand_arc_alloc;
                            /* how many arc slots have we used so far? */
  int active;
                   /* top of the linked stack of active vertices */
                   /* top of the linked stack of settled vertices */
  int settled;
```

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```
108.
       The number of bytes used will be adjusted dynamically.
\langle Allocate special arrays 58\rangle + \equiv
  max\_prelook\_arcs \&= -2;
                                     /* make sure max_prelook_arcs is even */
  cand\_arc = (\mathbf{arc} *) \ malloc(max\_prelook\_arcs * \mathbf{sizeof}(\mathbf{arc}));
  if (\neg cand\_arc) {
     fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} cand_{arc_{\sqcup} array! \n"});
     exit(-10);
109. (Copy all the relevant arcs to cand\_arc 109) \equiv
  for (j = i = 0; i < cands; i++) {
     o, l = poslit(cand[i].var);
     \langle \text{Copy the arcs from } l \text{ into the } cand\_arc \text{ array } 110 \rangle;
     \langle \text{Copy the arcs from } l \text{ into the } cand\_arc \text{ array } 110 \rangle;
  }
                                         /* we've copied more arcs than ever before */
arcs\_done: if (j > cand\_arc\_alloc)
     bytes += (j - cand\_arc\_alloc) * sizeof(arc), cand\_arc\_alloc = j;
This code is used in section 105.
110. Beware: We reverse the ordering here, placing an arc u \to v into cand_arc when there's an implication
v \to u in the bimp table. This switcheroo will produce strong components in a more desirable order.
\langle \text{Copy the arcs from } l \text{ into the } cand\_arc \text{ array } 110 \rangle \equiv
  for (oo, la = bimp[l].addr, ls = bimp[l].size, p = lmem[bar(l)].arcs; ls; la ++, ls --) {
     o, u = mem[la];
     if (u < l) continue;
                                  /* we enter arcs in pairs, only when l < u */
     if (o, lmem[u].bstamp \neq bstamp) continue; /* not a candidate */
          /* now l \to u is an implication, and u > l */
     o, cand\_arc[j].tip = bar(u), cand\_arc[j].next = p, p = j; /* make arc \bar{l} \to \bar{u} */
     oo, cand\_arc[j+1].tip = l, cand\_arc[j+1].next = lmem[u].arcs;
                                            /* make arc u \to l */
     o, lmem[u]. arcs = j + 1, j += 2;
     if (j \equiv max\_prelook\_arcs) {
       if (verbose & show_details)
          fprintf(stderr, "prelook_arcs_cut_loff_lat_l"O"d;_lsee_loption_lz\n", max_prelook_arcs);
       o, lmem[bar(l)].arcs = lmem[bar(l)].untagged = p;
       goto arcs_done;
  o, lmem[bar(l)].arcs = lmem[bar(l)].untagged = p;
This code is used in section 109.
       \langle Perform a depth-first search with l as root, finding the strong components of all vertices reachable
       from l \mid 111 \rangle \equiv
     v = l:
     o, lmem[l].parent = 0;
     \langle \text{ Make vertex } v \text{ active } 112 \rangle;
     do (Explore one step from the current vertex v, possibly moving to another current vertex and calling
          it v 113 \rangle while (v > 0);
This code is used in section 103.
```

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```
112. \langle Make vertex v active 112 \rangle \equiv o, lmem[v].rank = ++k; lmem[v].link = active, active = v; o, lmem[v].min = v; This code is used in sections 111 and 113.
```

113. Minor point: No mem is charged for setting lmem[v].min = u here, because lmem[v].untagged could have been set at the same time.

```
\langle Explore one step from the current vertex v, possibly moving to another current vertex and calling
       it v \mid 113 \rangle \equiv
     o, vv = lmem[v].untagged, ll = lmem[v].min;
     if (vv \ge 0) { /* still more to explore from v */
       o, u = \mathit{cand\_arc}[\mathit{vv}].\mathit{tip}, \mathit{vv} = \mathit{cand\_arc}[\mathit{vv}].\mathit{next};
       o, lmem[v].untagged = vv;
       o, j = lmem[u].rank;
       if (j) {
                   /* we've seen u already */
         if (o, j < lmem[ll].rank) lmem[v].min = u;
                                                           /* nontree arc, just update v's min */
       } else { /* u is newly seen */
          lmem[u].parent = v; /* a new tree arc goes v \to u */
                    /* u will now be the current vertex */
          \langle \text{ Make vertex } v \text{ active } 112 \rangle;
       }
     } else { /* v becomes mature */
       o, u = lmem[v].parent;
       if (v \equiv ll) (Remove v and all its successors on the active stack from the tree, and mark them as a
              strong component of the digraph 114
                   /* the arc u \to v has matured, making v's min visible from u */
         if (ooo, lmem[ll].rank < lmem[lmem[u].min].rank) o, lmem[u].min = ll;
                   /* the former parent of v becomes the new current vertex v */
       v = u;
     }
This code is used in section 111.
```

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114. When v is the representative of a strong component, all vertices of that component henceforth regard v as their parent.

If v represents the strong component of u and if w represents the strong component of bar(u), we won't always have w = bar(v). But we take pains to ensure that lmem[v].vcomp = bar(lmem[w].vcomp).

