

**1. Intro.** This program is an iterative implementation of an interesting recursive algorithm due to Willard L. Eastman, *IEEE Trans. IT-11* (1965), 263–267: Given a sequence of nonnegative integers  $x = x_0x_1 \dots x_{n-1}$  of odd length  $n$ , where  $x$  is not equal to any of its cyclic shifts  $x_k \dots x_{n-1}x_0 \dots x_{k-1}$  for  $1 \leq k < n$ , we output a cyclic shift  $\sigma x$  such that the set of all such  $\sigma x$  forms a comma-free code of block length  $n$  (over an infinite alphabet).

The integers are given as command-line arguments.

The simplest nontrivial example occurs when  $n = 3$ . If  $x = abc$ , where  $a$ ,  $b$ , and  $c$  aren't all equal, then exactly one of the cyclic shifts  $y_0y_1y_2 = abc, bca, cab$  will satisfy  $y_0 > y_1 \leq y_2$ , and we choose that one. It's easy to check that the triples chosen in this way are comma-free.

Similar constructions are possible when  $n = 5$  or  $n = 7$ . But the case  $n = 9$  already gets a bit dicey, and when  $n$  is really large it's not at all clear that comma-freeness is possible. Eastman's paper resolved a conjecture made by Golomb, Gordon, and Welch in their pioneering paper about comma-free codes (1958).

(Of course, it's not at all clear that we would want to actually *use* a comma-free code when  $n$  is large; but that's another story, and beside the point. The point is that Eastman discovered a really interesting algorithm.)

```
#define maxn 105
#include <stdio.h>
#include <stdlib.h>
int x[maxn + maxn + maxn];
int b[maxn + maxn + maxn];
int bb[maxn];
<Subroutines 5>;
main(int argc, char *argv[])
{
    register int i, j, k, n, p, q, t, tt;
    <Process the command line 2>;
    <Do Eastman's algorithm 3>;
}

2. <Process the command line 2> ≡
if (argc < 4) {
    fprintf(stderr, "Usage: %s x1 x2 . . . xn\n", argv[0]);
    exit(-1);
}
n = argc - 1;
if ((n & 1) == 0) {
    fprintf(stderr, "The number of items, n, should be odd, not %d!\n", n);
    exit(-2);
}
for (j = 1; j < argc; j++) {
    if (sscanf(argv[j], "%d", &x[j - 1]) != 1 ∨ x[j - 1] < 0) {
        fprintf(stderr, "Argument %d should be a nonnegative integer, not '%s'!\n", j, argv[j]);
        exit(-3);
    }
}
```

This code is used in section 1.

**3. The algorithm.** We think of  $x$  as written cyclically, with  $x_{n+j} = x_j$  for all  $j \geq 0$ . The basic idea in the algorithm below is to also think of  $x$  as partitioned into  $t \leq n$  subwords by boundary markers  $b_j$  where  $0 \leq b_0 < b_1 < \dots < b_{t-1} < n$ ; then subword  $y_j$  is  $x_{b_j}x_{b_j+1} \dots x_{b_{j+1}-1}$ , for  $0 \leq j < t$ , where  $b_t = b_0$ . If  $t = 1$ , there's just one subword, and it's a cyclic shift of  $x$ . The number  $t$  of subwords during each phase will be odd.

Eastman's algorithm essentially begins with  $b_j = j$  for  $0 \leq j < n$ , so that  $x$  is partitioned into  $n$  subwords of length 1. It successively *removes* boundary points until only one subword is left; that subword is the answer. It operates in phases, so that all subwords during the  $j$ th phase have length  $3^{j-1}$  or more; thus at most  $\lceil \log_3 n \rceil$  phases are needed. (For example, the case  $n = 9$  is “dicey” because it might require two phases.)

The algorithm is based on comparison of adjacent subwords  $y_{j-1}$  and  $y_j$ . If those subwords have the same length, we use lexicographic comparison; otherwise we declare that the longer subword is bigger.

(After the first phase, all subwords not only have length  $\geq 3$ , they also always begin with a nonzero entry; in other words,  $x_{b_j} > 0$  for every boundary marker  $b_j$ . However, we won't need to use that fact explicitly.)