```
#define infty badlit
```

```
\langle Remove v and all its successors on the active stack from the tree, and mark them as a strong component
      of the digraph 114 \rangle \equiv
    float r, rr;
    t = active;
    o, r = rating[thevar(v)], w = v;
    o, active = lmem[v].link;
    o, lmem[v].rank = infty;
                                 /* settle v */
    lmem[v].link = settled, settled = t;
                                           /* move the component from active to settled */
    while (t \neq v) {
      if (t \equiv bar(v)) {
                            /* component contains complementary literals */
         if (verbose & show_gory_details) fprintf(stderr, "the⊔binary⊔clauses⊔are⊔inconsistent\n");
         goto look_bad;
      }
      o, lmem[t].rank = infty;
                                   /* now t is settled */
      o, lmem[t].parent = v;
                                 /* and its strong component is represented by v */
      o, rr = rating[thevar(t)];
      if (rr > r) r = rr, w = t;
      o, t = lmem[t].link;
    o, lmem[v].parent = v, lmem[v].vcomp = w; /* v represents itself */
    if (o, lmem[bar(v)].rank \equiv infty) oo, lmem[v].vcomp = bar(lmem[lmem[bar(v)].parent].vcomp);
```

This code is used in section 113.

115. The lookahead forest. Now we come to what is probably the nicest part of this whole program, an elegant mechanism by which much of the potential lookahead computation is avoided.

Suppose we've decided to look ahead on the consequences of literals l_1, l_2, \ldots, l_n , in that order. The current binary implications tell us that, if l_j is true, then also l_i must be true for certain i. If i < j, we've already deduced the consequences of l_i , so we prefer not to do that again. On the other hand l_j probably doesn't imply all of l_1, \ldots, l_{i-1} ; so we want to be selective, to reuse only part of the information that we've already discovered.

The stamping principle provides a way to do that. Suppose $p_1p_2...p_n$ is a permutation of $\{1,...,n\}$, and suppose we stamp true/false values at level p_j when we are looking at consequences of l_j . Then, when l_j is current, the value of a literal will be considered unknown if its stamp is less than p_j , but it will be implied by l_j if it has been deduced by any of the previous literals l_i with i < j and $p_i > p_j$.

If, for example, n=4 and $p_1p_2p_3p_4=3142$, then l_2 can assume all consequences of l_1 (because $p_1>p_2$); and l_4 can assume all of the consequences of l_1 and l_3 , but not l_2 (because $p_1>p_4$ and $p_3>p_4$ but $p_2< p_4$). This permutation captures the shortcuts that are legitimate when we have the implications $l_2\to l_1$, $l_4\to l_1$, and $l_4\to l_3$.

A set of implications that can be defined by a permutation in this way is called a "permutation poset." When I first noticed this connection between permutation posets and stamping, I excitedly thought, "Aha! Permutation posets are ideal for lookahead in a SAT solver." Unfortunately, however, I soon learned that lookahead is much more subtle than I'd realized, and I was compelled to abandon that optimistic sentiment; my current thinking is, "Alas! Only a few permutation posets will work well for lookahead in a SAT solver."

The example above, which is based on the notorious pi-mutation 3142, illustrates the problem if we examine it closely: When literal l_3 is processed, we don't want occurrences of \bar{l}_1 to be removed from the current clauses, because l_3 doesn't imply l_1 . But when l_4 is processed, we do want \bar{l}_1 to be suppressed, as well as \bar{l}_3 , because $l_4 \to l_1$ and $l_4 \to l_3$.

On the other hand the permutation $4\,1\,3\,2$ does lead to a good scenario. It corresponds to the dependencies $l_2 \to l_1$, $l_3 \to l_1$, $l_4 \to l_3$ (hence also $l_4 \to l_1$). Now l_3 can assume the consequences of l_1 (but not l_2), and we can remove \bar{l}_1 from the clauses when we work on l_3 . Again l_4 can assume the consequences of l_1 and l_3 (but not l_2); and this time it's convenient to remove \bar{l}_3 from the clauses that have already been purged of \bar{l}_1 . The point is that the purging of negative literals has the same implicit recursive structure as the visibility of stamps.

The permutations that work properly are those that don't contain a substring a b c with c < a < b (like the substring 3 4 2 in 3 1 4 2). And such permutations are well known: They are the so-called *stack permutations*. [See *The Art of Computer Programming*, exercise 2.2.1–5. Actually our permutations are the reverses or the inverses of the stack permutations described there.] Moreover, they correspond precisely to dependencies that form an oriented forest, and the correspondence is also well known and quite nice: "If u and v are nodes of a forest, u is a proper ancestor of v if and only if u precedes v in preorder and u follows v in postorder" [TAOCP exercise 2.3.2–20].

In general we've chosen candidate literals with certain known dependencies. We would like to find an oriented forest, contained within those dependencies, having as many arcs as possible.

The task of finding the largest oriented forest contained in a given partially ordered set is probably NP-complete. But two things make our task feasible in practice. First, the number of variables for which we need to study dependencies is not very large, during the bulk of the calculations; it's at most a few dozen, except at shallow depth. Second, the dependencies aren't usually extensive; at most ten or so variables are in any connected component of the typical digraphs that arise. So we need only come up with a decent way to handle small examples. It doesn't matter if our subforests are crude in unusual cases.

55

116. When the program below begins its work, we will have reduced the strong components of the candidates' digraph and placed the component representatives into topological order. That order isn't necessarily the one we seek for the oriented forest, but it facilitates the computations we need to do. We use it to rank the literals in yet another way, this time by "height," namely by the length of a longest path from a source vertex. Then every literal u of height h > 0 has a predecessor vertex v of height h - 1. We will use the oriented forest that is defined by those predecessor links—using the fact that $v \to u$ is an implication in bimp[v] when u has an arc to v in the $cand_arc$ digraph.

```
\langle Construct a suitable forest 116\rangle \equiv \langle Find the heights and the child/sibling links 117\rangle; \langle Construct the look table 121\rangle; This code is used in section 87.
```

117. If u represents a strong component we will change lmem[u]. untagged to a height value; and we'll also make lmem[u]. min point to child of u in the forest being constructed. Those fields are therefore renamed height and child, to reflect their new function. The link fields will also acquire a new significance, although we'll keep calling them link: They will point to siblings in the forest, namely to vertices with the same parent.

The dummy literal 1 will play the role of a global root, whose children are all of the source vertices (the vertices of height 0).

```
#define height untagged
#define child min
\#define root 1
\langle Find the heights and the child/sibling links 117\rangle \equiv
  o, lmem[root].child = 0, lmem[root].height = -1, pp = root;
  for (u = settled; u; u = uu) {
    oo, uu = lmem[u].link, p = lmem[u].parent;
                                            /*pp is previous strong component representative */
    if (p \neq pp) h = 0, w = root, pp = p;
    for (o, j = lmem[bar(u)].arcs; j \ge 0; j = cand\_arc[j].next) {
                                      /* we look at the predecessors v of u */
       o, v = bar(cand\_arc[j].tip);
       o, vv = lmem[v].parent;
      if (vv \equiv p) continue;
                                   /* ignore an arc within the current component */
      o, hh = lmem[vv].height;
      if (hh \ge h) h = hh + 1, w = vv;
    if (p \equiv u) {
      o, v = lmem[w].child;
       oo, lmem[u].height = h, lmem[u].child = 0, lmem[u].link = v;
      o, lmem[w].child = u;
  }
```

This code is used in section 116.

SAT11 §118

118. The results of our oriented forest computation are placed into an array of ldata called look. The lookahead process will examine literals look[0].lit, look[1].lit, ..., look[looks-1].lit, in that order; and the current stamp while studying the implications of look[k].lit will be the even number base + look[k].offset, where base is the smallest stamp in the current iteration.

(Cognoscenti will understand that there is one entry in this array for each strong component that was found in the implication digraph of candidates.)

```
\langle \text{Type definitions } 5 \rangle + \equiv
   typedef struct ldata_struct {
                      /* a literal for lookahead */
      uint lit;
      uint offset:
                          /* the offset of its stamp */
   } ldata;
119. \langle \text{Global variables } 3 \rangle + \equiv
                         /* specification of the oriented forest for lookaheads */
   ldata * look;
                     /* the number of current entries in look */
  int looks;
120. \langle Allocate special arrays 58\rangle + \equiv
   look = (\mathbf{ldata} *) \ malloc(lits * \mathbf{sizeof}(\mathbf{ldata}));
      fprintf(stderr, "Oops, \sqcup I_{\sqcup} can't_{\sqcup} allocate_{\sqcup} the_{\sqcup} look_{\sqcup} array! \n");
      exit(-10);
   bytes += lits * sizeof(ldata);
```

121. Here's a standard "double order" traversal [TAOCP exercise 2.3.1–18] as we list the literals in preorder while filling in their offsets according to postorder.

We've constructed the tree using literals that are representatives of the strong components produced by Tarjan's algorithm. But the lookahead process will use the *vcomp* representatives instead.

```
\langle \text{ Construct the } look \text{ table } 121 \rangle \equiv
  o, u = lmem[root].child, j = k = v = 0;
  while (1) {
    oo, look[k].lit = lmem[u].vcomp;
    o, lmem[u].rank = k++;
                                 /* k advances in preorder */
    if (o, lmem[u].child) {
                                 /* fix parent temporarily for traversal */
       o, lmem[u].parent = v;
       v = u, u = lmem[u].child; /* descend to u's descendants */
    } else {
    post: o, i = lmem[u].rank;
       o, look[i].offset = j, j += 2;
                                        /* j advances in postorder */
      if (v) oo, lmem[u].parent = lmem[v].vcomp; /* fix parent for lookahead */
       else o, lmem[u].parent = 0;
                                                   /* move to u's next sibling */
       if (o, lmem[u].link) u = lmem[u].link;
       else if (v) {
         o, u = v, v = lmem[u].parent;
                                         /* after the last sibling, move to u's parent */
         goto post;
       } else break;
    }
  looks = k;
  if (j \neq k + k) confusion ("looks");
This code is used in section 116.
```

§122 SAT11

This code is used in section 59.

122. Looking ahead. The lookahead process has much in common with what we do when making a decision at a branch node, except that we don't make drastic changes to the data structures. We don't assign any truth values at levels higher than *proto_truth*; and that level is reserved for literals that will be forced true if the lookahead procedure finds no contradictions. We don't create new binary implications when a ternary clause gets a false literal; we estimate the potential benefit of such binary implications instead.

The literals that we want to study have been selected and placed in look by the prelookahead procedures discussed above. We run through them repeatedly until making a full pass without finding any new forced literals.

```
Look ahead and gather data about how to make the next branch; but goto look_bad if a contradiction
       arises 122 \rangle \equiv
  \langle \text{ Do the prelookahead } 87 \rangle;
  if (verbose & show_looks) {
     fprintf(stderr, "Looks_lat_level_l"O"d: \n", level);
     for (i = 0; i < looks; i++)
       fprintf(stderr, "_{\perp}"O"s"O".8s_{\perp}"O"d\n", litname(look[i].lit), look[i].offset);
  fl = forcedlits, last\_change = -1;
  base = 2;
  while (1) {
     for (looki = 0; looki < looks; looki ++) {
       if (looki \equiv last\_change) goto look\_done;
       o, l = look[looki].lit, cs = base + look[looki].offset;
       \langle \text{Look ahead at consequences of } l, \text{ and } \mathbf{goto} \ look\_bad \text{ if a conflict is found } 125 \rangle;
     look\_on: if (forcedlits > fl) fl = forcedlits, last\_change = looki;
     if (last\_change \equiv -1) break;
     base += 2 * looks;
                             /* forget small truths */
     if (base + 2 * looks \ge proto\_truth) break;
  look\_done:
```

123. The *base* keeps rising during a lookahead, never decreasing again. We had better use 64 bits for it, so that overflow won't be overlooked in large instances.