The algorithm can be described with terminology based on the topography of Nevada: Say that  $i$  is a *basin* if the subwords satisfy  $y_{i-1} > y_i \leq y_{i+1}$ . There must be at least one basin; otherwise all the  $y_j$  would be equal, and  $x$  would equal one of its cyclic shifts. We look at consecutive basins,  $i$  and  $j$ ; this means that  $i < j$  and that  $i$  and  $j$  are basins, and that  $i+1$  through  $j-1$  are *not* basins. If there's only one basin we have  $j = i + t$ . The indices between consecutive basins are called *ranges*.

Since  $t$  is odd, there's an odd number of consecutive basins for which  $j-i$  is odd. Each phase of Eastman's algorithm retains exactly one boundary point in the range between such basins, and deletes all the others. The retained point is the smallest  $k = i + 2l$  such that  $y_k > y_{k+1}$ .

(For example, suppose  $i = 2$  and  $j = 9$  are consecutive basins. Then we have  $y_1 > y_2 \leq y_3 \leq \dots \leq y_q > y_{q+1} > \dots > y_9 \leq y_{10}$ , for some range element  $2 < q < 9$ . We choose  $k = 4$  if  $q = 3$  or  $q = 4$ ,  $k = 6$  if  $q = 5$  or  $q = 6$ , and  $k = 8$  if  $q = 7$  or  $q = 8$ .)

⟨ Do Eastman's algorithm 3 ⟩  $\equiv$

⟨ Initialize 4 ⟩;

**for** ( $p = 1, t = n; t > 1; t = tt, p++$ )

⟨ Do one phase of Eastman's algorithm, putting  $tt$  boundary points into  $bb$  6 ⟩;

This code is used in section 1.

4. ⟨ Initialize 4 ⟩  $\equiv$

**for** ( $j = n; j < n + n + n; j++$ )  $x[j] = x[j - n]$ ;

**for** ( $j = 0; j < n + n + n; j++$ )  $b[j] = j$ ;

$t = n$ ;

This code is used in section 3.

5. Here's a basic subroutine that returns 1 if subword  $y_{i-1}$  exceeds subword  $y_i$ , otherwise it returns 0.

⟨Subroutines 5⟩  $\equiv$

```

int compare(register int i)
{
    register int j;
    if ( $b[i] - b[i-1] \equiv b[i+1] - b[i]$ ) {
        for ( $j = 0; b[i] + j < b[i+1]; j++$ ) {
            if ( $x[b[i-1] + j] \equiv x[b[i] + j]$ ) continue;
            return ( $x[b[i-1] + j] > x[b[i] + j]$ );
        }
        return 0;    /*  $y_{i-1} = y_i$  */
    }
    return ( $b[i] - b[i-1] > b[i+1] - b[i]$ );
}

```

This code is used in section 1.

6. ⟨Do one phase of Eastman's algorithm, putting  $tt$  boundary points into  $bb$  6⟩  $\equiv$

```

{
    for ( $tt = 0, i = 1; i \leq t; i++$ )
        if (compare(i)) break;
    if ( $i > t$ ) {
        fprintf(stderr, "The input is cyclic!\n");
        exit(-666);
    }
    for ( ; compare( $i+1$ );  $i++$ ) ;    /* advance to the first basin */
    for ( ;  $i \leq t; i = j$ ) {
        for ( $q = i+1; compare(q+1) \equiv 0; q++$ ) ;    /* climb the range */
        for ( $j = q+1; compare(j+1); j++$ ) ;    /* advance to the next basin */
        if ( $((j-i) \& 1) \langle$  Choose a boundary point to retain 7  $\rangle$ );
    }
    printf("Phase %d leaves", p);
    for ( $k = 0; k < tt; k++$ )  $b[k] = bb[k]$ , printf(" %d",  $bb[k]$ );
    printf(" \n");
    for ( ;  $b[k-tt] < n+n; k++$ )  $b[k] = b[k-tt] + n$ ;
}

```

This code is used in section 3.

7. ⟨Choose a boundary point to retain 7⟩  $\equiv$

```

{
    if ( $(q-i) \& 1$ )  $q++$ ;
    if ( $q < t$ )  $bb[tt++] = b[q]$ ;
    else {
        for ( $k = tt++; k > 0; k--$ )  $bb[k] = bb[k-1]$ ;
         $bb[0] = b[q-t]$ ;
    }
}

```

This code is used in section 6.

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