```
⟨Global variables 3⟩ +≡

ullng base, last_base; /* base address for stamps with offsets from look */
uint *forcedlit; /* array of forced literals */
int forcedlits, fl; /* the number of forced literals */
int last_change; /* where in the array did we last make progress? */
int looki; /* index of our position in look */
uint looklit; /* the literal whose consequences we are exploring */
uint old_looklit; /* the literal whose consequences we were exploring */
```

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124. Again we want a fast way to make literals "snap into place" when they're directly implied by an assumption that we're making.

Here we clone the former binary propagation loop for purposes of lookahead: Instead of going to *conflict* if a contradiction arises, we go to *contra*, because the contradiction of a tentative assumption does not necessarily imply a real conflict.

Although the lookahead algorithms use rstack for breadth-first search, they never change rptr, nor do they fix any literals at more than the $proto_truth$ level.

```
\langle Propagate binary lookahead implications of l; goto contra if a contradiction arises 124\rangle
  if (isfixed(l)) {
     if (iscontrary(l)) goto contra;
  } else {
     if (verbose & show_gory_details) {
       if (cs \ge proto\_truth) fprintf(stderr, "protofixing\_"O"s"O".8s\n", litname(l));
       else fprintf(stderr, ""O"dfixing_{\sqcup}"O"s"O".8s\n", cs, litname(l));
     stamptrue(l);
     lfptr = eptr;
     o, rstack[eptr++] = l;
     while (lfptr < eptr) {
       o, l = rstack[lfptr++];
       for (o, la = bimp[l].addr, ls = bimp[l].size; ls; la ++, ls --) {
         o, lp = mem[la];
         if (isfixed(lp)) {
            if (iscontrary(lp)) goto contra;
          } else {
            if (verbose & show_gory_details) {
              \textbf{if} \ (cs \geq proto\_truth) \ \textit{fprintf} \ (stderr, "\_protofixing\_"O"s"O".8s\n", \textit{litname} \ (lp));\\
               else fprintf(stderr, "_{\sqcup}"O"dfixing_{\sqcup}"O"s"O".8s\n", cs, litname(lp));
            stamptrue(lp);
            o, rstack[eptr++] = lp;
      }
    }
```

This code is used in sections 130 and 134.

 $\S125$ SAT11 LOOKING AHEAD 59

125. An example will make it easier to visualize the current context. Suppose the relevant binary clauses are $(\bar{b} \lor a) \land (\bar{c} \lor a) \land (\bar{d} \lor c)$. Then the *look* array might contain the sequence \bar{b} , a, b, c, d, \bar{d} , \bar{c} , \bar{a} , with respective offsets 0, 8, 2, 6, 4, 14, 12, 10. The parent of c is then a; the parent of d is c; the parent of \bar{c} is \bar{d} ; the parent of \bar{a} is \bar{c} ; and a, \bar{b} , \bar{d} are roots with no parent.

```
\langle \text{Look ahead at consequences of } l, \text{ and goto } look\_bad \text{ if a conflict is found } 125 \rangle \equiv
  looklit = l:
  o, ll = lmem[looklit].parent;
  if (ll) oo, lmem[looklit].wnb = lmem[ll].wnb;
                                                           /* inherit from parent */
  else o, lmem[l].wnb = 0.0;
  if (verbose & show_qory_details)
     fprintf(stderr, "looking_at_"O"s"O".8s_u("O"d)\n", litname(looklit), cs);
  if (isfixed(l)) {
     if (iscontrary(l) \land stamp[thevar(l)] < proto_truth)
       \langle Force looklit to be (proto) false, and complement it 128 \rangle;
  } else {
     (Update lookahead data structures for consequences of looklit; but goto contra if a contradiction
          arises 130;
     if (weighted\_new\_binaries \equiv 0) \langle Exploit an autarky 126 \rangle
     else o, lmem[looklit].wnb += weighted\_new\_binaries;
     \langle Do a double lookahead from looklit, if that seems advisable \frac{140}{3};
     (Check for necessary assignments 137);
This code is used in section 122.
```

126. Here we implement an extension of the classical "pure literal" rule: We have just looked at all the consequences obtainable by repeated propagation of unit clauses when *looklit* is assumed to be true, and we've found no contradiction. Suppose we've also discovered no "new weighted binaries"; this means that, whenever we have reduced a clause from size s to size s' < s during this process, the reduced size s' is 1. (For if s' = 0 we would have had a contradiction, while if 1 < s' < s we would have increased $new_weighted_binaries$.)

In such a case, the set of literals deducible from looklit is said to form an autarky, and we are allowed to assume that looklit is true. Indeed, those literals $\{l_1, \ldots, l_k\}$ satisfy every clause that contains either l_i or \bar{l}_i for any i. If the remaining "untouched" clauses are satisfiable, we can satisfy all the clauses by using $\{l_1, \ldots, l_k\}$ in the clauses that are touched; and if we can satisfy all the clauses, we can certainly satisfy the untouched ones.

```
(I learned this trick in January 2013 from Marijn Heule.)
⟨Exploit an autarky 126⟩ ≡
{
   if (lmem[looklit].wnb ≡ 0) {
      if (verbose & show_gory_details) fprintf(stderr, "uautarkyuatu"O"s"O".8s\n", litname(looklit));
      looklit = bar(looklit); /* complement looklit temporarily */
      ⟨Force looklit to be (proto) false, and complement it 128⟩;
} else {
      ll = lmem[looklit].parent;
      if (verbose & show_gory_details)
            fprintf(stderr, "uautarkyu"O"s"O".8su->u"O"s"O".8s\n", litname(ll), litname(looklit));
      ⟨Make ll equivalent to looklit 127⟩;
}
}
```

This code is used in section 125.

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127. Furthermore, if lmem[looklit].wnb is nonzero, we know that we set it to lmem[ll].wnb where ll is the parent of looklit. In that case, if the assertion of looklit gives no new weighted new binaries in addition to those obtained from ll, the variables deducible from looklit are an autarky with respect to the set of clauses that are reduced by ll; so we are allowed to assume that looklit itself is implied by ll. (Think about it.) In other words, adding the additional clause $\neg ll \lor looklit$ does not make the set of clauses any less satisfiable.

This additional clause is special, because it cannot in general be derived by resolution.

We already have the clause $\neg look lit \lor ll$, because ll is the parent of look lit. Thus we can conclude that both literals are equivalent in this case.

```
\langle \text{ Make } ll \text{ equivalent to } looklit | 127 \rangle \equiv
     u = bar(ll);
     o, au = bimp[ll].addr, su = bimp[ll].size;
     \langle \text{ Make sure that } bar(u) \text{ has an } istack \text{ entry } 74 \rangle;
     if (o, su \equiv bimp[ll].alloc) resize(ll), o, au = bimp[ll].addr;
     oo, mem[au + su] = looklit, bimp[ll].size = su + 1;
     u = looklit:
     o, au = bimp[bar(u)].addr, su = bimp[bar(u)].size;
     \langle \text{ Make sure that } bar(u) \text{ has an } istack \text{ entry } 74 \rangle;
     if (o, su \equiv bimp[bar(u)].alloc) resize (bar(u)), o, au = bimp[bar(u)].addr;
     oo, mem[au + su] = bar(ll), bimp[bar(u)].size = su + 1;
     oo, stamp[thevar(looklit)] = stamp[thevar(ll)] \oplus ((looklit \oplus ll) \& 1);
This code is used in section 126.
        \langle Force looklit to be (proto) false, and complement it 128 \rangle \equiv
     looklit = bar(looklit);
     forcedlit[forcedlits ++] = looklit;
     look\_cs = cs, cs = proto\_truth;
     (Update lookahead data structures for consequences of looklit; but goto contra if a contradiction
           arises 130;
     cs = look\_cs;
This code is used in sections 125, 126, 129, and 137.
```

129. When we get to label contra, we execute the following instructions, which will "fall through" to label $look_bad$ if $cs = proto_truth$.

Roughly speaking, we've derived a contradiction after assuming that *looklit* is true. When that assumption fails, we make *looklit* proto-false. A second failure at the proto-false level is a real conflict, and it will require backtracking.

```
⟨ Recover from a lookahead contradiction 129⟩ ≡
  if (cs < proto_truth) {
    ⟨ Force looklit to be (proto) false, and complement it 128⟩;
    goto look_on;
  }
This code is used in section 84.</pre>
```

 $\{130 \quad \text{SAT11} \quad \text{Looking Ahead} \quad 61$

130. A new breadth-first search is launched here, as we assert *looklit* at truth level cs and derive the ramifications of that assertion. If, for example, cs = 50, we will make *looklit* (and all other literals that it implies) true at level 50, unless they're already true at levels 52 or above.

The consequences of *looklit* might include "windfalls," which are unfixed literals that are the only survivors of a clause whose other literals have become false. Windfalls will be placed on the *wstack*, which is cleared here.

```
(Update lookahead data structures for consequences of looklit; but goto contra if a contradiction
                 arises 130 \rangle \equiv
      wptr = 0; fptr = eptr = rptr;
      weighted\_new\_binaries = 0;
      l = looklit:
      \langle Propagate binary lookahead implications of l; goto contra if a contradiction arises 124\rangle;
      while (fptr < eptr) {
           o, ll = rstack[fptr++];
           \langle \text{Update lookahead data structures for the truth of } ll; \text{ but goto } contra \text{ if a contradiction arises } 133 \rangle;
      (Convert the windfalls to binary implications from looklit 135);
This code is used in sections 125 and 128.
131. \langle \text{Global variables 3} \rangle + \equiv
                                                    /* place to store windfalls that result from looklit */
      \mathbf{uint} * wstack;
                                         /* the number of entries currently in wstack */
      float weighted_new_binaries;
                                                                                        /* total weight of binaries that we uncover */
132. \langle Allocate special arrays 58\rangle + \equiv
      wstack = (uint *) malloc(lits * sizeof(uint));
      if (\neg wstack) {
           fprintf(stderr, "Oops, \sqcup I \sqcup can't \sqcup allocate \sqcup the \sqcup wstack \sqcup array! \n");
           exit(-10);
      bytes += lits * \mathbf{sizeof(uint)};
                 (Update lookahead data structures for the truth of ll; but goto contra if a contradiction
133.
      for (o, tla = timp[ll].addr, tls = timp[ll].size; tls; tla++, tls--) {
           o, u = tmem[tla].u, v = tmem[tla].v;
           if (verbose & show_gory_details)
                fprintf(stderr, \verb|"u|u| \verb|looking|u|"O"s"O".8s-> \verb|"O"s"O".8s| \verb|"O"s"O".8s| \verb|"O"s"O".8s|. | itname(ll), litname(u), litnam
                             litname(v));
            (Update lookahead structures for a potentially new binary clause u \vee v 134);
This code is used in section 130.
```

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```
Windfalls and the weighted potentials of new binaries are discovered here.
\langle Update lookahead structures for a potentially new binary clause u \vee v 134\rangle \equiv
  if (isfixed(u)) {
                         /* equivalently, if (o, stamp[thevar(u)] \ge cs */
     if (iscontrary(u)) {
                                /* u is stamped false */
       if (isfixed(v)) {
          if (iscontrary(v)) goto contra;
                      /* v is unknown */
       } else {
         l=v;
          wstack[wptr++] = l;
          \langle Propagate binary lookahead implications of l; goto contra if a contradiction arises 124\rangle;
       }
     }
  } else {
                 /* u is unknown */
    if (isfixed(v)) {
       if (iscontrary(v)) {
         l=u:
          wstack[wptr++] = l;
          \langle Propagate binary lookahead implications of l; goto contra if a contradiction arises 124\rangle;
     } else weighted\_new\_binaries += heur[u] * heur[v];
This code is used in section 133.
       Windfalls are analogous to the compensation resolvents we saw before.
\langle Convert the windfalls to binary implications from looklit 135\rangle \equiv
  if (wptr) {
     oo, sl = bimp[looklit].size, ls = bimp[looklit].alloc;
     \langle Make sure that looklit has an istack entry 136 \rangle;
     while (sl + wptr > ls) resize(looklit), ls \ll = 1;
     o, bimp[looklit].size = sl + wptr;
     for (o, la = bimp[looklit].addr + sl; wptr; wptr---) {
       o, u = wstack[wptr - 1];
       o, mem[la ++] = u;
       if (verbose & show_gory_details)
         fprintf(stderr, "\_windfall\_"O"s"O".8s->"O"s"O".8s \n", litname(looklit), litname(u));
       o, au = bimp[bar(u)].addr, su = bimp[bar(u)].size;
       \langle \text{ Make sure that } bar(u) \text{ has an } istack \text{ entry } 74 \rangle;
       if (o, su \equiv bimp[bar(u)].alloc) resize (bar(u)), o, au = bimp[bar(u)].addr;
       [o, mem[au + su] = bar(looklit);
       o, bimp[bar(u)].size = su + 1;
  }
This code is used in sections 130 and 141.
136. \langle Make sure that looklit has an istack entry 136 \rangle \equiv
  if (o, lmem[looklit].istamp \neq istamp) {
     o, lmem[looklit].istamp = istamp;
     o, istack[iptr].lit = looklit, istack[iptr].size = sl;
     \langle \text{Increase } iptr \ 75 \rangle;
  }
This code is used in section 135.
```

 $\S137$ SAT11 LOOKING AHEAD 63

137. Let l = looklit. If our assumption that l is true has allowed us to conclude the truth of some other literal l', but only at a level less than $proto_truth$, we are allowed to promote this to $proto_truth$ if we also have $\bar{l} \to l'$. If we're lucky, that promotion will also trigger more consequences that we didn't have to discover the hard way.

```
 \begin{array}{l} \langle \operatorname{Check} \text{ for necessary assignments } 137 \rangle \equiv \\ old\_looklit = looklit; \\ \textbf{for } (o, ola = bimp[bar(looklit)].addr, ols = bimp[bar(looklit)].size; ols; ols ---) \ \{ \\ o, looklit = bar(mem[ola + ols - 1]); \\ \textbf{if } ((isfixed(looklit)) \wedge (stamp[thevar(looklit)] < proto\_truth) \wedge iscontrary(looklit)) \ \{ \\ \textbf{if } (verbose \ \& show\_gory\_details) \\ fprintf(stderr, "\_necessary\_"O"s"O".8s\n", litname(bar(looklit))); \\ \langle \operatorname{Force} \ looklit \ \text{to be } (\operatorname{proto}) \ \text{false, and complement it } 128 \rangle; \\ o, ola = bimp[bar(old\_looklit)].addr; \ /* \ \text{guard against a change in } ola \ */ \ \} \\ \} \end{array}
```

This code is used in section 125.

138. Now we're ready to select bestlit, representing our guess about the best literal on which to branch. (More precisely, thevar(bestlit) is the variable on which we shall branch. First we will try to make bestlit true. If that fails, we'll try to make it false. And if that fails, we'll backtrack to a previous node.)

The lookahead process might have identified forced literals that force the value of every variable for which we have wnb scores. If so, those literals are no longer free; they are true at the $real_truth$ level. And if one of them would have been our choice for bestlit, we set bestlit to zero because we ought to do another lookahead before branching.

We might in fact be lucky: If freevars is zero, the clauses have been satisfied.

```
\langle Choose bestlit, which will be the next branch tried 138\rangle \equiv
    float best_score;
    if (freevars \equiv 0) goto satisfied;
    for (i = 0, best\_score = -1.0, bestlit = 0; i < looks; i++) {
      o, l = look[i].lit;
      if ((l \& 1) \equiv 0) {
        float pos, neg, score;
        oo, pos = lmem[l].wnb, neg = lmem[l+1].wnb;
        score = (pos + .1) * (neg + .1);
        vmem[thevar(l)].name.ch8, pos, neg, score);
        if (score > best\_score) {
          best\_score = score;
          bestlit = (pos > neg ? l + 1 : l);
      }
    if (\neg isfree(bestlit)) bestlit = 0;
    if (bestlit + forcedlits \equiv 0) confusion("choice");
```

This code is used in section 59.

139. Double-looking ahead. Sometimes we really go out on a limb and look ahead two steps before making a decision. The goal of such a second look is to detect a branch that dies off early, resulting in a forced literal \bar{l} when looking at sufficiently many consequences of l.

Of course an extra degree of looking takes time, and we don't want to do it if the extra time isn't recouped by a better branching strategy. Here I use an elegant feedback technique of Heule and van Maaren [Lecture Notes in Computer Science 4501 (2007), 258–271], which responds adaptively to the conditions of a given problem: A "trigger" starts at zero and increases when doublelook is unsuccessful, but decreases slightly after each lookahead.

Double-lookahead has a weaker level of trustworthiness than $proto_truth$. It is the dynamically specified level dl_truth , at the top of a region of stamp space that allows for a maximum number of permitted iterations. That maximum number, dl_max_iter , is 32 by default, but of course users are allowed to fiddle with it to their hearts' content. Literals that are true at level dl_truth are conditionally true under the hypothesis that looklit is true.

```
\langle \text{Global variables } 3 \rangle + \equiv
                         /* lower bound to adjust the frequency of double-looking */
  float dl_triqqer;
                       /* the doublelook analog of proto_truth */
  uint dl-truth:
                   /* the doublelook analog of looki */
  int dlooki;
  uint dlooklit;
                      /* the doublelook analog of looklit */
  uint dl_last_change;
                             /* the last literal for which we forced some dl truth */
       \langle \text{Do a double lookahead from } looklit, \text{ if that seems advisable } 140 \rangle \equiv
  if (level \land (o, lmem[looklit]. dl\_fail \neq istamp)) {
     if (lmem[looklit].wnb > dl\_trigger) {
       if (cs + 2 * looks * ((ullng) dl\_max\_iter + 1) < proto\_truth) {
          (Double look ahead from looklit; goto contra if a contradiction arises 141);
          o, dl\_trigger = lmem[looklit].wnb;
            /* increase the trigger, to discourage improbable double-looks */
                                                 /* don't try this literal again at this branch node */
         o, lmem[looklit].dl_fail = istamp;
     } else dl\_trigger *= dl\_rho;
                                      /* decrease the trigger slightly, so that it we'll eventually try again */
This code is used in section 125.
```

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141. The new settings of base, last_base, and $dl_{-}truth$ in this step are slightly subtle: On the first iteration, some literals may be fixed true (stampwise) because of information gained before we've started to doublelook, but only if they are implied by looklit. Those literals will be promoted to truth at level $dl_{-}truth$ during the course of that iteration, because a contradiction will arise when we try to set them false. On subsequent iterations, and after doublelook finishes its work, the only existing level of truth that is $\geq base$ and $< proto_{-}truth$ will be $dl_{-}truth$.

The propagation loop invoked here gets the ball rolling by making all binary implications of *looklit* true at level dl-truth. It will not actually **goto** dl-contra in spite of what it says; we have simply copied the more general code into this section for convenience, because such optimization isn't necessary at this point.

"Windfalls" during a double look are different from those we saw before: They now are literals that were forced to be true as a consequence of <code>looklit</code>.

```
⟨ Double look ahead from looklit; goto contra if a contradiction arises 141⟩ ≡ last_base = cs + 2 * looks * dl_max_iter; dl_truth = last_base + cs - base; base = cs; cs = dl_truth, l = looklit; wptr = 0; eptr = rptr; ⟨ Propagate binary doublelookahead implications of l; goto dl_contra if a contradiction arises 143⟩; ⟨ Run through iterations of doublelook analogous to the iterations of ordinary lookahead 142⟩; ⟨ Convert the windfalls to binary implications from looklit 135⟩; This code is used in section 140.
```

142. The code here and in the following sections parallels the corresponding routines in lookahead and in the basic solver, but at an even hazier and more tentative level—further removed from reality.

```
⟨ Run through iterations of doublelook analogous to the iterations of ordinary lookahead 142⟩ ≡
    dl_last_change = 0;
while (1) {
    for (dlooki = 0; dlooki < looks; dlooki++) {
        o, l = look[dlooki].lit, cs = base + look[dlooki].offset;
        if (l ≡ dl_last_change) goto dlook_done;
        ⟨ Doublelook ahead at consequences of l, and goto contra if a contradiction is found 144⟩;
        dlook_on: continue;
    }
    if (dl_last_change ≡ 0) break;
        base += 2 * looks; /* forget small truths */
        if (base ≡ last_base) break;
}
dlook_done: base = last_base, cs = dl_truth; /* retain only dl_truth data */
This code is used in section 141.</pre>
```

```
143.
        \langle Propagate binary doublelookahead implications of l; goto dl_contra if a contradiction arises 143\rangle
  if (isfixed(l)) {
     if (iscontrary(l)) goto dl\_contra;
  } else {
    if (verbose & show_doubly_gory_details) {
       if (cs \ge dl\_truth) fprintf (stderr, "dlfixing_{\sqcup}"O"s"O".8s\n", litname(l));
       else fprintf(stderr, ""O"dfixing_{\sqcup}"O"s"O".8s\n", cs, litname(l));
     stamptrue(l);
     lfptr = eptr;
     o, rstack[eptr++] = l;
     while (lfptr < eptr) {
       o, l = rstack[lfptr++];
       for (o, la = bimp[l].addr, ls = bimp[l].size; ls; la++, ls--)
         o, lp = mem[la];
         if (isfixed(lp))
            if (iscontrary(lp)) goto dl_contra;
          } else {
            if (verbose & show_doubly_gory_details) {
              if (cs \geq dl\_truth) fprintf (stderr, "\_dlfixing\_"O"s"O".8s\n", litname(lp));
              else fprintf(stderr, " \cup "O"dfixing \cup "O"s"O".8s\n", cs, litname(lp));
            stamptrue(lp);
            o, rstack[eptr++] = lp;
    }
This code is used in sections 141, 147, and 149.
144. \(\right\) Doublelook ahead at consequences of l, and goto contra if a contradiction is found 144\) \(\simega\)
  dlooklit = l;
  if (verbose & show_doubly_gory_details)
     fprintf(stderr, "dlooking_{\square}at_{\square}"O"s"O".8s_{\square}("O"d)\n", litname(dlooklit), cs);
  if (isfixed(l)) {
     if (stamp[thevar(l)] < dl\_truth \land iscontrary(l)) \langle Force dlooklit to be (dl) false, and complement it 145\rangle;
  } else {
     (Update dlookahead data structures for consequences of dlooklit; but goto dl_contra if a contradiction
         arises 147;
This code is used in section 142.
```

```
The variable dl_last_change, which keeps us doublelooking, changes only here.
\langle Force dlooklit to be (dl) false, and complement it 145\rangle \equiv
    dl\_last\_change = dlooklit;
    dlooklit = bar(dlooklit);
    dlook\_cs = cs, cs = dl\_truth;
    (Update dlookahead data structures for consequences of dlooklit; but goto dl_contra if a contradiction
         arises 147;
    cs = dlook\_cs;
     wstack[wptr++] = dlooklit;
This code is used in sections 144 and 146.
      When we get to label dl-contra, we execute the following instructions, which will "fall through" to
label contra if cs = dl-truth.
  Roughly speaking, we've derived a contradiction after assuming that looklit and dlooklit are true. When
that second assumption fails, we make dlooklit dl-false, assuming looklit. A second failure at the dl-false
level tells us that looklit must be false; in such a case we exit the double lookahead process.
\langle Recover from a double lookahead contradiction 146\rangle \equiv
  if (cs < dl_truth) {
    \langle Force dlooklit to be (dl) false, and complement it 145\rangle;
    goto dlook_on;
  base = last\_base;
                        /* forget all truths less than dl_truth */
This code is used in section 84.
       (Update dlookahead data structures for consequences of dlooklit; but goto dl_contra if a
       contradiction arises 147 \equiv
  fptr = eptr = rptr;
  l = dlooklit;
  (Propagate binary doublelookahead implications of l; goto dl_contra if a contradiction arises 143);
  while (fptr < eptr) {
    o, ll = rstack[fptr ++];
    (Update dlookahead data structures for the truth of ll; but goto dl_contra if a contradiction
         arises 148;
  }
This code is used in sections 144 and 145.
       (Update dlookahead data structures for the truth of ll; but goto dl_contra if a contradiction
       arises 148 \rangle \equiv
  for (o, tla = timp[ll].addr, tls = timp[ll].size; tls; tla++, tls--) {
    o, u = tmem[tla].u, v = tmem[tla].v;
    if (verbose & show_doubly_gory_details)
       fprintf(stderr, "luldlookingle"O"s"O".8s->"O"s"O".8s|"O"s"O".8s\n", litname(ll),
            litname(u), litname(v));
     \langle Update dlookahead structures for a potentially new binary clause u \vee v 149\rangle;
This code is used in section 147.
```

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```
149. (Update dlookahead structures for a potentially new binary clause u \vee v 149) \equiv
  if (isfixed(u)) {
                      /* equivalently, if (o, stamp[thevar(u)] \ge cs */
                             /* u is stamped false */
    if (iscontrary(u)) {
      if (isfixed(v)) {
         if (iscontrary(v)) goto dl_contra;
                  /* v is unknown */
         l=v:
         \langle Propagate binary doublelookahead implications of l; goto dl-contra if a contradiction arises 143\rangle;
  } else {
              /* u is unknown */
    if (isfixed(v)) {
      if (iscontrary(v)) {
         l=u:
         \langle Propagate binary doublelookahead implications of l; goto dl_contra if a contradiction arises 143\rangle;
  }
```

This code is used in section 148.

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```
150.
       Doing it. Finally we just need to put the pieces of this program together.
\langle Solve the problem 150 \rangle \equiv
  level = 0;
  if (forcedlits) {
     o, nstack[0].branch = -1;
     goto special_start; /* bootstrap the unary input clauses */
enter\_level:
  if (sanity_checking) sanity();
  \langle Begin the processing of a new node 59\rangle;
  forcedlits = 0;
  level++;
  goto enter_level;
  \langle \text{Recover from conflicts 84} \rangle;
This code is used in section 2.
151. \langle \text{ Print the solution found 151} \rangle \equiv
  for (k = 0; k < rptr; k++) {
     printf(" \cup "O"s"O".8s", litname(rstack[k]));
     if (out\_file) fprintf(out\_file, "$\_$"O".8s", <math>litname(bar(rstack[k])));
  printf("\n");
  if (freevars) {
     if (verbose & show_unused_vars) printf("(Unused:");
     for (k = 0; k < freevars; k \leftrightarrow) {
       if (verbose \& show\_unused\_vars) printf("\"O".8s", vmem[freevar[k]].name.ch8);
       if (out_file) fprintf (out_file, "\u0".8s", vmem[freevar[k]].name.ch8);
     if (verbose & show_unused_vars) printf(")\n");
  if (out_file) fprintf(out_file, "\n");
This code is used in section 84.
152. \langle Subroutines 29\rangle + \equiv
  void confusion(\mathbf{char} * id)
        /* an assertion has failed */
     fprintf(stderr, "This_{\sqcup}can't_{\sqcup}happen_{\sqcup}("O"s)! \n", id);
     exit(-666);
  void debugstop(int foo)
        /* can be inserted as a special breakpoint */
     fprintf(stderr, "You \perp rang("O"d)? \n", foo);
```

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